

Open Access as a new role for libraries – a UCL Case Study

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Content

- ❑ **UCL**
 - ❑ The context
- ❑ **The Library as Leader**
 - ❑ Policy and advocacy
- ❑ **The Library and Open Access Publications**
 - ❑ Green OA
 - ❑ Gold OA
- ❑ **The Library as Publisher**
 - ❑ UCL Press
- ❑ **Conclusions**



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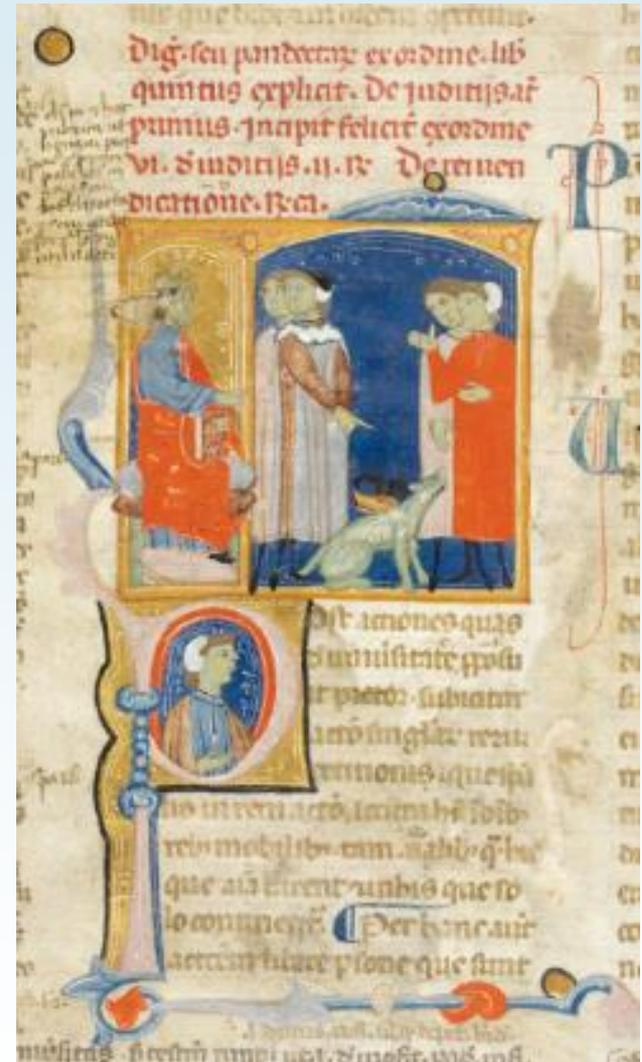


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UCL Research

- ❑ UCL is the top-rated university in the UK for research strength
- ❑ Total external grant income in 2014-15 £409 million, placing UCL 2nd in the UK behind Oxford
- ❑ Total research income (2014-15) from UK research councils was £148.3 million, placing UCL 1st in UK rankings
- ❑ According to Thomson Reuters Incites, UCL produced 1,567 Highly Cited papers between 2010 and 2015, 11th among global universities
- ❑ All of UCL's research, subject to permissions, can be placed in [UCL Discovery](#) – UCL's online repository, available to everyone.



Justinian's 'Pandects'. A parchment fragment from the 14th century, consisting of one double folio leaf. UCL Library Services

New UCL Student Centre (2018-19)

New Student Centre



- ❑ 1,000 learning spaces
- ❑ No physical books
- ❑ Public-facing services
Student and Registry
Services
- ❑ NSC to be run by the Library

Content

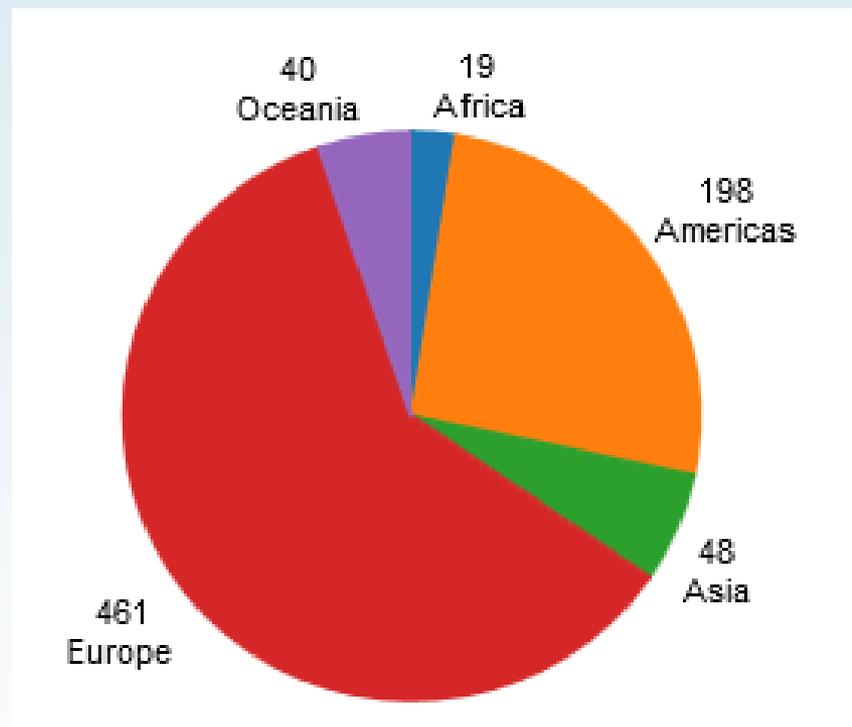
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The Library as Leader

