

# Acoustic force measurements on polymer-coated microbubbles in a microfluidic Device

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## Abstract

This work presents an acoustofluidic device for manipulating coated microbubbles, designed for the simultaneous use of optical and acoustical tweezers. A comprehensive characterization of the acoustic pressure in the device is presented, obtained by the synergic use of different techniques in the range of acoustic frequencies where visual observations showed aggregation of microbubbles. In absence of bubbles, the combined use of laser vibrometry and finite element modelling supported a non-invasive measurement of the acoustic pressure and an enhanced understanding of the system resonances. Calibrated holographic optical tweezers were then used for a direct measurement of the acoustic forces acting on an isolated microbubble at low driving pressures and to confirm the spatial distribution of the acoustic field. This allowed quantitative pressure measurements by particle tracking using polystyrene beads and an evaluation of the related uncertainties. The extension of the tracking technique to polymer-coated microbubbles allowed acoustic force measurements at higher pressures, highlighting four peaks in the acoustic response of the device. Results and methodologies are relevant to acoustofluidic applications requiring a precise characterization of the acoustic field and, in general, to biomedical applications with microbubbles or deformable particles.

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## I. INTRODUCTION

Current medical applications that exploit micron-sized lipid-coated microbubbles require, in addition to number concentration and size distribution of the bubbles, an accurate knowledge of their acoustic emission, dictated by the bubble coating parameters (i.e. shell viscosity, stiffness, thickness). The acoustic fingerprint of the selected bubbles is then used as input for scanning systems and procedures<sup>1</sup>. For current diagnostic applications, however, precise knowledge of bubble parameters is not strictly necessary: contrast enhanced ultrasound in the detection of liver cancer or heart diseases relies on large statistical populations of bubbles and is successful with a binary response i.e. an area brighter/darker than the background indicates a change in the blood distribution and therefore a potential metastasis<sup>2-3</sup>. Precise characterization of the acoustical behaviour of bubble populations becomes crucial when extending diagnostic applications to areas with less blood (e.g. prostate, breast) or for therapeutic developments such as drug delivery and targeted microbubbles<sup>4-6</sup>. In these emerging applications ligands and drugs are introduced into the bubble coating and, consequently, knowing how this will affect bubble behaviour under acoustic excitation will be necessary for dosimetry and quantitative imaging<sup>7</sup>. Additionally, if the acoustic emission is sufficiently well known, the non-linear response of bubbles to environmental changes makes them potentially sensitive bio-sensors<sup>8</sup>. With these aims, it is advantageous to devise a metrological environment where bubbles can be in terms of how energy is transferred to its microchannels and of the acoustic forces acting on polymer-coated bubbles, with the aim of designing a protocol to determine the acoustic pressure in a generic microfluidic chip, as a function of the driving parameters and with the lowest possible uncertainty. In order to avoid direct measurements of the pressure using a needle hydrophone,

which would greatly perturb the field within the comparably-sized microchannel, two different methods have been used giving complementary information: finite elements (FE) calibrated laser vibrometry and particle tracking. In addition to this, a calibrated Laguerre-Gaussian optical trap was used to directly measure the acoustic forces acting on the bubbles as a function of their position in the device. The experimental procedure allowed the use of polymer coated microbubbles themselves as tracers, so that direct force measurements were possible on them at higher driving pressures.

#### **A. ADVANTAGES OF A HYBRID MANIPULATION TOOL**

Optical tweezers seem the perfect micro-manipulation tool, as they have demonstrated their potential to manipulate microbubbles<sup>9-10</sup>. In some studies<sup>11-14</sup>, lipid-coated microbubbles were manipulated by optical tweezers to a fixed distance from a wall or from another bubble and then an acoustic pulse train was sent to excite volume oscillations.

Variations in high-speed dynamics<sup>11-12</sup> or in the acoustic emission<sup>13;14</sup> were then observed and analyzed, inferring from it changes in the involved forces. In these studies, bubble shell characteristics were taken as input parameters known with high precision and, since the acoustic field was generated from a transducer in the farfield, there was little control on the local value of the acoustic pressure acting on the bubble. In all these studies, moreover, the laser light was removed before the arrival of the exciting acoustic pulses and the bubble was recaptured in the optical trap after each experiment.

A possible reason for this *modus operandi* is the different scale of the acoustical and optical forces near bubble resonance: primary Bjerknes forces can easily reach the nano-newton range<sup>15</sup>, while the maximum optical trapping force is often of the order of a few piconewtons<sup>16-17</sup>. The optical field is therefore not sufficient to maintain the bubbles in place during near-resonance excitation. Bubble manipulation, in the presence of an acoustic probe in the 1-10 MHz range (i.e. where bubbles with diameters between 0.6 and 4  $\mu\text{m}$  have their resonance), therefore requires stronger forces than those exerted by optical trapping, and these can be offered by acoustic manipulation<sup>18</sup>. Acoustic forces on micron-sized particles of nano-newton order can be estimated, for instance, from the data presented by Barnkob et al. (2010)<sup>19</sup> and by Sitters et al. (2015)<sup>20</sup>.

Acoustic manipulation of micron-sized bubbles in an acoustofluidic device has been achieved by Rabaud et al.<sup>21-22</sup>, who worked with 20-50  $\mu\text{m}$  diameter bubbles and frequencies between 20 and 140 kHz to study bubble dynamics and interactions below bubbles' acoustic resonance. These authors report the squeezing of their bubbles on the coverslip and the generation of surface waves on the bubble surface.

Acoustical tweezers, however, offer a much lower spatial resolution than their optical counterpart due to the wavelength being larger, so a hybrid system is desirable. Simultaneous optical and acoustical trapping in a microfluidic device has been successfully realised using solid particles<sup>23-24</sup>, thus allowing the direct measurement of acoustic forces<sup>20;24-25</sup>. Hybrid manipulation of microbubbles, however, presents additional challenges: not only they are low-optical index particles, and therefore require non-conventional laser configurations for optical tweezing, but can acoustically be treated as particles only when the trapping frequency is below the bubble

resonance frequency ( $f_s$ ) and at pressures where shape/volume oscillations and bubble-bubble interactions (i.e. secondary Bjerknes forces<sup>26</sup>) can be neglected<sup>27</sup>. The possibility of measuring acoustic forces on microbubbles by their translational behaviour then depends on the range of parameters where these approximations hold.

## II. MATERIALS AND METHODS

Three different experimental set-ups have been used in this work, one for each of the measurement techniques detailed below (see supplementary section S1<sup>28</sup>). The core of each experimental set-up is a glass microfluidic chip [W: 25 mm, H: 2 mm, L: 20 mm], designed at the National Physical Laboratory (NPL) and manufactured by Dolomite Microfluidics (Royston, UK). The microfluidic chip (Figure 1) is made from four different glass layers fused together (by Dolomite) and presents a K-shaped manifold of etched microchannels ( $330\ \mu\text{m} \times 430\ \mu\text{m}$  section) and a trapezoidal window for lateral illumination of the central area (see section S2 of the supplementary information<sup>28</sup>). The chip is mounted on a glass base [W: 40 mm, H: 1 mm, L: 25 mm], which provides fluidic connection to the in/out ports, and the base itself is mounted on a metallic holder, that can in turn be inserted in the optical tweezers set-up or used outside it. The dimensions of the base+chip assembly are constrained by the necessity of mounting it under the optical microscope and the holder's design allows for quick insertion/extraction from the optical tweezers set-up.

Optical access to each channel was guaranteed by a  $100\ \mu\text{m}$  wide optically polished flat surface on its top and bottom, to eliminate lensing effects on the laser beams used for optical tweezing<sup>17</sup>.

