

Multiple contact compression tests on sand particles.

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ABSTRACT

Particle crushing has been recognised to be of key importance for many engineering applications. In soil mechanics, this phenomenon has become crucial in defining a complete framework able to describe the mechanical behaviour of sands. In this study, the effect of multiple discrete contacts on the breakage of a grain was investigated, crushing coarse grains of a quartz sand and a crushed limestone sand between a number of support particles, thereby varying the number of contacts, i.e. the coordination number. The stress at failure was calculated when the particle broke, which was through a number of distinct modes, by chipping, splitting or fragmenting which were observed with the use of high speed microscope camera. The Weibull criterion was applied to calculate the probability of surviving grain crushing and the fracture modes were observed for each configuration of the supporting particles. The data showed that in addition to the number of the contacts the nature of those contacts, controlled by the particle morphology and mineralogy, play a significant role in determining the strength of a particle. The sphericity affected the strength for the softer limestone while the local roundness at the contacts was important for the harder quartz sand. Catastrophic explosive failure was more often observed in particles with harder contacts while softer contacts tended to mould relative to their neighbouring particles inducing a more frequent ductile mode of crushing.

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26 1. INTRODUCTION

27 Understanding particle crushing is very important for modelling, simulation and optimisation
28 of mineral and powder processing (Tavares, 2007) and in soil mechanics, establishing a link
29 between particle breakage phenomena and the macro-mechanical behaviour of sands has
30 become of key importance (McDowell and Bolton 1998; McDowell, 2002; Coop et al., 2004;
31 Muir Wood, 2008; Altuhafi and Coop, 2011). Failure models accounting for the coordination
32 number, CN, in an assembly of grains have been developed by many authors, for example
33 Tsoungui et al. (1999) and Ben-Nun and Einav (2010) among others. In an assembly of poly-
34 dispersed granular materials, the breaking process of the soil matrix depends on the strength
35 of each grain, which varies with their size, and on the number of contacts which is established
36 between grains, since the forces transferred within the assembly vary with the number of
37 contact points. Contact force networks inside an assembly of discs have been determined by
38 the use of photo-elasticity techniques (Drescher and De Josselin de Jong, 1972; Durelli and
39 Wu, 1984). However, it is difficult to define the stress evolution in a system of sand
40 specimens since the photo-elasticity method cannot be applied to sand particles, although
41 Fonseca et al. (2016) have inferred the strong force network from an analysis of particle
42 contacts with x-ray CT. Numerical simulations have explored the stress distributions which
43 lead to the failure of an individual grain subjected to a system of forces within an assembly
44 (Ben-Nun and Einav, 2010; Minh and Cheng, 2013). Gundepudi et al. (1997) extended the
45 solution for the stress distribution in an elastic sphere under a single contact load (Dean et al.,
46 1952) to spheres under multiple contacts. Numerically they found that the maximum stress
47 away from the contact region was similar for uniaxial and four-point loading on different
48 planes of the sphere, larger for three-point in-plane loading but smaller for six contact points.
49 However, they also observed experimentally that the failure of glass and aluminium spheres
50 initiated in the proximity of the contacts, where the contact forces reached the maximum.

51 In rock engineering, the Brazilian test has been used to assess indirectly the tensile strength of
52 brittle materials. Li and Wong (2013) reviewed the mechanism of crack initiation inside the
53 disc of rock. They found numerically that a crack in a disc subjected to two opposing forces
54 might originate near to the two loading points along the central axis when the tensile strain
55 overcomes a critical tensile strain threshold, or at the centre of the contact when the tensile
56 stress overcomes the critical tensile stress threshold. However, if a fracture initiates far from
57 the centre of the disc, the Brazilian test is not appropriate to measure the tensile strength of
58 rocks (Fairhurst, 1964). For point load tests on an elastic sphere, Russell and Muir Wood

59 (2009) gave an analytical solution that showed the initial failure would occur just below the
60 contact point and it was of shearing type, the failure criterion being dependent on the second
61 invariant of the stress tensor. Russell et al. (2009) applied the same failure criterion to
62 particles subjected to multi-contact loading within several different regular packings of
63 spheres. The failure initiated near the largest contact force, when the ratio of the second to the
64 first invariant of the stress tensor was the largest of the localised maxima, independently of
65 the material properties or particle size. However, the yield or failure of the assembly would
66 depend on both the maximum contact forces and the assembly stability.

67 Based on CT images, Zhao et al. (2015) were able to relate the internal features of quartz and
68 decomposed granite particles to their geological origins and to explore how these features
69 affected their mode of crushing. The single-particle crushing tests on quartz grains showed
70 that the crushing occurred along tensile planes roughly parallel to the loading direction,
71 although conchoidal in shape. However, they also observed an extensive fragmentation at the
72 contact points as also shown by Gundepudi et al. (1997), which might have been generated
73 by shear failure, as described by Russell and Muir Wood (2009).

74 This experimental study is an extension of preliminary results by Todisco et al. (2015) who
75 performed multiple but discrete contact crushing tests on 60 particles of a crushed limestone
76 and 68 of a quartz sand from the UK. The updated testing programme comprises 362 and 233
77 tests on quartz and crushed limestone, respectively, the much larger number of tests allowing
78 a much clearer assessment of the factors affecting the breakage. The data were interpreted in
79 terms of nominal stresses, i.e. characteristic stresses, which might not reproduce accurately
80 the real stress distributions within particles under compressive loads but they offer the basis
81 for a statistical comparison between the different types of compressive loading. Specimens
82 were tested varying the number of contact forces on the particles, i.e. the coordination
83 number, CN. The stress at failure was calculated when the particle broke, which was through
84 a number of distinct modes, by chipping, splitting or fragmenting. The Weibull criterion
85 (Weibull, 1951) was applied to calculate the probability of surviving grain crushing and the
86 influences of the particle morphology and mineralogy on failure were investigated.

87

88 2. MATERIALS, EQUIPMENT AND TESTING PROCEDURES

89

90 The crushing tests were performed at a constant rate of 0.1 mm/min in a modified CBR
91 apparatus equipped with a high speed camera with a microscope lens of maximum
92 magnification of 16x (Fig. 1). The fastest frame rate of the camera was 2130 frames/sec,
93 however tests were conducted at 1000 frames/sec because this gave the best compromise
94 between capturing time and exposure and so the best picture quality (Wang and Coop, 2016).
95 The forces and displacements were measured with a load cell of 1000 N capacity and 0.1 N
96 resolution and an LVDT of ± 3.5 mm linear range and 1 μ m resolution. The crushed particle,
97 No.1 on Fig. 2, was placed in between others, which in turn were glued onto steel mounts
98 using epoxy resin. The mounts were fixed into brass wells; the lower of the two was fixed to
99 an aluminium platen which in turn was placed onto ball bearings in order to release the lateral
100 restraint and ensure that the exact number of loading contacts occurred between the crushed
101 particle and the others.

102 Wang and Coop (2016) investigated single particle crushing also using high speed
103 photography, but here the aim of the work was to investigate the effects of the number and
104 nature of the contacts. This photographic technique allows much larger numbers of particles
105 to be tested than CT scanning, so that strength distributions may be determined. The tests
106 were performed in three different configurations, varying the number of the contacts, CN,
107 between the crushed particle and the others. The three different modes of the tests are
108 described in Fig. 2. When the particle is crushed in between two hardened steel mounts, the
109 test is the standard single particle crushing test (Nakata et al., 1999); when the particle is
110 crushed between three particles at the bottom and one at the top, the test defines a multi-
111 particle crushing test with CN equal to 4, while, when there are three particles at both the
112 bottom and the top, the multi-particle crushing test has a CN equal to 6. It is emphasised that
113 in the SP test an irregular sand particle has at least three points of contact if at rest on a
114 horizontal plane and four if is crushed between flat surfaces. However, the configurations SP
115 and CN4 of this paper are likely to be different because the contacts of the former would be
116 in closer proximity. The single particle crushing tests have therefore been identified with SP.
117 The differences of strength between the two types of test will be discussed below. The test
118 configurations used are the only ones available for which the number of contacts can be
119 ensured. While Russell et al. (2009) could investigate numerically the behaviour of particles
120 within regular arrays of perfect equal sized spheres, these packings cannot be used in
121 experimental work on real particles.

122 The coordination number, CN, and the type of support particles (steel balls, BP, or sand
123 particles, PP) define the type of test. For example, CN4-BP refers to a test with steel ball
124 support particles and a coordination number of 4. If sand particles were used for the support,
125 they were always of the same size and mineralogy as that being crushed. It was rare that
126 significant damage occurred to the support particles, and for the few occasions where it did
127 happen the tests were discarded.

128 The crushing mechanism was investigated for two types of sands. The first material was the
129 Leighton Buzzard sand (LBS), a quartz sand from the UK extensively studied in the
130 geotechnical field since the early 70s (Stroud, 1971). The second sand was a crushed
131 limestone (LMS) from China made of weak and angular calcite particles. The crushed
132 limestone is a diagenetic rock, consolidated (compressed) to large stress levels and so is
133 unlikely to have significant intra-particle voids or internal defects, as, for example, biogenic
134 sands or weathered soils would show. Zhao et al. (2015) made CT scans of the LBS and also
135 highly decomposed granite (HDG) particles to relate the initial microstructure to the fracture
136 mechanism. The LBS particles only showed very few internal voids which did not influence
137 the fracture pattern and the particles crushed with conchoidal fractures, as expected in quartz.
138 In contrast, the complex initial microstructure of HDG, with intra-particle fissures, phase
139 boundaries between different minerals and cleavage in some of those minerals, meant that the
140 fracture patterns were dominated by the internal particle structure, with the coexistence of
141 bending, shear and tensile cracks along different features. Wang and Coop (2016) also used
142 decomposed granite and the LBS in their single particle tests. However, the complexity of the
143 internal structure of the decomposed granite revealed by Zhao et al. meant that it was less
144 suitable for the current study that was aimed at investigating the influence on breakage of the
145 nature and number of contacts, which was why the crushed limestone was chosen instead as
146 the second, softer and weaker material.

147 The three descriptor diameters were defined for the particles in their at-rest position as the
148 maximum and intermediate Feret diameters in the horizontal plane and the minimum in the
149 vertical, measuring each with a Vernier calliper of 0.01 mm resolution. The use of a Vernier
150 calliper was preferred to a digital image method for the measurements of the particle
151 dimensions because it allowed the characterisation of the large number of specimens required
152 for the statistical analysis in a relatively short time but without jeopardising the measurement
153 accuracy. The average of the minimum diameter, i.e. the thickness of the particle, was around
154 2.20 mm for both the sands. The descriptor diameters along with the shape characteristics and

155 the global hardness of the materials are indicated in Table 1. The shape descriptors were
 156 evaluated as sphericity, S , and roundness, although in this study, it was preferred to use a
 157 local roundness parameter, in terms of the geometry of the grains at the contact rather than
 158 the global roundness of the grains. The sphericity, S , was calculated from the two vertical
 159 side views as the ratio between the radii of the maximum inscribed and the minimum
 160 circumscribed circles defined from the outline of the particle (Krumbein and Sloss, 1963).
 161 The side views were obtained from high quality images in two orthogonal directions. The
 162 formula, which accounts for the average sphericity from both side views, is given in Eq. 1:

$$163 \quad S = \sqrt{S_A S_B} = \sqrt{\frac{r_{max,inc,A}}{r_{min,circ,A}} \cdot \frac{r_{max,inc,B}}{r_{min,circ,B}}} \quad (1)$$

164 where A and B refer to the different side views, S is the sphericity, $r_{max,inc}$ is the maximum
 165 inscribed radius and $r_{min,circ}$ is the minimum circumscribed radius.