- Europe has nearly two thirds of all mandatory OA policies
- Where to start?
- [LERU](#) (League of European Research Universities) gives libraries a leadership role in OA



[OA policies](#)
[PASTEUR4OA](#)



LERU Roadmap

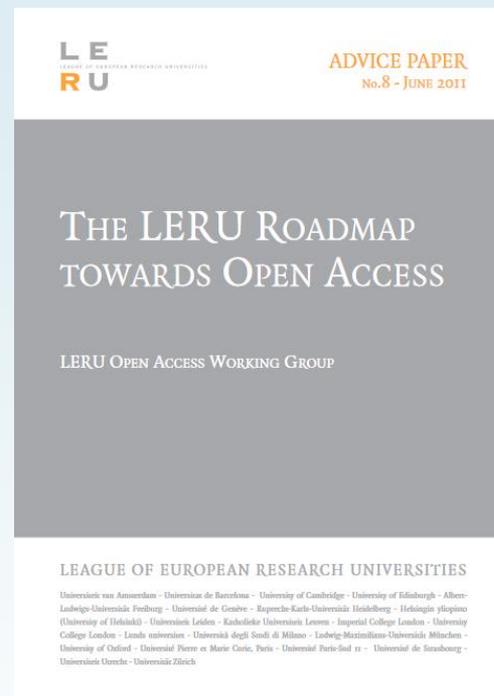


Issues highlighted by LERU

- Costs
- Models
- Rights and rewards
- Technologies

Pasteur4OA

- 56 [advocacy](#) sources
- 17 [Case Studies](#)
- 28 [Briefing Papers](#)
- 6 papers on [policy guidance](#)



Routes to Open Access

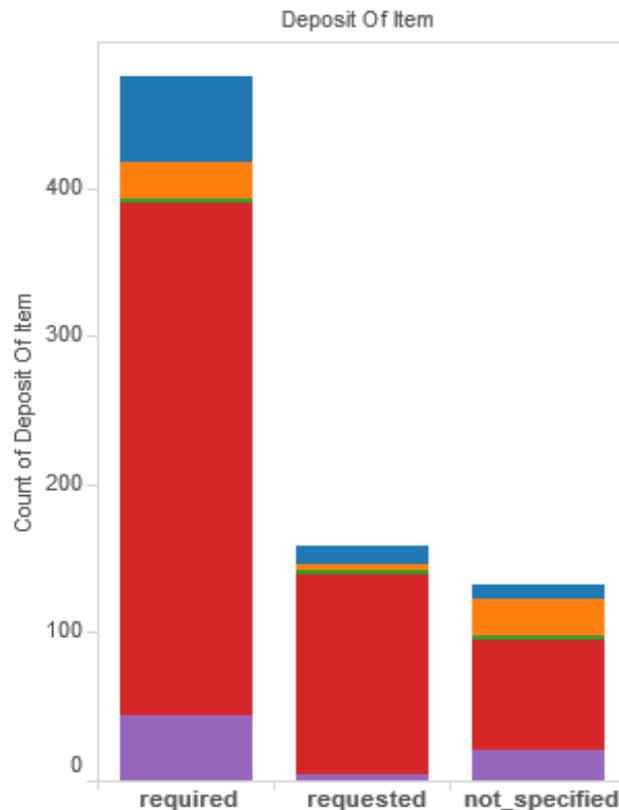
Open Access Policies using Green and Gold Criteria by Policymaker

From [Tableau](#):

Policymaker Type

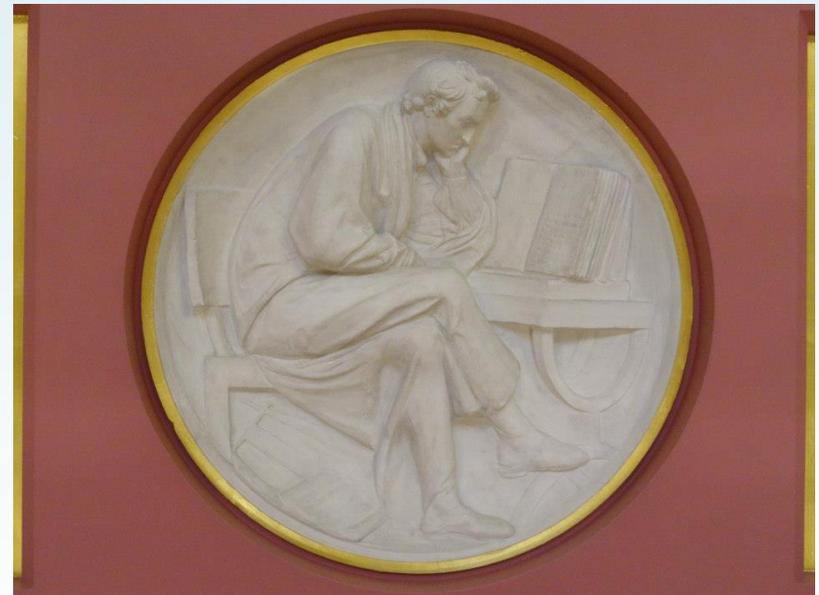
- funder
- funder_and_research_org
- multiple_research_orgs
- research_org
- research_org_subunit

