

1 **Association between Dabigatran versus Warfarin and Risk of Osteoporotic Fractures**  
2 **among Patients with Nonvalvular Atrial Fibrillation**

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32 **Key Points**

33 **Question:** What is the risk of osteoporotic fracture associated with the use of dabigatran  
34 compared to warfarin among patients with nonvalvular atrial fibrillation?

35 **Findings:** In this population-based cohort study of 8152 patients, use of dabigatran was  
36 associated with a significantly lower risk of osteoporotic fracture compared to warfarin  
37 (incidence 0.7 vs. 1.1 per 100 person-years) during a mean follow-up of approximately 500  
38 days.

39 **Meaning:** Among adults with nonvalvular atrial fibrillation receiving anticoagulation, the use  
40 of dabigatran compared to warfarin was associated with a lower risk of osteoporotic fracture;  
41 further studies may be warranted to assess this further.

42 **Abstract**

43 **Importance:** The risk of osteoporotic fracture with dabigatran use in patients with  
44 nonvalvular atrial fibrillation (NVAF) is unknown.

45 **Objective:** To investigate the risk of osteoporotic fracture with dabigatran use and compare it  
46 with warfarin in patients with NVAF.

47 **Design, Setting, and Participants:** Retrospective cohort study using a population-wide  
48 database managed by the Hong Kong Hospital Authority. Patients newly diagnosed with  
49 NVAF from 2010 through 2014 and prescribed dabigatran or warfarin were matched by  
50 propensity score at 1:2 ratio and followed until July 31, 2016.

51 **Exposures:** Dabigatran or warfarin use during the study period.

52 **Main Outcome and Measure:** Risk of osteoporotic fracture at hip and vertebrae was  
53 compared between dabigatran and warfarin users using Poisson regression. The  
54 corresponding incidence rate ratio (IRR) and absolute risk difference (ARD) with 95%  
55 confidence interval (CI) were calculated.

56 **Results:** Among 51 496 patients newly diagnosed with NVAF, 8152 new users of dabigatran  
57 and warfarin were matched by propensity score (50% female; mean [SD] age, 74 [11] years).  
58 Osteoporotic fracture was developed in 104 (1.3%) patients during follow-up. This included  
59 32 dabigatran users (1.0%) and 72 warfarin users (1.5%). Results of Poisson regression  
60 analysis showed that dabigatran use was significantly associated with a lower risk of  
61 osteoporotic fracture compared to warfarin (0.7 vs. 1.1 per 100 person-years [py]; IRR: 0.38  
62 [95%CI: 0.22 to 0.66]; ARD: -0.68 [95%CI: -0.38 to -0.86] per 100 py). The association with  
63 lower risk was statistically significant in patients with a history of falls and/or fractures  
64 (dabigatran vs. warfarin: 1.6 vs. 3.6 per 100 py; IRR: 0.12 [0.04 to 0.33]; ARD: -3.15 [-2.40

65 to -3.45] per 100 py), but not in those without a history of falls and fractures (0.6 vs. 0.7 per  
66 100 py; IRR: 0.95 [0.45 to 1.96]; ARD: -0.04 [0.67 to -0.39] per 100 py) (p-value for  
67 interaction<0.001).

68 **Conclusions and Relevance:** Among adults with NVAF receiving anticoagulation, the use of  
69 dabigatran compared with warfarin was associated with a lower risk of osteoporotic fracture.  
70 Additional study, perhaps including randomized trials, may be warranted to assess this further.

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72 **Introduction**

73 Warfarin is a traditional oral anticoagulant used for stroke prevention in patients with  
74 nonvalvular atrial fibrillation (NVAf). It is a vitamin K antagonist (VKA) that interferes with  
75 the  $\gamma$ -carboxylation of glutamic acid (Glu) residues, and consequently inhibits the activation  
76 of bone matrix proteins.<sup>1</sup> Several studies have reported the possible link between warfarin use  
77 and an increased risk of osteoporotic fracture.<sup>1-4</sup> Particular concern was highlighted by a  
78 population-based study of 14 564 Medicare patients in the United States in 2006,<sup>3</sup> which  
79 reported an increased risk of osteoporotic fracture (odd ratio 1.25) in patients with AF on  
80 long-term ( $\geq 1$  year) warfarin compared to non-warfarin users. Despite the concerns for  
81 fracture risk, warfarin was an inevitable treatment choice for decades as there were no other  
82 comparable alternatives available.

