

The Recognition of Cavitory Retinoblastoma Tumors: Implications for Management and Genetic Analysis.

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Key Words and Summary

Key Words: Retinoblastoma, Chemotherapy

Summary Statement:

Cavities in retinoblastoma tumors can evolve on systemic chemotherapy. The detection of cavities confer stability and aggressive consolidation therapy is not required.

Structured Abstract

Purpose:

To assess the role of consolidating adjuvant therapy for cavitory retinoblastoma (CRs) and to understand if there is any phenotype- genotype correlation.

Methods:

Patients with retinoblastomas having ophthalmoscopically visible cavities between 2004 and 2014 in whom 4-6 cycles of systemic chemotherapy were given.

Results

Eighteen eyes of 17 patients displayed CRs. This represented 6.8% of 250 patients. Mean age at diagnosis was 13 months; 5 unilateral (29%) and 12 bilateral (71%). The mean (median, range) number of retinoblastoma tumors per eye was 2 (2; 1–6). The number of cavities per tumor was 3 (2, 1–6). Intra-tumoral cavities were seen in the superficial portion of the tumor in 10 eyes (55%). The cavities became visible in 8 eyes (44%) and collapsed in 8 eyes (44%). Two eyes required enucleation due to relapse in non-cavitory tumors. Germline mutations were detected in 14 patients (82%) of whom, four demonstrated mosaicism (29%). The mean follow-up period was 40 (35, 6–120) months.

Conclusion

CRs can be detected following systemic chemotherapy with cavities becoming visible after mean 2 cycles of chemotherapy. They remain stable and do not require aggressive adjuvant therapy. There was no evident phenotype-genotype correlation with mosaicism noted in 29%.

Abbreviations: cavitory retinoblastoma (CR), retinoblastoma (Rb).

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1 Introduction

2 Retinoblastoma (Rb) is a life-threatening intraocular malignancy of childhood. Generally, the
3 tumors manifest as a dome-shaped, solid white retinal mass with prominent intrinsic and
4 feeder vessels. Rarely, ophthalmoscopically visible lucent cavities can occur.^{1,2}

5 These cavitory spaces appear hollow on ultrasonography and hypofluorescent on
6 angiography.³ Rb tumors are known to respond to chemotherapy^{4,5} often with resolution of
7 retinal detachment and shrinkage of the tumor, but relapse can occur after treatment. The few
8 previous reports on cavitory retinoblastoma (CR) have described its relative chemoresistant
9 and radioresistant features.^{2,6} Although the tumor size does not reduce dramatically, they tend
10 not to relapse.⁷ Currently, it is common practice to apply consolidation laser to
11 retinoblastoma tumors during systemic chemotherapy.^{4,8,9} It has been suggested that
12 prolonged adjuvant therapy is not necessary in CR.⁷

13 Histopathologically, the cavitory spaces represent areas of photoreceptor differentiation in the
14 area adjacent to the cavitations.¹⁰ This may explain the perception of muted response to
15 therapy and low risk of reactivation.

16 Despite these interesting findings, there is little in the literature on the genotype – phenotype
17 correlation of CR. In this report therefore, we seek to understand further the clinical
18 phenotype of CR and its natural history, correlate this to genetic findings, and to examine the
19 need for adjuvant therapy once CR occurs.

20 SUBJECTS

21 We reviewed the medical records of 250 newly diagnosed patients with retinoblastoma that
22 were managed at the Retinoblastoma Unit at the Royal London Hospital from January 1, 2004,
23 through Dec 31, 2014. This study was approved by Barts Health Clinical Effectiveness Unit
24 (Number 5963), within tenets of the Declaration of Helsinki. Patients with the diagnosis of
25 cavitory retinoblastoma and treatment with systemic chemotherapy were selected for analysis.
26 This was a retrospective, nonrandomized, non-comparative interventional case series.

