

1 **Development of an observational measure of social disinhibition after traumatic brain**
2 **injury**

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29

30 Abstract

31 Introduction: This study aimed to validate a new observational measure of socially
32 disinhibited behaviour for use in a population of individuals with traumatic brain injury
33 (TBI).

34 Method: Participants were twenty-two adults with severe TBI (mean age of 50.45 years) and
35 21 healthy comparison participants (mean age 45.29 years). Ratings of observed social
36 disinhibition were correlated with the disinhibition domain scores of the Neuropsychiatric
37 Inventory (NPI-D) and with Sydney Psychosocial Reintegration Scale (SPRS) scores. A
38 regression analysis was undertaken to determine whether formal measures of disinhibition
39 could predict observed disinhibition.

40 Results: The inter-rater absolute agreement for the social disinhibition ratings was good,
41 ICC=.69. Participants with TBI were rated as significantly more disinhibited than comparison
42 participants, $t(25.05)=-2.07, p=.049$. The ratings were positively correlated with the NPI
43 frequency score ($r=.45, p=.038$) and distress score ($r=.45, p=.035$). The ratings were not
44 related to change in employment or in interpersonal relationships on the SPRS and formal
45 measures of disinhibition were unable to predict observed social disinhibition.

46 Conclusions: This study demonstrates good inter-rater reliability and construct validity of the
47 observational measure. The results evidence the usefulness of this measure and the NPI-D for
48 detecting social disinhibition after TBI.

49 *Keywords:* social disinhibition, traumatic brain injury (TBI), observational measure,
50 Neuropsychiatric Inventory (NPI)

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53 Disruption to social competence after traumatic brain injury (TBI) is often reported to
54 be the most disabling and distressing outcome for family and for the community (Brooks &
55 McKinlay, 1983; McKinlay, Brooks, Bond, Martinage, & Marshall, 1981). A particularly
56 debilitating behaviour change commonly reported after a TBI is social disinhibition (Bigler,
57 1989; Goldstein, 1952; Jennett & Teasdale, 1981; Lezak & O'Brien, 1988; Prigatano,
58 Pepping, & Klonoff, 1986), which can be defined as “socially inappropriate verbal, physical
59 or sexual acts which reflect a loss of inhibition or an inability to conform to social or cultural
60 behavioural norms”(Arciniegas & Wortzel, 2014, p. 39). Social disinhibition likely results in
61 difficulty maintaining social relationships post-injury, which may lead to social isolation and
62 psychiatric illness such as depression and anxiety (Brooks, Campsie, Symington, & Beattie,
63 1987; Gould, Ponsford, Johnston, & Schonberger, 2011; Hoofien, Gilboa, Vakil, &
64 Donovan, 2001; Lezak & O'Brien, 1988; Malia, Powell, & Torode, 1995; Morton &
65 Wehman, 1995; Ponsford, Olver, & Curran, 1995; Winkler, Unsworth, & Sloan, 2006). In
66 fact, lack of social connectedness has been reported as the most disabling handicap in daily
67 life 10-15 years post injury (Thomsen, 1984). This loss of social contact has also been found
68 to predict a decrease in life satisfaction for the individual with TBI (Koskinen, 1998). A
69 recent study found that disinhibition was related to suicidal endorsement after TBI at both six
70 and 12 months post injury (Juengst, Kumar, Arenth, & Wagner, 2014). As well as suffering
71 problems with long-term social reintegration, those with social disinhibition may also
72 encounter vocational and legal problems as a result of their social dysfunction (Malia et al.,
73 1995).

74 Social disinhibition after TBI also has important implications for the caregiver. One
75 study, for instance, found that in mothers of individuals with TBI, higher emotional distress
76 was observed in those who reported poorer emotional control in their sons on the Current
77 Behaviour Scale (Kinsella, Packer, & Olver, 1991). Brooks and McKinlay (1983)

78 demonstrated that those carers reporting more burden rated their relative with a TBI as more
79 childish compared with pre-injury. In fact, behavioural changes such as disinhibition are
80 often reported to be a greater burden to caretakers than physical or cognitive impairments
81 (Brooks et al., 1987; Kinsella et al., 1991).

82 Although the burden of social disinhibition following TBI has been well documented,
83 the prevalence of this debilitating syndrome has been difficult to ascertain due to an
84 inconsistency of measurement across studies. Early studies investigating the psychosocial
85 sequelae of TBI reported rates between 30 and 80% of behaviours characteristic of a
86 disinhibition syndrome, such as “childishness” and “talking too much” (Brooks et al., 1987;
87 Oddy, Coughlan, Tyerman, & Jenkins, 1985; Ponsford et al., 1995; Thomsen, 1984). Most
88 recently, three studies have employed the Neuropsychiatric Inventory (NPI; Jeffrey L
89 Cummings, 1997) to determine rates of a range of neurobehavioural problems in groups of
90 individuals with TBI. The NPI was originally developed for use in dementia patients and
91 utilises informant ratings to evaluate neurobehavioral disturbances across 12 domains. The
92 disinhibition domain of the NPI (NPI-D) measures the presence of 7 disinhibited behaviours
93 which are commonly described after TBI, as well as assessing the frequency and severity of
94 these symptoms and the level of distress they cause the informant. Studies using the NPI in
95 groups of people with moderate to severe TBI have found rates of 22%, 28% and 32% of
96 disinhibition (Cantagallo & Dimarco, 2002; Ciurli, Formisano, Bivona, Cantagallo, &
97 Angelelli, 2011; Monsalve, Guitart, Lopez, Vilasar, & Quemada, 2012). These studies
98 represent the best estimates of the frequency of social disinhibition after TBI and have
99 demonstrated the usefulness of the NPI for detecting the disinhibition syndrome in
100 populations of individuals with TBI.

