

## Visual Acuity Loss and Associated Risk Factors in the Retrospective Progression of Stargardt Disease Study (ProgStar Report No. 2)

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**Running head:** Visual acuity loss in Stargardt disease

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1 **Abstract**

2 **Purpose:** To examine the association between characteristics of Stargardt disease and visual  
3 acuity (VA), to estimate the longitudinal rate of VA loss, and identify risk factors for VA loss.

4 **Design:** Retrospective, multi-center cohort study.

5 **Participants:** 176 patients (332 eyes) with molecularly and clinically confirmed Stargardt  
6 disease enrolled from the USA and Europe.

7 **Methods:** Standardized data report forms were used to collect retrospective data on  
8 participants' characteristics and best-corrected or presenting VA from medical charts. Linear  
9 models with generalized estimating equations were used to estimate the cross-sectional  
10 associations, and linear mixed effects models were used to estimate the longitudinal VA loss.

11 **Main Outcome Measures:** Yearly change in visual acuity.

12 **Results:** The median duration of observation was 3.6 years. At baseline, older age of  
13 symptom onset was associated with better VA, and a longer duration of symptoms with  
14 worse VA. Longitudinal analysis estimated an average of 0.3 lines loss ( $p < 0.0001$ ) per year  
15 overall, but the rate varied according to baseline VA: (i) eyes with baseline VA better than or  
16 equal to 20/25 ( $N=53$ ) declined at a rate of  $\sim 1.0$  line per year; (ii) eyes with VA between  
17 20/25 and 20/70 ( $N=65$ ) declined at a rate of  $\sim 0.9$  lines per year; (iii) eyes with VA between  
18 20/70 and 20/200 ( $N=163$ ) declined at a rate of 0.2 lines per year; and (iv) eyes with VA  
19 worse than 20/200 ( $n=49$ ), improved at a rate of 0.5 lines per year. Older age of onset was  
20 associated with slower VA loss: patients with onset age  $>30$  years showed 0.4 lines slower  
21 change of VA per year ( $p=0.01$ ) compared to patients with onset  $\leq 14$  years.

22 **Conclusion:** Given the overall slow rate of VA loss, VA is unlikely to be a sensitive outcome  
23 measure for treatment trials of Stargardt disease. However, given the faster decline in  
24 younger patients and those with no or mild visual impairment, VA may be a potential  
25 outcome measure for trials targeting such subgroups of patients. These observations will  
26 need to be assessed in a prospective study bearing in mind the inherent limitations of  
27 retrospective datasets.

28

## 29 **Introduction**

30 Stargardt macular dystrophy (STGD1; OMIM: 248200) is the most common macular  
31 dystrophy with a prevalence of 10-12.5 per 100,000 persons,<sup>1</sup> and is inherited as an  
32 autosomal recessive trait.<sup>2</sup> It is characterized by the appearance of yellowish-white lesions  
33 called fundus flecks at the level of the retinal pigment epithelium (RPE) and by the  
34 development of atrophic lesions. Patients with STGD1 experience progressive impairment of  
35 visual acuity which often begins in the first or second decade of life, but some patients may  
36 maintain good VA until the fourth or fifth decade of life.<sup>3</sup> Currently there is no approved  
37 treatment for the disease, with on-going phase I/II clinical trials based on gene, stem cell,  
38 and pharmacological therapy.

39  
40 There are limited data documenting the rate of change of visual acuity (VA) in STGD1.  
41 Several studies have reported average VA measured at two study visits,<sup>4-6</sup> these analyses  
42 however did not take into consideration the variable length of follow-up of the study  
43 participants. Rotenstreich et al. estimated the time to reach VA of 20/200 and its association  
44 with age among participants with VA of 20/40 or better, or VA between 20/50-20/100, at  
45 their first study visit.<sup>7</sup> Oh et. al. compared the time to vision loss of 20/200 VA among  
46 different clinical phenotypes.<sup>8</sup> More recently, the longitudinal analysis from Testa et al,<sup>9</sup>  
47 estimated the yearly progression rate of best corrected VA in STGD1 patients with an age of  
48 onset younger than 30 years.