83 Dabigatran is the first non-VKA oral anticoagulant (NOAC) approved for use in patients with  
84 NVAf. Although most attention has focused on its effect on stroke or bleeding, a recent  
85 animal study reported that the use of dabigatran was associated with higher bone volume,  
86 smaller trabecular separation, and lower bone turnover rate compared to warfarin in rats,  
87 suggesting potential for a lower risk of osteoporotic fracture over warfarin.<sup>5</sup> Osteoporotic  
88 fracture is a key clinical concern because oral anticoagulants are usually prescribed to older  
89 people for whom fracture is a significant cause of morbidity and mortality.<sup>6</sup> However, the  
90 actual risk of osteoporotic fracture with dabigatran in humans is undefined and its  
91 comparison with warfarin in routine clinical practice is unknown.

92 This population-based cohort study was conducted to determine and compare the risk of  
93 osteoporotic fracture in patients with NVAf treated with dabigatran and warfarin.

94 **Method**

95 **Data source**

96 This study used the anonymized electronic medical records of the Clinical Data Analysis and  
97 Reporting System (CDARS) of the Hong Kong Hospital Authority (HA), a statutory body  
98 that manages all public hospitals and their ambulatory clinics in Hong Kong.<sup>7</sup> HA is serving a  
99 population of over 7 million through 41 hospitals and institutions, 47 specialist outpatient  
100 clinics, and 73 general outpatient clinics.<sup>7</sup> CDARS covers approximately 80% of all hospital  
101 admissions in Hong Kong.<sup>8</sup> Electronic patient records in HA, including demographics, date  
102 of registered death, date of hospital admission and discharge, date of consultation, drug  
103 dispensing records, diagnoses, procedures, and laboratory tests are all centralized in CDARS  
104 for research and audit purpose. Patient records are anonymized to protect patient identity.  
105 CDARS had been extensively used for conducting high quality large population-based  
106 studies.<sup>9-16</sup> Data validation has demonstrated the high coding accuracy in CDARS.<sup>9,10,12</sup>  
107 Original clinical records of patients, including radiology reports, results from computed  
108 tomography or magnetic resonance imaging scans, surgery records, and documentation in  
109 medical charts were reviewed by two independent physicians to confirm the fracture events.  
110 A high coding accuracy was found in the diagnosis for fractures at hip (positive predictive  
111 value [PPV]=100%; 104/104 cases), vertebrae (PPV=86%; 87/101 cases), wrist and forearm  
112 (PPV=100%; 94/94 cases), and humerus (PPV=100%; 83/83 cases). Detailed descriptions of  
113 CDARS were reported previously.<sup>10,14,16</sup>  
114 The study protocol was approved by the Institutional Review Board of the University of  
115 Hong Kong/Hospital Authority Hong Kong West Cluster (reference number:UW13-468).  
116 Informed patient consent was not required as the data used in this study were anonymized.

## 117 **Study design and selection of patients**

118 This was a retrospective cohort study. We identified new patients who had a first recorded  
119 AF (International Classification of Diseases codes, Ninth-Revision, Clinical Modification  
120 [ICD-9]: 427.3) between January 1, 2010 and December 31, 2014 in CDARS. To select  
121 patients with NVAF only, patients diagnosed with valvular AF, valvular heart disease or  
122 hyperthyroidism, or those who had undergone valve replacement (ICD-9-CM; eTable 1) at or  
123 prior to their first AF occurrence were excluded. Any possible cases of transient AF, cardiac  
124 surgery, myocarditis, pericarditis, or pulmonary embolism within 3 months before their first  
125 AF occurrence were excluded, as were patients with missing date of birth or sex, aged <18  
126 years, or died during their first AF episode (Figure 1).

127 Index date was defined as the date of the first recorded prescription of dabigatran or warfarin  
128 following AF diagnosis. The follow-up for each patient commenced from the index date until  
129 the occurrence of fracture, death, switch to other oral anticoagulants (apixaban, dabigatran,  
130 rivaroxaban, and warfarin), discontinuation of treatment (defined as >5 days of gap between  
131 consecutive prescription refill), or end of study period (July 31, 2016), whichever came first.  
132 To select new users of dabigatran and warfarin, patients were excluded if they received either  
133 drug within 180 days prior to index date (Figure 1). Patients with bone tumors, epilepsy or  
134 history of seizure recorded any time before index date, or baseline use ( $\leq 90$  days prior to  
135 index date) of hormone replacement therapy were excluded to reduce potential residual  
136 confounding effects.<sup>17</sup>

## 137 **Outcome**

138 The outcome of interest was a composite of hip fracture (ICD-9-CM: 820.x) and vertebral  
139 fracture (ICD-9-CM: 805.x). To exclude fractures due to trauma, fractures that accompanied  
140 a record of motor vehicle accident (ICD-9-CM: E800 – E848) on the same date were not

141 included as outcome events. Patient follow-up was censored at the date of any fracture  
142 associated with motor vehicle accident.

