

Executive abilities in children with congenital visual impairment in mid-childhood

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Executive abilities in children with congenital visual impairment in mid-childhood

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7 **Abstract**

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2 The role of vision and vision deprivation in the development of executive function (EF)
3 abilities in childhood is little understood; aspects of executive function such as initiative,
4 attention orienting, inhibition, planning and performance monitoring are often meas-
5 ured through visual tasks. Studying the development and integrity of EF abilities in chil-
6 dren with congenital visual impairment (VI) may provide important insight into the de-
7 velopment of EF and also its possible relationship with vision or non-visual senses. The
8 current study investigated non-visual EF abilities in 18 school-age children of average
9 verbal intelligence with VI of differing levels of severity arising from congenital disor-
10 ders affecting the eye, retina, or anterior optic nerve. Standard auditory neuropsycholog-
11 ical assessments of sustained and divided attention, phonemic, semantic and switching
12 verbal fluency, verbal working memory, and ratings of everyday executive abilities by
13 parents were undertaken. Executive skills were compared to typically-sighted typically-
14 developing children (TS) of the same age and according to levels of vision (mild to mod-
15 erate (MVI) or severe to profound visual impairment (S/PVI). The results did not indi-
16 cate significant differences or deficits on direct assessments of verbal or auditory EFs
17 between the groups. However, parent ratings suggested difficulties with everyday execu-
18 tive abilities, with greatest difficulty in those with S/PVI. The findings are discussed as
19 possibly reflecting increased demands of behavioral executive skills for children with VI
20 in everyday situations despite auditory and verbal EF abilities in the typical range for
21 their age. These findings have potential implications for clinical and educational practic-
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24 **Keywords:** visual impairment, mid-childhood, executive function, attention,
25 working memory, cognitive development

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26 Introduction

27 Executive functions (EF) are highly important for educational attainment and academic
28 success in childhood and adolescence (de Haan, 2014; McDermott, Westerlund, Zeanah,
29 & Fox, 2012; Stevens, Lauinger, & Neville, 2009). EF is used as an umbrella term for a set
30 of inter-related cognitive abilities, including goal planning, control of attention, working
31 memory, inhibition, and cognitive flexibility (Anderson, 2002; Diamond, 2013). Current
32 theoretical models about the early development of executive function are largely based
33 on observations of visual behaviors though the importance of early vision for early and
34 later EF development is unknown (Colombo, 2001; Johnson & de Haan, 2011; Richards,
35 Reynolds, & Courage, 2010). A link between vision and executive function is possibly
36 suggested by the close connection between visual processing streams with prefrontal
37 regions and the fronto-parietal attention network (Kravitz, Saleem, Baker, & Mishkin,
38 2011; Ptak, 2011) and also the close relationship between visuo-spatial working
39 memory, spatial abilities, and EF (Mikay et al 2001). However, it is currently not clear if
40 early or later visual behaviors are necessary for the development of executive abilities
41 and the integration of executive function networks in the brain or if experiences in other
42 non-visual modalities (auditory, haptic) are sufficient for the development of executive
43 functions in the absence of vision. Consequently, studying the development of children
44 with congenital visual impairment (VI) may shed light on the relationship between EF
45 and vision, and visual experience and potential vulnerabilities or compensatory factors
46 in the development of EF abilities in this clinical population. In addition, this is of high
47 clinical and educational importance as children with VI may have to rely more on their
48 ability to plan, organize, and hold information in working memory when visual cues are
49 inaccessible.

50 Congenital visual impairment is associated with differences of large-scale structural and
51 functional brain network organization (Liu, Yu, Liang, Li, Tian, Zhou, Qin, Li, & Jiang
52 2007, Shu, Li, Li, Yu, & Jiang 2009, Noppeney, 2007), which may affect the distributed
53 networks involved in executive function (Cavezian, Vilayphonh, Vasseur, Caputo,
54 Laloum, & Chokron, 2013). Of relevance, evidence from an observational study indicated
55 differences in potential precursors of executive behaviors, specifically attention shifting,
56 in preschoolers with VI compared to matched typically-sighted (TS) peers (Tadic, Pring,
57 & Dale, 2009). The authors reported reductions in the frequency at which preschoolers
58 with severe to profound VI responded to adult attempts to elicit or maintain their atten-
59 tion and in particular to shift their attention from one object to another through audito-
60 ry, haptic or visual cues, with greatest difficulty in those with profound VI (light percep-
61 tion at best). Interestingly, individual weaker response to attention shifting was signifi-
62 cantly related to more problems in everyday behaviors requiring EF on the Behavior
63 Rating of Executive Function (BRIEF) questionnaire, in particular Shifting, when the
64 same children were seen at school age (Tadic, 2009). Neurodevelopmental differences
65 that are potentially related to EF have also been reported in mid-childhood to adoles-
66 cence in other samples of children with VI. A comprehensive survey in a sample of 264
67 children (aged 4-17 years) attending specialist clinics found a substantially higher
68 prevalence of Attention Deficit Hyperactivity Disorder (ADHD) diagnoses in children
69 with VI (22.9% compared to 14.3% in the TS population in the same geographical area)
70 (Decarlo, Bowman, Monroe, Kline, McGwin, & Owsley, 2014); EF has been shown to be a
71 significant component in ADHD in sighted samples (Willcutt, Doyle, Nigg, Faraone, &
72 Pennington, 2005). Further, a recent study by Greenaway and colleagues indicated high-
73 er parental ratings of behavioral executive functioning deficits, compared with norma-
74 tive population expectations, in a small sample of high-functioning adolescents with con-

75 genital VI and age-appropriate verbal IQ (Greenaway, Pring, Schepers, Isaacs, & Dale,
76 2016).

