

1 **How to optimize drug study design: PKPD studies introduced to paediatricians**

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25 **Abstract**

26

27 **Objectives**

28 In children there is often lack of sufficient information concerning the pharmacokinetics (PK) and
29 pharmacodynamics (PD) of a study drug to support dose selection and effective evaluation of efficacy
30 in a randomised clinical trial. Therefore, one should consider the relevance of relatively small PKPD
31 studies, which can provide the appropriate data to optimize the design of an RCT.

32

33 **Methods**

34 Based on the experience of experts collaborating in the EU-funded Global Research in Paediatrics
35 (GRiP) consortium, we aim to inform clinician-scientists working with children on the design of
36 investigator initiated PKPD studies.

37

38 **Key findings**

39 The importance of the identification of an optimal dose for the paediatric population is explained,
40 followed by the differences and similarities of dose-ranging and efficacy studies. The input of clinical
41 pharmacologists with modelling expertise is essential for an efficient dose-finding study.

42

43 **Conclusions**

44 The emergence of new laboratory techniques and statistical tools allows for the collection and
45 analysis of sparse and unbalanced data, enabling the implementation of (observational) PKPD studies
46 in the paediatric clinic. Understanding of the principles and methods discussed in this paper is
47 essential to improve the quality of paediatric PKPD-investigations, and to prevent the conduct of
48 paediatric RCTs that fail because of inadequate dosing.

49

50 **Keywords:** pharmacokinetics, pharmacodynamics, paediatrics

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52 **How to optimize drug study design: PKPD studies introduced to paediatricians**

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54 *“It is unfortunate that a communication gap still exists between paediatricians and clinical pharmacologists,*
55 *who can apply methodologies to validate current prescription practice, in many cases without the need for*
56 *additional prospective trials.”[1]*

57

58 **Introduction**

59 Children have traditionally been protected from participation in medical (drug) research, and as a
60 consequence medications have not been appropriately labelled for them.[2] Regulatory initiatives
61 such as the Paediatric Research Equity Act (PREA) and Best Pharmaceuticals for Children’s Act (BPCA)
62 in the United States (US) and the Paediatric Regulation in the European Union (EU) provide incentives
63 for pharmaceutical companies to investigate *new* drugs in children. Sponsors can submit a Paediatric
64 Investigation Plan to support the authorisation of a new drug for children.[3] However, off-label
65 dosing recommendations for currently marketed drugs need to be revisited [1, 4-8], especially for
66 older, off-patent medications.[7] Given the general lack of interest in the ‘paediatric-use marketing
67 authorisation’ (PUMA) opportunity, which provides sponsors incentives for research on off-patent
68 drugs, the initiative to gather empirical evidence to support the dose rationale for older drugs is left
69 to non-commercial (academic) paediatric clinician-scientists.[9] In fact, the need for increasing
70 awareness of paediatricians about the value of Investigator Initiated trials in children is
71 acknowledged in the revision of Directive of the European Commission (EC) in 2014, which tries to
72 correct the bias toward trials sponsored by pharmaceutical companies, “while those with non-
73 commercial sponsors were overlooked”. [10, 11] Another element that has been highlighted in the
74 revised directive is the role of paediatric networks to help consolidate available knowledge about
75 medicines and translate it into practice. [12, 13] To meet the demand for clinical trials, “the pediatric
76 research enterprise must act with diligence to address deficiencies in our current preclinical and
77 clinical research systems that often give rise to irreproducible data. Historically, most federally
78 funded pediatric research programs were designed to generate data for publication rather than
79 regulatory review, the latter a standard that needs to withstand independent validation down to
80 individual elements”. [13] Paediatric drug research poses challenges but innovations in trial design
81 and pharmacology prompt Rieder and Hawcutt (2016) to conclude that ‘there has never been a
82 better time for conducting drug studies in children’.[14]

