- Hot and bothered: using trait-based approaches to assess climate change vulnerability in
 reptiles
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20 Abstract

- 21 One-fifth of the world's reptiles are currently estimated as threatened with extinction, primarily due to
- 22 the immediate threats of habitat loss and overexploitation. Climate change presents an emerging slow-
- 23 acting threat. However, few IUCN Red List assessments for reptiles explicitly consider the potential
- 24 role of climate change as a threat. Thus, climate change vulnerability assessments can complement
- existing Red List assessments and highlight further, emerging priorities for conservation action.
- 26 Here we present the first trait-based global climate change vulnerability assessment for reptiles to
- 27 estimate the climate change vulnerability of a random representative sample of 1498 species of
- 28 reptiles. We collected species-specific traits relating to three dimensions of climate change,
- sensitivity, low adaptability, and exposure which we combined to assess overall vulnerability.
- 30 We found 80.5% of species highly sensitive to climate change, primarily due to habitat specialisation,
- 31 while 48% had low adaptability and 58% had high exposure. Overall, 22% of species assessed were
- 32 highly vulnerable to climate change. Hotspots of climate vulnerability did not always overlap with
- 33 hotspots of threatened species richness, with most of the vulnerable species found in northwestern
- 34 South America, southwestern USA, Sri Lanka, the Himalayan Arc and southern India. Most families
- 35 were found to be significantly more vulnerable to climate change than expected by chance.
- 36 Our findings build on previous work on reptile extinction risk to provide an overview of the risk
- 37 posed to reptiles by climate change. Despite significant data gaps for a number of traits, we
- recommend that these findings are integrated into reassessments of species' extinction risk, to monitor
- 39 both immediate and slow-acting threats to reptiles.
- 40
- 41
- 42 Keywords: climate change, exposure, sensitivity, adaptability, IUCN Red List, herpetology

43 **1. Introduction**

44 Latest climate data show that the rate of global surface temperature warming since 1950 continues

45 unabated, rising between 0.113°C and 0.116°C per decade (Karl et al. 2015). Impacts from climate

46 change are expected to intensify, with global surface temperature increase likely to exceed 4°C by

47 2100 if no mitigation measures are put in place (World Bank 2014), presenting a major emerging

48 threat to biodiversity (Dickinson et al. 2014; Pacifici et al. 2015). Climate change effects on species

49 include changes to species' ranges, both altitudinal (e.g. Menendez et al. 2014) and latitudinal (e.g.

50 Hill et al. 2002), habitat associations (e.g. Menendez and Gutierrez 2004), life-history phenology (e.g.

- 51 Pearce-Higgins et al. 2015), disease emergence (e.g. Sarmiento et al. 2014), and increased extinction
- 52 risk (Carpenter et al. 2008; Dickinson et al. 2014).

53 Frameworks for assessing species' extinction risk, such as the IUCN Red List of Threatened Species

54 (IUCN 2015b), have been criticised for insufficiently incorporating emerging and often slow-acting

climate change threats (Keith et al. 2014; Thomas et al. 2011). At present, the most commonly
identified threats to species on the IUCN Red List are habitat loss, overexploitation and invasive

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 species (IUCN 2015b), while only 8% of the 67 000 species assessed under the current criteria system

57 species (IUCN 2015b), while only 8% of the 67 000 species assessed under the current criteria system

58 (IUCN 2001) are recorded as threatened by climate change (IUCN 2015b). The IUCN Red List

59 Criteria effectively account for climate change in threatened species (Akcakaya et al. 2014; Pearson et al. 2014), although a number of factors may still prevent the adequate listing of climate change for

al. 2014), although a number of factors may still prevent the adequate listing of climate change for

61 threatened species: species may be perceived as susceptible to climate change, but may not yet be

exposed to significant changes, or vice versa (Foden et al. 2013); assessors may approach climate
 change threats inconsistently due to uncertainties surrounding current projections of climate change

and their effects on species (Keith et al. 2014; Westoby and Burgman 2006); other threats, acting

and then effects on species (Renti et al. 2014, westoby and Burgman 2000), other threats, act
 synergistically with climate change, may be more easily understood and recorded, thus

66 underestimating the importance of climate change (Hof et al. 2011).

67 To complement existing frameworks, climate change-specific assessments were developed using a

number of different approaches (Pacifici et al. 2015). With over a million terrestrial species

69 potentially already 'committed to extinction' by the middle of the century due to climate change

70 (Keith et al. 2014), climate change vulnerability assessments are of utmost importance to effectively

71 quantify climate change threats, inform mitigation and adaptation policy and prevent adverse effects

72 from climate change (Young et al. 2015).

73 Since considerable uncertainty exists surrounding climate change projections and their effects on

74 species (Tuberville et al. 2015), assessing intrinsic biological traits which predispose species to 75 climate change risk has more recently emerged as an alternative approach; these are collectively

75 chinate charge risk has note recently energed as an aternative approach, these are concerively 76 known as trait-based approaches. These approaches have been used to complement IUCN Red List

extinction risk assessments, providing a supplementary analysis that can be used to inform overall

78 species risk and identify additional conservation priorities (Foden et al. 2013).