Open Access Policies: Green and Gold Criteria by Policymaker type

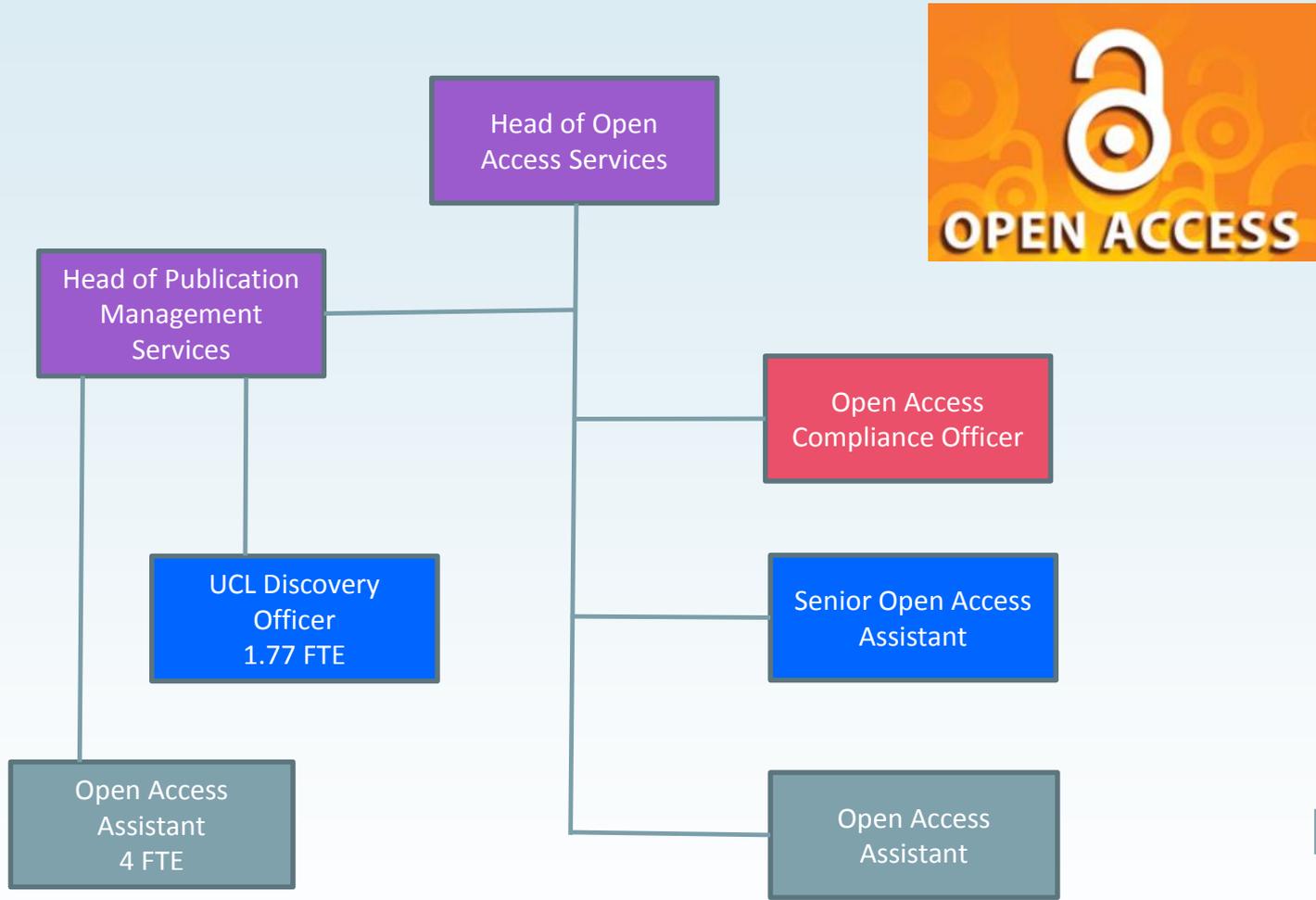


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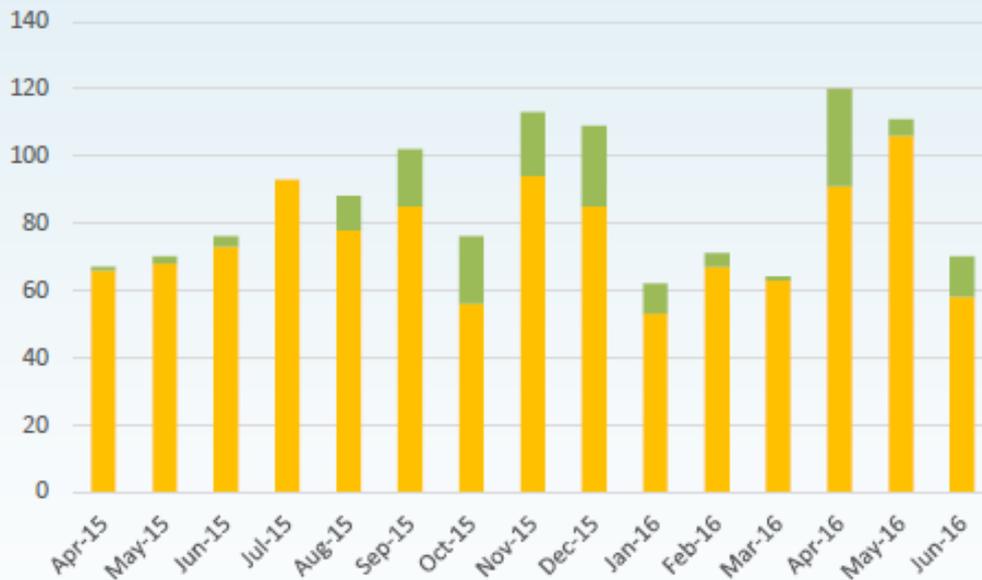


