

# **Ultra Scale-down Tools to Accelerate Process Development**

**Guijun Ma and Yuhong Zhou  
Department of Biochemical Engineering  
University College London**

- Significance of ultra scale-down (USD) technology
- Ultra Scale-down device for crossflow filtration process
- Modelling system resistance
- Predict large scale flux and TMP relationship
- Conclusions

- Significance of ultra scale-down (USD) technology
- Ultra Scale-down device for crossflow filtration process
- Modelling system resistance
- Predict large scale flux and TMP relationship
- Conclusions

# The Challenge for Pharmaceuticals



- Medicines are becoming more complex
- Healthcare markets are becoming more segmented
- Governments are working to contain healthcare costs
- Greater emphasis on speed to market and improved bioprocesses

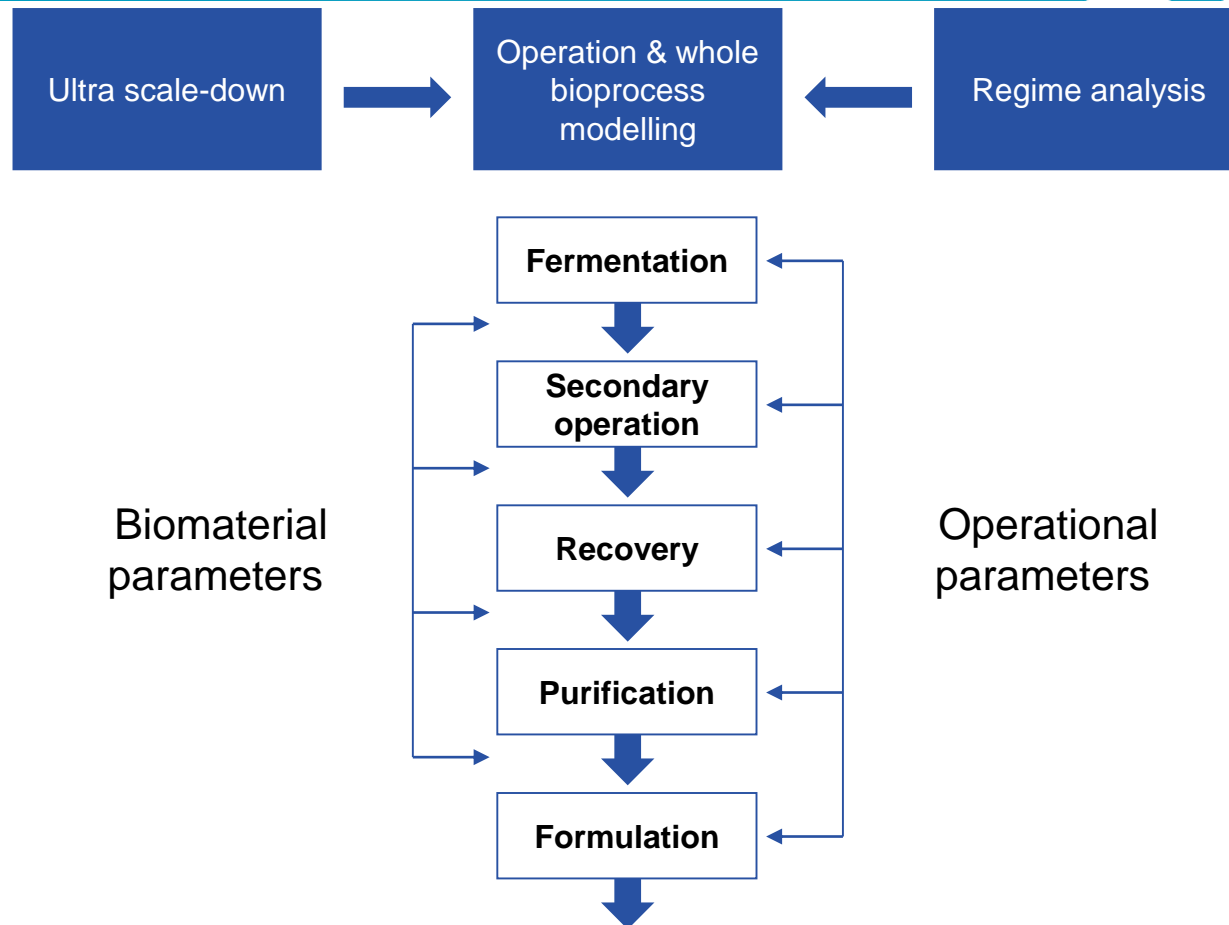
# What Is Ultra Scale-down (USD)?



- The ability to research at a small scale and provide new insights into how a bioprocessing material is impacted by the process engineering environment
- The ability to inform on how to scale up and provide material representative of full scale

- Test cell and product candidates for “manufacturability”, early and at low cost
- Address novel bioprocessing solutions, early and at low cost
- Resolve large-scale manufacturing challenges, early and at low cost
- Help meet regulatory challenges
- Move with speed to

# New Engineering Tools to Accelerate Bioprocess Development



1. Specify operations and whole bioprocess
2. Identify regimes where changes in process stream properties may occur
3. Use ultra scale-down to mimic such regimes and test impact on process stream