79 Trait-based approaches collate data concerning different 'dimensions' of climate change vulnerability, 80 typically including species' sensitivity, adaptability, and exposure to climate change (Foden et al. 81 2013; Pacifici et al. 2015; Still et al. 2015). Trait-based assessments often rank species vulnerability 82 within the dataset, as many of the trait value thresholds used are arbitrary (Foden et al. 2013; Pacifici et al. 2015), and are most often expressed as "low" and "high" vulnerability (Carr et al. 2014; Foden 83 84 et al. 2013). Comparisons between analyses are therefore difficult (Foden et al. 2013; Pacifici et al. 85 2015). Despite this shortcoming, trait-based approaches are becoming increasingly common in the 86 scientific literature (Young et al. 2015), with recent assessments of a range of taxa including birds and amphibians (e.g.; Carr et al. 2014; Foden et al. 2013; Hagger et al. 2013), mammals (Dickinson et al. 87 88 2014), reptiles (e.g.; Carr et al. 2014; Hagger et al. 2013), insects (e.g.; Conti et al. 2014), plants (e.g.; Still et al. 2015), and corals (Foden et al. 2013). Trait-based approaches have been widely adopted by 89 90 conservation planning agencies as a prioritization technique for climate change-affected species (Dawson et al. 2011; Pacifici et al. 2015; Williams et al. 2008); they can, however, still be constrained 91 92 by limited data availability, especially for commonly incorporated traits such as dispersal capacity, for 93 which few data exist beyond well-studied species (Foden et al. 2013; Pacifici et al. 2015). Although

- 94 being referred to as 'trait-based', traits are often derived indirectly from species' ranges (e.g. climatic
- and environmental factors), rather than being based on species-specific data (e.g. from laboratory
- 96 experiments on temperature or water requirements) which are generally sparse.

97 Of the currently 10 272 described reptile species (Uetz & Hošek 2015), around one in five species is 98 estimated to be threatened with extinction, based on a random sample of 1500 species (Böhm et al. 99 2013); climate change was only listed as a threat in 9% of threatened terrestrial reptiles compared to 100 17% of threatened freshwater and marine reptiles. However, the impacts of climate change on reptiles 101 potentially affect all aspects of their life-history (Meiri et al. 2013). Most reptiles have specific 102 microhabitat, temperature and moisture requirements for metabolism and reproduction; they are thus likely to be highly sensitive to climate change (Tuberville et al. 2015). Approximately 85% of reptiles 103 are oviparous (Tinkle and Gibbons, 1977) and may be affected by increasing temperatures during 104 105 development (Hawkes et al. 2009), potentially skewing the sex ratio for species with temperature-106 dependent sex determination, reducing hatching success, or shifting breeding season phenology (Hawkes et al. 2009; López-Luna et al. 2015). Other aspects of reptile life-history affected by climate 107 change include altered behaviour patterns such as time spent foraging, basking, or resting (Bickford et 108 109 al. 2010; Meiri et al. 2013), changes in the use of habitat and resources (Bickford et al. 2010; Scharf et 110 al. 2014), disease (Sarmiento et al. 2014) and altered habitat structure which may also impact prey

- 111 diversity and abundance (Whitfield et al. 2007).
- Here, we use a trait-based approach (Foden et al. 2013; Carr et al. 2014) to estimate climate change
- vulnerability of a random representative sample of 1498 species of reptiles from 70 families, all of
- 114 which have been previously assessed as part of the Sampled Red List Index (SRLI) for the IUCN Red
- List (Böhm et al. 2013). Specifically, we examine data availability for trait-based climate change
- vulnerability assessments, determine taxonomic and geographic variability of climate change
- vulnerability, and discuss how climate change vulnerability assessments complement what we have
- 118 previously learned about conservation priorities from IUCN Red List assessments.

119 **2. Methods**

120 2.1 Species dataset

121 Our assessment was based on a sample of 1500 reptile species for which IUCN Red List assessments had previously been carried out as part of the Sampled Red List Index project (Böhm et al. 2013); for 122 the current assessment, two species were no longer taxonomically valid, resulting in a final total of 123 1498 species for assessment. For the IUCN Red List assessment by Böhm et al. (2013), species had 124 125 been randomly selected from the species list at the start of the assessment (Uetz & Hošek 2015) following the approach in Baillie et al. (2008). A sample of this size was previously found to produce 126 127 a broadly representative picture of extinction risk and trends over time (Baillie et al., 2008), and 128 spatial patterns derived from such samples were found to be in broad agreement with spatial patterns 129 derived from comprehensive assessments in both mammals and amphibians (B. Collen, unpublished 130 data). Of the 1498 species in our assessment, 49 were listed as being threatened by climate change on 131 the IUCN Red List (with 20 of these in the threatened categories Vulnerable, Endangered or Critically 132 Endangered). All analyses and spatial data extractions were carried out in R version 3.1.2 (R Core