166 A new parameter, named relative local roundness, r_{rl} , was defined to quantify the local
 167 outlines of two particles at their contacts. An image processing technique was used both in
 168 the quantification of this and the sphericity. The pictures of the particles were first
 169 transformed into a binary format and then, for r_{rl} , a polynomial function $f(x)$ of the fifth order
 170 was used to fit the outline of the binary image using Matlab. The fifth order function was the
 171 best fit of the particle outlines. Fig. 3 shows a comparison between a typical particle outline
 172 and the fitting quality of the polynomial functions of different orders. At the high
 173 magnification shown, the pixel size of the captured image is evident but it is clear that
 174 functions of lower degrees are too inaccurate to characterise the local curvature, while the
 175 sixth order did not show a better accuracy. The whole particle perimeter was divided in two
 176 parts so that the digitised outline was only a function of one independent variable (x). The
 177 radius of curvature $r_i(x)$ of one contact point was calculated according to the general formula
 178 for the curvature, χ , of a given function, $f(x)$:

$$179 \quad \chi = \frac{1}{r_i(x)} = \frac{|f(x)''|}{(1+(f(x)')^2)^{3/2}} \quad (2)$$

180 where $f(x)$ is the function at the point (x) selected on the contact surfaces. The radius of
 181 curvature of each particle r_j was calculated as the average of $r_i(x)$ along the whole contact
 182 region:

$$183 \quad r_j = \sum_{i=1}^N \frac{r_i(x)}{N} \quad (3)$$

184 where N is the number of points that defined the function $f(x)$ along the contact region. Since
 185 the test configuration meant that the crushed particle was in contact with others, a measure of
 186 the geometry for each contact was established as follows (Eq. 4):

$$187 \quad r_{1,j} = \frac{r_1 r_j}{r_1 + r_j} \quad (4)$$

188 where 1 refers to the crushed particle and j to the j-th particle which was in contact with
 189 particle 1. The r_{rl} was then defined as the minimum $r_{1,j}$ divided by the thickness of the
 190 crushed particle, $d_{1,min}$, in order to create a parameter independent of the particle size (Eq. 5).
 191 The procedure for the calculation of the shape descriptors is shown in detail in Fig. 4, where
 192 the functions $f(x)$ are schematised as arcs and only three views are presented for simplicity
 193 (arcs were not used in the actual calculations).

$$194 \quad r_{rl} = \frac{\min(r_{1,j})}{d_{1,min}} \quad (5)$$

195 The calculation of r_{rl} may be conducted in 2-D or 3-D. In 3-D, the contacts were not assessed
 196 only from the front view but different orientations were considered in order to give the
 197 optimum and complete view of the contacts. For example, generally, five pictures were taken
 198 for each CN4 configuration (three at the bottom and two at the top). Wang and Coop (2016)
 199 conducted only single-particle crushing tests, but demonstrated that there was no significant
 200 difference between using a 2-D or 3-D parameter. Ideally the contact geometry could be
 201 quantified most accurately using CT scanning, (e.g. Fonseca et al., 2013), but it would be
 202 impractical to scan a sufficient number of tests to be able to establish the characteristic
 203 stress: survival probability curves.

204 The high-speed camera was used to record the crushing process during the test. The videos
 205 were post-processed in order to locate the initiation of the failure crack within the particle and
 206 characterize the crushing mechanism. The Weibull criterion was applied to the data analysis
 207 and the failure stresses were obtained from Eq. 6, which assumes a tensile failure:

$$208 \quad \sigma_f = \frac{F}{\pi \left(\frac{d_{int}}{2}\right) \left(\frac{d_{min}}{2}\right)} \quad (6)$$

209 where F is the maximum force recorded from the test, and d_{int} and d_{min} are the intermediate
 210 and minimum diameters of the crushed particle, respectively. Considering the failure area of
 211 the particle as the geometric mean of the d_{int} and d_{min} diameters would allow the effect of the

212 particle morphology to be accounted for, especially in the case of the elongated particles. An
 213 example of the effect of the failure area on the particle strength is shown in Fig. 5 where three
 214 different survival probabilities obtained from the geometric mean area (present study), the
 215 circle area (Nakata et al., 1999) and the circle area increased by a factor of 1.1, as adopted by
 216 Hiramatsu and Oka (1966), are compared. The use of Eq. 6 implies a simplistic stress regime
 217 within the particles at failure that is undoubtedly far from reality, particularly for the CN4 and
 218 CN6 tests, yet it is probably preferable as a means of comparison to using the failure forces,
 219 although the conclusions would be the same whether the analysis was in terms of force or
 220 stress. The calculation of the characteristic stress is based on the total force acting on the
 221 system, which must all be transmitted through the central crushed particle, although of course
 222 the local contact forces will be lower for a higher CN.

223 Todisco et al. (2015) observed different contact behaviours between quartz and calcite grains
 224 and underlined that the hardness of particles at the contacts was an important factor in
 225 characterising the crushing mechanism. However, they based their relationship on generic
 226 mineral hardness values available in the literature. The micro-hardnesses of LBS and LMS
 227 grains have now been measured in order to describe in a more accurate way the contact
 228 properties. A Fisherscope HM2000XYp was used on fresh particle surfaces with an
 229 indentation force of 1N for both the materials. Polishing and grinding the particle surfaces
 230 were avoided since these actions may affect the residual stress state and so the hardness
 231 (Griepentrog et al., 2002). The micro-hardness values reported in Table 1 refer to Martens
 232 hardness (HM) which is the ratio between the maximum specified force F and the surface
 233 area $A(h)$ of the Vickers indenter penetrating from the zero-point of the contact:

$$234 \quad HM = \frac{F}{A(h)} = \frac{F}{h^2 \frac{4\sin(\frac{\alpha}{2})}{\cos^2(\frac{\alpha}{2})}} \quad (7)$$

235 where α is the angle between the two opposite faces of the Vickers indenter and h is the depth
 236 of the indentation. Several specimens of LBS and LMS were glued with resin epoxy on to a
 237 steel mount and by means of a microscope, the flattest surfaces of each were indented at three
 238 different locations. The average value of HM for the LBS grains was equal to 6.2 GPa and of
 239 LMS 1.6 GPa.

240 3. RESULTS

241

242 Weibull statistics are presented for both the LMS and LBS particles along with the m-moduli,
243 but the effect of the geometry of the contacts on the crushing mechanism is examined only
244 for the LBS particles. It was not possible to calculate the local relative roundness of the LMS
245 particles due to the geometry of the contacts, which often consisted of flat-to-flat surfaces
246 (Fig. 6). In contrast, accurate values of r_{rl} could be calculated from the geometry of the
247 contacts of the LBS particles. The total number of tests and the number of those used for the
248 study of morphology are indicated in Table 2. Within the study of the effects of morphology,
249 those tests that showed incipient cracks at the top of the crushed particle and also the number
250 of tests that have not been considered in a more detailed analysis of morphology are
251 highlighted.

252

253 *3.1 Effects of the particle morphology and hardness*

254

255 In order to take into account the particle sphericity, the tests on the LMS particles were
256 divided into two groups. The first group was used to determine the probability of survival of
257 particles characterised by values of sphericity ranging between 0.5 and 0.7, while the second
258 probability curve was generated for the more spherical particles. Similar trends could be
259 identified for both the CN4-PP and CN6-PP tests as presented in Fig. 7, where the more
260 spherical particles appear to be generally weaker. However, for very weak particles (σ_f less
261 than 10MPa) the trend is reversed. Unland and Al-Khasawneh (2009) investigated the
262 influence of the shape of limestone particles in impact crushing tests and they found that less
263 spherical particles were stronger.

264 The same selection was applied to the LBS specimens, for which the sphericity ranges chosen
265 were between 0.4-0.6 or 0.6-0.8 for the CN4 tests and 0.5-0.7 or 0.7-0.9 for the CN6. In
266 contrast to the LMS behaviour, the data for the LBS particles show that the sphericity has no
267 clear effect on the failure stress, as shown in Fig. 8. For the LBS the effect of the relative
268 local roundness at the contacts, r_{rl} , was evaluated by dividing the data into three groups of r_{rl}
269 = 0.2-0.4, 0.4-0.5 and 0.5-0.7. The results show that particles with lower r_{rl} , i.e. sharper
270 contacts, are weaker than particles showing more rounded contact geometries (Fig. 9).

271 It might be inferred that for stronger particles, like the LBS, the geometry of the contacts
272 dominates the crushing mechanism, obscuring the effect of the overall particle shape. When

273 the contacts are sharp, i.e. lower r_{i1} , the particle experiences large stress concentrations at the
274 contacts which may lead to a crack initiation in the proximity of the sharp contact before the
275 stresses can redistribute inside the whole particle. On the contrary, soft particles do not
276 experience any stress concentration at the contacts because they mould relative to the
277 neighbouring particles and so the whole particle participates in the crushing process. An
278 example of this inference is shown for the LMS in Fig. 10 where, as loading proceeds, the
279 displacements are large, but there is no evident overall failure initially, and instead it seems
280 that the contacts deform substantially, to the point where the central particle starts to be
281 obscured. This phenomenon must be associated more with the hardness of the limestone than
282 its stiffness since the Young's modulus of calcite is 73–84 GPa, while that of quartz is only
283 slightly higher at 94–98 GPa (Mavko et al., 1998; Jaeger et al., 2007).

284 The influence of sphericity on the crushing of the LMS particles contradicts the work of
285 Hiramatsu and Oka (1966) who found, both by means of photo-elasticity and experiments,
286 that the stress field inside an irregular piece of rock may be considered as the same as that
287 within a sphere and that the tensile stress in the rock, subjected to a pair of opposing forces,
288 agreed well with that calculated for a sphere if the latter were reduced by a factor of 0.9.

289 In Fig. 11, the failure stress of LMS is related to that of LBS for the test configurations of
290 CN6-PP and CN4-PP. Each data point represents the failure stress selected at the same
291 survival probability value on the curves of LMS and LBS respectively, so that lower values
292 of stress describe higher probabilities of survival. The gradient of this relationship is 0.30,
293 which is similar to the ratio of the micro-hardnesses of the LBS and LMS particles. This
294 result suggests that the micro-hardness may play a significant role through the deformation of
295 the contacts.

296

297 *3.2 Effect of the support particles*

298 In Fig. 12, the survival probability curves for the CN6 configuration for the LBS clearly show
299 that the particles crushed between steel balls are stronger than those crushed between
300 particles but no significant difference can be observed between the two types for the CN4
301 configuration. On the other hand, the LMS particles give similar survival probabilities for all
302 the test configurations, as presented in Fig. 13. Indeed, the maximum variation between the
303 characteristic stresses at a survival probability of 37% for LMS particles crushed in SP, CN4-
304 BP and CN6-BP configurations was only 1 MPa. A small number of tests were also carried

305 out on smaller particles which show larger failure stresses than the larger particles, as
306 expected (Nakata et al., 1999).

307 This dissimilarity may be attributed to the different natures of the contacts which are
308 established between strong and soft particles. The geometry of the contacts of a quartz
309 particle is preserved during the test and at the contacts large stress concentrations might occur
310 as they transfer the load without changing their morphology significantly. When a quartz
311 grain is compressed between 6 steel balls, each contact experiences less stress concentration
312 than during loading between 4 or 2 points. On the other hand, the soft contacts of an LMS
313 grain do not preserve their geometry during loading, moulding relative to the neighbouring
314 contacts, hence the stress distribution may vary much less when the LMS grain is crushed
315 between hard (steel balls) or soft (LMS particles) materials.

316

317 *3.3 Effect of coordination number*

318

319 The force-displacement curves of uniaxial compression tests (SP) and particle to particle tests
320 (CN4 and CN6) for LBS and LMS are presented in Fig. 14 (the data for LMS are redrawn
321 from Todisco et al., 2015). Test LBS-CN6-PP shows some stick-slip behaviour due to some
322 small movement at the particle contacts. Generally, the increase of coordination number did
323 not change the crushing behaviour of the quartz and limestone particles, as seen in these
324 figures, or the failure mechanisms. The LBS particles showed brittle failure (the curves are
325 monotonic and the displacements are small) with the development of conchoidal fractures,
326 whereas the LMS particles failed in a ductile way reaching larger displacements and showing
327 a saw-tooth shaped curve. It might be expected that the failure force should increase with the
328 increase of the coordination number, and Fig. 14 already shows an example of this variation.
329 For the LBS grains, the CN6 configuration leads to a larger failure force than CN4 or SP
330 which are quite similar. This suggests that the location of the applied loads does not influence
331 the particle strength for SP and CN4. The effect of the coordination number on the failure
332 force of the LMS particles in Fig. 14 is less pronounced due to the soft nature of the contacts.

333 In Fig. 12 the effect of coordination number is quite clear for the quartz particles which are
334 more prone to break when subjected to diametrically opposite forces (SP) than those
335 subjected to a more complex system of forces (CN6). At the 37% probability, the

336 characteristic stress of particles crushed between two steel platens is generally lower (45
 337 MPa) than that calculated for the particles crushed between other particles; for example, the
 338 characteristic stress of LBS-CN6-PP is 51 MPa and that of LBS-CN6-BP 71 MPa. The CN4
 339 configuration does not have a clear effect on the particle strength. This might be attributed to
 340 the configuration of the loading points, where there are three contact points at the base and
 341 one at the top, which may resemble more that of a single particle crushing test since in this
 342 configuration the particle will always rest on three points at its base prior to the loading
 343 process. Therefore, it might be difficult to see a net variation between the strength obtained
 344 from the SP and CN4 tests.

