

**Reconstructing hydrological variability in Lake Baikal during MIS11: an application of oxygen isotope analysis of diatom silica**

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Complete List of Authors:	mackay, anson; UCL, Geography Karabanov, Eugene; University of South Carolina, Department of Geological Sciences Leng, Melanie; British Geological Survey, NERC Isotope Geosciences Laboratory Sloane, Hillary; British Geological Survey, NERC Isotope Geosciences Laboratory Morley, David; UCL, Geography Panizzo, Virginia; UCL, Geography Khursevich, Galina; National Academy of Sciences of Belarus, Institute of Geological Sciences Williams, Douglas; University of South Carolina, Department of Geological Sciences
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1 **Reconstructing hydrological variability in Lake Baikal during MIS 11: an**
2 **application of oxygen isotope analysis of diatom silica**
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4 **Short title: Reconstructing hydrological variability in Lake Baikal during MIS 11**
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6 Anson W. Mackay^{1*} Eugene Karabanov^{2,3} Melanie J. Leng^{4,5} Hilary J. Sloane⁴ David W.
7 Morley¹ Virginia N. Panizzo¹ Galina Khursevich⁶ Douglas Williams²

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10 ¹Environmental Change Research Centre, Department of Geography, UCL, Gower Street,
11 London, WC1E 6BT UK.

12 ²Baikal Drilling Project, Department of Geological Sciences, University of South
13 Carolina, Columbia, SC 29208, USA

14 ³Institute of Geochemistry, Siberian Branch, Russian Academy of Sciences, Irkutsk,
15 664033, Russia

16 ⁴NERC Isotope Geosciences Laboratory, British Geological Survey, Nottingham, NG12
17 5GG, UK

18 ⁵School of Geography, University of Nottingham, Nottingham NG7 2RD, UK

19 ⁶Institute of Geological Sciences, National Academy of Sciences of Belarus, Minsk
20 220141, Belarus

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22

23 *To whom correspondence should be addressed: amackay@geog.ucl.ac.uk

24 tel: +44 (0)20 7679 0558; Fax: +44 (0)20 7679 0565

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2627 **Abstract**

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29 In this paper we reconstruct hydrological variability in Lake Baikal during MIS 11 (427 –
30 362 ka BP) from oxygen isotope analysis of diatom silica. Highest $\delta^{18}\text{O}_{\text{diatom}}$ values are
31 found during MIS11.3, highlighting the dominance of hydrological input from rivers
32 flowing into the south and central basins of Lake Baikal, especially the Selenga River.
33 Hydrological input from south basin rivers dominated for over 30 ka. However, there is
34 evidence from both biogenic silica and $\delta^{18}\text{O}_{\text{diatom}}$ records for an abrupt cooling event at c.
35 390 ka BP. Stadial conditions at this time are coincident with an iceberg discharge event
36 into the North Atlantic. The decline in $\delta^{18}\text{O}_{\text{diatom}}$ values suggests increasing proportion of
37 hydrological input from rivers to the north of Lake Baikal, due to greater influence of
38 winter precipitation and snow-melt. After a period of interstadial conditions during the
39 early stages of MIS11.1, biogenic silica and $\delta^{18}\text{O}_{\text{diatom}}$ values decline, mirroring the slow
40 growth in northern hemisphere ice sheets. Despite rigorous cleaning procedures,
41 palaeoclimatic inferences need to be treated with caution due to contamination of the
42 $\delta^{18}\text{O}_{\text{diatom}}$ record; during stadial and glacial periods, contamination of the $\delta^{18}\text{O}_{\text{diatom}}$ record
43 is even more significant.

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45 **Keywords**

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47 MIS11; palaeoclimate; Lake Baikal; $\delta^{18}\text{O}_{\text{diatom}}$; biogenic silica

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50 **1. Introduction**

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52 Marine Isotope Stage 11 (MIS11) is increasingly being investigated to provide clues as to
53 the duration of the current interglacial (Forsström, 2001), and if we can expect any
54 surprises in the form of increasing instability in the future climate system. This is largely
55 because astronomically-driven insolation and low amplitude of eccentricity between c.
56 423 ka – 362 ka are similar to variation during the latter part of the Holocene (Howard
57 1997) and to variation modelled for the near future (Loutre & Berger, 2003). MIS11
58 encompasses c. 2.5 precessional cycles, similar in length to subsequent isotope stages
59 MIS9, MIS7 and MIS5. However, full interglacial conditions during MIS11 persisted for
60 a significantly longer period of time than subsequent interglacials (i.e. MIS9.3, MIS7.5
61 and MIS5.5) (e.g. McManus et al., 1999; Droxler & Farrell, 2000; de Abreu et al., 2005).
62 The extent to which climates during MIS11 interglacial were warmer than the Holocene
63 is regionally complex. For example, sub-polar marine records of SSTs off the coast of
64 Ireland were at their highest during MIS11 (McManus et al., 1999; Droxler & Farrell,
65 2000). Further north in the Nordic Seas however, foraminifera evidence indicates that
66 SSTs were cooler than the Holocene (Bauch et al., 2000). Recent ice–core data obtained
67 by the EPICA community members from Dome C in Antarctica (Spahni et al., 2005)
68 highlight that δD values (indicative of Antarctic temperatures) were highest between 410
69 – 402 ka BP.

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71 Many European terrestrial records indicate that the MIS11 interglacial was very humid
72 (see Rousseau, 2003 for a review). This suggests that influence from the Westerlies was
73 significant and at least as important as the present. Quaternary loess-soil records in China

1 indicate that paleosol S4 (which is equivalent to MIS11) was one of the best developed
2 throughout the last 1.2 Ma, linked to strengthened summer East Asian Monsoon (Guo et
3 al. 2000). In central Asia, biogenic silica records from Lake Baikal (which act as a proxy
4 for lake primary productivity) mirrored the rapid decline and slow growth of northern
5 hemisphere ice sheets (Karabanov et al., 2003). Further, the proportion of biogenic silica
6 in Lake Baikal sediments at this time reached some of their highest values for any
7 interglacial during the last c. 450 ka, again highlighting that prevailing conditions were
8 comparatively warm and humid.

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83 Lake Baikal is an important location for studies of past climate change because it lies in a
84 region that is sensitive to changes in precessional forcing, which has brought about major
85 changes in the lake's ecosystem (Khursevich et al. 2000). Aspects of these past
86 ecosystems are subsequently stored in its long, continuous sedimentary record (Williams
87 et al. 1997; Prokopenko et al. 2002). Diatoms and associated biogenic silica (BioSi) are
88 the most extensively utilized palaeoclimatic proxies found in Lake Baikal sediments, and
89 arguably the most important (see Mackay 2007 for a review). Other sources of biogenic
90 silica do exist (e.g. from chrysophytes and sponge spicules) but they form a relatively
91 minor component (less than c. 2%; Granina et al. 1992). Morley et al. (2005) and
92 Kalmychkov et al. (2007) sought to exploit the technique of oxygen isotope analysis of
93 diatom silica, thereby providing a complementary, yet independent proxy climate signal
94 to either diatoms or BioSi. This technique is proving valuable in the context of Lake
95 Baikal palaeoclimate studies because carbonates and organic matter are rare or
96 preservation potential is low, making oxygen isotope analysis of these sedimentary
97 components unviable.

