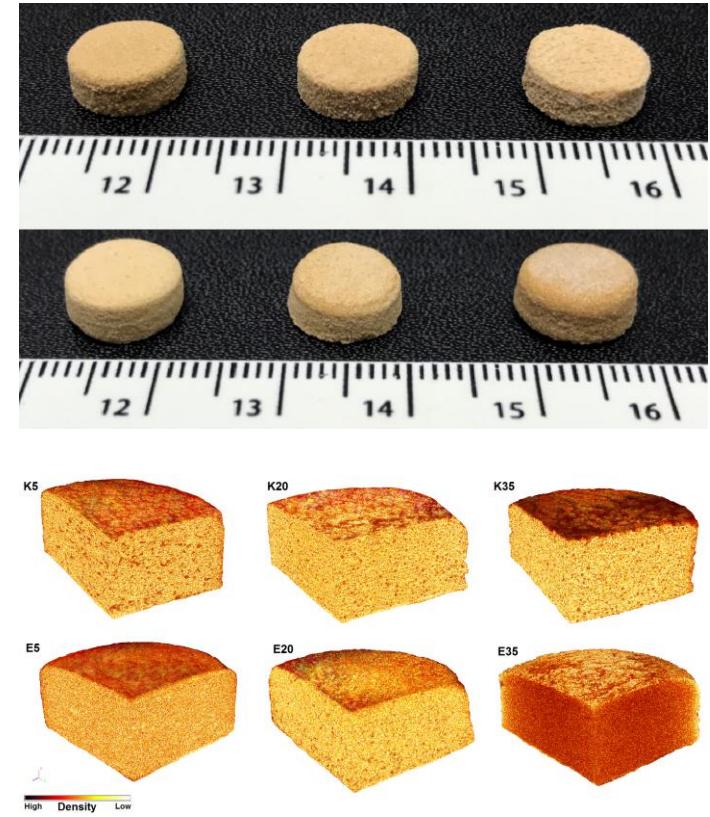
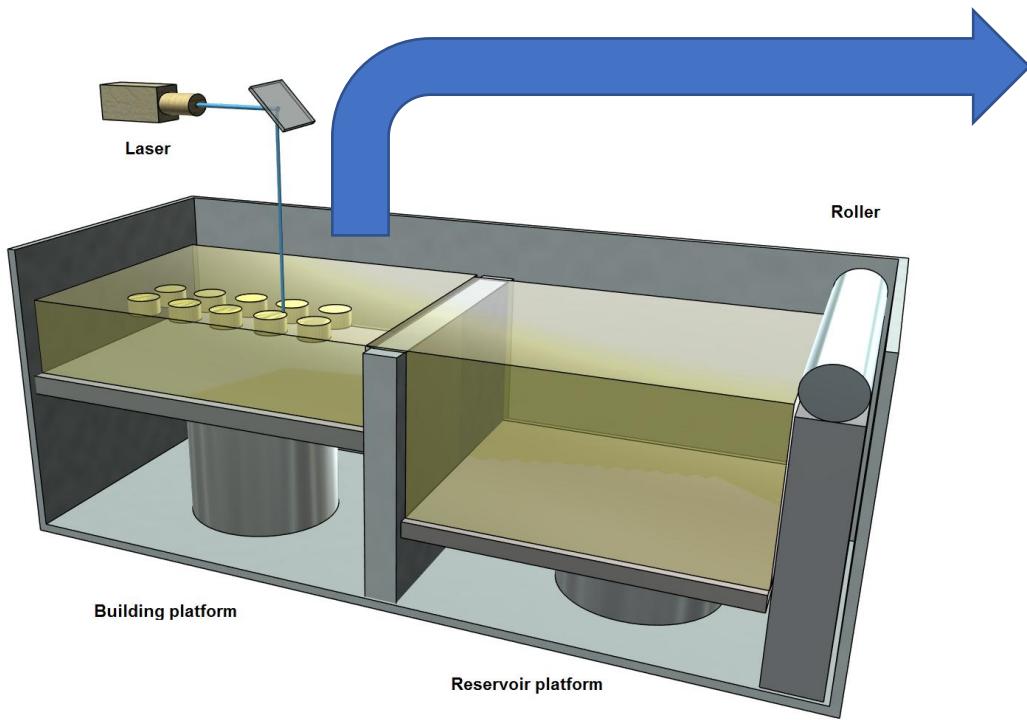


\*Graphical Abstract (for review)



1    **Selective Laser Sintering (SLS) 3D printing of medicines**

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17    **Key words**

18    | three dimensional printing, personalized medicines, tablets, additive manufacture, rapid  
19    | prototyping, selective laser melting (SLM), oral drug delivery,

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23

24      **Abstract**

25

26      Selective laser sintering (SLS) 3-dimensional printing is currently used for industrial  
27      manufacturing of plastic, metallic and ceramic objects. To date there are no reports on the  
28      use of SLS to fabricate oral drug loaded products, hence, However, the extreme printing  
29      conditions (temperatures >1000°C and high-energy lasers >250W) used in these fields have  
30      precluded its use in the pharmaceutical sector. The aim of this work was to explore the  
31      suitability of SLS printing for manufacturing medicines. Two thermoplastic pharmaceutical  
32      grade polymers, Kollicoat IR (75% polyvinyl alcohol and 25% polyethylene glycol copolymer)  
33      and Eudragit L100-55 (50% methacrylic acid and 50% ethyl acrylate copolymer), with  
34      immediate and modified release characteristics respectively, were selected to investigate the  
35      versatility of a new desktop-SLS printer. Each polymer was investigated with three different  
36      drug loadings of paracetamol (acetaminophen) (5, 20 and 35%). To aid the sintering  
37      process, To improve the sintering process, 3% Candurin® gold sheen colourant was added  
38      to each of the powdered formulations. In total, six solid formulations were successfully  
39      printed; the printlets were robust, and no evidence of drug degradation was observed. In  
40      biorelevant bicarbonate dissolution media, the Kollicoat formulations showed pH-  
41      independent release characteristics, with the rate of release dependent on the drug content.  
42      In the case of the Eudragit formulations, these showed pH-dependent, modified-release  
43      profiles independent of drug loading, with complete release being achieved over 12 hours. In  
44      conclusion, this work has demonstrated that SLS is a versatile and practical 3D printing  
45      technology which can be applied to the pharmaceutical field, thus therefore widening the  
46      armamentarium number of 3D printing technologies available for the to manufacture of  
47      modern medicines.