The thickness of the polished at surface above the trapping region ("coverslip", in the following text) was 0.17 mm. The use of multiple layers allowed the channels and the window to have a rectangular section with rounded edges (see schematic<sup>28</sup>). The K-shaped geometry has been chosen to facilitate future studies, where the two inclined channels will be used for monitoring acoustic emission from the bubbles in spectroscopy experiments<sup>20, 29</sup>. The angle of inclination of the side channels is (almost) arbitrary and has no effect on the operation of the device described in this paper.

## A. ACOUSTIC MANIPULATION

The acoustic field is generated using a  $5.9 \times 5.9 \times 13$  mm Lead Zirconate Titanate (PZT) transducer (Morgan Ceramics Ltd., Southampton, UK, nominal resonance in air:  $\sim 154$  kHz), bonded on the device's top surface using conductive epoxy (Circuit works, CW2400). The voltage driving the PZT transducer was amplified using a chain formed by a signal generator (Agilent 33250A), a power amplifier (E&I, model A300) and a 1:25 step-up transformer. The latter reduced the impedance mismatch in the range 130-180 kHz. The trapping frequency was selected in the range 150-180 kHz, far below the resonant frequency of ultrasound contrast agents microbubbles (typically 2-10 MHz<sup>1-2</sup>), allowing for the simultaneous use of a trapping and an excitation pressure wave at different frequencies.

Polymer-coated microbubbles (Expancel<sup>TM</sup> WU-20, gas: iso-butane, coating: copolymer, diameter: 6-20  $\mu\text{m}$ , manufactured by Akzo Nobel, Amsterdam, NL) were used in this study, after being expanded by leaving them for 10 minutes in boiled water. For a polymeric-shelled bubble<sup>30</sup>, the stiffness  $\chi$  of the shell is given by  $\chi = 3E_s d_s / (2(1 + 2\nu))$  with  $E_s$  and  $\nu$  respectively Young

modulus and Poisson's ratio of the material in the shell and  $d_s$  its thickness. Using the Hoff model (see equation S1<sup>28</sup>) and typical properties from the literature (ambient pressure  $P_0 = 101$  kPa, specific heat ratio  $\gamma = 1.07$ , surface tension  $\sigma = 0.72$  N m<sup>-1</sup>, Young Modulus:  $E_s = 3$  GPa, Poisson's ratio  $\nu = 0.3$ , shell thickness  $d_s \geq 3$  nm)<sup>31;32</sup>, the resonant frequency for the range of Expancel diameters utilised in this study was also calculated to be above 1 MHz. Selecting 150-180 kHz as trapping range will allow simultaneous operation of trapping and probing fields in future studies.

## **B. FREQUENCY RANGE SELECTION: ELECTRIC IMPEDANCE AND VISUALIZATION**

Impedance spectroscopy can be used as a first technique to identify the natural modes of the system and select the operational regions where trapping of microbubbles in the device may occur<sup>18; 33</sup>. In this work, the electrical response of the whole system (i.e. PZT + glass chip + chip holder) was monitored using an impedance analyzer (Agilent, model 4294A), after filling the chip with a diluted solution containing 10% sodium dodecyl sulfate (SDS). Once the candidate frequencies had been identified, the microfluidic chip was inserted (with its holder) into the microscope set-up and filled with a diluted solution containing 10% sodium dodecyl sulfate (SDS) and polymer-coated Expancel microbubbles (300-500 bubbles/mL).

A quick check confirmed that the impedance did not change significantly when the chip was inserted under the microscope.

In presence of the SDS, bubbles did not stick on the coverslip. The acoustic field was then switched on and its frequency scanned over the range 100-180 kHz in 100 Hz steps.

Different cases were encountered: at some frequencies there was quick aggregation in

specific locations, at others aggregation was achieved over longer periods, at others there no aggregation was observed, but instead a movement of the particles/bubbles away from the transducer. When aggregation was observed, the experiment was run until the formed a "stable aggregation", whose centre of mass fluctuates very little (compared to its overall size).

A CCD camera (Thorlabs, model DCU223M) was used to monitor microbubble dynamics through an InfiniProbe TS-160 objective (Infinity, USA) in a bright field microscopy set-up, in order to visually determine which frequencies were more effective for trapping. Particular care was exercised to avoid pressure gradients across the microchannel manifold, as these would cause a background flow. In particular, the channels were first checked for the presence of air pockets, that were eliminated by flushing before sealing the chip sides. Then the inlet/outlet pipes were filled with the same amount of water+SDS solution, in order to avoid capillary flow, and the Nanoport™ fittings were sealed before operation.

### **C. FINITE ELEMENTS MODELLING AND LASER VIBROMETER**

A Finite Element (FE) model of the PZT + chip system was developed using the PAFEC vibro-acoustics software<sup>34</sup> and used to explore the acoustic pressure distributions in the trapping range. The total acoustic energy, proportional to the sum of the squared pressure over all the mesh nodes in the fluid, was used to identify potential resonances of the system in the acoustic spectrum<sup>18; 33</sup>. In the presence of standing waves, the FE model establishes a relationship between the displacement of the top surface and the pressure in the channel.

In this work, this relationship was exploited to derive a non-invasive estimate of the acoustic pressure in the channel by measuring the displacement of the glass surface 0.17 mm above the channel (i.e. on the top of the chip) with a laser vibrometer and calibrating the model.

For vibrometry measurements, the glass chip was filled with deionised water and maintained at room temperature. The top surface of the glass chip was masked with paper tape and scanned with a laser vibrometer (Polytec, PSV-400), while the PZT was driven across the frequency range of interest with 0.5 kHz resolution. With this analysis, it was possible to identify whether peaks in the impedance spectrum potentially corresponded to standing waves in the microfluidic channels<sup>35</sup>. Measurements of the velocity normal to the surface of the chip over the range 150-180 kHz were used to get a deeper understanding of how the vibrational energy is transferred to the microfluidic channel at different frequencies, through comparison with classical wave-propagation theories. This analysis, previously discussed elsewhere<sup>36</sup> and presented in a more comprehensive form here, highlighted frequencies where the acoustic field in the channel is due to refraction of Lamb waves at the interface and others where vibrational resonances of the chip dominated.

#### **D. TRACKING OF POLYSTYRENE BEADS AND POLYMER-COATED MICROBUBBLES**

For these experiments, carboxylated polystyrene beads (IZON, model CPC4000, nominal mode diameter: 3850 nm, nominal average diameter: 4000 nm) were injected into the chip and the trajectories of isolated particles moving towards the aggregation point were recorded, at a single frequency but for different transducer voltages, using the MTrackJ plugin in ImageJ (Fiji distribution<sup>37</sup>). Calibration of the images was obtained using a 400  $\mu\text{m}$  NPL graticule (National

Physical Laboratory, UK) and a basic thresholding method was used to establish the diameter of each tracked particle, thus allowing an independent measurement of their size distribution (measured mode diameter: 3.9  $\mu\text{m}$ , measured average diameter: 3.7  $\mu\text{m}$ , 90% percentile: 4.2  $\mu\text{m}$  - see supplementary figure S3). Uncertainties on diameter measurements are due to pixel resolution, but the images may be affected by defocusing. An uncertainty of  $\pm 0.2$   $\mu\text{m}$  was assigned to this method, taking into account both effects. Since an excellent agreement was observed between the statistical parameters given by the manufacturer and those measured optically in the case of CPC 4000, the same uncertainty was assumed for measurements with the (larger) bubbles.

A balance between  $F_{rad}$  (eq. 2) and Stokes drag  $F_{drag} = 6 \pi \eta_l a v_p$  (where  $\eta_l$  is the viscosity of the liquid,  $a$  the radius of the particle and  $v_p$  its velocity) allowed a second estimate of the acoustic pressure in the channel.

