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# Comparative study of singlet oxygen production by photosensitiser dyes encapsulated in silicone: towards rational design of anti-microbial surfaces†

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Surfaces with built-in antimicrobial activity have the potential to reduce hospital-acquired infections. One promising strategy is to create functionalised surfaces which, following illumination with visible light, are able to generate singlet oxygen under aerobic conditions. In contrast to antibiotics, the mechanism of bacterial kill by species derived from reactions with singlet oxygen is completely unselective, therefore offering little room for evolutionary adaptation. Here we consider five commercially available organic photosensitiser dyes encapsulated in silicone polymer that show varied antimicrobial activity. We correlate density functional theory calculations with UV-Vis spectroscopy, electron paramagnetic resonance spectroscopy and singlet oxygen production measurements in order to define and test the elements required for efficacious antimicrobial activity. Our approach forms the basis for the rational *in silico* design and spectroscopic screening of simple and efficient self-sterilising surfaces made from cheap, low toxicity photosensitiser dyes encapsulated in silicone.

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## 1 Introduction

The high incidence of hospital-acquired infections poses a significant problem for healthcare services. Not only do they prolong patient discomfort and jeopardise their health, but they also present a taxing burden on resources. In the UK it has been estimated that the treatment of these infections cost NHS hospitals over £1 billion each year.<sup>1</sup> In England, an estimated 300 000 patients contract a hospital-acquired infection annually within the NHS, with 9000 deaths associated with methicillin-resistant *Staphylococcus aureus* bloodstream infections and *Clostridium difficile* infections in 2007.<sup>2,3</sup>

Hospital surfaces are significant reservoirs of bacteria and contact by healthcare personnel and patients aids the transfer

of bacteria and facilitates the spread of infection.<sup>4</sup> Hence, the use of self-sterilising surface technology is one strategy that may help disrupt the transmission of bacteria between surfaces, patients and healthcare professionals.

Current research involves the use of photosensitiser dyes immobilised in polymers as part of an infection-control strategy in healthcare environments.<sup>5–19</sup> Cationic dyes such as methylene blue or crystal violet are proven antimicrobial agents against both Gram-positive and Gram-negative bacteria.<sup>8–19</sup> Porphyrins and phthalocyanines are also used for photodynamic therapy (PDT) applications, however, although they have been successfully incorporated into polymers,<sup>20–22</sup> the procedure is non-trivial as compared to swell-encapsulation. Moreover, some porphyrins can be significantly more expensive than widely available dyes considered in the present study.

Photobactericidal polymers can be synthesised using a simple dipping approach to incorporate photosensitiser dye molecules including methylene blue, toluidine blue O and crystal violet into polymers.<sup>5,11–19</sup> Such dye-incorporated polymers have induced the lethal photosensitisation of both Gram-positive and Gram-negative bacteria, with samples demonstrating strong antimicrobial efficacy under laser irradiation and standard hospital lighting conditions.<sup>5–19</sup> Table 1 summarises representative examples of the light-activated antimicrobial activity of these dyes against both Gram-positive and Gram-negative bacteria. Some of these polymers also induce significant bacterial kill

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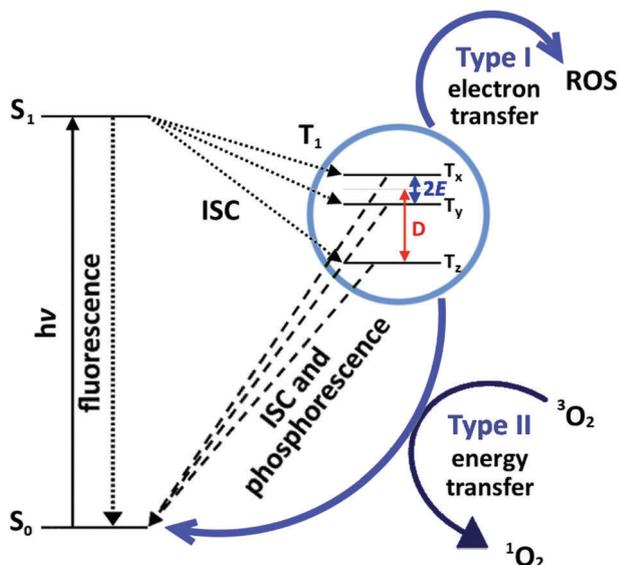
**Table 1** Representative examples of the light-activated antimicrobial activity of silicone immobilised TBO, MB and CV, against Gram-positive (*Staphylococcus epidermidis*) and Gram-negative *Escherichia coli* bacteria. Note that  $\log_{10}$  refers to a 90% reduction in a bacterial numbers

| <i>Staphylococcus epidermidis</i> |                                | <i>Escherichia coli</i> |                                |
|-----------------------------------|--------------------------------|-------------------------|--------------------------------|
| Bacterial kill                    | Fluence ( $\text{J cm}^{-2}$ ) | Bacterial kill          | Fluence ( $\text{J cm}^{-2}$ ) |
| TBO <sup>9</sup>                  | b.d.l. <sup>a</sup>            | 2.0 $\log_{10}$         | 42                             |
| MB <sup>9</sup>                   | b.d.l. <sup>a</sup>            | 1.3 $\log_{10}$         | 42                             |
| CV <sup>14</sup>                  | 1.5 $\log_{10}$                | 0.3 $\log_{10}$         | 45                             |

<sup>a</sup> b.d.l. = below the detection limit of ca.  $4 \log_{10}$  kill.

under dark conditions.<sup>14–18</sup> The non-site-specific mode of bacterial attack utilised by this photochemical kill approach reduces the risk of bacterial resistance. The photosensitiser dyes used in this strategy are cheap and commercially available and the method of incorporation is easy to scale up for industrial production. Consequently, dye-containing polymers are attractive candidates for use as antimicrobial surfaces.

