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Transitional behaviors in well-graded coarse granular soils

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23 **Abstract:** Drained triaxial compression tests were carried out for a well-graded coarse
24 granular soil (CGS) to investigate the effect of the initial specific volume on the location of
25 the critical state line (CSL). A family of parallel CSLs in the $v \sim \log p'$ plane was observed
26 for the well-graded CGS, indicating that it exhibited transitional behavior. The degree of
27 transitional behavior was quantified from the relationship between the intercepts of the CSLs
28 and the initial specific volumes, giving a value of 0.59, which indicated a substantially
29 transitional behavior. The observations of the CSL pattern in the CGS illustrated that
30 transitional behavior could be extended to large-sized granular soils, beyond the usual
31 transitional soils that have been observed so far, which generally have gradings between those
32 of clean sand and plastic clay.

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35 **Keywords:** coarse granular soil; stress-strain relationship; critical state line; specific volume;
36 transitional behavior

37 **Introduction**

38 Clean sands can be described within a framework of critical state similar to that for clays
39 (Been and Jefferies 1985). Their normal compression lines (NCLs) and critical state lines
40 (CSLs) are unique. However, more recent research has identified that many soils exhibit a
41 transitional mode of behavior, which cannot be described within a simple critical state
42 framework. These transitional soils, such as gap-graded soils (Martins et al. 2001; Ferreira
43 and Bica 2006), well-graded silty clay (Nocilla et al. 2006) and well-graded sands (Altuhafi
44 et al. 2010; Altuhafi and Coop 2011), have gradations and modes of behavior between that of
45 clean sand and plastic clay. The distinct feature of transitional behavior is that unique NCLs
46 and CSLs cannot be identified (Martins et al. 2001; Ferreira and Bica 2006; Nocilla et al.
47 2006).

48 Martins et al. (2001) found that the compression curves in oedometer tests of the
49 gap-graded Botucatu residual sandstone did not converge to a unique NCL even though the
50 stress was up to 6 MPa. Ferreira and Bica (2006) then confirmed that convergence to a unique
51 NCL could still not be observed even if the compression was taken to 24MPa, and also that
52 reconstituted samples of the soil did not define a unique CSL. Nocilla et al. (2006) pointed
53 out that transitional behavior was not confined to gap-graded soils as they observed that their
54 well-graded clayey silts also showed transitional behavior with non-unique NCLs and CSLs.
55 For well-graded glacial sediments, Altuhafi et al. (2010) identified that the compression paths
56 did not converge to a unique NCL, but that a unique CSL was observed, suggesting that
57 whatever fabric of the soil that caused the non-convergence during compression could be
58 destroyed during shearing. Shipton and Coop (2012) observed that non-convergent

59 compression behavior tended to exist in soils of mixed grading and mineralogy, while
60 Shipton and Coop (2015) found that the sample preparation method, overconsolidation, stress
61 level and fines plasticity did not affect the transitional mode of a sand with fines, although the
62 fines plasticity could influence the degree of this transitional behavior. Ponzoni et al. (2014)
63 proposed two parameters to quantify the degree of non-uniqueness of the NCLs and CSLs.
64 These soils exhibiting the transitional behavior have gradations between those of clean sands
65 and plastic clays. Whether or not this transitional behavior could be extended to other
66 larger-sized granular soils has not previously been studied.

67 The main objective of the current study was therefore to investigate the transitional
68 behavior of a well-graded coarse granular soil (CGS) through a series of drained triaxial tests,
69 investigating in particular the CSL pattern and in addition quantifying the degree of the
70 transitional behavior through the parameter P defined by Ponzoni et al. (2014). The
71 possible reasons for this transitional behavior are also discussed.

72

73 **Triaxial compression tests**

74 Triaxial compression tests were conducted on a well-graded CGS from the western region of
75 China. The CGS is widely used in rockfill-dams, railways and pavement engineering in China.
76 The main mineralogy of the CGS is sandstone. Fig. 1 shows the grain size distribution (GSD)
77 with a maximum size of 60 mm. The grain shape of the CGS is characterized by the
78 percentage of the flat, elongated and flat-elongated particles according to ASTM (2010),
79 which was found to be 9.5%. The uniformity and curvature coefficients are calculated as 5.53
80 and 1.31. Consequently, the CGS with a fines content of 1.8% is categorized as a well-graded

81 gravel. Fig. 1 shows that the grading deviates greatly from those of the typical silty soils
82 (Nocilla et al. 2006; Ponzoni et al. 2014) which exhibited transitional behavior.