This method is well established in the literature when particles are involved<sup>19;38</sup>, but the key assumptions (i.e. low Reynolds number, constant spherical shape of the traced particle/bubble, 1D planar wave) needed a review before bubbles could be used as tracers.

Equally important was to establish the potential effects on drag of bubble deformability<sup>39;40</sup>, of walls presence<sup>22;39;41;42</sup>, of temperature changes<sup>43</sup>, of interparticle forces<sup>44</sup>. As discussed in the supplementary section S4, the cumulative effect of these factors is lower than 0.5 % in our set-up and was therefore neglected. As part of this process, threshold measurements allowed an independent measurement of the size distribution of the used sample of Expancel after expansion (measured mode diameter: 10.1  $\mu\text{m}$ , measured average diameter: 12.4  $\mu\text{m}$ , 90% percentile: 18.1  $\mu\text{m}$  - see supplementary figure S3).

Direct acoustic force measurements on Expancel bubbles were conducted in two different realisations of the chip in figure 1, named "C" and "K", as a way to test the robustness of this method when microbubbles are involved. The known differences between chip C and chip K were the type of nanofluidic ports utilised (F-122-H for chip C and F-125-H for chip K, both from Upchurch Scientific) and, potentially, the bonding of the PZT (made in-house).

## E. OPTICAL TWEEZERS

For these experiments, the glass chip (with its holder) was mounted in the optical tweezers set-up, fully detailed elsewhere<sup>45</sup>. The trapping laser was a single mode Nd:YAG laser (Laser Quantum, Ventus, wavelength:  $\lambda = 1064$  nm, used at fixed output power in this study:  $P_{\text{laser}} = 300$  mW, measured at the laser output). A Laguerre-Gaussian (LG) laser mode was holographically generated via imprinting a helical phase,  $\Phi = L\varphi$  ( $L = 12$  is the topological charge in this study, and  $\varphi$  is the azimuthal angle), on to the beam via a nematic liquid crystal spatial light modulator (SLM) (Boulder Non-Linear Systems Inc., XY Series,  $256 \times 256$  pixels). The focusing was provided by a high numerical aperture objective lens (Nikon, PLAN APO IR, 60x, 1.27NA, water immersion), corrected for the 0.17 mm thickness of glass above the channel. A CCD camera (Thorlabs, model DCU223M) was used for visualisation during optical trapping.

These parameter gave a laser power at the trap  $P_{\text{trap}} = 74 \pm 4$  mW, estimated assuming  $33 \pm 1$  % of the input power into the diffracted first order,  $75 \pm 1\%$  transmittance for the objective, and a trap diameter of  $4.3 \pm 0.1$   $\mu\text{m}$ , measured at the focus as the distance between two opposite intensity peaks in the LG mode.

For each measurement, the trapped bubble was positioned at 30  $\mu\text{m}$  below the coverslip and at a fixed position along the main channel (X axis). The position fluctuations of the bubble were measured by back-focal plane (BFP) interferometry<sup>20;46;47</sup>. While the forward-scattered light from the trapping beam is abundantly available for high-refractive index particles, the interference pattern was either weak or not observable for a microbubble trapped in the dark core of a Laguerre-Gaussian beam. A second, Gaussian probe laser beam (He-Ne, 2mW peak power) was therefore necessary: forward scattered light was captured by an aspheric condenser (Thorlabs ACL2520) and recorded by a quadrant photodiode (QPD) manufactured by Thorlabs (model PQD80A)<sup>17</sup>. Calibration of the QPD signal was obtained for each bubble size by moving the trapped bubble in the X and Y directions and comparing the recorded QPD voltage with the displacement observed by the camera: for a 12.4  $\mu\text{m}$  bubble, this gave a calibration factor of  $30.0 \pm 0.3 \mu\text{m V}^{-1}$ . The position fluctuations of the bubble within the optical trap were recorded for 10 seconds at 20,000 samples/s and then fitted with a normal distribution (mean:  $\mu_G$ , standard deviation:  $\sigma_G$ ). While  $\mu_G$  was used to check that no drift was present,  $\sigma_G$  was used to determine the trap stiffness  $s = k_B T / \sigma_G^2$ , where  $k_B$  is the Boltzmann constant and  $T$  is the temperature<sup>48</sup>. At this point, the acoustic field was switched on and the displacement of the average bubble position was used to determine the applied force. Displacements used in this study were in the linear range of the force-displacement relationship (Hooke's law)<sup>49</sup>.

### III. CHARACTERIZATION OF THE ACOUSTOFLUIDIC DEVICE

In order to identify the most effective operational conditions for acoustofluidic manipulation and for metrological purposes, a number of different techniques have been used to investigate the

acoustical response of the device. Each step in the procedure allowed a reduction in the number of frequencies potentially identified for acoustic trapping, until only four remained. Direct force measurements by optical tweezers were also used to check the main assumptions behind particle/bubble tracking methods.

### A. FORCE ON AN ISOLATED MICROSPHERE

In isothermal and inviscid conditions, an isolated microsphere in an acoustic standing wave experiences a force (Gor'kov model)<sup>38;50</sup>:

$$F_{\text{rad}} = -4\pi a^3 \left( \frac{1-\tilde{\kappa}}{3} \kappa_1 \langle p_{\text{in}}^2 \rangle - \frac{1}{2} \frac{\tilde{\rho}-1}{2\tilde{\rho}+1} \rho_1 \langle v_{\text{in}}^2 \rangle \right) \quad (1)$$

where  $a$  is the particle radius,  $p_{\text{in}}$  and  $v_{\text{in}}$  are the pressure and the velocity in the inviscid fluid due to the input acoustic wave,  $\tilde{\kappa} = \kappa_p/\kappa_l$  is the ratio between the compressibilities of the particle ( $\kappa_p$ ) and the liquid ( $\kappa_l$ ) and  $\tilde{\rho} = \rho_p/\rho_l$  is the ratio of their densities. In the simple case of a sinusoidal standing wave in the  $x$  direction, Equation 1 gives<sup>19</sup>:

$$F_{\text{rad}} = \frac{4}{3} \pi \Phi(\tilde{\kappa}, \tilde{\rho}) k a^3 \frac{p_a^2}{4\rho_l c_l} \left( \frac{1-\tilde{\kappa}}{3} \kappa_1 \langle p_{\text{in}}^2 \rangle - \frac{1}{2} \frac{\tilde{\rho}-1}{2\tilde{\rho}+1} \rho_1 \langle v_{\text{in}}^2 \rangle \right) \quad (2)$$

where  $p_a$  is the amplitude of the impinging unperturbed wave (i.e. in absence of scattering),  $\kappa_l = (\rho_l c_l^2)^{-1}$ ,  $c_l$  is the speed of sound in the liquid medium,  $k$  is the wave number and  $\Phi = \frac{5\tilde{\rho}-2}{2\tilde{\rho}+1} - \tilde{\kappa}$  is also known as acoustophoretic contrast factor. In the case of polystyrene or silica microspheres in water ( $\Phi > 0$ ), the force is dominated by the gradient of the squared acoustic pressure (i.e. the monopole term), driving the microspheres toward acoustic pressure nodes. Bubbles are nominally particles with  $\Phi < 0$  and should therefore move towards antinodes, but this is only true when the trapping

frequency is below the bubble resonance frequency ( $f_s$ ) and at pressures where shape/volume oscillations can be neglected, i.e. when they can be treated as particles<sup>27</sup>. The fact that the force depends on diameter and on physical properties allows sorting, mixing and counting applications, often achieved using acoustic frequencies in the MHz range<sup>18</sup>. As demonstrated by Barnkob et al. (2010)<sup>19;38</sup>, who pioneered the technique, it is possible to estimate the pressure in these devices by measuring the trajectories of known tracers and balancing the force in eq. 2 with drag (typically of the Stokes type). This technique will be utilised first with polystyrene beads and then extended to bubbles. In inviscid and isothermal conditions, this would lead to  $\Phi_{\text{CPC4000}} = 0.146$  and

$\Phi_{\text{Expancel}} = -6652.6$ , using physical properties from the literature<sup>31</sup>. According to a recent study<sup>43</sup>, however, the more complete thermoviscous conditions are more appropriate for the sizes of particles considered and affect the acoustic contrast factor. In the worst case scenario (160 kHz), at pressures where shape oscillations can still be neglected, the values for the most common particle/bubble sizes need to be updated to  $\Phi_{\text{CPC4000}} = 0.156$  and  $\Phi_{\text{Expancel}} = -6653.1$ . Propagated to pressure, these results determine a systematic decrease of ~3% in the single pressure measurements obtained by tracking CPC4000, thus increasing their accuracy. Conversely, they have negligible effect on bubble-based measurements, justifying the use for them of the inviscid formulae.