77 Whilst these preliminary small scale studies suggest that behaviors related to EF may be
78 negatively affected in children with VI, it is not clear how VI might impact on EF and
79 whether specific aspects of EF are more vulnerable than others. Certain executive abili-
80 ties might be more dependent on visual information during development, whereas other
81 abilities may develop typically or are more amenable to compensatory mechanisms even
82 when visual information is largely inaccessible or very degraded. The auditory and hap-
83 tic modalities, and the mechanism of language, have been proposed to modulate devel-
84 opmental processes in the absence of vision (Warren, 1994, Perez-Pereira and Conti-
85 Ramsden, 1999).

86 In this study, we therefore set out to investigate the development of EF in the context of
87 congenital VI during mid-childhood. This period has been argued as important for EF
88 development as rapid advances in executive ability have been observed in this age peri-
89 od (Xu et al., 2013). Further, executive abilities are believed to be more differentiated in
90 mid-childhood compared to preschool years (Anderson, 2002; Diamond, 2013) allowing
91 for a more fine-grained assessment of the possible impact of VI on EF development. To
92 investigate this potential relationship, this study focused on children with congenital
93 visual disorders. The subpopulation of interest was those with disorders affecting the
94 anterior or peripheral part of the visual system with no known involvement of central
95 brain structures according to the visual disorder diagnosis (i.e. 'potentially simple' con-
96 genital disorders of the peripheral visual system - CDPVS, Sonksen & Dale, 2002). In
97 children with additional brain defects, as is common in cerebral VI (Rahi, Cable, BCVISG,
98 2003), the likelihood of comorbid learning difficulties is greatly increased. This would
99 pose a significant confound as any differences in cognitive performance may be poten-
100 tially linked to the learning disability rather than to the impact of vision reduction per se
101 (Sonksen and Dale 2002). To further minimize the possibility of additional learning diffi-
102 culties which can commonly occur in children with congenital VI (Alimovic, 2013), a
103 sample of higher functioning children with VI and normal range verbal intelligence were
104 selected for the study. Standard auditory and verbal assessments of EF were employed
105 including assessments of working memory, auditory attention, and verbal fluency to
106 cover a range of executive tasks that did not require vision for performance. In addition,
107 parents filled in a standard questionnaire on everyday behaviors associated with EF. To
108 test further the relationship between vision level and EF, children with differing degrees
109 of VI (from profound/ severe - P/SVI to moderate/mild - MVI) were included in the VI
110 sample; this permitted comparison of a broad spectrum of children with congenital visu-
111 al disorders with sighted controls and also comparison of different degrees of vision and
112 vision reduction (P/SVI versus MVI versus TS). The study design was therefore selected
113 to permit novel insight into the potential impact of congenital vision reduction on EF in
114 middle childhood, including comparison of those who remained profoundly or severely
115 visually impaired with those who had continued to develop significant functional visual
116 acuity by middle childhood. Previous research of younger children had suggested that
117 those with the most profound VI (especially light perception at best) had the greatest
118 developmental impact with significant delays in cognition, language and social develop-

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4 119 ment (Dale and Sonksen 2002, Dale et al 2013), leading us to predict that children with
5 120 no or very low vision would also show negative impact in EF abilities.

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7 121 Assuming visual input is necessary for the typical development of EF, it is hypothesized
8 122 that children with VI have lower standard scores on auditory tests of EF compared with
9 123 typically sighted matched controls, and that the scores would be even lower in children
10 124 with the greatest severity of vision reduction (P/SVI) compared with those with moder-
11 125 ate vision reduction (MVI) or typically sighted. Standard neuropsychological assessment
12 126 measures with good construct validity that make no demand on vision were selected for
13 127 this study. In the absence of well validated tactile or haptic assessments, these measures
14 128 were either auditory or verbal. The only available auditory tasks that were suitable for
15 129 children with VI and were all arguably tapping into EF were those of working memory,
16 130 auditory attention and verbal fluency (Delis, Lansing, Houston, Wetter, Han, Jacobson,
17 131 2001; Jurado & Rosselli, 2007; Manly, Nimmo-Smith, Turner, Watson, & Robertson,
18 132 2001). A parent rated standard questionnaire measure was also included to assess per-
19 133 formance and any difficulties with everyday behaviours associated with executive abili-
20 134 ties.

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26 140 **Methods**

27 28 141 **Participants**

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30 142 This project was approved by the NHS Paediatric Research Ethics Committee (Ref:
31 143 12/LO/0939). Written consent was obtained from all parents/guardians according to
32 144 the Declaration of Helsinki.

33 145 A prospective cross-sectional study was undertaken with eighteen children with VI
34 146 aged between 8 and 13 years. Congenital disorders of the peripheral visual system with
35 147 severe VI are rare with an estimated prevalence of less than 2-3 per 10,000 children
36 148 (UK) raising challenges for recruitment and sampling (Rahi, Cable, BCVISG, 2003). Chil-
37 149 dren were therefore recruited through national specialist clinics at Great Ormond Street
38 150 Hospital for Children NHS Foundation Trust and Moorfields Eye Hospital NHS Founda-
39 151 tion Trust. The investigations reported here were part of a larger study to investigate
40 152 neural, cognitive and behavioral correlates in this sample. Inclusion criteria: 1) 'poten-
41 153 tially simple' congenital disorders of the peripheral visual system (CDPVS, see Sonksen
42 154 and Dale 2002), i.e. any visual disorder affecting the globe of the eye, the retina, or the
43 155 anterior optic nerve up to the optic chiasm and no other known central nervous system
44 156 involvement or brain insult in the pediatric diagnosis; originally diagnosed by paediatric
45 157 ophthalmology, 2) English as a first language or relatively fluent level of English to par-
46 158 ticipate in assessments, 3) children within the normal range for verbal reasoning (>VIQ
47 159 79). Identification of children was initially through clinical databases and also self-
48 160 recruitment where parents were asked if their child was attending school at the age ap-
49 161 propriate level. One child who was consented was found subsequently to have a verbal

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4 162 IQ slightly below the inclusion criterion. This participant did not act as an outlier on oth-
5 163 er assessments and was therefore retained in the analysis.