133 Team 2014), unless otherwise indicated.

134 2.2 Climate change vulnerability assessment

- 135 We closely followed the approach by Foden et al. (2013) and Carr et al. (2014) which assesses three
- dimensions of climate change vulnerability: sensitivity, poor adaptability and exposure (Foden et al.
- 137 2013; Figure 1). Here, species which are both sensitive to climate change and have low adaptability
- are considered 'biologically susceptible' to climate change. Biologically susceptible species which are
- also highly exposed to climate change are referred to as 'climate change vulnerable' species
- 140 (represented by the area where the three dimensions overlap; Figure 1). We selected traits for three
- trait sets pertaining to sensitivity and two trait sets pertaining to low adaptability, identified as
- 142 important factors affecting climate change vulnerability of species by Foden et al. (2013) and Carr et

143 al. (2014) during expert workshops: 1. Specialised habitat and/or microhabitat requirements; 2.

Narrow environmental tolerances or thresholds likely to be exceeded due to climate change; 3. 144

Dependence on interspecific interactions likely to be disrupted by climate change; 4. Poor dispersal 145

146 ability; 5. Low capacity to adapt *in-situ* through genetic micro-evolution. One of the trait groups

assessed by Carr et al. (2014), dependence on environmental triggers likely to be disrupted by climate 147 change, was not included in our analysis due to a lack of data for reptiles in the literature. Similarly,

- 148 few data are available in the literature on intrinsic dispersal capacity, which meant that this trait 149
- had to be excluded from the analysis. Traits and underlying hypotheses on their effect on climate 150
- 151 change vulnerability are summarised in Table 1.

152 Traits were collected from published and grey literature, and information given in the IUCN Red List

of Threatened Species. Environmental tolerances and exposure to climate change were calculated 153 from distribution maps published on the IUCN Red List, using those parts of the distribution where 154

species are recorded as 'extant', 'probably extant', 'native' or 'reintroduced' (Joppa et al. 2015). 155

156 Distribution maps on the IUCN Red List usually exist in the form of generalised range polygons, thus

157 potentially including large areas of unoccupied, potentially unsuitable areas; this can make 158 calculations of climatic requirements and tolerance from these distribution maps unrepresentative

159 (Foden et al. 2013). To address this, we produced amended distribution maps by excluding areas of

- unsuitable habitat from our analyses through removing altitudes at which a species did not occur 160
- 161 (from published literature) and cross-referencing habitat types listed on the IUCN Red List (IUCN
- 162 2015a) with the spatially explicit Global Land Cover 2000 habitat types. This consists of 23
- categories, including natural and human-transformed habitats and water bodies, at 1x1 km resolution 163
- (http://www.eea.europa.eu/data-and-maps/data/global-land-cover-250m; Table S3). Detailed 164
- 165 information on the methods, traits and potential caveats can be found in the Supplementary Materials.
- 166

2.3 Sensitivity dimension 167

Sensitivity traits and thresholds are summarised in Table 1. We defined habitat specialisation as the 168 169 number of habitat types occupied by a species, according to the IUCN Red List habitat classification

170 (Table S2). Species were classified as microhabitat specialists if they were recorded as exclusively

dependent or recorded in any of the following microhabitats in their IUCN Red List assessment: 171

mountain rapids/rivulets, ephemeral pools, bamboo, vines, fallen trees, dead wood, tree hollows, trees 172

173 bordering water, riverine or gallery forests, ant hills, dunes, open patches in grassland, rocky areas

and outcrops, cliffs, caves, and small streams as well as freshwater- and forest-dependent species. 174 175 176 We classed species as restricted to high-altitude habitat if they only occurred above 1000 m. To assess 177 this, we used data on altitudinal range recorded in IUCN Red List assessments. Any data gaps were then supplemented with altitudinal data at 10 arcminute resolution (Hijmans et al. 2005), extracted by 178

179 overlaying amended species distributions and extracting mean, maximum and minimum altitude for

180 each species. Any data derived from this spatial data process were assumed to be of lower data quality

181 than information from IUCN Red List assessments. We assessed species' tolerance to changes in

182 precipitation and temperature regimes by deriving average absolute deviation (AAD) across amended

183 species distributions from global temperature and precipitation datasets for the period of 1950-2000 at

10 arcminute resolution (Hijmans et al. 2005). AAD represents a variable of dispersion, essentially 184

- representing tolerance of variability in temperature and precipitation across space and seasons for 185 each species (Foden et al. 2013). Hence, for a dataset $\{x_1, x_2, ..., x_n\}$, AAD is defined as 186

$$\frac{1}{n}\sum_{i=1}^{n}|x_{i}-x_{i}|^{2}$$

188 where each x represents a monthly mean for a cell within a species' amended range (Foden et al. 189 2013).

m(X)

- Species with the 25% lowest AAD (i.e. lowest environmental tolerance) were classed as being highly 190
- 191 sensitive. Similar quartile thresholds were also used for other continuous trait variables; while highly
- arbitrary, these provide an easy-to-use approach to split a continuous variable into a high/low 192

193 classification. This approach has also been used in similar assessments (Foden et al. 2013; Carr et al.

