

1 **Abstract**

2 In this work, we report a targeted drug delivery system built by functionalizing
3 graphene oxide (GO) with carboxymethyl chitosan (CMC), fluorescein isothiocyanate
4 and lactobionic acid (LA). Analogous systems without LA were prepared as controls.
5 Doxorubicin (DOX) was loaded onto the composites through adsorption. The release
6 behavior from both the LA-functionalized and the LA-free material is markedly pH
7 sensitive. The modified GOs had high biocompatibility with the liver cancer cell line
8 SMMC-7721, but can induce cell death after 24 h incubation if loaded with DOX.
9 Tests with shorter (2 h) incubation times were undertaken to investigate the selectivity
10 of the GO composites: under these conditions, neither DOX-loaded system was found
11 to be toxic to the non-cancerous L929 cell line, but the LA-containing composite
12 showed the ability to selectively induce cell death in cancerous (SMMC-7721) cells
13 while the LA-free analogue was inactive here also. These findings show that the
14 modified GO materials are strong potential candidates for targeted anticancer drug
15 delivery systems.

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17 **Keywords:** Graphene oxide, lactobionic acid, doxorubicin, ASGPRS receptor, pH
18 sensitive, targeted delivery,

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2 **1. Introduction**

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4 A potent drug delivery system (DDS) must both achieve targeted delivery and a
5 controlled rate of drug release. Such a system not only improves therapeutic efficacy,
6 but also minimizes associated side effects. A vast number of DDSs have been
7 developed (Arora, Al, Ahuja, Khar, & Baboota, 2005; Debbage, 2009; Bruschi, 2015;
8 Allen & Cullis, 2013; Gong, Chen, Zheng, Wang, & Wang, 2012; Bamrungsap, et al.,
9 2012; Bae, & Park, 2011); in the last 10 years or so, carbon based nanostructures –
10 most notably carbon nanotubes (CNTs) – have attracted particular attention in this
11 regard (Bianco, Kostarelos, & Prato, 2005; Liu, et al., 2008; Feazell,
12 Nakayama-Ratchford, Dai, & Lippard, 2007; Zhang, Zhang, & Zhang, 2011; Meng,
13 Zhang, Lu, Fei, & Dyson, 2012; Zhu, et al., 2014; Faria, et al., 2014). Graphene oxide
14 (GO) has been less explored than CNTs but offers a number of potential advantages,
15 including an ultrahigh surface area for physical adsorption of a drug (mainly through
16 π - π stacking) (Sun, et al., 2008; Liu, Robinson, Sun, & Dai, 2008) and abundant
17 oxygen-containing functional groups (carboxyl groups, hydroxyl groups and epoxy
18 groups), which make it dispersible in aqueous media and impart it with the ability to
19 be further modified with functional molecules (Ma, et al., 2012; Bao, et al., 2011).

20 The first report of GO used as a DDS came from Sun et. al. in 2008 (Sun, et al,
21 2008). These authors synthesized nanoscale GO sheets, developed functionalization
22 chemistry to permit GO to remain soluble in physiological media, and also proved
23 that doxorubicin, a potent anti-cancer drug, could be adsorbed to the sheets and
24 delivered to cells in vitro. At around the same time, Yang and co-workers
25 independently reported the adsorption and release of doxorubicin using GO (Lv, et al.,
26 2012). Since then, there has been an explosion of interest in using GO for drug
27 delivery purposes. The key features of GO causing it to attract this attention are its
28 effective transportation capability, high levels of cellular uptake, and lack of obvious
29 toxicity (Zhang, et al., 2015). These properties have caused GO to be investigated in
30 particular for the targeted cellular delivery of anticancer drugs (Zhang, Xia, Zhao, Liu,

1 & Zhang, 2010; Tao, et al., 2012; Long, et al., 2013).

2 In order to maximize its functionality as a biomaterial, GO is often modified in
3 order to prevent aggregation and ensure compatibility with biological tissue.
4 Polyethylene glycol (PEG) has been used to this end (Sun, et al., 2008; Ma, et al.,
5 2012; Wen, et al., 2012), but another option is the naturally occurring chitosan
6 material. This has been widely used in the biomaterial field due to its biodegradability
7 and non-toxicity (Agnihotri, Mallikarjuna, & Aminabhavi, 2004; Jayakumar,
8 Prabakaran, Kumar, Nair, & Tamura, 2011; Shan, et al., 2010; Roosen, Spooren, &
9 Binnemans, 2014), but suffers from poor water solubility (Zheng, et al., 2011). To
10 overcome this problem, chitosan can be derivatized to give carboxymethyl chitosan
11 (CMC), a material which has shown excellent biocompatibility (Luo, Teng, & Wang,
12 2012; Vaghani, Patel, & Satish, 2012). CMC, which contains a number of amino
13 groups, can be used to modify GO to improve its dispersibility in water and
14 physiological environment through covalent functionalization (Zheng, et al., 2011).
15 Very recently, Yang et al. have employed CMC-modified GO to prepare
16 doxorubicin-loaded hyaluronic acid-functionalized systems for targeted delivery to
17 cancer cells (Yang, et al., 2016). The materials produced had excellent dispersibility
18 and biocompatibility, and displayed pH-sensitive drug release: release at the cancer
19 microenvironment pH of 5.8 was faster and reached a higher percentage of the drug
20 loading than at the general physiological pH of 7.4. Further, the composites were
21 taken up effectively by cancerous HeLa cells, but not by non-cancerous L929 cells,
22 and the latter were largely unaffected by being incubated with the GO composites
23 while the HeLa cells were much reduced in their viability (Yang, et al., 2016).