### 143 **Propensity score matching**

144 Propensity score (PS) was used to reduce potential bias due to treatment allocation.<sup>18</sup> It was  
145 estimated by logistic regression, in which the dependent variable was the treatment of interest  
146 (dabigatran) and the covariates were the observed patient characteristics including age, sex,  
147 index year, and other risk factors for osteoporotic fractures,<sup>3,17</sup> including medical history  
148 (recorded any time on or before the index date) of congestive heart failure, ischemic stroke or  
149 transient ischemic attack, chronic obstructive pulmonary disease (COPD), diabetes mellitus  
150 (detected by a diagnosis for diabetes mellitus or a recent use of insulin or antidiabetic drugs  
151 within 90 days on or before index date), liver disease, osteoporosis, rheumatoid arthritis and  
152 other inflammatory polyarthropathies, chronic kidney disease, history of falls, and history of  
153 fractures (ICD-9-CM; eTable 1); recent use ( $\leq 90$  days on or before index date) of  
154 angiotensin-converting enzyme inhibitors or angiotensin II receptor blockers, beta blockers,  
155 bisphosphonates, antidepressants (selective serotonin reuptake inhibitors and tricyclic  
156 antidepressants), and systemic glucocorticoid. Dabigatran and warfarin patients were  
157 matched at 1:2 ratio by PS using greedy matching algorithm, which has been demonstrated to  
158 perform well in both actual and simulation studies.<sup>19</sup> Standardized difference was used to  
159 assess the difference between treatment groups, of which a value of  $<0.2$  was considered  
160 negligible.<sup>18</sup> At present, there is no clear consensus on the criterion for negligible  
161 standardized difference.<sup>18</sup> Proposed cut-offs for acceptable standardized differences have  
162 ranged from 0.1 to 0.25.<sup>18,20</sup>

163 **Statistical analysis**

164 Baseline characteristics were expressed as mean  $\pm$  standard deviation for continuous  
165 variables and frequencies (percentages) for categorical variables, respectively. The risk of  
166 osteoporotic fracture between dabigatran and warfarin users was compared using Poisson  
167 regression stratified on PS-matched groups. The result estimates were expressed in terms of  
168 incidence rate ratio (IRR) with 95% confidence interval (CI). Absolute risk difference (ARD)  
169 was estimated by  $I \times (IRR - 1)$ , where I was the incidence of osteoporotic fracture among  
170 warfarin users.<sup>21</sup>

171 We conducted subgroup analyses to investigate the risk of osteoporotic fractures in  
172 dabigatran and warfarin users with different treatment durations. A previous study suggested  
173 that only long-term exposure to warfarin ( $\geq 1$  year), and not short-term exposure ( $< 1$  year),  
174 was associated with an increased risk of osteoporotic fracture.<sup>3</sup> Therefore, we conducted two  
175 subgroup analyses among patients exposed to dabigatran and warfarin for  $\geq 1$  year and  $< 1$   
176 year, respectively. As patients with a history of falls or fractures are a concerning high-risk  
177 group for anticoagulant use due to potentials of fall-related injuries and subsequent risk of  
178 excessive bleeding,<sup>22</sup> we stratified patients by history of falls and/or fractures to explore the  
179 effect of dabigatran against warfarin. Sensitivity analyses were conducted by excluding  
180 fractures that were recorded with falls from higher than standing height (ICD-9-CM, eTable  
181 1). We included fractures at humerus (ICD-9-CM: 812.x), forearm and wrist (813.x-814.x) as  
182 a composite outcome of osteoporotic fractures in separate analyses. In addition, we repeated  
183 our analyses with 5% trimming of PS to investigate any bias from unmeasured residual  
184 confounding.<sup>23</sup> Post-hoc analysis was conducted to compare the risk of osteoporotic fracture  
185 between dabigatran and non-treated patients.

186 Statistical analyses were independently conducted by WCYL and KKCM and cross-checked  
187 for quality assurance. SAS (version 9.3; SAS Institute, Inc, Cary, NC) was used for all  
188 statistical analyses. A two-sided p-value <0.05 was considered as statistically significant.

## 189 **Results**

### 190 **Baseline characteristics**

191 There were 51 946 patients newly diagnosed with AF identified in CDARS from January 1,  
192 2010 through December 31, 2014. Following patient exclusion, 10 279 new users of  
193 dabigatran and warfarin were eligible for PS-matching, of which 8152 patients were  
194 successfully matched (Figure 1). All baseline characteristics had standardized differences  
195 <0.2 after PS-matching (Table 1; eFigure 1). When applying 5% trimming of PS in our  
196 sensitivity analysis, all baseline characteristics had standardized differences <0.1 (eTable 2).  
197 The mean age of the cohort was  $74 \pm 11$  years and 4052 patients (50%) were female. The  
198 mean follow-up was  $510 \pm 507$  days for dabigatran group and  $496 \pm 535$  days for warfarin  
199 group. The mean follow-up of the overall cohort was  $501 \pm 524$  days.

