

# Genetic disorders of nuclear receptors

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Following the first isolation of nuclear receptor (NR) genes, genetic disorders caused by NR gene mutations were initially discovered by a candidate gene approach based on their known roles in endocrine pathways and physiologic processes. Subsequently, the identification of disorders has been informed by phenotypes associated with gene disruption in animal models or by genetic linkage studies. More recently, whole exome sequencing has associated pathogenic genetic variants with unexpected, often multisystem, human phenotypes. To date, defects in 20 of 48 human NR genes have been associated with human disorders, with different mutations mediating phenotypes of varying severity or several distinct conditions being associated with different changes in the same gene. Studies of individuals with deleterious genetic variants can elucidate novel roles of human NRs, validating them as targets for drug development or providing new insights into structure-function relationships. Importantly, human genetic discoveries enable definitive disease diagnosis and can provide opportunities to therapeutically manage affected individuals. Here we review germline changes in human NR genes associated with “monogenic” conditions, including a discussion of the structural basis of mutations that cause distinctive changes in NR function and the molecular mechanisms mediating pathogenesis.

## Introduction

It has been almost 30 years since the first human nuclear receptor (NR) disorders were characterized at the molecular level (Figure 1). Since then, disorders associated with genetic defects in 20 of the 48 known human NRs have been identified (Figure 1 and Tables 1 and 2). In this Review we provide a brief overview of the range of human NR-associated diseases reported to date and highlight some of the key pathogenic mechanisms involved (Figure 2A). Our focus is on well-established monogenic germline disorders. We will not cover the role of somatic NR variations or fusion genes in cancer, nor associations found in GWAS.

## Thyroid hormone receptor $\alpha$ and $\beta$

Thyroid hormone (TH) regulates physiologic processes (e.g., skeletal growth, maturation of the CNS, heart rate and contractility, energy expenditure) via receptors (thyroid receptor  $\alpha 1$  [TR $\alpha 1$ ], TR $\beta 1$ , and TR $\beta 2$ ) encoded by separate genes (*THRA/NRIA1*, *THBR/NRIA2*), with differing tissue distributions: TR $\alpha 1$  is highly expressed in the CNS, myocardium, gastrointestinal tract, and skeletal muscle; TR $\beta 1$  is the predominant isoform in liver and kidney; TR $\beta 2$  expression is restricted principally to the hypothalamus, pituitary, retina, and inner ear. Such divergence of receptor subtype expression likely mediates distinctive phenotypes associated with defective *THRB* or *THRA*.

Resistance to TH $\beta$  (RTH $\beta$ ), usually dominantly inherited, is recognized by a characteristic biochemical signature of elevated circulating TH and non-suppressed thyroid-stimulating hormone levels, reflecting central (hypothalamic-pituitary) resistance to TH action, together with variable resistance in peripheral tissues.

Approximately 160 different heterozygous *THRB* mutations, localizing to the ligand-binding domain (LBD) and involving both TR $\beta 2$  and TR $\beta 1$  isoforms, have been identified in the disorder (1).

Affected individuals may have nonspecific symptoms or a goiter, prompting thyroid function tests that suggest the diagnosis and are deemed to have generalized RTH (GRTH). In approximately 15% of cases, the same biochemical picture can be associated with thyrotoxic features (e.g., weight loss, tremor, anxiety, tachycardia in adults; failure to thrive and hyperkinetic behavior in children); a disproportionate resistance to TH in TR $\beta$ -expressing hypothalamus and pituitary (PRTH), with relative retention of hormone sensitivity in TR $\alpha$ -expressing peripheral tissues, may account for this phenotype. GRTH and PRTH phenotypes can be associated with the same TR $\beta$  mutation and may even coexist within a single family. Other recognized features of the disorder include attention-deficit hyperactivity disorder in childhood and dyslipidemia and reduced bone mineral density in adults (1).

Consonant with their location, most TR $\beta$  mutations impair hormone binding or (rarely) coactivator recruitment and inhibit action of their wild-type counterparts in a dominant-negative manner (Figure 2B). Receptor functional regions (such as DNA binding, dimerization, and corepressor binding) are devoid of naturally occurring TR $\beta$  mutations, with RTH $\beta$  variants clustering within hotspots within the LBD (1). Homozygous *THRB* deletion mediated RTH $\beta$  in the first two recorded siblings with this disorder, who also had audiovisual abnormalities (2). Biallelic missense mutations were present in five other recessively inherited cases (3). In roughly 15% of people with biochemical features of RTH $\beta$ , no *THRB* defect can be identified; in such situations, alterations in co-regulators or other factors mediating TH action have been postulated (1). Triiodothyroacetic acid treatment, a centrally acting TH analogue that lowers TH levels, can control thyrotoxic features of the disorder.

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**Table 1. Pathogenic variants in classic ligand-dependent NRs associated with human genetic disorders**

Receptor	Original name	Official name	HGNC gene	Ligand	OMIM	First report <sup>A</sup>	Number of cases/families <sup>B</sup>	Inherited	Condition
TR $\alpha$	Thyroid hormone receptor- $\alpha$	<i>NR1A1</i>	<i>THRA</i>	THs	614450	2012	50	AD	RTH $\alpha$
TR $\beta$	Thyroid hormone receptor- $\beta$	<i>NR1A2</i>	<i>THRB</i>	THs	188570	1989 <sup>C</sup>	> 200	AD	RTH (dominant)
VDR	Vitamin D receptor	<i>NR1H1</i>	<i>VDR</i>	Vitamin D, 1,25-dihydroxyvitamin D <sub>3</sub>	274300 277440	1992 1988 <sup>D</sup>	5 100 to 200	Autosomal recessive	RTH (recessive) Vitamin D-resistant rickets type IIA
GR	Glucocorticoid receptor	<i>NR3C1</i>	<i>NR3C1</i>	Cortisol	615962	1991 <sup>E</sup>	10 to 50	Autosomal dominant, autosomal recessive	Glucocorticoid resistance
MR	Mineralocorticoid receptor	<i>NR3C2</i>	<i>NR3C2</i>	Aldosterone	177735	1998 <sup>F</sup>	50 to 100	Autosomal dominant	PHA I
					605115	2001	1	Autosomal dominant	Hypertension with exacerbation in pregnancy
ER $\alpha$	Estrogen receptor $\alpha$	<i>NR3A1</i>	<i>ESR1</i>	Estradiol	615363	1994	3	Autosomal recessive	Estrogen resistance
AR	Androgen receptor	<i>NR3C4</i>	<i>AR</i>	Testosterone	300068 312300	1989 <sup>G</sup> 1991	> 200 > 200	XLR	AIS Partial androgen insensitivity
					n.a.	1994	50 to 100	XLR	Mild androgen insensitivity (infertility)
					313200	1994	> 200	XLR	SBMA

<sup>A</sup>Year in which point mutations in the causative gene were first published. In some situations, described in footnotes D–G, the clinical disorder or syndrome had been previously recognized. <sup>B</sup>Number of sporadic cases or families with the condition, not total number of affected individuals. <sup>C</sup>RTH $\beta$  was first recognized in 1967. <sup>D</sup>Vitamin D-resistant rickets was described in 1978. <sup>E</sup>Glucocorticoid resistance was first reported as a clinical syndrome in 1976 and studied further in relation to possible GR insensitivity throughout the 1980s. <sup>F</sup>PHA in infancy was first reported in 1958. Decreased aldosterone binding to patient cells, which suggested an MR defect, was documented in 1985. <sup>G</sup>AIS was first reported as “testicular feminization syndrome” in 1953. In the 1960s and 1970s it was recognized as an X-linked disorder thought to be due to androgen resistance. In the 1980s reduced androgen binding to patient fibroblasts was shown in a subset of individuals with AIS. HGNC, HUGO Gene Nomenclature Committee; OMIM, Online Mendelian Inheritance in Man; XLR, X-linked recessive.