24 One way to achieve targeted drug delivery to a particular cellular population is to
25 exploit particular biological signatures of the cell type of interest. The high levels of
26 expression of asialoglycoprotein receptors (ASGPRs) on the surface of hepatocytes
27 (Ashwel & Harford, 1982) have been widely utilized in this regard. ASGPRs bind
28 galactose moieties and thus lactobionic acid (LA), a disaccharide comprising gluconic
29 acid and galactose, can be employed to develop liver-targeted DDSs. This technology
30 can be coupled with pH-sensitive components to yield a system which can both target

1 liver cells and also ensure that drug release only occurs in the acidic
2 microenvironment typical of cancerous cells (Zhang, Meng, Lu, Fei, & Dyson, 2009).
3 LA has been used to functionalize a number of different carriers such as magnetite
4 nanoparticles (Song, et al., 2015) and laponite (a synthetic clay) (Chen, et al., 2015)
5 for targeted drug delivery, or dendrimer-entrapped gold nanoparticles for computed
6 tomography imaging applications (Cao, et al., 2015). However, the possibility of
7 using functionalized GO to target hepatocytes via this route has not been explored.

8 In this study, a drug delivery system based on graphene oxide, carboxymethyl
9 chitosan and lactobionic acid was developed and loaded with the anti-cancer drug
10 doxorubicin. We hypothesized that the GO-CMC materials (both LA functionalized
11 and LA free) could be effectively loaded with DOX, and would give more rapid
12 release at the lower pH typical of the cancer cell microenvironment than at the general
13 physiological pH. We further anticipated that functionalization of the GO-CMC
14 composites with LA would permit their selective uptake by cancerous cells with only
15 minimal uptake by non-cancerous cells, and thus that the LA-containing materials
16 would be able to act as precisely targeted anti-cancer drug delivery systems.

17

18 **2. Experimental**

19 **2.1 Materials and methods**

20 Chemicals were procured as follows: graphite (power, 99.95% metals basis, 500 mesh;
21 Nanjing Xiaofeng Nanomaterials Co. Ltd); carboxymethyl chitosan (CMC; viscosity
22 average molecular weight 8.6×10^4 Da and DS of 0.9; Shanghai Shifeng Biological
23 Technology Co. Ltd); lactobionic acid (LA; purity > 98%; J&K scientific Co. Ltd);
24 doxorubicin (DOX; purity > 98%; J&K Scientific Co. Ltd); fluorescein isothiocyanate
25 (FITC; Sigma-Aldrich); N-hydroxysuccinimide (NHS; analytical grade.
26 Sigma-Aldrich); 1-(3-dimethyl-aminopropyl)-3-ethylcarbodiimide hydrochloride
27 (EDC; analytical grade; J&K Scientific Co. Ltd); acetic anhydride (analytical grade;
28 Sinopharm Chemical Reagent Co. Ltd); and triethylamine (analytical grade;
29 Sinopharm Chemical Reagent Co. Ltd). Phosphate buffered saline (PBS; pH=7.4) and
30 acetate buffers (pH=5.8) were prepared in-house.

1 SMMC-7721 cells and L929 cells were provided by the Institute of Biochemistry
2 and Cell Biology, Chinese Academy of Sciences. Dimethyl sulfoxide (DMSO), fetal
3 bovine serum (FBS), phosphate buffered saline (PBS), RPMI 1640 and DMEM media,
4 were supplied by the Shanghai Pumai Biotechnology Co. Ltd. Dialysis membranes
5 (molecular weight cut-offs, 8,000 – 14,000 or 100,000 Da) were also sourced from the
6 Shanghai Pumai Biotechnology Co. Ltd.

8 **2.2 Synthesis and purification of GO-CMC**

9 Graphene oxide was synthesized by using an improved Hummers' method (Hummers
10 & Offeman, 1958). GO-CMC (graphene oxide-carboxymethyl chitosan) was
11 subsequently prepared by first dispersing GO (100 mg) in 25 ml distilled water, then
12 adding NHS (45 mg) and EDC (35 mg) to activate the GO and stirring for 3 h at room
13 temperature. A solution of CMC (200 mg in 20 ml distilled water) was subsequently
14 gradually added to the GO dispersion and the resultant mixture stirred for a further 24
15 h at room temperature. The reaction product was purified to remove residual GO,
16 CMC and byproducts through dialysis (molecular weight cut-off: 100,000 Da).
17 Dialysis was performed in PBS (2 L) for 1 day then in distilled water (2 L) for 3 days,
18 with the dialysis medium being changed three times per day. GO-CMC was finally
19 obtained from lyophilization of the dialyzed material.

21 **2.3 Synthesis and purification of GO-CMC-FI-LA-Ac**

22 The modification of GO-CMC with FITC and LA to form GO-CMC-FI-LA was
23 undertaken by sequential conjugation. 1 mg FITC was added to an aqueous solution
24 of GO-CMC (25 ml; 2 mg/ml) and stirred for 2 h to obtain GO-CMC-FI. Separately,
25 LA (10 mg) was dissolved in 10 ml of PBS with 25 mg EDC and 20 mg NHS added.
26 This solution was stirred for 3 h at room temperature.

27 Next, the LA solution was added drop-wise to the GO-CMC-FI solution, and the
28 mixture stirred at room temperature for 24 h to yield GO-CMC-FI-LA. To eliminate
29 any residual amino groups in CMC, 280 μ l triethylamine and 160 μ l acetic anhydride
30 were added to the mixture and reaction continued for an additional 24 h. The

1 GO-CMC-FI-LA-Ac product was collected following dialysis (molecular weight
2 cut-off: 8,000 – 14,000 Da) and lyophilization as described in Section 2.2 above.

3 4 **2.4 Synthesis and purification of GO-CMC-FI-Ac**

5 GO-CMC (50 mg) was dispersed in 25 ml distilled water, and 1 mg FITC added. The
6 resultant solution was allowed to stir for 2h, followed by acetylation for 24h as
7 described in Section 2.3. GO-CMC-FI-Ac was obtained after dialysis (molecular
8 weight cut-off: 8,000 – 14,000 Da) and lyophilization following the same procedures
9 as those described previously.

10 ¹H-NMR spectra were obtained using a Bruker DRX 400 nuclear magnetic
11 resonancespectrometer.