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53    1.     ***Introduction***

54

55        3-Dimensional printing (3DP) is an additive manufacturing technology with which finds  
56 applications in myriadany different fields from including medical device manufacturing to,  
57 aeronautics, robotics, electronics, industrial goods and even the food industry (Sculpteo,  
58 2017) (Barnatt, 2013). 3DP for the fabrication of medicines has come to the fore in recent  
59 years, specifically for its revolutionary uses in personalised dose and dimension-specific  
60 dosage form printing, and it has been anticipated to have a revolutionary impact on  
61 healthcare. The replacement of conventional drug manufacture and distribution could  
62 provide patients with personalized polypills fabricated at the point of care to reduce cost and  
63 enhance therapy adherence (Choonara et al., 2016).

64        The first attempt at using 3DP technology in pharmaceuticals dates back to 1996 (Wu et  
65 al., 1996), whereby a powder bed 3D printer (PB) was employed to produce a 3D solid form  
66 containing drug. PB technology, similarly to the widespread inkjet desktop printers that use  
67 an ink (black or colour) to print onto a paper sheet, selectively deposits a liquid binder  
68 material across a powder bed. The process is repeated layer-by-layer to fabricate a 3D  
69 object. This technology has been adopted to manufacture Spiritem®, the first FDA-approved  
70 3D printed drug product, that came into the market in 2016 for the treatment of epilepsy  
71 (Aprecia-Pharmaceuticals, 2016).

72        An alternative 3DP technique termed stereolithography (SLA) has recently been used to  
73 manufacture printlets, containing either paracetamol or 4-ASA (Wang et al., 2016), and anti-  
74 acne masks (Goyanes et al., 2016a). SLA technology uses a laser to solidify a  
75 photopolymerizable polymer solution containing drug. Advantages of this technology include  
76 production of high resolution objects at room temperature. However, limitations such as  
77 carcinogenic risk of the photopolymerizing material limits its short-term implementation and  
78 demands further investigations.

79        Fused-deposition modeling (FDM) has been the most employed 3DP technology to  
80 date, due to it being inexpensive and easy to use. Here, previously extruded polymer-based  
81 filaments are forced through heated nozzles turning them into semi-liquid materials that are  
82 selectively deposited onto a printing platform layer-by-layer (Goyanes et al., 2014). For oral  
83 medicines, FDM printing was first used to manufacture polyvinyl alcohol (PVA)-based  
84 printlets, incorporating different drugs (Goyanes et al., 2014; Goyanes et al., 2015a;  
85 Goyanes et al., 2015b) with different geometries (Goyanes et al., 2015f) and drug  
86 distribution (Goyanes et al., 2015g). More recently, several pharmaceutical grade polymers  
87 have been reported to be suitable formulation candidates for FDM printing (Melocchi et al.,

88 | 2016; Pietrzak et al., 2015), providing oral formulations with fast-rapid drug release profiles  
89 | (Okwuosa et al., 2016) and enteric properties (Goyanes et al., 2017; Okwuosa et al., 2017).  
90 | However, limitations of FDM 3DP include use of high printing temperatures (>120°C), which  
91 | may induce drug degradation, and a relatively low resolution of the printed objects.

92 | Selective laser sintering (SLS) is an industrial 3DP technology that uses a powder bed  
93 | to build up the 3D object, similarly to PB. However, instead of using a spray solution, SLS  
94 | uses a laser to bind the powder particles together. During the printing process, the laser is  
95 | directed to draw a specific pattern onto the surface of the powder bed. Once the first layer is  
96 | completed, a roller distributes a new layer of powder on top of the previous one. The object  
97 | is built layer-by-layer, which will-is then ~~be~~-recovered from underneath the powder bed.  
98 | Advantages of SLS technology include the fact that it a solvent-free process and offers faster  
99 | production as compared to PB, which instead requires the printed object to be left for up to  
100 | 48 hours to allow the solvent to evaporate (Rowe et al., 2000; Yu et al., 2009; Yu et al.,  
101 | 2007). Compared to FDM, SLS is a one-step process that does not require the prior  
102 | production of suitable filaments by hot melt extrusion (Goyanes et al., 2015b; Goyanes et al.,  
103 | 2015g; Okwuosa et al., 2017) and produces objects of higher resolution due to the laser  
104 | precision. However, commonly used materials are powdered forms of plastics, ceramics and  
105 | metal alloys that require high temperatures (~~1000°C and or more higher~~) and high-energy  
106 | lasers (~~250W and higher or more~~) to be sintered (~~Vrancken et al., 2012~~). These harsh  
107 | printing conditions have hindered entry of this technology into the pharmaceutical field. It is  
108 | widely recognised that the high-energy input of the laser may degrade drugs if they are  
109 | used as the starting material (Alhnan et al., 2016; Yu et al., 2008). For these reasons, the  
110 | sole use of SLS printing in the medical field has been limited to either tissue engineering  
111 | scaffolds (Partee et al., 2006) or drug delivery devices where the drug was included after the  
112 | printing process (Cheah et al., 2002; Leong et al., 2006). So far, no studies have been  
113 | reported investigating the production of drug loaded formulations using SLS.

114 | The aim of this study was to explore SLS printing as a suitable 3DP technology for the  
115 | preparation of drug loaded oral dosage forms using pharmaceutical grade excipients. The  
116 | versatility of the printer was evaluated using two different polymers commonly used to  
117 | manufacture immediate and modified release oral formulations.

118

## 119 | **2. Materials and methods**

120 | Paracetamol USP grade (Sigma-Aldrich, UK) was used as a model drug (MW 151.16,  
121 | solubility at 37°C: 21.80 g/L (Yalkowsky and He, 2003)).

122 Kollicoat IR (BASF, UK) is a graft copolymer composed of 75% polyvinyl alcohol units  
123 and 25% polyethylene glycol units with a molecular weight of approximately 45,000 Daltons  
124 that is mainly used for instant release coatings (BASF, 2017). Eudragit L100-55, a  
125 copolymer of methacrylic acid and ethyl acrylate (1:1 ratio) a-methacrylic acid co-polymer  
126 that dissolves at pH above 5.5 and above (Evonik, 2017), was donated by Evonik, UK.  
127 Candurin® Gold Sheen was purchased kindly donated from by Azelis, UK. The salts for  
128 preparing the buffer dissolution media were purchased from VWR International Ltd., UK.