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RCUK Compliance

- Year 4 target, April 16 – March 17: 1090 OA papers
- Performance at end June 2016: 423 papers (39% of target)



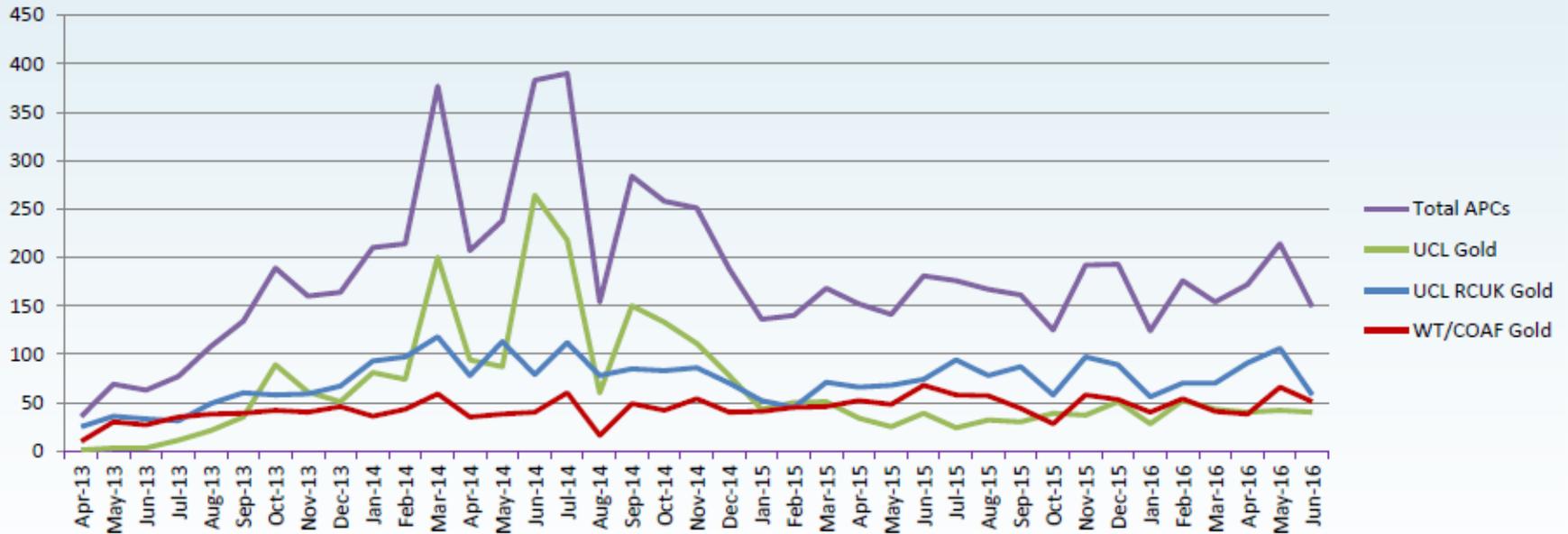
All APCs

7,072 APCs paid since April 2013

RCUK: 2,840

COAF/WT: 1,707

UCL Gold: 2,525

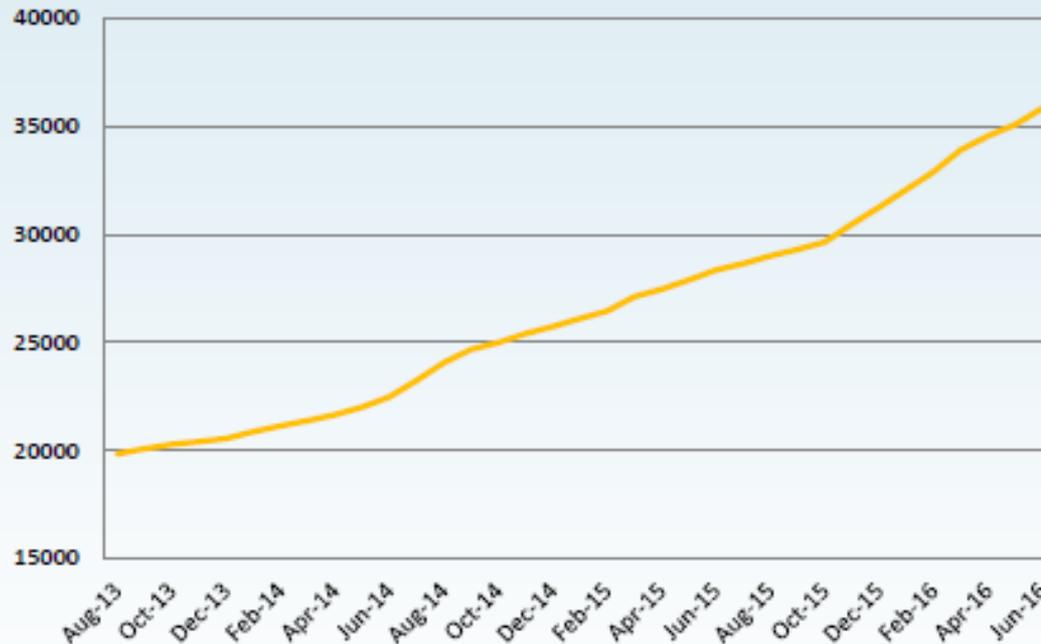


How much does it cost?