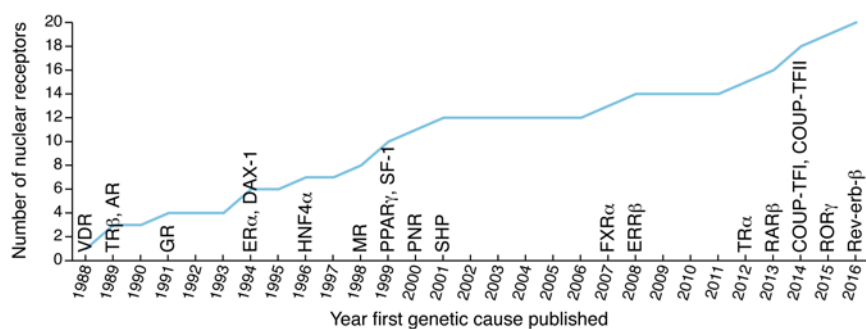
RTH $\alpha$ , characterized by features of hypothyroidism in selected tissues, eluded discovery probably because thyroid function tests are near-normal in the disorder. Most cases have been identified in childhood, with features including disproportionate (lower segment) growth retardation, macrocephaly, dysmorphic features, constipation, dyspraxia, and intellectual deficit. Biochemical abnormalities include low/low-normal thyroxine (T<sub>4</sub>) and high/high-normal triiodothyronine (T<sub>3</sub>) computing to a low T<sub>4</sub>/T<sub>3</sub> ratio, variably reduced reverse T<sub>3</sub>, elevated muscle creatine kinase levels, and anemia (4, 5).

Heterozygous *THRA* mutations disrupt TR $\alpha$ 1 function either markedly or partially and inhibit wild-type receptor action in a dominant-negative manner via a mechanism involving enhanced corepressor recruitment and target gene repression (Figure 2B, Figure 3A, and ref. 5). Some *THRA* defects also involve the carboxy-terminally divergent, non-hormone-binding TR $\alpha$ 2 isoform, with no discernible added clinical phenotype or gain or loss of function attributable to the TR $\alpha$ 2 variant (6). Consonant with resemblance of the RTH $\alpha$  phenotype to some features seen in conventional hypothyroidism, T<sub>4</sub> therapy reverses metabolic abnormalities and improves growth, constipation, dyspraxia, and well-being.

## Vitamin D receptor

The principal role of the vitamin D receptor (VDR, encoded by *NR1H1*) is in the regulation of calcium and phosphate metabolism with actions in the gastrointestinal tract, kidney, and bone. Hypocalcemia and associated symptoms (skeletal and respiratory muscle weakness, seizures) in the early neonatal period or infancy due to lack of VDR-dependent intestinal calcium absorption dominates the phenotype of autosomal recessive hereditary vitamin D-resistant rickets (HVDRR, also known as vitamin D-dependent rickets type II). Rickets manifests with bone pain, growth restriction, and fractures. Low circulating calcium and phosphate levels and raised alkaline phosphatase are associated with normal serum 25-hydroxy but very elevated 1,25 dihydroxyvitamin D<sub>3</sub> (calcitriol) levels, secondary hyperparathyroidism, and elevated parathyroid hormone levels. Alopecia (patchy or total) affecting both scalp and body is a distinctive, non-osseous feature of the disorder.

Approximately 100 cases of HVDRR harboring approximately 45 different homozygous or compound heterozygous VDR mutations have been recorded: frameshift, premature-stop, and DNA-binding domain (DBD) mutations lead to complete loss of function. Additionally, approximately 20 LBD variants exhibit



**Figure 1. A timeline of identification of defects in human NR genes.** The year of the first publication describing a monogenic disorder associated with each NR is shown along the x axis. The cumulative number of human NRs associated with a disorder are shown by the y axis.

reduced ligand binding, failure to heterodimerize with retinoid X receptor (RXR), or selective loss of coactivator recruitment (Figure 3B); a single HVDRR case lacking a VDR mutation has also been described (7). In one family, a missense VDR mutation (p.Glu420Ala), which abolished coactivator binding and exhibited dominant-negative activity, mediated HVDRR in the heterozygous state (8). Patients with HVDRR require oral or intravenous calcium therapy; high-dose vitamin D or calcitriol treatment can overcome the receptor defect in LBD mutation cases (7), raising the possibility of structure-guided design of synthetic analogues for treatment of a subset of HVDRR (9).

Interestingly, alopecia occurs in patients with VDR mutations that lead to loss of receptor expression, DNA binding, or dimerization, but it is not a feature in cases with ligand binding or coactivator recruitment defects. This abnormality is unresponsive to calcitriol therapy, leading to the hypothesis that inhibition of target genes by unliganded, wild-type VDR maintains normal cycling of hair follicles, with loss of such repression mediating hair loss (Figure 2C). Supporting this notion, mutations in *hairless*, a known component of NR repression complexes, also cause an alopecia syndrome (atrachia with papules) (10).

## Glucocorticoid receptor $\alpha$

Disruption of glucocorticoid receptor  $\alpha$  ( $GR\alpha$ , encoded by *NR3C1*) is associated with familial glucocorticoid resistance (FGR, also known as generalized glucocorticoid resistance or Crousos syndrome) (11, 12). This can be dominantly or recessively inherited, with a range of features depending on the severity of the defect or underlying molecular mechanism. Individuals with FGR often present with fatigue, but other signs of glucocorticoid insufficiency are rare. Because of reduced central feedback, adrenocorticotropic hormone (ACTH) is elevated, increasing cortisol and partly compensating for glucocorticoid resistance. One consequence of elevated ACTH is an increase in adrenal mineralocorticoid and androgen production. Consequently, clinical and biochemical features of FGR can include hypertension, hypokalemia, and metabolic alkalosis as well as hirsutism, acne, male pattern baldness, oligomenorrhea, and infertility.