6 164 Exclusion criteria: 1) hearing impairment and severe motor impairment, 2) reti-
7 165 nopathy of prematurity, 3) pediatric diagnoses of comorbid neurological disorders or
8 166 indication of other brain involvement or endocrine abnormalities, e.g. hypopituitarism
9 167 (Garcia-Filion & Borchert, 2013).

10 168 Control sample: Eighteen children with normal or corrected-to-normal vision were re-
11 169 cruited through local advertisement to match according to age. Children in the control
12 170 group had to attend mainstream school at age-appropriate level and have no known
13 171 neurological or psychiatric conditions and have English as a first language.

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15 173 Sample characteristics are summarized in Table 1. The experimenter (J.B.) was
16 174 trained by a neurodisability pediatrician specialized in VI (A.S.) to undertake the visual
17 175 acuity assessments using the Sonksen logMAR test of Visual Acuity (Sonksen, Wade,
18 176 Proffitt, Heavens, & Salt, 2008). For children, who were not able to see the largest items
19 177 on the Sonksen logMAR test, the Near Detection Scale was used to assess their basic level
20 178 of detection vision (Sonksen, Petrie, & Drew, 1991).

21 179 Severe/Profound VI (S/PVI) is defined as limited form vision with logMAR above
22 180 0.8 (Snellen worse than 6/36) to no or light perception only (Near Detection Scale).
23 181 Mild/moderate VI (MVI) is defined as reduced visual acuity with logMAR between 0.6
24 182 and 0.8 (Snellen 6/24-6/36).

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26 184 *Table 1 here*

27 185 *Table 2 here*

28 186 **Procedure and testing environment**

29 187 Participants were tested by an experimenter trained in the assessment of children with
30 188 VI (J.B.) under the supervision of a clinical psychologist specialized in VI (N.D.). Assess-
31 189 ments were carried out in a quiet testing room in the university hospital center. Children
32 190 were given frequent breaks between assessments to maintain optimal performance and
33 191 promote participant wellbeing.

34 192 **Verbal comprehension**

35 193 In order to exclude the possibility that any difference in EF may be due to underlying
36 194 differences in intellectual ability, a standard test of verbal comprehension was adminis-
37 195 tered. Verbal comprehension was assessed using verbal subtests of the Wechsler Intelli-
38 196 gence Scale for Children 4th edition (WISC-IV) (Wechsler, 2004). Verbal subtests of pre-
39 197 vious and current editions of the WISC have also been used with children with VI
40 198 (Greenaway et al 2016, Dekker, 1993; Tillman, 1973; Tillman & Bashaw, 1968; Witkin et
41 199 al., 1968).

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4 200 The administered subtests included all items of the Verbal Comprehension composite
5 201 score (Vocabulary, Similarities, Comprehension). Two items were altered that required
6 202 direct visual experience: The WISC-IV first practice item on the Similarities subtest
7 203 which includes colour was not administered. The Comprehension question that asks
8 204 about a situation in which 'you see thick smoke' was changed to 'you smell thick smoke'.
9 205 These alterations were used for the whole sample, including the TS control group. All
10 206 other items were administered verbatim according to the WISC-IV administration manu-
11 207 al (Wechsler, 2004).
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15 209 Analysis of verbal comprehension by vision group (S/PVI, MVI, TS) did not indicate
16 210 significant differences between the groups (S/PVI: mean=100.78, SE=8.94, Range=75-
17 211 148; MVI: mean=103.25, SE=3, Range=93-116; TS: mean=113.17, SE=3.87, Range=83-
18 212 144; $F(2,32)=1.665$, $p=0.205$).
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22 214 **EF tasks**

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26 216 **Working memory**

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28 217 Tasks comprising the Working Memory (WM) composite of the Wechsler Intelligence
29 218 Scale for Children 4th edition (Wechsler, 2004) were administered to determine work-
30 219 ing memory performance. The WM composite was calculated from the Digit Span and
31 220 Letter-Number Sequence scale scores.
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35 222 **Sustained & Divided Auditory Attention**

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37 223 Auditory attention was assessed through tests from the Test of Everyday Attention for
38 224 Children (TEA-Ch) (Manly, Nimmo-Smith, Turner, Watson, & Robertson, 2001). In the
39 225 Score! subtest, children had to count infrequently presented sounds in several trials over
40 226 a 6 min period. Because of long pauses between tones and simple task demands, children
41 227 have to actively sustain their attention to perform the task (Anderson, 2002). The Score
42 228 Dual Task condition requires children to count the number of scoring sounds while lis-
43 229 tening out for an animal name in a simultaneously presented news broadcast (Manly,
44 230 Nimmo-Smith, Turner, Watson, & Robertson, 2001).
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48 231 **Verbal Fluency**

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50 232 The Verbal Fluency task of the Delis-Kaplan Executive Function System (D-KEFS) (Delis,
51 233 Lansing, Houston, Wetter, Han, Jacobson, 2001d) consists of three conditions. In the Let-
52 234 ter Fluency (LF) condition, the participant has to name as many words as possible that
53 235 start with a given letter within 60s. In the Category Fluency (CF) task, the participant has
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236 to name words within 60s that belong to a semantic category. In the third condition, Cat-
237 egory Switching (CS), participants have to switch between words that belong to different
238 semantic categories. All tests were administered according to the test manual. The
239 DKEFS Verbal Fluency subtest typically requires the assessor to talk through the rules as
240 well as present them visually in print. As the participants were unable to access the
241 print, the assessors ensured that the participants had understood the rules by talking
242 through these carefully and clearly, providing repetition if required.