- Research Council grants covered in separate budgets
- Individual APCs account for 11% of budget
- Prepayments and memberships (for discounted APCs) account for 69%

Budget: August 2015-July 2016	UCL Open Access	Date: 29 June 2016
	Budget	Expended
Award	£1,303,812	
APCs		£146,673
Prepayments & Memberships		£898,924
Publicity		£2,386
Other		£260,804
Total expended / committed	£1,308,788	
UCL OA Free balance	-£4,976	
% expended / committed	100%	

UCL Discovery: Open Access records



- Total OA: 35,844 outputs to end June 2016
- Average monthly increase 13/14: 312 outputs
- Average monthly increase 14/15: 450 outputs
- Average monthly increase 15/16 to end June 2016: 654 outputs

Figures comprise local Green full text, and records with links to externally-held OA full text

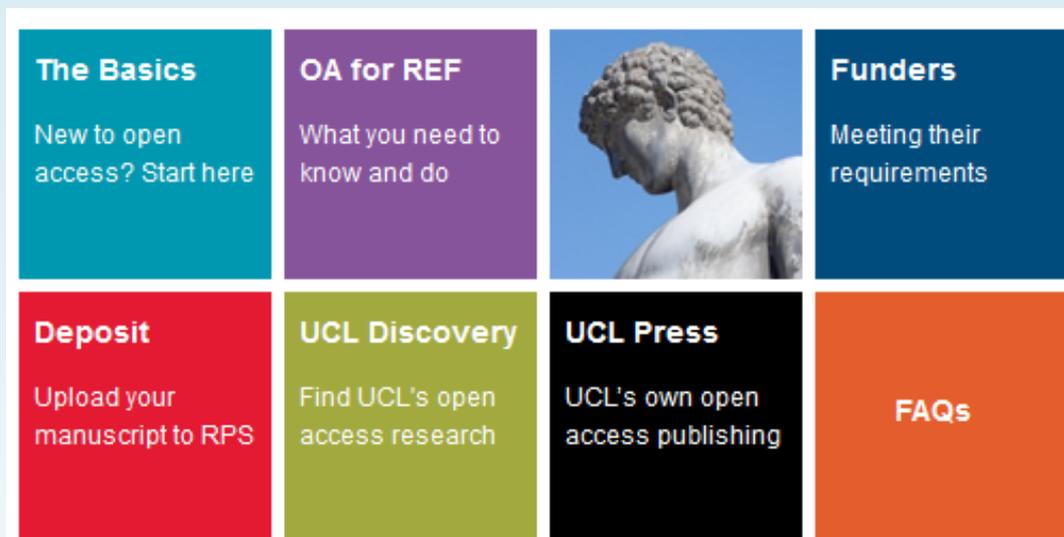


Felbrigg Hall, Norfolk

REF 2020



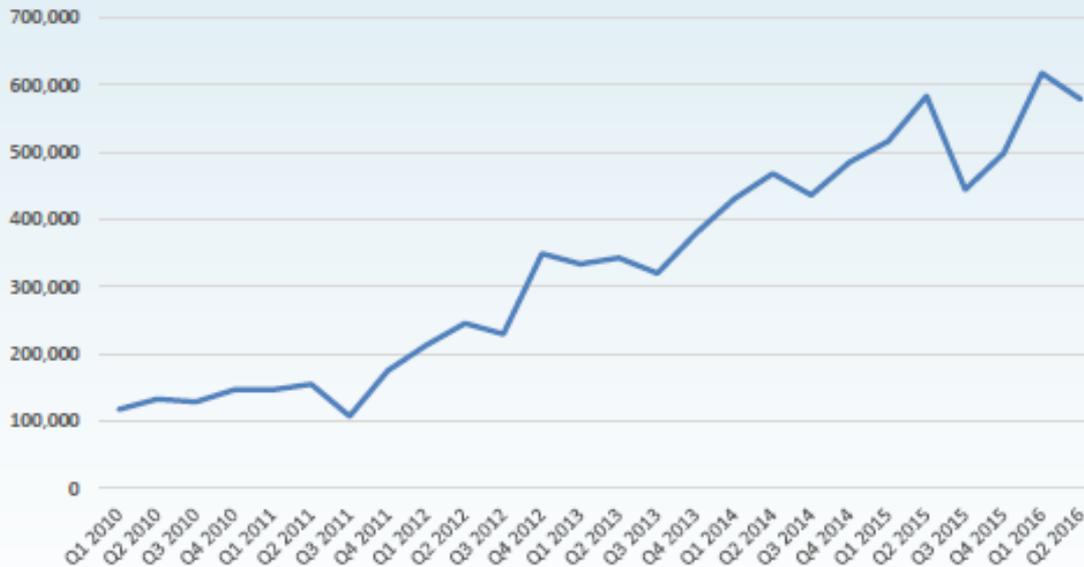
- ❑ Journal articles and conference proceedings accepted from **1 April 2016** must have been deposited in an open access repository
- ❑ [Upload](#) your paper at once. Outputs not uploaded within 3 months of publication cannot be submitted to the REF