83 The tests were conducted through a large-size conventional triaxial apparatus. The height
84 and cylinder diameter of the specimen were 600 mm and 300 mm, respectively. The values of
85 the initial specific volume v_0 used in the tests were mainly divided into four groups, i.e.,
86 1.187~1.193, 1.242~1.245, 1.283~1.286 and 1.315~1.319. The required initial dry densities
87 ρ_d could be obtained from $\rho_d = G_s \rho_w / v_0$, where $\rho_w = 1 \times 10^3 \text{ kg/m}^3$, and the specific
88 gravity G_s of the CGS is 2.69, giving average values of ρ_d for the four groups of 2.26,
89 2.16, 2.10 and 2.04 kg/m^3 . The required amount of material determined for the desired
90 density was divided into five parts for compaction, each layer being compacted using an
91 automatic vibrator with a 70 Hz frequency, but using different compaction times to obtain
92 different initial specific volumes. The specimens were saturated, obtaining B-values over 0.96.
93 A wide range of confining pressures (0.2~1.6 MPa) was used for each group, and after
94 applying the confining pressure the specimens were sheared under drained conditions with an
95 axial-displacement rate of 1 mm / min. The volume change of the specimens was measured
96 from the volume of the expelled water. The tests were ceased at an axial strain of 15%, which
97 was the maximum in this large-size apparatus, at which the samples showed a slight-bulging
98 failure mode without any obvious shear bands.

99

100 **Extension of stress-dilatancy curves**

101 The critical state line (CSL) in the $q \sim p'$ plane was found to be unique (as shown in Fig. 2),
102 with a critical state stress ratio M_{cs} equal to 1.64. It was observed in Fig. 3 that the stress

103 ratio η ($=q/p'$) and dilatancy d ($=-d\varepsilon_v/d\varepsilon_s$) at the end of test states (i.e., Point A)
104 were very close to the critical state (i.e., the zero-dilatancy line $d=0$). But a small
105 extrapolation to the critical state as suggested by Carrera et al. (2011) was necessary. And
106 Point B in Fig. 3 gives the critical state stress ratio of 1.64.

107

108 **Critical state line**

109 The CSLs of the CGS in the $v \sim \log p'$ plane are shown in Fig. 4. During shearing, the
110 specific volume of the CGS decreased a little then increased for smaller confining pressures
111 (e.g., $p_0=0.2$ MPa) while it decreased monotonically under larger pressures (e.g., $p_0=1.6$
112 MPa). The comparison of the curves in Fig. 4 shows that the critical state points (CSPs) of the
113 CGS at the same confining pressure varied significantly with the initial specific volume.
114 These differences are too large to be explained by any inaccuracy in the small extrapolations
115 to critical states.

116 Groups of drained tests at the same confining pressure p_0 have been selected in Fig.5. If
117 a unique CSL existed in the $v \sim \ln p'$ plane, drained tests at the same p_0 but different v_0
118 should converge to a unique v at the critical state. However, Fig. 5 shows that although
119 there are some reductions in the differences of specific volumes, the test paths tend to become
120 parallel at the critical state for each group of tests, indicating that the CSL of the CGS was
121 dependent on the initial specific volume. In Fig.5 small extrapolations are made from the end
122 of test states to constant volumes, but it is clear that incomplete testing could not be
123 responsible for the lack of convergence.

124 For non-plastic soils, e.g., the Toyoura sand (Verdugo and Ishihara 1996) or Stava silty

125 tailings (Carrera et al. 2011), the CSL in the $v \sim \log p'$ plane is generally found to be
126 nonlinear. The test data in Fig. 6 also show a somewhat nonlinear trend especially at lower
127 specific volumes. Li and Wang (1998) proposed a nonlinear CSL for these granular soils,
128 which could be expressed as

$$129 \quad v = \Gamma_s - \lambda_s \left(p'/p_a \right)^{0.7} \quad (1)$$

130 where Γ_s and λ_s are fitting parameters (Li et al. 1999). The material constants Γ_s and
131 λ_s (as shown in Fig. 7) can be directly determined from the linear fitting of the test data on
132 v versus $(p'/p_a)^{0.7}$ (Li et al. 1999). The value of λ_s is 0.011 for the CGS.