345 For the LMS particles, as explained in Section 3.2, the effect of the coordination number is
 346 less clear, as shown in Fig. 13, although the characteristic stresses for CN6-PP tests are still
 347 slightly higher than for the other test configurations. The configuration CN4-BP overlaps that
 348 of the single particle crushing test (SP) for probabilities larger than 60%. This underlines that
 349 the location of the applied loads does not influence the particle strength also for soft particles.
 350 The factor that accounts for the variability of strength within the population of particles is the
 351 m-modulus, which is used to describe the uniformity of the strength σ of a population of
 352 grains and increases with decreasing the variability of particle strength. It generally varied
 353 from 2 to 5 for LMS and from 3.5 to 4.5 for LBS (Fig. 15), but no influence of the test
 354 configuration could be found on the m-modulus.

355 Simplified failure criteria developed for a disc can be used to explain why a particle subjected
 356 to diametrical forces is more prone to break than one subjected to a set of forces. Detailed
 357 explanations are given in the failure models of Tsoungui et al. (1999) and Ben-Nun and Einav
 358 (2010). When a grain is subjected to a complex state of stress, its stress condition can be
 359 reduced to the state of principal stresses in the first order, which compress the particle by the
 360 hydrostatic pressure, p , and the deviatoric stress, τ . When the tensile stress becomes greater
 361 than a threshold, a crack initiates at the disc centre and the grain fails by tensile splitting. The
 362 expression given in Eq. 8 (Tsoungui et al., 1999) implies that a grain has a higher probability
 363 of splitting when the deviatoric stress, 2τ , is much larger than the hydrostatic pressure, p , i.e.
 364 when diametrical forces act on the particle:

$$365 \quad \sigma_{xx}^0 = 2\tau - p \geq \sigma_{crit}(R) \quad (8)$$

366 where σ_{xx}^0 is the tensile stress acting at the disc centre and $\sigma_{crit}(R)$ depends on the nature of
 367 the material, the dimension of the grain and the Weibull modulus, m .

368 Another example of a failure criterion which considers the role of the coordination number is
 369 that of Ben-Nun and Einav (2010). In their failure model of a grain subjected to isotropic
 370 loading from neighbouring grains, they considered the possibility that the crack initiates
 371 through in-plane shear fractures, i.e. mode II of Irwin's (1957) failure criteria. In this case,
 372 the authors proposed a threshold of F_{crit} equal to:

$$373 \quad F_{crit} = d\sigma_{crit}f_w f_d f_{CN} \quad (9)$$

374 where d is the thickness of the grain, σ_{crit} is the tensile stress at failure for the largest particle,
 375 f_w is a factor accounting for particle imperfections, f_d is a factor considering the geometry of
 376 the particle at the contacts and f_{CN} is a factor accounting for the effect of the coordination
 377 number on the crushing mechanism. The expression for f_{CN} , as given by Ben-Nun and Einav
 378 (2010) and shown in Eq. 10, implies that as the coordination number increases, the factor
 379 increases leading to an increase of the critical stress threshold:

$$380 \quad f_{CN} = (CN - 1)e^{(D/d)(CN-2)(CN-3)/4CN} \quad (10)$$

381 where D is the diameter of the neighbouring particles, d is the dimension of the crushed
 382 particle and CN is the coordination number of the crushed particle. From Eq. 9, an increase of
 383 F_{crit} is reflected as a decrease of probability of failure of a grain with a higher coordination
 384 number.

385

386 *3.4 Characterization of the crushing mechanism*

387 The characteristic stresses calculated assume that the crushed particle failed in a tensile mode,
 388 obeying Griffith's criterion, for which a crack initiates in the centre of a disc when it is
 389 subjected to diametrical loads. Complete stress solutions for a grain that which fails in
 390 tension under two opposite loads acting on a finite arc have been formulated by several
 391 researchers, Hondros (1959) and Hiramatsu and Oka (1966) among others, and they are used
 392 to calculate the tensile strength of a disc of rock in the Brazilian test (Eq. 11),

$$393 \quad \sigma = \frac{F}{\pi R t} \quad (11)$$

394 where the failure force (F) acts on the disc over an arc not greater than 10° (Li and Wong,
 395 2013) and R and t are the radius and the thickness of the disc, respectively.

396 It has been argued that this formula might not be suitable for the estimation of the tensile
397 strengths of rocks because the crack does not initiate in the centre but near the loading point
398 (Fairhurst, 1964; Li and Wong, 2013). Recently, Russell and Muir Wood (2009) formulated a
399 model for a point loaded sphere in which the crack initiation occurs when the ratio between
400 the second invariant of the deviatoric stress tensor, J_2 , and the first invariant of the stress
401 tensor, I_1 , is a maximum, which is essentially a maximum ratio of shear to normal stress
402 invariants. In their work, the location of the initial failure was near the point load, at a
403 distance between 0.7-0.9 from the centre of the sphere. In this study, this possibility was
404 considered by eliminating the tests which showed a failure at the top for CN4 and SP tests
405 from the data, although they were small in number as indicated in Table 2. The videos were
406 recorded with the high speed camera and the analysis was applied only to the LBS particles
407 tested between steel platens (SP) or 4 other particles (CN4-PP). The CN6-PP configuration
408 was not considered because in this case the crack initiation may occur anywhere within the
409 particle, since the forces are more equally distributed around the grain. The LMS particles
410 were not analysed because it was difficult to determine the initiation of the failure, because
411 the large deformations at the contacts tended to obscure the central particle.

412 As indicated in Table 2, out of 76 SP particles only 8 showed a failure near the top contact.
413 Failures near the base contact were not eliminated also for SP tests because the base contact
414 should not be unique. Of the 14 CN4-PP tests discarded, 6 showed a failure near the top
415 contact and the remaining 8 were not taken into account because the location of the initial
416 failure was unclear. The new probability curves shift to the right showing a small increase of
417 particle strength (Fig. 16). This is more evident for the tests carried out in the CN4
418 configuration in which the characteristic stress increased from 45 MPa to 51 MPa, although a
419 smaller number of tests was performed in comparison with the SP configuration. Any
420 conclusion might be slightly speculative at this stage, because a large number of tests would
421 be required to investigate whether this type of test is suitable to assess the tensile strength of
422 the sand particles in multi-axial loading tests.

423 The mode of failure was also analysed through the high speed videos recorded during the
424 tests. The two different sands behaved very differently as expected. The soft LMS crushed
425 into many pieces in a ductile way, reaching ultimate failure by the progressive breakage of
426 the asperities and large deformation of the contacts. Fig. 10 shows a sequence of the images
427 captured during the video of the test and this was typical of all the tests. The crushing mode
428 of the quartz grains was analysed for both the CN4- and CN6-PP tests. The brittle failure,

429 common for quartz, was divided into three categories: if a grain suddenly shattered into many
430 tiny pieces, the crushing process was classified as fragmentation, while if the grain split in
431 two or more large parts, the crushing process was classified as splitting. It was observed that
432 some grains failed also by the chipping of a smaller part of the particle not involving the
433 entire particle in the crushing process (defined as “abrasion” by Tsoungui et al., 1999).
434 Markides et al. (2010) found that discontinuities of stress and displacement fields concentrate
435 at the edges of the load contacts and so the crack might initiate from the perimeter of the
436 specimen. If it is made of hard material, this might not allow a smooth transition of the crack
437 from the edge through the less loaded part and therefore the failure occurs by chipping off a
438 piece of the specimen and not by splitting or fragmentation.

439 The CN4-PP specimens involved all the three crushing categories; out of the 32 tests for
440 which a video was available, 25% failed by fragmentation, 69% by splitting and 6% by
441 chipping (Figs. 17-19). The particles of CN6-PP failed predominantly by splitting,
442 maintaining the two or three pieces generated from the crushing in place. An example is
443 given in Fig. 20. The confinement given by this test configuration might cause this
444 phenomenon. This recalls the behaviour of sand grains in triaxial tests as observed by
445 Bandini and Coop (2011) or oedometer tests by Bolton and Cheng (2002), in which a particle
446 that breaks while it is surrounded by others tends to create fragments that are held in close
447 proximity to each other after failure occurs, as shown Fig. 21.

448 4. CONCLUSIONS

449 Many factors may be involved in the complex mechanisms of breakage of a sand grain. An
450 analysis of the particle morphology and mineralogy, the nature, the geometry and the number
451 of contacts was conducted through multi-contact compression tests on sand particles. It was
452 found that the sphericity affects the strength for soft but not for hard materials, for which it
453 seems to be obscured by the relative local roundness at the contacts. The more spherical of
454 the limestone particles were stronger, and sharper contacts led to a decrease in the failure
455 stress for quartz particles. Key importance in the crushing mechanism might be attributed to
456 the material hardness which may affect the particle strength through the deformation of the
457 contacts. The main difference between the crushing behaviour of quartz and calcite grains
458 was therefore attributed to the nature of the contacts. Hard contacts preserve their
459 morphology during loading, experiencing large stress concentrations prior to failure. Soft

460 contacts mould relative to their neighbouring particles, involving the entire particle in the
461 crushing mechanism.

462 Generally, an increase of the number of contacts induced an increase of particle stress at
463 failure. The assumption of a tensile failure was adopted to determine the Weibull probability
464 of the populations of sand particles, but a much larger number of tests would be needed to
465 assess whether this approach is suitable to calculate the tensile strength of sand particles,
466 which would be highly time-consuming for these multiple contact tests.

467 If the coordination number was four, either in the CN=4 or SP tests, then failure occurred by
468 splitting, fragmenting or chipping but for CN=6 generally only splitting occurred,
469 maintaining the products of the crushing in place.

470 5. ACKNOWLEDGEMENTS

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473 112712).

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552 single sand particle fracture using X-ray micro-tomography. *Géotechnique*, 65(8), pp. 625-
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554

555

556

557 TABLES

558 Table 1 Characteristics of the LBS and LMS particles (dimensions in mm, micro-hardness,
559 HM, in GPa).

MATERIAL	d _{max}	d _{int}	d _{min}	S	r _{rl}	HM
LBS	3.61	2.90	2.21	0.63	0.48	6.2
LBS	2.62	2.15	1.62	-	-	6.2
LMS	3.46	2.70	2.24	0.69	-	1.6
LMS	2.41	1.86	1.51	-	-	1.6

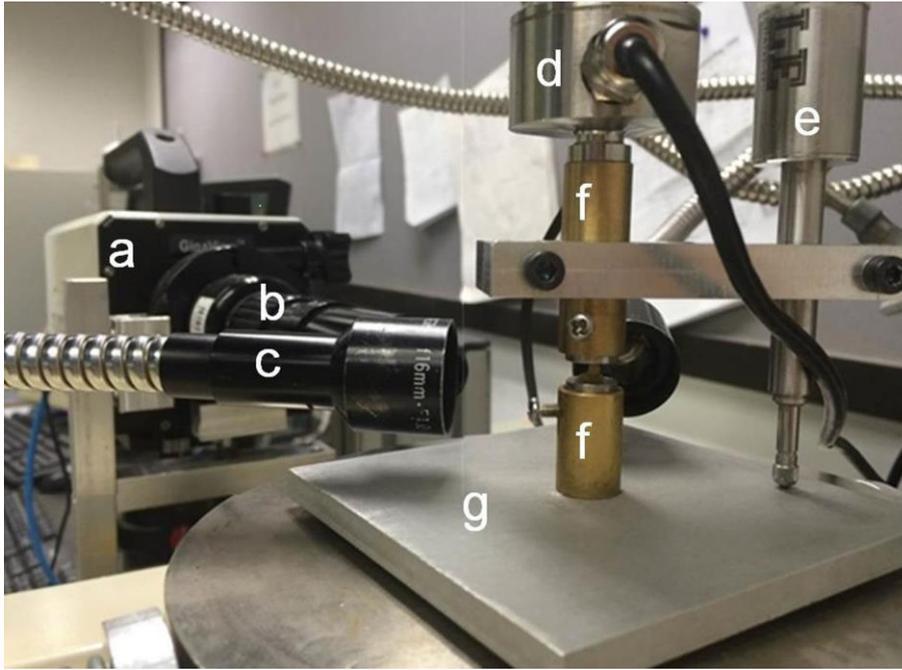
560

561 Table 2 Description of the tests.

TEST CONFIGURATION	Number of tests			
	Total	Study of Morphology		
		Tests for morphology	Initiation of the crack at the top of the particle	Not considered (no video available)
LBS -SP	90	76	8	-
LBS-CN4-BP	59	-	-	-
LBS-CN6-BP	34	-	-	-
LBS-CN4-PP	70	65	6	8
LBS-CN6-PP	65	51	-	-
LBS-CN6-PPsmall	44	30	-	-
LMS -SP	28	24	-	-
LMS-CN4-BP	39	-	-	-
LMS-CN6-BP	36	22	-	-
LMS-CN4-PP	56	51	-	-
LMS-CN6-PP	58	53	-	-
LMS-CN6-BPsmall	16	-	-	-

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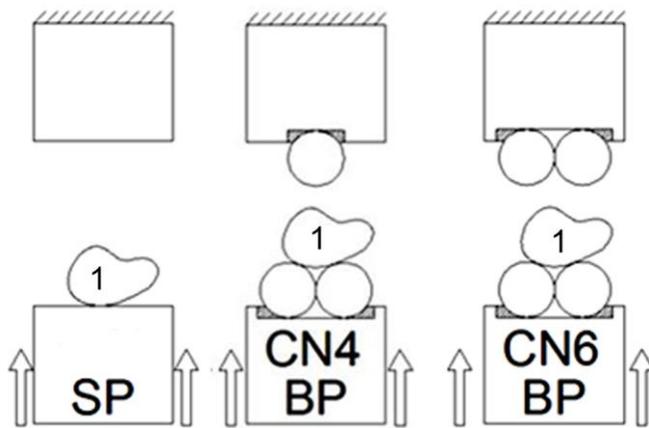
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564

565 Fig. 1 Equipment for the multi-axial crushing tests: a) high speed camera; b) microscope lens;
 566 c) additional lighting system with focussing lenses; d) load cell; e) LVDT; f) brass wells
 567 containing steel mounts; g) base support resting on ball bearings.