### 200 **Risk of osteoporotic fracture**

201 A total of 104 out of 8152 PS-matched patients (1.3%) developed osteoporotic fracture  
202 during follow-up. This included 32 dabigatran users (1.0%) and 72 warfarin users (1.5%)  
203 respectively. The median time to osteoporotic fracture after the first prescription was 222  
204 days (interquartile range [IQR]: 57-450 days) for dabigatran and 267 days (IQR: 81-638 days)  
205 for warfarin.

206 The results for Poisson regression analysis showed that dabigatran use was significantly  
207 associated with a lower risk for osteoporotic fracture compared to warfarin (0.7 vs. 1.1 per  
208 100 person-years [py]; IRR: 0.38, 95%CI: 0.22 to 0.66; ARD: -0.68, 95%CI: -0.38 to -0.86

209 per 100 py) (Table 2-3). The association with lower risk was statistically significant for both  
210 patients with short-term (1.1 vs. 1.4 per 100 py; IRR: 0.41; 95%CI: 0.21 to 0.79; ARD: -0.83,  
211 95%CI: -0.30 to -1.11 per 100 py) and long-term (0.4 vs. 0.9 per 100 py; IRR: 0.27, 95%CI:  
212 0.10 to 0.66; ARD: -0.65, 95%CI: -0.31 to -0.81 per 100 py) exposure of dabigatran versus  
213 warfarin. The test for subgroup difference indicated that there was no significant difference  
214 between the associations in short-term and long-term exposure groups (p-value for  
215 interaction=0.45).

216 The association with lower risk was statistically significant only for patients with a history of  
217 falls and/or fractures (1.6 vs. 3.6 per 100 py; IRR: 0.12, 95%CI: 0.04 to 0.33; ARD: -3.15,  
218 95%CI: -2.40 to -3.45 per 100 py) but not for patients without a history of falls and fractures  
219 (0.6 vs. 0.7 per 100 py; IRR: 0.95, 95%CI: 0.45 to 1.96; ARD: -0.04, 95%CI: 0.67 to -0.39  
220 per 100 py) (Table 3), p-value for interaction <0.001. When fractures associated with falls  
221 from higher than standing height were excluded using a sensitivity analysis, the findings  
222 remained similar (0.7 vs. 1.1 per 100 py; IRR: 0.39, 95%CI: 0.22 to 0.67; ARD: -0.67,  
223 95%CI: -0.36 to -0.85 per 100 py). Consistently, a lower risk of osteoporotic fracture with  
224 dabigatran was observed when fractures at humerus, forearm and wrist were included as a  
225 composite outcome of osteoporotic fractures (1.2 vs. 1.6 per 100 py; IRR: 0.56, 95%CI: 0.36  
226 to 0.85; ARD: -0.71, 95%CI: -0.24 to -1.02 per 100 py). Further analysis with 5% propensity-  
227 score trimming to reduce bias from unmeasured residual confounding also yielded similar  
228 results (0.6 vs. 1.0 per 100 py; IRR: 0.37, 95%CI: 0.19 to 0.70; ARD: -0.63, 95%CI: -0.30 to  
229 -0.81 per 100 py) (Table 3). Post-hoc analysis showed that dabigatran was associated with a  
230 lower incidence of osteoporotic fracture when compared to non-treated patients (IRR: 0.52,  
231 95%CI: 0.33 to 0.81; ARD: -0.62, 95%CI: -0.25 to -0.87) (eTable 3-4; eFigure 2-3)

## 232 **Discussion**

233 In this population-based study, patients on dabigatran were associated with a lower risk of  
234 osteoporotic fracture compared to those on warfarin (IRR, 0.38), with an ARD of -0.68 per  
235 100 py. The results suggested that the association with lower risk applied to both short-term  
236 (<1 year) and long-term ( $\geq 1$  year) treatment of dabigatran versus warfarin. High-risk patients  
237 with a history of falls and/or fractures were found to have a greater ARD (-3.15 per 100 py).  
238 The results were robust to all sensitivity analyses which accounted for possible falls from  
239 height, different sites of osteoporotic fracture, and effects on unmeasured residual  
240 confounding.