27 METHODS

28 Information collected included demographic details, clinical findings, treatments, and outcome
29 (relapse, globe salvage metastasis and morality). Each patient underwent evaluation for age at
30 diagnosis (in months), sex (male or female), race (White, European or South Asian). Results

31 of genetic testing (hereditary or non-hereditary) were also recorded.¹¹ A comprehensive ocular
32 examination under anaesthesia was performed with assessment for laterality (unilateral or
33 bilateral), International Intraocular Retinoblastoma Classification,¹² intraocular pressure
34 (measured by means of Perkins tonometry within the first few minutes of general anaesthesia);
35 status of the anterior chamber, iris, ciliary body, optic nerve, choroid, retina, and vitreous; total
36 number of tumors per eye; total number of cavitory tumors per eye; location of cavitory tumor;
37 total number of cavities within each tumor and location of cavities within each tumor. The
38 presence of associated vitreous seeds (present or absent), percentage of retinal detachment (0-
39 100%), and presence of subretinal tumor seeds (present or absent) was also recorded.

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41 All patients received four to six cycles of systemic intravenous chemotherapy with vincristine
42 (1.5 mg/m²) etoposide (300 mg/m²) and carboplatin (600 mg/m²) which were delivered at
43 three weekly intervals. Trans-pupillary Thermo-Therapy (TTT) was done using Diode Laser
44 (810nm), median intensity used was 350mW (250mW- 500mW) for 9 seconds, and the spot
45 size was 1.2mm.

46

47 The diagnosis of CR was based on fundus photography and indirect ophthalmoscopy either at
48 presentation or after chemotherapy. Fundus photographs (RetCam) were compared on first
49 and last follow-up visits. Each cavitory tumor was assessed for tumor regression pattern, and
50 percentage of cavities collapsed. Patient mortality and metastases at the last follow-up
51 examination was also recorded.

52

53 RESULTS

54 Out of 250 (167 unilateral – 67%, and 83 bilateral – 33%) patients with newly diagnosed
55 retinoblastoma, 17 patients (6.8%) had cavitory retinoblastoma at presentation. One child had
56 cavitory tumors in both eyes. Hence, cavitory tumors were found in 18 eyes of 17 patients, (5
57 unilateral-29% and 12 bilateral -71% patients). The mean patient age at presentation was 14
58 months (median, 14; range 2 weeks-24months). Ethnicity of the patients was White British
59 11 patients (64%), White European 4 patients (24%) and South Asian (2 patients, 12%).

60

61 In 10 eyes (56%) CR was evident at initial presentation in treatment naive eyes and in 8 eyes
62 (44%) CR was found after two cycles of systemic chemotherapy. The mean (median, range)
63 number of retinoblastoma tumors per eye was 2 (2; 1–6) and number of cavitory
64 retinoblastomas per eye was 1 (1; 1-2). The number of cavities per tumor was 3 (3, 1–6).
65 Associated features were subretinal fluid in 2 eyes (11%), vitreous seeds in 1 eye (5%) and
66 subretinal seeds in 2 eyes (11%). Intra-tumoral cavities were seen in the superficial portion of
67 the tumor in 10 eyes (55%) at presentation. The epicenter of the quadrant of the CR relative
68 to the optic disc was superior to the optic disc in 2 eyes (11%), inferior in 3 eyes (17%) and
69 nasal to the disc in 3 eyes (17%). Cavitory tumors occurred in the macula (temporal to the
70 disc) in 10 eyes (55%), in 7 of which the foveola was affected by the cavity. According to the
71 International Classification of Retinoblastoma,¹² there were no eyes in Group A, 5 eyes
72 (28%) in group B, 3 eyes (17%) in group C, 9 eyes (50%) in group D, and 1 eye (5%) in
73 group E. Germline (hereditary) mutations were detected in 14 patients (82%) of whom 4
74 demonstrated mosaicism (29%).

75 TREATMENT

76 The cavities became visible ophthalmoscopically in 8 eyes (44%) after an average 2 cycles of
77 systemic chemotherapy (FIG 1 and 2). Cavitory tumors were treated with laser transpupillary
78 thermotherapy in 3 eyes in an attempt to prevent future relapse (17%) while in 15 eyes
79 cavitory tumors remained in remission without further direct treatment to that tumor (83%).
80 Other non-cavitory retinoblastoma tumors in eyes that also harboured CR relapsed in 9 eyes
81 (50%), requiring adjuvant treatments (transpupillary thermotherapy, cryotherapy,
82 intraophthalmic artery Melphalan chemotherapy, intravitreal Melphalan, brachytherapy,
83 external beam radiotherapy). In 8 eyes (44%) no adjuvant therapy was given to either
84 cavitory or non-cavitory tumors. Type 3 regression was seen in 10 eyes (56%) mixed type-
85 partially calcified, type 2 in 7 eyes (38%) completely non calcified/grey/fish flesh and type 1
86 fully calcified in one eye (6%) only respectively.