12 13 **2.5 Characterization**

14 ¹H-NMR spectra were obtained using a Bruker DRX 400 nuclear magnetic resonance
15 spectrometer. Samples of GO, GO-CMC, LA, GO-CMC-FI-Ac, GO-CMC-FI-LA-Ac
16 were dispersed in D₂O before spectra acquisition. Fourier transform infrared (FTIR)
17 spectroscopy was carried out on a Nicolet-Nexus 670 spectrometer (Nicolet
18 Instrument Corporation) over the range 4000-500 cm⁻¹ and with a resolution of 2
19 cm⁻¹. Morphological examination of GO and the modified GO samples was
20 performed using a JEOL 2010F transmission electron microscope (TEM) operated at
21 200 kV. Thermogravimetric analysis (TGA) was performed using a TG 209F1
22 (Netzsch Instruments) analyzer, with a heating rate of 20 °C/min and a temperature
23 range of 30-900 °C. Measurements were undertaken in air. Zeta potentials were
24 measured on a Zetasizer Nano ZS system (Malvern). UV-visible (UV-Vis) absorption
25 spectra were recorded on a UV-1800 UV-vis spectrophotometer (Shanghai JingHua
26 Instruments). Confocal laser scanning microscopy was conducted on a Zeiss LSM 510
27 microscope equipped with an Argon/2 laser, and operated in multichannel model.

28 29 **2.6 DOX loading**

1 DOX hydrochloride (1-7 mg) and modified GO (5 mg) were dispersed in a pH 9
2 aqueous solution (5 ml) and stirred for 24 h at room temperature. The products
3 (denoted GO-CMC-FI-LA-Ac-DOX and GO-CMC-FI-Ac-DOX) were collected by
4 ultracentrifugation (12,000 rpm, 30 min) to remove non-conjugated DOX. The drug
5 loading efficiency (LE) and the loading content (LC) (Lu, Wei, Ma, Yang, & Chen,
6 2012) were calculated by determining the amount of DOX in the centrifugation
7 supernatant. This was quantified through the solution's absorbance at 490 nm, using a
8 UV-vis spectrophotometer (UV-1800, Shanghai JingHua Instruments) (Zhang, et al.,
9 2009). The LE and LC were calculated with reference to a pre-determined DOX
10 concentration curve using the formulae:

11
$$LC = \frac{M_d}{M_c} \times 100 \%$$

12
$$LE = \frac{M_d}{M_o} \times 100 \%$$

13 Where M_d is the mass of DOX loaded onto the modified GO, M_c is the mass of
14 modified GO, and M_o is the mass of DOX in the original solution (Hu, et al., 2003;
15 Mohammed, Weston, Coombes, Fitzgerald, & Perrie, 2004).

16

17 **2.7 DOX release**

18 GO-CMC-FI-LA-Ac-DOX (1 mg) was added to 1 ml of PBS (pH = 7.4) or 1 ml of
19 acetate buffer (pH = 5.8) in a dialysis bag (molecular weight cut-off: 8,000 – 14,000
20 Da). The bags were then immersed in 25 ml of the same buffer medium. Experiments
21 were performed at 37 °C in an opaque shaker incubator (HZ-9211K, Taicang
22 Technology). At pre-designed time intervals, aliquots of 1 ml were taken from the
23 release medium and the amount of DOX released was determined by UV-vis
24 spectroscopy. 1 ml of pre-heated buffer solution was added to the release medium to
25 maintain a constant volume. DOX release experiments were performed five times.
26 Analogous experiments were performed under the same conditions with
27 GO-CMC-FI-Ac-DOX (1 mg).

28

29 **2.8 Cell viability**

30 The MTT assay was used to measure cell viability. In brief, SMMC-7721 cells, a

1 human liver cancer cell line, were seeded into a 96-well plate containing RPMI 1640
2 medium supplemented with 10 % v/v FBS. The seeding density was 8×10^4 cells/ml,
3 and 200 μ l of cell suspension was placed in each well. The plate was loaded into an
4 incubator at 37 °C under a 5 % CO₂ atmosphere for 24 h. After this time, the medium
5 in each well was removed and replaced with 180 μ l of RPMI 1640 supplemented with
6 FBS. 20 μ l of a DOX solution in PBS (0.5, 1, 2, 4 μ M) or a PBS solution of
7 GO-CMC-FI-LA-Ac-DOX at equivalent DOX concentrations was also added. A
8 negative control was established by adding 20 μ l of PBS. Each experiment included
9 six wells for each condition.

10 After incubation for another 24 h, 20 μ l of MTT solution (0.5% w/v) was added
11 followed by incubation for 4 h. Cell viability was determined using the MTT assay;
12 the liquid in each well was removed and replaced with 200 μ l DMSO, and the plate
13 shaken for 20 min at 37 °C. Absorbance was quantified at 570 nm on a microplate
14 reader (Multiskan FC, Thermo Scientific). Control cytotoxicity experiments were
15 performed by testing unloaded GO-CMC-FI-LA-Ac at the same carrier concentrations
16 as described above. Six independent experiments were undertaken.

17

18 **2.9 Cellular uptake assay**

19 The selective cellular uptake of GO-CMC-FI-LA-Ac-DOX was investigated by
20 confocal microscopy using L929 (mouse fibroblast cells) and SMMC-7721 cells.
21 2×10^4 cells in 400 μ l of DMEM medium or RPMI 1640 medium per well were seeded
22 in each well of 24-well plates and incubated for 24 h. After this time the cells had
23 adhered onto the plate, and the medium was removed. Each well was washed with
24 PBS (400 μ l) three times, then 360 μ l fresh medium and 40 μ l of a solution of
25 GO-CMC-FI-LA-Ac-DOX or GO-CMC-FI-Ac-DOX were added. After incubating
26 for a further 2 h, the cells were fixed with glutaraldehyde (2.5 % v/v in PBS) for 15
27 min at 4 °C before being stained with Hoechst 33342 (1 μ g/mL) for 15 min at 37 °C .
28 Finally, the cells were imaged by using a confocal laser-scanning microscope (Carl
29 Zeiss LSM 700) with a 63x oil-immersion objective lens. The confocal experiments
30 were repeated three times.