133 The nonlinear CSLs of the CGS move downwards with a decrease in the initial specific
134 volume. Therefore, the intercept of these CSLs is dependent on the initial specific volume.
135 These CSLs remain parallel, indicating that the CGS has a transitional behavior as has
136 previously been observed in soils with smaller particles (Ferreira and Bica 2006; Nocilla et al.
137 2006; Altuhafi et al. 2010; Altuhafi and Coop 2011; Ponzoni et al. 2014; Shipton and Coop
138 2015).

139

140 **Quantification of transitional behavior**

141 Ponzoni et al. (2014) defined a parameter P to quantify the degree of the CSL
142 non-convergence and to quantify transitional behaviors, which is the gradient of the
143 relationship between the linear CSL intercept and v_0 . And the definition will be extended for
144 the nonlinear CSLs. The parameter P has a limiting value of 1 for perfectly transitional
145 behavior in which CSLs have the same offsets of specific volume as the initial values, while
146 $P=0$ when there is a unique CSL no matter what the initial specific volume is (Ponzoni et al.

147 2014). To obtain the P value of the CGS, a separate value of Γ_s was calculated for every
148 test by projecting back its critical state in the $v \sim \log p'$ plane using λ_s of 0.011. The
149 relationship between Γ_s and v_0 for every sample (as shown in Fig. 8) can be described as

$$150 \quad \Gamma_s = \Gamma_{0s} + P v_0 \quad (10)$$

151 where Γ_{0s} is the intercept of the line. The values of Γ_{0s} and P are 0.60 and 0.59,
152 respectively.

153 The P of 0.59 for the CGS is close to the P of 0.58 for the clayey silt sediments from
154 Lido in the Venice Lagoon with a clay fraction of 10-20% (Ponzoni et al. 2014), which
155 illustrates that a transitional behavior can exist not only in well-graded silty soils but also in
156 well-graded coarse granular soils. Consequently, the transitional mode of behavior may be
157 more common than previously realized.

158

159 **Discussion**

160 Ponzoni et al. (2014) pointed out that differences in specific volume at similar stress states
161 can only be supported by differences in the soil fabric. However, different sample
162 preparations have not been found to lead to any significant difference in the transitional
163 behavior of soils (Nocilla et al. 2006; Shipton and Coop 2012, 2015). Shipton and Coop
164 (2015) also showed that the transitional behavior of the clayey sand was not linked to
165 anisotropy. A true critical state should be defined where a unique fabric and specific volume
166 are reached. Nevertheless, transitional behavior (i.e., the family of parallel CSLs) can be very
167 robust and not easily broken down by simple stress paths like triaxial compression (Ferreira
168 and Bica 2006; Shipton and Coop 2015).

169 Unlike previously observed transitional soils with significant fines (Martins et al. 2001;
170 Ferreira and Bica 2006; Nocilla et al. 2006; Altuhafi et al. 2010; Shipton and Coop 2012;
171 Ponzoni et al. 2014; Shipton and Coop 2015), the CGS possesses a very small fines content
172 (1.8% by weight) and a large amount of large-sized grains (95% larger than 1 mm in Fig. 1).
173 Thus, the CGS does not have a grading between that of clean sand and plastic clay, which is
174 the usual range for transitional soils. Nevertheless, it did exhibit the transitional mode of
175 behavior. The grain shapes of the CGS were angular (or subangular) for grain sizes smaller
176 than 10 mm, while the grain shape were rounded (or subrounded) for grain sizes larger than
177 10 mm. The smaller-sized angular grains could provide a cushion for the larger-sized rounded
178 grains, and the soil fabric responsible for the transitional behavior may be related to this
179 relationship between the different grain sizes and grain shapes. While no distinct fabric could
180 be observed, the effect could hardly be destroyed under shearing even though the confining
181 pressure was up to 1.6 MPa (as shown in Fig. 5). It seems that the angular (or subangular)
182 grains ranging from 0 to 10 mm (18%) in the well-graded CGS played a similar role to that of
183 plastic or non-plastic fines in other transitional soils (Nocilla et al. 2006; Ventouras and Coop
184 2009; Ponzoni et al. 2014; Shipton and Coop 2015). In other words, a well-graded CGS can
185 also exhibit transitional behavior. However, further research is necessary to explain how the
186 transitional behavior of the CGS relates to its fabric.