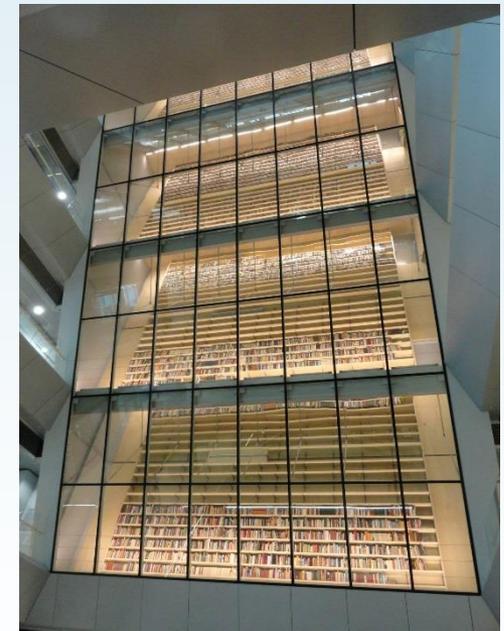
- ❑ The version to upload is the [final accepted manuscript](#)
- ❑ The [Open Access Team](#) will make your paper open access through [UCL Discovery](#) according to the publisher's copyright permissions (usually after an embargo period)

UCL Discovery downloads per quarter

Full-text downloads to 30 June 2016



- 2016 to date: 1,197,038 downloads
- Q2 2016: 579,121 downloads
- Lifetime downloads (from Feb 08): 9,970,658
- 10 millionth lifetime download expected imminently



National Library of Latvia

Most-downloaded outputs Q2 2016

1	Miller, D; Costa, E; Haynes, N; McDonald, T; Nicolescu, R; Sinanan, J; Spyer, J; (2016) How the World Changed Social Media. [Book]. Why we post. UCL Press: London, UK.	4,560
2	Pheng, L.S.; (1990) Marketing theories and concepts for the international construction industry: a study of their applicability at the global, national and corporate perspectives. Doctoral thesis, University of London.	2,650
3	Fletcher, T.S.B.; (2012) Machine learning for financial market prediction. Doctoral thesis, UCL (University College London).	2,301
4	Kassem, A.H.; (2006) The legal aspects of seaworthiness: current law and development. Doctoral thesis, Swansea University.	2,038
5	Brennan, F.P.; (1992) Fatigue and fracture mechanics analysis of threaded connections. Doctoral thesis, University of London.	1,812
6	Brooke, D.A.; (1999) Confessions, illegally/improperly obtained evidence and entrapment under the Police and Criminal Evidence Act 1984 : changing judicial and public attitudes to the police and criminal investigations. Doctoral thesis, University of London.	1,802
7	Sharp, H.; Finkelstein, A.; Galal, G.; (1999) Stakeholder identification in the requirements engineering process. In: Proceedings of 10th International Workshop on Database & Expert Systems Applications (DEXA). (pp. pp. 387-391). IEEE Computer Society Press	1,692
8	Latif, A.; (1999) A study of the design of fluidized bed reactors for biomass gasification. Doctoral thesis, University of London.	1,673
9	Steadman, P; (2006) Why are most buildings rectangular? Architectural Research Quarterly , 10 (2) pp. 119-130.	1,499
10	Liu, Q.; (2010) Efficiency analysis of container ports and terminals. Doctoral thesis, UCL (University College London).	1,457

DART-Europe research theses portal



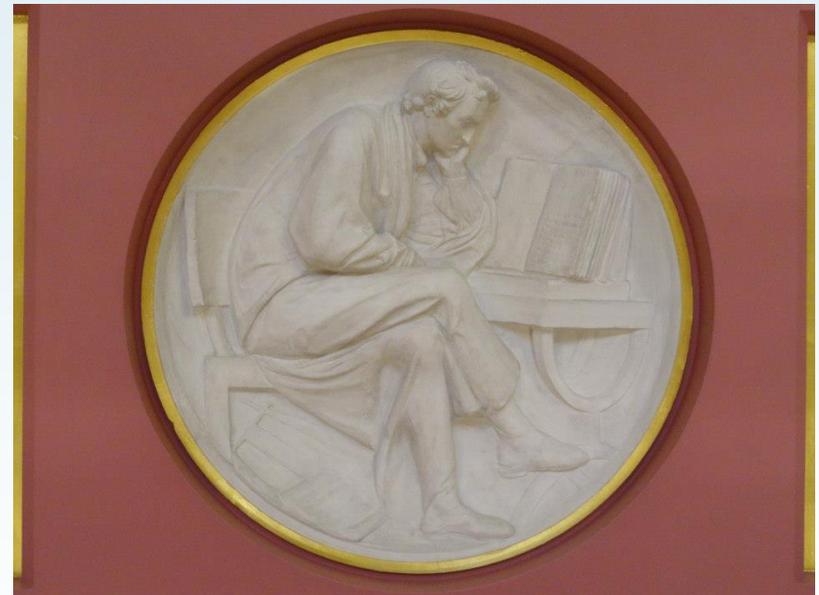
- ❑ [DART-Europe](#) (23/8/16)
- ❑ 695,281 research theses
 - ❑ 595 Universities
 - ❑ 28 European countries
- FINLAND
 - All (11854)
 - Aalto University (2685)
 - Abo Akademi (1)
 - University of Eastern Finland (1379)
 - University of Helsinki (4411)
 - University of Jyväskylä (1654)
 - University of Oulu (1)
 - University of Tampere (1723)
- ❑ Missing
 - University of Turku
 - University of Vaasa
 - University of Lapland