### 241 **Possible mechanism for study findings**

242 Several factors might explain why dabigatran was associated with a lower risk of  
243 osteoporotic fracture compared to warfarin. Firstly, the mechanism for any deleterious effect  
244 of dabigatran on bone has not been identified.<sup>24</sup> However, the mechanism of warfarin is  
245 related to a reduction in bone formation.<sup>1</sup> Warfarin antagonizes vitamin K-dependent  
246 processes including the  $\gamma$ -carboxylation of osteocalcin and other bone matrix proteins,  
247 which are required in bone mineralization.<sup>1</sup> Previous studies have demonstrated an increased  
248 level of under-carboxylated osteocalcin in warfarin users<sup>3</sup> and its association with reduced  
249 bone mineral density and increased fracture risk.<sup>25</sup> In contrast, the mechanism of dabigatran  
250 is independent of vitamin K and theoretically does not interfere with bone metabolism.<sup>24</sup>  
251 Therefore, it is biologically plausible that dabigatran may be associated with a lower risk for  
252 osteoporotic fracture compared to warfarin. Patients with a history of falls and/or fractures  
253 might reflect weaker baseline bone strength and therefore might be more susceptible to any  
254 further deleterious effect of warfarin on bone.<sup>26</sup> This is in line with the findings that the effect  
255 estimate in patients with a history of falls and/or fractures was stronger than that in patients

256 without such history, and that both effect estimates went towards a lower risk in dabigatran  
257 users than warfarin users.

258 Secondly, patients on warfarin are advised to limit dietary intake of vitamin K in order to  
259 achieve an optimal anticoagulation effect.<sup>27</sup> Vitamin K is involved in multiple stages of bone  
260 metabolism and a deficiency of it has been linked to an increased risk of bone loss and  
261 fracture.<sup>28</sup> As the use of dabigatran requires no dietary restrictions, it is less likely to be  
262 associated with osteoporotic fracture due to vitamin K deficiency. As the decrease in bone  
263 mass is a gradual process, the observed higher risk of osteoporotic fracture with <1 year use  
264 of warfarin versus dabigatran warrants further investigation. This could mean that there was  
265 an alternative mechanism by which dabigatran reduced the likelihood of osteoporotic fracture.  
266 Recently, results from an in vivo study indicated that dabigatran use was associated with  
267 higher bone volume, reduced trabecular separation, and lower bone turnover rate compared to  
268 warfarin in rats.<sup>5</sup> However, no similar studies have been conducted in humans. Post-hoc  
269 sensitivity analysis also showed that dabigatran was associated with a lower incidence of  
270 osteoporotic fracture than non-treated patients. Such finding may be due to unmeasured  
271 residual confounding effects; however, the biological effects of dabigatran on bone cannot be  
272 excluded. Additional epidemiological and mechanistic studies are warranted to further  
273 investigate effects of dabigatran on bone.

#### 274 **Comparisons with other studies**

275 Although the risk of osteoporotic fracture with dabigatran has not been described in the  
276 literature, the possible link between warfarin use and osteoporotic fracture has been  
277 demonstrated previously.<sup>2-4</sup> However, some studies reported no increased risk of osteoporotic  
278 fracture associated with warfarin.<sup>29-33</sup> Studies that found no increased risk of fracture with  
279 warfarin were noted to involve smaller sample sizes,<sup>30,32,33</sup> shorter treatment duration<sup>29</sup>, and

280 self-reported data<sup>31,32</sup> compared to those that found an increased risk.<sup>3,4</sup> However, as most  
281 studies compared patients prescribed warfarin against no treatment, the underlying  
282 characteristics between comparison groups were likely to be different with respect to stroke  
283 risk and comorbidities,<sup>27</sup> which themselves are also risk factors for osteoporotic fracture.<sup>34</sup> It  
284 is possible that non-treated patients were healthier and anticoagulation was not indicated, or  
285 in contrast, more severe patients where anticoagulation was deemed inappropriate.<sup>27</sup>  
286 Therefore, residual confounding was possible and the results could have been biased towards  
287 either direction. For similar reasons, the previous observation that patients on <1 year of  
288 warfarin was not associated with an increased risk of fracture compared to non-treated  
289 patients does not necessarily contradict our findings. Dabigatran has the same indication as  
290 warfarin.<sup>27</sup> Further, the current study used PS matching where patients with a high tendency  
291 of receiving dabigatran or warfarin were excluded from the comparison. Therefore, the  
292 results were less likely than previous studies to be confounded by indication.