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2 **2.10 *In vitro* selectivity assay**

3 The MTT assay was also used to measure the ability of the formulations to target
4 cancer cells. L929 cells were employed as a non-cancerous control and the
5 lactobionate-free GO-CMC-FI-Ac-DOX compared to GO-CMC-FI-LA-Ac-DOX.
6 L929 cells (180 μ l, 8×10^4 cells/ml) or SMMC-7721 cells (180 μ l, 8×10^4 cells/ml) were
7 seeded into 96-well plates and incubated for 24 h. 20 μ l solutions of
8 GO-CMC-FI-LA-Ac-DOX (40 μ M DOX) or GO-CMC-FI-Ac-DOX (40 μ M DOX) in
9 the appropriate medium were then added. After incubating for 4 h, the medium was
10 removed and 200 μ l fresh medium was added, prior to incubation for an additional
11 24h. Finally, the MTT assay was conducted to quantify cell viability as described in
12 Section 2.8. Six times independent experiments were performed.

13

14 **2.11 Statistical analysis**

15 Statistical analysis was carried out using the unpaired Student's t-test on the SAS
16 software (version 9.0). A value of $p < 0.05$ was considered statistically significant.
17 Data are annotated with * for $p < 0.05$, ** for $p < 0.01$, and *** for $p < 0.001$.

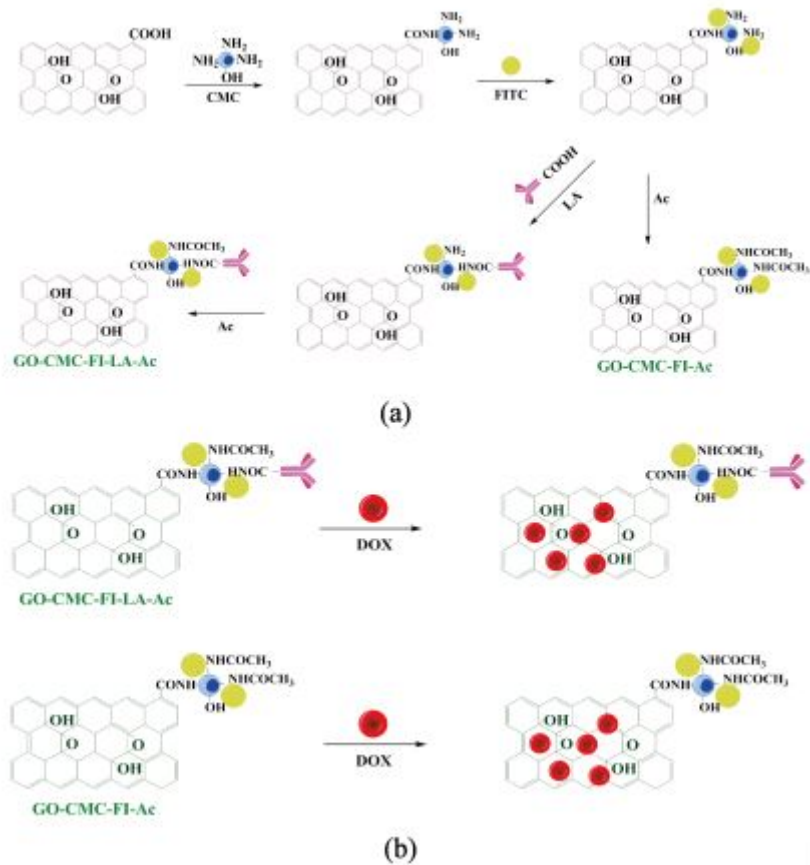
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19 **3. Results and discussion**

20 **3.1 Preparation and characterization of modified GO**

21 The synthetic strategy underlying this work is presented in Fig. 1. GO was first
22 generated using a modified Hummers' method (Hummers & Offeman, 1958) and
23 CMC with available amino groups next used to modify the GO, before the FITC
24 fluorophore was conjugated to the CMC. The targeting ligand LA was attached to the
25 composite via the remaining unreacted amino groups on CMC. Finally, the
26 functionalized GO nanocomposite was acetylated to eliminate any residual free amino
27 groups on CMC (Fig. 1a). Subsequent mixing of this with DOX permits the drug to be
28 adsorbed (Fig. 1b).

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3 Fig. 1. The synthetic strategy used in this work: (a) the synthesis of functionalized GO
4 materials and (b) DOX loading.

5

6 The formation of the GO-CMC-FI-Ac and GO-CMC-FI-LA-Ac conjugates were
7 confirmed via ¹H-NMR spectroscopy, as shown in Fig. S1 (Supporting Information).
8 GO does not display any salient proton signals, since all its COOH and OH groups
9 will undergo rapid proton transfer with the D₂O solvent. After reaction with CMC, a
10 resonance at 4.5 ppm is observed, corresponding to the -CH₂-COO- protons at the C₆
11 position of CMC (Prabaharan, Reis, & Mano, 2007; Sun, et al., 2006), together with a
12 series of peaks in the 3 – 4 ppm region from the CMC rings. Further modification of
13 the CMC with FITC and LA can also clearly be confirmed from the spectra of
14 GO-CMC-FI and GO-CMC-FI-LA. Peaks at 6.4 ppm in the spectrum of these
15 conjugates are attributable to FI, and GO-CMC-FI-LA additionally exhibits
16 resonances at 3.8-4.3 ppm from the LA component (Fu, et al., 2014). The final
17 acetylation of the remaining unreacted amines of CMC led to the formation of

1 GO-CMC-FI-Ac and GO-CMC-FI-LA-Ac. The $-CH_3$ protons of the acetyl groups
2 appear at 1.87 ppm in proton NMR; both conjugates show this peak, which indicates
3 the success of the acetylation reaction (Cao, et al., 2015).

4 Fourier transform infrared (FTIR) spectroscopy was also conducted to confirm
5 the synthesis and modification of the GO. Fig. 2 shows the spectra of the materials
6 obtained at each step of the synthesis. The FTIR spectra of GO, CMC and GO-CMC
7 are given in Fig 2a. The presence of the oxygen functionalities on GO was confirmed
8 by the absorbance peaks at 1731 cm^{-1} , 1400 cm^{-1} , 1230 cm^{-1} and 1066 cm^{-1} , which
9 respectively correspond to C=O, O-H (carboxylic acid), C-O (epoxy), and C-O
10 (alkoxy) groups. The broad peak at 3400 cm^{-1} in the spectra of GO corresponds to
11 O-H stretching mode, and that at 1626 cm^{-1} to the bending vibrations of adsorbed
12 water molecules, as has been reported in the literature (Liao, Chen, Quan, Yu, & Zhao,
13 2012).