# Achievements in Ultra-scale Down Technology

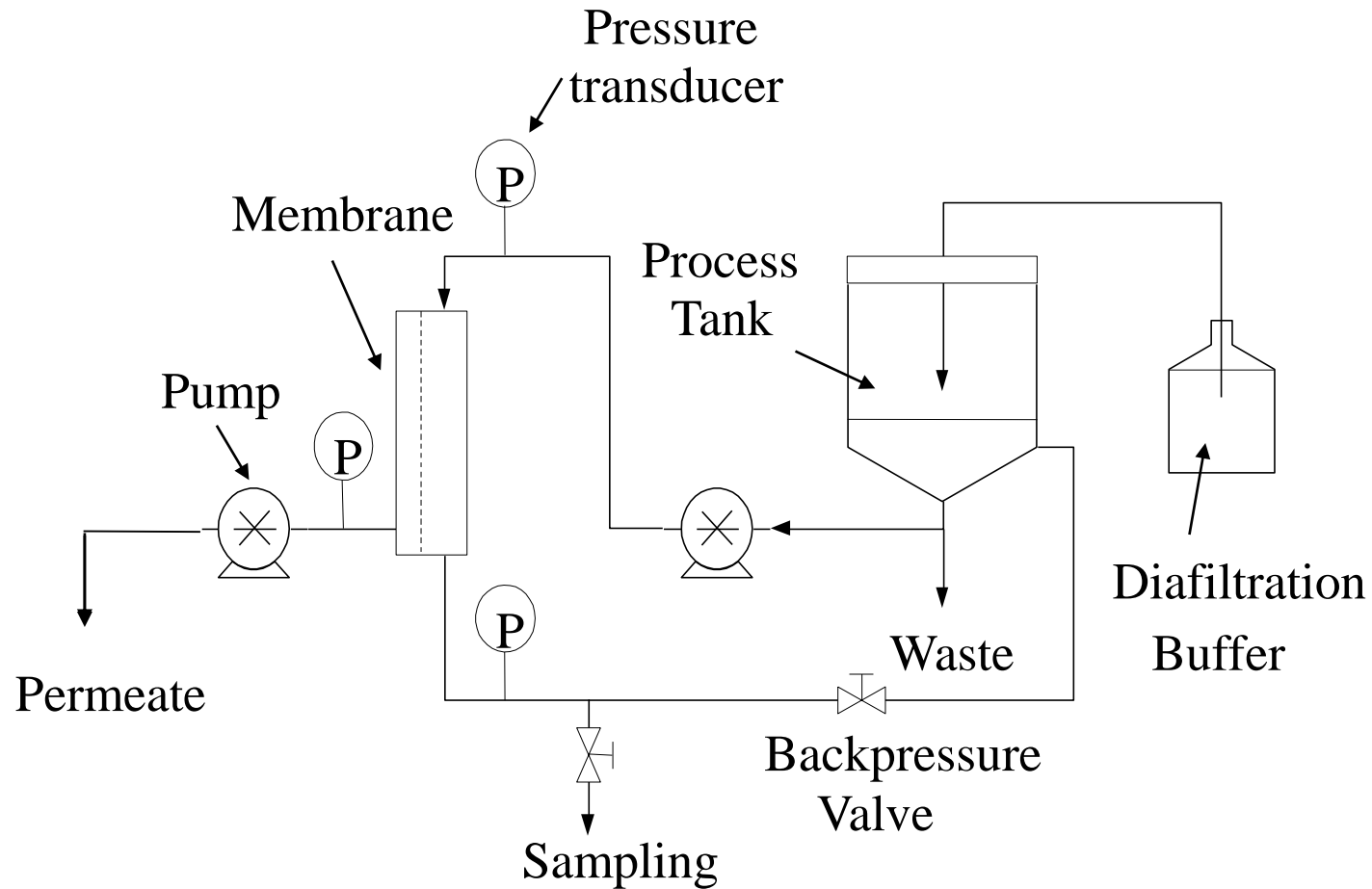


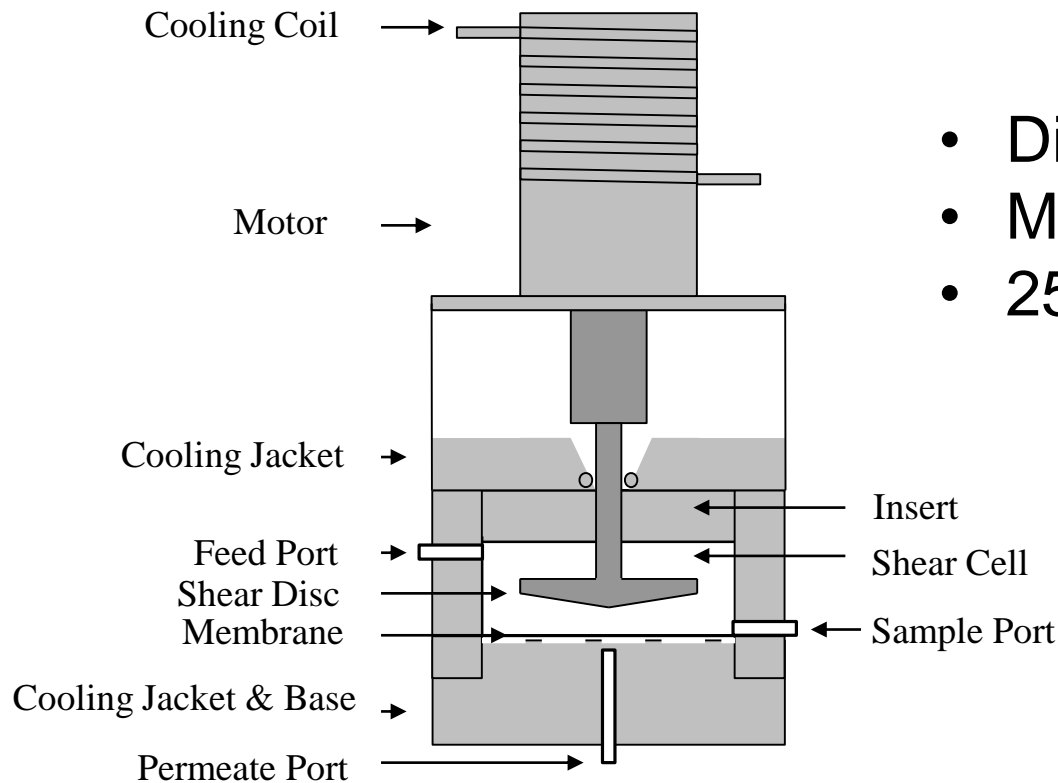
1. Fermentation
2. Centrifugation
3. Dead-end filtration
4. Depth filtration
5. Chromatography
6. Cross-flow filtration



- Significance of ultra scale-down (USD) technology
- **Ultra Scale-down device for crossflow filtration process**
- Modelling system resistance
- Predict large scale flux and TMP relationship
- Conclusions