101 Typically, studies that have examined social disinhibition in people with TBI have
102 utilised self-report or informant-rated measures. These methods of measurement may

103 represent unreliable and invalid indicators of behaviour as they are subject to the biases of the
104 patient and the informant. Self-report measures of social behaviour may be impacted by
105 patient's cognitive deficits such as memory or language disturbances or difficulty with self-
106 monitoring and self-awareness (Ciurli et al., 2011). Informant-report questionnaires, which
107 represent the most common method of measuring social disinhibition after TBI, can be
108 influenced by the personality structure or mood state of the informants (Milders, Fuchs, &
109 Crawford, 2003; Prigatano, 1992). As an alternative, observational measures overcome these
110 pitfalls of self- and informant-ratings of behaviour and represent a reliable and ecologically
111 valid method of quantifying social disinhibition after TBI. A number of studies have used
112 observational measures to assess social behaviour in people with TBI. These studies,
113 however, have tended to focus on impairments in a broad range of social skills and pragmatic
114 language use, rather than social disinhibition specifically. Evenso, a number of the specific
115 behaviours measured in these studies do overlap with behaviours characteristic of a social
116 disinhibition syndrome, such as inappropriate topic of conversation, self-disclosure and
117 humour. In one study, for example, subjects were rated on an interaction with an opposite-sex
118 stranger by two clinical psychologists on the revised Behavioural Referenced Rating System
119 of Intermediate Social Skills (BRISS-R; Marsh & Knight, 1991). Participants with TBI were
120 rated as less appropriate on the partner-directed behaviour scale, which measured self-centred
121 behaviour and partner involvement. In another study, participants with TBI were rated using
122 the BRISS-R scales during a videotaped interaction with a confederate (McDonald, Flanagan,
123 Martin, & Saunders, 2004). This study found that while means for the BRISS scales fell
124 within the normal range, the large range of scores on the "use of humour", "egocentric
125 behaviour" and "partner involvement" scales indicated that a number of individuals with TBI
126 were interacting in a socially inappropriate manner. In another study, 15 minute interactions
127 with a stranger were rated in terms of the aptness of the subject's contribution to the

128 conversation, including appropriateness of subject matter and how personal or impersonal the
129 content was (Bond & Godfrey, 1997). The group of participants with TBI was rated as less
130 appropriate than the orthopaedic controls. Finally, Votruba et al. (2008) concluded that
131 behavioural observation is required to identify disinhibition in the presence of global deficits,
132 since neuropsychological tests had poor specificity. Overall, while the observational
133 measures used to date have demonstrated aberrant social behaviour among participants with
134 TBI, they have not been designed specifically to reflect social disinhibition after TBI.

135 More recently, observational measures targeting social disinhibition more specifically
136 have been used in patients with lesions of the orbitofrontal cortex. Such lesions are known to
137 cause a disinhibition syndrome similar to what is commonly reported after a TBI (Blair &
138 Ciolotti, 2000; Namiki et al., 2008). In a study by Beer, Heerey, Keltner, Scabini, and
139 Knight (2003) orbitofrontal patients were provided with emotional terms and were asked to
140 provide an example of an occasion on which they had felt that particular emotion. Patients
141 with orbitofrontal lesions disclosed unnecessarily intimate details about this past experience
142 compared with controls. This group were also rated as exhibiting more inappropriately
143 intimate and hostile 'teasing behaviour' when asked to generate a nickname for an unfamiliar
144 person. In another study, Beer, John, Scabini, and Knight (2006) had expert judges rate
145 transcripts of a structured conversation in which orbitofrontal patients were asked a
146 predetermined set of 9 questions by an experimenter. Patients with orbitofrontal damage were
147 rated as self-disclosing more inappropriately than were patients with lateral prefrontal lesions
148 and healthy controls. These studies demonstrate that socially disinhibited behaviours can be
149 observed and reliably assessed in the laboratory. However, this observational measure has not
150 yet been used in a TBI population.

151 The current study aimed to validate a modified version of the observational measure
152 of socially disinhibited behaviour developed by Beer et al. (2006) for use in a population of

153 individuals with TBI. It was hypothesised that the ratings of observed social disinhibition in
154 the laboratory would correlate with informant ratings of socially disinhibited behaviours on
155 the NPI-D. The study also aimed to determine whether this observational measure could
156 predict interpersonal and occupational outcomes for people with a TBI measured by
157 informant ratings on the Sydney Psychosocial Reintegration Scale (SPRS; Tate, Hodgkinson,
158 Veerabangsa, & Maggiotto, 1999). Since social disinhibition is known to have a deleterious
159 effect on employment status and on interpersonal relationships, it was hypothesised that
160 ratings of observed social disinhibition would predict negative change on these variables
161 since time of injury.

162 The current study further aimed to determine whether neuropsychological measures
163 might predict social disinhibition after TBI. Case studies of individuals with acquired social
164 disinhibition have often reported normal performance on a range of neuropsychological tests
165 (Cicerone & Tanenbaum, 1997; Damasio, Grabowski, Frank, Galaburda, & Damasio, 1994;
166 Eslinger & Damasio, 1985). Tate (1999) however, found that participants with TBI who were
167 impaired on a rule breaking variable, which was comprised of rule breaks on a verbal fluency
168 and a design fluency task, had higher Loss of Emotional Control scores on the Current
169 Behaviour Scale. Further, McDonald, Hunt, Henry, Dimoska-Di Marco, and Bornhofen
170 (2010) found that, in participants with TBI, disinhibition measured by Hayling Sentence
171 Completion Test and Trail Making Test errors was associated with emotional disinhibition
172 measured using anger ratings after watching anger-inducing film clips. In contrast, though,
173 Milders et al. (2003) found no association between rule break errors on a fluency task and
174 socio-emotional functioning measured by a number of informant-report questionnaires. Thus,
175 there is some evidence for the ability of formal measures of disinhibition to predict
176 disinhibited behaviour. No studies, however, have examined whether neuropsychological
177 measures of disinhibition can predict observed disinhibition in a social context. In line with

178 this past research, it was predicted that in the TBI group performance on neuropsychological
179 tasks reflecting disinhibition (the Hayling Sentence Completion Task and Controlled Oral
180 Word Association Task) would predict greater observed disinhibition, while scores on
181 neuropsychological tasks reflecting other aspects of executive function (processing speed and
182 working memory) would not.