14 The characteristic peaks of the amino group on CMC at 3373 cm^{-1} and 3281 cm^{-1}
15 are less obvious when CMC is linked to GO. The spectrum of GO-CMC shows
16 absorbance bands corresponding to the amide group at 1669 cm^{-1} (C=O) and 1558 cm^{-1}
17 (C-N). This indicates that CMC was successfully grafted to the GO. The FTIR
18 spectra of GO-CMC-FI-Ac and GO-CMC-FI-LA-Ac are depicted in Fig 2b. After
19 further modification of GO-CMC with LA, a new peak at 1706 cm^{-1} corresponding to
20 the amide C=O stretching vibration was observed. Peaks at 1420 cm^{-1} for
21 GO-CMC-FI-Ac and 1405 cm^{-1} for GO-CMC-FI-LA-Ac are assigned to the acetyl
22 methyl group. FITC was present only in very small amounts, and thus its
23 characteristic peaks are swamped by vibrations from the other components of the
24 system and are not visible in the IR spectra.

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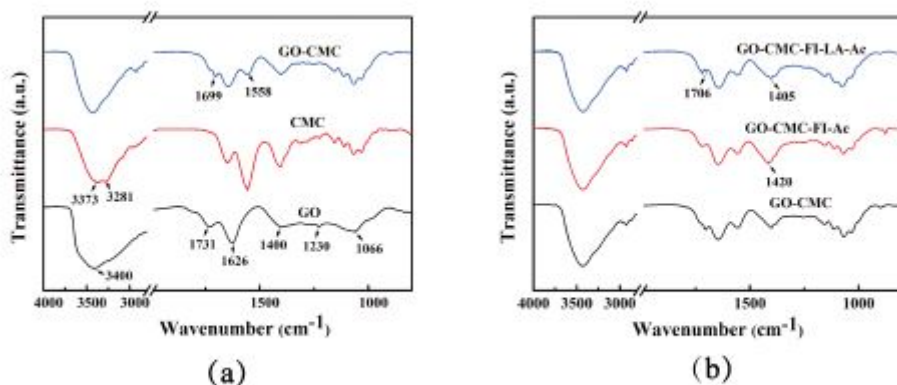


Fig. 2. FTIR spectra of (a) GO, CMC, and GO-CMC; and, (b) GO-CMC, GO-CMC-FI-Ac, and GO-CMC-FI-LA-Ac.

Further, Zeta potential measurements were carried out to verify the surface modification of GO (Table 1). The zeta potential of pure GO was negative (-38.3 ± 0.7 mV) due to the presence of a large number of carboxyl and hydroxyl groups on its surface. When GO was modified with CMC, amino groups replaced some of the carboxyl groups and the zeta potential became less negative accordingly (GO-CMC: -32.6 ± 0.21 mV). Further conjugation with FITC and LA, followed by acetylation added a number of acidic groups to the system, and hence the potential decreased (to -40.1 ± 1.2 mV for GO-CMC-FI-LA-Ac). The zeta potential of GO-CMC-FI-Ac is somewhat less negative than that of GO-CMC-FI-LA-Ac, which is consistent with the presence of additional COOH groups from LA in the latter.

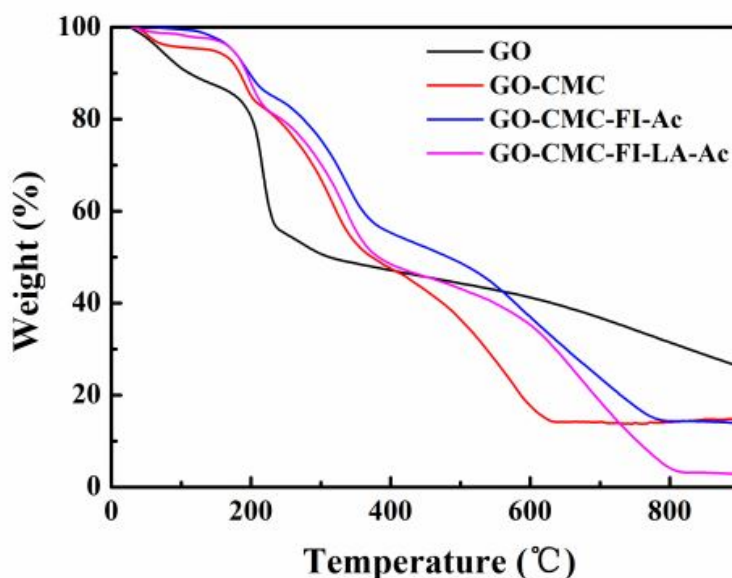
Table 1. Zeta potential values. Measurements were recorded three times and are reported as mean \pm S.D.

	GO	GO-CMC	GO-CMC-FI-LA-Ac	GO-CMC-FI-Ac
Zeta potential (mV)	-38.3 ± 0.7	-32.6 ± 0.21	-40.1 ± 1.2	-36.4 ± 0.6

To quantify the compositions of the conjugates, thermogravimetric analysis was

1 performed. The literature reports that when TGA is performed on the raw materials, at
2 900 °C CMC, FI, LA and Ac all exhibit 100% weight loss (Lv, et al., 2016; Wang, et
3 al., 2013; Cao, et al., 2015; Wen, et al., 2013). The data obtained in this work are
4 given in Fig. 3. At 900 °C, GO, GO-CMC, GO-CMC-FI-Ac and GO-CMC-FI-LA-Ac
5 have remnant masses of 26%, 15%, 14% and 10%, respectively. Therefore, by
6 comparison of these values, it can be estimated that the weight contents of the grafted
7 CMC and FI-Ac in GO-CMC-FI-Ac are 39% and 7%. In GO-CMC-FI-LA-Ac, the
8 weight contents of the grafted CMC, and FI-LA-Ac are 28% and 34%, respectively.
9 Full details of the calculations performed are given in the Supporting Information,
10 Table S1.