Antimicrobial activity is predominantly induced by a light-activated mechanism, see Fig. 1. The dye molecules absorb light in the visible region of the electromagnetic spectrum, and subsequently undergo intersystem crossing (ISC) to the triplet state.<sup>23</sup> The triplet state can also undergo one of two photochemical processes, historically termed ‘Type I’ and ‘Type II’ mechanisms.



**Fig. 1** Jablonski diagram and accompanying photochemical processes subsequent to photoexcitation of a photosensitizer. The ground state molecule ( $S_0$ ) absorbs a photon of energy and is promoted to an excited singlet state ( $S_1$ ). The excited singlet state molecule can release energy radiatively (fluorescence), via internal conversion or can undergo ISC to the excited triplet state ( $T_1$ ) which has three sublevels:  $T_x$ ,  $T_y$ ,  $T_z$ .  $D$  and  $E$  are the zero field splitting parameters, which describe the magnetic dipolar interaction between the two unpaired electrons. The triplet state molecule can release energy as radiation (phosphorescence) or transfer its energy to surrounding molecules by either Type I or Type II photoprocesses and return to the ground state. A Type I photoprocess involves electron transfer between the triplet state and a substrate, whereas a Type II photoprocess involves the quenching of the triplet state by molecular oxygen.

The Type I mechanism involves one-electron redox processes that occur between the excited triplet state dye molecule and any molecule with the appropriate redox potential; in this context, proteins, unsaturated lipids, DNA *etc.* on the target cell.<sup>24</sup> The Type II mechanism involves an energy transfer process between the excited triplet state dye molecule and molecular oxygen in the vicinity, resulting in the generation of singlet oxygen ( $^1\text{O}_2$ ) species.<sup>24</sup> Highly electrophilic,  $^1\text{O}_2$  rapidly reacts with electron-rich substrates such as unsaturated hydrocarbons, amines, sulphides and phenols which are abundant in cell membrane proteins, lipids and in DNA.<sup>25–29</sup> The Type II process is the desirable mechanism, as the chromophore returns to the ground state following  $^1\text{O}_2$  production and can subsequently generate more  $^1\text{O}_2$  in a catalytic fashion.

Suitable dyes for the development of photobactericidal polymers should exhibit properties including: (i) strong absorption in the visible region of the electromagnetic spectrum, (ii) good photostability under prolonged irradiation, (iii) high ISC yield for efficient production of the triplet state, (iv) long triplet lifetime to allow for the reaction with surrounding molecules such as molecular oxygen, (v) selectively towards a Type II photoprocess, and (vi) when incorporated into a polymer, the dyes should not leach into the environment.

Here we explore the structure–activity relationships of several (i) phenothiazine dyes: acridine orange (AO), methylene blue (MB) and toluidine blue O (TBO) and (ii) triarylmethane dyes: crystal violet (CV) and malachite green (MG) encapsulated in silicone. We investigated both their electronic structures, obtained by DFT calculations, and their photochemical properties, using several experimental techniques, in order to gain insight into the reasons for the differing photobactericidal activity. Time-resolved electron paramagnetic resonance (TR-EPR) spectroscopy was used to investigate the properties of the photo-excited triplet states, while, time-resolved near infrared (TR-NIR) spectroscopy was employed to monitor  $^1\text{O}_2$  phosphorescence. Our approach provides a straightforward methodology for a rapid and effective materials design strategy for light-activated antimicrobial surfaces.

## 2 Experimental

### 2.1 DFT calculations

Calculations were performed with the Gaussian 09 suite of programmes at the DFT level of theory using several hybrid correlation-exchange functionals: B3LYP, long-range corrected CAM-B3LYP,<sup>30</sup> meta-GGA M062X,<sup>31</sup> the extended hybrid functional X3LYP,<sup>32</sup>  $\omega$ -B97XD. Unrestricted open-shell calculations were performed for the triplet states. Harmonic analysis was performed to check the nature of equilibrium geometries. The effect of aqueous solution on equilibrium geometries, energies and optical properties was included via the IEF-PCM approach using the default parametrisation. Magnetic properties were calculated using the ORCA 2.9 suite of programmes, with solvation effects included via the COSMO method. The dipole–dipole zero field splitting (ZFS) was predicted using the methods



described by Neese *et al.*<sup>33</sup> over several basis sets (TZVP, IGLO-II and also EPR-II for the molecules lacking sulphur atoms) and DFT functionals (PBE, B3LYP, B2PLYP). The URO option (unrestricted natural orbitals) was used.

## 2.2 Materials synthesis and characterisation

### 2.2.1 Polymer synthesis for singlet oxygen measurements.

Liquid MED-4950 (Polymer Systems Technology Ltd) was combined with a crosslinking agent (Polymer Systems Technology Ltd) in a 1:1 ratio and an excess (4–5 g) was spread into a channel on a clean glass surface. The system was centrifuged for 5 minutes (1100 rpm, 60 mbar vacuum) and any excess was removed. The polymer was cured at 150 °C for 6 minutes after which it was allowed to cool and subsequently, was cut into 1 cm × 3 cm strips.

**2.2.2 Polymer modification.** The phenothiazine dyes, acridine orange hemi-acetate zinc salt (Fisher), methylene blue (Riedel-de Haën) and toluidine blue O (Sigma-Aldrich), were incorporated into the silicone polymer substrate using a 'swell-encapsulation-shrink' technique as reported previously.<sup>5,11–17,19</sup> The silicone polymers were immersed in a 9:1 acetone (VWR):water swelling solution made up to a concentration of 0.002 mol dm<sup>-3</sup> dye. The samples were allowed to swell in the dark under room temperature conditions for 24 h, after which they were removed and air-dried in the dark (24 h). Residual dye was removed by gently washing the samples in water and the towel-dried samples were stored under dark conditions.