183 **Methods**

184 **Participants**

185 Twenty-two adults (17 males) who had sustained a severe traumatic brain injury of
186 mean age 50.45 years ($SD=14.30$, range: 24 to 69) with an average of 12.8 years of formal
187 education ($SD=2.37$, range: 9 to 17) participated. Participants were recruited from the
188 outpatient records of three metropolitan brain injury units in Sydney. Included participants
189 met the following criteria: they had sustained a severe TBI resulting in at least one day of
190 altered consciousness (Russell & Smith, 1961), were discharged from hospital and living in
191 the community, and were proficient in English. Prior to the TBI, the participants had been
192 employed in occupations ranging from unskilled ($n=3$) to skilled trade ($n=1$), professional or
193 managerial ($n=10$), business owner ($n=2$), and study ($n=6$). The participants with TBI had
194 experienced post-traumatic amnesia (PTA) ranging from 2 to 189 days ($M= 64.57$, $SD=$
195 46.52), and participated in the study from 2 to 45 years post-injury ($M= 14.95$, $SD= 12.04$).
196 PTA scores were obtained from patient medical records with an exception of one participant
197 whose records were unavailable. In this case, the injury was recorded as severe because coma
198 duration exceeded 24 hours (Corrigan, Selassie, & Orman, 2010). The participants' injuries
199 were sustained as a consequence of motor vehicle and motorbike accidents ($n=13$), falls
200 ($n=7$), assaults ($n=1$) and occupational injury ($n=1$). CT scans acquired from medical records
201 revealed that the injuries sustained by the participants were heterogeneous and included skull
202 fractures, contusions, intracerebral and subdural or subarachnoid haemorrhages. Injuries

203 included bilateral frontal (n=5), right frontal (n=5), left frontal (n=1), bilateral temporal
204 (n=2), right temporal (n=3), left temporal (n=2), left parietal (n=2), right parietal (n=2), right
205 occipital (n=2), left occipital (n=2) and unknown (n=3). Table 1 provides descriptive data
206 outlining the cognitive and emotional status of the TBI group. Informant-report
207 questionnaires were completed by a family member, spouse or close friend of each
208 participant with TBI. These informants had all known the participant well before the injury
209 and had been in close contact since the injury. The same informant always completed both
210 questionnaires. Comparison participants were 21 adults (18 males) without brain injury with
211 a mean age of 45.29 ($SD=13.70$, range: 22 to 68) and an average of 14.52 years of education
212 ($SD= 1.69$, range: 11 to 18). The comparison group was recruited from the community via
213 online and local newspaper advertisements. The comparison participants were employed in a
214 variety of occupations including professional and managerial (n=10), business owner (n=1),
215 unemployed (n=3) and student (n=7). Exclusion criteria for both groups included a history of
216 developmental, neurological (other than TBI) or psychiatric disorders, or a history of
217 substance abuse.

218 **Materials**

219 **Social Disinhibition Interview.**

220 The current study used an adaptation of the self-disclosure task developed by Beer et
221 al. (2006). As in the original task, participants were initially told that they would be asked a
222 number of questions about themselves and their experiences, and that it was their choice how
223 much information they wished to disclose and that they could skip any question at any time.
224 These instructions were designed to minimise an expectation of excessive self-disclosure.
225 Participants were then asked a series of seven questions, which included: “Tell me about an
226 embarrassing moment you’ve had” and “Tell me about something someone has done to make
227 you angry”. These seven questions included only four of the original nine used by Beers et al

228 (2006) as pilot work suggested five were not sensitive. Three new questions were added as
229 replacements. The interviews were videotaped and rated by two independent judges, blind to
230 whether the participant had sustained a TBI or was a comparison participant. Although Beer
231 and colleagues had judges rate transcripts of interviews, we chose to have videotaped
232 interviews rated to give judges a more complete picture of the social appropriateness of the
233 participant, since disinhibited behaviour is not only verbal. Judges rated the frequency of the
234 participants socially inappropriate behaviour on a scale of 1 to 5 (1 =‘never’ and 5 =‘always’)
235 on the following 8 statements: ‘While talking with the interviewer, the participant spoke too
236 candidly’, ‘Considering that they didn’t know the interviewer very well, the participant
237 disclosed an inappropriate amount of information about themselves’, ‘The participant
238 revealed more intimate details than most people would’, ‘The participant was rude’, ‘The
239 participant made inappropriate jokes or remarks’, ‘The participant was impatient’, ‘The
240 participant did not know when to stop talking’, ‘The participant was critical or
241 argumentative’. Thus, the disinhibition ratings can range from 8 to 40. The first three
242 statements were from Beer and colleagues scale measuring appropriateness of self-disclosure.
243 The remaining five statements replaced the other statements used by Beers et al (2006) in
244 order to tap a broader range of social behaviours commonly reported following traumatic
245 brain injury. Beer and colleagues had judges rate responses to each of the nine questions
246 posed and then averaged these ratings together. Instead, we chose to have judges rate the
247 whole interview on each of the seven items for the sake of simplicity and brevity. The length
248 of the interview varied depending on the participant but no interview ran longer than 15
249 minutes. The judges were trained in the use of the rating scales on 5 practice recordings,
250 which were not used in the final data analyses. The judges were asked to watch each
251 recording in full before providing a rating for each of the 8 statements before moving onto the
252 next recording.