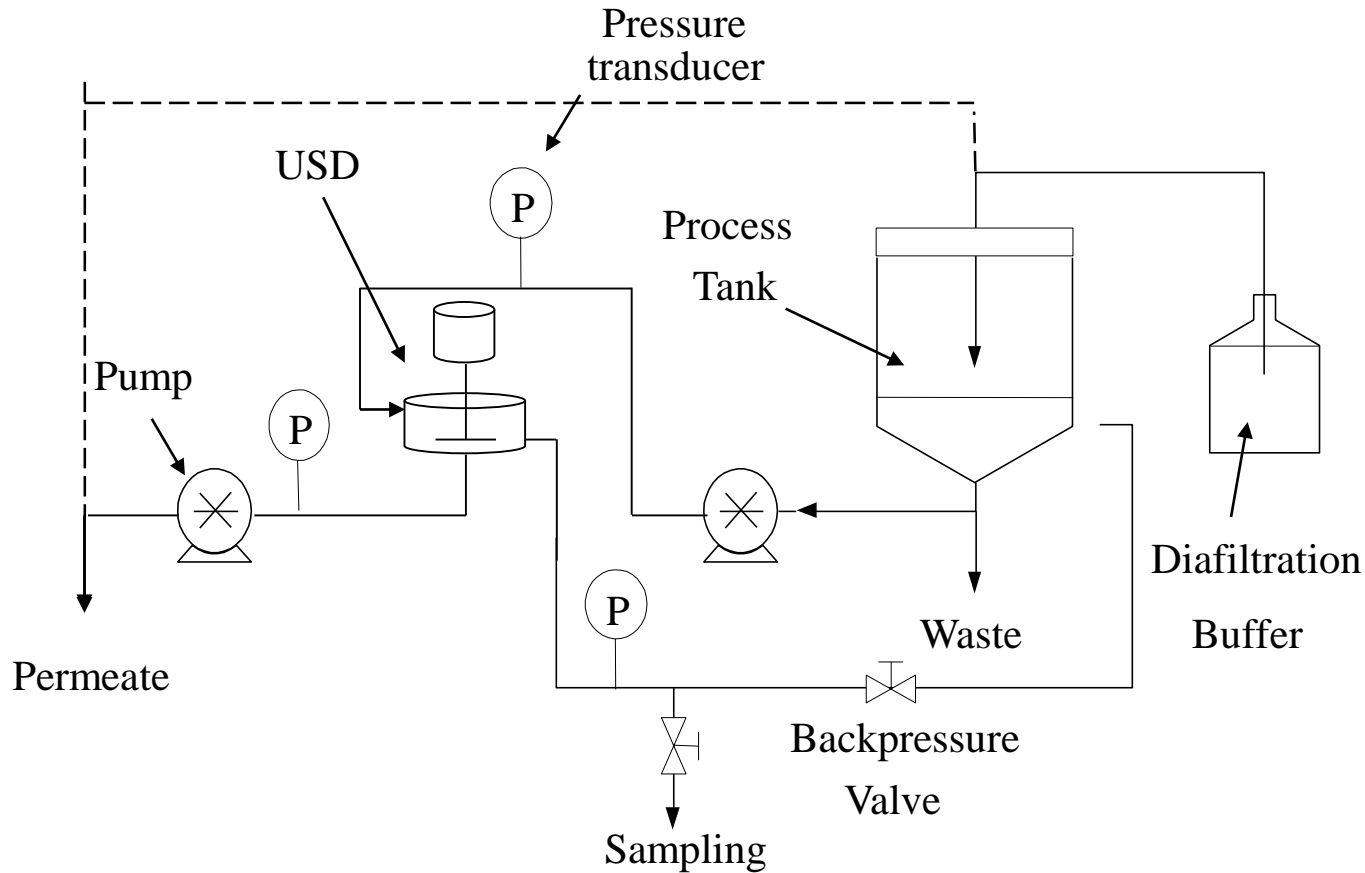
# Cross-flow Filtration



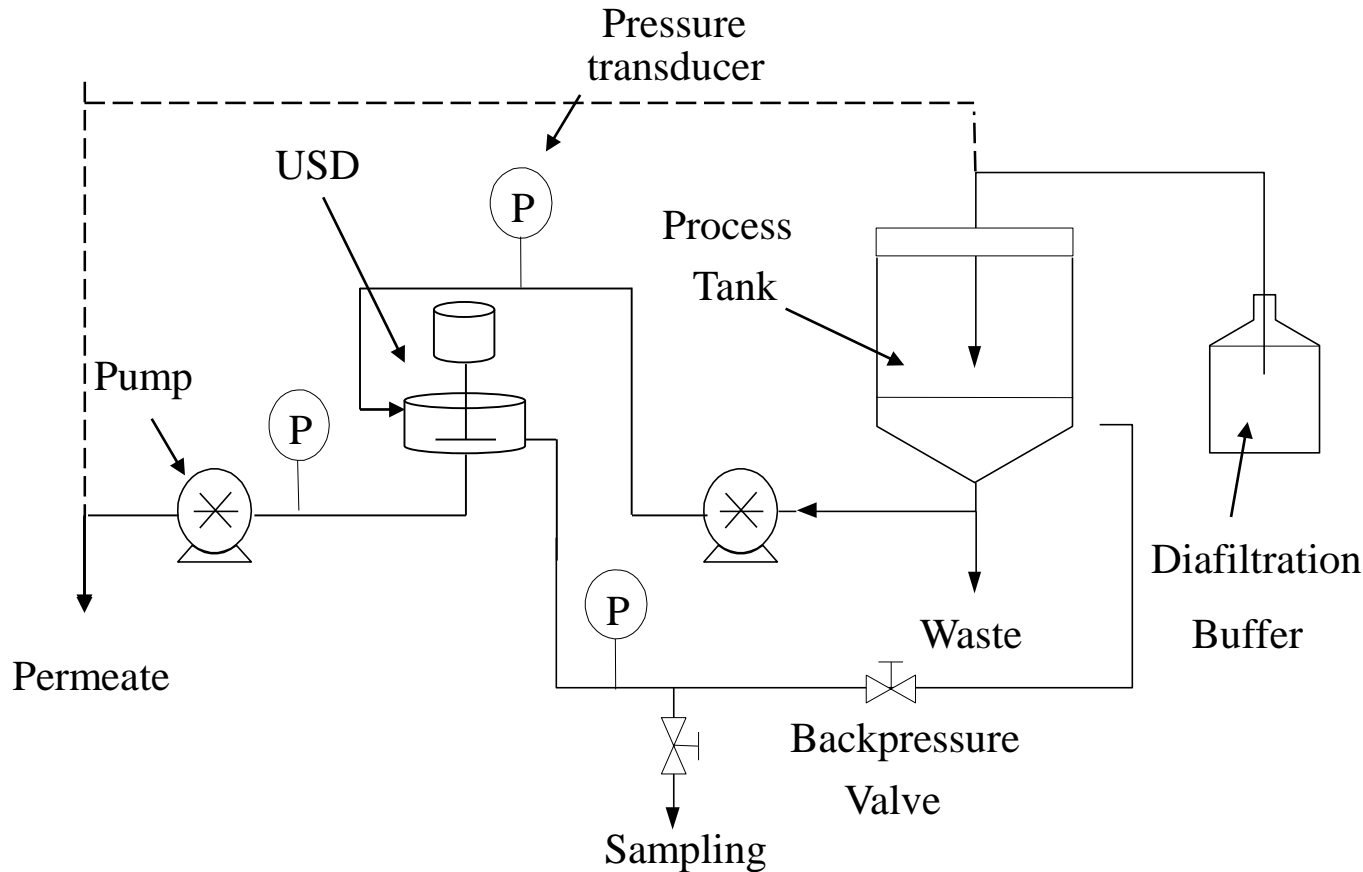


- Diameter = 21 mm
- Membrane area =  $3.64 \text{ cm}^2$
- 25 ml holding volume

# Cross-flow filtration with USD

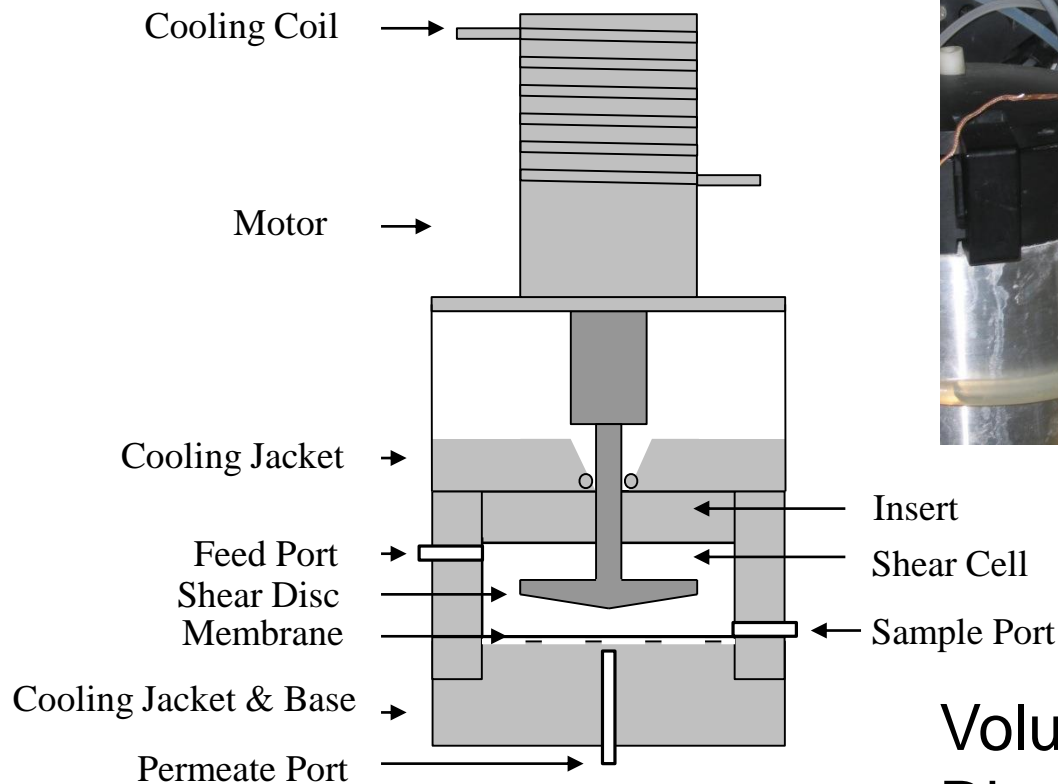


# Microfiltration with USD



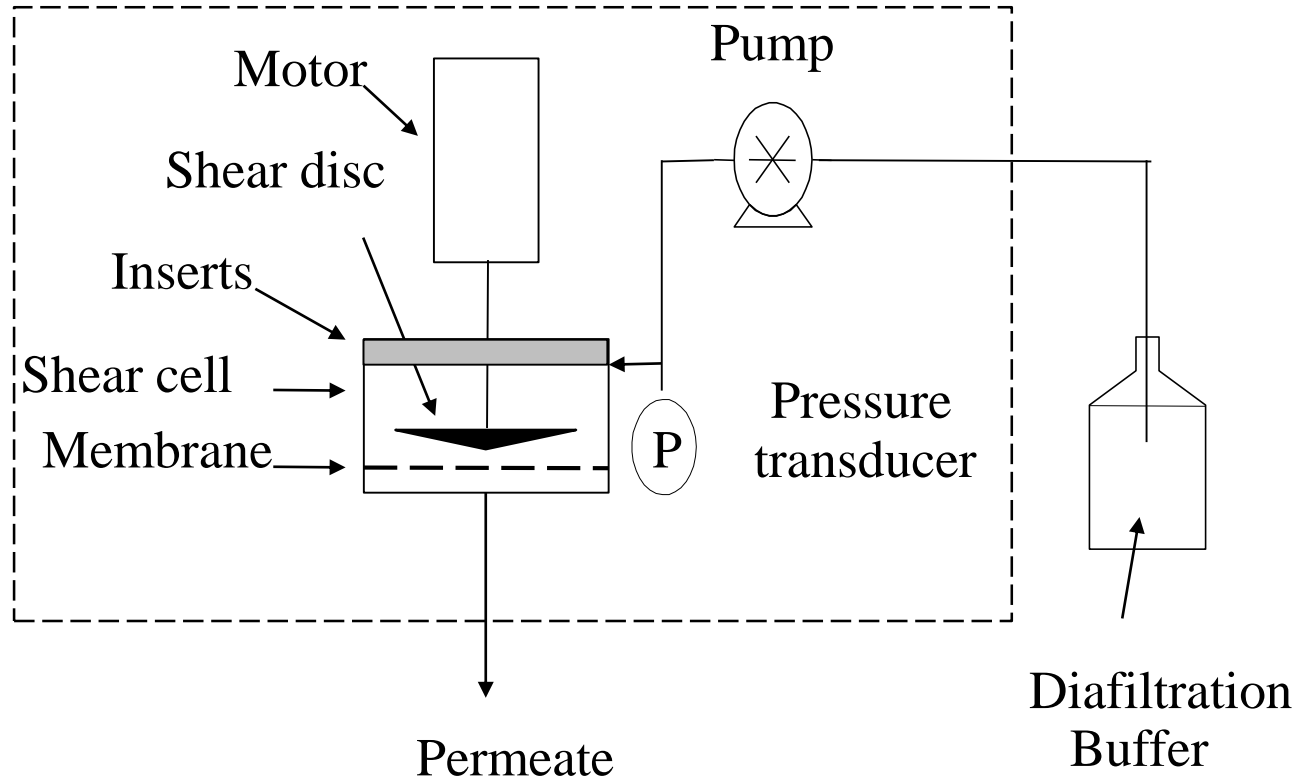
- Noise on pressure
- Volume and membrane area ratio is too high
- Unable to mimic transmission