The triarylmethane dyes, crystal violet (Sigma-Aldrich) and malachite green oxalate salt (Sigma-Aldrich), were incorporated into the silicone polymer substrate using a simple dipping process.<sup>14</sup> The silicone samples were immersed in dye solutions (0.002 mol dm<sup>-3</sup>) made up in water for 24 h, after which they were air-dried in the dark for 24 hours. Residual dye was washed off using water and the samples were towel-dried and stored under dark conditions. The uptake of dye into the polymers can be controlled by varying the dipping time, until polymer saturation is achieved.<sup>14</sup>

**2.2.3 Spectroscopic characterisation.** A PerkinElmer Lambda 25 UV-Vis Spectrometer was used to measure the UV-Vis absorption spectra of the modified silicone polymers, within the range 400–800 nm. A Bruker E580 pulsed EPR spectrometer operating at X-band frequencies (9–10 GHz/0.3 T) was used to record the TR-EPR spectra of dye-incorporated 100% silicone Foley catheter samples (BRILLANT AquaFlate 2 way, 10 cc silicone catheter with an opposed eye, 12 Fr, 4 mm diameter, Rüschi). Spectra were recorded in direct detection mode without magnetic field modulation. Hence they show characteristic enhanced absorptive (A) and emissive (E) features. A Surelite broadband OPO system within the operating range 410–680 nm, pumped by a Surelite I-20 Q-switched Nd:YAG laser with 2nd and 3rd harmonic generators (20 Hz, pulse length: 5 ns) was used to achieve a pulsed laser excitation at an appropriate wavelength for each dye molecule, with the energy at the sample approximately 10 mJ per pulse. An Oxford Instruments CF9350 flow cryostat was used to cool the sample using liquid helium and an Oxford Instruments ITC 503 temperature controller was employed to control the temperature (50 K). TR-EPR spectra were simulated

using the EasySpin toolbox<sup>34</sup> in MATLAB<sup>TM</sup> to extract ZFS parameters and sublevel populations. Note that the sign of the ZFS parameters cannot be derived from the TR-EPR spectra and typically the modulus is given. However, here the sign of *D* was determined by DFT calculations and used in the simulations, whereas *E* was assumed to be positive in all cases. An isotropic *g* value equal to the free electron *g* value ( $g_x = g_y = g_z = 2.0023$ ) was used in all simulations.

### 2.2.4 Time-resolved singlet oxygen phosphorescence detection.

The <sup>1</sup>O<sub>2</sub> studies were carried out in the air-equilibrated solid phase and solution phase (deuterated methanol, Sigma-Aldrich). A thermoelectrically cooled photomultiplier (H10330-45, Hamamatsu Photonic Ltd) was used for the detection of <sup>1</sup>O<sub>2</sub> at 1270 nm (<sup>1</sup>O<sub>2</sub> quantum efficiency: 3%, rise time: 0.5 ns). A range of lenses from the cuvette, in addition to a long-pass and a band-pass filter centred at 1270 nm (Infrared Engineering Ltd) on the cuvette holder, were used to detect the <sup>1</sup>O<sub>2</sub> phosphorescence signal. A Nd:YAG laser (Lumanova, GmbH), operating at 532 nm (repetition rate: 3 kHz, pulse length: 2 ns)<sup>35,36</sup> was used to irradiate either the dye-incorporated silicone or dye solution samples that were placed in a 1 cm square quartz cuvette. A PC-mounted multiscaler board (model MSA-300, Becker-Hickl, Germany) with a pre-amplifier (Becker-Hickl) was used as the photon counting system and provided a resolution of 5 nm per channel. Each measurement consisted of 100 000 laser pulses and was analysed using the curve fitting App in MATLAB<sup>TM</sup>.

We note that a rigorous approach has been developed to take into account the spectral overlap between the photosensitiser characteristic absorption spectrum and the emission profile of the light source employed.<sup>37</sup> This is particularly relevant for: steady state studies; comparisons between different experimental set ups; and the determination of absolute triplet quantum yields when light sources with complex emission profiles are used (*e.g.* fluorescent with light). However, here we focus solely on relative quantum yields as we aim to pinpoint the effect of the encapsulation into a polymeric matrix on singlet oxygen production.

## 3 Results and discussion

Numerous studies have examined <sup>1</sup>O<sub>2</sub> generation by photosensitisers.<sup>43–46</sup> However, to date there has been scant investigation of the triplet states responsible for <sup>1</sup>O<sub>2</sub> generation in PDT. In the following, the likelihood of whether the triplets of the five dyes considered will undergo a Type I or Type II mechanism is assessed using DFT, and their triplet states, which are directly responsible for the generation of reactive oxygen species, are detected by TR-EPR. This combination of experimental (optical and magnetic spectroscopies) and theoretical studies is then examined to establish trends in the resultant <sup>1</sup>O<sub>2</sub> yields (TR-NIR) of dye embedded polymers. The impact of these findings on the design of new antimicrobial materials is addressed and discussed in relation to the spatial distribution of the dyes within the polymer.

### 3.1 DFT studies

A theoretical analysis of the five dyes was conducted to identify structure–property trends and analyse their initial suitability as



photosensitisers. For that, we assessed their electronic structure, lowest singlet and triplet energy levels, electron-donating and accepting ability and ZFS in the lowest triplet state.

The most significant differentiating feature in terms of molecular structure across the dyes is the rigid, planar geometry of TBO, AO and MB, as opposed to the rotatable phenyl groups of CV and MG. Two opposing effects determine the arrangement of the aryl rings in a propeller-like structure: minimising steric repulsion and maximising  $\pi$  conjugation. CV is predicted to have a ground state belonging to the  $D_3$  point group, with the three subunits at an angle  $\delta = 32.5^\circ$  (compared to  $\delta = 28.0^\circ$  in the crystal structure).<sup>47</sup> In the case of MG, the ring lacking the nitrogen group is positioned at  $\delta = 43.5^\circ$  to the central plane, whereas the other two rings with a nitrogen group substituent are at  $\delta = 29.1^\circ$  from the plane, and thus more strongly conjugated.