253 Neuropsychiatric Inventory (NPI).

254 The *Neuropsychiatric Inventory* (NPI; Jeffrey L Cummings, 1997) uses informant
255 ratings to evaluate neurobehavioural disturbances across 12 domains. Only the disinhibition
256 domain was evaluated for this study. For each domain, a screening question determines
257 whether problems in that domain are present and is followed by 7 to 9 questions that address
258 specific symptoms. The informant then rates the severity and frequency of, as well as the
259 distress caused by these symptoms. The NPI has well-established psychometric properties
260 including an overall Cronbach's alpha of .88, inter-rater agreement ranging from 93.6% to
261 100% for different behaviours, and a 3-week test-retest reliability estimates of .79 for
262 frequency scores and .86 for severity scores (Jeffrey L Cummings, 1997; J. L. Cummings et
263 al., 1994). Since its initial validation in dementia patients, the NPI has been used to
264 successfully describe the neuropsychiatric symptoms of post-stroke (Angelelli et al., 2004)
265 and TBI (Cantagallo & Dimarco, 2002; Ciurli et al., 2011; Kilmer et al., 2006; Monsalve et
266 al., 2012). For use in a population of individuals with TBI, it has the advantage of having
267 been developed and normed especially for individuals with neurological impairment. The
268 current study did not use the screening question but rather had all caregivers complete the full
269 form. This approach was recommended by Kilmer et al. (2006) who found a high false
270 negative rate for the disinhibition subscale, such that caregivers who did not endorse the
271 screening item went on to endorse a number of metric items. The severity scale was adjusted
272 to include a 'not applicable – disinhibition not present' response item to reflect this. Four
273 scores were derived from the disinhibition domain of the NPI. The frequency score measures
274 how commonly the disinhibited behaviours occur and ranged from 0 (never) to 4 (very often).
275 The severity score measured the severity of the disinhibited behaviours ranging from 1 (not
276 applicable) to 3 (severe). The distress score measured the level of distress that the
277 disinhibited behaviours caused the informant and ranged from 0 (not at all) to 5 (very

278 severely). The distress score has been used in dementia research and has been shown to
279 correlate with caregiver distress on the Relative's Stress Scale and caregivers quality of life
280 measured by the Quality of Life – Alzheimer's Disease scale (Kaufer et al., 1998; Shin,
281 Carter, Masterman, Fairbanks, & Cummings, 2005). The disinhibition total score was the
282 addition of the frequency, severity and distress scores.

283 **Sydney Psychosocial Reintegration Scale (SPRS).**

284 The *Sydney Psychosocial Reintegration Scale 2* (SPRS-2 Form A; Tate et al., 1999)
285 was completed by a relative or close friend of each participant with TBI. The SPRS-2 was
286 designed to measure reintegration of people after TBI in three domains; occupation,
287 interpersonal relationships and independent living skills. In each domain there are four items,
288 which measure the degree of negative change in a particular behaviour or activity due to the
289 injury. Response items range from 0 (an extreme amount of negative change) to 4 (no change
290 or improvement). Total scores for each domain range from 0 to 16, with higher scores
291 representing better levels of psychosocial reintegration. The SPRS has good psychometric
292 properties. A high inter-rater reliability (ICC=0.95), and 1-week test-retest reliability
293 (ICC=0.90), as well as good concurrent validity with the London Handicap Scale ($r_s=-0.85$),
294 has been demonstrated for Form A of the SPRS-2 (Tate et al., 1999). The current study only
295 examined scores for the occupation and interpersonal relationships domains. Missing data (<
296 5% in each variable) for both the NPI and the SPRS questionnaires were replaced by values
297 imputed using the expectation maximization algorithm in SPSS's Missing Value Analysis.

298 **Neuropsychological measures.**

299 In order to determine whether neuropsychological tests of disinhibition predicted
300 observed disinhibition in the TBI group, two inhibition measures were used: total errors on
301 the *Controlled Oral Word Association Test* (COWAT; Spreen & Strauss, 1991) and the

302 *Hayling Sentence Completion Test* (Burgess & Shallice, 1997) error scaled score. These
303 scores have been used previously as measures of disinhibition after TBI or orbitofrontal
304 neurodegeneration (Crowe & Crowe, 2013; Hornberger, Geng, & Hodges, 2011; Tate, 1999).
305 The COWAT tests phonemic fluency (C, F, L) and semantic fluency (animals) and errors
306 include complete and partial repetitions of words (e.g., “sleep” and “sleeping”) and rule
307 breaks (i.e., wrong letter or category, proper nouns, swear words etc.). Participants are
308 explicitly told what constitutes an error in this task. A high total error score thus represents an
309 inability to inhibit ‘illegal words’ in this task. The Hayling Sentence Completion Test
310 requires the subject to complete sentences in Section 1 with semantically related words (the
311 control condition) and then in Section 2 with semantically unrelated words, which requires
312 inhibiting a prepotent response (the inhibition condition). The number of errors on Section 2
313 of the Hayling Test is converted to the Hayling error scaled score which ranges from 1
314 (impaired) to 8 (good). Thus, a low error scaled score represents a relative inability to inhibit
315 prepotent responses. Participants also completed a measure of working memory, *Wechsler*
316 *Adult Intelligence Scale-Third Edition (WAIS-III) Digit Span* (Wechsler, 1997), and a
317 measure of processing speed, *WAIS-III Digit Symbol Coding* which were used to test the
318 divergent validity of the observational measure of disinhibition. Neuropsychological
319 measures were not available for the comparison group.

320 The presence of negative emotional states was assessed using the 21 item *Depression,*
321 *Anxiety and Stress Scale* (DASS; Lovibond & Lovibond, 1995). A total score was derived by
322 summing the scores for the three subscales.

323 **Procedure.**

324 The participants were informed of the procedures and gave written consent to
325 participate in the study. All procedures were approved by the Human Research Ethics Boards

326 of the University of New South Wales and were conducted at the university. Participants
327 were administered neuropsychological tests (TBI only), the DASS and finally the
328 observational measure of social disinhibition. Participants with TBI were given a packet of
329 questionnaires which included the SPRS and NPI-D to give to a family member or close
330 friend who has known them well since before their injury. In the packet included instructions
331 for the informant to mail completed questionnaires back. All measures were administered in a
332 single session, with exception of some neuropsychological tests which had been completed
333 by the participant recently as a part of another study run in the neuropsychology laboratory.