129

130 2.1. *Printing process*

131 For each formulation 100g of a mixture of drug and excipients were blended using a  
132 mortar and pestle (Table 1). 3% of Candurin® Gold Sheen ~~colourant~~ was added to the  
133 formulations as an absorbent material to enhance energy absorption from the laser and ~~aid~~  
134 allow printability.

135 Powder mixtures were then transferred to a-Desktop SLS printer (Sintratec Kit, AG, Brugg,  
136 Switzerland) to fabricate the oral dosage formulations. AutoCAD 2014 (Autodesk Inc., USA)  
137 was used to design the templates of the cylindrical printlets (10 mm diameter x 3.6 mm  
138 height). 3D models were exported as a stereolithography (.stl) file into 3D printer Sintratec  
139 central software Version 1.1.13.

140 Powder in the platform reservoir (150x150x150 mm) of the printer was moved by a sled  
141 to a building platform (150x150 mm) creating a flat and homogeneously distributed layer of  
142 powder. The printer was warmed up for at least one hour to allow the heat to be thoroughly  
143 distributed inside the printer including the whole reservoir of powder. Two different  
144 temperatures were chosen and kept the same for all formulations in this study: a chamber  
145 temperature (90°C), indicating the temperature inside the printer; and a surface temperature  
146 (110°C), indicating the surface temperature of the powder bed in the building platform. The  
147 printing process started with the activation of a When the heating process was completed,  
148 the 2.3 W blue diode laser (445 nm) was activated (laser scanning speed 90 mm/sec) to  
149 sinter the powder on to the building platform in a certain pattern based on the .STL file. At  
150 this point, the reservoir platform moved up, the building platform moved down and the sled  
151 distributed a thin layer of powder on top of the previous layer. This process was repeated  
152 layer-by-layer until the object was completed. Printlets were then removed from the powder  
153 bed and the excess power was brushed off. Five-Ten printlets were printed at the same time  
154 for each formulation.

155

156 2.2. *Powder spectrophotometer analysis*

157 UV-Vis-NIR spectrophotometer Shimadzu UV-2600 was employed to measure the  
158 absorbance in the solid state of the drug and/or excipient-~~sand/or colourant material~~.  
159 Absorbance at wavelengths between 220-1400 nm, was measured at room temperature  
160 (approximately 25°C) using an integrating sphere as “Diffuse Reflectance Accessory (DRA)”.  
161 Here 0.15g of material to be evaluated (polymer, drug, ~~colourant~~ or mixtures of ~~themthese~~)  
162 was blended with 0.5g of barium sulphate and compressed to form a barium sulphate disk  
163 that is introduced in the spectrophotometer for analysis.

164

165 *2.3. Thermal analysis*

166 Differential scanning calorimetry (DSC) ~~and thermogravimetric analysis (TGA)~~ ~~wasere~~  
167 used to characterise the powders and the drug loaded printlets. DSC measurements were  
168 performed with a Q2000 DSC (TA instruments, Waters, LLC, USA) at a heating rate of  
169 10°C/min. Calibration for cell constant and enthalpy was performed with indium (Tm =  
170 156.6°C, ΔHf =28.71 J/g) according to the manufacturer instructions. Nitrogen was used as a  
171 purge gas with a flow rate of 50 mL/min for all the experiments. Data were collected with TA  
172 Advantage software for Q series (version 2.8.394), and analysed using TA Instruments  
173 Universal Analysis 2000. All melting temperatures are reported as extrapolated onset unless  
174 otherwise stated. TA aluminium pans and lids (Tzero) were used with an average sample  
175 mass of 8-10mg.

176 ~~For TGA analysis, samples were heated at 10°C/min in open aluminium pans with a~~  
177 ~~Discovery TGA (TA instruments, Waters, LLC, USA). Nitrogen was used as a purge gas with~~  
178 ~~a flow rate of 25 mL/min. Data collection and analysis were performed using TA Instruments~~  
179 ~~Tries software and % mass loss and/or onset temperature were calculated.~~

180

181 *2.4. X-ray powder diffraction (XRPD)*

182 Discs of 23mm diameter x 1mm height made from the mixtures of drug and excipients  
183 were 3D printed and analysed. Samples of pure paracetamol and the mixtures were also  
184 analysed. The X-ray powder diffraction patterns were obtained in a Rigaku MiniFlex 600  
185 (Rigaku, USA) using a Cu Kα X-ray source ( $\lambda=1.5418\text{\AA}$ ). The intensity and voltage applied  
186 were 15 mA and 40 kV. The angular range of data acquisition was 3–60° 2θ, with a stepwise  
187 size of 0.02° at a speed of 5°/min.

188

189 *2.5. ~~Printlets C~~characterisation of the printlets*

190 *2.5.1. Determination of printlet morphology*

191       The diameter and thickness of the printlets were measured using a digital calliper.  
192 Pictures were taken with a Nikon CoolpixS6150 with the macro option of the menu.

193       2.5.2. *Determination of printlet strength*

194       The crushing strength of ten printlets of each type was measured using a traditional  
195 tablet hardness tester TBH 200 (Erweka GmbH, Heusenstamm, Germany), whereby an  
196 increasing force is applied perpendicular to the printlet axis to opposite sides of a printlet  
197 until the printlet fractures.

198

199       2.5.3. *Determination of printlet friability*

200       Approximately 6.5 g of printlets were weighed and placed into the drum of a Friability  
201 Tester Erweka type TAR 10 (Erweka GmbH, Heusenstamm, Germany). The drum was then  
202 rotated at 25 rpm for 4 min and the sample re-weighed. The friability of the sample is given  
203 in terms of weight loss, expressed as a percentage of the original sample weight.

204

205       2.5.4. *Scanning Electron Microscopy (SEM)*

206       Surface and cross-section images of the printlets were taken with a scanning electron  
207 microscope (SEM, JSM-840A Scanning Microscope, JEOL GmbH, Germany). All samples  
208 for SEM testing were coated with carbon (~30–40 nm).