49  
50 To better understand visual function loss in STGD1 and to help assess the appropriateness of  
51 VA as an outcome measure for future treatment trials, we analyzed data from the  
52 retrospective multi-center study on “the natural history of the Progression of Atrophy

53 Secondary to Stargardt Disease (ProgStar)". Our specific purposes were to examine the  
54 cross-sectional relationship between participant demographic, clinical characteristics and  
55 baseline VA, to estimate the yearly rate of VA loss using the longitudinal data, and to identify  
56 participant demographic and clinical characteristics associated with yearly VA change rate.  
57 We identified that the rate of VA loss in the entire cohort was too slow to be an effective  
58 clinical trial outcome measure. However, a faster decline in younger patients and those with  
59 no or mild visual impairment at baseline, suggests that VA may be a potential end-point in  
60 these patient subgroups, and is worthy of assessment in a prospective study bearing in mind  
61 the inherent biases of retrospective data.

62

### 63 **Participants and Methods**

64 Data for this analysis are derived from the retrospective ProgStar study which has been  
65 described in detail elsewhere.<sup>10</sup> In brief, from March of 2013 to December of 2014, eligible  
66 participants were identified and enrolled through retrospective review of medical charts at  
67 nine participating sites, including six sites from the United States, and one site each from the  
68 United Kingdom, France and Germany. Inclusion criteria were:<sup>10</sup> (1) presence of at least one  
69 well-demarcated area of atrophy with a minimum diameter of 300  $\mu\text{m}$ , with the total area of  
70 all atrophic lesions being less than or equal to 12  $\text{mm}^2$  at the most recent visit; (2) presence  
71 of at least two likely disease-causing variants in *ABCA4*, or one likely disease-causing variant  
72 associated with at least one eye with flecks at the level of the RPE typical for STGD1; (3)  
73 sufficient quality of images and/or psychophysical tests; (4) age at least six years at the most  
74 recent visit; (5) follow-up for at least two visits over a period of at least 24 months, up to 60  
75 months between single visits, and must have had at least one test of the following  
76 completed at each visit for the same eye(s): FAF obtained with a Heidelberg Engineering®

77 instrument (e.g. HRA2) and/or SD-OCT obtained with the Heidelberg® Spectralis and/or MP  
78 obtained with the Nidek® MP-1

79 Exclusion criteria were: (1) presence of ocular disease in either eye that may confound  
80 assessment of the retina morphologically and functionally; (2) intraocular surgery in the  
81 study eye(s) within 90 days prior to any eligible visit; (3) current or previous participation in a  
82 clinical trial to treat STGD1; and (4) current participation in, or participation within the last  
83 six months in, any drug trial.

84

85 Prior to data collection, site investigators and study coordinators received training from the  
86 data coordinating center (DCC) in chart review, reporting of VA, and in data entry using the  
87 REDCap (Research Electronic Data Capture) system ([http://www.project-](http://www.project-redcap.org/cite.php)  
88 [redcap.org/cite.php](http://www.project-redcap.org/cite.php)). A standardized clinical report form (CRF), designed by the DCC, was  
89 used at all sites to record information on VA, results from the biomicroscopy of the anterior  
90 segments and dilated fundus examination, and use of vitamin A supplementation at each  
91 study visit. Participant's age at enrollment, gender, race and age of symptom onset were  
92 identified from chart review and recorded in a standardized demographic form. For each  
93 participant, data of up to four visits were collected.

94

95 Monocular VA was measured using either Snellen or "Early Treatment of Diabetic  
96 Retinopathy Study (ETDRS)" charts,<sup>11</sup> and the measurements extracted from chart review  
97 were entered into the CRF. A participant may have multiple types of VA captured at a visit,  
98 including best or presenting VA with correction (BPC VA), uncorrected (SC), and pinhole VA.

99 Up to two types of VA were recorded in the CRF for each eye at each visit. As BPC VA

100 constituted the major type of VA measurement, all downstream analyses used BPC VA.

101

102 The retrospective ProgStar study was approved by the Western Institutional Review Board  
103 (WIRB), the local institutional review boards (IRB), and the Human Research Protection  
104 Office (HRPO) of the U.S. Army Medical Research & Materiel Command (USAMRMC). The  
105 study was registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (Identifier NCT01977846). If required by the  
106 local IRB, participants' consent was obtained prior to data collection.