334 **Statistical Analyses.**

335 The inter-rater reliability for ratings across both groups was analysed with an
336 intraclass correlation coefficient (ICC) using a two way random model as recommended by
337 Shrout and Fleiss (1979). For comparison with Beer et al. (2006), reliability across the two
338 judges' average ratings for each participant was also reported as a Cronbach's alpha. An
339 independent samples t-test was then used to determine whether mean ratings were different
340 for the TBI group compared with the comparison group. Mean disinhibition ratings were then
341 correlated with NPI-D scores to determine the construct validity of the observational measure
342 and with SPRS scores in order to determine whether the measure could predict psychosocial
343 outcome. The NPI-D was also correlated with the SPRS to determine whether informant-
344 reported disinhibition predicted psychosocial outcome. Finally, in order to test the hypothesis
345 that formal measures of disinhibition would predict observed social disinhibition whereas
346 working memory and processing speed would not, a multiple regression predicting social
347 disinhibition ratings from those four neuropsychological variables (WAIS-III Digit Span,
348 WAIS-III Digit Symbol Coding, Hayling error scaled score and COWAT error score) was
349 conducted. This model was also run to determine whether neuropsychological performance
350 based tests of disinhibition predicted informant-reported disinhibition on the NPI-D.

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Results

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The comparison group did not differ significantly from the group of participants with TBI with respect to age, $t(41)=-1.21, p=.233$, or preinjury occupation $\chi^2(1, N=43) = 7.39, p=.19$. The groups did differ significantly in terms of number of years of education, $t(41)=-2.78, p=.008$ and in terms of mood as assessed by the DASS total score, $t(41)=-3.30, p=.003$. Years of education and DASS total score were not significantly correlated with the dependent variable, social disinhibition ratings, in either the comparison group ($r=-.02, p=.936$ and $r=.36, p=.110$ respectively) or the groups of participants with TBI ($r=.21, p=.343$ and $r=.34, p=.125$ respectively). These variables were therefore not accounted for in following statistical analyses.

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Social Disinhibition Ratings

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The inter-rater absolute agreement was good (Fleiss, Levin, & Paik, 2003), $ICC=.69$, 95% CI [.42, .83], and was similar when looking at ratings for the group of participants with TBI alone, $ICC=.68$, 95% CI [.25, .87]. The reliability across the two judges' ratings indicated by Cronbach's alpha was also acceptable (Barker, Pistrang, & Elliot, 1994); $\alpha=.71$ when all participants ratings were included in analysis and $\alpha=.70$ when only participants with TBI were considered. An average of the two ratings for each participant was calculated and was used in all analyses that follow.

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A Levene's test for the equality of variances revealed that the variances for the TBI and comparison group were significantly different, $F(1,41)=7.55, p=.009$. The greater variance in the group of participants with TBI can be observed in Figure 1. An independent samples t-test with equal variances not assumed revealed a larger mean social disinhibition rating for participants with TBI compared with the comparison group, $t(25.05)=-2.07, p=.049$. Four of the 22 (18%) participants with TBI had social disinhibition ratings greater than 2 standard deviations above the comparison group mean and 7 (32%) had ratings greater

376 than 1 standard deviation above the comparison group mean. This compares to 1 of the 21
377 (4%) comparison participants who had a social disinhibition rating greater than 2 standard
378 deviations above the mean and 2 of 21 (9%) who had ratings greater than 1 standard
379 deviation above the mean.

380 The social disinhibition ratings were significantly correlated with the NPI-D
381 frequency score ($r=.45, p=.038$) and distress score ($r=.45, p=.035$), but not with the severity
382 score ($r=.12, p=.61$). The social disinhibition ratings did not correlate with either of the
383 outcome measures, the occupation subscale ($r=.02, p=.916$) nor interpersonal relationships
384 subscales ($r=-.12, p=.610$) of the SPRS.

385 Table 2 shows the correlations between observed and informant-rated disinhibition
386 and neuropsychological measures of disinhibition and of executive functioning. Contrary to
387 our hypothesis a regression model with WAIS-III Digit Span, WAIS-III Digit Symbol
388 Coding, Hayling error scaled score and COWAT error score did not significantly predict
389 social disinhibition ratings, $F(4,20)=2.31, p=.103$, adj. $R^2=.21$, as shown in Table 3.

390 **Neuropsychiatric Inventory**

391 Sixteen (16) of the 22 (73%) participants with a TBI were reported by an informant to
392 display at least one of the 7 disinhibited behaviours measured by the NPI-D. Table 4 shows
393 the number of participants reported to display each of the behaviours. Of the outcome
394 measures, the NPI disinhibition total score was correlated with the interpersonal relationships
395 subscale of the SPRS ($r=-.42, p=.049$), but not with the occupation subscale ($r=-.13, p=.564$).

396 A multiple regression analysis revealed that, combined, WAIS-III Digit Span, WAIS-
397 III Digit Symbol Coding, Hayling error scaled score and COWAT error score did
398 significantly predict NPI-D total score, $F(4,20)=4.24, p=.016$, adj. $R^2=.39$. Of the four

399 neuropsychological measures, only the COWAT error score added significantly to the
400 prediction, $p=.019$, as demonstrated in Table 3.