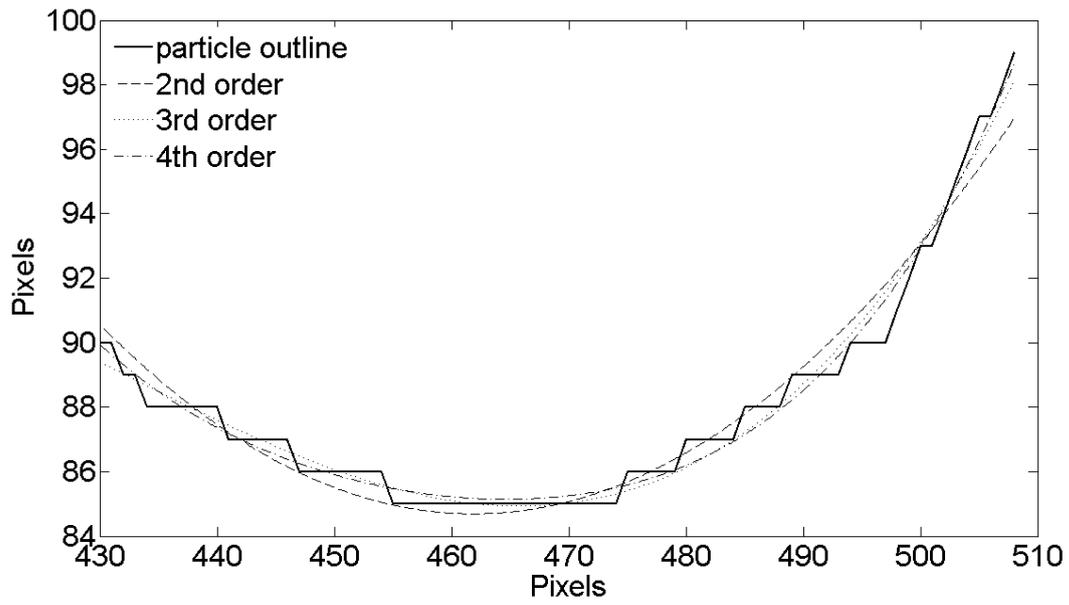
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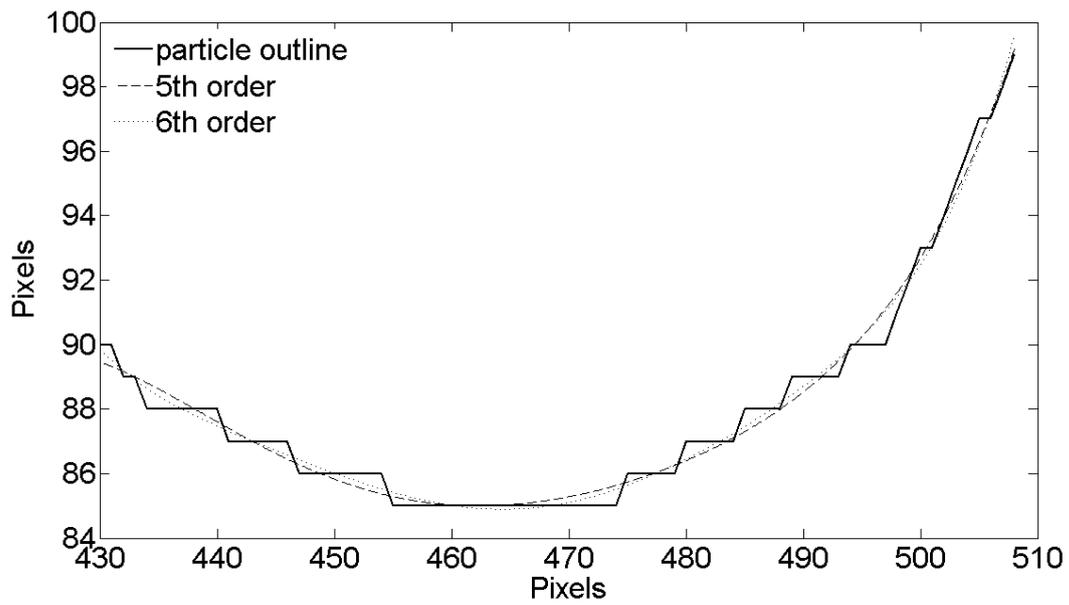
570 Fig. 2 Schematic representation of the multi-contacts crushing tests for particles tested
 571 between hardened steel mounts (SP), between 4 steel balls (CN4-BP) and between 6 steel
 572 balls (CN6-BP).

573



574

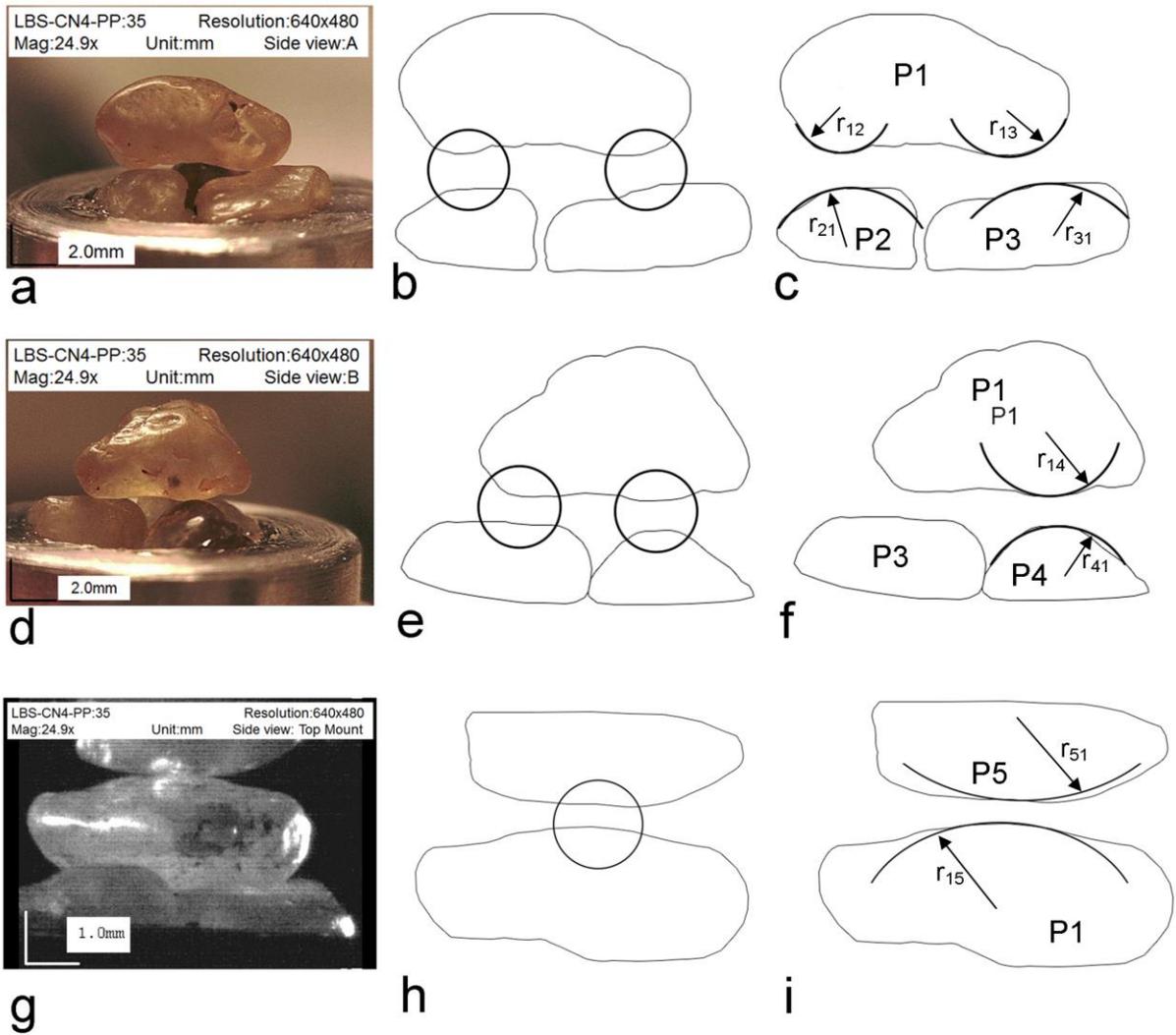
575 a)



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577 b)

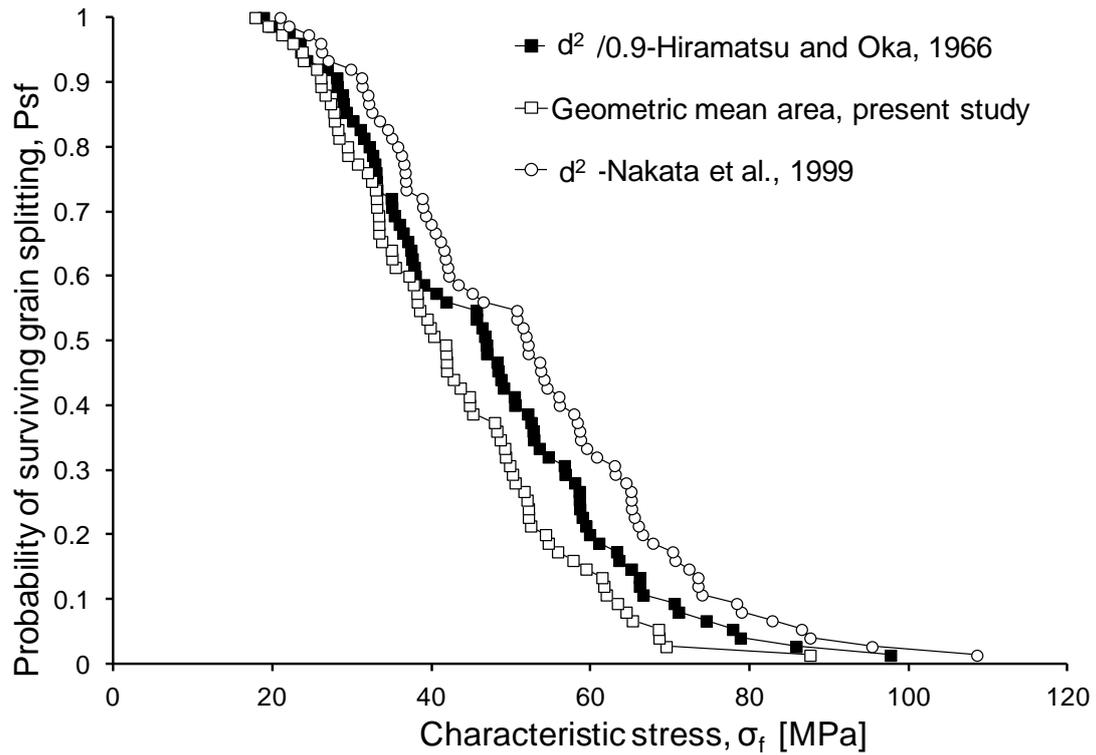
578 Fig. 3 Fitting of a particle outline by polynomial functions of different orders: a) 2nd, 3rd and
 579 4th orders; b) 5th and 6th orders.