243 Two children did not complete the task. Seventeen children in the VI (7 male, 8.27-
244 13.32y, WISC Verbal Comprehension: 75-148) and 17 children in the control group (10
245 male, 8.56-12.92y, WISC Verbal Comprehension: 83-144) completed the Verbal Fluency
246 tasks.
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248 **Everyday Executive Skills**

249 The Behavioral Rating Inventory of Executive Function (BRIEF) is an 86 item question-
250 naire suitable for children aged 5 to 18 years (Gioia, Isquith, & Kenworthy, 2000). The
251 questionnaire rates executive skills in domains of Inhibition, Shifting, Emotional Control,
252 Initiation, Working Memory, Planning/Organizing, Organization of Materials and Moni-
253 toring. Only one of the items used to create these scores makes a reference to visual be-
254 havior (Item 31: "Has poor handwriting"), but does still apply to the majority of children
255 in this study with mild to moderate VI. Two additional items may be indirectly related to
256 vision, e.g. Item 67: "Cannot find things in room or school desk" and Item 68: "Leaves
257 messes wherever he/she goes", but also reflect executive contributions. These tasks may
258 be harder for children with visual impairment, but do not necessarily depend on vision.
259 For this reason, parents were given the full questionnaire without any modifications.

260 Inconsistency scores were below the 98th percentile and were therefore in the ac-
261 ceptable range according to the questionnaire manual. There were two cases of highly
262 elevated Negativity scores in the VI group (above the 98th percentile). High negativity scores
263 may indicate an excessively negative attitude of the rater, but may also suggest extreme
264 executive dysfunction (Gioia, Isquith, & Kenworthy, 2000). Separate analysis showed no
265 effect of the inclusion or exclusion of these cases for the group results. Therefore, the
266 presented results include cases with high negativity ratings.

267 **Statistical analysis**

268 Statistical analysis was based on analysis of variance (ANOVA) models. Mauchly's test
269 was used to assess violations of the sphericity assumption (Mauchly, 1940). In the case
270 of violated sphericity assumptions, the Greenhouse-Geisser correction was applied
271 (Greenhouse & Geisser, 1959). All statistical tests were performed in R v2.15.3 (The R
272 Development Core Team, 2008). Follow-up contrasts were based on Student's t-tests .
273 Welch correction was applied to account for difference in variance between the groups

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4 274 (Welch, 1947). Visualization were based on ggplot2 algorithms (Wickham, 2009). A sig-
5 275 nificance level of $p < 0.05$ was used for all statistical analyses. Values between 0.05 and
6 276 0.1 are discussed as trend-level effects.
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7 **Results**

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10 280 *Figure 1 about here*

11 281 *Table 3 about here*

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15 **Working Memory**

16 284 Statistical analysis did not indicate significant differences in the Working Memory com-
17 285 posite score between the vision groups (S/PVI, MVI, TS) ($F(2,31)=0.079$, $p=0.971$, see
18 286 Table 3 for descriptive statistics). There was also no significant effect of vision group on
19 287 the Digit Span ($F(2,32)=0.824$, $p=0.448$) or Letter-Number Sequence score
20 288 ($F(2,32)=1.033$, $p=0.368$).
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25 **Sustained & Divided Auditory Attention**

26 291 Statistical analysis did not indicate significant differences in the Working Memory com-
27 292 posite scores between vision groups ($F(2,32)=0.515$, $p=0.602$, see Figure 1a and Table
28 293 3 for descriptive statistics). There was also no significant effect of vision group in the
29 294 divided attention condition ($F(2,32)=1.599$, $p=0.218$). A high proportion of partici-
30 295 pants in both groups reached scores in the superior to highly superior range compared
31 296 to the normative sample of the test (see Figure 1b). However, there was also considera-
32 297 ble within group variability in the VI group including scores in the low range ($n=2$).
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39 **Verbal Fluency**

40 301 Statistical analysis did not indicate significant differences in the Letter Fluency scores
41 302 between vision groups ($F(2,32)=0.711$, $p=0.499$, see Figure 1a and Table 3 for descrip-
42 303 tive statistics). Category Fluency condition: There was no significant effect of vision
43 304 group on category fluency scores ($F(2,30)=0.737$, $p=0.487$). There was also no signifi-
44 305 cant effect of vision group on the number of responses in the switching condition
45 306 ($F(2,30)=0.128$, $p=0.88$) or switching accuracy ($F(2,30)=0.314$, $p=0.733$).
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50 **Everyday executive skills**

51 309 Half of the children with VI reached threshold for clinical concern regarding execu-
52 310 tive deficits on the BRIEF (9 children (50%, 4 MVI, 5 S/PVI) over GEC cut-off at 65,
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4 311 >93%ile). Statistical comparison indicated a main effect of vision group ($F(2,27)=4.444$,
5 312 $p=0.022$). Follow-up contrasts indicated significantly higher scores in severe/profound
6 313 group compared to controls ($t(10.58)=2.806$, $p=0.018$). Other contrasts did not reach
7 314 significance level.

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9 315 Eight children with VI scored above the cut-off on the Behavioral Regulation Index
10 316 (BRI) (45%, 4 MVI, 4 SVI, cut-off at 65, >93%ile). Scores on the BRI also showed a signif-
11 317 icant effect of vision group ($F(2,27)=6.248$, $p=0.006$). Post-hoc contrasts revealed a sig-
12 318 nificantly higher score in the severe/profound compared to the control group
13 319 ($t(7.827)=2.339$, $p=0.048$) and a trend-level difference between the mild/moderate and
14 320 control group with higher scores in the MVI group ($t(8.851)=-2.171$, $p=0.058$). There
15 321 was no significant difference between the two VI groups.

16 322 Seven children with VI reached scores above the cut-off on the Metacognitive Index
17 323 (MI) (38%, 3 MVI, 4 S/PVI, cut-off at 65, >93%ile). Statistical analysis also indicated a
18 324 significant difference between vision groups on the MI ($F(2,27)=8.020$, $p=0.001$). Fol-
19 325 low-up contrasts indicated significantly higher scores in the S/PVI compared to controls
20 326 ($t(3.82)=8.127$, $p=0.005$) as well as a trend-level difference between the MVI and con-
21 327 trol group with higher scores in the MVI group ($t(8.134)=-2.01$, $p=0.079$). The differ-
22 328 ence between the VI groups (MVI vs S/PVI) was not statistically significant ($t(13.683)=-$
23 329 0.405 , $p=0.692$).