209

210       2.5.5. *X-ray Micro Computed Tomography (Micro-CT)*

211       A high-resolution X-ray micro computed tomography scanner (SkyScan1172, Bruker-  
212 microCT, Belgium) was used to 3D visualize the internal structure, density and porosity of  
213 the printlets. All oral formulations were scanned ~~using no filter~~ with a resolution of  
214 2000x1048 pixels. 3D imaging was performed by rotating the object through 180° with steps  
215 of 0.4° and 4 images were recorded for each of those. The total acquisition time was ~~about~~  
216 25 mins per sample. Image reconstruction was performed using NRecon software (version  
217 1.7.0.4, Bruker-microCT). 3D model rendering and viewing were performed using the  
218 associate program CT-Volume (CTVol version 2.3.2.0) software. The collected data was  
219 analysed using the software CT Analyzer (CTan version 1.16.4.1). Different colours were  
220 used to indicate the density of the printlets. Porosity values were calculated using the 3D  
221 analysis in the morphometry preview (200 layers were selected at the central part of the  
222 printlet as area of interest and analysed).

223

224       2.5.6. *Determination of Drug Content*

225 | Three individual printlets of each formulation A printlet (approximately 0.2 g) whereas  
226 | placed in a separate volumetric flasks with deionized water (250ml). In the case of the  
227 | Eudragit-based printlets, 3 drops of 5N NaOH were added to the flasks to increase the pH in  
228 | order to dissolve the polymers under magnetic stirring until complete dissolution. Samples of  
229 | solution were then filtered through 0.45 mm filters (Millipore Ltd., Ireland) and the  
230 | concentration of drug determined with HPLC (Hewlett Packard 1050 Series HPLC system,  
231 | Agilent Technologies, UK). The validated high performance liquid chromatographic assay  
232 | entailed injecting 20 mL samples for analysis using a mobile phase, consisting of methanol  
233 | (15%) and water (85%), through an Ultra C8 5 µm column, 25 x 4.6 mm (Restek, USA)  
234 | maintained at 40°C. The mobile phase was pumped at a flow rate of 1 mL/min and the  
235 | eluent was screened at a wavelength of 247 nm.All measurements were made in triplicate.

236 | *2.5.7. Dynamic dissolution testing conditions*

237 | Drug dissolution profiles for the formulations were obtained with a USP-II apparatus  
238 | (Model PTWS, Pharmatest, Germany): 1) the formulations were placed in 750 mL of 0.1 M  
239 | HCl for 2 h to simulate gastric residence time, and then 2) transferred into 950 mL of  
240 | modified Hanks (mHanks) bicarbonate physiological medium for 35 min (pH 5.6 to 7); 3) and  
241 | then in modified Krebs buffer (1000ml) (pH 7 to 7.4 and then to 6.5). The modified Hanks  
242 | buffer based dissolution medium (Liu et al., 2011) (136.9 mM NaCl, 5.37 mM KCl, 0.812 mM  
243 | MgSO<sub>4</sub>.7H<sub>2</sub>O, 1.26 mM CaCl<sub>2</sub>, 0.337 mM Na<sub>2</sub>HPO<sub>4</sub>.2H<sub>2</sub>O, 0.441 mM KH<sub>2</sub>PO<sub>4</sub>, 4.17 mM  
244 | NaHCO<sub>3</sub>) forms an in-situ modified Krebs's buffer (Fadda et al., 2009) by addition of 50 mL of  
245 | pre-Krebs solution (400.7 mM NaHCO<sub>3</sub> and 6.9 mM KH<sub>2</sub>PO<sub>4</sub>) to each dissolution vessel.  
246 | The formulations were tested in the small intestinal environment for 3.5 h (pH 5.6 to 7.4),  
247 | followed by pH 6.5 representing the colonic environment (Fadda et al., 2009; Goyanes et al.,  
248 | 2015c; Goyanes et al., 2015d; Liu et al., 2011). The medium is primarily a bicarbonate buffer  
249 | in which bicarbonate (HCO<sub>3</sub><sup>-</sup>) and carbonic acid (H<sub>2</sub>CO<sub>3</sub>) co-exist in an equilibrium, along  
250 | with CO<sub>2</sub> (aq) resulting from dissociation of the carbonic acid. The pH of the buffer is  
251 | controlled by an Auto pH System<sup>TM</sup> (Merchant et al., 2012; Merchant et al., 2014), which  
252 | consists of a pH probe connected to a source of carbon dioxide gas (pH-reducing gas), as  
253 | well as to a supply of helium (pH-increasing gas), controlled by a control unit. The control  
254 | unit is able to provide a dynamically adjustable pH during testing (dynamic conditions) and to  
255 | maintain a uniform pH value over the otherwise unstable bicarbonate buffer pH.

256 | The paddle speed of the USP-II was fixed at 50 rpm and the tests were conducted at 37  
257 | +/-0.5 °C (n=3). Sample of the dissolution media (1mL) was withdrawn every hour and the  
258 | drug concentrations were determined by HPLC to calculate the percentage of drug released  
259 | from the formulations.

260

261     **3. Results and discussion**

262       Two thermoplastic excipients frequently used in hot melt extrusion, Kollicoat IR  
263       ([Kollicoat](#)) and Eudragit L100-55 ([Eudragit](#)), were initially tested to evaluate their printability  
264       by SLS 3DP, alone or in combination with 5% paracetamol. However, in the preliminary  
265       experiments, the laser did not [lead to sintering of the powders.](#)[have any effect on the](#)  
266       [polymer powders.](#)

267       SLS printers use a unique binding thermal process to connect the powder particles  
268       together (Shirazi et al., 2015). The laser is aimed to draw a specific pattern on the powder  
269       bed that increases the local temperature. If the temperature reaches a value between the  
270       melting temperature ( $T_m$ ) of the material and  $T_m/2$ , a solid-state sintering will happen that  
271       partially fuses the powder particles together. If the temperature overcomes the  $T_m$ , a full  
272       melting occurs producing stronger objects with reduced porosity, as the molten polymer will  
273       infiltrate into the voids between the powder particles.

274       The ideal temperature can be reached by adjusting the internal temperature of the  
275       printer and the laser scanning speed. By reducing the laser scanning speed, a longer  
276       interaction time between the powder particles and the laser beam leads to a higher  
277       transmission of energy producing denser objects. On the contrary, upon increasing the laser  
278       scanning speed, less energy is transmitted leading to the production of weaker and more  
279       porous objects (Shirazi et al., 2015).