107

### 108 **Statistical analysis**

109 Participant demographic and clinical characteristics at the first study visit (baseline visit)  
110 were summarized. Baseline data of study eyes were used to explore the cross-sectional  
111 association of VA with demographics including age ( $\leq 18$ ,  $>18-50$ ,  $50+$  years), gender, and  
112 race (white vs. non-white), and clinical characteristics including age at symptom onset ( $\leq 14$ ,  
113  $15-20$ ,  $21-30$ ,  $30+$ ) and duration of symptoms ( $0-2$ ,  $>2-6$ ,  $>6-11.5$  and  $>11.5-53$  years).

114

115 VA measures were converted to LogMAR scale, and univariate linear models with  
116 generalized estimating equations (GEE) were used to estimate the unadjusted cross-  
117 sectional associations while accounting for between-eye correlation, followed by  
118 multivariate linear models with GEE to estimate the adjusted associations adjusting for  
119 variables associated with VA in univariate analyses with  $p < 0.1$ . Additionally, the variables of  
120 baseline age, and age of onset and duration, were also modeled as continuous variables.

121

122 Linear mixed effects model (LMM) was used on the longitudinal data to estimate the yearly  
123 change rate of VA as described in the supplemental material (available at  
124 [www.aaojournal.org](http://www.aaojournal.org)). To further identify baseline variables associated with VA change rate,



125 LMMs were used by including each variable and its interaction with time. Baseline variables  
126 examined included the aforementioned demographics and clinical characteristics, with  
127 baseline VA also categorized on the basis of WHO's International Classification of Diseases  
128 (ICD)-10,<sup>10, 12</sup> as (i) VA better than or equal to 20/25 (LogMAR $\leq$  0.1) (i.e. no visual impairment  
129 [VI]); (ii) worse than 20/25 to 20/70 (LogMAR 0.1-0.54) (i.e. mild VI); (iii) worse than 20/70 to  
130 20/200 (LogMAR 0.54-1.0) (i.e. moderate VI); (iv) worse than 20/200 to 20/400 (LogMAR 1.0-  
131 1.3) (i.e. severe VI); and (v) worse than 20/400 (LogMAR $>$ 1.3) (i.e. blindness). The univariate  
132 association of each variable with VA change rate was first estimated. As VA progression rate  
133 was shown to differ significantly by baseline VA, adjusted associations were also estimated  
134 using multivariate LMMs including variables that were significantly associated with baseline  
135 VA at  $p < 0.1$ .

136

137 All analyses were conducted in SAS 9.3, and two-sided p-values from Wald-tests were  
138 reported. For the cross-sectional analysis using GEE models, model fit was assessed using  
139 aggregated residuals,<sup>13</sup> and for the longitudinal analysis using LMMs, model fit was assessed  
140 using plots of scaled residuals.

141

## 142 **Results**

143 Among the 251 participants enrolled in the retrospective ProgStar study, 176 participants  
144 with 332 study eyes had BPC VA measurements available for at least two visits and thereby  
145 constituted the study sample (Figure 1). There were 165 participants (94%) with at least two  
146 likely disease-causing variants in *ABCA4* (23/165 had three, and 4/165 had four disease-  
147 causing mutations). The remaining 11 participants had one likely disease-causing variant  
148 detected. The median duration of observation was 3.6 years (interquartile range [IQR] 2.7-

149 5.1 years), and each participant contributed data for 2 to 4 visits (Figure 1).

150

151 Table 1 summarizes the baseline demographic and clinical characteristics of these  
152 participants and the study eyes. There were 109 females (61.9%), and the majority of  
153 participants were white (71.6%). At baseline, the median age was 29.5 (IQR 20-42) years.  
154 Among participants whose age of symptom onset was available (N=140), median age of  
155 onset was 20 (IQR 14-30) years. The median duration from the age of onset to the baseline  
156 visit was 6 (IQR 2-11.5) years. The median Snellen VA at baseline was 20/115 and LogMAR  
157 was 0.76 (IQR 0.40-1.00), ranging from -0.10 to 1.40. Based on the categorized BPC VA, 53  
158 eyes (16.1%) had no impairment, 65 eyes (19.7%) had mild impairment, 163 (49.4%) eyes  
159 had moderate impairment, and 49 eyes (14.8%) had severe impairment or were blind (Table  
160 1 and Figure 2). Details of the excluded participants and comparisons with the included are  
161 provided in supplemental table 1 (available at [www.aaojournal.org](http://www.aaojournal.org)).