401 **Discussion**

402 The current study aimed to validate the use of a short observational measure for the
403 detection of social disinhibition in a population of individuals with TBI. Acceptable inter-
404 rater reliability was observed for the measure (Barker et al., 1994). Further, positive
405 correlations between mean disinhibition ratings and frequency and distress scores for the
406 disinhibition domain of the NPI provide evidence for the measure's construct validity. The
407 relationship between ratings of observed disinhibition and the reported frequency of
408 disinhibited behaviour by an informant is easily understood, since disinhibited behaviour that
409 is more common will be more likely to occur in the timeframe during which the patient-
410 experimenter interaction was recorded. The relationship between ratings of observed
411 disinhibition and the level of distress relatives report on the NPI-D demonstrates the
412 sensitivity of the observational measure to social disinhibition which has important
413 implications for the patient's life. It should be noted, however, that these correlations,
414 although significant, were only moderate in size. Although a stronger correlation would have
415 provided greater confidence in the construct validity of this measure, this correlation of only
416 moderate size demonstrates the difficulty of developing an observational measure as sensitive
417 to behaviour as an informant measure, which is based on endless observations in more natural
418 social settings. Further research should attempt to verify this relationship between
419 disinhibition observed in the laboratory and disinhibition reported by close others. Finally, it
420 is of interest that while the frequency and distress scores did correlate with observed
421 disinhibition, the severity score did not. This may reflect that a disinhibited behaviour rated
422 as severe in a natural social setting may not translate to a particularly severe behaviour in an
423 interview setting. For instance, sharing intimate and personal details with a stranger might be

424 considered an extremely disinhibited behaviour when meeting new people at a social
425 occasion, but may not be judged as so severe in the context of answering specific questions
426 posed by an interviewer in a psychological study.

427 As predicted, participants with TBI were rated as more socially disinhibited on
428 average than comparison participants. Further, the ratings for participants with TBI displayed
429 more variability than those for comparison participants. This suggests that while some
430 participants with TBI behaved in a socially appropriate manner during the interview task, the
431 social behaviour of others lay outside the normal range. In fact, 19 percent of the participants
432 with TBI had social disinhibition ratings greater than 2 standard deviations above the
433 comparison group mean. When comparing this to rates of 22-32% of social disinhibition
434 reported by studies using the disinhibition domain of the NPI (Cantagallo & Dimarco, 2002;
435 Ciurli et al., 2011; Monsalve et al., 2012), the observational measure might be considered a
436 conservative measure of social disinhibition. False negative rates are to be expected, though,
437 since a disinhibition syndrome observed over time by a relative or friend may not reveal itself
438 within the relatively small time window of the observed conversation. As an alternative to
439 informant-report measures, this observational measure may represent a simple method of
440 quantifying socially disinhibited behaviours in the laboratory. It represents one of the few
441 measures designed specifically to detect disinhibited behaviour resulting from TBI and may
442 be a useful tool for determining what factors might contribute to social disinhibition and how
443 it can be rehabilitated.

444 Contrary to predictions, though, the observational measure developed in the current
445 study was unable to predict outcomes such as changes in employment or in interpersonal
446 relationships since the time of injury, as assessed by the SPRS. No other studies have tested
447 the ability of an observational measure of social skill to predict outcomes after TBI. On the
448 other hand, the NPI-D total score was associated with greater change in interpersonal

449 relationships since injury. Thus, participants who were rated as more disinhibited on the NPI-
450 D were also rated as suffering greater change in their ability to form and maintain
451 interpersonal relationships since the injury. Two studies have demonstrated the ability of the
452 disinhibition domain of the NPI to predict score on the Glasgow Outcome Scale, which
453 indicates how much assistance with daily living a patient with TBI requires (Cantagallo &
454 Dimarco, 2002; Ciurli et al., 2011; Monsalve et al., 2012). The current study is the first to
455 demonstrate the ability of the disinhibition domain of the NPI to predict social outcomes after
456 TBI. These findings together reveal how significant the impact of social disinhibition is on
457 the everyday functioning and wellbeing of the individual with TBI. It can be concluded that
458 informant-report measures of social disinhibition may be more predictive than observational
459 measures of important outcomes such as ability to maintain existing relationships and form
460 new relationships.

461 The current study found that 71% of the group of participants with TBI displayed at
462 least one of the disinhibited behaviours described by the metric items of the NPI according to
463 their relative. The most frequently endorsed items were acting impulsively, speaking to
464 strangers as if he/she knew them, and being insensitive. The same three items were reported
465 by Cantagallo and Dimarco (2002) to be the most frequently endorsed in a sample of 120
466 participants with severe TBI with endorsement rates of 58, 53 and 34% for these three items
467 respectively, compared with endorsement rates of 54%, 50% and 36% for the same items in
468 the current study. Studies using the screen-metric approach of the NPI, whereby an informant
469 only continues on to complete the full domain form if a screening question is endorsed, have
470 reported rates of disinhibition in populations of individuals with TBI (23%, 28% and 32%)
471 much lower than that found in the current study (Cantagallo & Dimarco, 2002; Ciurli et al.,
472 2011; Monsalve et al., 2012). Kilmer et al. (2006) though, demonstrated that the screening
473 question for the disinhibition domain of the NPI when used in a population of individuals

474 with TBI produced a high false negative rate whereby 11.9% of informants not endorsing the
475 screening question went on to endorse one or more of the metric items. Thus, the
476 aforementioned studies utilising the screen-metric approach of the NPI in populations of
477 individuals with TBI may have underestimated rates of disinhibition. The findings of the
478 current study support the recommendations of (Kilmer et al., 2006) that the screening
479 question for the disinhibition domain of the NPI be omitted for use in a population of
480 individuals with TBI.