83 The general principles of randomised clinical trials to study drug efficacy and effectiveness are well
84 known among most paediatricians. However, they may be unaware that other types of studies, i.e.
85 studies to identify the appropriate dose and dosing regimen in children might have a higher priority
86 on the research agenda. Failure to perform these studies can lead to a negative trial result, not
87 because of insufficient statistical power (type II error), but because of inadequate dose selection, i.e.
88 the drug dose that is compared to placebo or another comparator results in too low exposure to
89 ensure the required clinical response in children. This was illustrated by a retrospective investigation
90 of the design aspects that might have caused the failure of several antihypertensive dose-response
91 trials submitted to the Food and Drug Administration from 1998 to 2005.[15] The authors
92 recommend that “future pediatric antihypertensive trials should incorporate a wide range of doses
93 and use information from adult trials to account for potential pharmacological differences between
94 adult and pediatric populations.” As long as there are no safety concerns, for dose-response trials
95 these authors advise to use a lowest dose that is lower than the lowest approved relative dose (per
96 kg or per m²) in adults, and a highest relative dose that is at least 2-fold higher than the highest
97 approved relative dose in adults. We would be more cautious and more specific about how to
98 evaluate a medicine, but we agree that characterisation of the exposure-response curve requires the
99 evaluation of dose levels that result in a wide range of drug exposure, including in some cases
100 nominal dose levels that may be lower or higher than the currently approved therapeutic doses in
101 adults.

102

103 This paper aims to close the communication gap between clinical pharmacologists and paediatricians
104 and provide a starting point for the design of paediatric dose finding studies in such a way that the
105 results can be used to justify the dose rationale for children and consequently to support the
106 development of clinical guidelines and labelling changes. We want to make clear (1) why the
107 identification of an optimal dose for the paediatric population is important, (2) what the differences
108 and similarities are in the design and conduct between dose-ranging and efficacy studies, and (3)
109 which information is needed for the planning of a dose-finding study and how this can be obtained.

110

111 **1. Why the identification of an optimal dose for the paediatric population is important**

112 Many drugs used in daily paediatric practice lack a scientifically sound, evidence-based dosing
113 regimen.[16, 17] Off-label doses in children are often the result of an extrapolation exercise, i.e., they
114 are based on the adult dose corrected only for differences in body size (e.g. body weight or body
115 surface area). Such extrapolations often rely on the assumption of a linear correlation between dose

116 and size. In fact, when using doses per kg or per square meter, one implicitly assumes that
117 fractioning of the dose will result in comparable drug levels, i.e. concentrations change in a linear
118 fashion with weight or body surface area, respectively. This practice also assumes that children and
119 adults are comparable with regard to body composition and have similar gastro-intestinal, renal and
120 hepatic function (primary organs determining the absorption, distribution and metabolism of drugs),
121 as well as concentration-response relationships. Since developmental changes are mostly non-linear,
122 this so-called 'empirical' dosing can lead to over- or under-dosing, especially in specific age groups
123 such as neonates and (extremely) low birth weight infants, thereby increasing the risk of toxicity or
124 reduced efficacy. The heterogeneity within the paediatric population, ranging from very small
125 premature neonates to, sometimes overweight or obese, 18-year olds, cannot be overemphasized.

126 To ensure that the aforementioned points are considered for the selection of the dose and design of
127 a clinical study, a few basic concepts should be highlighted. Pharmacokinetics (PK) describes what
128 happens to a drug when it enters the body (including absorption, distribution, metabolism and
129 excretion), and pharmacodynamics (PD) refers to the effect the drug has on the body. Historically, a
130 major constraint for the evaluation of the dose rationale has been the lack of information about drug
131 exposure. Traditional PK studies involve the collection of multiple blood samples in each patient,
132 usually taken according to a rigidly timed and structured protocol, within a relatively small patient
133 population (e.g., n = 12). This 'data-rich' approach has severe limitations in paediatric practice for
134 both ethical and practical reasons: the fixed sampling strategy potentially interferes with patient
135 care; and the requirement for multiple blood samples (perhaps 12–15) raises concerns about venous
136 access and blood loss. Population PK (using sparse sampling schemes in which less blood samples are
137 taken per individual without the need for a rigid sampling time as compared to classical PK studies)
138 and PKPD modelling (using statistical models to characterise the exposure-response relationship of a
139 drug) are now well established.[18-23] This approach prevents children being exposed to the practice
140 of large numbers and volumes of blood sampling seen in adult PK and PKPD studies.