99 The aim of this study is to characterise hydrological variability in Lake Baikal during
100 MIS11 through the application of $\delta^{18}\text{O}_{\text{diatom}}$ analysis at a millennial-scale resolution,
101 thereby providing an independent proxy climate signal. Our data suggest distinct
102 hydrological variability in central Asia during MIS11, against a backdrop of prevailing
103 warmer temperatures that persisted for at least 30 ka.

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105 Regional setting

106 The region of central Asia that includes Lake Baikal is characterized by the world's
107 highest degree of continentality (Lydolph, 1977). Throughout spring, as zonal circulation
108 intensifies, there is a strong westerly progression of cyclones moving through west
109 Siberia to the Lake Baikal region. In summer, low-pressure systems form along the
110 Asiatic polar front and as the strength of the westerly transport weakens cyclonic activity
111 and rainfall increases (Fig 1). During July, average daily air temperatures are c. +19°C. In
112 autumn, deep intrusions of cold arctic air progress from the Kara Sea to the Lake Baikal
113 region, bringing widespread cooling throughout eastern Siberia. This marks the beginning
114 of the growth of the Siberian High, a high-pressure cell which dominates this region of
115 central Asia during winter (Gong & Ho 2002; Panagiotopoulos et al. 2005) (Fig 1). In
116 January, air temperatures fall to an average of c. -25 °C. Characteristically, summers are
117 short, warm and wet, while winters are long, cold and dry.

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119 Although Quaternary glaciations have had major impacts on Lake Baikal's hydrology,
120 sedimentology, ecosystem and shoreline, the bottom sediments have never been directly
121 glaciated (Grosswald & Kuhle, 1994). Lake Baikal contains therefore, a potentially
122 uninterrupted palaeoclimate archive consisting of over 7,500 m of sedimentary deposits,
123 extending back more than 20 million years (Williams et al. 2001). To the east and west of

124 Lake Baikal itself are large, steeply sloping mountain ranges (e.g. Khamar-Daban and the
125 Primorsky mountain ranges), broken only occasionally by valleys and deltas belonging to
126 some of the larger rivers. The physical catchment of Lake Baikal occupies an area of c.
127 540,000 km², spanning south-eastern Siberia and northern Mongolia. Within this
128 catchment, more than 300 rivers flow into Lake Baikal. The largest and most significant
129 rivers are the Selenga, Upper Angara, and Barguzin Rivers. The Selenga is the largest
130 river and delivers just under 50% inflow to the lake. The Upper Angara and Barguzin
131 rivers contribute to c. 13% and 6% of the total annual river inflow respectively (Granina,
132 1997). The Angara River in the south basin accounts for c. 81% of outflow from the lake,
133 while remaining water loss is through evaporation.

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135 **2. Methodology**

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137 The material used in this study was taken from the Baikal Drilling Program (BDP) core
138 BDP-96-2, located on the Academician Ridge, an underwater mountain range which
139 separates the central and northern basins of Lake Baikal (Fig 2). The 5 m sediment
140 section presented here spans the latter part of the MIS12 (21.0-19.92 m), the complete
141 MIS11, and the first section of the glacial period MIS10 (16.0-17.0 m) (Fig 3).
142 Karabanov et al. (2003) have previously described methodologies for the construction of
143 the age model, together with biogenic silica and diatom concentrations. Briefly, the age
144 model was determined by correlation with the marine isotope curve based on
145 palaeomagnetic reversals (Williams et al., 1997) with refinement through tuning to 65°N
146 insolation record (Prokopenko et al., 2001). After tuning to the insolation record, every
147 interstadial precessional peak in BioSi could be recognised in the Brunhes sequence

1 148 (Prokopenko et al. 2001) – we are thus confident that the sequence presented here is that
2 149 of MIS11.
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5 151 Biogenic silica analyses were undertaken using a wet-alkaline extraction method
6 152 (Mortlock & Fröhlich, 1989), with the blue silica molybdate complex determined
7 153 colorimetrically. BioSi results are presented as % dry sediment weight. By tuning
8 154 biogenic silica (BioSi) records to insolation at 65 °N, the Lake Baikal MIS11 record
9 155 spans approximately 65 ka years (427 - 362 ka BP) (Karabanov et al., 2003). Diatoms
10 156 were counted in transects across smear slides (sampled every 2 cm, at a resolution of c.
11 157 500 yrs) using light microscopy at x 1000 magnification (Karabanov et al., 2003). Counts
12 158 are presented as x 10⁶ valves per gram sediment. The abundance of rare taxa has been
13 159 exaggerated by a factor of 50 in Fig. 3.
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16 161 Here we focus on the methodology used to prepare and clean sediment samples from
17 162 BDP-96-2 for $\delta^{18}\text{O}_{\text{diatom}}$ analysis because contamination from silt and clay particles can
18 163 significantly influence the $\delta^{18}\text{O}_{\text{diatom}}$ signal (e.g. Morley et al. 2004; Brewer et al. this
19 164 volume). The sample cleaning method mainly follows stages developed by Morley et al.
20 165 (2004), although separation of diatoms and silt using the heavy liquid sodium
21 166 polytungstate did not improve the amount of diatom material obtained from these core
22 167 samples. Stage 1: pairs of neighbouring samples were amalgamated from BDP-96-2 to
23 168 provide enough diatom silica for $\delta^{18}\text{O}_{\text{diatom}}$ analysis (resolution of c. 1800 yrs). Organic
24 169 material was removed from each sample by heating in 30% H_2O_2 at 90°C for c. 2 hours.
25 170 After washing, carbonates were removed by leaving samples overnight in c. 40 ml of 5%
26 171 HCl. Stage 2 involved the removal of clay particles by sieving samples through a 10 μm
27 172 sieve cloth, while most silt material was removed using a 75 μm sieve cloth. The 10 μm –