2014). For more information, and vulnerability scores based on different thresholds, see the 194 Supplementary Materials.

195

196 Information on sensitivity to changes in fire and flooding regimes and interspecific dependencies was taken from IUCN Red List assessments, with species classed as 'high' sensitivity if it was stated they 197 198 were dependent on either of these factors, or were threatened by flooding/drought or natural system 199 modification resulting from fire and fire suppression. Species for which reliance on temperature-200 dependent sex determination was stated in the literature were ranked highly sensitive, although overall 201 data quality was considered low for this trait due to a lack of information for the majority of species. 202 Dependence on a narrow range of food types was assessed by collating information on diet from the

literature and categorising this information into 18 dietary categories, reflecting reptile dietary habits 203 204 (see Table S4): leaf matter; fruit; seeds; nectar; a single taxonomic group of arthropod; a range of

arthropods; other invertebrates; small mammals \leq 300 mm SVL; large mammals > 300 mm SVL; 205

206 adult/sub adult birds; bird eggs/juveniles; adult/juvenile reptiles; reptile eggs; adult amphibians;

amphibian larvae; freshwater fish; faeces; and an 'other' category for anything outside of these 207

208 parameters. For species consuming a wide range of arthropods, or several named arthropod taxa, both the single type of arthropod and range of arthropods boxes were checked to indicate a generalist of 209

210 arthropod prey. Where data were unavailable, we inferred from close congenerics where possible.

Species which were restricted to a single dietary category were classified as being highly sensitive. 211

212

213 2.4 Adaptability dimension

Adaptability traits and thresholds are summarised in Table 1. We assessed a species range as having 214

215 barriers to dispersal if a species occurred exclusively: on mountaintops; small islands of less than 500

m altitude, thus preventing significant elevational dispersal rather than simple inland movement in 216 217 response to climate change; and/or within 10 degrees north/south from edges of land masses, here

termed polar edges. We created spatial data layers from geographic data in ArcGIS for all three 218

219 barrier components, using altitudinal data at 10 arcminute resolution (Hijmans et al. 2005).

220 Mountaintop distributions were defined in ArcGIS 10.3 (ESRI) by defining grid cells across a 2x2

221 grid cell extent as either above or below the mean altitudinal value (see Supplementary Materials).

Finally, we intersected the resulting data layers with the species distributions. Species solely occurring 222

223 within polar edges of land masses and/or with their entire distribution confined to small islands and/or 224 entirely occurring within cells of above mean altitude were regarded as experiencing geographic

225 barriers to dispersal.

226 We used maximum longevity of species as a proxy for slow turnover of generations, since in many

227 cases, generation length has not yet been quantified for species (only 31 species had generation length

- estimates in the IUCN Red List; see Figure S5 for correlation between generation length and 228
- 229 longevity estimates). We collected longevity data from the literature, including captive and wild
- 230 records. While in some cases, animals in captivity may live longer due to better access to food and
- 231 medical treatment, in other cases the specific requirements for survival may be unknown or difficult
- 232 to fulfil, thus potentially reducing longevity (Mason 2010). Furthermore, records of captive animals
- 233 are often based on animals that were still alive at the time of data collection (Scharf et al. 2014), thus
- potentially underestimating longevity. Where data were lacking, we used allometric equations of body 234

mass and longevity by Scharf et al. (2014) to derive an estimate of longevity. We subsequently 235

classed species as having low adaptive capacity if they were amongst the 25% longest-lived species. 236

Information on reproductive capacity was collected from the literature (based on clutch/litter size and 237

number of clutches/litters per year; Böhm et al. 2016). Where data was missing, we inferred 238 reproductive output from close congenerics. The 25% of species with lowest reproductive output 239

240 being classed as having low adaptive capacity.