Most pathogenic missense variants in  $GR\alpha$  are located in the LBD and affect glucocorticoid binding or transactivation. Patients or carriers with heterozygous changes tend to have a milder phenotype, although dominant-negative LBD variants have been reported (p.Ile559Asn, Ile747Met) (13, 14). LBD mutants often show variably reduced ligand binding affinity as well as delayed nuclear translocation and altered interactions with coactivators

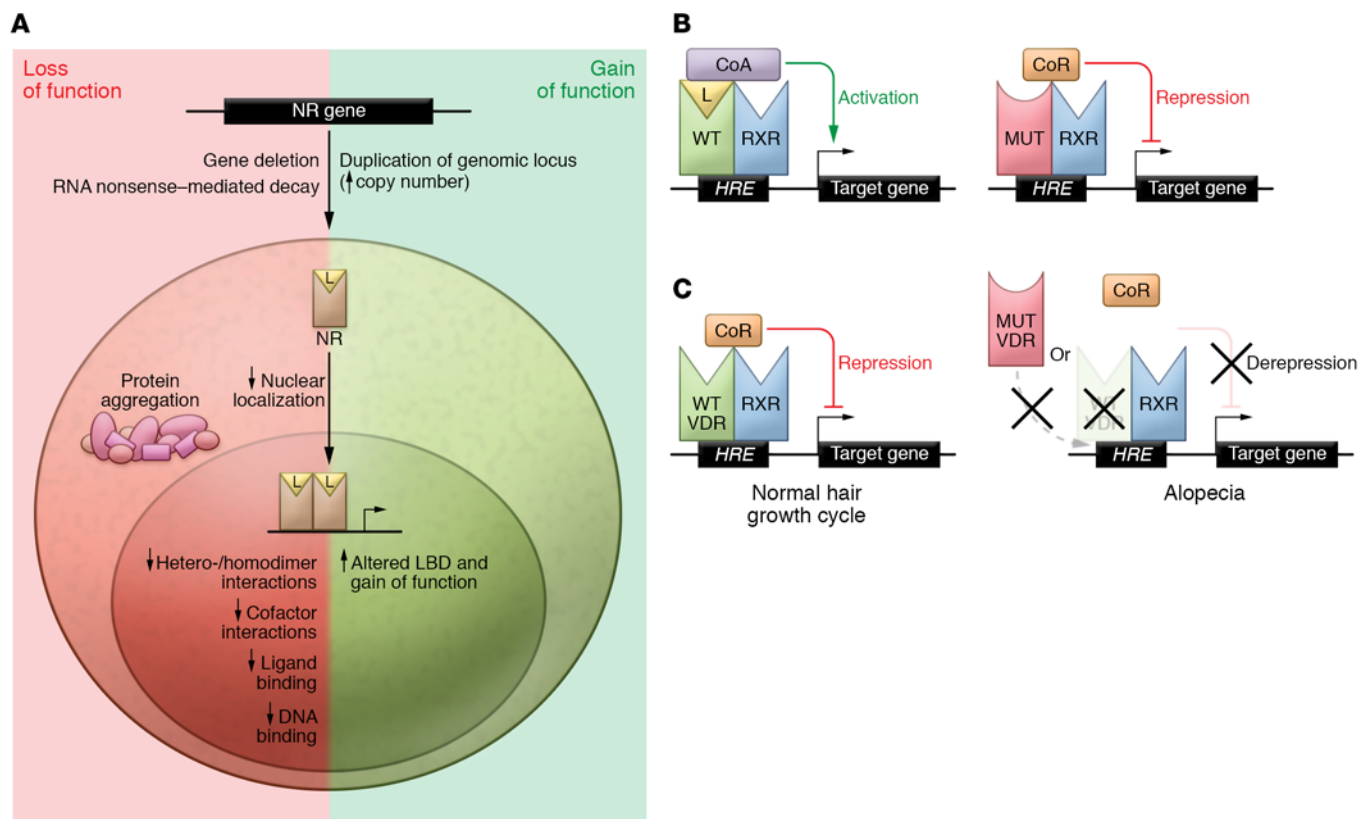
(e.g., p160) (14). Clinical and biochemical features in individuals with homozygous mutations in *NR3C1* are usually more severe. No familial activating mutations in  $GR\alpha$  have been reported, but a heterozygous variant (p.Asp410His) was reported in a woman with features of tissue-selective glucocorticoid hypersensitivity (e.g., visceral obesity, dyslipidemia, type 2 diabetes [T2D], hypertension) (15). This mutant receptor increased transactivation of glucocorticoid-responsive genes.

## Mineralocorticoid receptor

The mineralocorticoid receptor (MR, encoded by *NR3C2*) plays a key role in renal sodium retention and cardiovascular endocrinology. Pathogenic loss-of-function variants in this receptor are associated with a renal form of mineralocorticoid resistance known as autosomal-dominant (or sporadic) pseudohypoaldosteronism type 1 (PHA I) (16, 17). Children typically present in early infancy with dehydration and failure to thrive and have hyponatremia, hyperkalemia, and elevated aldosterone levels and plasma renin activity (PRA). Some infants with elevated aldosterone and PRA are asymptomatic. Sodium supplementation is usually required, but the condition improves in childhood. In contrast, the autosomal recessive form of PHA I, due to defects in the amiloride-sensitive epithelial sodium channel, is a more severe systemic condition that does not remit with age.

Pathogenic MR mutations include nonsense, frameshift, splice and missense mutations, with a potential hotspot at c.2839C>T (p.Arg947\*). Missense mutations often affect key amino acids in the LBD and impair aldosterone binding and aldosterone-dependent transactivation (16, 18). Nuclear localization can sometimes be affected and different variants may have differential effects on MR-target genes (e.g., *SGK1*, *NDRG2*, *GILZ*, *SCNNIA*) (18, 19).

A gain-of-function MR variant was reported in 2000, in one family with early-onset hypertension (onset before the age of 20) (20). Females also exhibited marked hypertension during pregnancy. The heterozygous p.Ser810Leu variant identified in affected family members showed mild constitutive activity together with inappropriate responsiveness to progesterone. The leucine substitution at position 810 increases van der Waals interactions and lessens hydrogen bonding with steroid side groups, thereby enabling progesterone to bind and activate mutant MR (Figure 3C). Although this germline mutation is rare, it exemplifies how genetic variants in NRs can potentially alter ligand specificity; such altered ligand specificity is well recognized with somatic  $ER\alpha$  variants in breast cancer or androgen receptor (AR) mutations in prostate cancer.



**Figure 2. Molecular mechanisms of disrupted NR action.** (A) Mechanisms whereby NR gene and protein changes can alter function. Gene deletion or mutations causing mRNA instability or impairing key cellular functions can cause loss of function (left). Gain of receptor function may occur due to duplication of an NR genomic locus (e.g., *NR0B1*) or LBD mutation (right). (B) In several disorders heterozygous receptor mutants (e.g., *TRβ*, *TRα*, *PPARγ*, *VDR*) inhibit the action of their wild-type counterparts in a dominant-negative manner. In contrast to the wild-type receptor, either defective binding of ligand (L) or recruitment of coactivator (CoA) by a mutant (MUT) receptor impairs its dissociation of corepressor (CoR), mediating constitutive repression of target gene expression. HRE, hormone response element. (C) Alopecia is not a universal feature of hereditary vitamin D resistance. It is associated with *VDR* mutations that disrupt DNA binding, that cause loss of heterodimerization with *RXR*, or that cause loss of receptor expression, but not with variants exhibiting impaired ligand binding affinity or coactivator recruitment. Repression of target genes by unliganded wild-type receptor maintains a normal hair growth cycle, and the loss of such inhibition that accompanies a subset of *VDR* mutants (right) is thought to mediate this variable phenotype.