280       Since even a [slower](#) laser scanning speed did not produce any sintering effect on the  
281       powder bed it was [supposed hypothesized the absence of interaction between the laser](#)  
282       [beam and the powder.](#)[that the powder absorbance was not adequate.](#) The evaluation of the  
283       absorbance [characteristics](#) for the two polymers and paracetamol was obtained using a  
284       Shimadzu UV-3600 Plus UV-VIS-NIR spectrophotometer with an integrating sphere. The  
285       absorbance values checked at the same wavelength of the blue diode laser provided with  
286       the printer (445 nm) were all close to the baseline indicating that the selected excipients did  
287       not absorb the laser light precluding the sintering process. [Candurin® gold sheen an Since](#)  
288       [the laser is in the blue spectrum, the maximum absorbance occurs with its complementary](#)  
289       [colours, orange or yellow. Therefore, a GRAS](#) approved pharmaceutical [excipient colourant](#)  
290       used for coating of tablets ([Candurin® gold sheen](#)) was [selected and](#) included into the drug  
291       and polymer mixture at 3% w/w [and showed due to its a](#) good degree of absorbance at 445  
292       nm. [suggesting a possible laser sintering.](#)

293       In contrast to the previous tests without colourant, By including the colourant [Candurin®](#)  
294       gold sheen absorbent material in the mixtures, the powder particles in the area where the  
295       laser was aimed were sintered and well connected. For this study, a chamber temperature of

296 90 °C, a surface temperature of 110 °C and a laser scanning speed of 90 mm/sec were  
297 found to be suitable parameters which were maintained throughout printing of all the  
298 formulations. The manufacture of solid dosage forms was successfully achieved and the  
299 printing process was then repeated to obtain six different formulations containing 3% w/w  
300 | Candurin® gold sheenabsorbent material~~colourant~~, based on either Kollicoat IR or Eudragit  
301 L100-55, each with three different drug loadings (5, 20 and 35% w/w) (Table 1). The  
302 formulations produced were all smooth and yellow in colour (Figure 1).

303 The printlet strength data for Kollicoat formulations exceeded the highest value that the  
304 equipment could measure because the printlets did not break but they deformed. For  
305 Eudragit formulations, crushing strength values ranged between 284N and 414N (Table 2).  
306 Friability of all the formulations was less than 1%, complying with the US pharmacopeia  
307 requirement for uncoated tablets, making them suitable for handling and packing (USP,  
308 2017).

309 X-ray micro-CT was employed to calculate closed and open porosity of the printlets  
310 (Table 2) and to visualise their internal structures (Figure 2). Kollicoat formulations showed  
311 | similar total porosity (closed + open porosity) values for all three drug loadings, whereas the  
312 Eudragit formulations showed a clear reduction in total porosity with increasing drug content.  
313 Additionally, the higher the drug content, the more the closed porosity (in E5 there were  
314 almost no closed pores, while in E35 more than 80% of the total porosity was made up of  
315 closed pores) suggesting that the material was more sintered or even melted. Different  
316 colours were given depending on the density levels. All printlets showed similar density  
317 | values except for E35 that which was denser, in part due to explained by its very low  
318 porosity and high crushing strength (Table 2).

319 SEM images of the printlets provided a visual confirmation of the porosity and the  
320 strength values discussed above (Table 2). Kollicoat formulations images show a sintering  
321 process for K5 (limited molten areas) that becomes a combination of sintering/melting (more  
322 molten areas are visible) for K20 and an almost total melting for K35 leading to stronger  
323 printlets. The same trend is clearly visible for Eudragit formulations, where the single  
324 spherical polymer particles can be easily distinguished in E5 while they become  
325 indistinguishable for the 35% loaded printlets. Additionally, it is clearly visible in E5 as the  
326 sintering process, created mainly open pores, while E35, being effectively melted, has  
327 mainly closed pores.

328 Since the laser degradation of the drug was a main concern of the feasibility study, the  
329 drug content of the printlets were evaluated. All the values were close to the theoretical drug  
330 loading (5, 20 and 35%) and no other peaks other than paracetamol were present in the

331 HPLC chromatograms, indicating that the drug degradation did not take place ~~occur~~ during  
332 printing (Table 2).

333 DSC and X-ray analyses of the drug, main~~individual~~ polymers, mixed materials before  
334 printing and printlets were performed to explore the drug phase state and to which degree  
335 the drug is incorporated into the polymers (Figures 4 and 5). DSC data shows that  
336 paracetamol raw material melts at around 168°C indicative of form I (Goyanes et al., 2015e).  
337 The DSC data of the printlets showed no evidence of melting at around 168°C, indicating  
338 that the drug is either molecularly dispersed within the polymer matrix as a solid dispersion  
339 or that the drug is dissolved into the polymer during the temperature increase within the DSC  
340 process. It is possible to observe an endotherm attributed to the melting of paracetamol in  
341 the physical mixture for all the polymers even at the lowest drug content, indicating that part  
342 of the drug is in the crystalline form.

343 In accordance with the DSC, X-ray diffractograms showed semi-crystalline patterns with the  
344 presence of the characteristic paracetamol peaks in all the physical mixtures.

345  
346 X-ray powder diffractograms for Kollicoat printlets show paracetamol peaks and the patterns  
347 of the polymers are similar to those of the physical mixture (Figure 5). This confirms that at  
348 least part of the drug is present in a crystalline form.

349 Diffractograms of the Eudragit printlets do not show any paracetamol peaks at any level of  
350 drug loading (Figure 5). This confirms that the drug in the Eudragit printlet is present in an  
351 amorphous phase within the polymer matrix, as observed in the DSC. Paracetamol, which  
352 has a high melting point of about 168°C, may have dissolved in the molten polymer during  
353 the printing process.

354 Figures 6 and 7 show the dissolution characteristics of all the formulations. Printlets  
355 were tested in the dynamic *in vitro* model, which simulates gastric and intestinal conditions of  
356 the gastrointestinal tract (Goyanes et al., 2015c). A reduction in the size of the formulations  
357 during the dissolution tests was observed, indicating that erosion processes may be involved  
358 in modulating drug release from these 3DP formulations, as previously suggested (Goyanes  
359 et al., 2016b).