141
142 Whereas the conduct of a PK study may suffice to support the dose rationale in some cases (e.g.,
143 when evidence exists of comparable exposure-response relationships in adults and children),
144 clinicians and investigators are less familiar with the requirements and conditions in which a PKPD
145 study is necessary. The criteria were initially set out in a regulatory guidance, in which the FDA
146 proposed a 'paediatric study decision tree' [24]. This diagram shows the requirements for using adult
147 data (or any other reference group or population) to extrapolate or infer efficacy and safety in
148 (specific groups of) children. Evidence that disease progression, PKPD relationships and endpoints are

149 similar or comparable both in adults and children allows the use of PK (bridging) studies to support
150 the dose rationale for the paediatric population. However, if these requirements are not met, the
151 decision tree clearly indicates the need for further PKPD or efficacy studies. It is important to
152 understand that regulatory views in the European Union are slightly different from the USA.
153 According to a reflection paper released by the European Medicines Agency (EMA), extrapolation
154 may be generally defined as: 'Extending information and conclusions available from studies in one or
155 more subgroups of the patient population (source population), or in related conditions or with
156 related medicinal products, to make inferences for another subgroup of the population (target
157 population), or condition or product, thus reducing the need to generate additional information
158 (types of studies, design modifications, number of patients required) to reach conclusions for the
159 target population, or condition or medicinal product.'(EMA 2012; 2)[25] Instead of a decision tree,
160 the European regulators propose a framework to systematically determine whether extrapolation
161 can be applied, introducing the requirement for an extrapolation plan and what such a plan should
162 entail. [26]

163
164 The creation of a framework for extrapolations has also made explicit which are the requirements for
165 data generation, in particular how studies should be designed following the extrapolation plan,
166 including the relevance of PKPD and dose ranging studies. The extrapolation plan represents
167 therefore a mechanism to ensure the accurate use of current knowledge as well as the criteria for
168 the use of biomarkers and clinical endpoints, many of which have not been evaluated or qualified to
169 support a regulatory application. An example of a study that has led to incorporation of the starting
170 dose and titration scheme (of argatroban) in the US prescribing information is a study by Madabushi
171 et al. (2011)[27] An example of the use of a PD endpoint that has been validated for use in children
172 is the measurement of pain in young children in De Cock et al. (2011)[28].

173
174 As these types of study have been an area of expertise within pharmaceutical R&D, academic
175 investigators still have limited experience with their implementation. It should therefore be clear that
176 before performing a RCT, the doses to be tested need to be selected and justified; otherwise trials
177 may fail as has happened in the past.[15] Most importantly, paediatricians need to understand that
178 body size (weight) is not necessarily a surrogate or proxy for differences in physiological or organ
179 function across the various subgroups of the paediatric population. During the planning and
180 evaluation of the suitable dose(s) and dosing regimens for children, different factors may need to be
181 considered in an integrated manner, taking into account differences (as compared to adults) due to

182 demographic and clinical factors as well as the role of organ maturation, ontogeny of enzymes and
183 developmental growth.[29]

184 It is also worth mentioning that whereas maturation and ontogeny play a critical role in very young
185 children (e.g. preterm newborns, term newborns, infants, toddlers), the use of postnatal or even
186 postmenstrual age does not necessarily provide insight into organ function at an individual patient
187 level. For instance, one can use postmenstrual age to refer to the average (patho)physiological
188 difference in glomerular filtration in preterm newborns, but one should measure cystatin C to obtain
189 accurate estimates of the organ function in a given patient. In other words, the use of age as a proxy
190 or surrogate for function is of limited value, given the large heterogeneity in organ maturation.[30]
191 Given the wide weight variation (see e.g., quartiles of the weight by age growth curves for male and
192 female patients from the World Health Organization and National Center for Health Statistics [31,
193 32], the use of age as criterion for dosing medicines in older children yields even larger errors.
194 Similarly, there is little scientific basis to support the use of dosing based on body surface area (BSA),
195 as BSA does not accurately reflect differences in organ or metabolic function. ‘Scaling for function’ is
196 suggested [1] in which the dosing accounts for developmental growth and different
197 (patho)physiological conditions.[24]. BSA was introduced as a correction factor for dosing regimens
198 associated with poor tolerability, and dates back to the introduction of cytotoxic medicines in
199 oncology. Current understanding of drug disposition and PKPD relationships strongly suggests that
200 weight or biomarker banded-dosing regimens or should be used if large heterogeneity is anticipated
201 in a given group of patients or disease condition (e.g. renally impaired patients).