The structures, the frontier molecular orbitals (HOMO and LUMO), and the spin density distribution of the lowest triplet state of the five dye molecules are depicted in Fig. 2. The three planar molecules have similar electronic density distributions. Despite the offset in dihedral angle between the aryl substituents, the  $\pi$  systems of the triarylmethane dyes are highly conjugated and show similar distribution to the planar dyes. Because of the

$D_3$  symmetry, CV has two degenerate HOMOs belonging to the E irreducible representation, whereas the LUMO belongs to the  $A_1$  irreducible representation with delocalisation over all three aryl units.

We assessed the accuracy of TD-DFT calculations for these cationic dyes (see ESI†). The experimental trends are recovered, with an absolute deviation of around 0.25 eV with respect to excitation energies measured in solution. This deviation of TD-DFT calculations for cationic dyes in solution has been described in the literature.<sup>48</sup>

The distribution of spin densities is similar across all dyes (Fig. 2). The three planar dyes have almost identical frontier orbital distributions, and in the case of MG only the rings bearing dimethylamino groups participate in the  $\pi$  overlap. Whereas two degenerate E triplet states exist in the case of CV, relaxing their geometries breaks the symmetry as one of the dimethylaminophenyl groups moves away from planarity ( $\delta = 47^\circ$ ) thus lowering its contribution to the  $\pi$  system. The co-planarity is increased for the other two rings ( $\delta = 25^\circ$ ), which affords a geometry similar to that of the relaxed MG triplet, and thus a spatial spin distribution similar to the other dyes considered here.

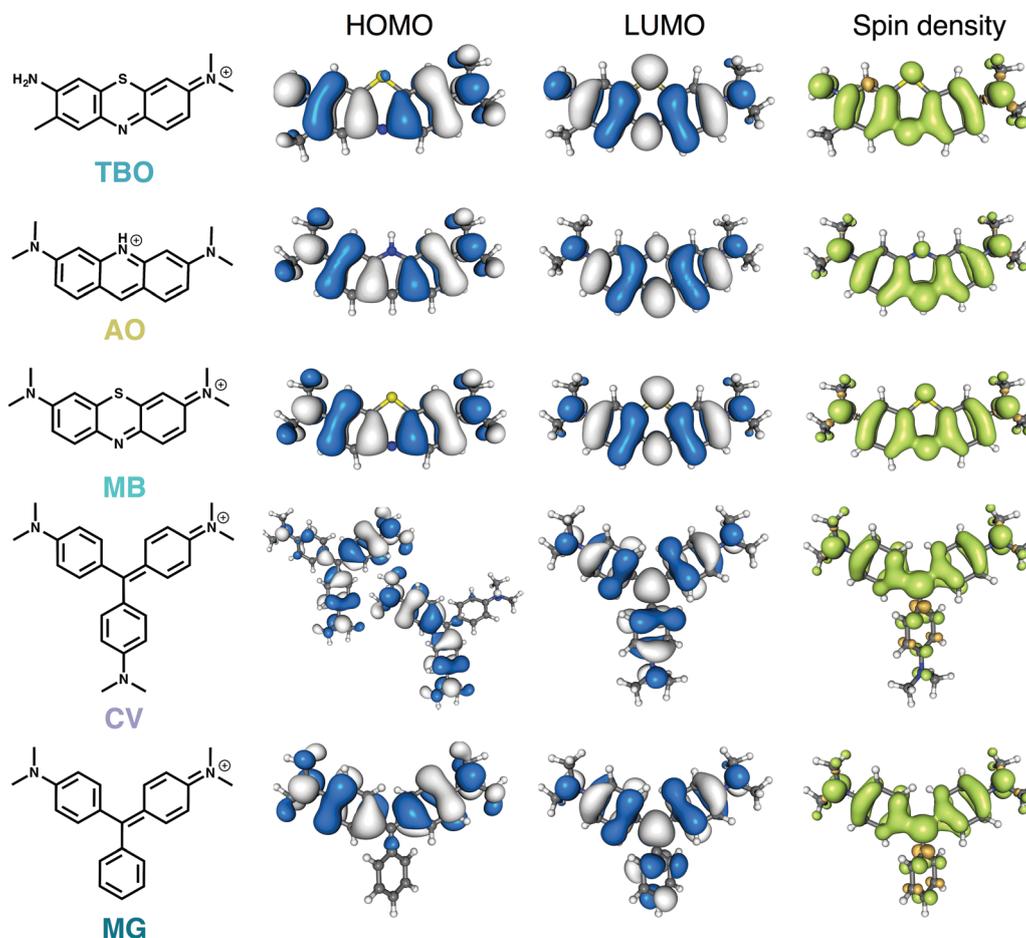


Fig. 2 Orbital electronic densities (contour at 0.02 au) for the ground state and spin densities (contour at 0.002 au) for the first triplet state of MB, TBO, AO, CV and MG at the CAM-B3LYP/cc-pVDZ level of theory.