187 The current study identified that the CSL of the well-graded CGS in the $v \sim \log p'$ plane
188 was dependent on the initial specific volume, indicating the correct ultimate volume changes
189 could not be predicted assuming a unique CSL. The parallel non-unique CSLs should be used
190 for the precise determination of the ultimate deformation of the earth structures using these

191 materials.

192

193 **Conclusions**

194 A series of drained triaxial compression tests were conducted to investigate the critical state
195 behavior of the well-graded CGS. It was observed from the tests that the CSLs in the
196 $v \sim \log p'$ plane were not unique but dependent on the initial specific volume. A decrease in
197 the initial specific volume led to a downward movement of the CSL. The parameter P for
198 evaluating the degree of transitional behavior was quantified as 0.59, indicating that the
199 well-graded CGS exhibited a clear transitional behavior. This shows that the transitional
200 mode could exist not only in soils with the gradings between those of clean sands and plastic
201 clays but also in large-sized granular soils beyond the boundary of clean sands.

202

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207

208 **Notation**

209 *The following symbols are used in this paper:*

210 G_s = Specific gravity;

211 ρ_d = Dry density;

212 p' = Mean effective stress (kPa);

- 213 p_0 =Initial confining pressure (kPa);
- 214 p_a =Atmospheric pressure (kPa);
- 215 q =Deviatoric stress (kPa);
- 216 η =Stress ratio;
- 217 ε_a =Axial strain (%);
- 218 ε_v =Volumetric strain (%);
- 219 v =Specific volume;
- 220 v_0 =Initial specific volume;
- 221 Γ_s =Intercept of the nonlinear CSL;
- 222 λ_s =Slope of the nonlinear CSL;
- 223 P =Degree of the CSL convergence in the depiction of transitional behavior.

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Figure Caption List:

Fig. 1. Initial gradations of silts and coarse granular soil

Fig. 2. CSL of CGS in $q \sim p'$ plane

Fig. 3. Extension of stress ratio-dilatancy curve

Fig. 4. Variations of specific volumes at different initial densities and pressures: (a)

$v_0=1.187\sim 1.193$; (b) $v_0=1.242\sim 1.245$; (c) $v_0=1.283\sim 1.286$; (d) $v_0=1.315\sim 1.319$

Fig. 5. Variations of specific volumes with axial strain: (a) $p_0=0.2$ MPa; (b) $p_0=0.4$ MPa;

(c) $p_0=0.8$ MPa; (d) $p_0=1.6$ MPa

Fig. 6. Nonlinear CSLs of CGS in $v \sim \log p'$ plane

Fig. 7. Determinations of material constants for CSL in $e \sim (p'/p_a)^{0.7}$ plane