202

203 **2. Differences and similarities in the design and conduct between dose-finding and efficacy** 204 **studies**

205 Dose-ranging studies, also known as Phase II studies, occupy a key position in clinical drug
206 development. If properly designed and accurately performed a dose-finding study will save time and
207 effort during the assessment of efficacy in comparative and large scale trials in phase 3. Moreover,
208 evidence from these studies may help to minimize the numbers of patients required in subsequent
209 phases of development or even eliminate the need for additional data. [33]

210 A key goal of phase II is to determine the effective dose(s) that will inform a phase III trial. Often the
211 results of Phase II studies will substantiate the dose and dosing regimen that will be used on the
212 product label submitted for approval as part of the new drug application. Whereas current regulatory
213 guidelines highlight the importance of identifying an effective and safe dose as the basis for approval
214 of a novel medicine, an overwhelming number of examples show that the characterization of the

215 exposure-response curve and subsequent selection of the optimal dose range can have important
216 implications for the development of the medicinal product.[34] An optimal dose is a dose that is high
217 enough to demonstrate efficacy in the target population taking into account the impact of variability
218 in pharmacokinetics and pharmacodynamics. Yet, this dose should ensure minimum safety concerns
219 and adverse events. There are different strategies or approaches to determine the optimal dose, the
220 three most common dose finding study designs are described below.

221 *1. Parallel Dose Comparison:* Parallel dose comparison studies are the classical dose finding
222 studies.[35] This is still one of the most common (but also the least efficient) study designs. In a
223 parallel dose comparison study, several potential doses are selected and subjects are randomized to
224 receive one of the doses or placebo for the entire study period. At the end of the study, the outcome
225 in each treatment group is compared to the placebo group. Given that these designs are not
226 staggered, all treatment groups, including the higher dose cohorts, may be evaluated in parallel.
227 Therefore, this study design is best suited for situations where there is some confidence about the
228 location of the exposure-response curve and no concern about the safety profile of the compound.
229 On the other hand, parallel dose comparisons are very inefficient designs. They can make the
230 identification of the optimal dose and dosing regimen rather challenging if limited information is
231 available about the location of the dose-response curve. Empirical choice of the doses to be used in a
232 (paediatric) study may lead to biased estimates of the parameters describing the dose-response
233 curve. Dose-finding parallel group studies are difficult to perform in children due to the relatively
234 narrow dose range, the small interval between tested doses, the inter-individual variability of the
235 parameters measured and therefore the lack of statistical power. The ‘continual re-assessment
236 method’ (CRM) has been used in several instances in children. This method allocates doses
237 sequential to groups of patients. The first group is treated with the first dose level, whereas dose
238 levels for the subsequent groups are determined according to the model estimates of the dose–
239 efficacy and dose–safety relationships.[36, 37] The implications of traditional approaches vs. model-
240 based data analysis for antidepressant drugs were evaluated by Santen et al (2009).[38, 39]

241 *2. Staggered Dose Escalation:* If there is uncertainty about the safety profile of a medicinal product,
242 one can start exposing subjects to lower doses first before progressing to higher doses. In this type of
243 study, one starts with one group of subjects (often referred to as a cohort) and assigns them to a low
244 dose treatment, during which the group is observed for some period of time. If no safety issues are
245 encountered, a new group of subjects can be enrolled and assigned to a higher dose. This process is
246 repeated until the clinical response is achieved or the maximum tolerated dose is reached. This
247 design increases patient safety because you can start by exposing a small number of subjects to the

248 lowest dose possible, which might discriminate drug response from baseline or control treatment. By
249 doing so, one mitigates risk both by limiting the initial number of subjects and limiting the exposure
250 of each subject to study drug. As indicated above, control subjects can be included along with each
251 cohort if the objective is to compare efficacy with standard of care or other reference treatment.[33]