# USD Device

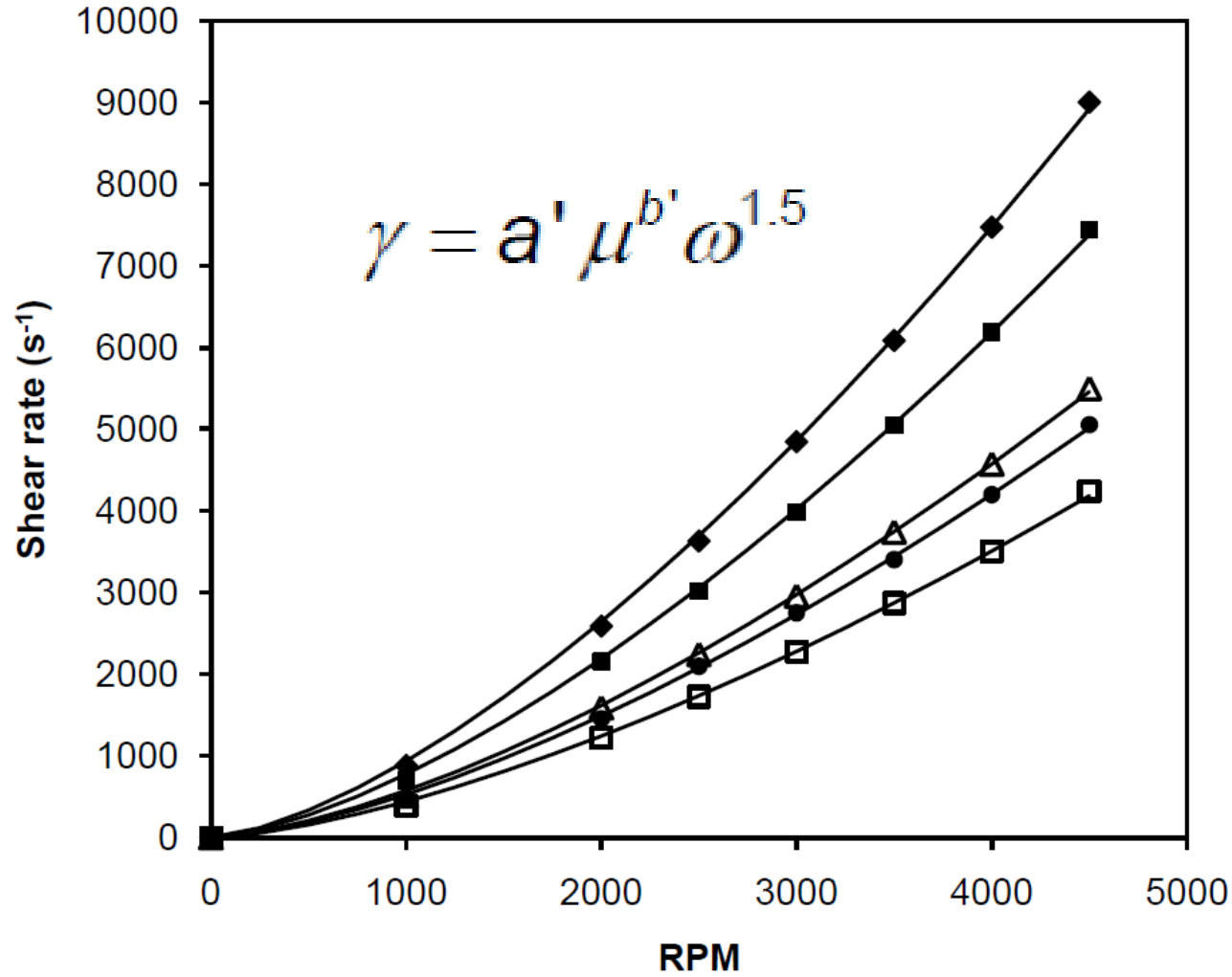


Volume = 1.5-3 ml  
Diameter = 21 mm  
Membrane area = 3.64 cm<sup>2</sup>

# Dead-end Method



# Shear Rate





## Feed material

- Fab expressed E coli; 450 L fermentation, harvested by a CSA-1 disc-stack centrifuge
- Periplasmic extraction buffer of 100 mM tris-base and 10 mM disodium EDTA dissolved in deionized water, pH of 7.4, T of 40 °C used to lyse the cells, then heat at 60 °C for 3 hours
- Cell concentration is 47 g DCW/L

## Diafiltration buffer

- 90 mM sodium chloride

## Small pilot plant experiment

- a Proflux<sup>®</sup> M12 rig
- a 1,000 kDa polyethersulfone membrane, 0.1 m<sup>2</sup>
- BIOMAX cassette, turbulence screens (V-screen)