Fig. 8. Quantification of transitional behavior for CGS with nonlinear CSLs

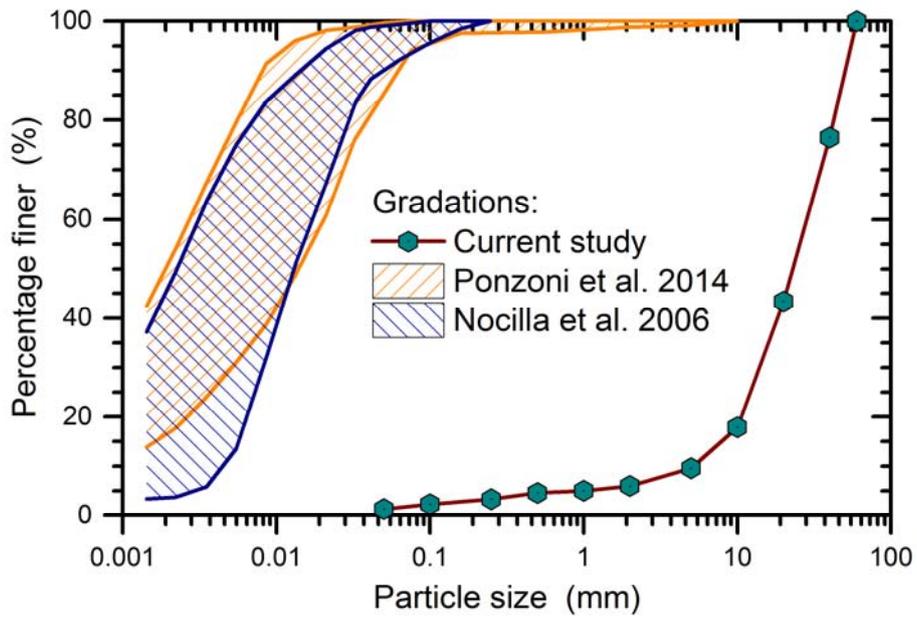


Fig. 1. Initial gradations of silts and coarse granular soil

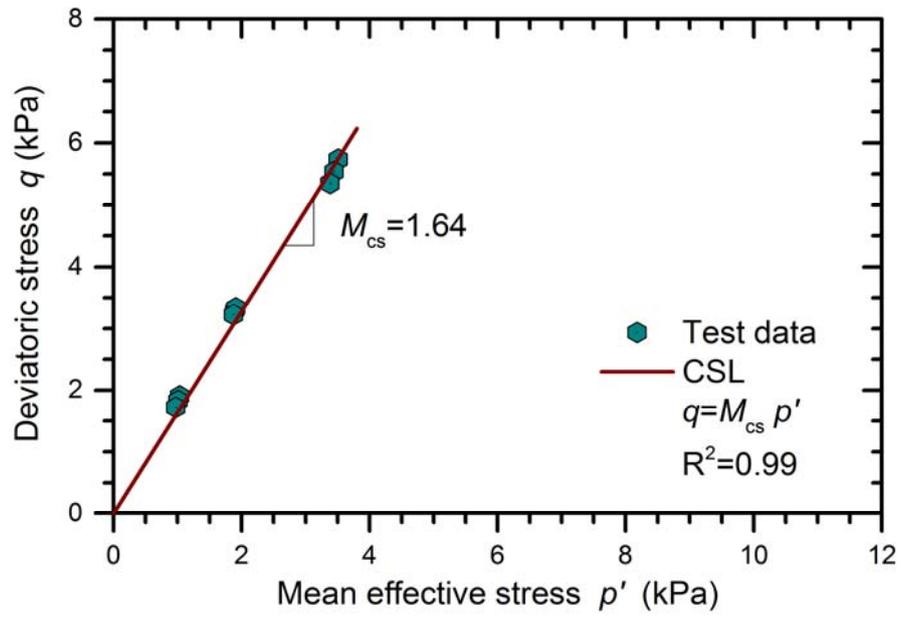


Fig. 2. CSL of CGS in $q \sim p'$ plane

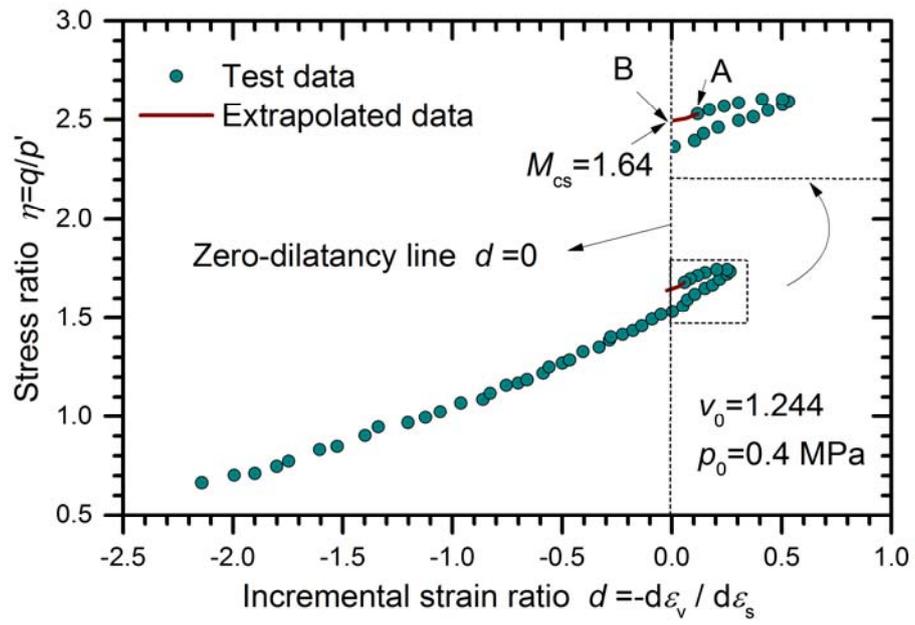