580

581 Fig. 4 Example of the local relative roundness parameter, r_{tl} , of test no.35, LBS-CN4-PP
 582 based on 3 views: a) side view A of the lower mount; b) location of the contact points of side
 583 side view A; c) definition of local radius of curvature for each contact of side view A; d) side view
 584 B of the lower mount; e) location of the contact points of side view B; c) definition of local
 585 radius of curvature for each contact of side view B; g) view of top mount; h) location of the
 586 contact points at top mount; i) definition of the local radius for the contact at the top. The
 587 arrows are indicative.

588



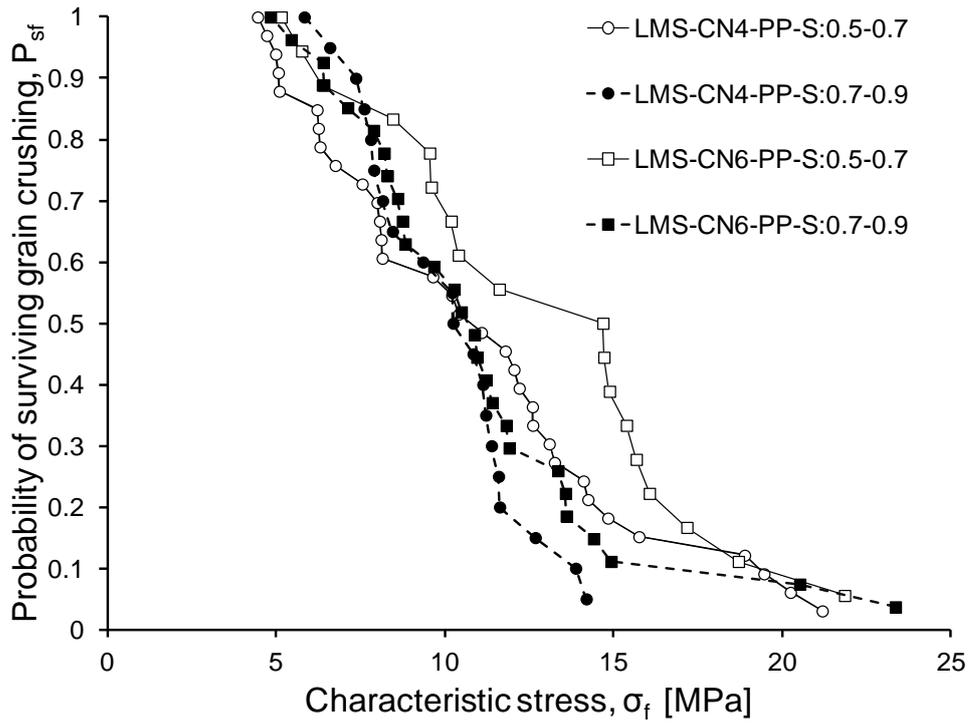
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590 Fig. 5 The effect of the failure area on the strength of the LBS particles crushed between two
 591 hardened steel mounts, i.e. LBS- SP. The geometric mean area has been adopted in this study.



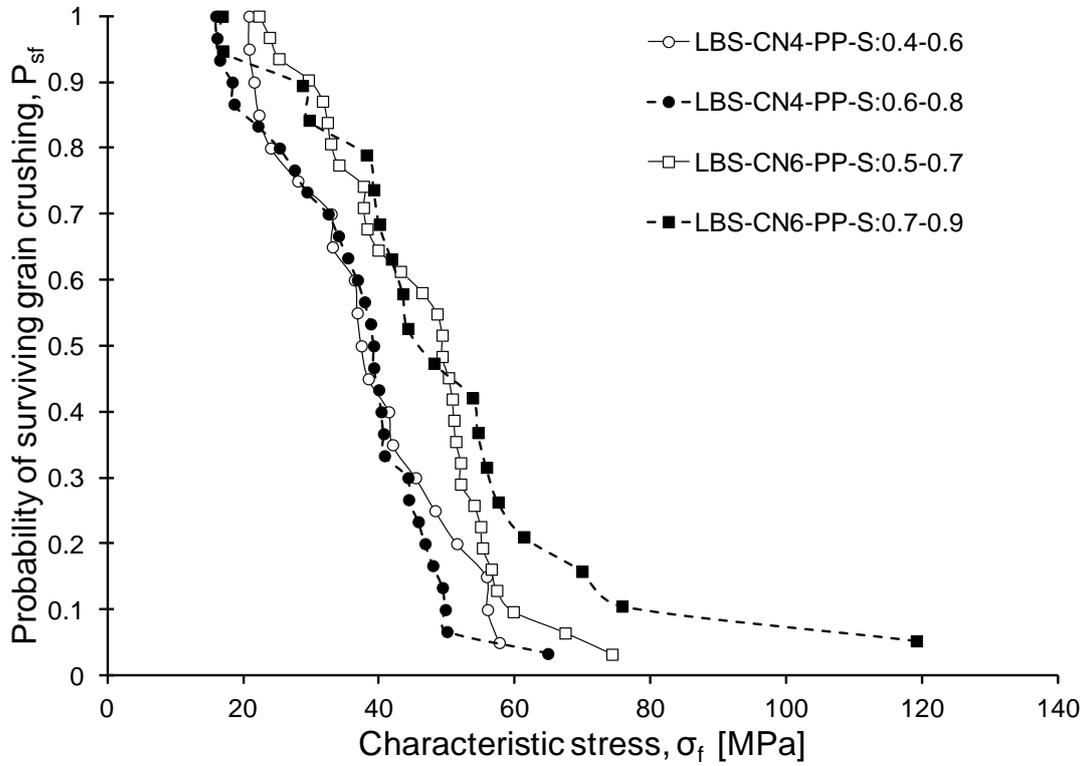
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593 Fig. 6 Flat-to-flat contacts between LMS particles.



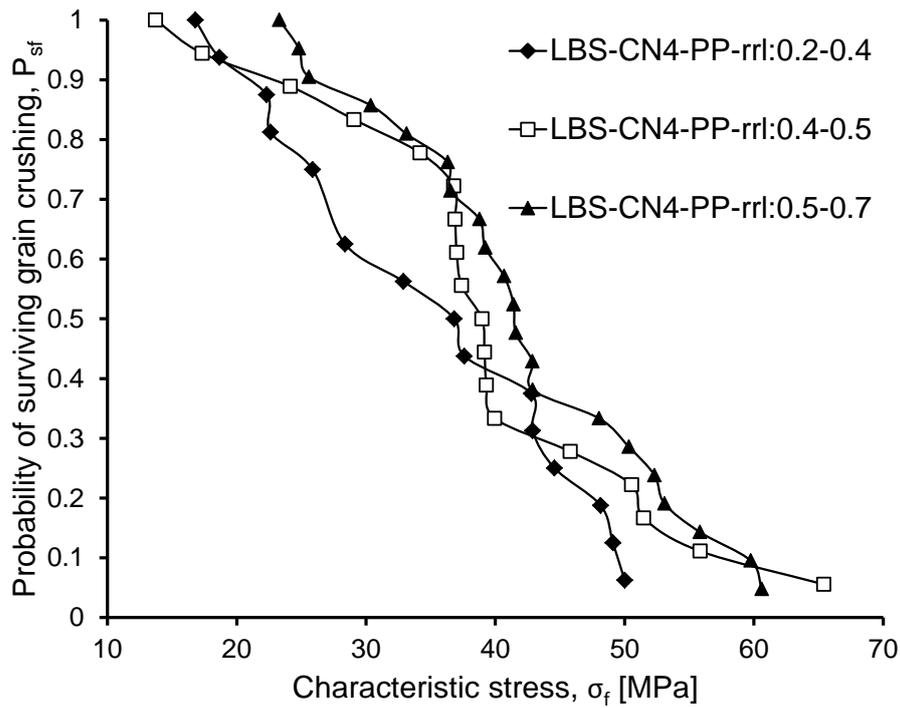
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595 Fig. 7 The effect of sphericity on the strength of LMS particles.

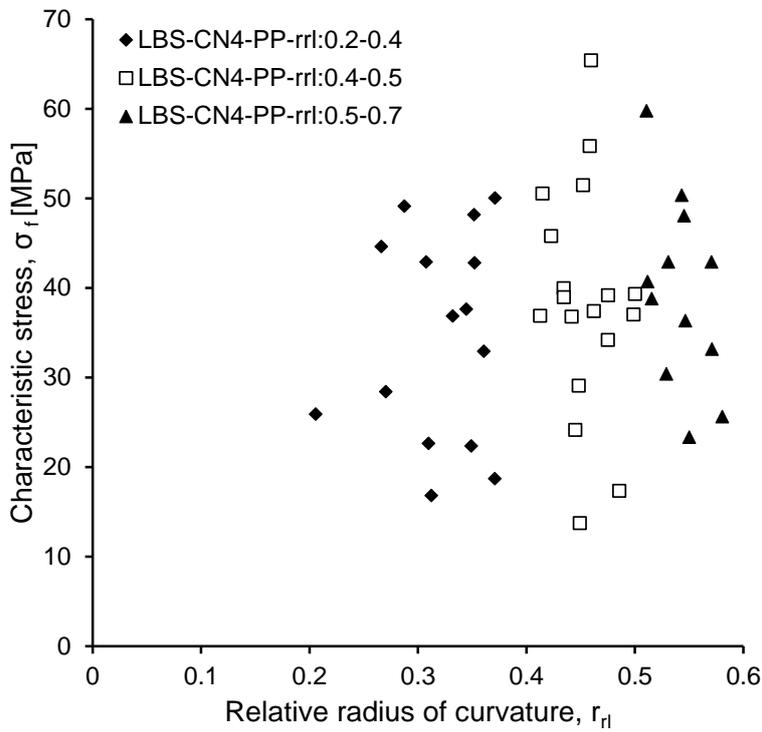


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597 Fig. 8 The effect of sphericity on the strength of LBS particle.

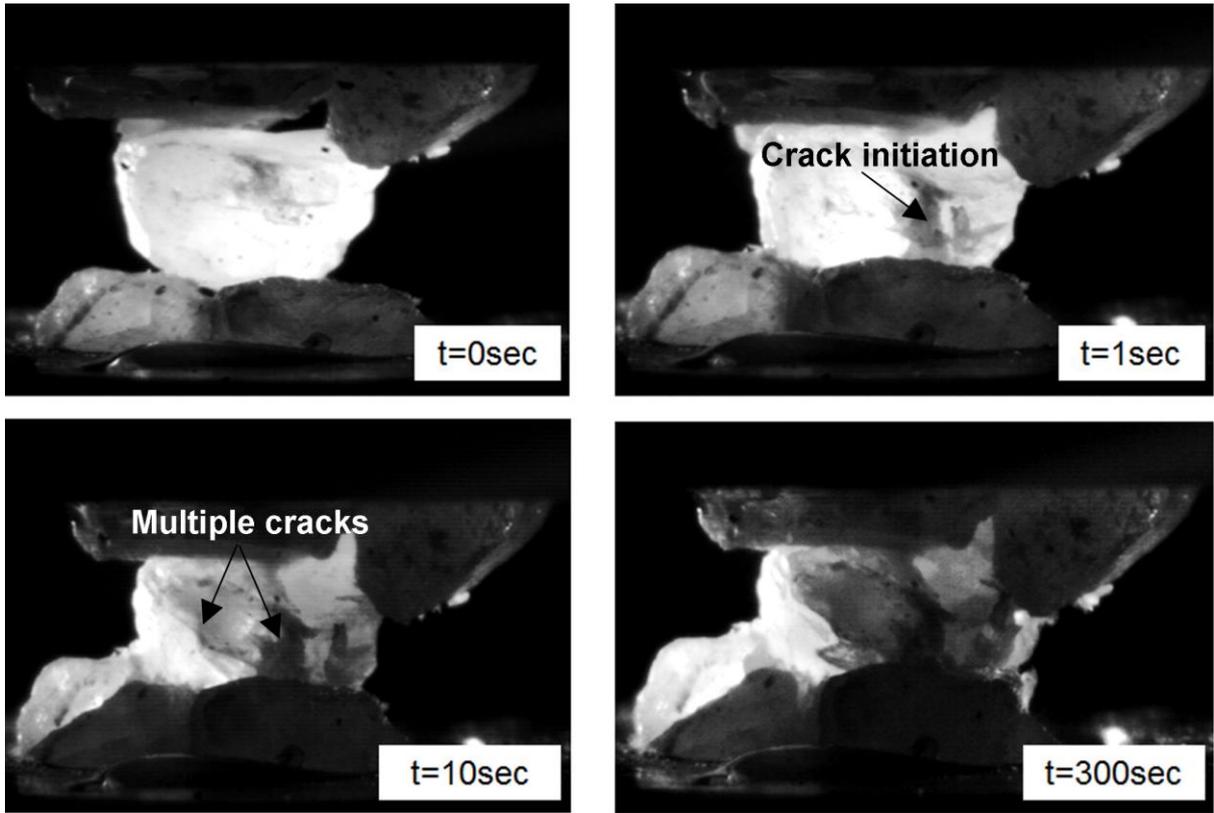


599 a)