360 The dissolution profiles of Kollicoat printlets show that drug release commenced during  
361 the gastric phase and was not affected by the pH of the media, indicating that the  
362 formulations were pH independent (Figure 6).

363  
364 K5 reached over 80% of drug release in about 30 mins whereas K20 and K35 reached the  
365 same value in about 2h and 5h, respectively (Figure 6). A complete drug release was  
366 achieved in about 2h for K5, compared to about 10h for both K20 and K35. As previously

seen (Figures 2 and 3, Table 2), an increasing drug content leads to more sintered/melted and less porous printlets that will require longer time to dissolve. For all three Kollicoat formulations, after 24h dissolution test, a small residue of printlet was found in the vessels; however, the drug was already entirely released.

The dissolution results for Eudragit printlets showed some drug release in the gastric phase (acidic medium) that increased during the intestinal phase (biorelevant bicarbonate buffers), being dependent on the nature or pH of the media (Figure 7).

374

375

376 Eudragit L100-55 is an enteric polymer, however some paracetamol was released during the  
377 first 2h (acidic environment) for all three formulations. This may be as a consequence of their  
378 matrix structure, whereby the drug is evenly distributed including at the external surface,  
379 permitting release once in contact with the dissolution media. As expected, dissolution data  
380 after 2h showed about 18%, 14% and 6% paracetamol release for E5, E20 and E35,  
381 respectively. These values are correlated with the open porosity of the printlets (Table 2); E5  
382 is highly porous and only 0.1% of its total porosity is due to closed pores, allowing the acidic  
383 media to come into contact with a large surface area of the printlet. Conversely, E35 has a  
384 very low porosity that is mainly due to closed pores inside the printlet, limiting the surface in  
385 contact with the media and thus the drug release.

386 After the first 2h, all three formulations started to release faster in intestinal conditions at  
387 pH 5.5 and above with the right pH threshold (above pH 5.5) leading to a complete  
388 dissolution in about 12h. However, in the case of E5, drug release slowed down the release  
389 of drug in colonic conditions (after 5h 30mins<sup>2</sup>) probably presumably because the formulation  
390 was composed of 92% w/w enteric polymer, which was likely to be more affected by the  
391 reduction in pH, compared to E20 and E35.<sup>2</sup>

392 Interestingly, the overall release from the Eudragit printlets fabricated using SLS at three  
393 different drug loadings was analogue very similar. The same similar release profile was for  
394 Eudragit formulations is explained by a proportionally stronger sintering/melting effect on  
395 increased drug loaded printlets, as previously discussed (Figures 2 and 3, Table 2). This is  
396 different to FDM printlets that dissolved proportionally faster with an increased drug content  
397 (Goyanes et al., 2017; Goyanes et al., 2016b; Goyanes et al., 2015g). The same release  
398 profile for Eudragit formulations is explained by a proportionally stronger sintering/melting  
399 effect on increased drug loaded printlets, as previously discussed (Figures 2 and 3, Table 2).  
400 Eudragit formulations might then provide a platform that allows to maintain the same release  
401 profile during a therapy with a progressive modulation of the drug dosage.

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402 Overall, the dissolution data shows the versatility of SLS printing to produce medicines  
403 with different pharmaceutical polymers release profiles and different drug loadings. More  
404 importantly, since no drug degradation was detected during this study, this work opens up  
405 the SLS 3DP technology to further investigation in the pharmaceutical field.

406

407 **4. Conclusion**

408

409 In this proof-of-concept study, a desktop-SLS printer was used to manufacture oral  
410 medicines using pharmaceutical grade excipients without degradation of the drug. The  
411 versatility of SLS technology has been demonstrated with the successful manufacture of  
412 immediate-release and modified-release formulations with three different drug loadings.  
413 This work demonstrates the potential of SLS 3DP to produce personalized medicines;  
414 adding SLS to the armamentarium widening the number of 3DP technologies available for  
415 the commercial to-manufacture of medicines.

416

417

418

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519    **Figure Captions**

520

521    **Figure 1.** Image of the printlets, on the top from left to right K5, K20, K35; at the bottom,  
522    from left to right E5, E20, E35.

523

524    **Figure 2.** X-ray micro-CT images of a quarter section of the printlets. On the top from left to  
525    right K5, K20, K35. On the bottom, from left to right E5, E20, E35.

526

527    **Figure 3.** SEM images of the printlets vertical sections, on the top from left to right K5, K20,  
528    K35. On the bottom, from left to right E5, E20, E35.

529

530    | **Figure 4.** DSC thermograms of pure paracetamol, [main individual polymers,](#) mixtures before  
531    printing and printlets.

532

533    | **Figure 5.** X-ray powder diffractograms of pure paracetamol, mixtures before printed and  
534    3DP discs.

535

536    | **Figure 6.** Drug dissolution profiles from Kollicoat printlets. Red line shows the pH values of  
537    the media.

538

539    | **Figure 7.** Drug dissolution profiles from Eudragit printlets. Red line shows the pH values of  
540    the media.

541

**Table 1.** Printlets composition

Formulation*	Kollicoat IR (%)	Eudragit L100-55 (%)	Paracetamol (%)
K5	92	-	5
K20	77	-	20
K35	62	-	35
E5	-	92	5
E20	-	77	20
E35	-	62	35

\*All formulations contain 3% w/w Candurin® Gold Sheen.