## Estrogen receptor $\alpha$

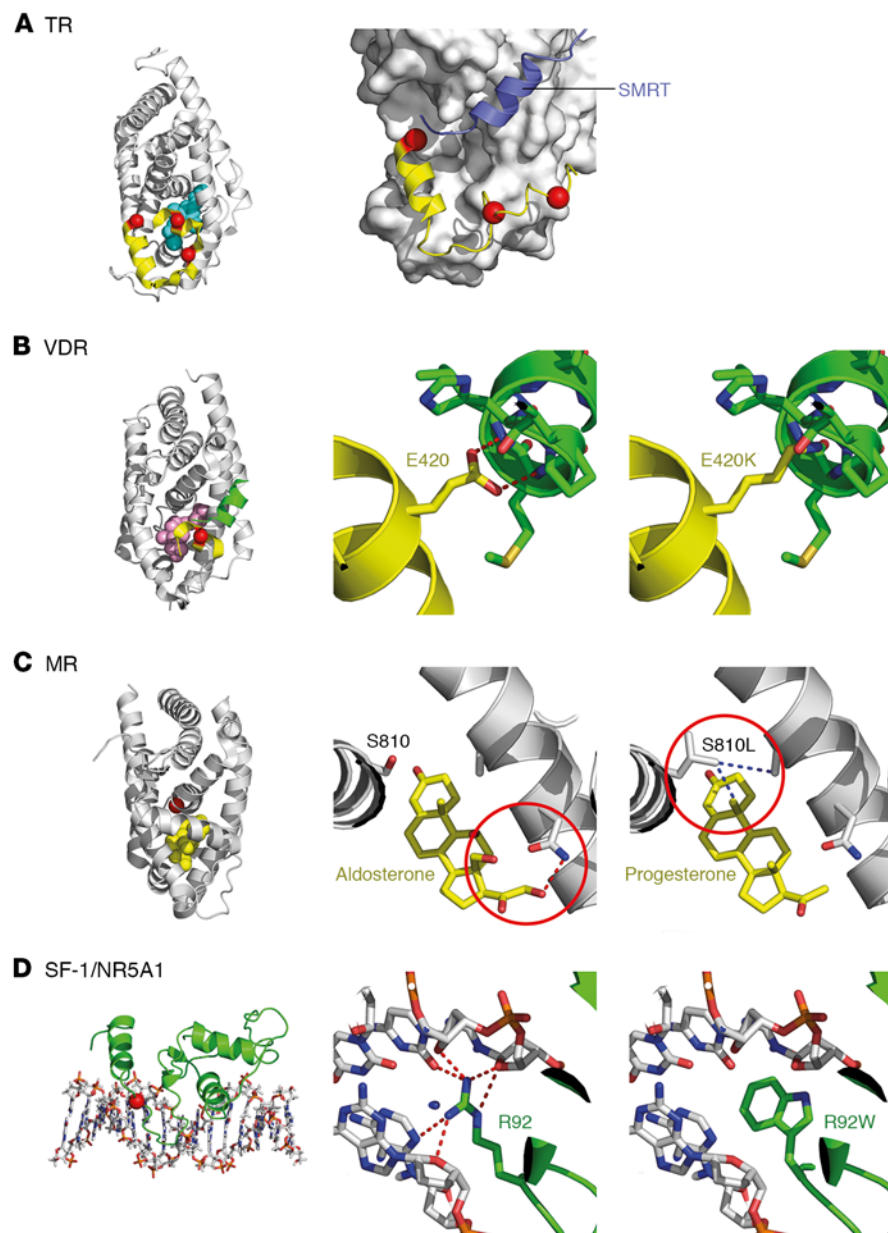
Estrogen receptor  $\alpha$  (*ERα*, encoded by *ESR1* [also referred to as *NR3A1*]) is one of the best-studied NRs in human biology. To date, only three genomic pathogenic variants associated with a clear phenotype have been reported; however, these cases do provide important insight into the role of *ERα* in human development and health.

The first report of a pathogenic *ESR1* variant in 1994 involved a 28-year-old man who presented with tall stature (204 cm), prolonged linear growth, delayed epiphyseal fusion, and reduced bone mineral density ( $z$  score  $-3.1$ ) (21, 22). He had normal puberty, but had elevated levels of follicle-stimulating hormone and luteinizing hormone and reduced sperm viability. He also had impaired glucose tolerance, hyperinsulinemia, and an abnormal lipid profile with evidence of early coronary atherosclerosis, although his BMI was elevated (30.5 kg/m<sup>2</sup>). Genetic analysis revealed a homozygous stop gain variant (p.Arg157\*). He had mildly elevated serum estradiol and resistance to estrogen treatment.

In 2013, the first female with estrogen resistance was reported (23). This 18-year-old woman presented with absent breast development, primary amenorrhea, and abdominal pain due to hemorrhagic ovarian cysts. She had a small uterus with no endo-

metrium, but did have evidence of androgenization. Her bone age was markedly delayed ( $>4$  years), she did not have a pubertal growth spurt, and her bone density was reduced ( $z$  score  $-2.4$ ) with elevated markers of osteoblastic activity. Her estradiol was very elevated (10-fold above normal) with elevated inhibin A and mildly raised gonadotropins, and she was resistant to estrogen treatment. Analysis of *ESR1* revealed a homozygous missense variant (p.Gln375His) in the LBD that impaired estrogen responsiveness in cell-based assays. More recently, a description was published of the first known family with estrogen resistance (p.Arg394His) (24).

These reports provide important information about the role of *ERα* in humans. As expected, *ERα* mediates the main effects of estrogen on bone growth and mineralization, as well as breast and uterine development in females. As in *ER*-knockout mice, gonadotropin concentrations are higher in males, possibly because very high estradiol and inhibin A levels in females partly mediate central feedback. Finally, the woman described above had no evidence of hyperinsulinemia or glucose intolerance, but she had a low BMI (16.6 kg/m<sup>2</sup>) and body fat (28%). Long-term monitoring is needed to see whether metabolic abnormalities develop, although the difference in BMI between the two individuals may be a factor influencing insulin sensitivity.



**Figure 3. Structural modeling of NR mutations.** (A) Modeling (PDB 2H77 TR $\alpha$ , PDB 1KKQ SMRT) shows that mutation of residues (red spheres) in the carboxyterminal region of TR $\alpha$ , which prematurely truncate helix 11 or 12 (left), facilitate its ability to accommodate corepressor (SMRT, blue) within a groove at the receptor surface (right). (B) Modeling (PDB 1RK3, VDR bound to DRIP 205 coactivator) shows that a glutamic acid residue (left; red sphere) hydrogen bonds with the peptide backbone of coactivator (middle; green). Mutation to lysine abolishes this interaction (right). (C) Modeling (PDB 5HCV MR, PDB 5L7E S810L mutant MR) shows that wild-type receptor, with serine at position 810, accommodates aldosterone via hydrogen bonding with steroid (middle; red dotted line), whereas mutant MR with a leucine substitution accommodates progesterone via van der Waals interaction with steroid (right; blue dotted line). (D) Modeling (PDB 2FF0) shows SF-1/NR5A1 bound to DNA. R92 makes an extensive hydrogen bond network in the minor groove to support monomeric binding. This interaction is disrupted by the R92W mutation due to the presence of an indole side group.