1 75 µm fraction was retained as it was found to maintain the highest proportion of diatoms
2 to silt particles after trial with different sieve sizes. Samples were then dried at 40°C for
3 24 hours ready for oxygen isotope analysis. In order to remove the unstable, diatom
4 hydrous silica layer before full reaction with BrF₅, purified diatom samples were first
5 subjected to a prefluorination step. Liberated oxygen was converted to CO₂ and measured
6 alongside standard laboratory quartz and a diatom control sample. A dual inlet mass
7 spectrometer was used to measure ¹⁸O/¹⁶O ratios; δ¹⁸O values were normalised through
8 international standards. The data are presented as per mil (‰) deviations from SMOW,
9 and sample standard deviations were of the order of ±0.3‰ (1 σ).
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183 We used a mass balancing method ((%diatom x isotope value) + (%silt x isotope value))
184 to compensate for the effect of silt contamination (Morley et al., 2005). To do this, a
185 small amount of cleaned sediment was prepared for microscopy prior to final drying as
186 above, so that the degree of silt remaining in each sample could be assessed at x1000
187 magnification using a 10 x 10 grid graticule (Morley et al. 2004). For each sample,
188 diatom and contaminant content were estimated semi-quantitatively as a proportion of the
189 non-empty graticule grid squares counted. The δ¹⁸O value of Lake Baikal silt was taken
190 to be 12.3‰, calculated as an average of rock fragments and silt after all diatoms were
191 removed from sediment samples (*ibid.*). Bulk δ¹⁸O values were taken as a linear mixture
192 of oxygen from the silt and diatoms, so that a value for pure diatoms could be calculated
193 using the estimated percentage content of diatoms and silt. We acknowledge that this
194 method may underestimate the effect of silt δ¹⁸O on overall oxygen isotope composition
195 because volumes of diatoms and silt particles are not taken into account (Leng & Barker,
196 2006). More recently, geochemical methods have been employed to remove the effects of

197 contaminants from diatom oxygen isotope records (e.g. Lamb et al. 2007). While these
198 techniques show great promise (Brewer et al. this volume), they were not developed for
199 our purpose at the time of sampling processing presented here.

200

201 **3. Results**

202

203 The glacial stages in this sequence (MIS12 and MIS10) are characterised by fine silty
204 clays with inclusions of coarse silt with sand (lenses and pockets) and pebbles
205 (Karabanov et al. 2003) (Fig 3). Such coarse sediments result from valley glaciers
206 reaching the lake itself, and associated production of erosional material from glaciers
207 (Karabanov et al., 1998). BioSi concentration during MIS12 is low, c. 5%. Diatoms are
208 almost completely absent, with rare occurrence of the extinct endemic, *Stephanodiscus*
209 *binderanoides* (which does not appear again in the this sequence), and some benthic taxa,
210 between c. 440 ka - 435 ka BP. There is no concurrent increase in BioSi at this time, most
211 likely due to dissolution processes, which can impact on the integrity of the BioSi signal
212 in slowly accumulating glacial sediments (Swann & Mackay 2006). Where preserved,
213 $\delta^{18}\text{O}_{\text{diatom}}$ values approximate $\delta^{18}\text{O}$ values for silt without any diatoms, and the proportion
214 of silt contamination to diatom counts is at its highest level for the whole profile (Fig 3).

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216 MIS11 can be delimited into 3 stages: MIS11.3 (427 ka -414 ka), MIS11.2 (414 ka - 394
217 ka) and MIS11.1 (394 ka – 362 ka) . MIS11.3 lasts for c. 13 ka. At the base of the
218 sequence there is a sharp increase in both BioSi and diatom concentration. The diatom
219 flora is dominated by the extinct endemic diatom *Stephanodiscus distinctus* v. *distinctus*.
220 Peak abundance of this species occur between 422 – 418 ka BP. During this time, extant
221 endemic species, e.g. *Cyclotella minuta*, *Synedra* spp., *A. skvortzowii* and benthic taxa are

1 also present at low abundance. The first measured $\delta^{18}\text{O}_{\text{diatom}}$ values are at c. 423 ka BP of
2 +23.3‰, which exhibit a rising trend thereafter to peak values of +27.7‰, the maximum
3 value for the sequence at c. 420 ka BP. Corresponding silt contamination is very low, at <
4 5%. Towards the end of MIS11.3, there is a shift in diatom composition, as *S. distinctus*
5 6 is replaced in dominance by another endemic species, *Stephanodiscus exiguum*. The
7 8 abundance of *Synedra* and benthic taxa also show small increases. These increases are
9 10 concomitant with some of the highest diatom concentrations in the sequence, while BioSi
11 12 fluctuates between 23-35%. $\delta^{18}\text{O}_{\text{diatom}}$ values however, decline to c. +22‰. This decline
13 14 of c. 6‰ units is substantially larger than the standard deviation of the samples (Table 1).
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19 MIS11.2 lasts for approximately 20 ka, between 414 – 394 ka BP. The start of the stage is
20 21 marked by a rapid, terminal decline in *S. exiguum*. This decline is associated with a
22 23 decline in overall diatom concentration back to < 240 x 10⁶ valves / g dry wt. Also of note
24 25 is a rapid increase in abundance of *Stephanodiscus binderanus*, which is likely to be
26 27 conspecific with the extant endemic *S. meyerii*. In this sequence this species only occurs
28 29 during MIS11.2. From c. 410 ka BP, there is an increasing trend towards higher
30 31 abundance of *S. distinctus* v. *distinctus* and *C. minuta*. The associated increase in diatom
32 33 concentration and BioSi reaches a peak for the sequence, of c. 570 x 10⁶ valves and 45%
34 35 respectively. $\delta^{18}\text{O}_{\text{diatom}}$ values also increase to +26.4‰ at c. 407 ka BP, coincident with
36 37 increases in BioSi. Between c. 407 ka BP to c. 398 ka BP, $\delta^{18}\text{O}_{\text{diatom}}$ values decline to
38 39 22.0‰, concomitant with an increase in contamination between c. 5% - 32%. However,
40 41 during the very latter stages of MIS11.2, $\delta^{18}\text{O}_{\text{diatom}}$ values increase once more to +26.1‰,
42 43 with very low levels of contaminating silt (<5%).
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246 The boundary between MIS11.2 and MIS11.1 occurs at c. 394 ka BP, and is marked by
247 sharp declines in both BioSi values and diatom concentration. These declines are
248 concomitant with declines in *S. distinctus*, although *C. minuta* actually increases to its
249 highest abundance of just over 100×10^6 valves / g dry wt sediment at c. 392 ka BP. The
250 date of 390 ka BP is significant in this profile, as it marks the time when BioSi levels
251 were at their lowest throughout the whole profile. There is also a marked decline in *C.*
252 *minuta*, and total diatom concentration is very low. Between c. 394 ka BP and 390 ka BP,
253 there is a significant decline in $\delta^{18}\text{O}_{\text{diatom}}$ values, reaching a low of +16.2‰ at 390 ka BP.
254 This is accompanied by an increase in contaminating silt to c. 20%.

255
256 The latter stages of MIS11 are characterized by a gradual transition into the glacial
257 conditions of MIS10. After the stadial period at c. 390 ka BP, BioSi-inferred productivity
258 during MIS11.1 exhibits a small but temporary increase between 390 ka BP – 380 ka BP.
259 During this period, valves of *S. distinctus* dominate the assemblage, and are gradually
260 replaced by valves of *C. minuta*. $\delta^{18}\text{O}_{\text{diatom}}$ values increase again to +24.7‰ at c. 384 ka
261 BP, before gradually declining to +14.5‰ at the top of this stage. This decline is
262 accompanied by increases in the level of contamination found in the samples, which
263 fluctuate markedly at the end of MIS11.1 and during MIS10.