Table 2 Triplet excitation energies (eV)

|     | Theoretical   |               |                   |               |               |                        | Experimental                              |
|-----|---------------|---------------|-------------------|---------------|---------------|------------------------|---|
|     | B3LYP cc-pVDZ | B3LYP cc-pVTZ | CAM-B3LYP cc-pVDZ | X3LYP cc-pVDZ | M062X cc-pVDZ | $\omega$ B97XD cc-pVDZ |   |
| TBO | 1.34          | 1.35          | 1.32              | 1.34          | 1.44          | 1.32                   | N/A                                       |
| AO  | 1.93          | 1.96          | 2.13              | 1.94          | 2.21          | 2.17                   | 2.08 <sup>38,39</sup> –2.13 <sup>40</sup> |
| MB  | 1.28          | 1.28          | 1.28              | 1.28          | 1.39          | 1.28                   | 1.39 <sup>41</sup> –1.50 <sup>40</sup>    |
| CV  | 1.67          | 1.70          | 1.70              | 1.68          | 1.86          | 1.84                   | 1.69 <sup>42</sup>                        |
| MG  | 1.45          | 1.48          | 1.58              | 1.46          | 1.66          | 1.62                   | N/A                                       |

Triplet energies were estimated using TD-DFT and UDFT calculations (Table 2) in implicit solvent and span from around 1.30 (TBO and MB) to 2.17 (AO) eV. Comparison with available phosphorescence spectra in the literature suggests that UDFT performs better, with average deviations around 0.1 eV. Since the lowest singlet state of molecular oxygen lies at approximately 0.98 eV, the Type II mechanism is energetically available for all five dyes. Note that, the lowest triplet excited state of AO (*ca.* 2.2 eV) lies above both the first and second singlet states of molecular oxygen (0.98 and 1.63 eV respectively), and hence AO has an additional energy channel to transfer the excitation. Overall, the energies of both the lowest singlet and triplet states of the triarylmethane dyes are amongst those of the planar compounds.

In order to analyse the potential competing role of the Type I versus the Type II mechanism, we have computed the electron affinity and ionisation potential of the dyes in their triplet state (Table 3, see ESI† for values referenced to the ground state). The results are in keeping with experimental results, MB has a standard reduction potential of +0.011 V, compared with –0.357 V for CV and their B3LYP/cc-pVDZ aqueous ground state electron affinities are 3.79 eV and 3.29 eV respectively.<sup>49</sup> As occurs with the singlet and triplet excitation energies, both triarylmethane dyes lie within the range spanned by the planar dyes both as excited-state electron donors and acceptors.

ZFS were computed for the five dyes, and are presented in Table 4. For each molecule, the sign of *D* was independent of the functional and the basis set. For the phenothiazine dyes, the theoretical *D* values were approximately 30% smaller than the experimental values. This deviation is similar to that found for excited triplets with low *D* values, such as chlorophyll *a*.<sup>33</sup> This underestimation has been attributed to the lack of medium-range correlation effects in the DFT calculations. Structurally similar molecules such as anthracene, are known to have a

Table 3 Ionisation potential and electron affinity of the T<sub>1</sub> state. B3LYP/cc-pVDZ

|     | Energy (eV)          |      |                   |      |
|-----|----------------------|------|-------------------|------|
|     | Ionisation potential |      | Electron affinity |      |
|     | GAS                  | PCM  | GAS               | PCM  |
| TBO | 8.70                 | 4.45 | 6.47              | 5.13 |
| AO  | 7.83                 | 3.61 | 6.17              | 4.89 |
| MB  | 8.58                 | 4.45 | 6.36              | 5.07 |
| CV  | 7.37                 | 3.76 | 5.98              | 4.95 |
| MG  | 7.93                 | 4.07 | 6.22              | 5.00 |

Table 4 Calculated zero field splittings at several levels of theory

| Method | <i>D</i> (MHz) |         |       |         |       | Experimental <sup>a</sup> |
|--------|----------------|---------|-------|---------|-------|---------------------------|
|        | B3LYP          |         | COSMO |         | PBE   |                           |
|        | TZVP           | IGLO-II | B3LYP | IGLO-II |       |                           |
| TBO    | –1325          | –1296   | –1186 | –1353   | –1319 | 1790                      |
| AO     | –1301          | –1267   | –1205 | –1364   | –1325 | 1800                      |
| MB     | –1295          | –1269   | –1151 | –1322   | –1291 | 1740                      |
| CV     | 808            | 796     | 991   | 767     | 756   | 1650                      |
| MG     | –1138          | –1117   | –1014 | –1117   | –1097 | —                         |

<sup>a</sup> This work. Note that the sign of *D* cannot be determined directly from the TR-EPR experiments.

positive *D* values, whereas rod-like molecules, such as carotenoids, have negative *D* values.<sup>50</sup> The negative *D* value predicted for the phenothiazine dyes implies that their principal zero-field axis is rotated from being perpendicular to the molecular plane, to parallel. This is probably due to the presence of the heteroatoms and associated lone pairs. MG is also predicted to have a negative *D*, which we can understand in so far as the non-substituted phenyl ring plays little role in the  $\pi$  system, as it is rotated by 43° away from the central methenium plane. On the other hand, CV is predicted to have a far smaller and positive *D* value. Presumably, this difference is due to the equivalence of the three rings and the larger delocalisation over them.

**3.1.1 Assessment of the calculations.** Our calculations capture many experimental parameters quantitatively and, in all cases, the experimentally observed trends within the five dyes studied. For the properties considered, the triarylmethane compounds lie within the range spanned by the phenothiazine dyes and no factors disqualified any of the dyes as an oxygen sensitiser: their T<sub>1</sub> states are high enough in energy to undergo Type II mechanisms, and they are unlikely to undergo one-electron redox processes.

## 3.2 Spectroscopic characterisation

**3.2.1 UV-Vis spectroscopy.** As shown in Fig. 3(c), the UV-Vis absorbance spectra of the five dye incorporated silicone polymers were measured within the range 400–750 nm and all showed strong absorption in this region. Apart from a small shift in peak positions, the absorbance of the dyes encapsulated in the polymers was comparable to that in solution.