87

88 The natural history of CR was ascertained. Cavities collapsed ophthalmoscopy in 8 eyes
89 (44%) after follow up of 18 (9, 2-48) months. In 5 eyes with collapsed cavities the tumors
90 were involving the fovea (28%), in 2 eyes cavitory tumors were present nasal to the disc and
91 in one eye a cavitory tumor was superior to the disc. Out of 8 eyes with collapsed cavities 6

92 eyes (75%) received adjuvant treatment for other non cavitory retinoblastoma and vitreous
93 seeds (2-Plaque therapy, 2-Intra-arterial chemotherapy and 2-combined Cryotherapy/
94 Transpupillary thermotherapy/External beam radiotherapy). Reactivation of vitreous seeds in
95 an eye with a solitary cavitory tumor was seen following systemic chemotherapy requiring
96 enucleation; but there was no evidence of relapse of the cavitory tumor. Enucleation was
97 required in another eye due to relapse of non-cavitory tumors. All 17 patients had at least 6
98 months of follow-up; (100%), 7 patients have (42%) more than 3 years; and 3 (18.75%) more
99 than 7 years of follow up. The mean follow-up period was 40 (35, 6–120) months. Overall,
100 globe salvage was achieved in 16 eyes (89%). No metastasis or death occurred in any case.

101 **DISCUSSION:**

102 Retinoblastoma with small cavity was first documented in 1952 by Samuels and Fuchs with a
103 suspicion that tumor liquefaction might in fact be a cyst.⁶ But the terms *cavitory*
104 retinoblastoma for reference to this entity was first offered by Mashayekhi and coworkers
105 because of the histopathologic absence of definite lining cells.² Cavitory retinoblastoma is
106 considered as a rare phenotype, 2.3% of patients.⁷ We found cavitory retinoblastoma in 6.8%
107 of the newly diagnosed cases of retinoblastoma and this may be due to the fact that we have
108 recorded cavities unveiled after chemotherapy, rather than on initial presentation, in nearly
109 half of our patients.

110 Lack of response has been observed with cavitory retinoblastoma, believed to be due to the
111 presence of features of retinoma / retinocytoma (or well-differentiated retinoblastoma) within
112 the mass.^{2,13,14} Retinoma is a benign, elevated, grey, translucent retinal mass with cottage
113 cheese–like calcification and hyperpigmented retinal pigment epithelium. Histopathological
114 features include abundant fleurettes and nonproliferative cells.¹⁵

115 Retinomas can progress to retinoblastomas but when they present to the ophthalmologist,
116 they are not treated but observed in case of progression. On presentation, they share
117 similarities with treated CRs as they become malignant in only 10% of cases.¹⁶

118 In 3 eyes (17%) laser (Transpupillary ThermoTherapy) was done where the tumor had
119 regressed at mean follow up of 6 months. Consolidation was thought beneficial as the
120 surgeon was unaware that the tumor was originally cavitory in nature and therefore bestowed
121 with stability. All CRs (100%) remained stable at the mean follow up of 40 (35, 6–120)
122 months.

123

124 In our cohort we observed cavities collapsed in 2 eyes with systemic chemotherapy alone
125 after follow up of 12 months and 20 months respectively while in the other 2 eyes, cavities
126 collapsed after mean follow-up of 3 months where adjuvant treatment (laser, cryotherapy or
127 IAC) was given to both cavitory & non cavitory tumors. Interestingly cavities in 4 eyes
128 collapsed when the noncavitory tumors were treated with adjuvant measures (laser, IAC,
129 brachytherapy) for relapse after mean follow up of 25 (25,2-48) months.