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333 Discussion

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335 The relationship between congenital VI and EF abilities in middle childhood has not been
336 studied systematically before and the available theories and evidence suggest that lack of
337 vision and deprivation of visual information from the environment might impact ad-
338 versely on the developmental or behavioral aspects of EF. Nevertheless, alternative sen-
339 sory functions of audition and touch might provide compensatory avenues for develop-
340 ing EF abilities. To investigate this further, this study focused on the performance of EF
341 abilities in a sample of 18 children with congenital VI in middle childhood, compared
342 with typically-sighted and typically developing controls (TS). The precautionary meth-
343 odological approach to reduce potential confounding influences of comorbid learning
344 disability (which is high in children with congenital visual disorders) or an inability to
345 perform the EF task because of lack of vision to see the materials included adopting 1)
346 children with VI in the 'simple' CDPVS subpopulation, 2) only higher functioning range of
347 verbal intelligence and 3) no tasks requiring vision. Contrary to arguments leading to us
348 hypothesizing that EF abilities might be adversely constrained in children with VI and
349 particularly in the most severe VI (light perception or low levels of 'form' vision), we
350 found no significant differences in standard scores of EF tasks of working memory, sus-
351 tained and divided attention, phonemic, semantic, and switching verbal fluency between
352 the VI and the age-matched TS groups. Moreover, the mean standard scores of the VI
353 group were on average in line with age-appropriate population norms.

354 A number of theoretical positions could explain this 'typical' performance in EF neuro-
355 psychological tasks in children with VI. Firstly, infancy and later experience in auditory
356 and haptic sensory modalities, including possibly the mediating role of language and
357 non-verbal physical and object experiences, has assisted the development of metacogni-
358 tive thought processes and mental abstraction involved in executive skills. In terms of
359 the possible origin of EF abilities in childhood, present theoretical models of possible
360 precursors in infant behavior are largely based on the observation of visually-mediated
361 behaviors, like saccades (Colombo, 2001; Richards, Reynolds, & Courage, 2010). For in-
362 stance, the ability to shift visual fixation from an intrinsically attractive visual stimulus to
363 a less intrinsically attractive, but task-relevant visual stimulus is seen as a precursor of
364 top-down executive control in longitudinal studies (Nakagawa et al., 2013; Papageorgiou
365 et al., 2014). To the authors' knowledge, there are currently no theories of infancy EF
366 development based on other auditory or haptic modalities, potentially due to methodo-
367 logical difficulties in assessing these functions in infants though auditory oddball para-
368 digms may be revealing in the future (Gomes et al., 2000). Investigations of auditory or
369 haptic (tactile) aspects of EF precursors in infants with congenital VI will need to be pur-
370 sued, though the methodological challenges cannot be understated. Our finding in rela-
371 tion to auditory EF function raises the possibility that alternative non-visual modalities

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372 may provide a compensatory route to the development of EF.

373 Secondly, there may be modality-specific executive skills that are tied to the availa-
374 bility of sensory information and, in this sense, verbal and auditory EF skills would be
375 expected to develop smoothly to a relatively preserved level in children with VI. Others
376 have also argued for the important role of verbal ability in EF function in childhood
377 (Henry, Messer and Nash 2012). A related model to this is that if children with VI are
378 restricted to modality-specific EF skills, then they might be expected to have much
379 greater difficulty in areas of EF that are associated with vision, such as design fluency
380 and spatial working memory. This dimension was not explored in this paper but is wor-
381 thy of further investigation to see if effects are amodal or modality-specific.

382 A third possible model is that EF abilities are a unitary construct in middle child-
383 hood. If EF is unitary in middle childhood and our sample of children with VI scored in
384 the age-appropriate range comparable to the TS sample, then one might deduce that EF
385 is amodal in middle childhood and can be executed through visual or auditory/verbal
386 means. Xu et al (2013) demonstrated that from 5-7 and 8-11 years children's perfor-
387 mance on different executive function tasks was found to be explained best by a single-
388 factor model rather than the three factor model of working memory, inhibition, and
389 shifting commonly described for adults (Miyake, Friedman, Emerson, Witzki, Howerter,
390 & Wager, 2000). The similar performance on the different auditory neuropsychological
391 tests in both the VI and control groups could reflect the early unitary nature of executive
392 function. This might explain the finding that the children with VI did relatively well on all
393 aspects of EF assessment tasks tested in this study. Executive abilities might diversify
394 into discrete EF abilities in adolescence with differences between VI and TS participants
395 emerging at this later developmental stage. The preliminary results presented by Green-
396 away et al. 2016 suggest that this may be the case. However, despite apparent similar
397 abilities on the group level, some individual children with VI displayed highly uneven
398 neuropsychological profiles with extreme weakness in certain tasks (see too Greenaway
399 et al 2016 in higher functioning adolescents with VI). For reasons not yet understood,
400 there was extreme variation between and within some of the individual children with
401 scores ranging from extremely low to superior level. A more detailed investigation of the
402 potentially multiple factors contributing to these individual differences (Sonksen and
403 Dale 2002) will only be possible through assessment of larger samples in future studies.

404 In contrast to test performance, results of the behavioral ratings (BRIEF, parent
405 rating) showed significant differences between the VI and TS groups and indicated
406 around half of the children with VI reached clinical threshold for EF difficulties
407 (>93%ile). According to expectations for typically-sighted children, these scores would
408 indicate significant difficulties in the domains of behavioral regulation and meta-
409 cognition. These findings replicate the results of an independent sample of 6-12-year-old
410 children with severe to profound VI and typical intelligence (Tadic, Pring, 2009) and re-
411 sults based teacher reports in a wider sample of children with VI (Heyl and Hintermair
412 2015). Further, the current study provides evidence that behavioral executive function-

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4 413 ing is also affected in some children with mild to moderate VI.