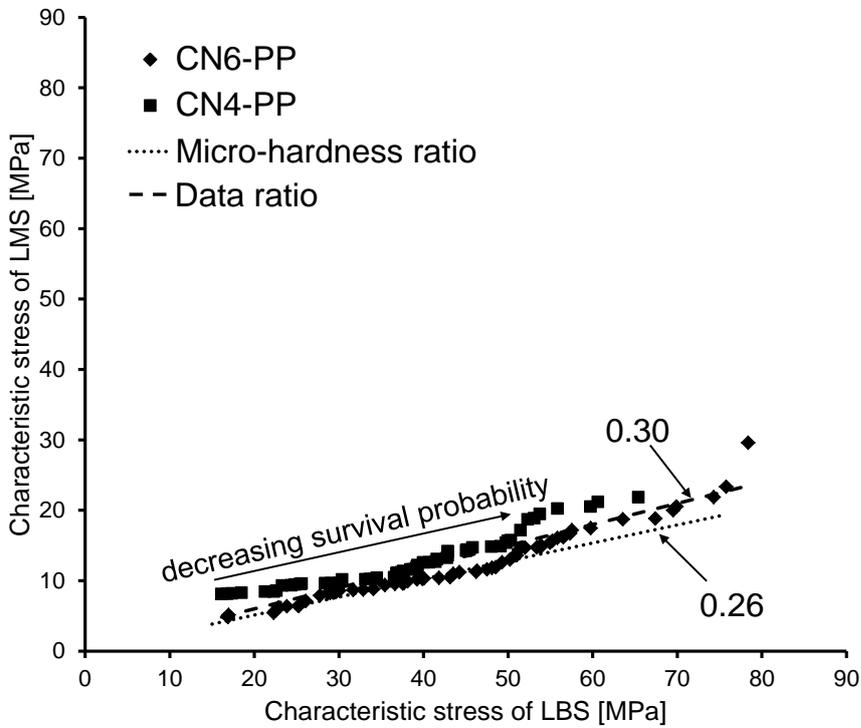
601 b)

602 Fig. 9 The effect of local roundness parameter, r_{ri} , on LBS particles: a) probability of
 603 surviving grain crushing; b) relationship between the characteristic stress and the r_{ri} .



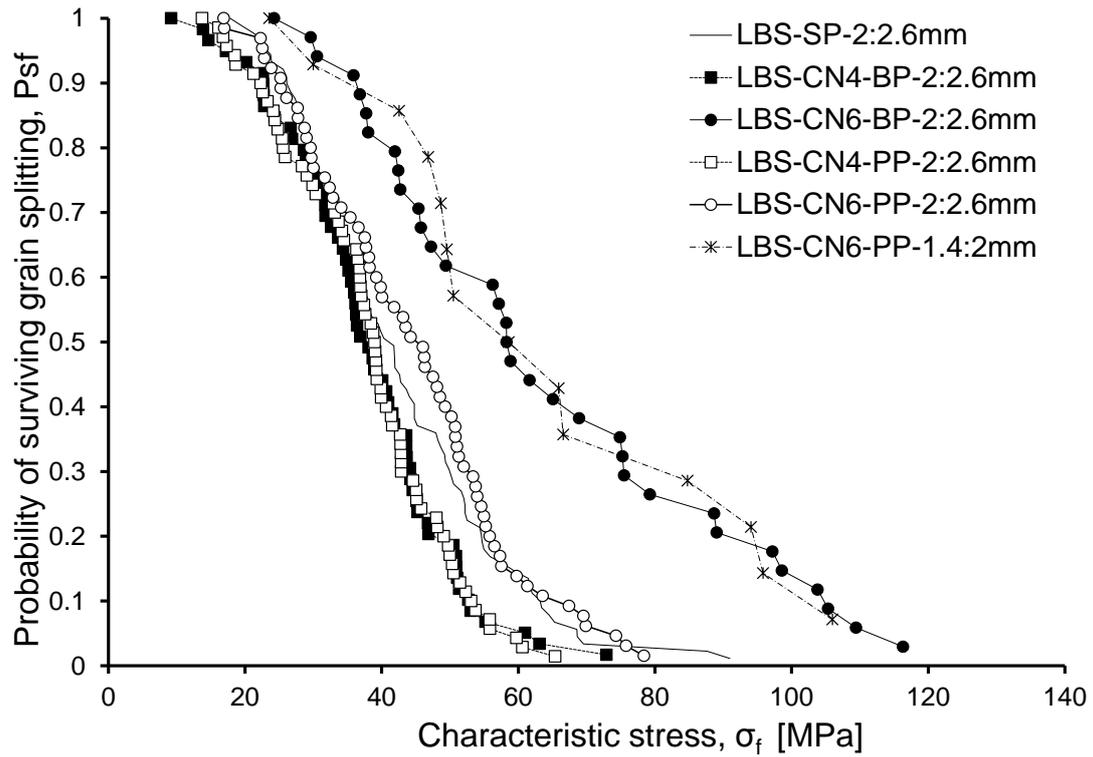
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605 Fig.10 Example of the crushing mechanism of LMS particle tested in CN6-PP configuration.



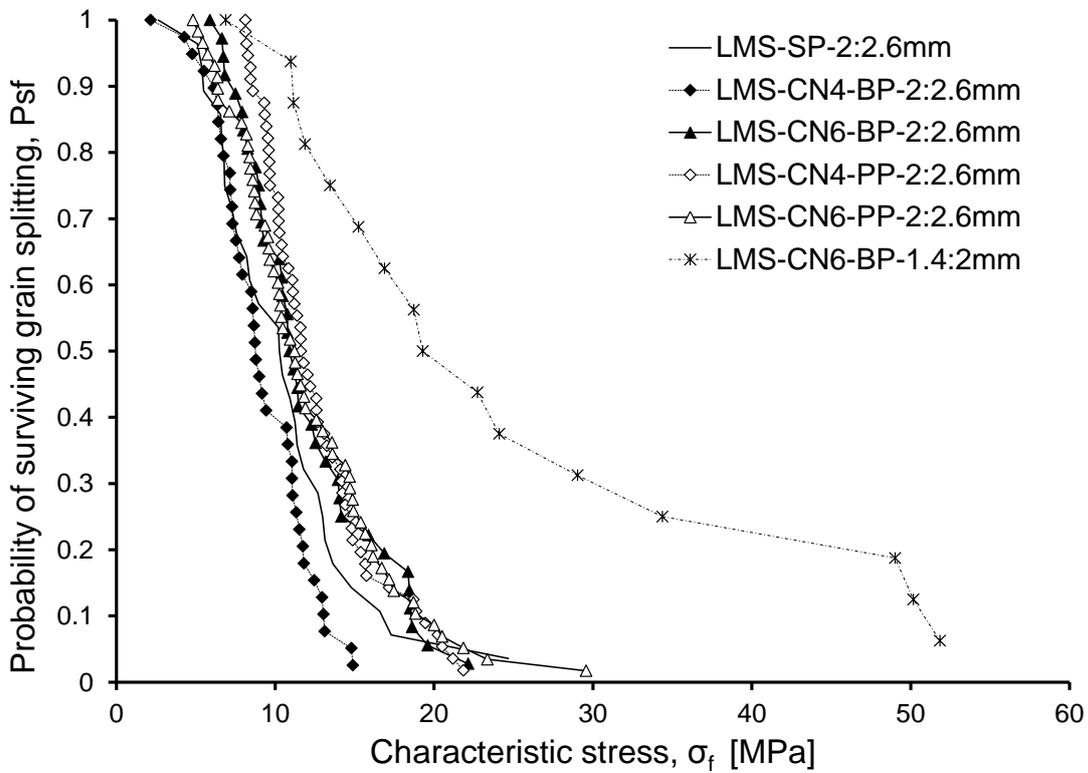
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607 Fig. 11 Hardness relationship obtained from the characteristic stress of LBS and LMS
 608 specimens crushed in CN4-PP and CN6-PP configurations.



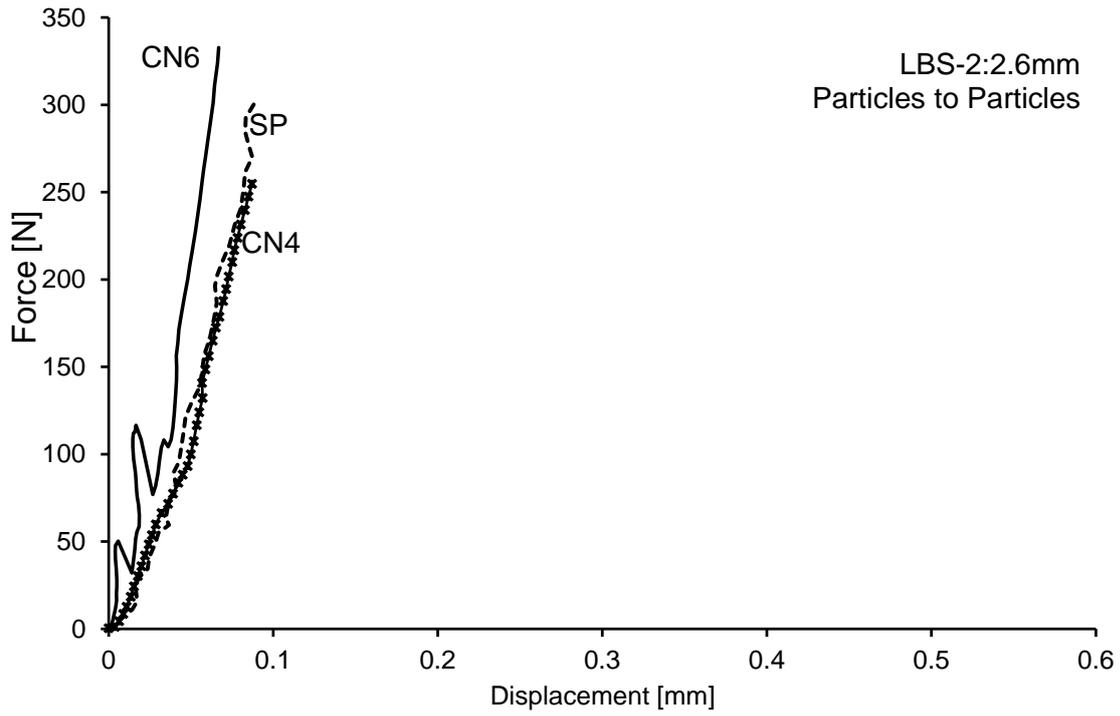
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610 Fig. 12 Survival probability curves of LBS particles for different support particles.



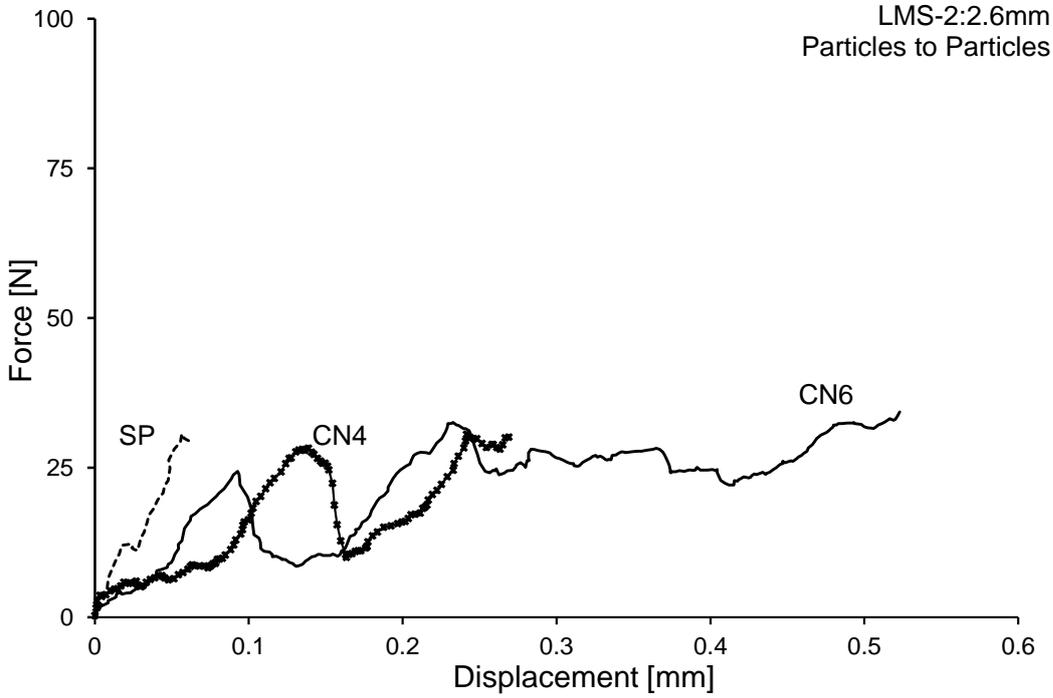
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612 Fig. 13 Survival probability curves of LMS for different support particles.