**3.2.2 TR-EPR spectroscopy.** The paramagnetic triplet states of the phenothiazine (AO, MB, TBO) and triarylmethane (CV, MG) dyes encapsulated in silicone were detected using TR-EPR spectroscopy at 50 K. Note that apart from MG, which gave a



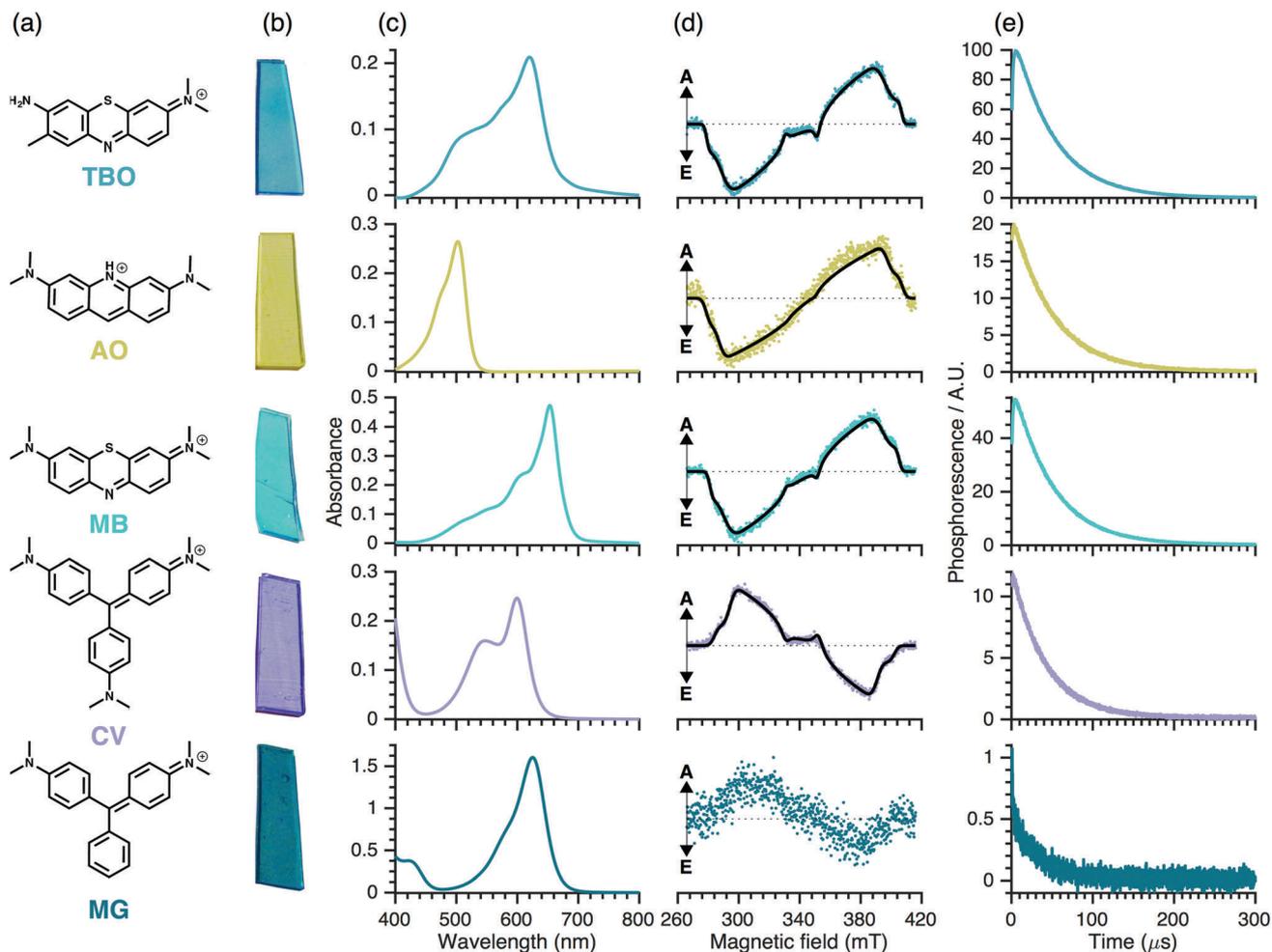


Fig. 3 (a) Molecular structure and (b) photographs of photosensitiser dye molecules encapsulated within medical grade silicone. Characterisation of their photochemical properties using: (c) UV-Vis absorbance spectroscopy, (d) TR-EPR spectroscopy and (e) TR-NIR spectroscopy. In (d), the simulated TR-EPR spectra are superimposed onto the experimental data (black line) and A and E stand for absorption and emission, respectively.

negligible signal, the other samples gave respectable signals which were stable over the course of the measurements, demonstrating their photostability. The TR-EPR measurements allow for the determination of the ZFS parameters of the dye triplet state and the values of non-equilibrium populations of the triplet sublevels. The ZFS parameters –  $D$  and  $E$  – describe the magnetic dipolar interaction between the two unpaired electrons. These parameters depend on the conformation of the dye and are directly related to the singly occupied molecular orbitals which, in turn, correlate to the electron density on the excited state. The ZFS parameter  $D$  is a measure of the dipolar spin-spin interaction and it is proportional to the inverse third power of the average distance between the unpaired triplet electrons. Therefore it provides an estimate of the extent of the electron delocalisation in the excited state molecule. The ZFS parameter  $E$  depends on the deviation of the spin-spin interaction from axial symmetry and it is related to molecular shape and symmetry. Non-equilibrium populations of the spin sublevels characterise the photophysical path leading to the triplet state formation which, in the present case, is ISC from the excited singlet state.

The TR-EPR spectra (Fig. 3(d)) are typical of spin-polarised (*i.e.* non-Boltzmann populated) triplet states of aromatic molecules. Although they differ in detail and intensity, the spectra are similar within each dye group, implying that chemical variability has only minor influence on the electronic structure of the triplet states. The spectra were simulated to obtain the ZFS parameters,  $|D|$  and  $|E|$ , and the relative populations of the three triplet sublevels,  $p_x:p_y:p_z$  (see Table 5). Note that we have excluded MG from the simulations due the weak intensity of the TR-EPR spectrum.