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265 **4. Discussion**

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267 In this discussion we focus on the contribution to knowledge on hydrological stability in
268 Lake Baikal from the $\delta^{18}\text{O}_{\text{diatom}}$ records. Before interpreting the $\delta^{18}\text{O}_{\text{diatom}}$ records in a
269 palaeoclimatic context however, issues over $\delta^{18}\text{O}_{\text{diatom}}$ representivity need first to be
270 considered. Interpretations of changing $\delta^{18}\text{O}_{\text{diatom}}$ values determined from Lake Baikal

1 sediments rely on knowledge of the contemporary system and potential confounding
2 factors with the methodology for the technique (Morley et al., 2004). The principal
3 controls on $\delta^{18}\text{O}$ in the waters of Lake Baikal include relative inputs from northern basin
4 and southern basin rivers, changes in seasonal precipitation, atmospheric circulation
5 changes, temperature dependent $\delta^{18}\text{O}$ in precipitation, evaporative enrichment, and
6 glacier retreat resulting in increased amounts of depleted meltwater (Seal & Shanks,
7 1998; Morley et al., 2005). Mean $\delta^{18}\text{O}$ and δD values in each of the three main basins and
8 their associated rivers is summarised in Table 2. Lake water isotope values are extremely
9 consistent both across the length of the lake and at depth: $\delta^{18}\text{O} = -15.8 \pm 0.2\text{‰}$ (2σ); $\delta\text{D} =$
10 $-123 \pm 2\text{‰}$ (2σ) (Seal & Shanks 1998; Morley et al. 2005), confirming previous findings
11 that despite its large size, the lake is well mixed (Weiss et al. 1991). These findings have
12 important implications for palaeo studies because they indicate that $\delta^{18}\text{O}$ values will be a
13 weighted average of all input sources minus outputs (Morley et al. 2005). River inflow
14 accounts for c. 83% of annual hydrological input into lake, with precipitation accounting
15 for c. 16% (Gronskaya & Litova 1991). As highlighted above, the Selenga River which
16 flows into the south and central basins is by far the dominant source of water into the
17 lake, and therefore changes in relative input between the Selenga and rivers which flow
18 into the north basin (c. 20% of total fluvial input) is likely to be one of the dominant
19 controls on varying $\delta^{18}\text{O}$ values through time (Morley et al. 2005). The remaining rivers
20 which flow into the south and middle basin account for c. 7% and 11% of total fluvial
21 input respectively.

22

23 *Confounding factors which can affect $\delta^{18}\text{O}_{\text{diatom}}$ values*

1 294 Three factors which can have important impacts on the $\delta^{18}\text{O}_{\text{diatom}}$ values, as applied to
2 295 Lake Baikal sediments include: (i) contamination from other oxygen-bearing minerals in
3 the sediment; (ii) diatom dissolution; and (iii) vital effects from changing diatom
4 296 communities. As highlighted above, contamination is probably the major problem in
5 palaeo studies exploiting the $\delta^{18}\text{O}_{\text{diatom}}$ technique. In our methodology we have tried to
6 297 minimise contamination through careful development of the diatom cleaning procedure,
7 and the application of a mass-balance approach to take account of the relative abundance
8 300 of potentially contaminating grains in the sediment samples. In our interpretation, we take
9 301 account of contamination by plotting up the record of % contamination alongside the
10 302 $\delta^{18}\text{O}_{\text{diatom}}$ record (Fig 3). It is fair to say that when levels of contamination are high, we
11 303 have less confidence in the accuracy of the $\delta^{18}\text{O}_{\text{diatom}}$ record, and this is borne out by
12 304 recent work published in this volume by Brewer et al.. They found that a decline in
13 305 $\delta^{18}\text{O}_{\text{diatom}}$ values (associated with high abundances of contaminating grains) during the
14 306 Younger Dryas in Lake Baikal was significantly over-estimated, and could be
15 307 compensated for using elemental geochemistry. These recent findings, together with the
16 308 observation that all $\delta^{18}\text{O}_{\text{diatom}}$ peaks coincide to some extent with minima in
17 309 contaminating silt, are borne in mind when interpreting the profiles presented here.

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19 312 In Lake Baikal, although diatoms dominate sedimentary assemblages during interglacials,
20 313 diatom dissolution at the sediment-surface water interface is still a significant process.
21 314 Only c. 1% of valves present in the lake's water column are preserved in the sedimentary
22 315 record (Ryves et al. 2003). Once incorporated into the sediments, dissolution of diatom
23 316 silica continues into the pore-waters, until saturation is reached, thereby buffering against
24 317 further dissolution (Conley & Schelske 1989; Carter & Colman 1994). There is the