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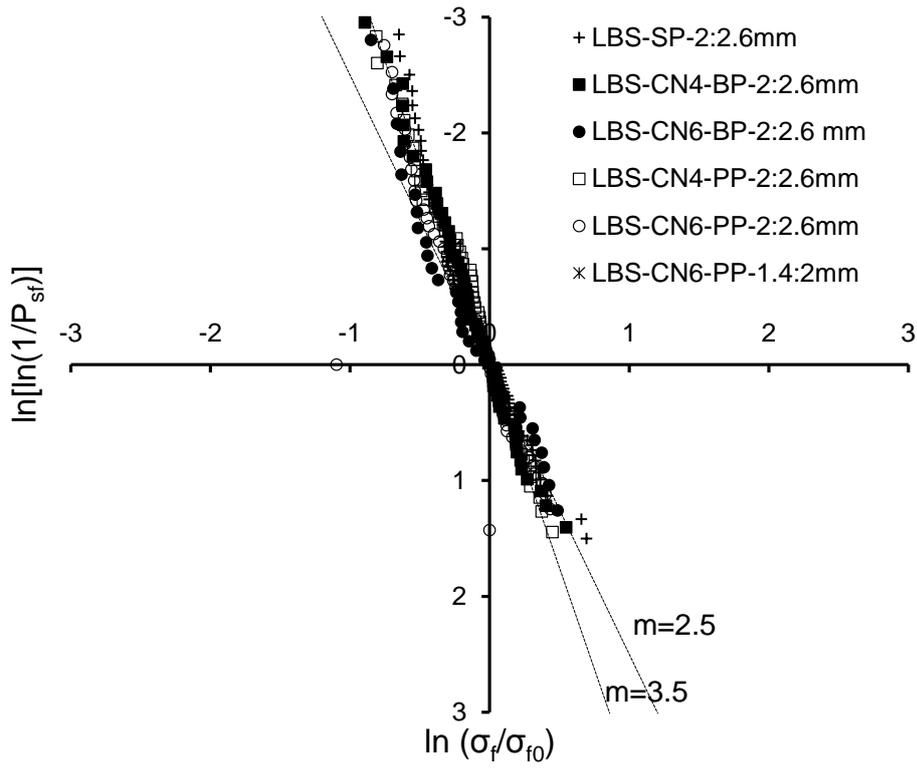
614 a)



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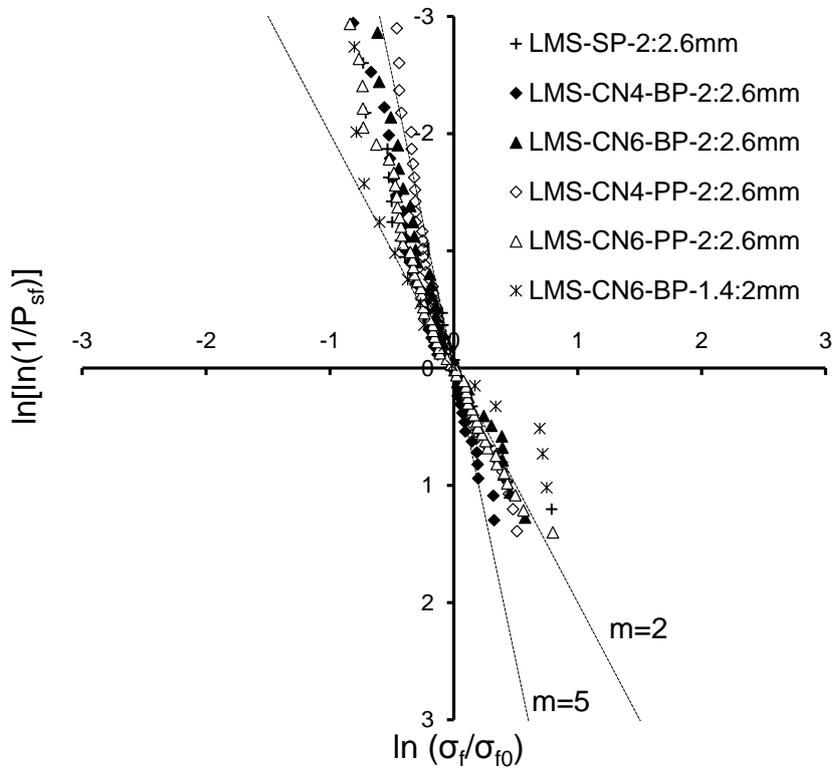
616 b)

617 Fig. 14 Force-displacement relationship of a) LBS (present study) and b) LMS (redrawn from
 618 Todisco et al., 2015) particles.



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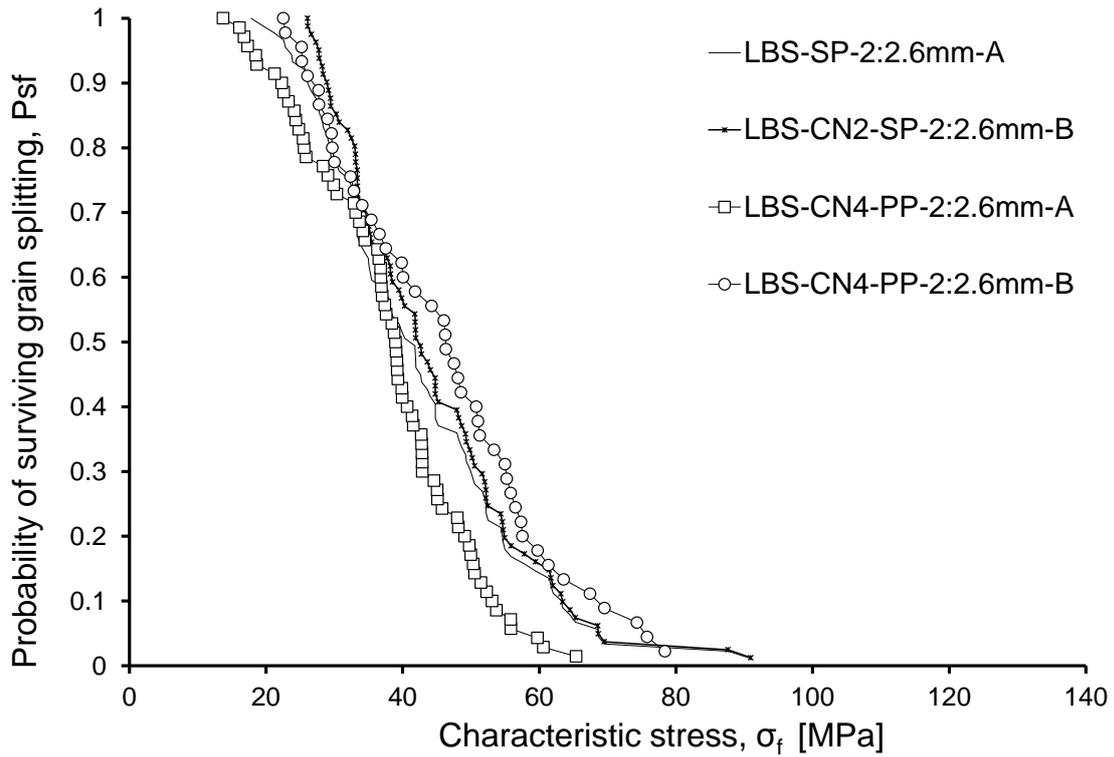
620 a)



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622 b)

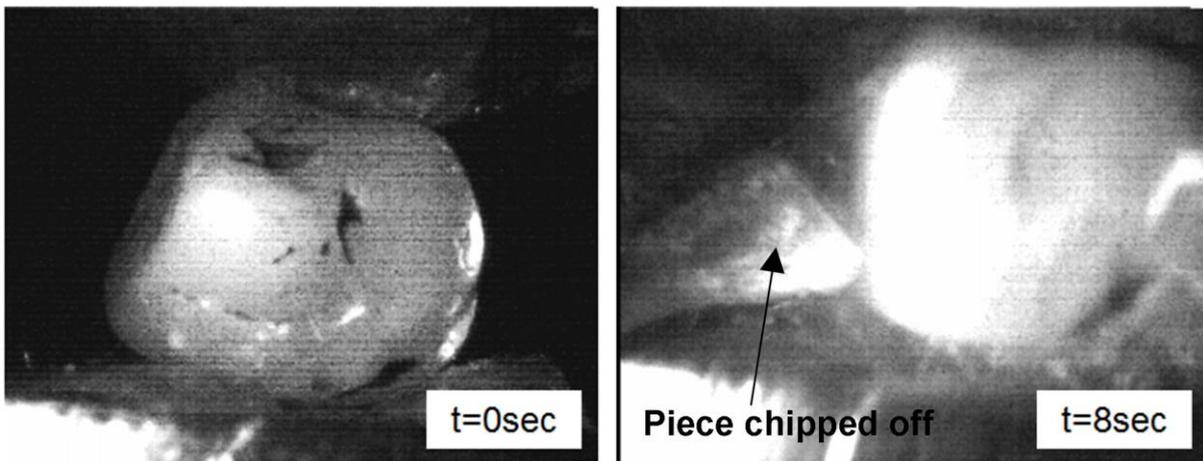
623 Fig. 15 The m-modulus of the tested sands: a) LBS specimens; b) LMS specimens.



624

625 Fig. 16 Probabilities of survival of LBS particles for which the crack initiation did not occur
 626 near the top contact. The data refer to SP and CN4-PP configurations. (A all data, B with
 627 failures near top contact eliminated)