## **B. VISUAL ANALYSIS OF PEAKS IN THE IMPEDANCE SPECTRUM**

An impedance scan in the range 100 - 180 kHz, conducted across the impedance matching circuit after filling the chip with deionised water, showed 15 peaks, each potentially corresponding to a

resonance of the system (see supplementary section S6<sup>28</sup>). Not all of these peaks, however, would necessarily result in good trapping conditions: for some of these candidate frequencies energy is confined in parts of the chip not easily exploitable. For this part of the study, the chip was filled with Expancel, the input voltage was set (e.g. 20 mV peak-to-peak, also reported as 20 mV<sub>pp</sub>) and bubble dynamics was visually monitored in the range 100 - 180 kHz (100 Hz steps), with particular attention paid close to the peak frequencies identified by the impedance spectrum. With the exception of 105 kHz, most of the movement was observed in the range 130 - 176 kHz (i.e. where the impedance is better matched), but stable aggregation of Expancel microbubbles was observed only in discrete ranges:  $142.5 \pm 0.5$  kHz,  $163 \pm 3$  kHz,  $170 \pm 1$  kHz and  $174.5 \pm 0.5$  kHz. For all these frequencies, microbubbles moved towards an aggregation area, where they formed an ellipsoidal structure (see Figure 2 and related supplementary videos<sup>28</sup>).

Within each of these ranges, the velocity of the microbubbles in the recorded videos showed a maximum and then decreased as frequency was increased, until the next aggregation-frequency range was entered, but the method did not allow to resolve whether the largest ranges had a multiple peak structure. Microbubbles aggregated most quickly in the range  $174.5 \pm 0.5$  kHz, but in a position away from the central region of the chip (Figure 2). Trapping in the central region was observed instead at  $163 \pm 3$  kHz and  $170 \pm 1$  kHz. The central area of the chip is particularly important, as this is the region where the optical tweezers operate, so these two frequency ranges were the most promising for operation.

In particular, aggregation in the center of the chip (i.e. at the very center of the K-shaped manifold, 12.5 mm from either edge of the main microchannel) could be repeatedly observed at

164.0 ± 0.5 kHz in different realisations of the chip. This frequency range will be investigated with more detail than others in the text below.

### C. RESULTS OF THE FE MODEL

Figure 3 reports results of the finite element model (FEM) in terms of the RMS value of the displacement normal to the top surface of the chip, simulated along the length of the main microfluidic channel, for different values of the driving frequency in the range 70 – 180 kHz (1 V excitation on the piezo). According to the FEM, different frequencies generate a standing wave pattern on the top surface of the chip. Looking in particular at the range 130 - 180 kHz, there are 5 candidate frequencies: 134, 142.5, 164, 171, 173 kHz.

These peaks are fewer in number than those identified via impedance scans (see table 1) and point to additional excitation frequencies when compared to those where aggregation was experimentally observed (Figure 2). Passing from a real system to a FE model, however, required a certain degree of simplification, so a discrepancy between theory and experiment is usually expected<sup>51;52</sup>. In our case, the FE model was accurate in terms of the glass material properties - the speed of sound in glass was measured using 5MHz pulses and a sample piece of glass from Dolomite, obtaining  $c_L = 5534.07 \pm 0.01 \text{ m s}^{-1}$  for longitudinal waves and  $c_s = 3290.75 \pm 0.01 \text{ m s}^{-1}$  for shear waves; density of the glass was measured as  $2639.5 \pm 0.5 \text{ kg m}^{-3}$  – but did not consider other factors, e.g. the bonding between the PZT crystal and the glass chip or any absorption in the glass/fluid.

Furthermore, the piezoelectric and dielectric constants associated with the crystal were obtained from the PAFEC material properties library and were therefore not measured directly. In order

to test the robustness of the FE simulations, an arbitrary damping factor was therefore added in both the glass and the water: this affected the width and amplitude of some of the resonances, leaving the peaks at 142 kHz and 164 kHz unchanged and completely cancelling the peak at 134 kHz .

#### **D. CHARACTERIZATION OF THE CHIP BY LASER VIBROMETER**

For these measurements, the chip was held perpendicular to a laser vibrometer beam and the laser beam was scanned across the device's top surface. In order to avoid effects due to multiple reflections within the glass chip, which would influence the signal to noise ratio, a thin masking tape (~0.08 mm thickness) was placed on the top surface of the chip. The effect of the tape on the dynamics measured by the vibrometer was checked by comparing displacements measured with multiple masks and without a mask, and no difference was observed in the maximum displacements. Figure 4 shows a typical laser vibrometer scan (162 kHz), highlighting the position of the driving PZT.

Figure 4 shows a different modal structure between the vibrations of the trapezoidal window and the rest of the chip. It also reports a front view taken at the same frequency, showing a modal pattern in the direction perpendicular to the side face and suggesting that a vibrational mode of the whole structure was excited. Finally, Figure 4 reports three potential directions of measurement, and in particular (with the horizontal dotted line) the 0.17 mm thin portion of glass above the main channel. Depending on the frequency, the sinusoidal pattern along this line appeared as a travelling or a standing wave, so that the RMS value of the displacement could be used to identify standing waves, as previously done in the FEM case.

Figure 5 reports the results of vibrometer scans in the range 160-175 kHz (500 Hz step) across 24 mm (chip length: 25 mm, 70 points/mm), interpolated over a 0.2 mm × 0.5 kHz grid using cubic splines in Matlab (Matlab 2015a). In the range 160-175 kHz, Figure 5 shows three active ranges of frequency (160-165 kHz, 168-170 kHz, 174-175 kHz) where areas of stable displacement appear along the chip. According to the measurements on the coverslip, there is a node at the center of the K-shaped manifold ( $X = 12.5$  mm) both at 160-165 kHz and at 174-175 kHz, while the locations which appear as antinodes at 162-165 kHz (e.g. 5 mm and 17.5 mm) become nodes at 174-175 kHz.

#### **E. COMPARING MODEL AND EXPERIMENTS**

Table 1 presents a comparison of the experimental findings discussed so far with the FEM results. Impedance measurements show the larger number of potential frequencies where the transducer-chip system could be excited (15 peaks between 130 and 180 kHz), although it was possible to observe a stable aggregation in the chip for only a subset of these. Excitation frequencies predicted by FEM are within 1% from those where aggregation was observed. Selecting which theoretical frequency corresponds to each observed one was achieved by comparing the experimental vibration pattern on the whole top surface (i.e. data like those in Figure 4), measured with the laser vibrometer at frequencies where aggregation was observed, with the displacement patterns predicted by theory in the range 130 - 180 kHz (0.5 kHz steps). For each given experimental profile, the "pairing" condition (i.e. the FEM frequency whose displacement pattern is closest to the experimentally measured one) was achieved by the cross-correlation method, commonly used in automated vision for matching two or more images. In

this work, this method was used to compare the vibrational pattern detected on the top surface of the acoustofluidic chip with the displacements simulated by the finite elements model at different frequencies.