5 414 It has been argued that standardized neuropsychological tests of EF place reduced
6 415 demand on executive skills by providing an adult directed environment with clear
7 416 instructions, training items, and a problem-solving scaffold. These aids are rarely
8 417 available in everyday dynamic situations requiring EF abilities such as taking initiative,
9 418 generating new ideas, making plans, achieving goals and self-organization of materials
10 419 (Isquith et al., 2013). The discrepancies found in this study between the assessment
11 420 scores and the parent ratings might therefore indicate that 'core' cognitive skills in
12 421 standard EF tasks are similar in both the VI and the control group, but that everyday
13 422 demands on dynamic performance requiring executive skills are much higher for
14 423 children with VI, e.g. lack of access to visual information from the environment may
15 424 increase the cognitive load of a task (Bertone et al. 2007) and reduce the environmental
16 425 supports for basic mobility and orientation required in executing any physical or goal-
17 426 focussed activity (Warren 1994). This argument is further reinforced by the finding that
18 427 more severe levels of VI (S/PVI vs. MVI group comparisons) were significantly
19 428 associated with more everyday behavioral executive difficulties. Further, children with
20 429 S/PVI who are likely to receive more assistance may have less opportunity to practice
21 430 relevant behavior leading to less proficiency at performance level, despite intact 'core'
22 431 skills.

23 432 Alternatively, the current findings could be explained by both higher vulnerabilities
24 433 in the VI group in some EF skills, such as taking initiative or achieving goals, in addition
25 434 to higher performance demands particularly in the children with the most severe VI.
26 435 Moreover, further evidence of a highly similar discrepancy between test performance on
27 436 similar neuropsychological EF tasks and the parent rated BRIEF in a small sample of 12-
28 437 16 year olds with VI suggests that this may be a longstanding and continuous pattern
29 438 across later childhood (Greenaway et al 2016) and further research is required to
30 439 identify the specific constraints underlying this apparent behavioral vulnerability.
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32 33 34 35 36 37 38 39 40 41 **Limitations**

42 442 The current investigation was constrained in several ways which potentially limits the
43 443 generalizability of the findings. First, the sample size was limited to eighteen cases due to
44 444 the recruitment challenges of the very rare 'simple' congenital disorders of the peripher-
45 445 al visual system (Rahi, Cable, BCVISG, 2003); other studies on VI are often of similar size
46 446 for similar reasons (Tadic, Pring, & Dale, 2009; Absoud, Parr, Salt, & Dale, 2011). Because
47 447 of this small sample size, only large effects between group means could be detected and
48 448 investigation of subtler group differences may have been underpowered (Button, Ioan-
49 449 nidis, Mokrysz, Nosek, Flint, Robinson, & Munafò, 2013). Further, in order to recruit a
50 450 sufficient number of individuals, a range of congenital visual disorders were included
51 451 that shared common functional symptoms. Despite this heterogeneity, overall similarity

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4 452 of the test scores across the VI sample suggests that common functional issues (VI and
5 453 degree of severity of vision reduction) are of greater relevance than individual anatomical
6 454 disorders of globe, retina or optic nerve (Sonksen and Dale 2002).
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9 456 Second, a further limitation of the study is the absence of EF tests that have been de-
10 457 signed for and validated on children with VI. However, the similar performance between
11 458 the VI sample and the TS control group on most of the standard tasks implies that validi-
12 459 ty and reliability were unlikely to be seriously constrained. This also meant that some
13 460 areas of EF such as set shifting, problem solving and design fluency could not be assessed
14 461 due to lack of suitable tests; the current study can therefore not be viewed as a broadly
15 462 comprehensive investigation of EF abilities in children with VI.
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18 464 Particularly striking was the discrepancy of results between the neuropsychological tests
19 465 and the behavioral questionnaire, which may reflect methodological issues. The ques-
20 466 tionnaire measure may tap different dimensions or constructs related to EF compared to
21 467 standard assessment or lab-based measures (Eycke and Dewey, 2015; Toplak, Bucciarelli,
22 468 & Jain, 2008; Chan et al 2008, Toplak & West, 2013). This is supported by similar dis-
23 469 crepancies that have been reported in other clinical populations e.g. frontal lobe patients
24 470 (Shallice and Burgess 1991, Chan et al., 2008). However, parent ratings may also be less
25 471 accurate than direct standardized testing and might reflect unrealistic parental expecta-
26 472 tions of their child with VI's performance.

30 473 **Conclusion**

31 474 The present study is the first study, to the authors' knowledge, to report on EF abilities
32 475 based on systematic neuropsychological assessments in a group of higher functioning
33 476 children with congenital VI and to relate this to current precise levels of vision reduction.
34 477 The study provides persuasive evidence that children with VI, including with severe to
35 478 profound vision reduction, could succeed in auditory and verbal neuropsychological
36 479 tests of working memory, attention and verbal fluency to the same level as matched con-
37 480 trols with typical sight.

38 481 The results of the current investigation have potentially important implications for
39 482 clinical and educational practice. The results of the parent behavioural questionnaire
40 483 may indicate that even though a child may be doing relatively well at school on academic
41 484 tasks, some of their behavioural EF abilities may not be developing as smoothly and any
42 485 constraint in this area could impact on secondary school years where higher autonomy
43 486 and independence is required. Further research would be useful in a larger sample of 11-
44 487 15 year olds to investigate whether children can apply their cognitive or behavioural EF
45 488 abilities in the secondary school environment. In middle childhood, parents may be the
46 489 first to be concerned about their child's difficulties at home, but educators or clinicians
47 490 also need to be alerted to the child struggling in sustaining or dividing their attention in a
48 491 busy classroom, or taking initiative, or shifting between mental sets or tasks, or generat-

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4 492 ing new ideas to devise and follow goal-directed plans. Of further clinical concern, EF
5 493 difficulties in older children with VI predict greater behaviour problems and socio-
6 494 emotional difficulties (Heyl and Hintermair, 2015). In these circumstances, a specialised
7 495 clinical neuropsychological assessment could be valuable in identifying needs and
8 496 providing guidance or intervention for supporting EF abilities. Further research would
9 497 be beneficial for developing and evaluating interventions to assist the more vulnerable
10 498 school aged children with VI and weaker EF abilities. Greater severity of VI is a particular
11 499 risk factor, but even in mild-moderate VI some children struggle in this area.