Fig. 3. Extension of stress ratio-dilatancy curve

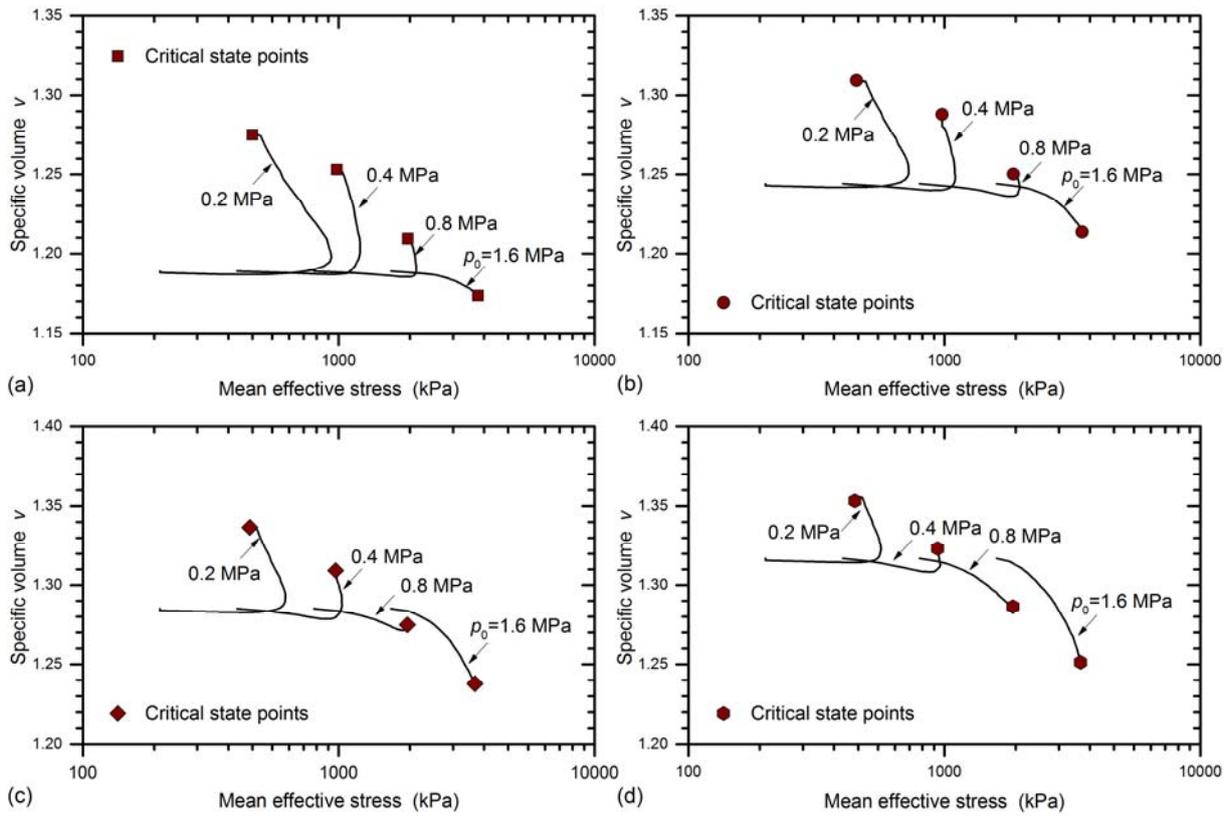


Fig. 4. Variations of specific volumes at different initial densities and pressures: (a) $v_0 = 1.187 \sim 1.193$; (b) $v_0 = 1.242 \sim 1.245$; (c) $v_0 = 1.283 \sim 1.286$; (d) $v_0 = 1.315 \sim 1.319$