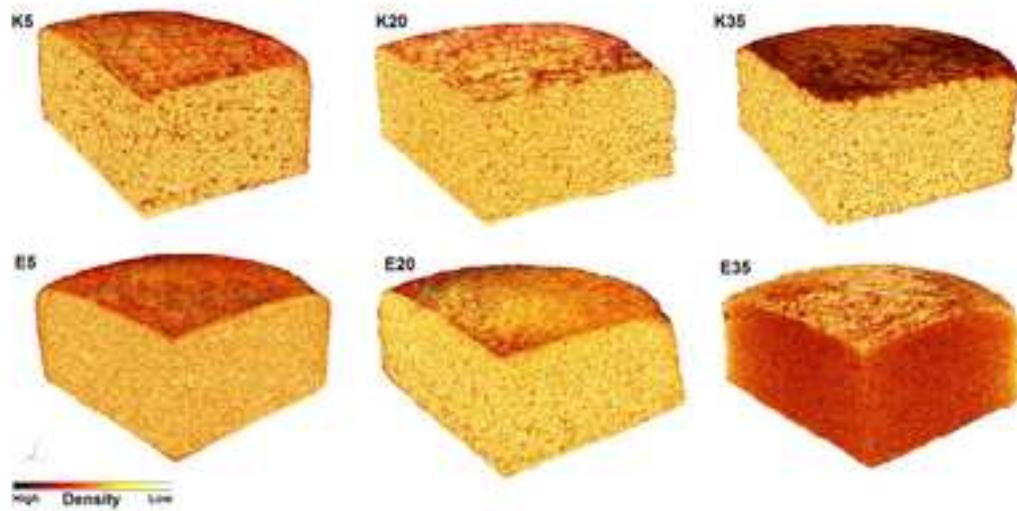
**Table 2.** Physical properties of the printlets

Formulation	Drug loading	Crushing strength (N)	Friability (%)	Closed porosity (%)	Open porosity (%)
K5	4.9	> 485	0.02	0.2	33.3
K20	20.4	> 485	0.08	0.4	24.6
K35	35.7	> 485	0.13	0.8	24.0
E5	5.0	284	0.56	0.1	29.3
E20	20.1	285	0.31	1.0	20.3
E35	35.3	414	0.11	4.7	1.0