1 possibility therefore that dissolution of diatom silica may also influence $\delta^{18}\text{O}_{\text{diatom}}$ values.
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3 For example, dissolution preferentially removes certain species from the sedimentary
4 assemblage, and *Synedra* spp have been shown to be more susceptible to dissolution than
5 *Cyclotella* spp. (Battarbee et al. 2005). Theoretically this could influence the isotope
6 signal by biasing potential vital effects, although such vital effects are likely to be smaller
7 than overall analytical error (Leng & Barker (2006). A recent experimental study on the
8 direct impacts of diatom valve dissolution on $\delta^{18}\text{O}_{\text{diatom}}$ found that while enrichment of
9 $\delta^{18}\text{O}_{\text{diatom}}$ values occurred when dissolution took place at high pH (pH 9.0), there was no
10 significant change on $\delta^{18}\text{O}_{\text{diatom}}$ values at near neutral pH (Moschen et al. 2006). The pH
11 of Lake Baikal is generally 7.1-7.2 (Votintsev, 1961). It is likely therefore that dissolution
12 will have had at most a minor effect on the $\delta^{18}\text{O}_{\text{diatom}}$ values observed, although direct
13 evidence is still needed from experimental studies.
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32 The impact of vital effects on $\delta^{18}\text{O}$ values is rather less well known for diatoms than
33 some other organisms. Increasingly however, studies that have been carried out suggest
34 the impact of differential species fractionation is probably very limited (e.g. Shemesh et
35 al. 1995; Swann et al. 2006), and within the range of reproducibility that can be achieved
36 using fluorination techniques (Swann et al. 2007). Recently, Swann et al. (2007) suggest
37 that size of diatom may be an important factor in influencing $\delta^{18}\text{O}_{\text{diatom}}$ values. In the
38 MIS11 sequence from Lake Baikal, the sizes of dominating diatoms in the core are very
39 similar (unlike for example, the MIS5e sequence, which is dominated by the very large
40 *Stephanodiscus grandis* (Rioual & Mackay 2005)). Also relevant to this study is the fact
41 that many of the species represented in the core are now extinct, limiting any further
42 interpretation on the possible role of vital effects, at least for this particular sequence.
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2 343 *Reconstructing hydrological variability in Lake Baikal during MIS11*
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4 344 Because of low eccentricity and damped precessional amplitude MIS11.3 (c. 427 - 414
5 ka BP) does not end abruptly in a glacial stage (Petit et al., 1999). Instead, high levels of
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7 345 BioSi and $\delta^{18}\text{O}_{\text{diatom}}$ values extend through MIS11.2 until c. 394 ka BP, i.e. warm,
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9 346 interglacial conditions persisted in central Asia for c. 32 ka (Karabanov et al., 2003) (Fig
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11 347 3). This is much longer than subsequent Lake Baikal interglacials (Williams et al., 1997)
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13 348 and is comparable to, for example, the longest interval of reduced millennial-scale SST
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15 349 variability determined in North Atlantic ocean sediments over the last 0.5 million years
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17 350 (McManus et al. 1999).
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353 Highest $\delta^{18}\text{O}_{\text{diatom}}$ value of +27.7‰ for the whole sequence is found during MIS11.3 at c.
354 420 ka BP, suggesting that hydrological input into Lake Baikal was dominated by the
355 Selenga and other rivers flowing into the south basin. At this time, diatom assemblages
356 are dominated by *Stephanodiscus distinctus* and its varieties (Fig 3). *Stephanodiscus*
357 species normally prefer low Si:P ratios. This will occur when nutrient dynamics are
358 dominated by circulation, promoting a long period of spring overturn and large release of
359 nutrients from bottom sediments / or the hypolimnion, i.e. as brought about when the
360 Siberian High is weak, together with strengthened influence of the Westerlies. All these
361 data suggest a period of prevailing humid climate. However, the decline in $\delta^{18}\text{O}_{\text{diatom}}$
362 values also coincides with small, but distinct increases in *Synedra* spp, benthic taxa and a
363 small increase in contamination. Previous palaeo studies have suggested that increases in
364 *Synedra* can be interpreted as being associated with increased concentrations of silica,
365 related to increased catchment run-off and river discharge (Bradbury et al. 1994).
366 Moreover, as the Academician Ridge is an isolated high, for benthic taxa to increase

1 would have needed a substantial increase in lake circulation, perhaps enhanced by
2 increased storminess, to bring these littoral taxa into this pelagic region. This process may
3 also account for the increase in silt particles. A more rigorous approach to the assessment
4 of contamination using geochemical techniques, as outlined by Brewer et al. (this
5 volume), could help to address the pattern of observed $\delta^{18}\text{O}_{\text{diatom}}$ values here. The
6 $\delta^{18}\text{O}_{\text{diatom}}$ record provides some evidence that prevailing warm, humid climates
7 dominated in this region of central Asia. However, possible impacts from increased
8 contamination brought about by these conditions needs further work.

375

376 At the transition between MIS11.3 and MIS11.2 (at c. 414 ka BP), the decline in
377 $\delta^{18}\text{O}_{\text{diatom}}$ values of about 5‰ is concurrent with a small decline in BioSi and shift in
378 species dominance from the extinct endemic *S. exiguum* to the extant species *S.*
379 *binderanus*. The transition between MIS11.3 and MIS11.2 therefore is marked by a
380 relative increase in hydrological input into Lake Baikal from rivers flowing into the north
381 basin, such as the Upper Angara, and a concomitant reduction in south basin river
382 discharge, especially the Selenga. This is associated with the onset of cooler temperatures
383 and a small decline in diatom productivity in Lake Baikal. This pattern is repeated at c.
384 398 ka BP. These small but distinct fluctuations are similar in scale to those determined
385 in Holocene $\delta^{18}\text{O}_{\text{diatom}}$ records (Morley et al. 2005; Mackay 2007). Even using improved
386 geochemical techniques, when contamination in the Holocene core is small, shifts in
387 $\delta^{18}\text{O}_{\text{diatom}}$ values are preserved (Brewer et al. this volume), suggesting that these are real
388 responses to changes in climate.

389

Throughout MIS11.2 (c. 414 ka BP – 394 ka BP), $\delta^{18}\text{O}_{\text{diatom}}$ values fluctuate between c. +22 to +27‰. At this time, *S. binderanus* dominates the diatom assemblage, which grows best in conditions that promote strengthened water circulation and nutrient regeneration in the lake (Mackay et al., 2006). The strength of the Westerlies must have been very significant during this period because abundances of *S. distinctus* also increase during this time. Furthermore, an increasing abundance of *C. minuta* (which blooms in the autumn) indicates strengthened circulation later in the year. BioSi values are at their highest during MIS11.2, which Karabanov et al. (2003) suggest indicate a slightly warmer climate than MIS11.3. Mean $\delta^{18}\text{O}_{\text{diatom}}$ values for both MIS11.3 and MIS11.2 are very similar (Table 1), but given that these values are controlled by hydrological inputs, we would not necessarily expect them to differ with respect to relatively minor changes in temperature variability.

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403 *Isotopic evidence for an abrupt cooling event associated with millennial-scale variability*
404 After c. 394 ka BP, values of BioSi, total diatoms and $\delta^{18}\text{O}_{\text{diatom}}$ decline markedly,
405 delimiting the boundary between MIS11.2 and MIS11.1. Of note, the decline in $\delta^{18}\text{O}_{\text{diatom}}$
406 values lags the BioSi decline by c. 3 ka. This is likely related to the fact that biological
407 productivity in the lake shows a much more rapid response to changing climate than the
408 responses of changing hydrological sources and catchment processes, which drive
409 declines in $\delta^{18}\text{O}_{\text{diatom}}$ values. This transition is marked therefore by the onset of cooler
410 temperatures and declining productivity in Lake Baikal. This is also reflected in the
411 diatom assemblage composition, as now only the autumnal blooming taxon *C. minuta* is
412 dominant between 393 – 390 ka BP, followed by a decline in abundance of all diatoms.
413 The extent of the $\delta^{18}\text{O}_{\text{diatom}}$ decline between c. 396 ka BP and 390 ka BP is significant,