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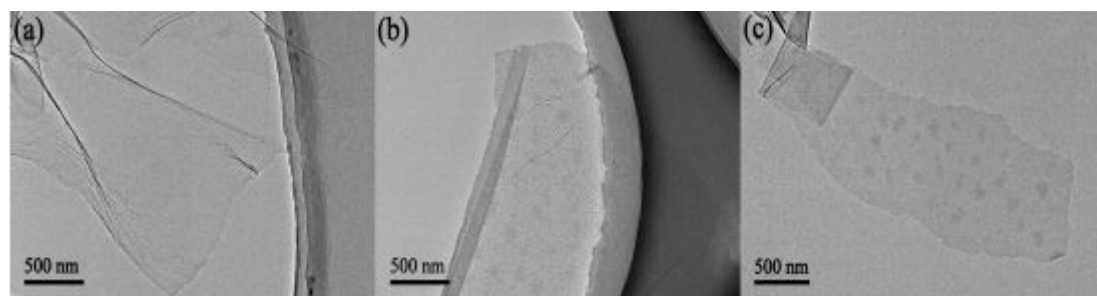
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14 Fig. 3. TGA curves of GO, GO-CMC, GO-CMC-FI-Ac and GO-CMC-FI-LA-Ac.

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16 The morphology of the modified GO materials was investigated by transmission
17 electron microscopy (TEM), and the results are given in Fig. 4. The intrinsic lamellar
18 structure of GO is clearly visible in Fig. 4a. The images of GO-CMC-FI-LA-Ac (Fig.
19 4b) and GO-CMC-FI-Ac (Fig. 4c) show the materials to be smooth and uniform, with
20 the initial layered structure of GO remaining intact. The materials depicted in Fig. 4b
21 and 4c have some darker patches on the sheet: these are a result of the

1 functionalization process, as has previously been reported (Yang, et al., 2016).



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5 Fig. 4. TEM images of (a) GO, (b) GO-CMC-FI-LA-Ac, and (c) GO-CMC-FI-Ac.

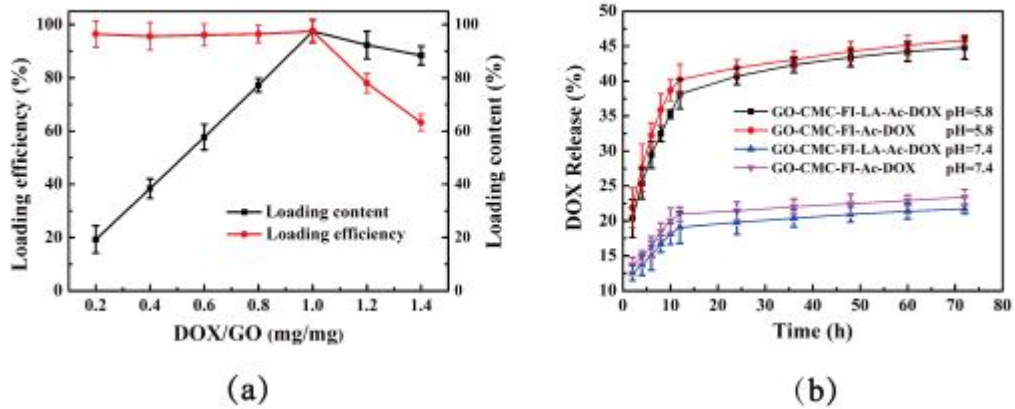
6 7 **3.2 DOX loading and release**

8 Previously, it has been shown that DOX can be adhered onto the surface of GO via
9 strong π - π stacking interactions (Zheng, et al., 2011). The drug loading efficiency (LE)
10 and the drug loading content (LC) were first determined to evaluate the drug loading
11 performance (Fig. 5a). At pH 9, the LC of GO-CMC-FI-LA-Ac-DOX was 98 % when
12 the initial DOX concentration was 1 mg/ml or less, but decreased to 88 % when the
13 DOX concentration in the starting solution was increased to 1.4 mg/ml. The LE of
14 GO-CMC-FI-LA-Ac-DOX increased with the drug concentration up to 1 mg/ml,
15 reaching a maximum of 96 %; if the concentration was further increased beyond this
16 point, the LE decreased. The DOX loading performance of GO-CMC-FI-Ac-DOX is
17 similar. Thus, a DOX : modified GO ratio of 1:1 was deemed best for preparing
18 GO-CMC-FI-LA-Ac-DOX and GO-CMC-FI-Ac-DOX.

19 The release of DOX from the modified GO samples was next studied (Fig. 5b).
20 In PBS buffer at pH 7.4, corresponding to the normal physiological pH, the drug is
21 released in a slow and sustained manner (reaching ~20 % after 72 h). In slightly acidic
22 solutions (pH 5.8) equivalent to the reduced pH microenvironment typical of
23 cancerous cells (Gerweck & Seetharaman, 1996), the release rate is significantly
24 enhanced. The amount of DOX released after 72 h is approximately 45 %.
25 GO-CMC-FI-Ac-DOX and GO-CMC-FI-LA-Ac-DOX behave very similarly at both
26 pHs. The greater amount of release seen at pH 5.8 is caused by the π - π stacking

1 interactions between DOX and GO being weaker at this lower pH. These results
2 indicate that GO-CMC-FI-LA-Ac or GO-CMC-FI-Ac are potentially useful carriers
3 which can selectively release their drug cargo in tumors, and avoid deleterious side
4 effects in normal tissue.

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8 Fig. 5. (a) The drug loading performance of GO-CMC-FI-LA-Ac at pH 9. Data are
9 reported as mean \pm S.D. from three independent experiments.