252 *3. Inpatient Dose Titration:* In a dose titration study, titration is aimed at achieving a pre-defined
253 clinical response or maximum tolerated dose within a subject. This means that each subject will start
254 at a low dose and receive an incrementally higher dose until a predefined clinical response or
255 maximum tolerated dose is reached. Dose titration studies work well in chronic conditions where a
256 drug will be used for a long period of time, and where it is likely that significant differences will be
257 seen in the way each subject reacts. Epilepsy is a good example of a condition where dose titration is
258 useful.[40] There is considerable variability in how individual patients respond to anti-epileptic
259 products and with titrating the dose, one can tailor treatment with lower doses to patients who are
260 more responsive to treatment and higher doses to those who do not respond optimally to the same
261 dose level.

262 Whereas main stream data analysis in efficacy trials in adults relies primarily on treatment
263 comparisons, as assessed by hypothesis testing (e.g., ANOVA), paediatric dose finding studies can
264 benefit enormously from a model-based approach, in which treatment effects are not estimated
265 primarily based on pair-wise comparisons, but by PKPD parameter estimates. Among the many
266 advantages, PKPD modelling [41] of dose-finding data allows effective separation of the variability in
267 response associated with differences in drug exposure from other factors known to cause variation in
268 response. Moreover, data analysis can be complemented by simulations, including scenarios which
269 expand the population characteristics to include characteristics of virtual subjects who were not
270 included in the empirical study, providing insight into the implications of the dose and response
271 across the overall target population.

272
273 Another potential benefit of the use of model-based approaches (using statistical models for
274 predicting the effect and efficacy of a drug) is the possibility of eliminating the need for additional
275 data, thereby avoiding the exposure of children to unnecessary experimental protocol procedures. In
276 contrast to traditional (descriptive) experimental protocols, the use of modelling does not limit to
277 summarising the experimental variables. It relies on the estimation of parameters, which describe
278 either the disposition (e.g. clearance, distribution volume) or PKPD relationships (e.g., potency) as
279 the basis for extrapolation and prediction of drug exposure and response in a new patient or group
280 of patients, taking into account individual characteristics and variability in drug PK or PD parameters.

281 Given that assumptions can be made about the magnitude of the changes associated with growth
282 and maturation, mathematical functions exist that allow for scaling of model parameters. For
283 instance volume of distribution and clearance are known to change with body weight. By using
284 allometric scaling it is possible to predict how volume decreases as body weight becomes smaller.
285 Examples where adult data has been used to support paediatric dose selection include the work
286 performed by Avramis et al. (2007) [42], Piana et al [23].

287

288 In addition, population PK and PKPD models allow for the identification of additional covariate
289 effects, including demographic and clinical factors, such as creatinine clearance. Evidence of the
290 influence of such covariates on PK and/or PKPD relationships can be used to predict the impact of
291 overall variability on drug exposure and treatment response. Most importantly, the parameter
292 estimates obtained by extrapolation can be directly used as the basis for dosing recommendations.
293 [43, 44].

294 One can also characterize the effect of demographic and clinical factors on pharmacokinetics and
295 discriminate them from factors that influence the variability in pharmacodynamics, e.g. disease
296 severity or baseline conditions. This stepwise approach is often referred to in specialized literature as
297 hierarchical modelling and has the main advantage of describing both identifiable and non-
298 identifiable sources of variability. Each 'variability' component is expressed in a hierarchical model as
299 a different parameter. Identifiable sources of variability are converted into covariate factors during
300 the analysis, whereas non-identifiable sources are expressed as statistical distributions. Variability, in
301 this context, is typically split into between-subject variability, between-occasion variability (within
302 the same subject on different occasions during the course of treatment), and residual variability in
303 the measurements.[22] The implementation of this type of analysis can be performed using different
304 techniques and software programmes. The most commonly used software for population PK and
305 PKPD modelling is NONMEM (Icon Development Plc, USA). However, other tools exist that can be
306 used that support the development of nonlinear mixed effects modelling include for example SAS,
307 Monolix, USC*PAC, MATLAB, and ADAPT .[45, 46]

308

309 In addition to the advantages relative to the methodological aspects described above, the use of a
310 model-based approach allows one to take into account additional challenges that are faced when
311 collecting and interpreting paediatric data. For instance, it is possible to consider a more mechanistic
312 approach through incorporation of physiologically based pharmacokinetic models, which are able to
313 factor in the contribution of maturation processes in drug disposition in very young children.