## USD experiment

- USD device
- a 1,000 kDa polyethersulfone membrane, 3.46 cm<sup>2</sup>

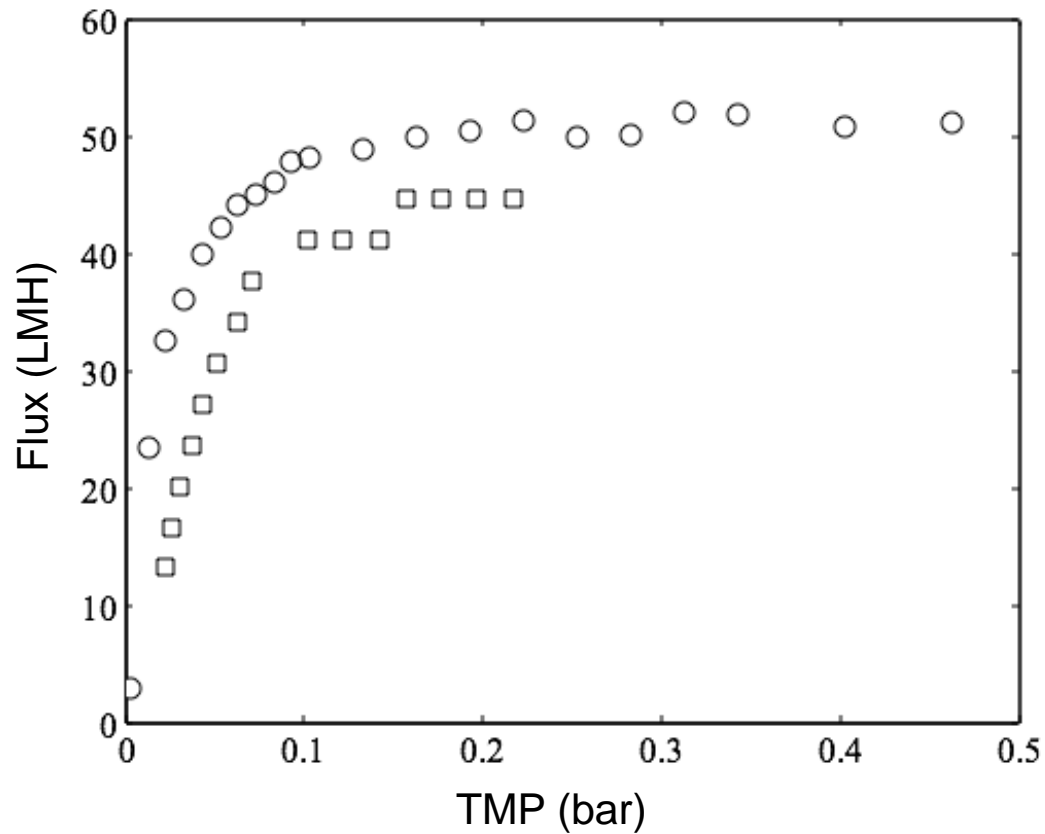
## Experimental method

- Constant flux operation for both USD and small pilot scale experiments

## Process aim

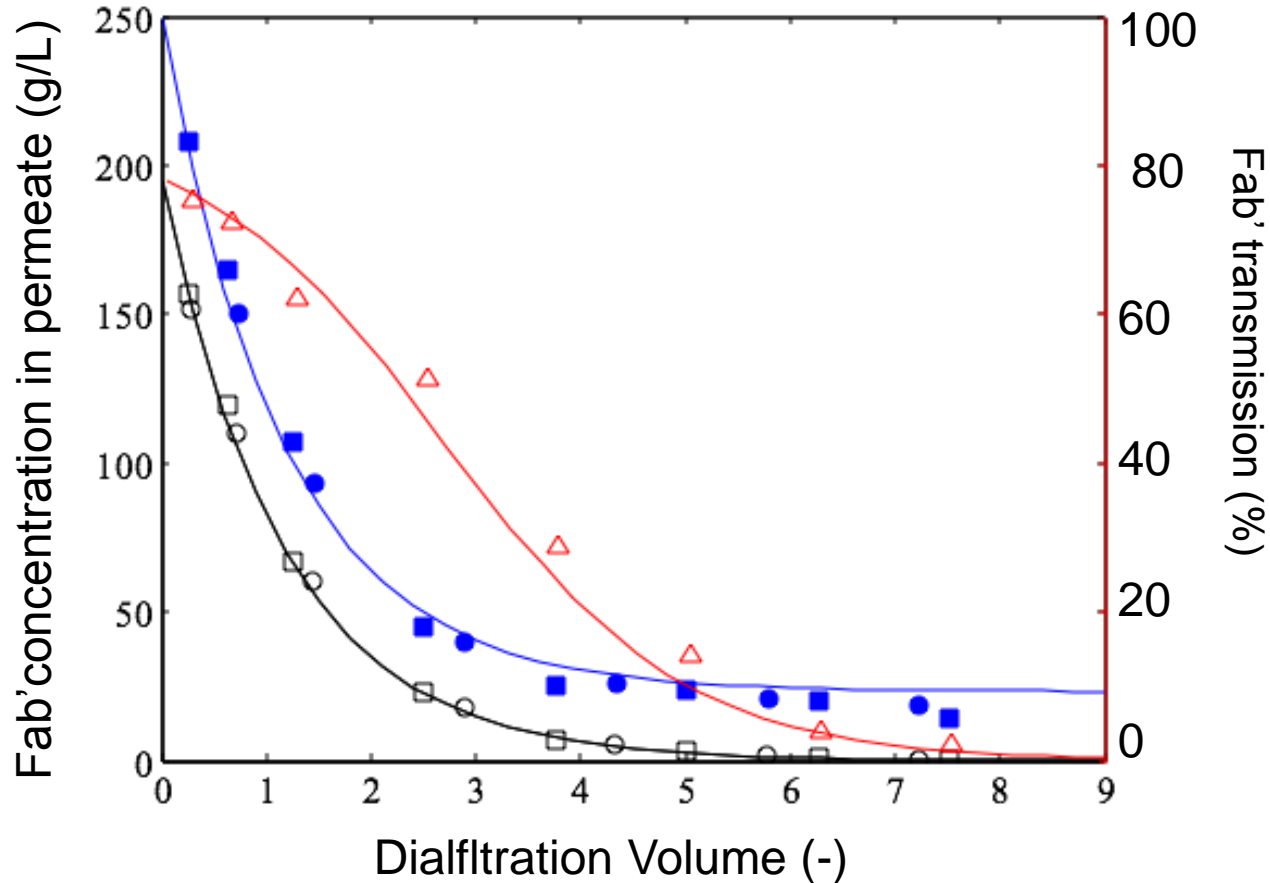
- Recover Fab from lysate
- Efficient flux and TMP

# Large Scale Verification



(□) Large scale experiment and (○) USD experiment

# Large Scale Verification



Fab' concentration in permeate □ lab-scale; ○ USD.

Fab' concentration in retentate (■) lab-scale; (●) USD.

Fab' transmission (red line) and (Δ) experimental results.

- Different system configuration of USD and large scale such as screened flow paths in large scale equipment
- System resistance - includes the membrane resistance, the cassette resistance and the resistance of the tubing in the 3 measurement points of pressures
- $J = \frac{TMP}{\mu R}$ ,  $TMP=0$  when  $J=0$ . However  $TMP$  is not equal to zero at large scale.

- Significance of ultra scale-down (USD) technology
- Ultra Scale-down device for crossflow filtration process
- **Modelling system resistance**
- Predict large scale flux and TMP relationship
- Conclusions

$$\text{TMP} = \frac{P_i + P_o}{2} - P_p$$

$$\text{TMP} = \Delta P_A - \frac{\Delta P_C}{2}$$

$\Delta P_A = P_i - P_p$ , Applied pressure drop

$\Delta P_C = P_i - P_o$ , Channel pressure drop



# Modelling TMP When Flux is Zero



Water

$$\text{TMP} = GQ^m$$

$$G = C_{Aw} - \frac{C_w}{2}$$

Lysate

$$\text{TMP} = G'Q^m$$

$$G' = C_{Aw} \frac{\mu_m}{\mu_w} - \frac{C_w}{2} \left( \frac{\mu_m}{\mu_w} \right)^n$$

$$R_s = \frac{\text{TMP}}{\mu J} \quad \text{When } G = 0$$

$$R_s = R_A - \frac{R_C}{2} \quad \text{When } G \leq 0$$

Water test at large scale

$$\Delta P_A = C_A(Q - JA)^m + \mu R_A J$$

$$\Delta P_C = C(Q - JA)^m + \mu R_C J$$

$$\text{TMP} = \Delta P_A - \frac{\Delta P_C}{2} = G(Q - JA)^m + \mu R_s J$$

# Modelling System Resistance



Water

Lysate

$$R_S = R_A - \frac{R_C}{2}$$

$$R_A = R_P + R_M$$

$R_F$ : membrane resistance

$R_P$ : permeate system resistance

$$\Delta P_A = C_A(Q - JA)^m + \mu R_A J$$



$$\Delta P_{Am} = C_A \frac{\mu_m}{\mu_w} (Q - JA)^m + (\mu_m R_F + \mu_P R_P) J$$

$$\Delta P_C = C(Q - JA)^m + \mu R_C J$$



$$\Delta P_{Cm} = C_w \left( \frac{\mu_m}{\mu_w} \right)^n (Q - JA)^m + \mu_P R_C J$$

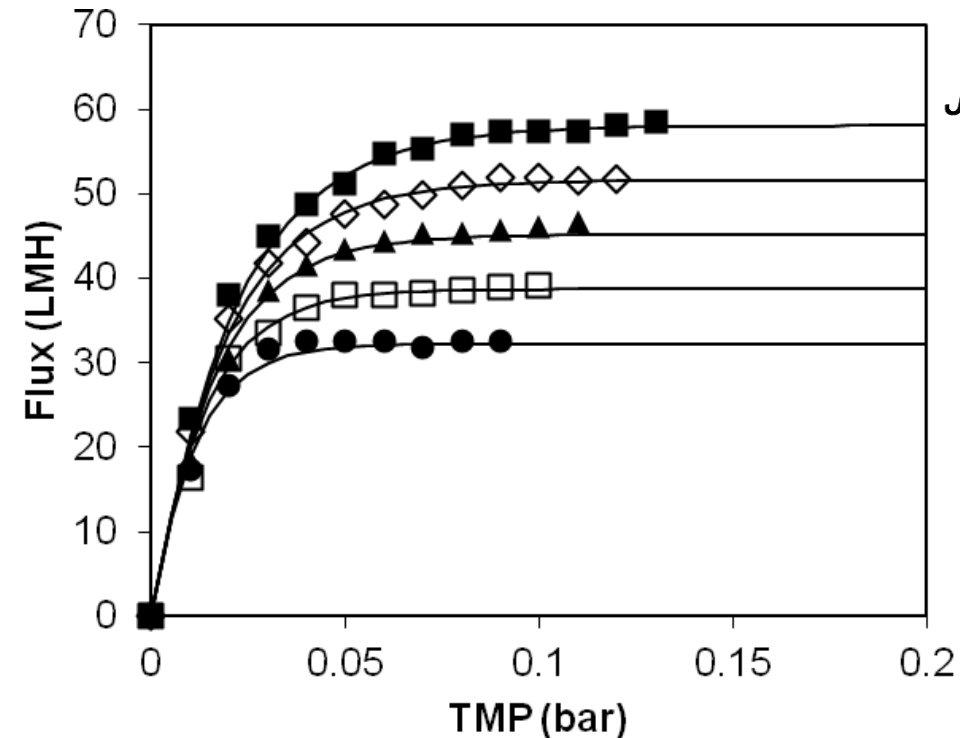
$$MP = \Delta P_A - \frac{\Delta P_C}{2} = G(Q - JA)^m + \mu R_S J \longrightarrow TMP = G'(Q - JA)^m + (\mu_m R_F + \mu_P (R_S - R_M)) J$$