162

### 163 *Cross-sectional associations of participant characteristics with baseline visual acuity*

164 Table 2 presents the baseline VA in subgroups determined by participant characteristics and  
165 the difference of VA between subgroups. When age was modeled as a continuous variable,  
166 worsening VA was significantly associated with older age (adjusted VA LogMAR difference  
167 with every 5 years older in age: 0.04, 95%CI [0.01, 0.07], p=0.006); suggesting that the  
168 observation of worse VA associated with younger age in univariate analysis was mainly  
169 explained by earlier symptom onset in younger participants.

170

171 Figures 3A and 3B show the distribution of baseline VA by quartiles of age at onset and  
172 duration of symptoms respectively. Later symptom onset was associated with better VA in

173 both univariate and multivariate analyses (Table 2): compared to patients with onset age  
174  $\leq 14$  years and after adjusting for duration since symptom onset, both patients with onset  
175 age  $>30$  years had  $\sim 2.3$  lines better VA (LogMAR adjusted difference  $-0.23$ , 95%CI  $-0.39$ ,  $-$   
176  $0.06$ ,  $p=0.007$ ), and patients with onset age of 21-30 years had 1.1 lines better VA (LogMAR  
177 difference  $-0.11$ , 95%CI  $-0.25$ ,  $0.03$ ,  $p=0.12$ ). Longer duration since symptom onset was  
178 associated with worse VA: after adjusting for age of onset, patients who had symptoms for  
179 6-11.5 years and patients with symptoms for over 11.5 years both had 1.8 lines worse VA  
180 (LogMAR difference  $0.18$ , 95%CI  $0.03$ ,  $0.33$ ,  $p=0.002$ , and difference  $0.18$ , 95%CI  $0.02$ ,  $0.33$ ,  
181  $p=0.03$ , respectively) compared to patients with recent onset (duration  $\leq 2$  years) (Table 2).

182

### 183 Longitudinal analysis of yearly change in visual acuity and associated risk factors

184 The overall yearly rate of VA change was  $\sim 0.3$  lines worsening per year; LogMAR change of  
185  $0.03$ , 95%CI ( $0.026$ ,  $0.043$ ;  $p<0.0001$ ) per year. Table 3 shows the yearly VA change rate by  
186 subgroups and the differences between subgroups. The baseline VA level was significantly  
187 associated with yearly rate of VA change: (i) VA of eyes with no impairment worsened at a  
188 rate of  $\sim 1.0$  line (LogMAR rate  $0.096$ , 95%CI [ $0.080$ ,  $0.112$ ]) per year; (ii) eyes with mild  
189 impairment worsened at a rate of  $\sim 0.9$  lines (LogMAR rate  $0.094$ , 95%CI [ $0.080$ ,  $0.107$ ]) per  
190 year; and (iii) VA of eyes with moderate impairment worsened at a rate of 0.2 lines (LogMAR  
191 rate  $0.019$ , 95%CI [ $0.008$ ,  $0.029$ ]) per year. For eyes with severe impairment or blindness at  
192 baseline, their VA improved at an annual rate of 0.5 lines (LogMAR rate  $-0.047$ , 95%CI [ $-$   
193  $0.064$ ,  $-0.031$ ]) per year. Figure 4 shows the estimated average rates of change in these  
194 subgroups (Spaghetti plots are provided as Supplemental Figure 1, available at  
195 <http://www.aaojournal.org>). When comparing the annual VA change between different  
196 baseline VA groups, there were significant differences in both univariate and multivariate

197 analyses: the adjusted LogMAR difference in yearly VA change rate was -0.08 (95%CI [-0.11,-  
198 0.06],  $p < .0001$ ) between eyes with moderate impairment and eyes with no impairment, and  
199 was -0.16 (95%CI [-0.19,-0.12],  $p < .0001$ ) between eyes with severe impairment / blindness  
200 and eyes with no impairment. However, the VA loss rate was not significantly different  
201 between eyes with mild and no impairment.