314

315 In the era of Evidence Based Medicine, randomized clinical trials remain the best known approach
316 for the evaluation of efficacy. The main difference between PKPD studies or dose finding studies and
317 randomized efficacy trials is the type of information that is generated and the objective of the study.
318 In a typical RCT the main objective is to establish the statistical significance of the mean difference in
319 outcomes between the intervention groups. The entire study design is aimed at minimizing variability
320 or 'noise' around this 'signal'. In a PKPD study, on the other hand, the main objective is to establish
321 how response changes with varying exposure and whenever possible identify the causes or sources
322 of within- and between-subject variability. In this respect, patient characteristics such as e.g. age,
323 renal function, maturation status, disease severity, can all play an important role and lead to biased
324 estimates of the exposure-response curve, if not adjusted for. Basically, this difference can be
325 observed as a variation on the distinction in two (psychological) scientific paradigms that was
326 described by Cronbach in 1957 [47], i.e. (1) the correlational approach, in which the investigator uses
327 variation between subjects to study the correlation with the determinants of this variation, and (2)
328 the experimental approach, where the investigator attempts to measure change due to an
329 intervention (the signal) with as much precision (as little noise) as possible.
330 The 'learning-confirming' paradigm proposed by Sheiner (1997),[48] which has been acknowledged
331 by the FDA as an important step to establish exposure-response and support dose rationale, enables
332 optimisation of the process to learn about exposure-response relationship if knowledge cannot be
333 extrapolated from adult studies.

334

335 **3. Information needed for the planning of a dose-finding study, and how this can be obtained**

336 The following provides basic information on the elements that should be considered when planning a
337 dose-finding study. We want to emphasise that the first step when planning such a study is to consult
338 all the important players: clinicians, nurses, patients/parents, pharmacists, geneticists, and clinical
339 pharmacologists with modelling expertise. Obviously, the exact composition of the team will depend
340 on the investigational product. The clinical pharmacologist can advise on the design of the study and
341 minimisation of patient samples. The GRIP initiative offers an educational programme for paediatric
342 investigators interested in this type of research.[49]

343 One of the consequences of the difference between typical RCTs for the evaluation of efficacy and
344 PKPD studies is the different emphasis, i.e. from statistical power and sample size for hypothesis
345 testing to parameter accuracy and precision for model fitting. The precision of PK and exposure-
346 response parameters is critical in the sample size calculation for paediatric PKPD studies. Prior
347 knowledge of the disease, exposure, and response from adults and other relevant paediatric data,
348 such as that related to variability, can be used to derive the optimal sample size for ensuring precise

349 parameter estimation. The investigators should account for all potential sources of variability,
350 including inter-subject and intra-subject variability, and differences between the adult and paediatric
351 populations in the final selection of the sample size for each age group. Simulations can play a key
352 role in that process, as variability is not considered to be only random. Moreover, it is the evidence of
353 an exposure-response relationship that should define the success of the trial, not the statistical
354 significance of eventual differences between treatment arms.

355 The distinct age groups to be studied should be chosen based upon what is known about the
356 prevalence and incidence of the disease, taking into account the role of developmental growth,
357 maturation processes and ontogeny, all of which can affect pharmacokinetics, pharmacodynamics
358 and the safety profile of a drug.

359 If the drug is intended for use in newborn infants, the paediatric study plan should specify whether
360 premature or small for gestational age infants will be included in the study population. Given the
361 influence of different factors on pharmacokinetic and pharmacodynamic variability, it is important to
362 ensure all relevant information is captured for each patient, for instance, gestational age and serum
363 creatinine or cystatin C for pre-term infants, birth weight and actual weight for infants and toddlers.