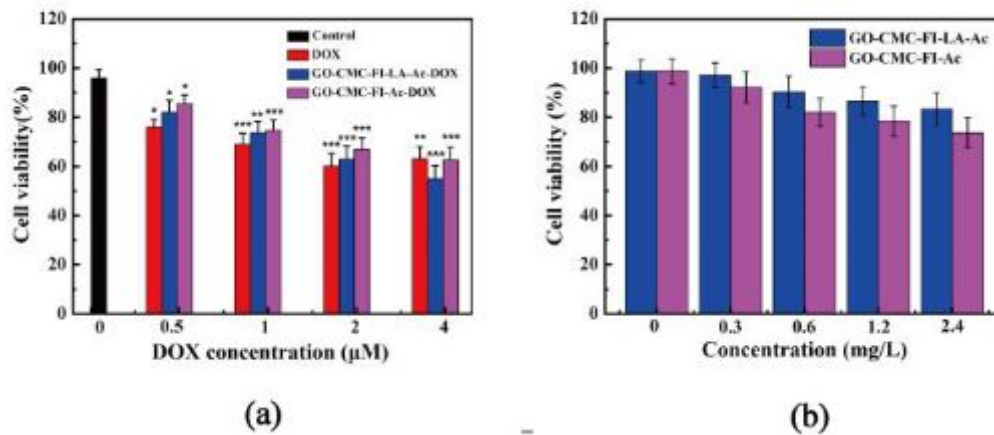
10 (b) The release of DOX at pH 7.4 and pH 5.8. Data are reported as mean \pm S.D. from
11 five independent experiments.

12

13 3.3 Therapeutic efficacy and biocompatibility

14 The cytotoxicity of GO-CMC-FI-LA-Ac-DOX towards SMMC-7721 cells was
15 explored after a 24 h incubation time. The data obtained are given in Fig. 6a. When
16 SMMC-7721 cells were treated with free DOX, they generally showed lower viability
17 than after treatment with GO-CMC-FI-LA-Ac-DOX or GO-CMC-FI-LA-DOX
18 containing equivalent amounts of the drug. This is a relatively small effect, however,
19 and the GO-CMC-FI-LA-Ac-DOX material in particular shows cytotoxicity values
20 close to those of the free drug. The dose-response behavior is also more marked with
21 the GO conjugates: at an equivalent concentration of 4 μ M DOX,
22 GO-CMC-FI-LA-Ac-DOX is more effective than the free drug, while
23 GO-CMC-FI-Ac-DOX is equally efficacious.

1 The biocompatibility of the modified GO materials was determined by evaluating
 2 the cytotoxicity of the drug-free systems (Fig. 6b). Experiments were performed with
 3 the amounts of materials required to carry DOX concentrations of 0-4 μ M. Both
 4 GO-CMC-FI-LA-Ac and GO-CMC-FI-Ac have generally high biocompatibility, with
 5 GO-CMC-FI-LA-Ac showing cell viability of > 80 % even at a concentration of 2.4
 6 mg/L. GO-CMC-FI-Ac is slightly more toxic, but even here the viability is generally
 7 high. Hence, it is clear that the modified GO materials have good biocompatibility
 8 and the therapeutic efficacy of the drug-loaded GO-CMC-FI-LA-Ac-DOX and
 9 GO-CMC-FI-Ac-DOX materials is similar to that of pure DOX.
 10

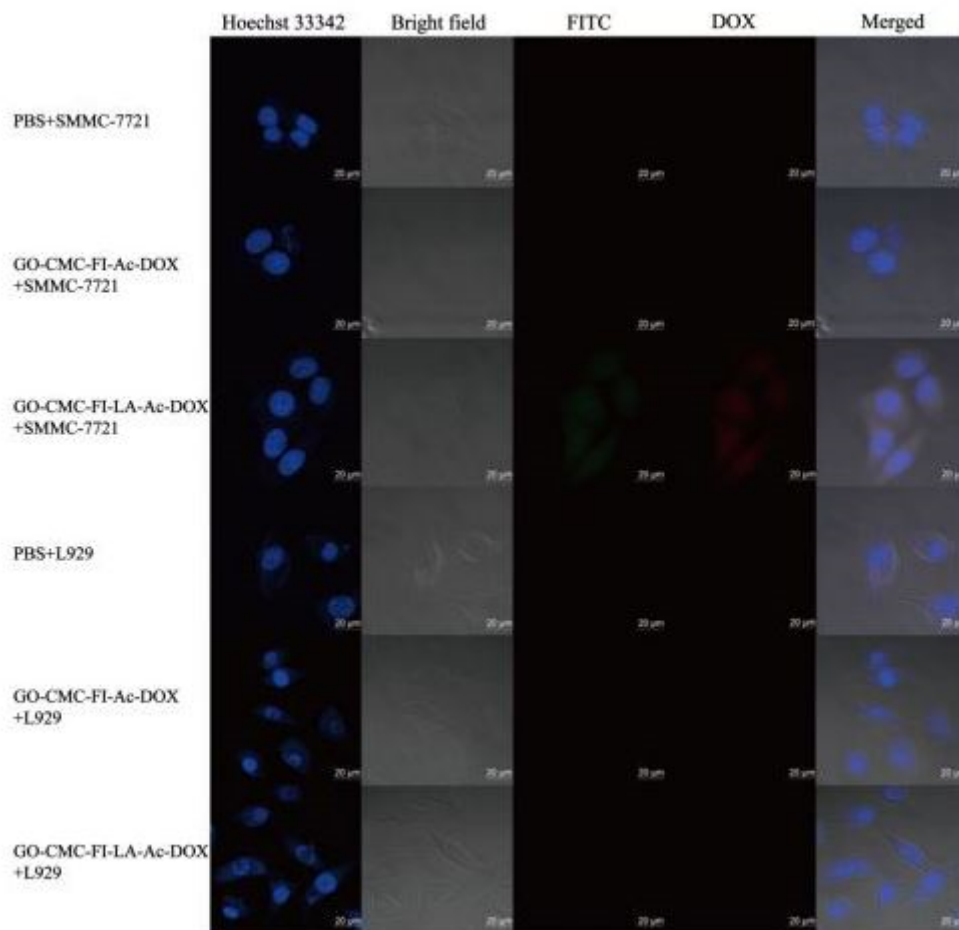


11
 12
 13
 14 Fig. 6. The results of MTT viability assays of SMMC-7721 cells treated with (a) free
 15 DOX, GO-CMC-FI-LA-Ac-DOX and GO-CMC-FI-Ac-DOX at DOX concentrations
 16 of 0 – 4 μ M for 24 h; (b) drug-free GO-CMC-FI-LA-Ac and GO-CMC-FI-Ac. Data
 17 are reported as mean \pm S.D. from six independent experiments. Asterisks indicate
 18 statistical significance, with * denoting $p < 0.05$, ** $p < 0.01$, and *** $p < 0.001$ with
 19 respect to the control group.
 20