202

203 Age of symptom onset was not associated with VA change in univariate analysis (Table 3).  
204 However, in the multivariate analysis that adjusted for baseline VA and symptom duration,  
205 compared to patients with age of onset  $\leq 14$  years, participants with symptom onset age  $> 30$   
206 years had a significant 0.4 lines slower change of VA per year (LogMAR difference -0.04,  
207 95%CI [-0.07, -0.01] per year,  $p = 0.01$ ). When age of onset was modeled as a continuous  
208 variable, every 5 years later in symptom onset was also associated with a significantly slower  
209 VA loss (i.e. difference in LogMAR VA change rate = -0.006, 95%CI [-0.01, -0.002] per year,  
210  $p = 0.005$ ).

211

212 Longer symptom duration was significantly associated with slower VA worsening in  
213 univariate analysis (Table 3). After adjusting for baseline VA and age of onset, duration was  
214 no longer associated with VA change (Table 3).

215

## 216 **Discussion**

217 We have characterized the demographic and clinical characteristics and VA of a cohort of  
218 STGD1 patients enrolled from the US and Europe in the ProgStar retrospective study. Half of  
219 the participants reported onset of symptoms at age of 30 years or older, i.e. adult-onset  
220 STGD1.

221

222 In our study, over 35% of the study eyes had no or mild visual impairment at baseline, with  
223 the average BPC VA being better than that of participants enrolled in prior studies.<sup>7, 9, 14</sup>  
224 Nevertheless, as reported in these studies, our cross-sectional analysis also showed that a  
225 younger age of symptom onset was associated with worse VA. Additionally, as reported in  
226 Testa et al., we found that a longer duration of onset was associated with worse VA.<sup>9</sup> Older  
227 age groups had better VA, which was also observed in Rotenstreich et al.<sup>7</sup> Considering  
228 younger participants have earlier symptom onset, after adjusting for age of onset, our  
229 multivariate analysis showed that older age was associated with worse VA, which is  
230 compatible with our finding that longer duration since symptom onset was associated with  
231 poorer VA.

232

233 Our longitudinal analysis estimated a 0.3 line loss of BPC VA per year overall. A similar rate of  
234 VA change can be inferred from the survival analysis by Rotenstreich et al.<sup>7</sup> that reported a  
235 median time of 22 years from VA of 20/40 or better to reach VA of 20/200 or worse (i.e. ~7  
236 lines loss in 22 years). For the group of participants with symptom onset age <30 years, we  
237 estimated the rate of VA loss to be ~ 0. 4 lines per year. The same rate was also reported in  
238 the recent study by Testa et al.<sup>9</sup> that focused on patients with age of onset <30 years.

239

240 We found the better the baseline VA, the faster decline over time: VA of eyes starting with  
241 no or mild impairment declined one line per year, and VA of eyes with moderate impairment  
242 declined at a significantly slower rate. Counterintuitively, eyes that already had VA worse  
243 than 20/200 at baseline showed a small but statistically significant improvement in VA over  
244 time. This may relate to the poor inherent accuracy of Snellen charts in measuring low

245 vision<sup>15</sup> Other reasons include a lack of standardization in illumination, correction for  
246 refractive errors, and contrast in the tests that generated our VA data. However, there is  
247 also a biological plausibility for observing improvement in VA, as a result of change of the  
248 fixation location of the preferred retinal locus (PRL).<sup>16-19</sup> This phenomenon of eccentric  
249 fixation and its better use by the patient over time is currently an area of interest for on-  
250 going research, especially in the context with reading rehabilitation.<sup>20</sup> In the case of STGD1,  
251 it is also possible for the PRL to move from a superior retinal locus to the peripapillary region  
252 as the central scotoma expands with disease progression.<sup>21</sup> Another possible explanation  
253 might be the statistical phenomenon of “regression towards the mean” that describes the  
254 observation of outliers being more likely to retest closer to their mean values.<sup>22</sup>

255

256 In keeping with previous findings, after controlling for baseline VA level, younger age of  
257 symptom onset was associated with faster loss of VA.<sup>7</sup>

258 Our data may have implications on selection of appropriate outcome measures for future  
259 STGD1 clinical trials. VA is an important visual function outcome directly related to  
260 participants daily activities,<sup>23</sup> and is the most common primary outcome measure for  
261 efficacy studies of retinal diseases.<sup>24</sup> Changes of at least 15 ETDRS letters are considered  
262 clinically significant.<sup>24</sup> Our study showed that the overall rate of VA decline was slow, thus  
263 VA is not sensitive enough to show a clinically relevant change during a treatment trial.