1 +26‰ to +16‰, although it should be noted that the lowest value of +16‰ is based on a
2 single sample. This decline is similar in scale to that which occurred during the Younger
3 Dryas stadial, when values fell from c. +26‰ to +14‰ (Morley et al., 2005). Brewer et
4 al. (this volume) have significantly reduced the extent of the range of the decline in
5 $\delta^{18}\text{O}_{\text{diatom}}$ values during the Younger Dryas by compensating for high levels of
6 contamination in the samples of up to 45% (Morley et al. 2005). In this study however,
7 the extent of contamination is much less (Fig 3) and therefore any modification of the
8 $\delta^{18}\text{O}_{\text{diatom}}$ record at this time will likely be reduced as well. The occurrence of stadial
9 conditions in central Asia is supported by the core lithology, which documents significant
10 changes in the Lake Baikal catchment (Karabanov et al. 2003). Minerogenic-rich
11 sediments dominate the sequence, although the absence of ice-rafted debris material at
12 this time suggests that this glaciation was limited to the mountain ranges surrounding
13 Lake Baikal (in contrast to sediments deposited during MIS12 and MIS10).
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428 The occurrence of stadial conditions during MIS11 has been found in many archives
429 around the world. For example, Antarctic temperatures fell between c. 402 ka BP – 388
430 ka BP, together with marked declines in greenhouse gas concentrations at both Vostok
431 (Petit et al., 1999) and Dome C (Spahni et al., 2005). In the North Atlantic, there is a
432 substantial increase in ice-rafted debris (IRD), the first since at least 420 ka BP. This is
433 indicative of a major increase in iceberg discharge, resulting in a slowdown in North
434 Atlantic thermohaline circulation and southwards penetration of cooler, polar waters
435 (McManus et al., 1999). Such THC responses are likely to have been responsible for the
436 very large increase in polar foraminifera assemblages found off the Iberian Peninsula (de
437 Abreu et al., 2005). An abrupt cooling episode is also expressed as a sandy loess layer

438 intercalated within the S4 soil sequence from Shimao, at the northern edge of the Chinese
439 Loess plateau on the border of the Mu Us desert (Sun et al., 1999).

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The decline in $\delta^{18}\text{O}_{\text{diatom}}$ values to a minimum at c. 390 ka BP can be interpreted as a relative increase in northern basin river discharge (which is fed predominately by isotopically-low winter precipitation) and a concomitant reduction in south basin river discharge, especially the Selenga. These shifts in fluvial patterns are consistent with shifts in atmospheric circulation over central Asia during periods of intense cold resulting from the slowing down in the North Atlantic THC. Subsequent, extended Eurasian spring snow cover extent results in the weakening of the East Asian monsoon and a strengthening of the Siberian High, which causes increased aridity across the catchment of rivers to the south of Lake Baikal, including the Selenga (Bartlett et al., 1988; Lui & Yanai, 2002). Future work ought to be able to expand on this hypothesis. For example, the expansion of steppe-like vegetation communities in this region is indicative of cooler and drier conditions (e.g. Demske et al. 2005). Such changes ought to be reflected in the pollen record, which for MIS11 in Lake Baikal is currently being investigated.

454

455 *Slow growth of northern hemisphere ice sheets*

456 Previous interpretations of Lake Baikal palaeoclimate during the latter stages of MIS11
457 concluded that biogenic silica concentrations mirrored the slow growth of northern
458 hemisphere ice sheets (Karabanov et al., 2003). However, while BioSi content in Lake
459 Baikal sediments was closely associated with changes in insolation up to c. 390 ka BP,
460 for the remainder of MIS11 this association is much weaker. We are unable to state at this
461 stage if this is a decoupling of the Lake Baikal's BioSi production response to insolation,
462 but a recent study has shown that in pelagic regions of Lake Baikal, dissolution has a

1 relatively greater impact on BioSi records during cooler, interstadial conditions (Swann
2 & Mackay 2006). The diatom assemblage at this time is dominated by the autumnal *C.*
3 *minuta*, highlighting the potential suppression of spring-blooming diatoms by extended
4 ice and snow cover on Lake Baikal during periods of cooler climate (Mackay et al.,
5 2005).
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14 469 From c. 380 ka BP to the MIS11-10 boundary, BioSi values decline to almost glacial
15 levels. However, $\delta^{18}\text{O}_{\text{diatom}}$ values show a markedly different response with a return to
16 relatively high values of c. +26‰ at 386 ka BP, but then values gradually decline to c. +
17 15‰ at the end of MIS11 (Fig 3). Other records also show evidence of gradual cooling in
18 the latter half of MIS11, such as increasing relative abundance of polar foraminifera in
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20 470 North Atlantic marine sediments (de Abreu et al., 2005), that are linked to slow ice sheet
21 growth in the northern hemisphere.
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33 472 During the latter stages of MIS11 in Lake Baikal, notable minima in $\delta^{18}\text{O}_{\text{diatom}}$ values
34 occur. However, during this period the mean proportion of silt contamination is also
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36 473 much higher (Table 1). The amplitude of the changes in $\delta^{18}\text{O}_{\text{diatom}}$ values during MIS11.1
37 are greater than those measured during peak interglacial conditions MIS11 3/2, perhaps
38 suggesting a higher degree of instability in the climate system (Karabanov et al., 2003).
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40 474 However, the extent of contamination is also greater during MIS11.1 than during the
41 previous interglacial (Table 1), thus highlighting the need for further improvements to be
42 made to obtaining $\delta^{18}\text{O}_{\text{diatom}}$ values which accurately take account of levels of persistent
43 contamination (Brewer et al. this volume).
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488 **4. Conclusions**

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490 In this study we report on additional analyses performed on BDP-96 material from the
491 Academic Ridge. Previously, biogenic silica and diatom analyses demonstrated that
492 interglacial glacial climate persisted in central Asia for over 32 ka, longer than anytime in
493 the last 450 ka (Karabanov et al. 2003). $\delta^{18}\text{O}_{\text{diatom}}$ analyses of the same sediments
494 highlight that over this period, hydrological input into the lake was dominated by rivers
495 flowing into the south basin, especially the Selenga. There is distinct variability in the
496 $\delta^{18}\text{O}_{\text{diatom}}$ record at this time. However, all fluctuations in $\delta^{18}\text{O}_{\text{diatom}}$ values are consistent
497 with small fluctuations in relative contamination, limiting further palaeoclimatic
498 interpretation. At c. 390 ka BP, the $\delta^{18}\text{O}_{\text{diatom}}$ record highlights that during this stadial
499 period, river inflow from the north of Lake Baikal became more important. This was
500 presumably due to intensification of the Siberian High, linked to iceberg discharge into
501 the North Atlantic ocean. Biogenic silica, diatom and $\delta^{18}\text{O}_{\text{diatom}}$ records all show a shift to
502 interstadial conditions during the early stages of MIS11.1, followed by slow decline in
503 values, mirroring the slow increase in extent of northern hemisphere ice sheets.
504 Contamination of the $\delta^{18}\text{O}_{\text{diatom}}$ record occurs throughout the whole profile, and becomes
505 especially significant during the latter stages of MIS11.1 and at the MIS11 / MIS10
506 transition.

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1 512 **Acknowledgements**
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For Peer Review

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720721 **Figure legends:**

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723 **Fig 1**724 Mean sea level pressure (hPa) across central Asia in (a) July and (b) January. Lake Baikal
725 is indicated and the coring location of BDP-96-2 highlighted (τ). Maps adapted from
726 Lydolph (1977).