## AR

Disruption of the AR (encoded by *NR3C4*) results in androgen insensitivity syndrome (AIS) (25). This X-linked condition was first reported in 1953 and has historically been called “testicular feminization syndrome” (26). Women with complete AIS (CAIS) typically present during late adolescence with primary amenorrhea. Subse-

quent investigations show an absent uterus, 46,XY karyotype, and elevated testosterone concentrations. Breast development usually occurs in adolescence due to the aromatization of androgens to estrogens, but androgen-dependent pubic hair is often absent or sparse. Occasionally the diagnosis is made when testes are found during hernia repair in childhood or with karyotype analysis for another indication. As with most conditions, a spectrum of phenotypes can occur. Partial AIS (PAIS) typically presents with atypical genitalia or hypospadias in the newborn period, and gynecomastia is a common feature at adolescence in boys with this condition (27). Mild AIS (MAIS) has also been reported in men with oligospermic infertility (28).

More than 800 different pathogenic variants in the AR have been reported. These changes include stop-gain, frame-shift, and missense variants that are distributed throughout the gene and have been reviewed extensively elsewhere (26, 29, 30). Missense variants tend to affect important amino acids involved in DNA binding or ligand interactions, but many different residues can be affected. Although some mutations associate more with complete or partial phenotypes, there can be overlap between the type and location of the change, its activity in *in vitro* assays, and the degree of androgen insensitivity in affected individuals. Missense mutations in the hydrophobic ligand-binding pocket of the LBD usually cause CAIS (26), while missense mutations in the large aminoterminal activation function domain (AF-1) usually cause PAIS or MAIS. Of note, a subset of individuals thought to have AIS do not have variants in the AR gene, even though cultured genital fibroblasts show androgen resistance *in vitro* (e.g., reduced dihydrotestosterone-induced apolipoprotein D expression) (31). Disruption of AR-dependent cofactors or post-receptor signaling mechanisms have been proposed as the cause (AIS type II) (31).

The AR is unusual in that it has a variable number of polyglutamine and polyglycine repeats in the aminoterminal region of the receptor. Expansion of the polyglutamine tract (to 38–65 CAG trinucleotide repeats) is

associated with X-linked spinal and bulbar muscular atrophy (SBMA, also known as Kennedy disease) (32). This condition results from AR-polyglutamine toxicity; the mutant protein misfolds and aggregates in spinal cord motor neurons and muscle cells. SBMA can sometimes be associated with reduced androgen action, gynecomastia, low sperm count, and testicular atrophy (33).

**Table 2. Pathogenic variants in orphan or non-classic NRs associated with human genetic disorders**

Receptor	Original name	Official name	HGNC gene	Ligand	OMIM phenotype number	First report	Number of individuals/families	Inherited	Condition
SF-1	Steroidogenic factor-1	NR5A1	NR5A1	Orphan	612965	1999	2	Autosomal dominant, autosomal recessive	Primary adrenal insufficiency and gonadal dysgenesis (46,XY)
					612965	2003	100–200	Autosomal dominant, autosomal recessive, SLD	46,XY DSD
					612964	2009	10–50	Autosomal dominant, autosomal recessive	POI
					613957	2010	10–50	Autosomal dominant	Male factor infertility
					n.a.	2016	10–50	Autosomal dominant	46,XX ovotesticular/testicular DSD
DAX-1	Dosage-sensitive sex reversal–adrenal hypoplasia congenita critical region on the X chromosome, gene 1	NROB1	NROB1	Orphan	300200	1994 <sup>A</sup>	>200	XLR	X-linked adrenal hypoplasia (with hypogonadotropic hypogonadism, male infertility)
					300018	1991	10–50	Duplication	46,XY DSD
RAR $\beta$	Retinoic acid receptor- $\beta$	NR1B2	RARB	Retinoic acid	615524	2013	4	Autosomal dominant, autosomal recessive	Syndromic microphthalmia (type 12), diaphragmatic hernia, pulmonary hypoplasia, cardiac defects
ROR $\gamma$	RAR-related orphan receptor $\gamma$	NR1F3	RORC	Orphan	616622	2015	3	Autosomal recessive	Immunodeficiency type 42
PNR	Photoreceptor-specific nuclear receptor	NR2E3	NR2E3	Orphan	268100	2000 <sup>B</sup>	50–100	Autosomal recessive	ESCS, including Goldman-Favre syndrome
					611131	2007	10–50	Autosomal dominant, autosomal recessive	RP type 37
COUP-TFI	Chicken ovalbumin upstream promoter transcription factor I	NR2F1	NR2F1	Orphan	615722	2014	10–50	Autosomal dominant	Bosch-Boonstra-Schaaf optic atrophy syndrome (developmental delay)
COUP-TFII	Chicken ovalbumin upstream promoter transcription factor II	NR2F2	NR2F2	Orphan	615779	2014	10–50	Autosomal dominant	Congenital heart defects, multiple (type 4)
Rev-erb- $\beta$	Rev-erb- $\beta$	NR1D2	NR1D2	Orphan	n.a.	2016	1	Autosomal dominant	Congenital heart defects (e.g., AVSD) <sup>C</sup>
ERR $\beta$	Estrogen-related receptor $\beta$	NR3B2	ESRRB	Orphan	608565	2008	<10	Autosomal recessive	Autosomal-recessive deafness type 35
FXR $\alpha$	Farnesoid X receptor $\alpha$	NR1H4	NR1H4	Bile acids	617049	2007	<10	Autosomal recessive	ICP, PFIC
HNF4 $\alpha$	Hepatocyte nuclear factor 4 $\alpha$	NR2A1	HNF4A	Orphan	125850	1996 <sup>D</sup>	100–200	Autosomal dominant	MODY type 1, HH
					616026	2014	1	Autosomal dominant	Fanconi renotubular syndrome type 4 with MODY
PPAR $\gamma$	Peroxisome proliferator activated receptor- $\gamma$	NR1C3	PPARG	Fatty acids, eicosanoids	604367	1999	10–50	Autosomal dominant	Familial partial lipodystrophy type 3, digenic severe IR
					601665	1998	1	Autosomal dominant <sup>E</sup>	Severe obesity
					125853	1998	1	Autosomal dominant	Resistance to T2D
SHP	Small heterodimeric partner	NROB2	NROB2	Orphan	601665	2001	10–50	Autosomal dominant	Mild obesity, high birth weight, T2D

<sup>A</sup>X-linked AHC causing “cytomegalic” adrenal hypoplasia was first reported in 1948 and the X-linked basis identified in the 1970s, followed by reports of gene deletion syndromes involving chromosome Xp21 in the 1980s. <sup>B</sup>ESCS was first described in 1990. In 1995 it was thought to be a disorder of photoreceptor determination and proliferation. <sup>C</sup>The association of mutations in Rev-erb- $\beta$  (NR1D2) with congenital heart defects is currently based on a single case report. <sup>D</sup>MODY was first recognized in 1975. <sup>E</sup>Inheritance patterns are tentative, especially when only one individual or family has been reported. n.a., not available; SLD, sex-linked dominant;.