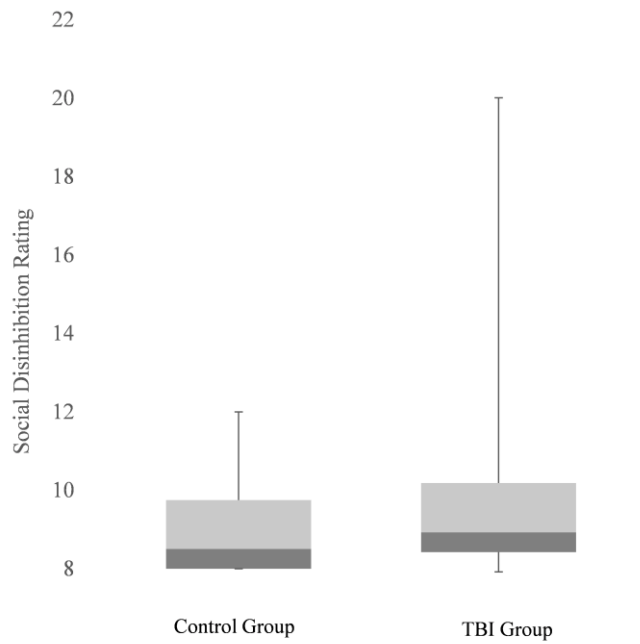
481 Contrary to our hypothesis a multiple regression revealed that neuropsychological
482 measures of disinhibition were unable to predict observed disinhibition in the current study.
483 This is in line with Votruba et al. (2008) who found that in vivo ratings of disinhibited
484 behaviour and performance tests dissociated. The authors concluded that disinhibited
485 behaviour is optimally assessed using observations, since performance tests had poor
486 specificity, showing strong associations with tests of other neuropsychological domains. The
487 COWAT error score, however, did significantly predict the informant-reported disinhibition
488 reflected by the NPI-D total score, demonstrating the ability of formal measures of
489 disinhibition to predict informant-reported disinhibition. Similarly, Tate (1999) found that
490 participants impaired on a rule breaking variable which included the COWAT error score had
491 higher informant-reported loss of emotional control on the Current Behaviour Scale.
492 However, Tate noted that these errors were most often perseverative errors rather than error
493 indicative of inability to inhibit responding. Further, informant ratings of externalising
494 behaviours on the Iowa Scales of Personality Change (ISPC), which measure many
495 behaviours characteristic of disinhibition syndrome, have been found to be associated with a
496 test of broad executive function, the Modified Six Element Test (Rochat, Ammann, Mayer,
497 Annoni, & Van der Linden, 2009). Another study found no association between
498 inappropriateness on the Neuropsychology Behaviour and Affect Profile after TBI and rule-

499 breaks on two fluency tasks (Milders et al., 2003). Thus, while the current study provides
500 some evidence that neuropsychological tests of inhibitory function are associated with
501 informant-reported disinhibited behaviours, further research is required to determine
502 processes underlying these errors on neuropsychological tests which are associated with
503 disinhibited behaviour. That the current study, did not find evidence that performance-based
504 neuropsychological measures of disinhibition can predict observed disinhibition in a social
505 context may reflect that the observational measure is likely to be a more conservative
506 measure of disinhibition than the NPI which may impact upon its associations with other
507 measures of disinhibition.

508 There are some limitations of the current study that should be noted. The relationship
509 found between informant-report disinhibition and informant-reported change in interpersonal
510 relationships may be the result of a single source bias, since the same informant filled out
511 both questionnaires. To verify this relationship, further research should use the NPI-D in a
512 TBI sample alongside an objective or self-report measure of psychosocial outcome. Another
513 limitation of the current study was that the TBI sample varied greatly with respect to time
514 since injury. Thus, it cannot be determined whether disinhibited behaviour observed in
515 participants developed as a direct result of their injury or if the behaviours developed later
516 perhaps as the result of advanced age interacting with injury-related changes. Future research
517 should aim to determine whether the disinhibition ratings provided by judges on this
518 observational measure reflect an organic disinhibition syndrome appearing as a result of
519 injury to the frontal lobe brain structures which underpin normal social functioning.

520 The current study reported promising findings of good inter-rater reliability and
521 construct validity of a new observational measure for the detection of social disinhibition
522 after TBI. The direct observation of specific behaviours enables a targeted measure of
523 disinhibition after TBI which is not subject to biases of the patient or informant associated

524 with memory of the behaviour across long periods of time, insight into the behaviour or the
525 personality or mood state of the informant. Thus, this measure represents a good alternative
526 research tool to self- and informant-report measures and may be useful in a clinical setting to
527 identify problem behaviours for targeted intervention. However, more extensive research will
528 be required before this tool can be used with confidence for research or clinical purposes.
529 Further, the current study demonstrated that formal measures of disinhibition can predict
530 informant rated disinhibition but not disinhibition observed in the laboratory, providing some
531 support for the use of formal tests of disinhibition as a corollary for disinhibited social
532 behaviour. Finally, this study indicated the usefulness of the disinhibition domain of the
533 Neuropsychiatric Inventory for detecting disinhibition syndromes and for predicting
534 occupational and interpersonal outcomes in populations of individuals with TBI.



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536 *Figure 1.* Boxplots of the social disinhibition ratings comparing variability in scores between
 537 the comparison group and group of participants with TBI. Whiskers represent the
 538 maximum and minimum mean ratings for each group.

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551 Table 1

552 *Means, standard deviations and results of group comparisons on demographic, emotional*
 553 *and cognitive variables for the TBI and comparison groups*

	Mean (SD)		Diff (<i>p</i>)
	TBI (<i>N</i> =22)	Comparison (<i>N</i> =21)	
Demographics			
PTA (days)	64.57 (46.52)		
Age	50.45 (14.30)	45.29 (13.70)	.233
Years of education	12.80 (2.37)	14.52 (1.69)	.008
Preinjury Occupation			
			.193
Unskilled trade/unemployed	3	3	
Skilled trade	1	0	
Business owner	2	1	
Prof/managerial	10	10	
Student	6	7	
Disinhibition			
Observed Disinhibition Rating	10.14 (3.09)	8.69 (.94)	.049
Hayling Error Scaled Score	5.55 (1.97)		
COWAT Error Score	2.73 (2.35)		
Emotional Functioning			
DASS Total	33.09 (29.71)	10.57 (11.65)	.003
DASS Depression	11.64 (12.60)	3.33 (4.95)	.008
DASS Anxiety	8.64 (8.91)	.95 (1.63)	.001
DASS Stress	13.73 (12.19)	6.29 (9.19)	.029
Executive Functioning			
Digit Span	10.09 (2.33)		
Symbol Code	7.33 (2.87)		