21 To determine the selectivity of the LA-targeted drug delivery system, L929 and
 22 SMMC-7721 cells were treated with GO-CMC-FI-LA-Ac-DOX and
 23 GO-CMC-FI-Ac-DOX. Cellular uptake of the DOX-loaded materials by SMMC-7721

1 cells (cancerous cells, which express ASGPR receptors) and L929 cells (which do not
2 express ASGPR) (Li, et al., 2014), were probed by confocal microscopy; the results
3 are depicted in Fig. 7. The pure cells are stained blue, and the green fluorescence of
4 FITC and red fluorescence of DOX can be used to track the presence of the drug and
5 modified GO samples. The images clearly show higher uptake of
6 GO-CMC-FI-LA-Ac-DOX than GO-CMC-FI-Ac-DOX by SMMC-7721 cells. This is
7 because of the ability of the LA moiety in the former to bind with the surface ASGPR
8 receptors on the cells. In contrast, none of the systems is taken up to any observable
9 extent by L929 cells, thus proving the importance of LA-ASGPR interactions in
10 promoting uptake of the GO materials.

11



12

13

14 Fig. 7. Confocal microscopy images of SMMC-7721 and L929 cells treated with
15 GO-CMC-FI-LA-Ac-DOX or GO-CMC-FI-Ac-DOX (DOX concentration: 4 μM) for

2h. Red fluorescence arises from DOX, and green fluorescence from FITC.

Further, the targeted ability of GO-CMC-FI-LA-Ac-DOX to target specific cells was also explored (Fig. 8). In these experiments, the cells were exposed to the DOX-loaded GOs for 2 h, before the medium was removed and the cells were allowed to incubate for a further 24 h. It is clear that the SMMC-7721 cells have lower viability (~ 50 %) after treatment with GO-CMC-FI-LA-Ac-DOX than L929 cells do after the same treatment (~ 92 %). However, both SMMC-7721 and L929 cells treated with GO-CMC-FI-Ac-DOX have high viability (~ 90 %). Therefore, the LA functionality of the GO-CMC-FI-LA-Ac-DOX enables the drug cargo to be selectively delivered to liver cancer cells. This suggests that these novel drug carriers may be highly potent chemotherapeutic drug carriers able to mitigate against the unpleasant side effects which usually arise owing to the non-selectivity of such treatments.

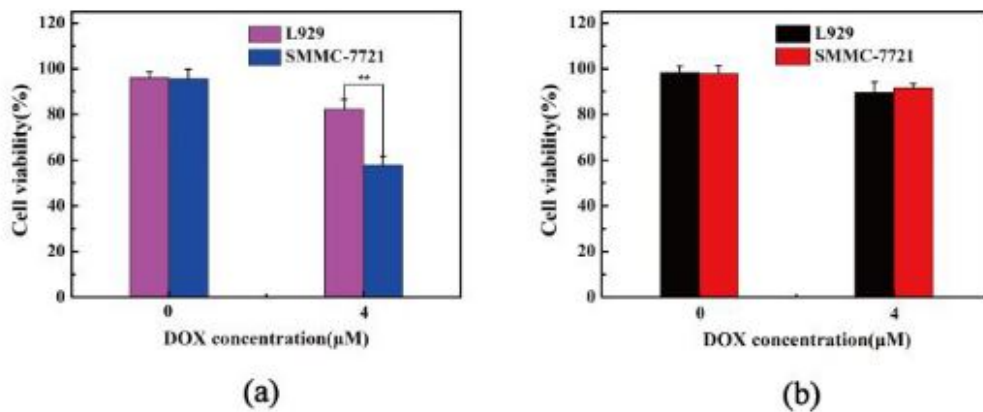


Fig. 8. The viability of SMMC-7721 and L929 cells after treatment with (a) GO-CMC-FI-LA-Ac-DOX, and (b) GO-CMC-FI-Ac-DOX for 2 h, followed by replacement of the medium with DOX-free fresh medium and incubating the cells for an additional 24 h. Data are reported as mean \pm S.D. from six independent experiments. * denotes $p < 0.05$, ** $p < 0.01$, and *** $p < 0.001$ when comparing the SMMC-7721 experiments to the L929 data.

1

2 **Conclusions**

3

4 A novel anticancer drug delivery system based on multifunctionalized graphene oxide
5 (GO) has been developed to provide targeted delivery to liver cancers. The system is
6 prepared by sequential functionalization of GO with carboxymethyl chitosan,
7 fluorescein isothiocyanate, and lactobionic acid (LA), followed by acylation to avoid
8 the presence of free amino groups. A control system was prepared without lactobionic
9 acid conjugation. The model anticancer drug doxorubicin (DOX) was subsequently
10 loaded onto the system by physisorption. The resultant formulation has high drug
11 loading content and efficiency (> 96 %) and pH-sensitive release. Release is both
12 more rapid and reaches a greater extent at the mildly acidic pH typical of the tumor
13 microenvironment than at pH 7.4. *In vitro* tests on a liver cancer cell line
14 demonstrated that while the GO composites are not cytotoxic, the DOX-loaded
15 systems are effective in inducing cell death, being almost as potent as the free drug.
16 Further, the DOX-loaded lactobionic acid conjugated GO system was able to
17 selectively induce the death of cancerous cells, but was non-toxic to a non-cancerous
18 cell line. This is thought to occur due to the selective recognition of LA by the
19 asialoglycoprotein receptors which are over-expressed on cancerous hepatic cells.
20 These promising results show that the GO-based systems generated in this work have
21 great potential for targeted anticancer therapy *in vivo*.

22

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24

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28

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