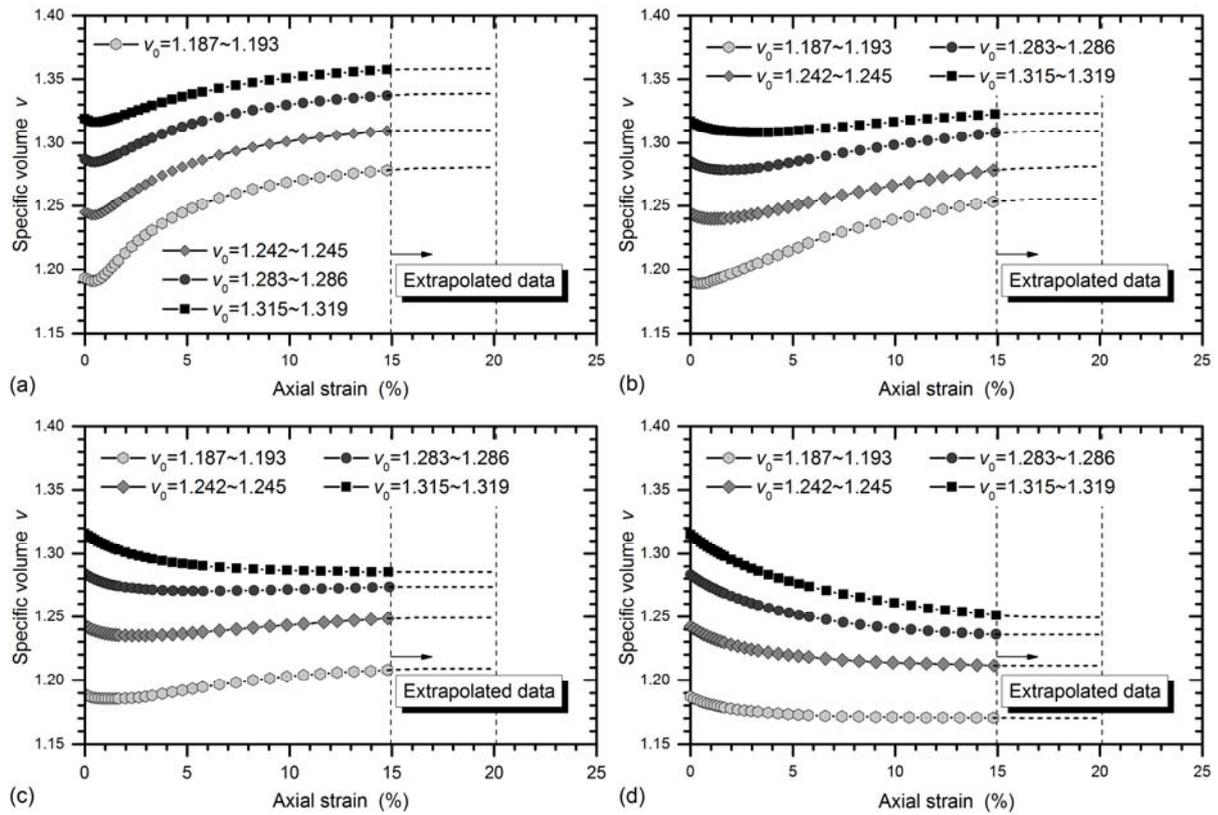


Fig. 5. Variations of specific volumes with axial strain: (a) $p_0 = 0.2$ MPa; (b) $p_0 = 0.4$ MPa; (c) $p_0 = 0.8$ MPa; (d) $p_0 = 1.6$ MPa