364 In 2012 the FDA discussed a proposal, ultimately rejected by the Advisory Committee, for a sample
365 size standard for paediatric pharmacokinetic studies, which stated that a study had to be powered
366 with at least 80% to target a confidence interval with no more than 20% relative standard error in the
367 pharmacokinetic parameter estimates,[50] but with nonlinear mixed effects methods, also known as
368 population approach, sample size is not the only relevant aspect. Sample size calculations are well
369 explained by Roberts et al. [51], who also describe the software programs available for this purpose.
370 Although these authors show that for every situation an 'optimal' sample size and study design can
371 and should be determined, they seem to overlook important feasibility issues that need to be
372 considered, especially when dealing with newborns and toddlers. Important for paediatricians is that
373 PKPD studies do not necessarily follow the same design route as classical RTCs. PKPD studies are
374 designed with the objective of learning about the appropriate dose, and hence must not follow the
375 logic of the classical study that aims to determine the difference in outcome between groups.

376 Noncompartmental analysis (NCA) based on rich PK sampling has been common practice for a large
377 number of paediatric trials. The use of frequent blood sampling has led to important ethical and
378 practical challenges in the implementation of clinical trials. This situation can be improved by better
379 understanding of paediatricians about the value of model-based approaches. Population PK and

380 PKPD modelling analysis based on sparse PK sampling can achieve sufficient precision for the
381 characterization of PK and PKPD parameters.[50]

382 From the above, it is evident that the number of blood samples collected in the clinical pharmacology
383 study is as critical as the number of patients available and the dose levels under consideration for the
384 study.[18, 51] Tools have been developed in statistical research to provide insight into the
385 contribution of (individual) input data to the overall precision of parameter estimates.[52] These
386 techniques can become powerful when combined with new sampling techniques such as dried blood
387 spots or microsampling, particularly in special paediatric patient groups such as neonates. Clinical
388 study simulations can be further implemented to illustrate the impact of different sampling and
389 design scenarios, thereby justifying the proposed sampling scheme and overall protocol design. On
390 the other hand, one should also consider that additional sampling for drug or metabolite may be
391 required if more than efficacy is to be established. Opportunistic (ad hoc) sampling should be
392 considered when acute adverse events occur.

393 One last keynote on the advantages of PKPD studies is the possibility of establishing the clinical
394 relevance of covariate factors known to affect pharmacokinetics and/or pharmacodynamics in
395 children. Therefore attention must be given to the way information is collected in these kinds of
396 trials, especially the so-called time-varying covariates, such as age, body weight, body surface area
397 and many biochemical and haematological parameters (clinical labs) which may be closely linked to
398 organ function and reflect differences in drug disposition and/or pharmacodynamics. In addition,
399 information regarding the onset of disease, phenotype, genotype, time since diagnosis, concomitant
400 and recent drug therapy should also be considered as relevant factors in some diseases. It should be
401 noted that some covariate factors will be relevant only in a subgroup of patients, e.g., organ
402 maturation, whereas others can affect the whole patient population.

403 404 **Conclusions**

405 Paediatricians can and should perform Investigator Initiated clinical pharmacological research in
406 children as there are many gaps in the knowledge about drugs used for children. In order to develop
407 rational, patient tailored dosing schemes, population PKPD studies in children and infants are
408 needed. The emergence of new laboratory techniques and statistical tools allows for the analysis of
409 sparse and unbalanced data and has increased the possibilities to perform (observational) PKPD
410 studies in the paediatric clinic. To improve the quality of future paediatric PKPD investigations, and to
411 prevent the conduct of paediatric RCTs that are doomed to fail because of inadequate dosing, the

412 experience and knowledge about these tools is shared in this paper. If performed well, the results of
413 these studies will contribute to the evidence base underlying clinical guidelines and regulatory
414 decisions concerning labelling adjustments.

415 In contrast to the design of randomized clinical trials for the assessment of efficacy, in which the aim
416 is to minimize the signal to noise ratio, studies aimed at the characterisation of the exposure-
417 response curve and subsequent dose selection of a drug need to consider the sources of variation in
418 the target population. This means that in the design of a paediatric PKPD study, intrinsic factors
419 determining variability in drug exposure and response, such as age, weight, gender, will have to be
420 accounted for carefully to maximize the amount of information gathered from the smallest possible
421 number of participating children.

422

423

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