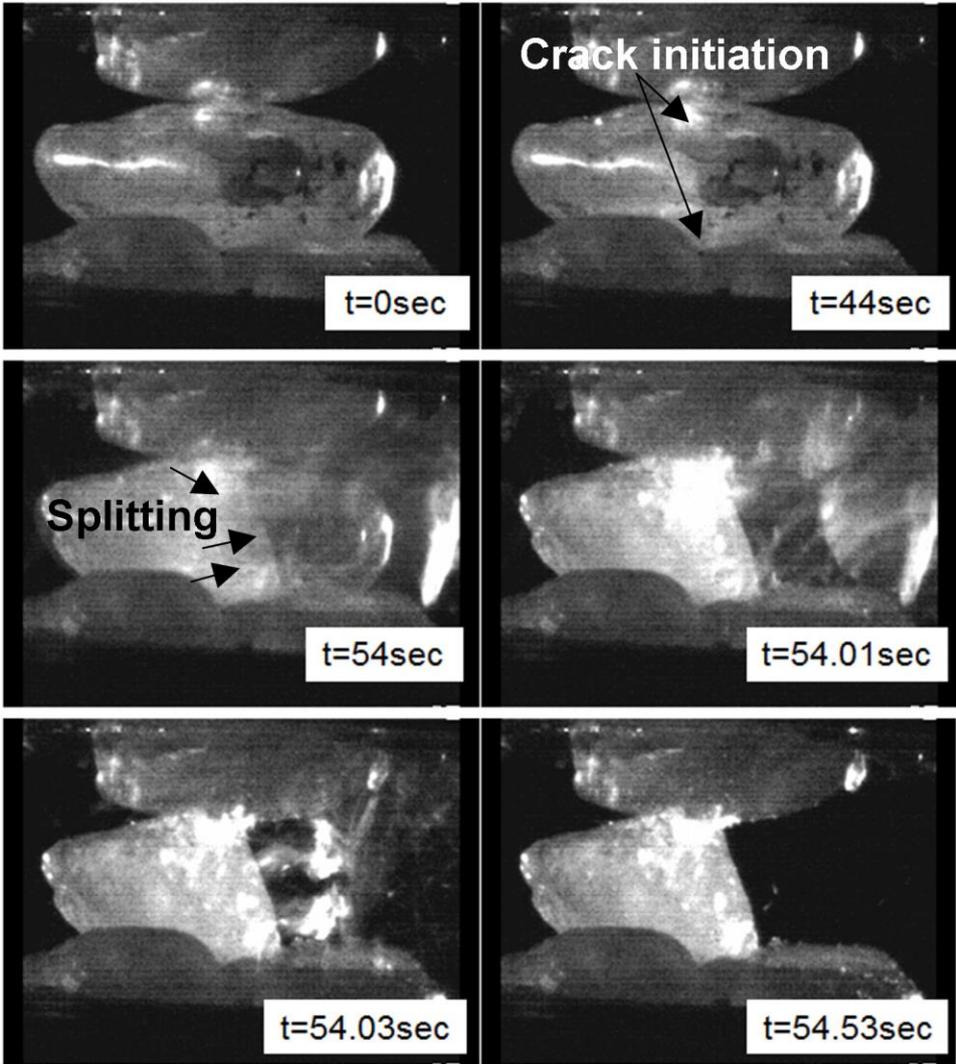
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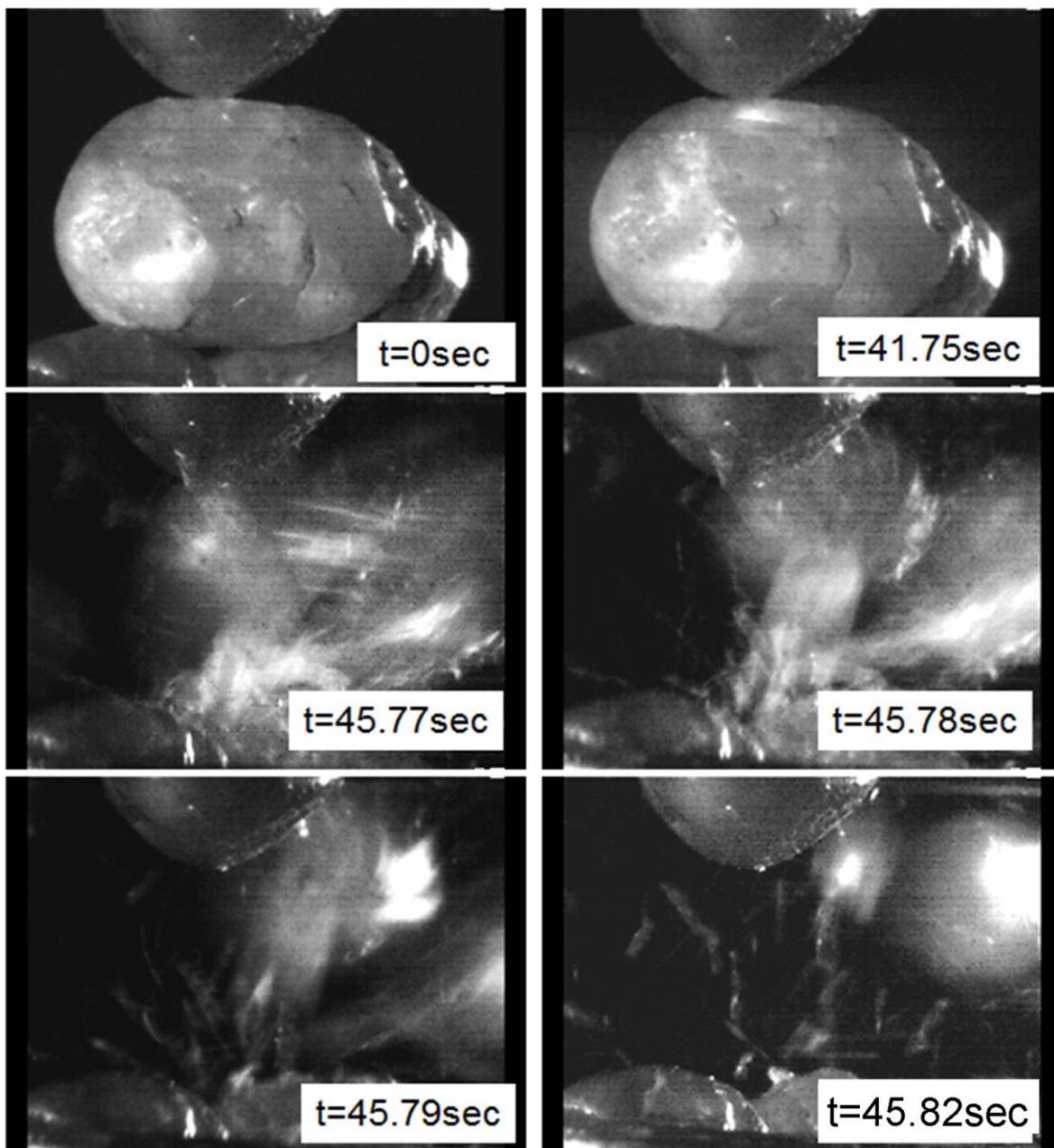
630 Fig. 17 Chipping crushing mechanism of LBS particle tested in between 4 particles.

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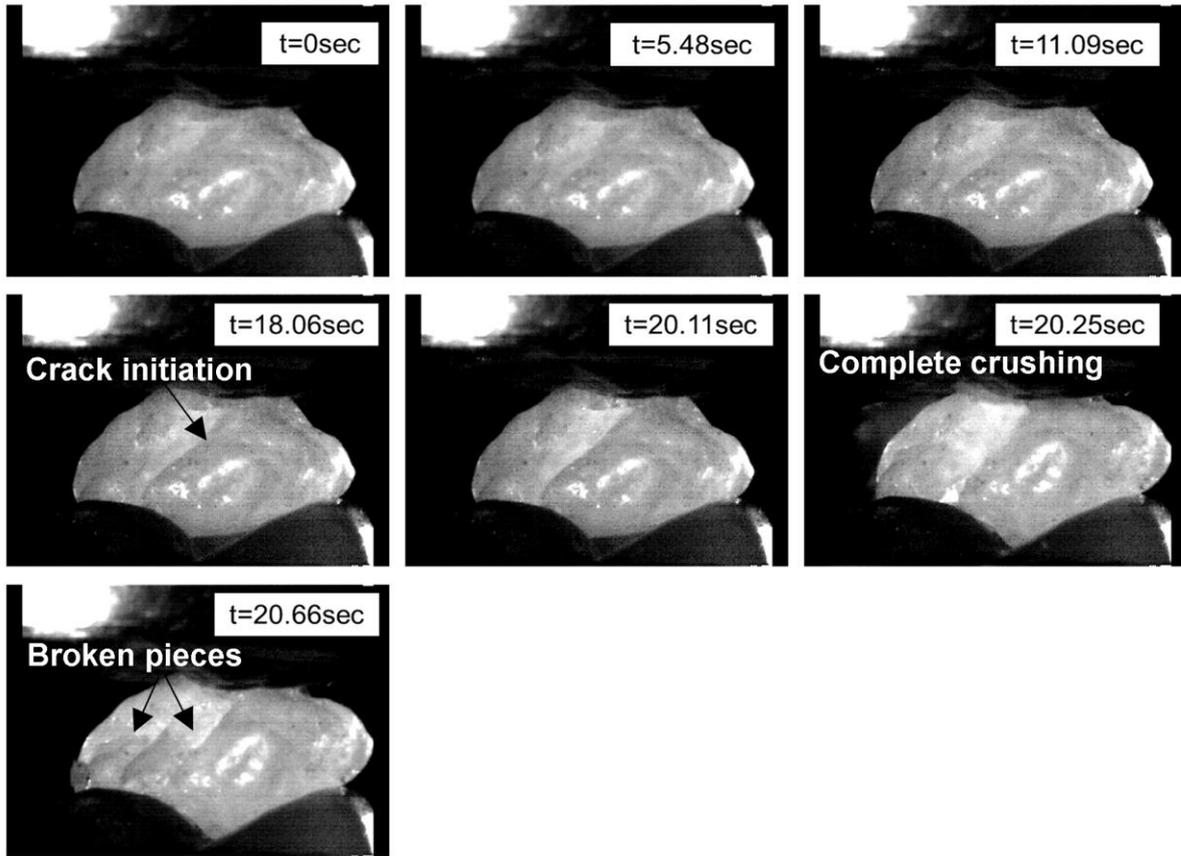
633 Fig. 18 Splitting crushing mechanism of a LBS particle tested in the CN4-PP configuration.



634

635 Fig. 19 Fragmentation crushing mechanism of a LBS particle crushed in between 4 particles.

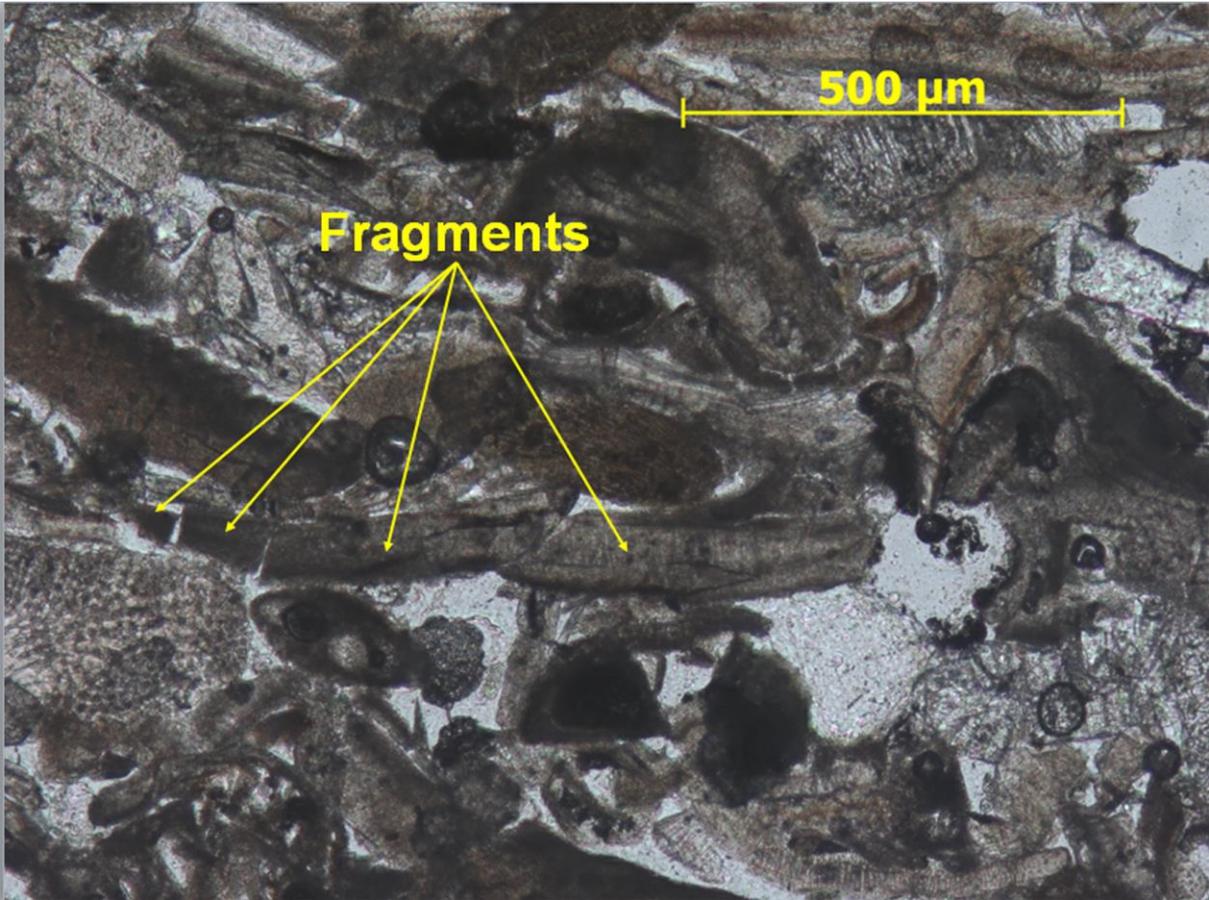
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638 Fig. 20 Splitting crushing mechanism of a LBS particle tested in the CN6-PP configuration.

639



640

641 Fig. 21 Example of fragments of particle which are confined to their initial position (redrawn
642 from Bandini and Coop, 2011).

643