Felbrigg Hall,
Norfolk

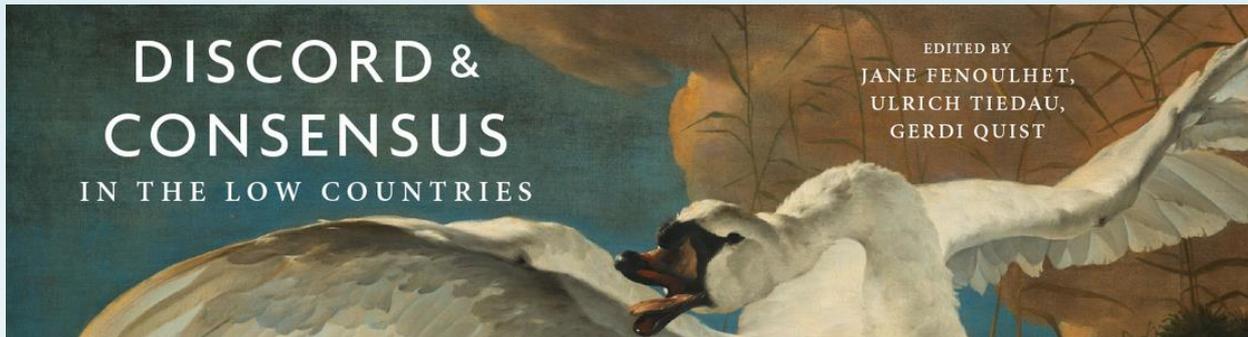
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UCL Press



- Most Open Access policies exclude research monographs and textbooks
- [UCL Press](#) is the first fully Open Access University Press in the UK
- Launched in May 2015
- Open Access is seen as an opportunity, not a threat
- Founded following assessment of academic demand in UCL

UCL Publishing model

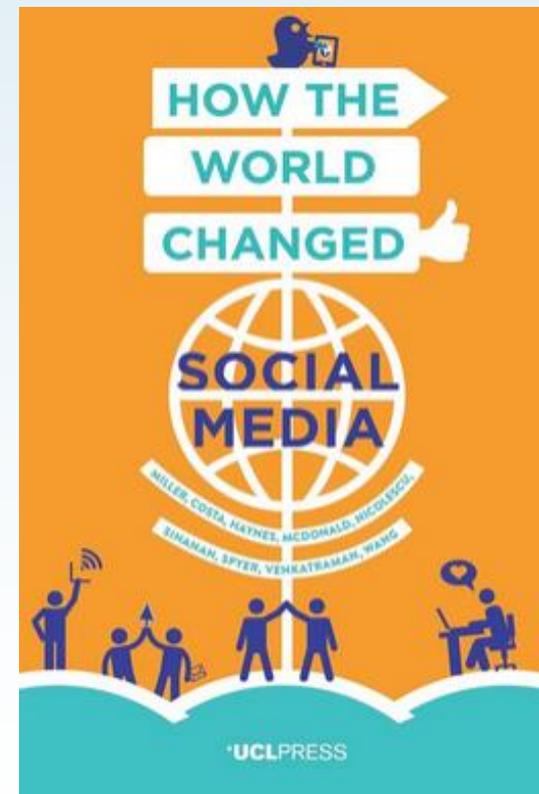


- ❑ OA business model
- ❑ Sales via Print on Demand
- ❑ Enhanced digital interface
- ❑ Books peer reviewed before publication
- ❑ Textbook activity
- ❑ Journal programme
- ❑ Global impact for the University