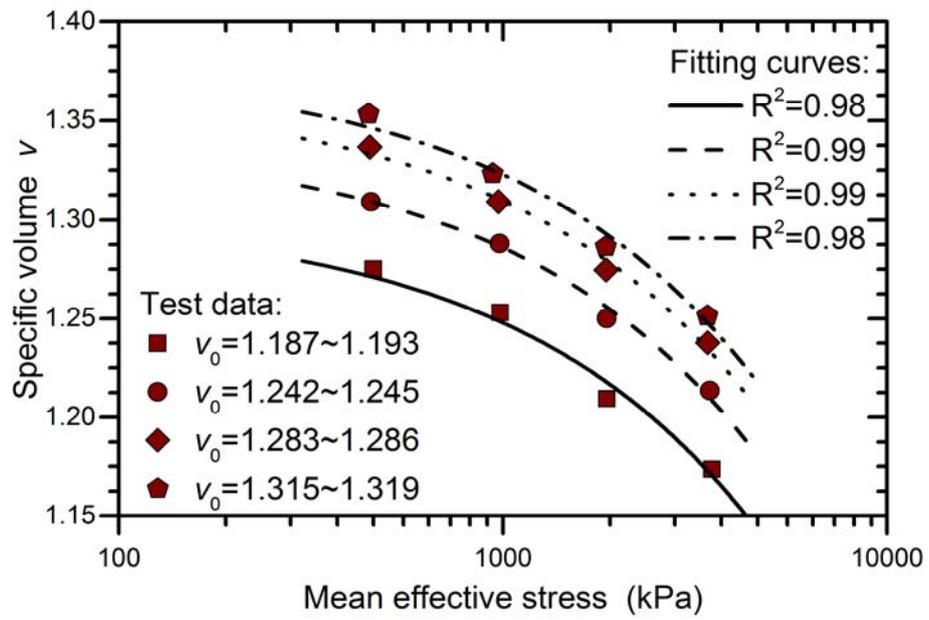


Fig. 6. Nonlinear CSLs of CGS in $v \sim \log p'$ plane

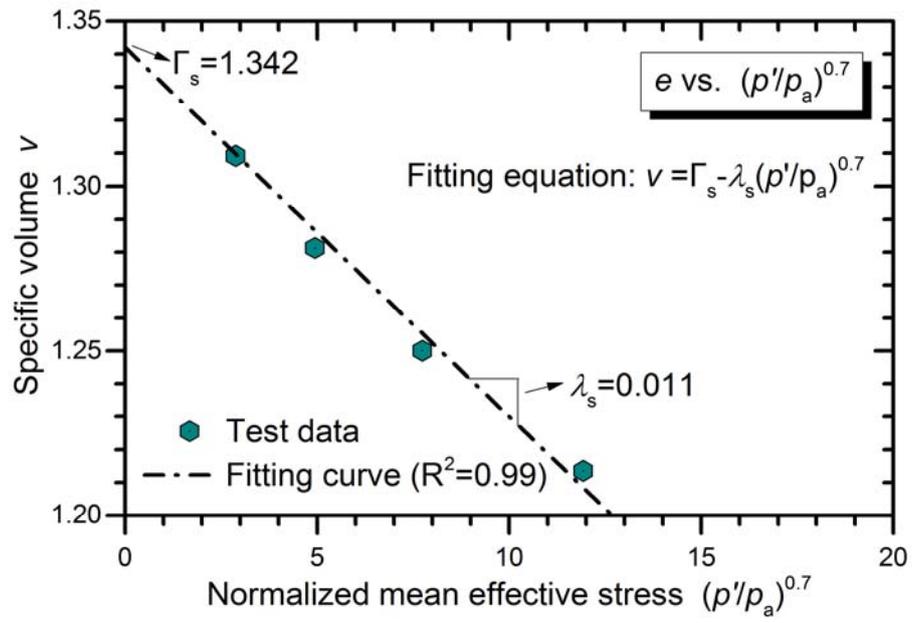


Fig. 7. Determinations of material constants for CSL in $e \sim (p'/p_a)^{0.7}$ plane

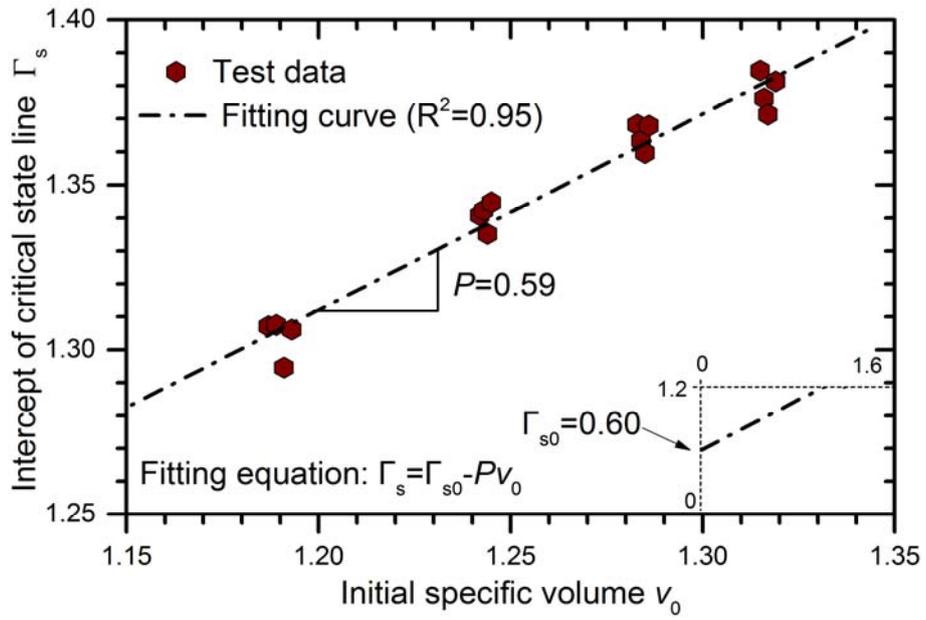


Fig. 8. Quantification of transitional behavior for CGS with nonlinear CSLs