## Steroidogenic factor-1

Steroidogenic factor-1 (SF-1, encoded by *NR5A1*) was identified following the search for a common regulator of steroidogenic enzyme transcription (34, 35). Complete deletion of *Nr5a1* in the mouse resulted in adrenal agenesis, gonadal (testicular) dysgenesis with persistent Müllerian structures (in the uterus and upper vagina) in XY animals and variable defects in gonadotropin release, confirming SF-1 as a key player in adrenal and gonad biology (36). Subsequently, other metabolic features such as late-onset obesity and ventromedial hypothalamic abnormalities were reported (37, 38).

The first descriptions of pathogenic loss-of-function variants in *NR5A1* in humans were published in 1999 and 2002 (39, 40). These reports included two 46,XY girls with testicular dysgenesis, Müllerian structures, and salt-losing primary adrenal insufficiency. The first child had a de novo heterozygous change (p. Gly35Glu) in the P-box region of the SF-1 DBD that affected binding to and transcriptional activation of target gene response elements (39). Functional studies suggested this was largely a gene dosage-dependent competitive effect, although partial dominant negativity was reported in some systems. The second child had a homozygous pathogenic change (p. Arg92Gln) affecting the A-box region of SF-1 DBD (40). SF-1 belongs to a small subgroup of NRs that bind to DNA as monomers rather than as homo- or heterodimers. The A-box region is involved in stabilizing monomeric binding through an interaction with the DNA minor groove. Thus, a heterozygous P-box change and homozygous A-box change may have similar phenotypes.

The past decade has seen great increases in the number of reported pathogenic changes in *NR5A1* and also the spectrum of SF-1-associated conditions (41). More than 200 individuals and families are now described in the literature. Heterozygous loss-of-function variants in *NR5A1* occur in approximately 15% of individuals with testicular dysgenesis and reduced androgen production, resulting in 46,XY differences in/disorders of sex development (DSDs) (42). Phenotypes can range from females with a 46,XY karyotype to boys with penoscrotal hypospadias or undescended testes. Milder variants in *NR5A1* can be associated with a small subset of male factor infertility, sometimes with progressive endocrine dysfunction (43). Variants in *NR5A1* are also associated with primary ovarian insufficiency (POI) in 46,XX women, although the age of onset and natural time course are highly variable (44). Although many variants occur de novo, around 30% can be carried and maternally transmitted as a sex-limited dominant trait. Because multiple members of a family may have 46,XY DSD with 46,XX females being at risk of POI, careful family history and counseling is important. Very rarely, mutations in *NR5A1* can cause primary adrenal insufficiency in 46,XX girls.

Although true gain-of-function variants in SF-1 have not been reported, recent observations suggest that recurrent, heterozygous missense changes in codon 92 (p.Arg92Trp, p.Arg92Gln) of *NR5A1* are associated with ovotestes or testes in individuals with a 46,XX karyotype (45). Several individuals or families of diverse genetic ancestry have been reported. This particular amino acid change may interfere with expression of DAX-1 (dosage-sensitive sex reversal-adrenal hypoplasia congenita (AHC) critical region on the X chromosome, gene 1; see below) through WNT signaling in the developing gonad, but the exact mechanism that “switches” the ovary into a testis remains unclear (Figure 3D).

## DAX-1

DAX-1 (encoded by *NROB1*) is an orphan NR that lacks the typical NR DBD but that has an aminoterminal region motif comprising three 66–67 amino acid tandem repeats. Similar to SF-1, DAX-1 plays a key role in adrenal and reproductive development.

DAX-1 disruption was first reported to cause X-linked AHC in 1994 (46, 47). Since then, more than 200 individuals or families have been reported to have pathogenic variants in *NROB1* (41). The classic features in males include primary adrenal insufficiency in early infancy or childhood, delayed or arrested puberty due to disordered gonadotropin release, and impaired spermatogenesis.

Nonsense or frameshift variants in *NROB1* occur throughout the gene, whereas pathogenic missense variants tend to cluster in key areas of the ligand-like binding domain in regions that form the hydrophobic core of the protein (48). Few missense changes in the aminoterminal repeat structure of DAX-1 have been reported. Partial loss-of-function missense variants can be associated with a milder phenotype of delayed onset adrenal insufficiency in early adulthood, or partial hypogonadotropic hypogonadism (49). Surprisingly, milder phenotypes can also occur due to stop gain variants at the start of the protein (p. Trp37\*, p.Trp39\*); this effect is likely due to re-initiation of translation of a truncated DAX-1 protein from a downstream methionine at codon 83 that remains partially functional (due to the repeat motif structure) and “rescues” the phenotype (50).

Although DAX-1-associated conditions are well established, the exact biological role of DAX-1 remains unclear. Many studies demonstrate that DAX-1 acts as a transcriptional repressor, potentially through a direct interaction with SF-1 (48). Indeed, duplication of the locus containing DAX-1 is associated with testicular dysgenesis, suggesting that it may act as an “anti-testis” gene. DAX-1 may play a role in regulating progenitor cell differentiation. Loss of DAX-1-dependent repression may result in premature differentiation of progenitor cells without appropriate expansion of cell numbers, ultimately resulting in tissue hypoplasia. Indeed, loss of DAX-1 can sometimes be associated with early puberty in humans, and transient adrenal hyperresponsiveness has been reported in *Dax1* knockout mice (51). Whether these phenomena reflect the true biological basis of DAX-1 function is still unclear.

## Retinoic acid receptor- $\beta$

Retinoic acid receptor- $\beta$  (RAR $\beta$ , encoded by *NR1B2*) is expressed in many tissues during development, and deletion of *Nr1b2* in mice causes multiple defects (e.g., CNS, vision, hearing, musculoskeletal, cardiovascular, gastrointestinal, pulmonary, renal) and high lethality.

In 2013, the first pathogenic RAR $\beta$  variants were reported in patients with *STRA6* mutation-negative syndromic microphthalmia and additional features such as pulmonary hypoplasia/agenesis, diaphragmatic hernia/eventration, anophthalmia/microphthalmia, and cardiac defects (PDAC syndrome). In one family, two siblings were found to be compound heterozygous for disruptive variants (p.Arg119\*/p.Ile403SerfsTer15) (52). At least three other unrelated children with microphthalmia and one or more of these additional features have been found to carry de novo heterozygous changes affecting an arginine hotspot at codon 387 (e.g., p.Arg387Ser, p.Arg387Cys), potentially mediating a gain-of-function mechanism (52, 53). Taken together, these findings pro-

vide support for retinoic acid pathways in human eye development and organogenesis. Indeed, NR1B2 is highly expressed in the human retina, unlike NR1B1 or NR1B3 (54).