- Significance of ultra scale-down (USD) technology
- Ultra Scale-down device for crossflow filtration process
- Modelling system resistance
- **Predict large scale flux and TMP relationship**
- Conclusions

# Predict Large Scale Flux and TMP Relationship



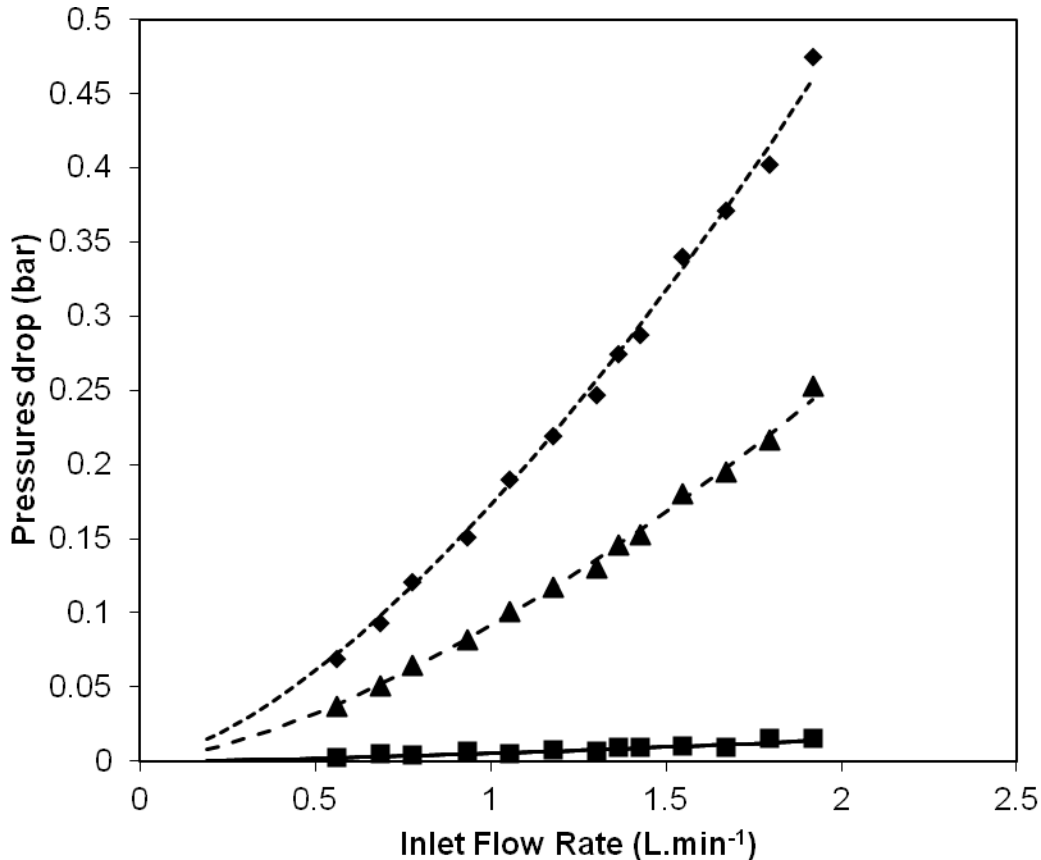
- USD modelling
- Water test at large scale when flux is zero to estimate flow parameters
- Water test at large scale to estimate system resistance
- Establish the new model between TMP and flux
- Use the model to predict flux and TMP relationship



$$J = (0.01\gamma + 15.4)(1 - \exp(2.256 \times 10^4 \gamma^{-0.74} \text{TMP}))$$

*Flux and TMP relationship determined with USD by using 90 mM NaCl solution at shear rates of 1,760 s<sup>-1</sup> (●), 2,320 s<sup>-1</sup> (□), 2,920 s<sup>-1</sup> (▲), 3,570 s<sup>-1</sup> (◇), and 4,260 s<sup>-1</sup> (■). Solid line is the flux prediction by equation.*

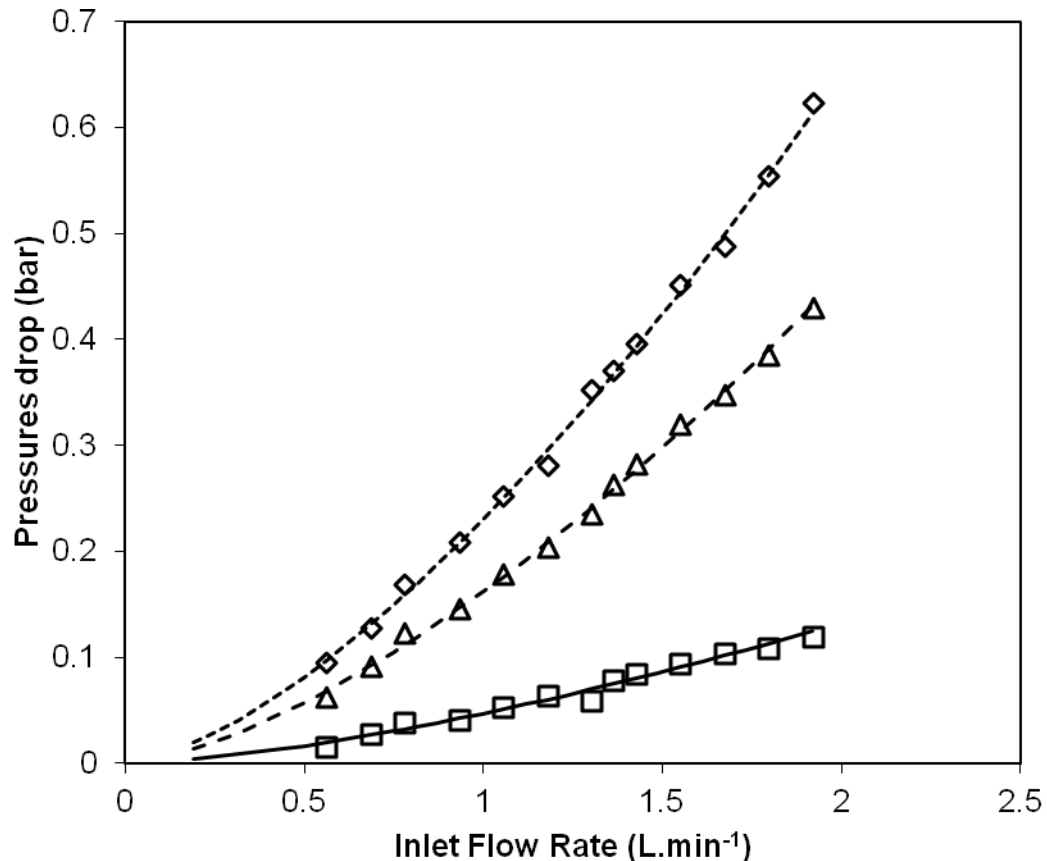
# Water Test at Large Scale When Flux is Zero



$$\text{TMP} = GQ^m = 0.006Q^{1.5}$$

The effect of inlet flow rate during water test on channel pressure drop (◆), applied pressure drop (▲), and TMP (■).