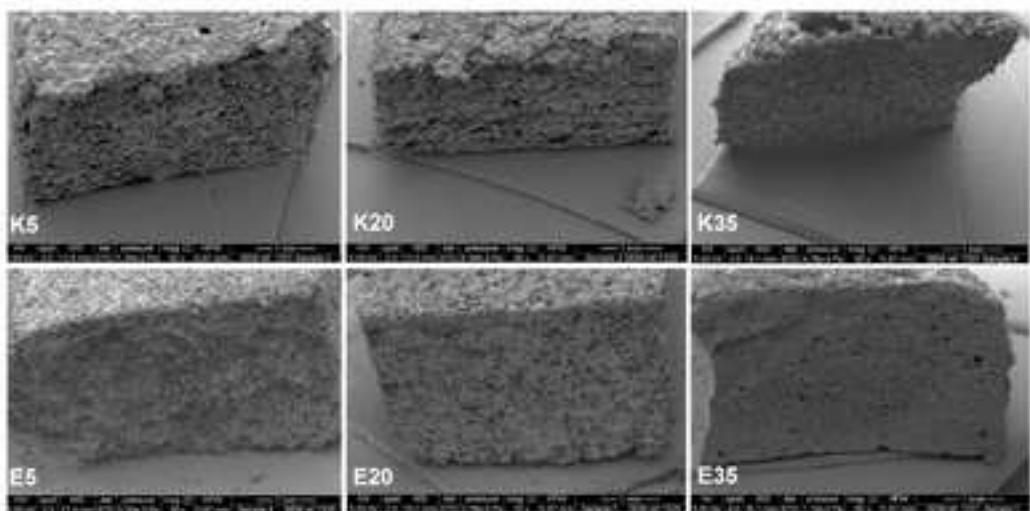
Figure 1



**Figure 2**



**Figure 3**



**Figure 4**

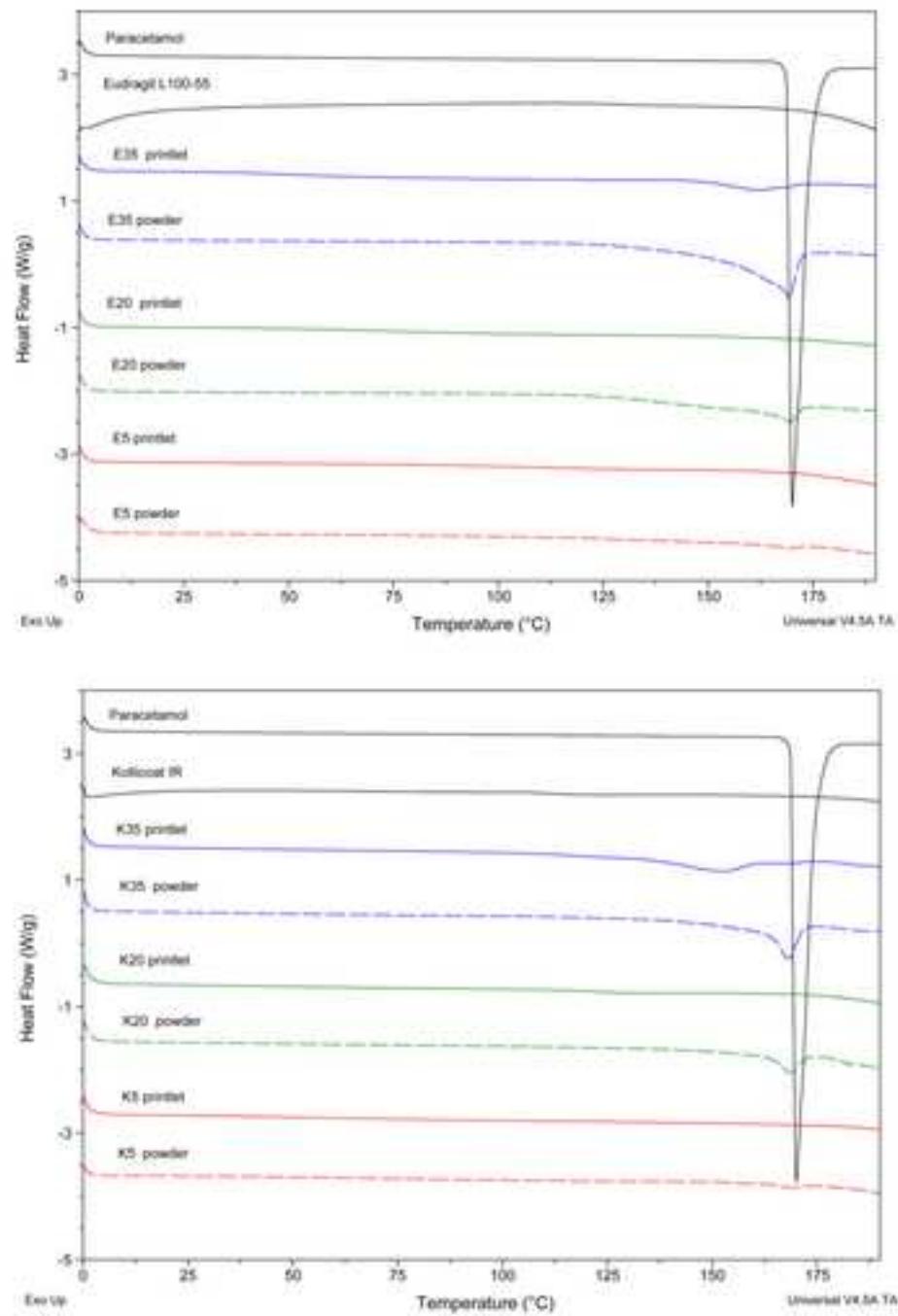
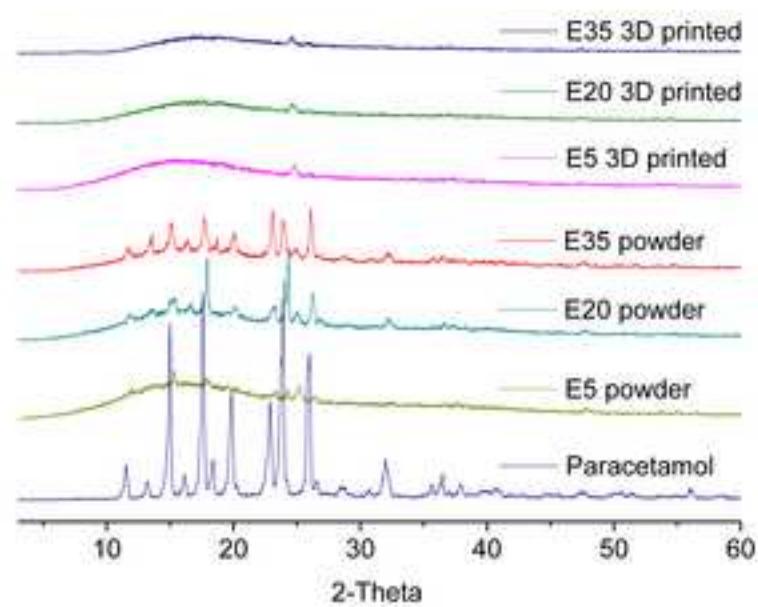
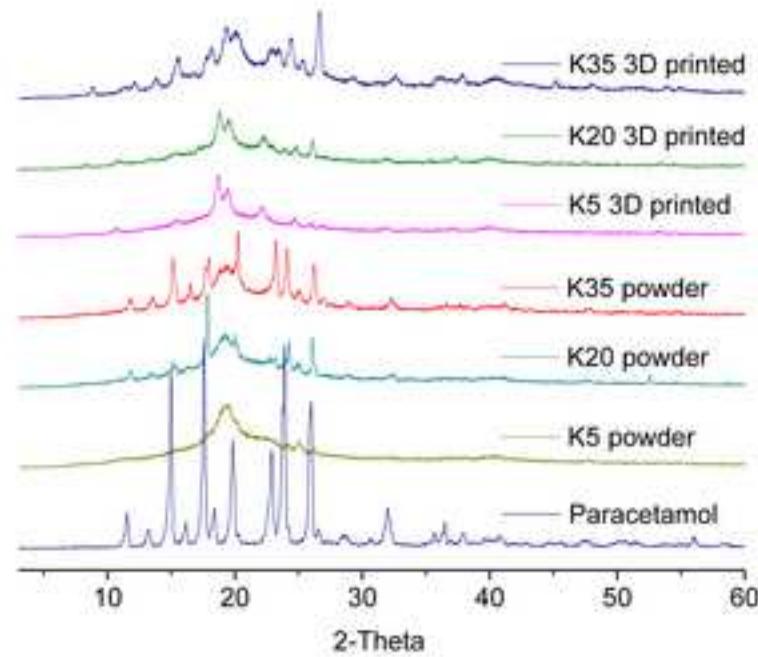
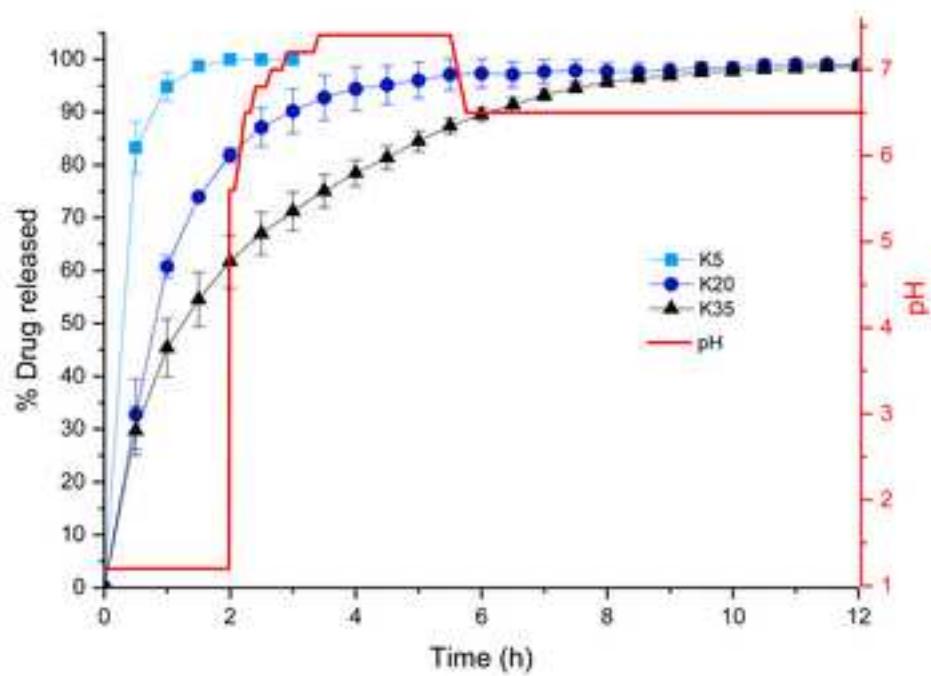


Figure 5



**Figure 6**



**Figure 7**