241 2.5 Exposure dimension

242 Exposure measurements and thresholds are summarised in Table 1. We collected data on five traits

related to exposure: exposure to sea level rise; changes in mean temperature; temperature variability 243

change; changes in mean precipitation; and precipitation variability change. Exposure to sea level rise 244

- 245 was assessed from IUCN Red List habitat data; species occurring exclusively or near-exclusively (i.e.
- in only one additional habitat type) in any of the following habitats were deemed as having high
- 247 vulnerability to climate change: mangroves, intertidal salt marshes, coastal freshwater, brackish or
- saline lakes and lagoons, marine lakes, coastal caves, intertidal shorelines (including rocks, beaches,
- flats and tide pools), sea cliffs, rocky offshore islands, and coastal sand dunes.
- 250

251 As described in Foden et al. (2013), climate change projections at 10 arcminute resolution (Tabor and Williams 2010) were based on an ensemble of four General Circulation Models (UKMO HadCM3, 252 253 MPIM ECHAM5, CSIRO MK3.5 and GFDL CM2.1), and considering three emissions scenarios (B2, A1B and A2) for 1975 (mean 1961–1990), 2050 (mean 2041–2060) and 2090 (mean 2081–2100). 254 The different emission scenarios provide us with a mid-range projection (scenario A1B) for changes 255 from 1975 to 2050 (in main results), as well as upper (scenario A2) and lower (scenario B1) bounds 256 for projections (in Supplementary Materials). We assessed mean change in temperature as the 257 258 absolute difference in the mean between 1975 and 2050, and mean change in precipitation as a ratio: 259 (absolute [((mean precipitation in 2050) – (mean precipitation in 1975) / mean precipitation in 260 1975)]). For changes in temperature and precipitation variability, we again estimated AAD between 261 1975 and 2050.

261 1

263 2.6 Vulnerability assessment

We aimed to collect data for all selected traits. In some cases, we inferred information on diet and reproductive output from congenerics (see Supplementary Materials). Given the diversity of data sources and resulting data quality, we also scored data quality (high, medium, low) for all trait data to evaluate the feasibility and suitability of collating trait data from existing published or open access sources rather than through consultation with experts at workshops (the latter being the approach previously used by Carr et al. 2014). This also allowed us to rerun our assessment removing low quality data traits from the analyses.

271

272 Species were considered sensitive, low in adaptability, or exposed to climate change if they scored

- 273 'high' for any trait under the respective framework dimension. To examine the effect of individual
- trait variables on the assessment, and to account for the possibility that species may show behavioural
- adaptation to reduce vulnerability to climate change, we dropped each trait variable in turn for each
- 276 dimension and summarised the analysis again for comparison.
- 277

Species were considered as 'climate change vulnerable' if they scored 'high' across all three
dimensions. Unknowns were treated in two ways: as low vulnerability for all traits, giving an
'optimistic' scenario (results in main manuscript), and as high vulnerability, giving a pessimistic

- scenario (results in the Supplementary Materials). Analyses presented in the main manuscript use
- climate change emission scenario A1B for 2050. Additional analyses are presented in the
- Supplementary Materials. We subsequently compared climate change vulnerability of species with
- Supplementary Materials. We subsequently compared climate change vulnerabilitytheir listing on the IUCN Red List.
- 205 27 Data analysia
- 285 2.7 Data analysis

To evaluate whether certain families are significantly more vulnerable to climate change than 286 expected by chance, we tested for significant variation in vulnerability levels across families 287 using a chi-square test, followed by further analyses to determine which families deviated from 288 289 the expected level of vulnerability. Using binomial tests, we calculated the smallest family size necessary to detect a significant deviation from the observed proportion of climate-change 290 vulnerable species and excluded families represented by an insufficient number of species from 291 292 subsequent analysis. We generated a null frequency distribution of the number of vulnerable 293 species from 10,000 unconstrained randomizations, by randomly assigning 'high' and 'low' vulnerability to all species, based on their frequency of occurrence in the sample. We then 294 295 counted the number of observed vulnerable species for each family and compared this with the

- null frequency distribution. The null hypothesis (climate change vulnerability is taxonomically
 random) was rejected if this number fell in the 2.5% at either tail.
- 298 2.8 Spatial analysis

299 To examine the spatial distribution of climate change vulnerable species versus the distribution of 300 threatened species on the IUCN Red List within our sample, we overlaid a hexagonal grid onto the 301 stacked species' distributions of climate change vulnerable and IUCN Red List threatened species, 302 and calculated respective species richness for each hexagonal grid cell (approximately 7,800 km² in 303 size). The grid used was defined on an icosahedron, projected to the sphere using the inverse Icosahedral Snyder Equal Area (ISEA) projection to account for the Earth's spherical nature. To 304 305 evaluate whether climate change vulnerable and IUCN Red List threatened species patterns overlap, 306 we delineated 10% hotspots for each, defined as the 10% richest grid cells, and overlaid IUCN 307 threatened species hotspots with climate change vulnerability hotspots.

308

309310 3. Results

311 *3.1 Data availability and quality*

312 Trait data differed widely in their availability. Data gaps were largest for temperature-dependent sex

determination (78.8% unknown), microhabitat specialisation (32.0% unknown), reproductive output
 (16.3% unknown) and longevity (13.2% unknown). For all other traits, percentages of unknown were

less than 10% (Table S6). Data quality was considered overall low for microhabitat specialisation and

316 interspecific dependencies (which could not be derived consistently from the IUCN Red List

information given) and temperature-dependent sex determination (for which little data were available

in the literature). In the following, we ran vulnerability analyses including and excluding these low

319 data quality traits to examine their effects on the assessment.