For the experimental frequency of 164.3 kHz, a difference of 300 Hz was found between the position of the peak in the experimental spectrum and the “best fit” FEM profile: 164 kHz. Similar comparisons through cross-correlation demonstrated that the profile measured at 174.3 kHz was best fitted by the FE-predicted profile at 173.5 kHz , leading to the comparison in Table 1. In the rest of the paper, to avoid confusion, only the active frequencies observed in the experiments will be reported (e.g. 164.33 kHz), but the theoretical results will be those of the corresponding “best fit” frequency, from Table 1.

## **F. ENERGY TRANSFER TO THE CHANNEL**

The use of a laser vibrometer to characterise acoustofluidic devices has previously been reported in the literature<sup>1;5;52</sup>. Previous studies, however, were conducted mainly at MHz frequencies and often reported difficulties in comparing laser vibrometer results to FEM or visualisation results. Even in our case, when only a slight discrepancy was observed between model and experiment – also thanks to the glass thickness between the top surface and the channel being much smaller than the wavelength in both materials – uncertainties remained in whether it was possible using what was detected on the top surface to infer the acoustic pressure distribution in the channel. With the one-order of magnitude change of impedance between glass ( $1.46 \times 10^7 \text{ Pa}\cdot\text{s}\cdot\text{m}^{-1}$ , measured in our case) and water ( $1.48 \times 10^6 \text{ Pa}\cdot\text{s}\cdot\text{m}^{-1}$  at 20 °C, calculated from literature data<sup>31</sup>), if energy were transferred from PZT to the channel through Lamb waves, their refraction at the glass-water boundary would need to be taken into account<sup>53</sup>. In this case, there would not be a

direct relationship between the pressure distribution inside the main channel and the vertical displacement of the thin glass wall above it, as measured by laser vibrometry: part of the energy would be dissipated along the glass interface<sup>18</sup>.

In the case of a structural resonance, the situation is much simpler: at the fluid side of the thin glass wall, vertical displacement and acoustic pressure show the same spatial distribution and this is replicated on the top surface. In order to establish the types of vibrations observed in the acoustofluidic system at the different input frequencies, the wavelength of the sinusoidal wave travelling in the glass directly above the main channel was measured (see Figure 4) and multiplied by the driving frequency to obtain a surface wave velocity,  $V$ . The dispersion curve of this quantity was used to understand how the energy was transferred from the PZT to the channel.

In particular, Figure 6 shows a comparison between the dispersion curve of the surface velocities  $V$  - non-dimensional, because reported relative to the shear speed  $c_s$  - and the asymmetrical part of the first Lamb mode<sup>54</sup>, calculated for a thickness of 3.0 mm (i.e. the total thickness of the glass chip). The trend in Figure 6 shows that while for most of the frequencies the waves travelling on the top surface of the chip are asymmetrical Lamb waves, there are three regions where this is no longer true and a peak appears:  $103 \pm 3$  kHz,  $160 \pm 5$  kHz,  $173 \pm 3$  kHz. For these peak frequencies, a standing wave pattern was observed on the top surface and a clear aggregation pattern was found in the microfluidic chip; energy reaches the channel through excitation of a resonance (i.e. a mode) of the whole glass microchip. Modes are more sensitive to temperature changes, but are also potentially stronger and can easily be identified by observing the motion of the top surface.

Conversely, the frequency of 143 kHz, where aggregation was observed, follows Lamb's dispersion curve. A more thorough analysis of this frequency shows that acoustic manipulation at this frequency is only partially due to energy transferred to the channel via surface waves, like in other devices<sup>55</sup>: this frequency corresponds in fact to a mode of the illumination window.

### **G. PRESSURE MEASUREMENTS IN THE CHANNEL BY LASER VIBROMETRY**

Having established that the observed modes are due to structural vibrations of the whole chip, it is possible to exploit the pairing between displacements on top of the channel (as measured by laser vibrometer) and FEM predictions to evaluate the acoustic pressure in the chip by laser vibrometry. The first step (Figure 7) consists in comparing predicted displacements (e.g. at 164 kHz) and measured ones (e.g. at 164.33 kHz). Once a scaling factor on displacement is found, this is applied to the FEM-calculated pressure in the chip to get an estimated pressure based on measurements to get an overall calibration factor,  $\Gamma$ , for vibrometer measurements. For chip K at 164.33 kHz,  $\Gamma = 3.0 \pm 0.3 \text{ kPa nm}^{-1}$ .

Assuming the FEM values are a fit to the experimental data and two degrees of freedom (i.e. the voltage and the frequency), a  $\chi^2$  test on the data was performed to compare the measured displacements with their simulated "best fit" (i.e. the corresponding FE model)<sup>56</sup>. Typical results gave a confidence level of 90% for the fit. The overall uncertainty of this method was estimated at 15% (i.e. one standard deviation or 68% confidence level). This value takes into account the contribution from  $\Gamma$  (~9%) and a weight representing the 90% confidence with which the FE model predicts the measured displacements (i.e. the  $t$ -factor related to a 90% confidence, from

the Student distribution with  $n-2$  degrees of freedom, where  $n = 100$  is the number of points in each laser vibrometer scan and  $t = 1.66$ ).

This method allows a quick determination of the acoustic peak pressure in the channel. Its uncertainty, at least for the cases presented above, is potentially comparable to that of a calibrated hydrophone ( $\pm 1\text{dB} = \pm 12\%$ ). This method is non-invasive compared to hydrophone measurements, as nothing had to be inserted into the channel. Using a FE model calibrated by laser vibrometer to establish the pressure in the channel at different voltages has one major drawback: it assumes linearity between voltage, displacement and acoustic pressure in the channel. This hypothesis may fail as the driving voltage is increased and will be challenged in the next two sections of this work.

#### **H. FORCE MEASUREMENTS BY HOLOGRAPHIC OPTICAL TWEEZERS**

For the data in figure 8(a), the displacement of a  $12.3\ \mu\text{m}$  diameter Expancel bubble relative to its equilibrium position in the optical trap, due to the acoustic forces at  $165\ \text{kHz}$  was recorded at different positions along the main channel of chip C, in a region that included the center of the microfluidic chip. Displacements were transformed into force measurements using Hooke's law, and a value of the trap stiffness averaged between two measurements: one before and one after the acoustic field was on<sup>17</sup>. With this method, the associated uncertainty on a single displacement measurement impacted largely on the uncertainty of the force measurements, which was estimated at  $\pm 0.1\ \text{pN}$ <sup>17</sup>.

Figure 8(a) shows the force in the  $X$  direction at  $165\ \text{kHz}$  (i.e. the force along the main channel,  $F_x$ ) at both the tested voltages, and the expected negative gradient (which indicates a potential

trapping position) near  $X = 12.5$  mm, where the trap was visually observed. Data were fitted with the function  $A_i \sin 2k(X - X_0) + B_i$  using a least-squares method, where  $X_0 = 12.5$  mm,  $k$  is the acoustic wave number and  $A_i$  is the amplitude for each voltage. The fits in figure 8(a) correspond to values for the maximum force equal to  $A_{5\text{mV},165\text{ kHz}} = 0.30 \pm 0.06$  pN and  $A_{7.5\text{mV},165\text{ kHz}} = 0.5 \pm 0.1$  pN ( $R^2 = 0.9$ ): they describe trends compatible with a standing wave, a condition assumed in equation 2 and for pressure measurements based on particle tracking (section III.I). The force in the  $Y$  direction ( $F_Y$ ) showed no dependence on the voltage applied and negligible dependence on the spatial coordinate  $X$  (Figure 8(b)). Within the uncertainty of  $\pm 0.1$  pN on each point,  $F_Y$  was compatible with a null value, thus confirming - for 165 kHz and the associated resonance - the plane-wave hypothesis in eq. 2, at least in the  $0.8 \times 0.4$  mm area in the center of the chip where simultaneous trapping can occur.