### RAR-related orphan receptor $\gamma$

RAR-related orphan receptor  $\gamma$  (ROR $\gamma$ , encoded by *RORC* [also known as *NR1F3*]) plays a key role in thymocyte development and function, including differentiation of the Th17 cell subset. Recently, homozygous pathogenic variants in *RORC*, causing disruption of both the ROR- $\gamma$  and ROR- $\gamma$ -t isoforms, have been reported in seven individuals from three unrelated consanguineous pedigrees with immunodeficiency (55). These families — from Palestine, Chile, and Saudi Arabia — have evidence of chronic mucocutaneous candidiasis (due to IL-17A and IL-17F deficiency) combined with susceptibility to mycobacterial disease and disseminated infections following Bacillus Calmette-Guérin vaccines. Patients also all had a small thymus.

### Photoreceptor-specific NR

Photoreceptor-specific NR (PNR, encoded by *NR2E3*) is involved in retinal photoreceptor cell differentiation and degeneration, and its disruption results in retinal degeneration in the rd7 mouse. Pathogenic variants in *NR2E3* cause enhanced S-cone syndrome (ESCS) (56), an inherited retinal disorder characterized by increased visual function of the minority S (blue) cones and decreased L/M (red/green) cone and rod function. These findings likely represent increased S-cone proliferation at the expense of other cell types during cell fate determination. Patients typically develop night blindness and evidence of retinitis pigmentosa (RP). Autopsy studies have shown absence of rods and retinal disorganization and degeneration (57).

Among pathogenic variants in *NR2E3* reported to cause ESCS, homozygous p.Arg311Gln variants are most common and represent a hotspot for disease among the Crypto-Jewish population in Portugal (58). The same change is also associated with Golmann-Favre syndrome, a more severe form of ESCS (55). Variants in *NR2E3* are also found in autosomal-recessive and -dominant forms of RP (59, 60). Approximately 3% of dominantly inherited RP is due to a heterozygous PNR mutation (p.Gly56Arg) in the first zinc finger of the DBD, exhibiting dominant-negative activity (60, 61). Other variants cause altered cellular localization or homo- or hetero-dimerization with TLX/NR2E1 and RXR $\alpha$ /NR2C1 (62, 63). Another NR, Rev-erb- $\alpha$ /NR1D1, has been used to potentially “rescue” disease progression in the rd7 mouse (64).

### Chicken ovalbumin upstream promoter transcription factor I (NR2F1)

Chicken ovalbumin upstream promoter transcription factor I (COUP-TF1) is widely expressed in many tissues. It is strongly expressed in the brain and peripheral nervous system and has a potential role in regionalization of the neocortex and axonal projection. In humans, *NR2F1* haploinsufficiency and de novo heterozygous mutations in *NR2F1* have been reported in patients with Bosch-Boonstra-Schaaf optic atrophy syndrome (65, 66). Additional characteristics include developmental delay and variable, nonspecific facial features. Most missense mutations (such as p.Arg112Lys, p.Ser113Arg, and p.Arg115Pro) cluster in the DBD

and may be associated with a more severe phenotype (66, 67). Other features reported recently include hypotonia, oromotor dysfunction, thinning of the corpus callosum, seizures, autism spectrum disorder, and hearing impairment (67).

### COUP-TFII

COUP-TFII (encoded by *NR2F2*) plays a role in angiogenesis, vascular remodeling, and heart development as well as in more widespread regulation of cell fate during embryonic development. Recently, heterozygous variants in *NR2F2* have been reported in patients with a range of congenital cardiac disease phenotypes (68). In one family, a 3-bp duplication in *NR2F2* segregated with multiple cardiac defects (i.e., atrioventricular septal defect [AVSD], aortic stenosis/VSD, and tetralogy of Fallot), whereas other heterozygous missense mutations or deletions of *NR2F2* have been associated with AVSD, hypoplastic left heart syndrome, or aortic coarctation (68). Congenital diaphragmatic hernia may be an association in mice and humans (69).

### Rev-erb- $\beta$

Rev-erb- $\beta$  (encoded by *NR1D2*) has several proposed actions including being a potential repressor of gene transcription. Recently, a de novo heterozygous mutation in *NR1D2* was found in one individual with congenital heart disease (AVSD) (70). This variant (p.Arg175Trp) affects binding to the DNA minor groove and impairs transcriptional repression (70). Detailed analysis of *Nr1d2*<sup>-/-</sup> mice indicated a similar phenotype (70). As this is a very recent observation, the true contribution of Rev-erb- $\beta$  to developmental heart defects is not yet known.

### Estrogen-related receptor $\beta$ (NR3B2)

Estrogen-related receptor  $\beta$  (ERR $\beta$ , encoded by *NR3B2*) has structural homology to the ERs and binds ER response elements but is not activated by estrogens. ERR $\beta$  plays a role in placental development and is expressed in several tissues such as the inner ear during development and postnatal life (71). Homozygous disruption of *ESRRB* was first reported in a large consanguineous Turkish family with autosomal-recessive nonsyndromic hearing loss (type 35) (72). Homozygous point mutations in the DBD and, more often, in the “ligand”-binding domain of ERR $\beta$  have also been reported as a rare cause of nonsyndromic hearing loss, often in consanguineous families (72). A potential link between disruption of *ESRRB* and dental caries has also been proposed (73).

### Farnesoid X receptor

Farnesoid X receptor (FXR, encoded by *NR1H4*), a bile acid-activated NR, is a key mediator of bile acid homeostasis, regulating target genes that mediate hepatic export (e.g., bile salt export pump [*ABCB11*], multidrug resistance protein 3 [*ABCB4*]), biosynthesis (e.g., *CYP7A*), or enterohepatic circulation (e.g., *NTCP*, *IBABP*) of bile acids, limiting their intrinsic hepatocellular toxicity.

Four different heterozygous *NR1H4* variants (-1G>T, p.Met1Val, p.Trp80Arg, and p.Met173Thr) that reduce its expression or transcriptional activity were identified by screening 92 women with intrahepatic cholestasis of pregnancy (ICP), a disorder characterized by late gestational pruritus and abnormal maternal and fetal liver function, predisposing to fetal distress and prematurity



(74). The heterozygous -1G>T variant was subsequently identified in an unrelated ICP case (75).

Progressive familial intrahepatic cholestasis (PFIC) comprises three subtypes known to be associated with mutations in transport proteins (PFIC-1, encoded by *ATP8B1*; PFIC-2, encoded by *ABCB11/BSEP*; and PFIC-3, encoded by *ABCB4*), but 30% of cases are idiopathic. FXR variants have recently been identified in four children with severe neonatal cholestasis that progressed to liver failure that was terminal or required transplantation. A homozygous premature stop mutation (p.Arg176\*) abrogating DNA binding and function was identified in one family, and compound heterozygosity for an in-frame DBD insertion (p.Tyr139\_Asn140insLys) plus a 31-kb deletion encompassing the first two coding exons of *NRIH4* was identified in a second family. Similar to previous PFIC cases with defective bile salt export pump (BSEP, a known FXR target), cholestasis was associated with low/normal  $\gamma$ -glutamyl transferase levels and reduced BSEP expression. Severe vitamin K-independent coagulopathy, attributed to reduced FXR-dependent clotting factor levels and reduced circulating levels of other FXR-dependent hormones and metabolites, may represent other distinctive, diagnostically useful biomarkers (76).