320 *3.2 Summary of sensitivity dimension*

Nearly all species (80.5%) were scored as highly sensitive to climate change, primarily due to 321 habitat specialisation (a trait possessed by 41.5% of species). Overall, 550 species were deemed 322 323 highly sensitive to climate change because of a single trait triggering high sensitivity (Table S7). Narrow precipitation tolerance and habitat specialisation contributed to most species being 324 deemed highly sensitive (162 and 158 of the 550 species, respectively). Dropping habitat 325 specialisation and precipitation tolerance from the trait list each resulted in 87% of species being 326 retained as highly sensitive, and 70% of species being highly sensitive overall. Removal of 327 microhabitat specialisation, sensitivity to changes in fire regime, sensitivity to changes in 328 flooding regime, temperature-dependent sex determination and interspecific dependencies had 329 330 virtually no effect on the outcome of the sensitivity dimension, retaining 98-99% of highly

331 sensitive species.

Excluding traits with low data quality (microhabitat specialisation, interspecific dependencies and

temperature-dependent sex determination) led to 78.9% of species being listed as highly sensitive to climate change, and excluded only 24 species previously ranked as highly sensitive (of which

17 are Least Concern on the IUCN Red List; Table S5). Only one of these species has a listed

threat of climate change on the IUCN Red List, Table 35). Only one of these species has a listed threat of climate change on the IUCN Red List (*Eretmochelys imbricata*, listed as Critically

337 Endangered).

338 *3.3 Summary of adaptability dimension*

Forty-eight percent of species were found to have poor adaptability. Of these, 610 species were

- 340 deemed to have low adaptability to climate change because of a single trait triggering the
- threshold: generation turnover contributed to most species being deemed of low adaptability (278
- of the 610 species), with reproductive capacity and barriers to dispersal contributing another 240

and 92 species, respectively. Excluding barriers to dispersal from the assessment had the least
impact on the adaptability dimension, retaining 87% of low adaptable species and rendering
41.5% of species overall as having low adaptability. The removal of generation length and
reproductive output led to a retention of 61.1% and 66.4% of low adaptable species respectively
(29-32% of species deemed to have low adaptability overall).

348 *3.4 Summary of exposure dimension*

Fifty-eight percent of species were listed as having high exposure to climate change. Of these, 349 415 species triggered high exposure because of meeting the threshold values for a single exposure 350 trait only, primarily due to the traits of temperature change and temperature variability (127 and 351 352 134 species respectively; Table S7). Dropping exposure to sea level rise from the assessment had the least impact on the exposure dimension, retaining 98% of highly exposed species and 353 354 rendering 56.7% of species overall as having high exposure. Removing changes in mean temperature and changes in temperature variability led to a retention of 83.0% and 82.3% of 355 356 highly exposed species respectively (47-48% of species deemed having high exposure overall).

357 *3.5 Summary of climate change vulnerability in reptiles*

358 Overall, 246 of the 1498 species (16.4%) were deemed biologically susceptible to climate change

359 (combining sensitivity with low adaptability), but were not exposed. Combining all three

dimensions, 22.0% of species were listed as highly vulnerable to climate change (Figure 1A). A

full data summary can be found in the Supplementary Materials (Table S6).

362 Excluding sensitivity traits with low data quality (microhabitat specialisation, interspecific

dependencies and temperature-dependent sex determination) still led to 324 species overall listed

as climate change vulnerable (Figure 1B). Another 242 species were biologically susceptible to

climate change (i.e. had high sensitivity and low adaptability, but were not exposed; Figure 1B).

366 *3.3 Climate change vulnerable species*

367 Overall, percentages of climate change vulnerable species varied between 7.1% for amphisbaenians to

368 19.6% for turtles and tortoises, 20.5% for lizards, 25% for snakes and 75% for crocodiles, the latter 369 being based on a very small sample size of N = 4 (Table 2). Percentage of climate change vulnerable

sob being based on a very small sample size of N = 4 (rabe 2). Fereinage of emilate enarge vulnerab species was lowest in the Oceanian (0%) and Afrotropical (11.4%) realms and highest in the

371 Neotropical (31.5%), Palearctic (28.4%) and Nearctic (26.5%) realms. In the marine realm, 34.8% of

372 species were highly vulnerable to climate change, compared to 28.8% in freshwater and 21.9% of

373 terrestrial species.

374 At the family level, and excluding families represented by less than 10 species in our sample,

Dactyloidae (53.8%), Sphaerodactylidae (44.7%), Viperidae (41.3%), Elapidae (33.3%),

Colubridae (32.1%) and Gymnophthalmidae (30.8%) were the most vulnerable families within

the analysis, with Atractaspididae, Calamariidae, Lamprophiidae, Leptotyphlopidae and

378 Pseudoxyrhophiidae containing no vulnerable species. Excluding traits with low quality data

retained all these families amongst the most and least vulnerable families. Including all traits, 38

of the 70 families in the analysis were significantly more vulnerable than expected by chance (χ^2 = 143.4, df = 69, p-value <0.001), with one family, the Pseudoxyrhophiidae, significantly less

= 143.4, df = 69, p-value <0.001), with one family, the Pseudoxyrhophiidae, significantly less vulnerable than expected by chance (Table S8). Lamprophiidae were also less vulnerable than

expected by chance, yet occurred at too small a sample size to reject our null hypothesis.