Unfortunately, due to limitations in the maximum force that can be measured, before the trapped bubble escapes the trapping potential or the QPD enters a non-linear regime for the force vs. displacement relationship, it was not possible to record forces along the channel for values of the driving voltage higher than 10 mV<sub>pp</sub>. The obtained trends, however, were sufficient to provide in-situ measurements of the acoustic force, and confirmed the sinusoidal aspect of the field predicted by the FEM model in the neighborhood of the chip center, thus allowing the use of the plane-wave approximation leading to eq. 2 and particle/bubble tracking at 164.33 kHz. In the following it will be assumed that this approximation is also valid at the other frequencies where aggregation was observed and in the proximity of other aggregation sites, thus allowing particle/bubble tracking also at the frequencies which show aggregation outside the central region (see figure 2). The latter assumption is justified by the FE model and by the laser

vibrometer, which showed that the local acoustic field can always be approximated by a sinusoid, when standing waves are present.

It is worth noting that, equating the measured force with equation 2 and knowing the acoustophoretic contrast factor  $\Phi$ , it is possible to obtain the local acoustic peak pressure using optical tweezers. If the presence of the polymeric shell is neglected and the bubble is assumed to maintain a spherical shape (a reasonable assumption at low acoustic pressures), the properties of iso-butane give  $\Phi = -6653$  and the peak pressures  $p_{5\text{mV},165\text{ kHz}} = 450 \pm 80\text{ Pa}$  and  $p_{7.5\text{mV},165\text{ kHz}} = 570 \pm 60\text{ Pa}$ .

## I. PRESSURE MEASUREMENTS BY PARTICLE TRACKING

Particle tracking is a well-established method to evaluate acoustical forces<sup>18; 19;38</sup> and, since the possibility of approximating the acoustic field near the central aggregation points with a sinusoidal plane wave has been demonstrated by optical tweezers (at least at 164.33 kHz), the expression in eq. 2 can be used: peak pressures can be calculated straightforwardly knowing  $\Phi$  and the particle radius.

For these experiments, a diluted suspension of CPC4000 polystyrene beads (speed of sound:  $2350 \pm 10\text{ m s}^{-1}$ ; density:  $1060 \pm 10\text{ kg m}^{-3}$ ; Young Modulus:  $E = 3.5 \pm 0.5\text{ GPa}$ , Poisson's ratio: 0.34)<sup>31</sup> was inserted in the microfluidic chip using a syringe, then the apertures at the end of the channels were sealed with Vaseline jelly to avoid spillage.

Finally, the microchip was positioned in a dedicated holder, which maintained the device parallel to the ground. A CCD camera was used to monitor particle motion towards the acoustic nodes,

and to evaluate the pressure at 164.33 kHz for different driving voltages. These data were then compared with the values obtained by laser vibrometry (Figure 9).

At least 10 different particles were selected for each experimental condition (defined by frequency and trapping voltage) and their trajectories recorded using the MTrackJ plugin in ImageJ. The diameter of the selected particles was also measured in this process and the mode diameter was found to be  $3.9 \pm 0.2 \mu\text{m}$  (see also supplementary figure S3), in agreement with the one declared by the manufacturer (i.e.  $3950 \pm 50 \text{ nm}$ ). Selected particles met the following constraints:

- They were isolated (i.e. at least 5 particle diameters from another particle) and far (i.e. at least 20 particle diameters) from the center of the aggregation area;
- Tracking was interrupted when the presence of other particles altered the path;
- As the voltage was increased, it was necessary to take more repeats due to the presence of acoustic streaming, in the form of vortices detaching from the junction between the two "legs" of the K-shaped manifold.

For each movie, the coordinate system was set at the center of the aggregation point.

Trajectories were fitted using a least-squares method, imposing a balance between the radiation force  $F_{\text{rad}}$  (equation 2) and Stokes drag. Using the single fitting parameter  $p_a$  in eq. 2 on the trajectories, a value of the peak acoustic pressure and an uncertainty could be assigned to each trajectory<sup>38</sup>. A good agreement (i.e.  $R^2 \sim 0.9$ ) was obtained in all cases. For each experimental condition (i.e. frequency and voltage of the driving signal), the final acoustic pressure amplitude was a weighted average of the calculated pressure over the analysed trajectories.

This method of determining acoustic pressure has potential for low uncertainties. When all the assumptions behind the model are verified (i.e. Stokes drag, constant shape of the particles during movement, planar wave), the major source of uncertainty on the pressure  $p_{a,i}(V_{in}, f_{in})$  assigned to the  $i$ -th trajectory (obtained with a driving voltage  $V_{in}$  at frequency  $f_{in}$ ) comes from the uncertainty of the associated particle diameter  $d_i$ . As discussed above, the uncertainty related to the measured CPC4000 diameters was  $\sim 5\%$ . The second contribution to the total uncertainty on  $p_a(V_{in}, f_{in})$  comes from the different values of  $p_{a,i}$  and decreases with the number of trajectories considered, as a weighted average is performed to obtain  $p_a$ . For the almost monodisperse CPC4000 particles considered in this study, a weighted average over 10 particles leads to a final standard uncertainty lower than 5% for each value of the driving parameters (68% confidence level).

Figure 9 reports a comparison between the pressures measured by calibrated laser vibrometry and those obtained by particle tracking, for 164.33 kHz and voltages between 5 and 80 mV<sub>pp</sub>. Since a linear dependence between pressure and input voltage was expected, as this was found by other authors in other acoustofluidic geometries<sup>18; 38</sup>, a linear trend was used to fit the data (dashed line in figure 9) and the calibration coefficient was  $47.8 \pm 0.8 \text{ Pa mV}_{pp}^{-1}$  with  $R^2 = 0.88$ .

A maximum calibration uncertainty of 5% (i.e. three times the uncertainty on the linear coefficient, for a 98% confidence level<sup>57</sup>) was assigned to the pressures calculated with this linear trend, relative to 164.33 kHz in the range 0-80 mV<sub>pp</sub>. The pressures measured by optical tweezers (section III.H) were also in good agreement with the calibration curve (see Figure 9). This demonstrates that, at least for pressures up to 0.5 kPa, Expancel bubbles can be treated as

uncoated gas particles. The validity of this assumption at higher voltages/pressures will be discussed in section IV.

#### IV. INFORMATION DERIVED FROM BUBBLE TRAJECTORIES

##### A. PRESSURE MEASUREMENTS AT 173.5 KHZ

For these experiments, Expancel microbubbles were injected in the microfluidic chip (~ 300 bubbles/mL) and the same procedure described above for particles was followed. At least 10 different microbubbles were selected for each experimental condition and their trajectories recorded using the MTrackJ plugin in ImageJ (Figure 10). A good agreement with the acoustophoretic model<sup>19;38</sup> was obtained in all cases (i.e.  $R^2 \sim 0.9$ ). A value of the pressure  $p_{a,i}(V_{in}, f_{in})$  was calculated from each  $i$ -th trajectory, treating Expancel as spherical, non-oscillating particles with negative acoustophoretic contrast factor ( $\Phi = -6653$ , calculated neglecting their polymeric shell).