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558 Table 2

559 *Correlations between informant-reported disinhibition, observed disinhibition and*
560 *neuropsychological variables in the TBI group (N=22)*

	NPI total score	Mean disinhibition rating	Hayling scaled error score	COWAT error score	Digit span	Digit symbol coding
Informant-Reported Disinhibition						
NPI Total Score		.38	.34	.70**	.48*	.26
Observed Disinhibition						
Disinhibition mean rating	.38		.14	.41	.07	-.09
Neuropsychological Disinhibition						
Hayling error scaled score	.34	.14		.30	.09	.19
COWAT error score	.70**	.41	.30		.19	.52*
Neuropsychological Exec Func						
Digit span	.48*	.07	.09	.19		.09
Digit symbol coding	.26	-.09	.19	.52*	.09	

561 *Note.* Exec Func=Executive Functioning. *Significant at $p<.05$. ** Significant at $p<.001$.

562

563 Table 3

564 *Multiple regressions predicting social disinhibition ratings and NPI-D total score from four*
565 *neuropsychological variables*

Variable	Social Disinhibition Ratings		NPI Disinhibition total Score	
	B	β	B	β
Constant	10.27		-3.78	
Digit Span	.09	.07	.42	.37
Digit Symbol Code	-.50	-.45	-.09	-.10
COWAT Error	1.05*	.69*	.68*	.55*
Hayling Error	-.001	-.001	.24	.19
Adjusted R ²		.21		.39*
F		2.31		4.24*

566 *Note.* N=21 * $p<.05$

567

568 Table 4

569 *The most frequently endorsed metric items of the disinhibition domain of the*570 *Neuropsychiatric Inventory (NPI-D)*

Response item	Number of Participants	Percentage
Does he/she act impulsively without thinking of the consequences	12	54%
Does he/she talk to strangers as if they knew them	11	50%
Does he/she say things to people that are insensitive or hurt their feelings	8	36%
Does he/she talk openly about very personal or private matters not usually discussed in public	5	23%
Does he/she show other signs of loss of control of his/her impulses	5	13%
Does he/she say crude things or make inappropriate sexual remarks	2	9%
Does he/she fondle, touch or hug others in a way that is not appropriate	1	5%

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- 741

Table 1

Means, standard deviations and results of group comparisons on demographic, emotional and cognitive variables for the TBI and comparison groups

	Mean (SD)		Diff (<i>p</i>)
	TBI (<i>N</i> =22)	Comparison (<i>N</i> =21)	
Demographics			
PTA (days)	64.57 (46.52)		
Age	50.45 (14.30)	45.29 (13.70)	.233
Years of education	12.80 (2.37)	14.52 (1.69)	.008
Preinjury Occupation			
			.193
Unskilled trade/unemployed	3	3	
Skilled trade	1	0	
Business owner	2	1	
Prof/managerial	10	10	
Student	6	7	
Disinhibition			
Observed Disinhibition Rating	10.14 (3.09)	8.69 (.94)	.049
Hayling Error Scaled Score	5.55 (1.97)		
COWAT Error Score	2.73 (2.35)		
Emotional Functioning			
DASS Total	33.09 (29.71)	10.57 (11.65)	.003
DASS Depression	11.64 (12.60)	3.33 (4.95)	.008
DASS Anxiety	8.64 (8.91)	.95 (1.63)	.001
DASS Stress	13.73 (12.19)	6.29 (9.19)	.029
Executive Functioning			
Digit Span	10.09 (2.33)		
Symbol Code	7.33 (2.87)		

Table 2

Correlations between informant-reported disinhibition, observed disinhibition and neuropsychological variables in the TBI group (N=22)

	NPI total score	Mean disinhibition rating	Hayling scaled error score	COWAT error score	Digit span	Digit symbol coding
Informant-Reported Disinhibition						
NPI Total Score		.38	.34	.70**	.48*	.26
Observed Disinhibition						
Disinhibition mean rating	.38		.14	.41	.07	-.09
Neuropsychological Disinhibition						
Hayling error scaled score	.34	.14		.30	.09	.19
COWAT error score	.70**	.41	.30		.19	.52*
Neuropsychological Exec Func						
Digit span	.48*	.07	.09	.19		.09
Digit symbol coding	.26	-.09	.19	.52*	.09	

Note. Exec Func=Executive Functioning. *Significant at $p<.05$. ** Significant at $p<.001$.

Table 3

Multiple regressions predicting social disinhibition ratings and NPI-D total score from four neuropsychological variables

Variable	Social Disinhibition Ratings		NPI Disinhibition total Score	
	B	β	B	β
Constant	10.27		-3.78	
Digit Span	.09	.07	.42	.37
Digit Symbol Code	-.50	-.45	-.09	-.10
COWAT Error	1.05*	.69*	.68*	.55*
Hayling Error	-.001	-.001	.24	.19
Adjusted R ²		.21		.39*
F		2.31		4.24*

Note. N=21 *p<.05

Table 4

The most frequently endorsed metric items of the disinhibition domain of the Neuropsychiatric Inventory (NPI-D)

Response item	Number of Participants	Percentage
Does he/she act impulsively without thinking of the consequences	12	54%
Does he/she talk to strangers as if they knew them	11	50%
Does he/she say things to people that are insensitive or hurt their feelings	8	36%
Does he/she talk openly about very personal or private matters not usually discussed in public	5	23%
Does he/she show other signs of loss of control of his/her impulses	5	13%
Does he/she say crude things or make inappropriate sexual remarks	2	9%
Does he/she fondle, touch or hug others in a way that is not appropriate	1	5%