### Hepatocyte nuclear factor 4 $\alpha$

Hepatocyte nuclear factor 4 $\alpha$  (HNF4 $\alpha$ , encoded by *NR2A1*) controls gene expression in the liver (approximately 40% of actively transcribed genes) and pancreas (11% of islet cell genes) and regulates pathways of hepatic gluconeogenesis and pancreatic insulin secretion (77).

Maturity-onset diabetes of the young (MODY), usually defined as diabetes mellitus (diagnosed before age 25 years) with negative islet cell autoantibodies, is most commonly (in about 50% of cases) due to mutations in HNF1 $\alpha$  (MODY type 3), a homeobox family transcription factor, with *HNF4A* variants accounting for a further 10% of cases (MODY type 1). Approximately 100 different heterozygous mutations (58% missense, 20% frameshift or premature stop, 5% splice site) localizing to *HNF4A* coding exons have been recorded in this dominantly inherited disorder; a further 5% of variants localize to the pancreatic P2 promoter region of *HNF4A*, disrupting known tissue-specific transcription factor binding sites (77). Some HNF4 $\alpha$  mutations, even those located outside the canonical DBD, compromise a protein interface in the HNF4 $\alpha$  homodimer bound to DNA (78), with other variants disrupting transactivation, nuclear localization, or protein stability. Due to the large number of HNF4 $\alpha$ -regulated target genes in liver and pancreas, it has been postulated that haploinsufficiency, with loss of even a fraction of functional receptor homodimers, reduces pancreatic glucose-dependent insulin secretion, mediating MODY (79).

In addition to a young age of diagnosis and family history of early-onset diabetes, reduced serum ApoA2 (known to be HNF4 $\alpha$  regulated) and triglyceride levels and exquisite sensitivity to sulfonylurea drug therapy may be useful markers of HNF4 $\alpha$  MODY (80). *HNF4A* mutation carriage is also associated with excess insulin secretion, resulting in macrosomia and neonatal hyperinsulinemic hypoglycemia (HH) in up to 50% of babies; the latter mandates neonatal surveillance of affected pregnancies because HH can be either mild and transient or more severe, requiring treatment with diazoxide (81). In addition to neonatal hyperin-

sulinism and macrosomia, renal proximal tubulopathy (Fanconi syndrome) with elevated urinary calcium, phosphate, and oxalate causing nephrocalcinosis has been recorded in patients with a specific HNF4 $\alpha$  mutation (p.Arg76Trp) (82).

GWAS do show linkage of common variants around the *HNF4A* locus with T2D; a rare variant (p.Thr130Ile) in *HNF4A* confers a modest (1.2-fold) risk of T2D and is positively associated with HDL cholesterol levels (77).

### PPAR $\gamma$

PPAR $\gamma$  (encoded by *NR1C3*) is essential for adipocyte differentiation but also regulates target genes that mediate triglyceride hydrolysis and fatty acid and glycerol uptake, together with genes involved in fatty acid re-esterification and lipid storage (83). Heterozygous, missense *PPARG* mutations (p. Pro467Leu, Val290Met), impairing its ligand-dependent transcriptional activity, were first identified in patients with severe insulin resistance (IR) and early-onset T2D (84); subsequently, the phenotype was recognized to encompass a distinctive pattern of partial lipodystrophy. Additional features, such as hepatic steatosis and dyslipidemia, likely reflect an impaired ability to buffer dietary lipid load, with tissue lipotoxicity mediating IR. The resulting hyperinsulinemia mediates polycystic ovarian dysfunction and acanthosis nigricans. Hypertension that occurs independent of diabetic comorbidities is also a feature, suggesting a direct role for PPAR $\gamma$  in control of vascular tone (83).

Rare heterozygous *PPARG* variants associated with lipodystrophic IR localize to the LBD or DBD, disrupting either DNA binding or ligand-dependent transcription activation functions. Additionally, mutant receptors inhibit function of their wild-type counterparts in a dominant-negative manner (85). In a large, digenic kindred, PPAR $\gamma$  haploinsufficiency alone did not mediate IR, but acted in concert with a *PPPIR3A* mutation that affects muscle glycogen synthesis (86). Whole exome sequencing of around 9,000 individuals with T2D identified nine functionally deleterious, rare *PPARG* variants that confer substantial disease risk; however, it could not be ascertained whether adipose mass was reduced in these subjects (87). A common *PPARG* variant (p.Pro12Ala) that occurs with varying frequency (2% to 18%) in different ethnic groups is associated with a reduction in T2D risk (odds ratio 0.86). Conversely, the Pro12 allele is present in 80% of humans and can increase population T2D risk by up to 25% (85). Reduced target gene activation and induction of adipogenesis by the Ala12 PPAR $\gamma$  variant may lower adipose mass and improve insulin sensitivity in carriers, being the basis of its protective effect (88). A rare variant (p.Pro113Gln) in the PPAR $\gamma$  aminoterminal domain that exhibits gain of transcriptional function has been documented in four obese but paradoxically diabetic German subjects, but this or similar variants have not been found in other obese populations, suggesting a strong founder effect (85).

### Small heterodimeric partner

Small heterodimer partner (SHP, encoded by *NROB2*) is an atypical orphan NR that has a ligand-like binding domain with sequence homology to other NRs but with a truncated aminoterminal region that lacks a true DBD. Heterozygous *NROB2* variants with a diminished ability to inhibit HNF4 $\alpha$  function were reported in 7% of Jap-

anese patients with early-onset T2D, mild/moderate obesity, and increased birth weight (89). A separate study documented loss-of-function *NROB2* variants in 2.4% (19/805) of Japanese people with T2D, but also found these variants in 0.8% (6/752) of controls without diabetes (90). In contrast, studies in different populations have not consistently found such high enrichment for rare SHP variants in cohorts with obesity or diabetes (81–94).

## Conclusions

The identification of naturally occurring NR mutations over the last 30 years has provided insights into their structure and function, but there are still many NRs for which an associated disorder has not yet been discovered. Looking to the future, exome or genome sequencing may uncover an association of NR gene variants with unexpected phenotypes or disorders not readily predicted from their known roles in physiologic or developmental processes. In other situations, the phenotype might be subtle or even embryonic lethal. These technologies will also identify genetic variants whose functional consequences are uncertain, emphasizing the need to develop relevant, high-throughput assays of variant NR function that can accurately predict their pathogenic significance, as has been described recently for *PPARG* (95).

With disorders of many classical NRs associated with changes in hormone levels linked to their cognate ligands, it is likely that defects in orphan receptors are also accompanied by distinctive changes in circulating metabolites or proteins. Metabolomic or proteomic profiling of case cohorts with defined NR gene defects may discern characteristic biochemical signatures, enabling bet-

ter diagnosis of associated disorders or providing clues to the identification of unknown orphan receptor ligands.

A subset of individuals with typical clinical or biochemical features suggestive of disordered NR action do not have mutations in NR proteins, and it is possible that variants in non-coding regions of the genome affecting function of enhancer regions or involving epigenetic modification of chromatin or non-coding RNAs account for such cases. Alternatively, it is possible that defects in genes encoding NR cofactor proteins may be associated with such phenotypes. With our increasing knowledge of the human genome and application of high-throughput technologies to genome analysis and small-molecule screening, the next 30 years are likely to be an equally exciting time for human NR research.

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