264 However, we found that for the subgroup of participants with no or mild visual impairment  
265 (35% of the cohort), the VA loss rate was approximately 1 line per year; suggesting that in a  
266 trial with three to four years of follow-up a clinically significant change may be anticipated in  
267 such participants. Hence a therapy shown to be efficacious in slowing VA deterioration in  
268 this population may potentially benefit a significant number of patients with STGD1. One

269 limitation of this finding is that it only alludes to patients enrolled into this retrospective  
270 study who by definition have shown some degree of progression in the past..

271

272 Our study herein has further limitations. First, data were collected through retrospective  
273 review of medical records, thus VA was not measured in a standardized way over time  
274 within the same clinic and across the different study sites. Second, our longitudinal analysis  
275 used repeatedly measured best-corrected or presenting VA. As chart review often could not  
276 differentiate between best corrected VA and presenting VA, it was possible that the change  
277 observed in a participant was due to change in refraction rather than due to disease  
278 progression. Third, BPC VA was not consistently available in all participants, and only 70%  
279 had multiple visits with BPC VA data available. Comparison between participants included in  
280 and those excluded from this analysis showed comparable distributions of most participant  
281 characteristics, but there was difference in race composition and vitamin A use status (see  
282 supplemental table 1, available at [www.aaojournal.org](http://www.aaojournal.org)). However, such differences are  
283 unlikely to have biased the results as race and vitamin A use was not found to be associated  
284 with VA change. Lastly, information on age of symptom onset could not be retrieved for 18%  
285 of participants. However, comparisons between participants with known and those with  
286 unknown age of onset did not show significant differences in their demographics and  
287 baseline VA distributions (data not shown), thus the results regarding age of onset using  
288 available data should not have been biased.

289

290 Strengths of our study include that we assessed the associations of VA with a range of  
291 variables on demographics and clinical characteristics, some of which have not been  
292 explored previously. Additionally, our study participants were enrolled from multiple sites in

293 the US and Europe, increasing the generalizability of our findings. Lastly, despite being a  
294 retrospective study, data abstraction and entry was conducted in a standardized way by  
295 trained study coordinators, and the data coordinating center's monitoring visits at the  
296 participating sites ensured the data quality.

297 In conclusion, we identified that the rate of VA loss in the entire cohort was too slow to be  
298 an effective clinical trial outcome measure. However, a faster decline in younger patients  
299 and those with no or mild visual impairment at baseline, suggests that VA may be a potential  
300 end-point in these patient subgroups, and is worthy of assessment in the prospective study  
301 bearing in mind the inherent biases of retrospective data. It is also important to explore  
302 other potentially more sensitive outcome measures based on imaging modalities or  
303 psychophysical tests, such as spectral-domain optical coherence tomography<sup>25</sup> or  
304 microperimetry.<sup>26</sup>

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373 **Figure legends:**

374 Figure 1: Flowchart of the study participants

375 Figure 2: Illustrative examples of eyes with no impairment (A: best-corrected or presenting  
376 visual acuity (BCP-VA 20/16), mild impairment (B: BCP-VA 20/32), moderate impairment (C:  
377 BCP-VA 20/120), and severe impairment or blindness (D: 20/400) according to WHO-criteria.

378

379 Figure 3A: Best-corrected or presenting visual acuity at baseline by quartiles of age of  
380 symptom onset.

381

382 Figure 3B: Best-corrected or presenting visual acuity at baseline by quartiles of duration of  
383 symptoms.

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385 Figure 4. Estimated average rate of change of visual acuity and its 95% confidence interval,  
386 by baseline visual acuity. (VI: visual impairment)

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