As previously discussed, the uncertainty on  $p_{a,i}$ , has two components: one associated to the diameter (3-5% on each single diameter, for polydisperse Expancel) and another related to the fitting procedure (which was generally low, as typically  $R^2 \sim 0.9$ ). For a given number of trajectories, however, the measurement of  $p_a$  appeared noisier using bubbles than particles, probably reflecting the polydisperse nature of Expancel or their lower mass. The weighted average over 10 trajectories resulted in a conservative total uncertainty of 8% on each value of the acoustic pressure,  $p_a(V_{in}, f_{in})$ , obtained by bubble tracking (68% confidence level).

An excellent agreement between the measured pressures (i.e. obtained either by bubble tracking or calibrated laser vibrometry) and the linear trend from Figure 9 was observed at 173.5 kHz (Figure 11): the pressure calibration within 5%, defined by particle tracking at 164.33 kHz is therefore also valid for this frequency, at least in the range 0-30 mV<sub>pp</sub> (i.e. for acoustic pressures below ~ 1.5 kPa). This result extends the calibration of the acoustofluidic device for Expancel bubbles to a maximum pressure (~1.5 kPa) three times higher than the limit previously obtained through optical tweezers measurements (~ 0.5 kPa in Figure 9).

In general, the hypothesis that microbubble shape remains constant during movement, thus neglecting deformations and inter-bubble interactions, need to be verified case by case. While this may be true for Expancel microbubbles far from resonance and at low applied pressures, this hypothesis may fail for lipid-coated microbubbles subject to the same acoustic field. In addition, the acoustic pressure obtained from the trajectories of coated bubbles may also be inaccurate due to the choice of neglecting the shell while calculating the acoustic contrast factor  $\Phi$ . Finally, there might be an effect of the number concentration of microbubbles, as high number concentrations may give rise to bubble-bubble interactions (i.e. secondary Bjerknes forces<sup>27</sup>). Future works will look thoroughly at these issues as driving voltage is increased, but the rest of this study will focus on voltages below 30 mV<sub>pp</sub>.

## **B. ACOUSTIC FORCE SPECTROSCOPY**

In the frequency range 160 - 175 kHz, with 20 mV<sub>pp</sub> input voltage at the frequency generator, it was always possible to identify a point towards which Expancel microbubbles converged, with a

speed that depended on frequency. In practical terms, it was always possible to excite one of the resonances of the acoustoidic device (see figure 6).

A detailed analysis of the force spectrum for chip K, reported in Figure 12a as the maximum force experienced by a 12  $\mu\text{m}$  uncoated iso-butane bubble, was conducted using the Peak Analyzer in Origin 9.1 (OriginLab, 2014) and showed that four (Lorentzian) peaks were needed to fit the spectrum in the range 160 - 175 kHz ( $R^2=0.89$ ):

$$p_a(f) = A_0 + \sum_{j=1}^4 \frac{2}{\pi} \cdot A_j \cdot \frac{w_j}{4 \cdot (f - f_j)^2 + w_j^2} \quad (3)$$

where  $A_0$  is the baseline,  $A_j$  is the area below each peak,  $w_j$  its width,  $f$  is the frequency,  $f_j$  is the peak centre frequency. In chip K, the frequency of 164.33 kHz fell within the Peak 1 (centered at  $162.8 \pm 0.1$  kHz, where uncertainties come from the fit) and was sufficiently far from the second peak (centered at  $167.9 \pm 0.1$  kHz) not to be influenced by it. The frequency of 173.5 kHz fell in a region of the spectrum where the main contributions to the cumulative spectrum came from Peak 1 and Peak 4 (centered at  $174.7 \pm 0.2$  kHz), but was similarly far from the third peak (centered at  $171.7 \pm 0.3$  kHz), not to be influenced by it. A baseline of  $0.5 \pm 0.2$  pN was also obtained from the fit.

Four peaks were also observed in the spectrum of nominally-identical chip C, where the same experiment was repeated to test the robustness of this method and of the fabrication technique (Figure 12b). While the heights of the peaks and the baseline remained similar (see Table 2), the central frequencies were found to be shifted – to  $163.5 \pm 0.1$  kHz for Peak 1 (+0.4%),  $166.2 \pm 0.1$  kHz for Peak 2 (-1%),  $170.4 \pm 0.2$  kHz for Peak 3 (-0.7%) and  $174.0 \pm 0.5$  kHz for Peak 4 (-0.4%) – but still within the regions where aggregation was observed (see Table 1). The widths

of Peak 2 and 4 remained unaltered, while the ones of Peak 1 and Peak 3 changed when passing from the original realisation K to chip C.

The fitting procedure assigned a negligible baseline  $A_0$  to both chips, but with a large uncertainty associated; a more relevant parameter to describe each peak becomes then its height above the baseline,  $H_i$  (see Table 2). The relatively large width of Peak 1 may explain why motion towards an aggregation point was observed at all frequencies, even between peaks: in absence of a different resonance, this was the dominating field.

The changes observed in the spectrum were attributed to a combination of all the manufacturing differences between the two chips: each realisation of the chip will require a calibration prior to its use. Since 164.33 kHz is part of Peak 1 (see Figure 12a), it is reasonable to think that the plane wave approximation and the linear calibration of  $47.8 \pm 0.8 \text{ Pa mV}_{pp}^{-1}$  applies also to the whole peak. With a similar argument, based on the measurements at 173.5 kHz where the field comes from a contribution of Peak 1 and Peak 4, it can be expected that the linear calibration also applies to the whole of Peak 4. The calibration for Peak 2 and Peak 3 will be tested in future studies. Finally, the presence of a baseline noise hints that bubble tracking may not be accurate for forces below 0.5 pN. Future studies will look into this potential limitation at different frequencies.

## V. CONCLUSIONS

In this work, we presented the pressure calibration of an acoustofluidic chip designed for microbubble manipulation, featuring the simultaneous use of optical and acoustical tweezers. Pressure amplitudes in the chip were estimated non-invasively by FE-calibrated laser vibrometry,

confirmed by particle tracking and, for the first time, verified by direct, in-situ, acoustic force measurements on microbubbles using optical tweezers. Results showed a good agreement between the methods over the explored range of input voltages, so that final uncertainties not greater than 5% could be attributed to single pressure measurements near an aggregation point. Also, the use of laser vibrometry allowed a more thorough understanding of how the energy was transferred to the microfluidic manifold, linking observed wave speeds with classical acoustic propagation theories. This part of the study will be beneficial for acoustofluidic applications where a precise and non-invasive determination of the acoustic pressure is needed.

The advantages and the limitations of the investigated methods were discussed and the benefits of a synergic use were highlighted, with particular focus on the possibility of using microbubbles as tracking particles. In particular, since both the laser vibrometer and the optical tweezers measurements confirmed that the field in the main channel of the chip could be described as a plane wave, it was possible to explore bubble dynamics and measure forces beyond the limits of optical tweezers, with a 8% uncertainty on pressure measurements near aggregation points. Four acoustical modes of the chip were identified in the frequency range of interest by bubble tracking, and at these frequencies it was possible to observe simultaneously a peak in the acoustic spectrum, a standing wave on the chip surface and stable aggregation.

Future studies will exploit the presence of a linear calibration to investigate in more detail the conditions over which polymer-coated microbubbles can be treated as tracer particles without taking into account their number concentration (i.e. secondary Bjerknes forces) and their oscillations. The effect of the shell in particular, expressed in terms of a change in bubble compressibility, is expected to be extremely relevant at higher pressures<sup>30</sup>, and will be

investigated by measuring protocols used for cells<sup>58</sup>. It is anticipated that similar considerations will apply to other deformable particles (e.g. organic micro-droplets, vesicles, liposomes) which include many systems of medical and industrial interest, and that studies in calibrated acoustic environments will lead to measuring material properties (e.g. shell stiffness) of micro- and nano-particles in dynamic conditions that are otherwise difficult to obtain by other methods (e.g. atomic force microscopy).