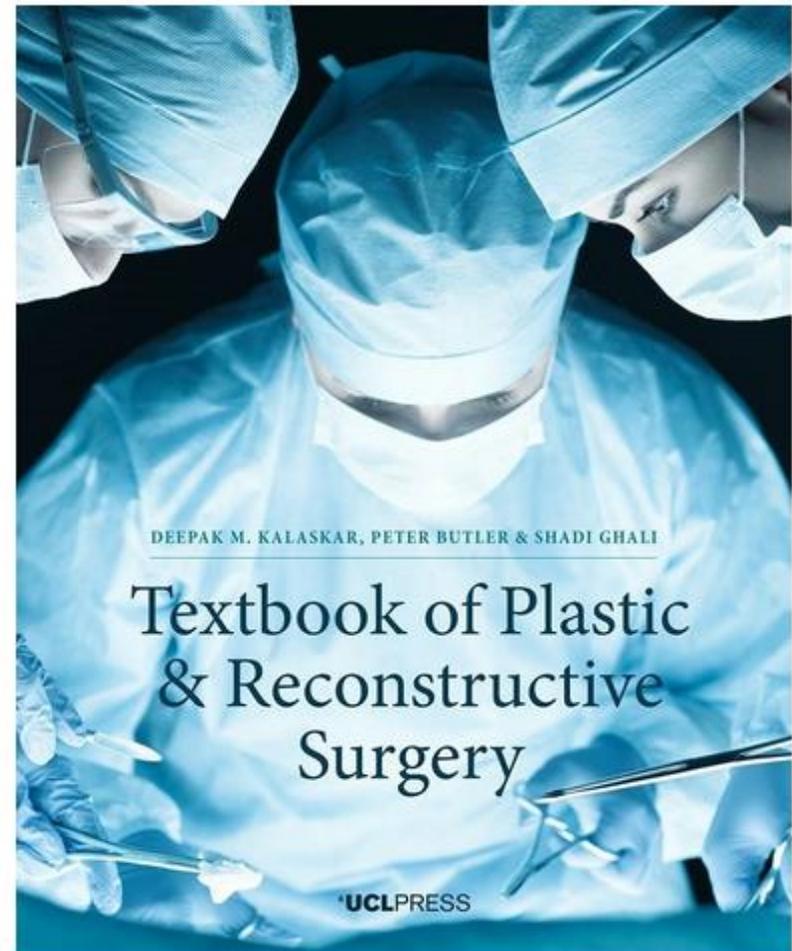
Research Monographs in Year 1 (June 15 – July 16)

- ❑ 13 research monographs published
- ❑ 41,469 downloads from UCL Discovery
- ❑ 19,181 enhanced digital platform page views
- ❑ 2,272 print sales



Textbooks

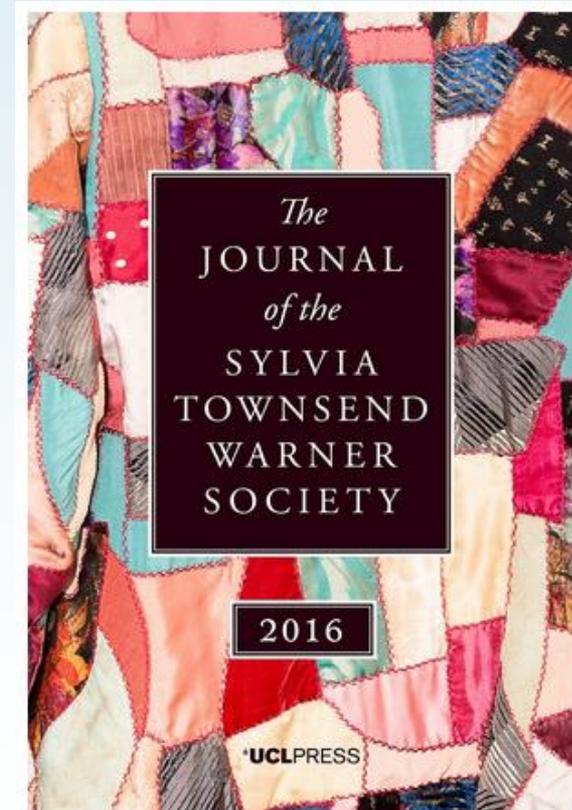
- ❑ Written by Deepak Kalaskar, Peter E M Butler, and Shadi Ghali from The Royal Free Hospital, London. The textbook offers a comprehensive overview of reconstructive plastic surgery for introductory plastic surgery and surgical science courses. June 2016



Journals

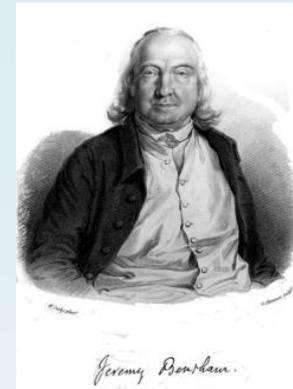
- ❑ 4 [published journals](#)
- ❑ Hosted on Ingenta Platform

- ❑ [Architecture MPS](#)
- ❑ [Jewish Historical Studies](#)
- ❑ [Journal of the Sylvia Townsend Warner Society](#)
- ❑ [The London Journal of Canadian Studies](#)



Hosted Journals

- [Interscript](#)
- [Object](#)
- [Slovo](#)
- [Tropos](#)
- [The Journal of Bentham Studies](#)
- [Think Pieces: A Journal of the Arts, Humanities, and Social Sciences](#)
- [UCL Journal of Law and Jurisprudence](#)



- Overlay journal system
- Content sits in UCL Discovery
- OJS sits on top as overlay
- Library manages repository content
- Editorial control sits with academic Department

UCL Press Consultancy and Publishing services



- ❑ Consultancy services to those universities wishing to set up their own OA publishing activity
- ❑ Publishing services for those universities who wish UCL to act as their publisher
 - ❑ UCL undertakes publishing, branded for the commissioning university, & maintenance of files, & marketing
 - ❑ Commissioning university manages book/journal programme and liaison with authors



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Conclusions

- ❑ Open Access is an opportunity, not a problem
- ❑ Library can assert a leadership role in OA
 - ❑ Repository management
 - ❑ Management of OA funds
 - ❑ Advocacy across the institution
- ❑ Library as publisher
 - ❑ A creator, not simply a conserver or cataloguer of knowledge
- ❑ New role for Library in research, learning and innovation agendas
- ❑ Happy to hear questions