The triplet states of the planar phenothiazine dyes and propeller-like CV have similar ZFS parameters (see Table 5) despite differences in the molecular shapes; this suggests that in the case of CV, the spin density is mostly localised over two of the three rings (see DFT section). However, the relative sublevel populations give inverse polarisation patterns of the type EEAEAA for the phenothiazine dyes compared to AAEEAE for CV (see Table 5). This inversion can be rationalised in two alternative ways: either the change in the molecular geometry – planar as opposed to propeller-like – influences the sign of the



**Table 5** Relative populations and ZFS parameters derived from simulation of the TR-EPR spectra. Note that the sign of  $D$  is derived from theory

|     | Relative populations [ $p_x:p_y:p_z$ ] | ZFS parameters $D$ , $ E $ (MHz) |
|-----|--|----------------------------------|
| TBO | 0.81:0.19:0                            | -1790, 400                       |
| AO  | 0.68:0.32:0                            | -1800, 430                       |
| MB  | 0.76:0.24:0                            | -1738, 390                       |
| CV  | 0:0.46:0.54                            | +1650, 350                       |

ZFS parameters, or it changes the population rates without affecting the sign of ZFS parameters. In light of the DFT calculations, we conclude that the sign of  $D$  is indeed reversed between the two groups.

Inspection of Fig. 3(d) indicates that the quality (signal-to-noise ratio, S/N) of the TR-EPR spectra is somewhat worse for AO and substantially worse for MG. This may be due to either lower triplet yield (*i.e.* ISC efficiency) or a more isotropic ISC, leading to a less polarised spin system. However, the similar relative triplet sub-level populations for the two classes of dyes implies that the relative rates of ISC are similar. Hence, the worse S/N observed for AO in comparison with TBO and MB is likely to stem from reduced ISC efficiency, which could be due to reduced spin-orbit coupling due to the lack of the sulphur atom. Similar considerations could hold for MG, which within the triarylmethane dye group, lacks an amine substituent and displays worse S/N and hence has lower triplet yield than CV and can hardly be detected experimentally.

**3.2.3 Singlet oxygen measurements.** As demonstrated by TR-EPR spectroscopy, with the exception of MG, laser excitation results in the promotion of the silicone-encapsulated dye molecules to an excited triplet state in reasonable yield. To measure the relative efficiencies of the Type II reaction, TR-NIR spectroscopy was used to monitor  $^1\text{O}_2$  phosphorescence at 1270 nm following pulsed laser excitation at 532 nm. As depicted in Fig. 3(e) all samples, again with the exception of MG, gave reasonable yields of  $^1\text{O}_2$ . Given that the UV-Vis absorbance signal of the MG sample, depicted in Fig. 3(c), was at least 3 times greater than that observed for the other dyes investigated, a range of samples of varying MG concentration were measured. Similar results were obtained, confirming that the negligible  $^1\text{O}_2$  yield observed for MG was not a concentration effect, potentially attributable to aggregation of the encapsulated dye (data not shown). Analysis of the data using a model for air-equilibrated samples<sup>25</sup> allows for the kinetics and  $^1\text{O}_2$  production to be determined.

**Quantum yield.** Using the integrated signal of the TR-NIR- $^1\text{O}_2$  phosphorescence, in combination with the UV-Vis absorbance data, the relative  $^1\text{O}_2$  quantum yields were calculated using eqn (1):

$$I = \kappa \times P \times \Phi_{\Delta} \times (1 - 10^{-A}) \quad (1)$$

where  $I$  is the time-integrated  $^1\text{O}_2$  phosphorescence peak intensity,  $\kappa$  and  $P$  are constants related to the efficiency of light collection and detection, and laser power respectively and have been equated to '1',  $\Phi_{\Delta}$  is the  $^1\text{O}_2$  quantum yield and  $A$  is the UV-Vis absorbance at 532 nm. The calculated  $\Phi_{\Delta}$  indicates that

**Table 6** TRNIR- $^1\text{O}_2$  phosphorescence analysis (1270 nm)

|     | TRNIR- $^1\text{O}_2$ phosphorescence signal analysis |                            |                            |
|-----|---|----------------------------|----------------------------|
|     | $\tau_1$ ( $\mu\text{s}$ )                            | $\tau_2$ ( $\mu\text{s}$ ) | $\Phi_{\Delta}$ normalised |
| TBO | 48.23 $\pm$ 0.03                                      | 1.46 $\pm$ 0.01            | 1.00                       |
| AO  | 49.59 $\pm$ 0.08                                      | 0.62 $\pm$ 0.01            | 0.85                       |
| MB  | 49.62 $\pm$ 0.08                                      | 1.40 $\pm$ 0.01            | 0.56                       |
| CV  | 40.00 $\pm$ 0.12                                      | 0.78 $\pm$ 0.01            | 0.10                       |
| MG  | —   | —                          | 0.01                       |

TBO, generates the greatest number of  $^1\text{O}_2$  molecules per photon absorbed. The calculated  $\Phi_{\Delta}$  were normalised against this value and, as reported in Table 6, the general trend follows:

$$\Phi_{\Delta\text{TBO}} > \Phi_{\Delta\text{AO}} > \Phi_{\Delta\text{MB}} > \Phi_{\Delta\text{CV}} > \Phi_{\Delta\text{MG}}$$

To determine the effects of immobilisation of the dyes on  $^1\text{O}_2$  generation, TR-NIR measurements were performed on dye solutions. These showed a differing trend in  $^1\text{O}_2$  quantum yields compared to polymer-encapsulated dyes. The  $^1\text{O}_2$  generation by AO encapsulated in a polymer was markedly better than in solution. This behaviour may be due either to more efficient deactivation pathways (*e.g.* vibrational relaxation and collisional quenching) or to intermolecular interactions ( $\pi$ -stacking and/or dimerisation) that hinder ISC efficiency and subsequently lead to reduced  $^1\text{O}_2$  quantum yields in solution.