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728 **Fig 2**729 Map of Lake Baikal, showing sites BDP-96 on the Academician Ridge and CON-01-605-
730 3 on the Vydrino Shoulder in the south basin.

731

732 **Fig 3**733 Sediment archive BDP-96-2 from Lake Baikal showing (in order): total diatom
734 concentration; diatom concentrations for selected major species (*Stephanodiscus*
735 *distinctus*, *Stephanodiscus binderanus*, *Stephanodiscus binderanoides* *Stephanodiscus*
736 *exiguus*, *Cyclotella minuta*, *Aulacoseira skvortzowii*, *Synedra* spp, total benthic taxa); %
737 biogenic silica; BDP-96-2 mass-balanced $\delta^{18}\text{O}_{\text{diatom}}$ record; contamination record of
738 clays and silt (used in mass-balance calculation; lithology (from Karabanov et al. 2003).
739 MIS11 boundary is delimited by black, solid line at 427 ka BP and 362 ka BP.
740 MIS11.3/2 interglacial boundary and MIS11.2/1 boundary are delimited by dashed, black
741 line. The lithology during MIS12 is characterized by glacial clays (vertical lines), MIS11
742 characterized by diatomaceous sediments (short vertical dashes), and MIS10

1 743 characterized by clays with diatoms present. Note that the dashed lines for *A. skvortzowii*,
2 744 *Synedra* spp and total benthic taxa are exaggerated by x 50.

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Table 1:

Mean and standard deviations of oxygen isotope values and silt contamination for marine isotope stages highlighted in the text

Stage	Mean $\delta^{18}\text{O}_{\text{diatom}}$ values (‰)	1 σ $\delta^{18}\text{O}_{\text{diatom}}$ values (‰)	Mean % silt	1 σ % silt
MIS10 (n = 5)	16.2	3.6	48.8	33.8
MIS11.1 (n = 18)	20.3	3.6	26.0	20.4
MIS11.2 (n = 12)	24.3	1.4	13.1	7.6
MIS11.3 (n = 6)	24.1	2.2	10.1	8.9
MIS11.2+3 (n = 18)	24.2	1.6	12.6	7.9
MIS1 (n = 81)	25.5	2.5	6.6	5.4

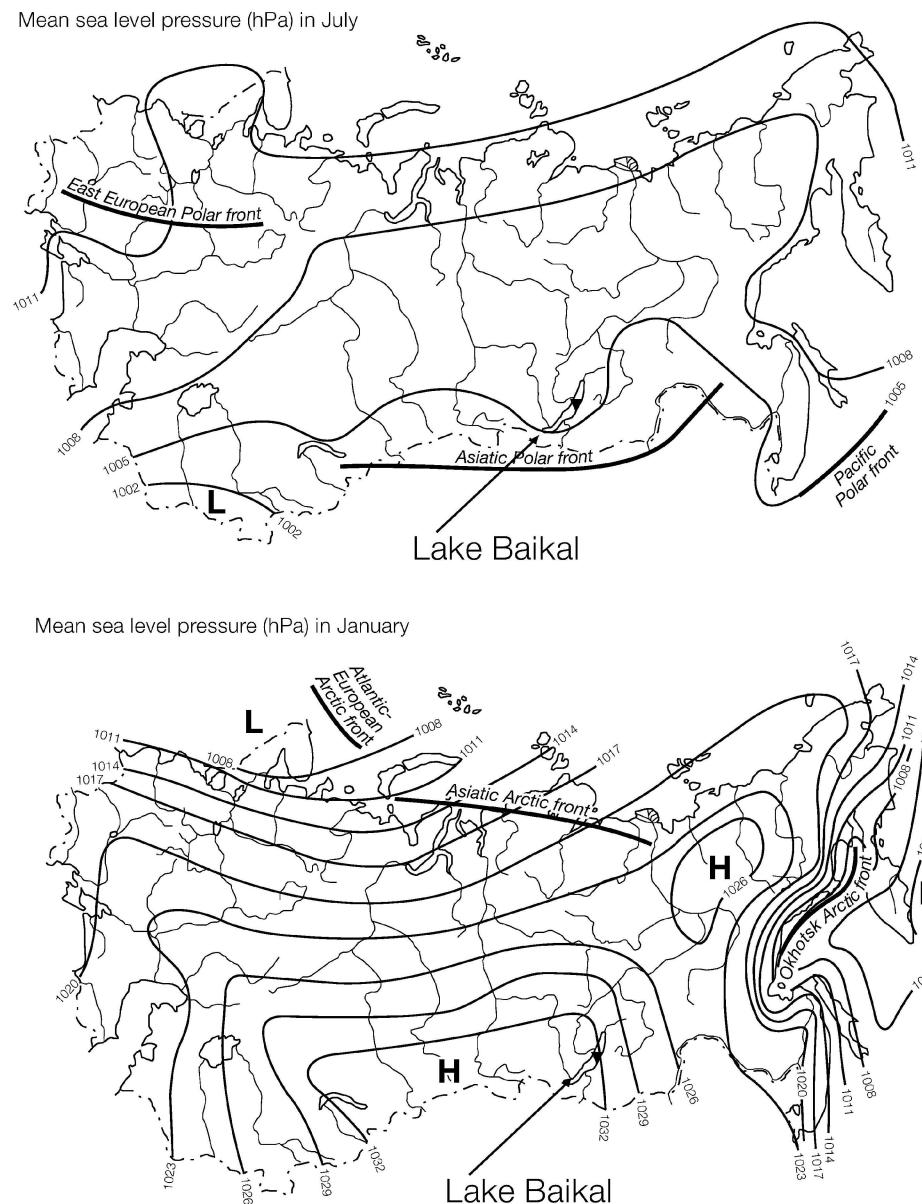
Table 2:

Summary table showing mean $\delta^{18}\text{O}$ and $\delta\text{D} \pm 2\sigma$ (‰ vs. SMOW) values for the north, central and south basin lake water and associated river input. Values come from two main sources: Seal and Shanks (1998)¹ and Morley et al. (2005)².