130 Chemotherapy without additional laser can control 72% of retinoblastoma tumors (CR and
131 non-CR).⁵ However it is difficult to predict which tumors will relapse and which will not. As
132 a result, many retinoblastoma surgeons treat all tumors with Type 2 and Type 3 regression
133 with adjuvant therapy in order to create a flat scar. As CRs do not flatten on chemotherapy,
134 this may involve multiple examinations under anaesthesia, large amounts of energy being
135 applied to the eye and detrimental effects on visual function if the tumor is near the foveola.
136 It is thought that eyes have been enucleated in the past as the surgeon was concerned about
137 the lack of response of these tumors to chemotherapy.

138 In this cohort, 15 of 18 cavitory tumors had no treatment after chemotherapy and did not
139 relapse. Rojanaporn et al⁷ stated 4 of 26 tumors had no adjuvant treatment and did not
140 relapse. 3 of 25 (12%) eyes did however relapse and required enucleation although only one
141 had viable tumor on histopathology. In our cases two eyes were enucleated because of relapse
142 in the noncavitory tumor or vitreous seeds (D eyes). We concur that these tumors do not
143 require aggressive therapies following systemic chemotherapy.

144 Although of 250 patients, 67% were unilateral and 33% were bilateral, in CR cases, it was
145 the reverse: 29% (5/17) were unilateral and 71 % (12/17) were bilateral. This was also the
146 case in Rojanaporn⁷ et al's paper (33% unilateral, 67% bilateral). As the majority of
147 unilateral sporadic patients (80%) were enucleated, it is possible that some may have
148 harboured CRs. Also, bilateral cases would have multiple tumor foci and there is a higher
149 chance that one could evolve into a cavitory tumor. Germline (hereditary) mutations were
150 identified in 82% (14/17) of CR patients (in all 12 bilateral patients as would be expected and
151 2 unilateral patients). 4/14 (29%) of germline cases were mosaic for their RB1 mutations.
152 This figure is higher than expected (8-10% of retinoblastoma germline cases are mosaic for
153 their mutations - Z. Onadim unpublished laboratory data) but the sample size is small. The
154 only other study to perform genetic testing⁷ found germline mutations in 11/24 (41%)
155 patients. The higher detection rate may reflect recent innovations in uncovering mosaics.

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This paper adds credence to previous work that cavitory retinoblastoma tumors can be observed in a similar manner to retinomas/retinocytomas. The detection of cavities following chemotherapy has not been previously described. Although the numbers are small and some patients were treated with whole eye treatments, we concur that cavities confer stability to these tumors.

STUDY LIMITATIONS

As it is a retrospective study we were unable to acquire the pre and post treatment measurements of the cavities in the CRs via ultrasound. Neither could we perform further immunohistochemistry on the slides of the two enucleated eyes. We only included tumors with cavities that were visible superficially on presentation or became unmasked after chemotherapy. OCT scanning may be helpful in detecting cavities that are deeper and cannot be seen with an ophthalmoscope.