The poor performance of MB with respect to TBO encapsulated in polymers may be due to comparatively higher concentrations and thus, a dimerisation effect. Indeed, Baptista *et al.* have summarised the mechanisms for ROS generation following MB photoexcitation:<sup>51</sup>  $^1\text{O}_2$  arises from the Type 2 energy transfer mechanism between the excited triplet state of the MB monomer and molecular oxygen. MB dimers may also be photoexcited with visible light since they exhibit peak absorption near 590 nm, however the excited MB dimers are too short-lived to enable significant interaction with oxygen to generate any  $^1\text{O}_2$ . In the present study, a 532 nm excitation source was used: both dimers and monomers absorb at this wavelength. If dimers are present in the polymer the relative singlet oxygen generation efficiency will be reduced accordingly. However, the results presented in this work are relevant for potential antimicrobial applications as they suggest further materials optimisation should be undertaken to reduce the presence of dimers within the polymer to enhance  $^1\text{O}_2$  generation.

The higher AO  $^1\text{O}_2$  quantum yield in the polymer is also in contrast to the poor S/N observed in TR-EPR. It may be that this is due to a better energy coupling with molecular oxygen, resulting in efficient  $^1\text{O}_2$  production despite triplet state yields that are poorer than MB. As mentioned in the DFT section, the energy of the AO triplet state is high enough also to couple with the second singlet state of molecular oxygen, which may account for the increased yield.

A similar effect is observed for the triarylmethane dye, CV, which shows negligible  $^1\text{O}_2$  production in low viscosity solvents where the group can rotate freely, but is markedly improved when encapsulated in the polymer. This can be understood in that rotor molecules such as MG and CV can form twisted



intramolecular charge transfer (TICT) states in solution, rather than undergoing ISC to the triplet state,<sup>52</sup> resulting in negligible observed <sup>1</sup>O<sub>2</sub> yields. Thus, it appears that when encapsulated in the polymer, formation of TICT states are suppressed in CV (but not in MG), resulting in higher triplet yield and comparatively enhanced <sup>1</sup>O<sub>2</sub> production.

**Kinetics.** The TR-NIR response can be fitted with two components with lifetimes  $\tau_1$  and  $\tau_2$ .  $\tau_1$  corresponds to collisional quenching of <sup>1</sup>O<sub>2</sub> with other species such as the polymer matrix ( $\tau_{\Delta}$ ).  $\tau_2$ , corresponds to the formation of <sup>1</sup>O<sub>2</sub> by energy transfer from the triplet state of the dye ( $\tau_T$ ). In silicone, the calculated lifetimes,  $\tau_1$  and  $\tau_2$  are similar for the three phenothiazine dyes, whereas  $\tau_1$  is 20% shorter for CV. This possibly reflects a different spatial distribution: CV (and MB) are predominantly surface bound and hence more exposed to quenching by molecular oxygen, whereas the other dyes are encapsulated within the polymer bulk.

Overall, the TR-EPR and TR-NIR measurements indicate that polymer-encapsulated phenothiazine dyes AO, TBO and MB are all potential light-activated antimicrobial agents, as they yield efficient triplet-state production and <sup>1</sup>O<sub>2</sub> generation. However, the <sup>1</sup>O<sub>2</sub> diffusion distance of the ROS is very short (<1 micron).<sup>11,14</sup> Since the incorporation strategy for phenothiazine dyes results in a uniform dispersion through the polymer bulk, the relative dye concentration and ROS generation at the surface is low. In contrast, CV has a high surface dye concentration<sup>14</sup> and – despite its lower triplet yield – is surprisingly effective as a ROS generator. In healthcare environments, bacteria colonise surfaces and therefore, a material that generates ROS at the surface–bacteria interface is key for enhancing kills. Location of the photosensitiser in these photo-active polymers is important, however, it is necessary to consider scalability for their widespread use in healthcare applications. Although when TBO and MB are surface immobilised<sup>9</sup> (Table 1) their antimicrobial efficacies greatly surpass that of CV, their surface immobilisation is both costly and non-trivial, requiring lengthy and challenging functionalisation steps.

## 4 Conclusion

Using a simple encapsulation strategy, we incorporated five commercially available organic photosensitiser dyes into medical grade silicone. DFT predictions, that the triplet states of these dyes molecules should be <sup>1</sup>O<sub>2</sub> photosensitisers, were confirmed spectroscopically. The DFT calculations shed light onto the underlying photophysical mechanism by showing that Type II reactions are more favourable than Type I. Moreover, there was no indication of a radical signal appearing in the EPR spectra following prolonged irradiation. This not only demonstrates the photostability of the dyes, but also suggests that Type II processes are predominant over Type I. Furthermore, the experiments correlated with the DFT predictions and with the exception of MG, all dyes showed a Type II mechanism. The experiments also indicated that the photoactivity of rotor dyes such as CV can be enhanced by immobilisation in polymer.

However, and more crucially, a high concentration of dye should be localised at the surface of the polymer so that the <sup>1</sup>O<sub>2</sub> produced may be utilized. These critical aspects should be considered when designing new photobactericidal polymers. One strategy to achieve this would be to optimise the swell-encapsulation procedure so that good <sup>1</sup>O<sub>2</sub> generators, such as MB and TBO, are preferentially located at the surface. Alternatively, covalently linking the dye onto the polymer surface would also provide a high local concentration, but this approach is both challenging and not easily scalable for industrial production.

Optimisation by the current empirical approach is not effective as a long-term strategy and achieving a mechanistic understanding is critical to underpin future advances in the development of photobactericidal surfaces. We suggest that the combination of theory and experiment presented here will facilitate the rapid design of more effective light-activated antimicrobial materials.

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