384 Exclusion of traits with low data quality had no effect on the result. Overall vulnerability was

highest in the parts of the Amazon basin and northwestern South America, south-western USA,

and parts of Southern Asia (e.g., Sri Lanka, southern Western Ghats and Himalayan Arc; Figure

387 2A).

388 3.3 Comparison of climate change vulnerability assessment with IUCN threatened species status

- 389 Of the 1,498 species in the assessment, 219 are currently listed as threatened on the IUCN Red
- List. Of these, only 60 species were found to be both vulnerable to climate change (58 species
- 391 when excluding low data quality traits) and threatened on the IUCN Red List. Spatially, large
- areas of high climate change vulnerability, specifically in the Amazon basin, southwestern
- 393 USA/northwestern Mexico, and Iran, do not have a corresponding high richness of IUCN Red
- List threatened species (Figure 2B).

395 **4. Discussion**

We present the first trait-based global climate change vulnerability assessment for reptiles,

- 397 supplementing earlier studies on climate change in lizards (Sinervo et al. 2010). Our analysis shows
- that reptiles are overall highly sensitive to climate change, but limited overlap of sensitivity with low
- adaptability and high exposure limits the number of overall climate change vulnerable species.
- 400 Percentage of highly sensitive species was much larger than in previous global studies on birds (64%)
 401 and amphibians (72%) (Foden et al. 2013) and marginally higher than in regional studies on reptiles
- 402 (West Africa: 77.5%; Carr et al. 2014; Tanzania: 71%; Meng et al. 2015, this issue).

403 Trait-based approaches allow us to tailor assessments to specific taxa, by including traits and variables relevant to the species group in question. However, this means assessments are not directly 404 405 comparable between studies or taxa (Foden et al. 2013). Any comparisons with other studies, even in terms of levels of sensitivity to climate change etc. have to be taken with a degree of caution. While 406 407 we endeavoured to replicate the approach by Carr et al. (2014) and Foden et al. (2013) as much as 408 possible, our study differed in terms of data collection and how assessments were carried out. While 409 Carr et al. (2014) and Foden et al. (2013) greatly relied on workshops and expert input to collate data, 410 we relied heavily on existing data sources, including an extensive database on reptile traits developed 411 for previous studies (Böhm et al. 2016), open-access spatial data and literature sources. While more cost-effective, our approach may be more precautionary than assessments derived through workshops, 412 413 and may for certain traits result in low data quality (e.g. microhabitat specialisation, interspecific dependencies, and temperature-dependent sex determination). However, excluding traits of low data 414 quality had negligible effects on the overall outcome of the assessment. Furthermore, traits associated 415 416 with climate change vulnerability overlap with those associated with extinction risk, suggesting that 417 trait-based approaches such as our current analysis and consideration of species-specific traits in IUCN Red List assessments may provide us with robust and informative assessments of species at risk 418 from climate change (Pearson et al. 2014; Stanton et al. 2015). 419

- 420 Our assessment uses a representative sample of 1498 randomly selected species to assess climate
- 421 change vulnerability. This approach was found to derive a broadly representative picture of extinction
- 422 risk (Baillie et al. 2008) and its applicability to climate change vulnerability assessment is currently
- being investigated, specifically in the context of arbitrarily set percentage thresholds for sensitivity,adaptability and exposure traits. Because of the random nature of the sample, percentage thresholds
- 424 adaptability and exposure traits. Because of the random nature of the sample, percentage thresholds 425 should not affect the outcome of the assessment and should be broadly similar to other global
- 425 assessments of species groups with similar distribution patterns. For example, our thresholds for
- 427 tolerance of temperature changes and mean precipitation change were $\leq 1.28^{\circ}$ C and ≥ 0.55 mm,
- 428 respectively, similar to thresholds for these traits in other global assessments (e.g. birds:: $\leq 1.44^{\circ}C$, \geq
- 429 0.49 mm; amphibians: $\le 1.20^{\circ}$ C, ≥ 0.59 mm; Foden et al. 2013).

430 *4.1 Hypotheses, data gaps and quality*

431 Perhaps the biggest challenges for trait based assessments of climate change vulnerability are the lack

- 432 of available life-history data (Still et al. 2015) and the fact that climate change may not affect every
- species in the same way, thus introducing uncertainty about underlying hypotheses about vulnerability
- traits. In the latter case, for example, temperature increases at high altitudes may not necessarily leadto altitudinal range shifts, as they may instead cause an increase in species survival rates through
- 435 to antitudinal range sints, as they may instead cause an increase in species survival rates through 436 warmer temperatures. However, to perform effective large-scale regional or global trait-based

437 assessments of species vulnerability requires a framework combining best knowledge about the
438 relationship between traits and vulnerability with a precautionary rather than evidentiary approach.