# Lysate Test at Large Scale When Flux is Zero

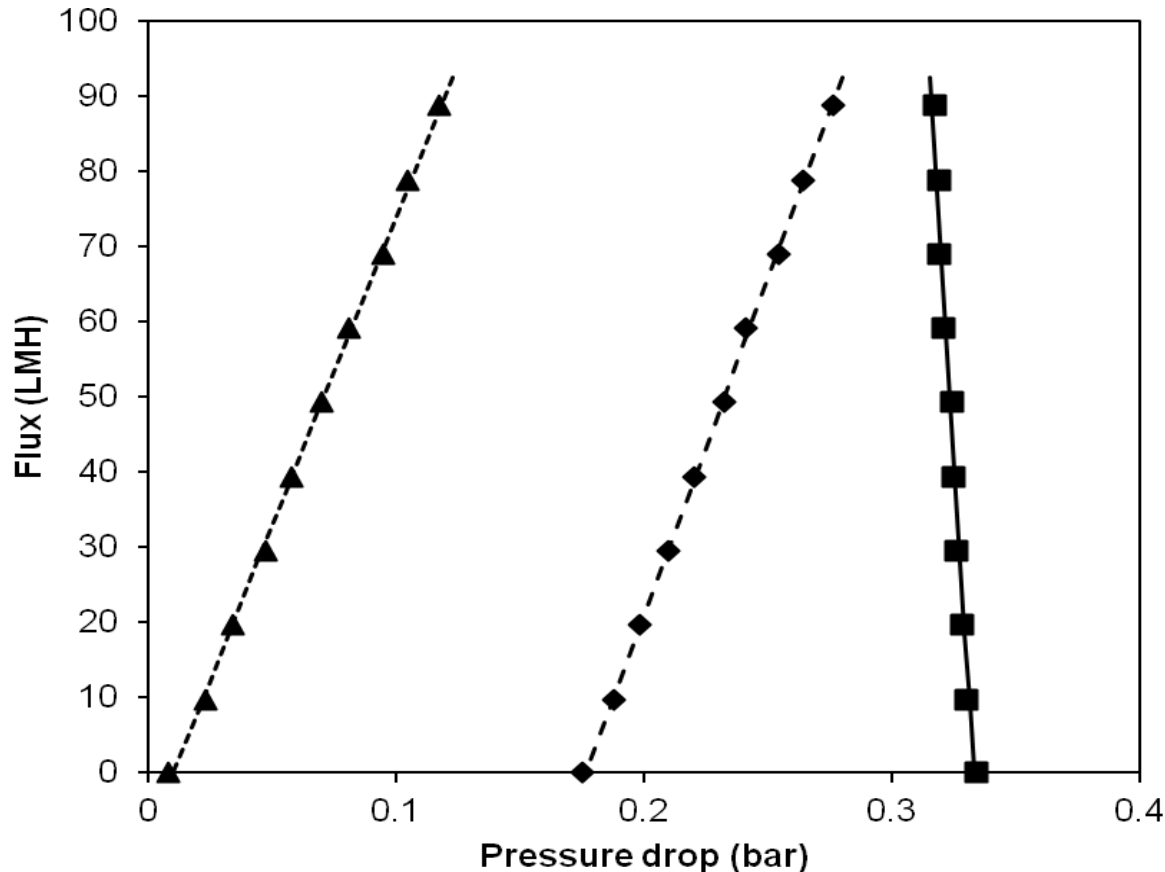


$$\text{TMP} = G'Q^m = 0.049Q^{1.5}$$

The effect of inlet flow rate on pressure drops for *E. coli* lysate test. The lysate test results were channel pressure drop (◇), applied pressure drop (Δ), and TMP (□). The viscosity of lysate was  $1.78 \times 10^{-3}$  Pa.s.



# Water Test at Large Scale Flux and TMP Relationship



$$R_C = 1.20 \times 10^{11} \text{ m}^{-1}.$$

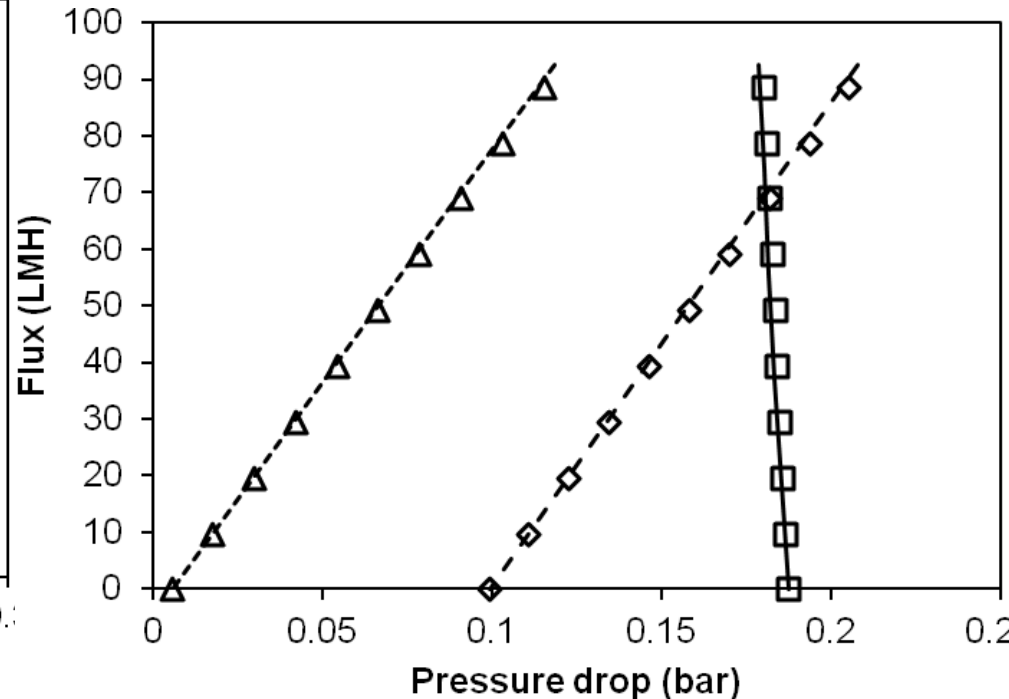
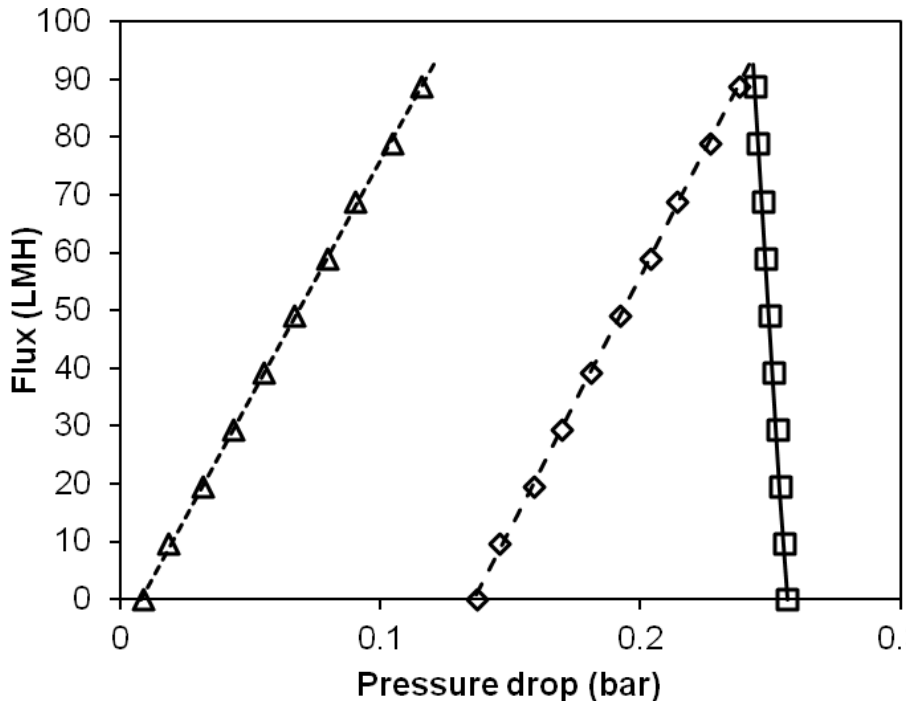
$$R_A = 5.03 \times 10^{11} \text{ m}^{-1}.$$

$$R_S = 4.43 \times 10^{11} \text{ m}^{-1}.$$

The relationships between flux and pressure drops for water flux test at an inlet flow rate of  $1.55 \text{ L}\cdot\text{min}^{-1}$ : channel pressure drop (■), applied pressure drop (◆), and TMP (▲).