14 500 This study limited itself to higher functioning children with VI and there are many
15 501 children with VI who also have additional neurological impairment (Rahi and Cable
16 502 2003); it is predicted that they will struggle to a greater extent with EF related abilities
17 503 (Heyl and Hintermair, 2015). Autism related difficulties are present in a significant pro-
18 504 portion of children with VI (Mukkades et al 2007, Parr et al 2010) and according to re-
19 505 search on children with isolated autism (Ozonoff, Pennington and Rogers 1991) a higher
20 506 level of EF related difficulties is predicted in this subgroup. Intellectual disabilities are
21 507 also highly prevalent in children with congenital VI (Alimovic, 2013) that are likely to
22 508 impact on executive abilities, but it not yet clear if this arises as a consequence of visual
23 509 deprivation or as a comorbid disorder. Further research and clinical investigations and
24 510 interventions are recommended for these vulnerable subgroups.

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7 748 **Figure captions**
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10 749 **Figure 1:**

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12 750 **a) Results of the sustained and divided auditory attention task**

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14 751 The distribution of standardized tests scores in the sustained and divided auditory atten-
15 752 tion condition are shown for the VI group (black) and the control group (grey). The solid
16 753 grey line indicates the mean of the normative sample. The dashed lines show one stand-
17 754 ard deviations variance of the mean of the normative sample (Robertson, Ward, Ridge-
18 755 way, & Nimmo-Smith, 1994). There were no significant differences between groups in
19 756 either condition.

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21
22 757 **b) Results of the semantic, phonemic, and switching verbal fluency assessment**

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24 758 The distribution of standardized scores is shown for the VI (black) and control group
25 759 (grey) in the phonemic, semantic, and switching conditions. Number of response and
26 760 switching accuracy are shown separately for the switching condition (Delis et al., 2001).
27 761 The solid grey line indicates the mean of the normative sample and the dashed lines
28 762 show one standard deviation variance from the norm mean.

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31 763 **c) Results of the everyday executive ability parent questionnaire**

32
33 764 The mean score and standard error on each scale is shown for children in the VI (black)
34 765 and control group (grey). The solid grey line indicates the mean scores of the normative
35 766 sample, the dashed grey lines show one standard deviation of variance from the mean.
36 767 Scales on the left of the vertical black line made up the Behavioral Regulation Index,
37 768 while scales to the right were summarized in the Metacognitive Index (Gioia et al., 2000).
38 769 There were significant differences on all scales, except for Organization of Materials.
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7 771 **Table captions**
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10 772 **Table 1: Characteristics of participants in the VI group**

11 773 Demographic information and results of verbal ability and visual acuity assessments are
12 listed. Abbreviations: MVI: mild/moderate VI (degraded visual acuity); SVI: severe VI
13 (basic form vision); PVI: profound VI (light perception at best); WISC: Wechsler Intelli-
14 gence Scale for Children 4th edition;
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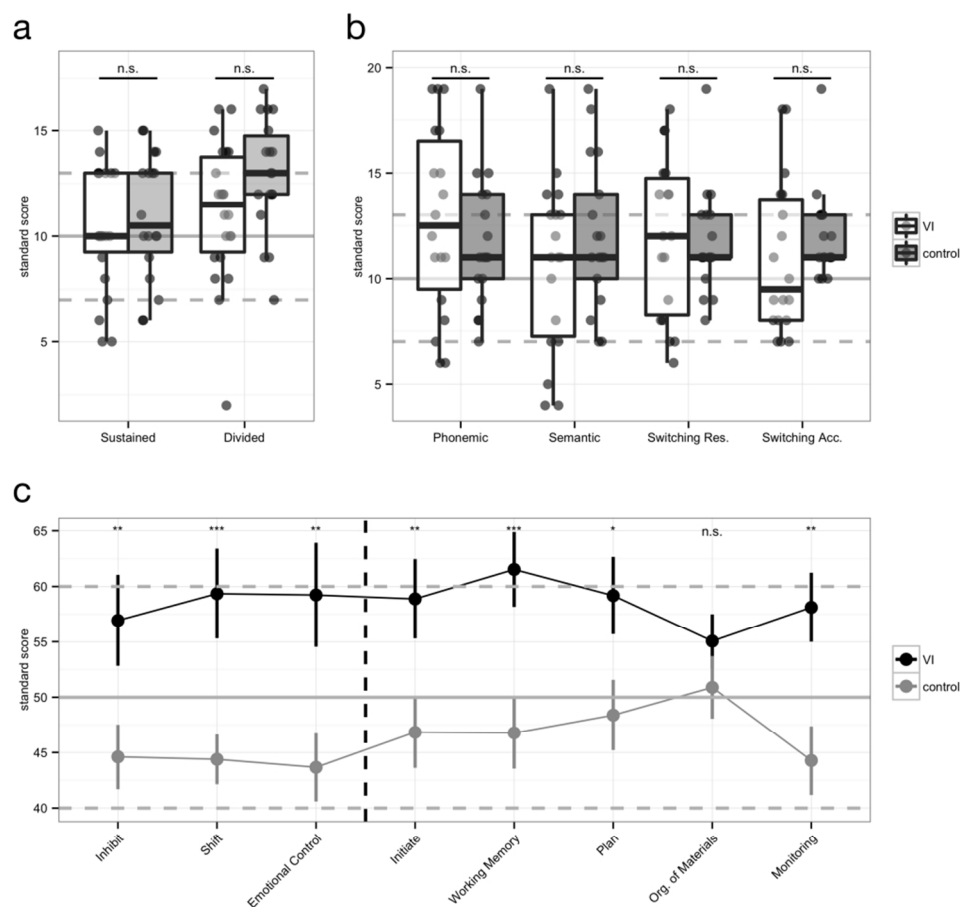
16 777
17 778 **Table 2: Characteristics of the typically-sighted (TS) control group**

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20 779 **Table 3: Descriptive statistics of mean scores and standard errors of the**
21 **mean (SE) across executive function measures**
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a) Results of the sustained and divided auditory attention task