### 293 **Clinical implications**

294 The finding that dabigatran was associated with a lower risk of osteoporotic fracture  
295 compared to warfarin is of particular clinical relevance given that osteoporotic fracture is a  
296 major cause of morbidity and mortality in older populations.<sup>6</sup> Many risk factors for  
297 osteoporotic fracture, such as older age, history of stroke, and diabetes mellitus, are also risk  
298 factors for stroke amongst NVAF patients requiring anticoagulation.<sup>17</sup> While surgery is  
299 usually required to treat a fracture, perioperative management of anticoagulation can be  
300 challenging given the need to balance the reduction in thromboembolism against excessive  
301 bleeding. The ARD observed in the overall cohort was moderate but much more pronounced  
302 in patients with a history of falls and/or fractures, it is potentially clinically significant as our  
303 results suggest that dabigatran might serve as a safer alternative to warfarin for reducing the  
304 risk of osteoporotic fracture in patients with NVAF. Randomized clinical trials and

305 population-based studies are warranted as, if this association is confirmed, screening of  
306 patients with NVAF for the risk for osteoporotic fracture could be considered to inform the  
307 choice of oral anticoagulant prescribed in clinical practice.

### 308 **Strengths and limitations**

309 To our knowledge, this is the first population-based study that determined the risk of  
310 osteoporotic fracture with dabigatran versus warfarin in patients with NVAF. This study  
311 utilized the territory-wide healthcare database in Hong Kong, which has been recognized to  
312 provide high-quality data for large drug surveillance studies.<sup>9-16</sup>

313 This study has several limitations. As inherent in epidemiological studies, the possibility of  
314 unmeasured residual confounding effects cannot be excluded. Similar to other healthcare  
315 databases, information such as bone mineral density and body mass index are not routinely  
316 recorded in CDARS. However, these factors are not typically considered to differentiate  
317 eligible users of dabigatran and warfarin<sup>27</sup> and therefore are unlikely to introduce  
318 confounding by indication. Similarly, tobacco and alcohol consumptions are not routinely  
319 recorded in CDARS. However, other important confounding factors which may partially  
320 account for these risk factors were included (e.g. COPD and liver disease)<sup>35,36</sup> and several  
321 sensitivity analyses were conducted, which showed that the results were consistent. Since the  
322 potential risk of osteoporotic fracture with warfarin use has long been noted,<sup>2-4</sup> patients with  
323 concerned risk of osteoporotic fracture might tend to receive dabigatran over warfarin. This  
324 might mask any association with lower risk with dabigatran use compared to warfarin if  
325 patient characteristics were not perfectly controlled by PS. However, this did not apply to our  
326 findings.

327 Similar to other healthcare databases research, the fractures identified in this study could not  
328 be classified into symptomatic or asymptomatic as such information is not available in

329 CDARS. Vertebral compression fracture is often asymptomatic and may not be diagnosed,  
330 which might lead to an underestimation of any risk with dabigatran and warfarin. However,  
331 more severe cases would draw clinical attention and be recorded. Although warfarin users  
332 may have had more frequent visits than dabigatran users due to coagulation testing, it is  
333 unusual to perform routine screening for asymptomatic vertebral fractures.<sup>37</sup> The decision to  
334 obtain spine x-rays is generally a response to conditions that warrant medical attention (e.g.  
335 chronic lower back pain), and if such conditions had been presented in patients taking  
336 dabigatran it would generally have been reported during their routine clinical visits, where a  
337 fracture would also be detected if present. Therefore, it is unlikely that the potential  
338 underestimation would occur differentially for dabigatran and warfarin users, consequently,  
339 this would not affect the conclusion of our results.

## 340 **Conclusion**

341 Among adults with NVAf receiving anticoagulation, the use of dabigatran compared with  
342 warfarin was associated with a lower risk of osteoporotic fracture. Additional study, perhaps  
343 including randomized trials, may be warranted to assess this further.

## 344 **Acknowledgement**

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346 and take responsibility for the integrity of the data and the accuracy of the data analysis.

347 Study concept and design: Lau, Wong.

348 Acquisition, analysis, or interpretation of data: Lau, Wong, Chan, Cheung, Sing, Man, Lip,  
349 Siu, Lam, Lee.

350 Drafting of the manuscript: Lau

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471 Figure 1. Selection of patients