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231 Figure Legends

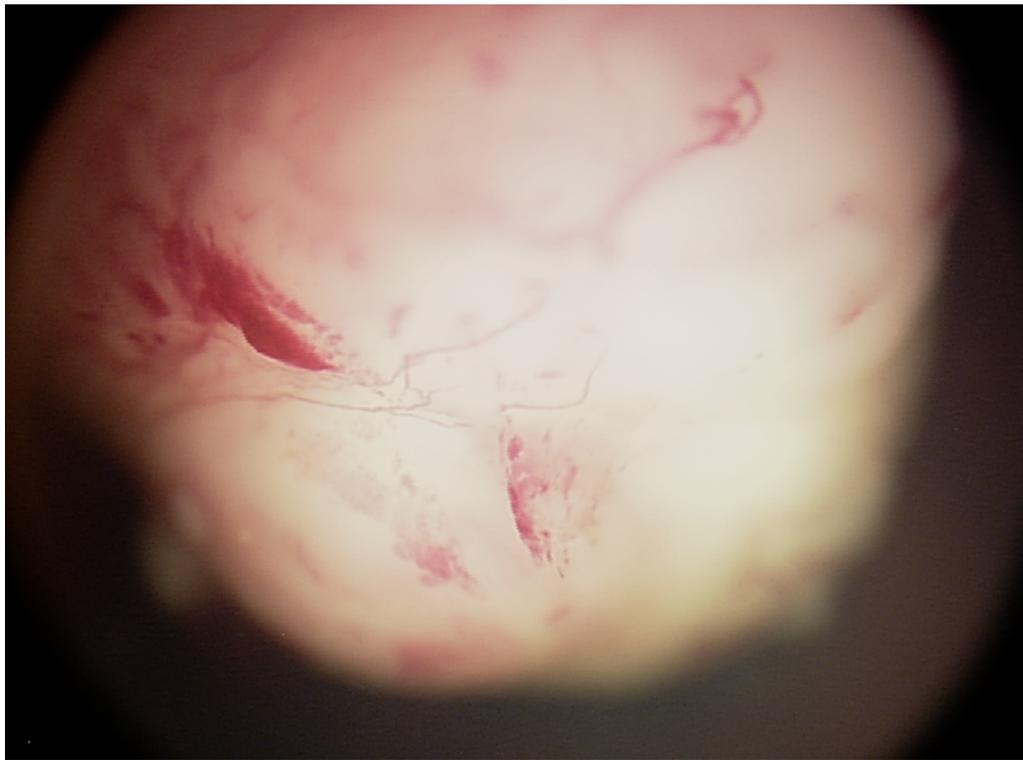
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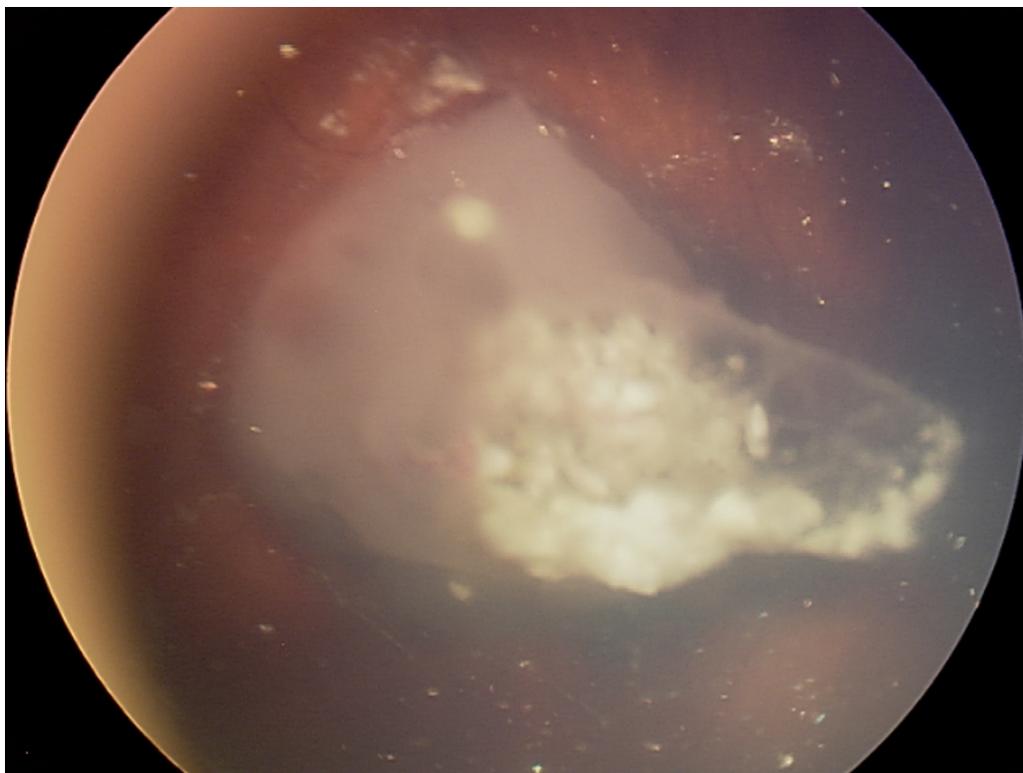
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236 Figure 1. Tumor at presentation: no superficial cysts present



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238 Figure 2. Cysts evident after 2 cycles of systemic chemotherapy (Carboplatin, Etoposide and
239 Vincristine)



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