The distribution of standardized tests scores in the sustained and divided auditory attention condition are shown for the VI group (black) and the control group (grey). The solid grey line indicates the mean of the normative sample. The dashed lines show one standard deviation variance of the mean of the normative sample (Robertson, Ward, Ridgeway, & Nimmo-Smith, 1994). There were no significant differences between groups in either condition.

b) Results of the semantic, phonemic, and switching verbal fluency assessment

The distribution of standardized scores is shown for the VI (black) and control group (grey) in the phonemic, semantic, and switching conditions. Number of response and switching accuracy are shown separately for the switching condition (Delis et al., 2001). The solid grey line indicates the mean of the normative sample and the dashed lines show one standard deviation variance from the norm mean.

c) Results of the everyday executive ability parent questionnaire

The mean score and standard error on each scale is shown for children in the VI (black) and control group (grey). The solid grey line indicates the mean scores of the normative sample, the dashed grey lines show one standard deviation of variance from the mean. Scales on the left of the vertical black line made up the Behavioral Regulation Index, while scales to the right were summarized in the Metacognitive Index (Gioia et al., 2000). There were significant differences on all scales, except for Organization of Materials.

Table 1: VI group characteristics

ID	Gender	Age [y]	VerbComp	logMar	Near Detection	Vision Group	Visual Disorder
MVI 1	female	9.19	114	0.1	-	MVI	congenital nystagmus
MVI 2	female	13.32	95	0.4	-	MVI	Ocular fibrosis
MVI 3	female	11.91	104	0.5	-	MVI	bilateral optic nerve hypoplasia
MVI 4	male	12.34	-	0.54	-	MVI	rod-cone dystrophy
MVI 5	female	8.27	104	0.6	-	MVI	oculocutaneous albinism
MVI 6	male	12.06	104	0.6	-	MVI	congenital nystagmus
MVI 7	male	10.64	116	0.6	-	MVI	congenital nystagmus
MVI 8	male	9.82	93	0.7	-	MVI	ocular albinism, congenital nystagmus
MVI 9	female	12.26	96	-	left: 0.23, right: light perception	MVI, PVI	unilateral optic nerve hypoplasia
SVI 1	female	10.98	87	0.9	-	SVI	hereditary progressive cone dystrophy
SVI 2	male	11.69	148	0.9	-	SVI	oculocutaneous albinism
SVI 3	female	10.98	78	1.1	-	SVI	FEVR, <i>LRP5</i> mutation
SVI 4	male	9.57	119	1.2	-	SVI	Leber's congenital amaurosis
SVI 5	male	9.01	-	1.225	-	SVI	ocular albinism, nystagmus
SVI 6	male	9.91	96	1.225	-	SVI	Norrie's disease
SVI 7	female	11.04	75	-	1.5cm sweet from 20cm	SVI	Leber's congenital amaurosis
SVI 8	female	9.86	95	-	12.5cm wooly ball 50cm	SVI	bilateral micro-ophthalmia, <i>SOX6</i> mutation
PVI 1	male	10.36	134	-	light perception only	PVI	Leber's congenital amaurosis
	9 female	mean=10.73	mean=103.63				
	9 male	SE=0.31	SE=4.41				

Abbreviations: MVI: mild visual impairment, SVI: severe visual impairment, PVI: profound visual impairment, VerbComp: WISC-IV Verbal Comprehension age-normed score, FEVR: familial exudative vitreoretinopathy

Table 2: Control group characteristics

ID	Gender	Age [y]	VerbComp	logMAR
C 1	female	8.56	98	-0.3
C 2	female	8.73	110	0.1
C 3	male	8.90	116	-0.3
C 4	male	9.08	102	0.1
C 5	female	9.12	98	-0.1
C 6	male	9.34	108	-0.2
C 7	male	10.07	96	0.1
C 8	male	10.16	134	0.0
C 9	male	10.37	106	0.0
C 10	male	10.74	102	-0.2
C 11	female	10.78	134	0.1
C 12	female	10.82	116	-0.2
C 13	female	10.89	83	0.0
C 14	female	11.09	130	-0.3
C 15	female	11.78	144	0.1
C 16	male	12.70	106	-0.2
C 17	male	12.77	130	-0.2
C 18	male	12.92	124	-0.3
	8 female	mean=10.49	mean=113.17	
	10 male	SE=0.32	SE=3.87	

Table 3: Results of executive function assessment

		S/PVI		MVI		control	
		mean	SE	mean	SE	mean	SE
WM	Total	99.1	12.59	101.25	4.08	100.50	2.41
	Digit Span	10.44	1.21	8.75	0.70	9.22	0.66
	L-N Seq	9.56	1.59	10.12	0.97	11.17	0.32
Aud Att	Sustained	10.11	1.02	10.38	0.84	10.94	0.70
	Divided	10.78	1.39	11.00	1.10	12.89	0.64
Verb Fl	Letter	12.75	1.95	13.00	1.30	12.63	0.99
	Category	9.25	1.86	11.12	0.93	12.06	0.87
	Swth Resp	11.12	1.52	12.50	1.32	11.76	0.62
	Swth Acc	10.50	1.50	12.00	1.16	12.06	0.52
BRIEF	GEC	62.86	5.43	58.12	7.33	45.11	3.25
	BRI	59.14	6.51	58.88	6.96	42.83	4.10
	MI	62.86	4.68	57.75	6.85	43.50	1.93

Abbreviations: Aud Att: Auditory Attention; BRI: Behavioral Regulation Index; BRIEF: Behavior Rating Inventory of Executive Function; EF: Executive Function; GEC: Global Executive Composite; L-N Seq: Letter-Number Sequence; MI: Metacognitive Index; MVI: mild-to-moderate visual impairment; S/PI: severe-to-profound visual impairment; SE: standard error of the mean; Swth Acc: Switching Accuracy; Swth Resp: Switching Responses; Verb Fl: Verbal Fluency; VI: visual impairment, WM: Working Memory