472 **Table 1. Baseline characteristics**

	Before propensity-score matching			After propensity-score matching		
	Dabigatran	Warfarin	Standardized difference <sup>a</sup>	Dabigatran	Warfarin	Standardized difference <sup>a</sup>
N	3298	6981		3268	4884	
Age, mean ± SD	74.3 ± 10.1	72.1 ± 11.7	0.20	74.2 ± 10.1	73.3 ± 11.0	0.08
Female	1685 (51.1)	3227 (46.2)	0.10	1657 (50.7)	2395 (49.0)	0.03
<b>Baseline medical conditions</b>						
CHADS <sub>2</sub> , mean ± SD	2.1 ± 1.5	2.1 ± 1.6	0.02	2.1 ± 1.5	2.1 ± 1.6	0.02
CHA <sub>2</sub> DS <sub>2</sub> -VASc, mean ± SD	3.4 ± 2.2	3.3 ± 2.2	0.04	3.4 ± 2.2	3.4 ± 2.3	0.02
Congestive heart failure	689 (20.9)	2205 (31.6)	-0.24	689 (21.1)	1271 (26.0)	-0.12
Prior ischemic stroke/transient ischemic attack	1116 (33.8)	2073 (29.7)	0.09	1094 (33.5)	1515 (31.0)	0.05
Chronic Obstructive Pulmonary Disease	274 (8.3)	581 (8.3)	<0.001	270 (8.3)	406 (8.3)	<0.001
Diabetes mellitus	997 (30.2)	1982 (28.4)	0.04	984 (30.1)	1402 (28.7)	0.03
History of falls	518 (15.7)	931 (13.3)	0.07	505 (15.5)	723 (14.8)	0.02
History of fractures	237 (7.2)	446 (6.4)	0.03	234 (7.2)	336 (6.9)	0.01
Liver disease	16 (0.5)	44 (0.6)	-0.02	16 (0.5)	30 (0.6)	-0.02
Osteoporosis	40 (1.2)	69 (1.0)	0.02	38 (1.2)	53 (1.1)	0.01
Rheumatoid arthritis and other inflammatory polyarthropathies	14 (0.4)	45 (0.6)	-0.03	14 (0.4)	23 (0.5)	-0.01
Chronic kidney disease	94 (2.9)	536 (7.7)	-0.22	94 (2.9)	181 (3.7)	-0.05
<b>Baseline medication use</b>						
ACE inhibitor or ARB	1552 (47.1)	3332 (47.7)	-0.01	1533 (46.9)	2313 (47.4)	-0.01
Beta-blocker	2011 (61.0)	4028 (57.7)	0.07	1986 (60.8)	2874 (58.8)	0.04
Bisphosphonates	43 (1.3)	54 (0.8)	0.05	34 (1.0)	42 (0.9)	0.02
Systemic glucocorticoid	213 (6.5)	583 (8.4)	-0.07	213 (6.5)	364 (7.5)	-0.04
Antidepressants	128 (3.9)	224 (3.2)	0.04	125 (3.8)	170 (3.5)	0.02

473 Values are expressed as frequency (%) unless otherwise specified. Abbreviations: SD, standard deviation; CHADS<sub>2</sub>, congestive heart failure, hypertension, age ≥75 years, diabetes mellitus,  
474 prior stroke/transient ischemic attack/systemic embolism (doubled); CHA<sub>2</sub>DS<sub>2</sub>-VASc, congestive heart failure, hypertension, age ≥75 years (doubled), diabetes mellitus, age 65–74 years, prior  
475 stroke/transient ischemic attack/systemic embolism (doubled), vascular disease, and sex category (female); CHA<sub>2</sub>DS<sub>2</sub>-VASc score ranges from 0-9, where a higher score indicates a higher risk  
476 for stroke; ACE inhibitor, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker. <sup>a</sup>Standardized difference is the difference in mean or proportion of covariates in  
477 dabigatran group versus warfarin group divided by the pooled standard deviation; standardized difference <0.2 indicates a negligible difference in covariates between treatment groups.

478 **Table 2. Crude estimates before propensity score matching**

	Dabigatran			Warfarin			Dabigatran vs. Warfarin		
	N	No. of cases/py	incidence per 100 py	N	No. of cases/py	incidence per 100 py	ARD (95% CI)	IRR (95% CI)	p
Overall	3298	34/4594	0.7	6981	95/10 746	0.9	-0.15 (0.21 to -0.39)	0.84 (0.57 to 1.24)	.37
Stratified by treatment duration									
Short-term use (< 1 year)	3298	24/2093	1.1	6981	51/4210	1.2	-0.06 (0.64 to -0.50)	0.95 (0.58 to 1.54)	.82
Long-term use (≥1 year)	1537	10/2501	0.4	3247	44/6537	0.7	-0.28 (0.13 to -0.49)	0.59 (0.30 to 1.18)	.14
Stratified by history of falls/fractures									
With history of falls or fractures	528	11/665	1.7	952	37/1129	3.3	-1.64 (-0.04 to -2.45)	0.50 (0.26 to 0.99)	.04
Without history of falls and fractures	2770	23/3929	0.6	6029	58/9618	0.6	-0.02 (0.34 to -0.24)	0.97 (0.60 to 1.57)	.90
Sensitivity analysis (fracture sites: hip, vertebral, wrist, forearm, humerus)	3298	56/4563	1.2	6981	142/10 680	1.3	-0.10 (0.34 to -0.42)	0.92 (0.68 to 1.26)	.61
Excluding fractures with falls from higher than standing height	3298	34/4594	0.7	6981	94/10 746	0.9	-0.14 (0.23 to -0.39)	0.85 (0.57 to 1.25)	.40
PS trimming at 5% <sup>a</sup>	3298	34/4594	0.7	6981	95/10 746	0.9	-0.15 (0.21 to -0.39)	0.84 (0.57 to 1.24)	.37