# Validation of Water Flux and TMP Relationship

$$\text{TMP} = \Delta P_A - \frac{\Delta P_C}{2} = G(Q - JA)^m + \mu R_S J$$

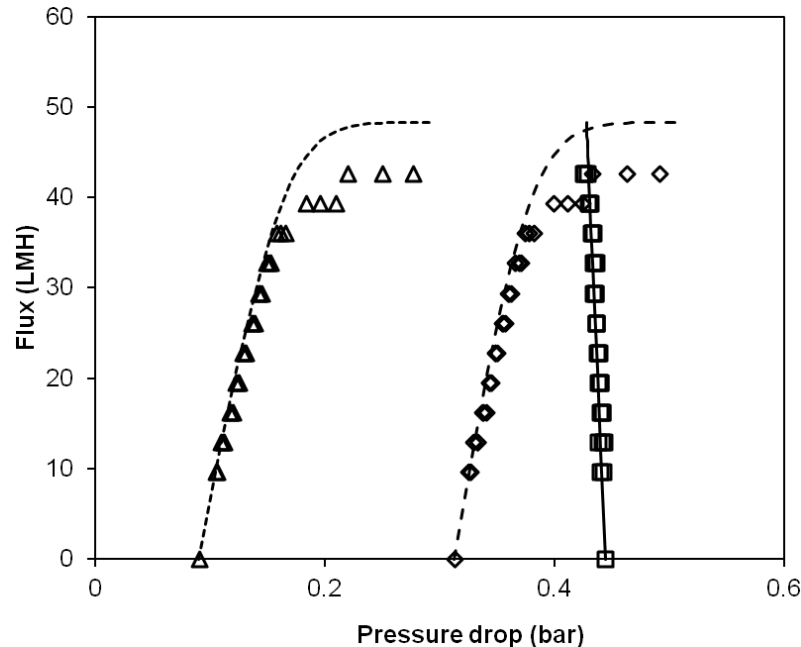


The relationships between flux and pressure drops for water flux test at an inlet flow rate of  $1.30 \text{ L}\cdot\text{min}^{-1}$  (A) and at an inlet flow rate of  $1.06 \text{ L}\cdot\text{min}^{-1}$  (B).

# Predict large Scale Flux and TMP Relationship for Lysate

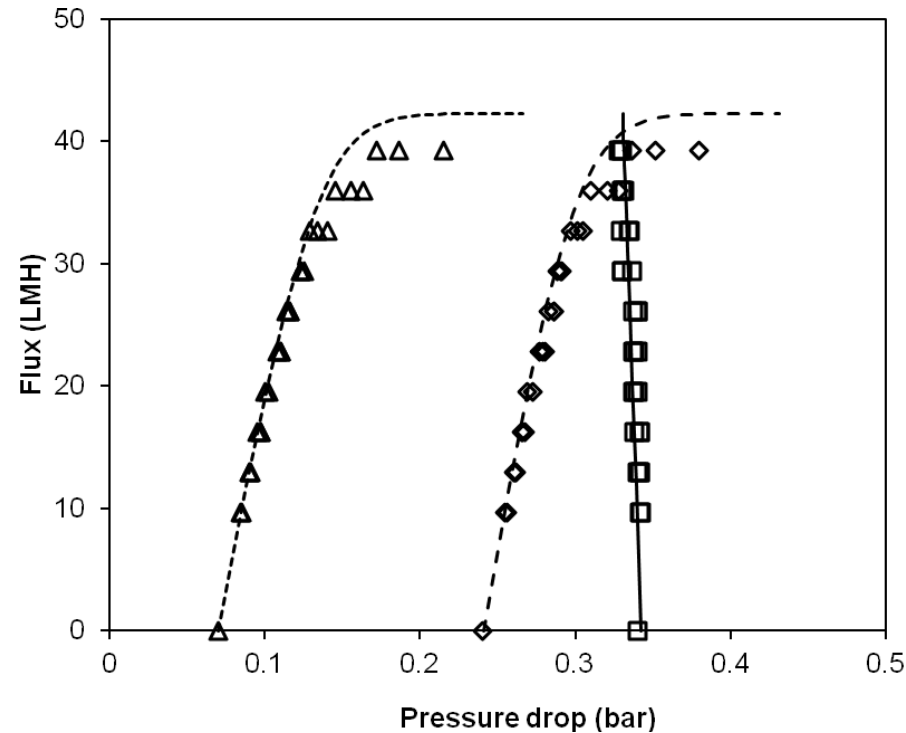


$$TMP = 0.049(Q - 1.67 \times 10^{-3}J)^{1.5} + \frac{\text{Ln}\left(1 - \frac{J}{\alpha}\right)}{-\beta J} + 1.23 \times 10^{-3}J$$

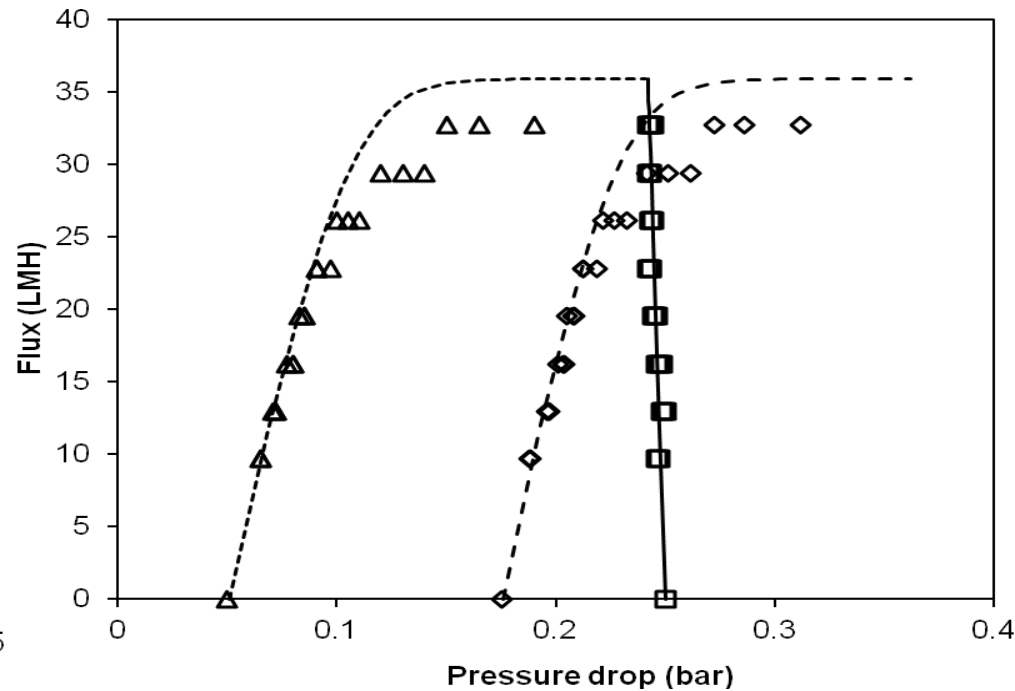


The relationships between flux and pressure drops of critical flux determination for *E. coli* lysate at inlet flow rates of  $1.55 \text{ L}\cdot\text{min}^{-1}$  : channel pressure drop ( $\square$ ), applied pressure drop ( $\diamond$ ), and TMP ( $\Delta$ ). The viscosity of lysate was  $1.78 \times 10^{-3} \text{ Pa}\cdot\text{s}$  and the viscosity of permeate was  $1.09 \times 10^{-3} \text{ Pa}\cdot\text{s}$ .

# Predict large Scale Flux and TMP Relationship for Lysate



Inlet flow rates of  $1.30 \text{ L.min}^{-1}$



Inlet flow rates of  $1.06 \text{ L.min}^{-1}$

# Conclusions



- USD device operated via dead-end mode allows to predict the membrane resistance impacted by fouling
- Water test can be used to calibrate the initial TMP and system resistance
- The combination of USD experiments and water tests establishes the scaling rule
- The benefit of USD membrane device can be realised fully
- USD tool enables the acceleration of bioprocess development, early and at low cost