Site	Date	$\delta^{18}\text{O}$	δD
North basin ¹	June 1992	-15.8 \pm 0.1	-124 \pm 1
Central basin ¹	June 1992	-15.9 \pm 0.1	-123 \pm 2
Central basin ¹	July 1992	-15.8 \pm 0.9	-123 \pm 3
South basin ¹	June 1992	-15.8 \pm 0.1	-123 \pm 2
South basin ²	April 2000	-15.9 \pm 0.1	-123 \pm 2
South basin ²	July 2000	-15.7 \pm 0.1	-122 \pm 1
South basin ²	March 2001	-15.8 \pm 0.1	-125 \pm 1
North basin rivers ¹	1991-1992	-20.4 \pm 2.2	-151 \pm 13
Central basin rivers ¹	1991-1992	-17.6 \pm 3.7	-132 \pm 21
South basin rivers ¹	1991-1992	-15.9 \pm 4.9	-120 \pm 31

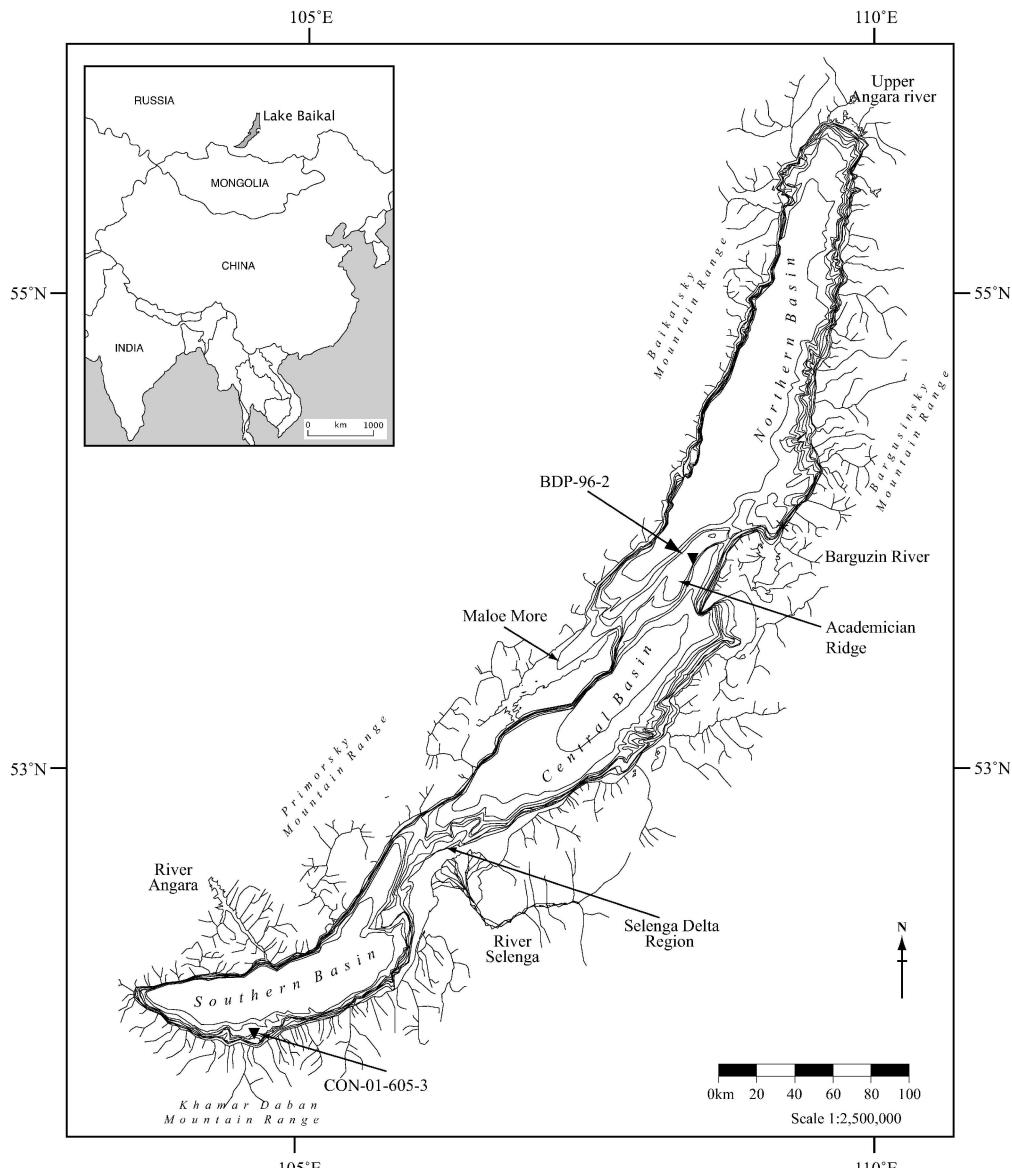
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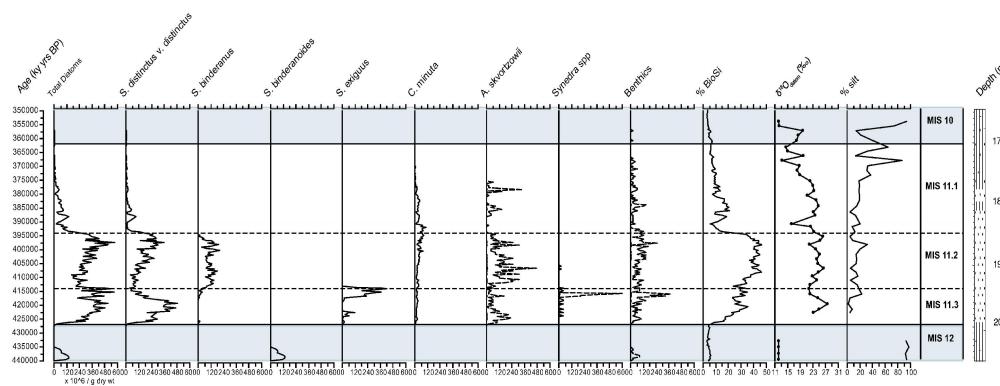
Mean sea level pressure (hPa) across central Asia in (a) July and (b) January. Lake Baikal is indicated and the coring location of BDP-96-2 highlighted (τ). Maps adapted from Lydolph (1977).

130x168mm (600 x 600 DPI)



Map of Lake Baikal, showing sites BDP-96 on the Academician Ridge and CON-01-605-3 on the Vydrino Shoulder in the south basin.

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Sediment archive BDP-96-2 from Lake Baikal showing (in order): total diatom concentration; diatom concentrations for selected major species (*Stephanodiscus distinctus*, *Stephanodiscus binderanus*, *Stephanodiscus binderanoides* *Stephanodiscus exiguus*, *Cyclotella minuta*, *Aulacoseira skvortzowii*, *Synedra spp*, total benthic taxa); % biogenic silica; BDP-96-2 mass-balanced $\delta^{18}\text{O}$ diatom record; contamination record of clays and silt (used in mass-balance calculation; lithology (from Karabanov et al. 2003). MIS11 boundary is delimited by black, solid line at 427 ka BP and 362 ka BP. MIS11.3/2 interglacial boundary and MIS11.2/1 boundary are delimited by dashed, black line. The lithology during MIS12 is characterized by glacial clays (vertical lines), MIS11 characterized by diatomaceous sediments (short vertical dashes), and MIS10 characterized by clays with diatoms present. Note that the dashed lines for *A. skvortzowii*, *Synedra spp* and total benthic taxa are exaggerated by x 50.

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