479 Abbreviations: py: person-years; ARD: absolute risk difference per 100 person-years; IRR, incidence rate ratio; CI, confidence interval; PS, propensity score. <sup>a</sup>Propensity  
480 score trimming was performed by excluding patients who had a propensity score below the 5th percentile of that of the dabigatran-treated patients or above the 95th  
481 percentile of that of the warfarin-treated patients. This was done to investigate any effect of bias from unmeasured residual confounding on the result.  
482

483 **Table 3. Risk of osteoporotic fracture with dabigatran and warfarin after propensity score matching**

	Dabigatran			Warfarin			Dabigatran vs. Warfarin		
	N	No. of cases/py	incidence per 100 py	N	No. of cases/py	incidence per 100 py	ARD (95% CI)	IRR (95% CI)	p
Overall	3268	32/4563	0.7	4884	72/6629	1.1	-0.68 (-0.38 to -0.86)	0.38 (0.22 to 0.66)	<.001
Stratified by treatment duration									
Short-term use (< 1 year)	3268	22/2078	1.1	4884	41/2891	1.4	-0.83 (-0.30 to -1.11)	0.41 (0.21 to 0.79)	.006
Long-term use (≥1 year)	1509	9/2468	0.4	2125	32/3573	0.9	-0.65 (-0.31 to -0.81)	0.27 (0.10 to 0.66)	.002
Stratified by history of falls/fractures									
With history of falls or fractures	513	10/642	1.6	777	32/881	3.6	-3.15 (-2.40 to -3.45)	0.12 (0.04 to 0.33)	<.001
Without history of falls and fractures	2747	23/3909	0.6	4107	40/5747	0.7	-0.04 (0.67 to -0.39)	0.95 (0.45 to 1.96)	1.00
Sensitivity analysis (fracture sites: hip, vertebral, wrist, forearm, humerus)	3268	54/4532	1.2	4884	104/6595	1.6	-0.71 (-0.24 to -1.02)	0.56 (0.36 to 0.85)	.006
Excluding fractures with falls from higher than standing height	3268	32/4563	0.7	4884	71/6629	1.1	-0.67 (-0.36 to -0.85)	0.39 (0.22 to 0.67)	<.001
PS trimming at 5% <sup>a</sup>	2799	24/3992	0.6	4207	55/5696	1.0	-0.63 (-0.30 to -0.81)	0.37 (0.19 to 0.70)	.002

484 Abbreviations: py: person-years; ARD: absolute risk difference per 100 person-years; IRR, incidence rate ratio; CI, confidence interval; PS, propensity score. <sup>a</sup>Propensity  
485 score trimming was performed by excluding patients who had a propensity score below the 5th percentile of that of the dabigatran-treated patients or above the 95th  
486 percentile of that of the warfarin-treated patients. This was done to investigate any effect of bias from unmeasured residual confounding on the result.

**Patients newly diagnosed with atrial fibrillation (AF) identified in CDARS from 2010 through 2014 (n=51 946)**

**Excluded (n=41 542):**

- Missing date of birth or sex (n=4)
- Aged below 18 years (n=32)
- Valvular disease (n=2584)
- Transient AF (n=1904)
- Died at the first AF occurrence (n=3497)
- Did not receive dabigatran or warfarin during follow-up (n=31 490)
- Received dabigatran or warfarin within 180 days prior to index date (n=2003)
- Had prescription record of other oral anticoagulant(s) on index date (n=28)

**New dabigatran or warfarin users (n=10 404)**

Dabigatran users (n=3341); Warfarin users (n=7063)

**Excluded (n=125):**

- Bone tumors (dabigatran: 4, warfarin: 1)
- Epilepsy or history of seizure (dabigatran: 36, warfarin: 78)
- Use of hormone replacement therapy (dabigatran: 3, warfarin: 3)

**New dabigatran or warfarin users included before 1:2 propensity-score matching (n=10 279)**

Dabigatran users (n=3298); Warfarin users (n=6981)

**New dabigatran or warfarin users included after 1:2 propensity-score matching (n=8152)**

Dabigatran users (n=3268); Warfarin users (n=4884)