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## Tables and figure captions

Table 1: Pairing between the peak frequencies in measurements and in modelling. The right hand side of the table reports the “useful” frequency ranges, as determined by the method detailed in the first column.

Method	Frequency / kHz					
Electric impedance	134±1	140	153±7	163	n.a.	174
Visual aggregation	n.a.	142.5±0.5	n.a.	163±3	170±1	174.5±0.5
FEM (Figure 3)	134	141.5	n.a.	164	171	173.5
FEM (with damping)	n.a.	141.5	n.a.	164	merged	
Laser vibrometer (Figure 5)	n.a.			163±3	169±1	174.5±0.5

Table 2: Main parameters of the fitting curves for two realisations of the acoustofluidic device, as calculated by Origin 9.1.

	Chip K	Chip C	Average central frequency
Peak 1			$163.1 \pm 0.4$
Centre, $f_1$ (kHz)	$162.8 \pm 0.1$	$163.5 \pm 0.1$	
Amplitude, $H_1$ (pN)	$8.8 \pm 1$	$8.5 \pm 0.3$	
FWHM, $w_1$ (kHz)	$3.0 \pm 0.7$	$1.5 \pm 0.1$	
Peak 2			$167 \pm 1$
Centre, $f_2$ (kHz)	$167.9 \pm 0.1$	$166.2 \pm 0.1$	
Amplitude, $H_2$ (pN)	$5 \pm 1$	$6 \pm 1$	
FWHM, $w_2$ (kHz)	$1.0 \pm 0.5$	$1.2 \pm 0.3$	
Peak 3			$171.8 \pm 0.8$
Centre, $f_3$ (kHz)	$171.9 \pm 0.3$	$170.4 \pm 0.2$	
Amplitude, $H_3$ (pN)	$3 \pm 1$	$2.0 \pm 0.3$	
FWHM, $w_3$ (kHz)	$0.6 \pm 1$	$1.5 \pm 0.5$	
Peak 4			$174.3 \pm 0.6$
Centre, $f_4$ (kHz)	$174.7 \pm 0.2$	$174.0 \pm 0.5$	
Amplitude, $H_4$ (pN)	$6.1 \pm 1$	$6.8 \pm 0.2$	
FWHM, $w_4$ (kHz)	$0.7 \pm 0.4$	$0.7 \pm 0.5$	
Baseline, $A_0$ (pN)	$0.5 \pm 0.2$	$0.5 \pm 0.2$	
$R^2$	0.89	0.90	

Figure 1: (Colour online) The microfluidic chip described in this work. Also highlighted (bottom-right) are the directions of the reference axes, with the  $\hat{X}$  along the main channel and the  $\hat{Y}$  perpendicular to it. The origin of the coordinates was set at the start of the channel, on the side where the piezo transducer sits. (see supplementary figure S2 for a technical drawing)

Figure 2: (Colour online) Composite images showing aggregation positions at the different frequencies, after 30 seconds of operation, for a fixed input voltage of 20 mV<sub>pp</sub>. For scaling purposes, the width of each channel is 430 μm. See multimedia material for 30fps movies at different frequencies.

Figure 3: (Colour online) Predicted RMS value of the normal displacement on the top surface of the glass chip along the channel (i.e. X direction, where the origin sits on the side of the PZT - see also Figure 1) as a function of frequency, according to the FE model. Particularly interesting for this study are the results at 143 kHz , 164 kHz and 173 kHz , which show a standing wave pattern. Results reported here are in absence of damping.

Figure 4: (Colour online) Results of the laser vibrometer scan at 162 kHz, 40 mV<sub>pp</sub>, with vertical scale identifying the velocity normal to the scanned surface. Also highlighted are the transducer (1), the in/out ports (2), different directions of measurement (dotted lines) and, in particular, the portion of the glass surface on top of the main channel. See multimedia material for an animated version of this figure.

Figure 5: (Colour online) Spatial variation of the RMS value of the vertical displacement measured on the top surface of the chip, along the direction of the main channel, as reported by the laser vibrometer: (a) as a function of the input frequency (40 mV<sub>pp</sub> fixed input voltage) and (b) as a function of voltage at 164.33 kHz.

Figure 6: (Colour online) Comparison of surface velocities along the direction of the main channel (diamonds) with the theoretical values for a Lamb asymmetrical mode (solid line). The vertical axis reports the ratio between the measured longitudinal speed  $V$  and the shear wave velocity  $c_s = 3290.75 \pm 0.01 \text{ m s}^{-1}$ .

Figure 7: (Colour online) Laser vibrometer measurements (164.33 kHz, 20 mV<sub>pp</sub> input voltage) vs. predicted displacement (164 kHz, 130 V<sub>pp</sub> on the transducer) at the upper surface of the device, over the main channel. The center of the K-shaped manifold is at  $X = 12.5 \text{ mm}$ .

Figure 8: (Colour online) Acoustic forces on a 12.3  $\mu\text{m}$  Expancel bubble along the main channel as measured by optical tweezers: (a) force in the  $X$  direction ( $F_X$ ) and (b) force in the in the  $Y$  direction ( $F_Y$ ). Input parameters: 5  $\text{mV}_{\text{pp}}$  and 7.5  $\text{mV}_{\text{pp}}$  input voltages at 165 kHz. The central part of the channel is between 12.1 and 12.9 mm. Laser parameters<sup>45</sup> were:  $74 \pm 4$  mW laser power at the trap, trap diameter at focus:  $4.3 \pm 0.1$   $\mu\text{m}$ , distance of the bubble from the coverslip = 30  $\mu\text{m}$ . Uncertainties are reported at 68% confidence level.

Figure 9: (Colour online) Comparison of acoustic pressure amplitudes in the channel measured by FE-calibrated laser vibrometry, calibrated optical tweezers and particle tracking using CPC4000 particles at 164.33 kHz. The graph also reports the linear fit obtained from all the data between 5  $\text{mV}_{\text{pp}}$  and 80  $\text{mV}_{\text{pp}}$  (dashed line), with slope  $47.8 \pm 0.8$   $\text{Pa mV}_{\text{pp}}^{-1}$ . Error bars represent 1  $\sigma$ , for a 68% confidence level.

Figure 10: (Colour online) Example of Expancel bubble tracking in the microchannel (164.33 kHz, 20  $\text{mV}_{\text{pp}}$  ). The lines represent the trajectories of isolated bubbles, as obtained by MTrackJ. See supplementary material for animation of the tracking.

Figure 11: (Colour online) Comparison of acoustic pressure amplitudes in the channel measured by FE-calibrated laser vibrometry and bubble tracking at 173.5 kHz. The graphs also reports the linear fit obtained at 164.33 kHz (Figure 9). Error bars represent  $1\sigma$ , for a 68% confidence level.

Figure 12: (Colour online) Maximum acoustic force on a 12  $\mu\text{m}$  uncoated iso-butane bubble as a function of the driving frequency for two realisations of the microfluidic chip: (a) chip K and (b) chip C. Results were obtained by bubble tracking, at 30  $\text{mV}_{\text{pp}}$  input voltage ( $\sim 1430$  Pa, according to calibration). Best fitting peaks (without the baseline) and cumulative fit also reported (see Table 2 for fitting parameters). According to this fit, the major contributions to the cumulative fit at 173.5 kHz come from the baseline, Peak 4 and Peak 1. Also reported in (a) are the frequencies relative of figures 9 and 11.