439

440 Considerable data gaps exist in our analysis, especially for traits which are not routinely collected for the purpose of Red List assessments. For example, 16.3% of species lacked data for reproductive 441 442 output, and for 32% of species we were unable to define microhabitat dependence due to lack of 443 detailed information (probably reflecting, in many cases, lack of information available, especially for 444 highly data deficient species). Additionally, we excluded 'dependence on environmental trigger' as a 445 trait in our analysis due to a near complete lack of data. While our approach relied on data available 446 via published sources, workshops, although costly, may harness more data. For example, only 3% of species had unknown microhabitat dependencies in an assessment of West African reptiles which 447 448 involved data collation during a workshop (Carr et al. 2014). Many data gaps can be filled by running climate change vulnerability assessments in conjunction with Red List assessments, which would 449 450 encourage co-ordinated data collection (e.g. through integrated workshops). On the other hand, we used data that were literature-based or open access, which may provide a cost-effective way of 451 assessing species. At the same time, experts could be engaged to ensure data are current and data gaps 452 453 are filled.

454

455 Overall, four sensitivity traits each identified 5% of species or less as highly vulnerable. This could be due to a number of factors such as limited data availability for certain traits (reflecting data 456 457 deficiency), collinearity of traits, or an indication that some of the traits may simply not relate to 458 climate change vulnerability in reptiles. Such traits included interspecific dependencies, reliance on a 459 specific fire or flooding regimes, and temperature-dependent sex determination. To obtain broad 460 taxonomic patterns of climate change vulnerability and define spatial priorities at the global level, a trimmed down approach containing fewer traits that contribute extensively to the assessment (for 461 462 example, habitat specialisation, slow turnover of generations, variables based on climatic data), and which relies on spatial data of climate change threat (e.g. Murray et al. 2014), may be a more time and 463 464 cost-effective approach. This was also highlighted by the trimmed down approach excluding low data quality traits, which resulted in only a small number of species being removed from the list of climate 465 466 change vulnerable species. However, climate change can affect species in very specific ways depending on biology and geography, and so the fullest possible complement of traits is required to 467 draw species-level conclusions about conservation management. For example, 25.6% of reptile 468 469 species in the Albertine Rift were intolerant of changes in a fire regime (Carr et al. 2013), thus highly 470 sensitive to climate change, while in other assessments, including ours, this factor contributed little. In our analysis, inclusion of temperature-dependent sex determination rendered the turtle *Eretmochelys* 471 472 *imbricata* highly vulnerable to climate change, while exclusion of this trait led to it having low 473 sensitivity.

473 474

It has been argued that climate change velocity may outperform model scenarios in their ability to 475 476 estimate exposure to climate change, because the velocity of climate change more closely predicts a 477 species ability to adapt to change or migrate to suitable climate (Hamann et al. 2015). Future analysis 478 on the robustness of climate change vulnerability assessments should compare different methods of 479 estimating exposure, using data directly extracted from climate change scenarios as well as algorithms 480 of climate change velocity. Climate change velocity can also be easily interpreted as one of a number 481 of risk factors putting species at risk of decline and extinction (Hamann et al. 2015), thus potentially 482 helping to improve extinction risk assessments (e.g. for the IUCN Red List) and analyses of the 483 impact of climate change on population decline.

484

485 *4.2 Consequences for conservation action*

Only 3.6% of reptiles in our sample were listed as threatened on the IUCN Red List due to climate
change (Böhm et al. 2013), compared to 22% deemed climate change vulnerable in our current
assessment. Thirty-eight of the 70 taxonomic families were significantly more vulnerable to climate
change than expected by chance, showing that climate change vulnerability cuts across taxon groups.
Discrepancy between low vulnerability in the climate change vulnerability assessment and climate

- 491 change threat on the IUCN Red List was mainly due to a lack of exposure to climate change in the
- 492 climate change vulnerability assessment, and suggests that assessments of exposure should feature
 493 more prominently in IUCN Red List assessments. Furthermore, 62% of the 330 species found to be
- 493 more prominently in IUCN Red List assessments. Furthermore, 62% of the 330 species found to be494 vulnerable to climate change were not listed in a 'Threatened' or Data Deficient Red List category.
- 494 vulnerable to chinate change were not instead in a "Infeatened" of Data Dencient Red List category. 495 These should be given special scrutiny in future reassessments of their Red List status to ensure that
- 496 their vulnerability is adequately represented within the assessment. In addition, climate change
- 497 vulnerability assessments can highlight further and often emerging priorities for conservation
- 498 action (Carr et al. 2014; Pacifici et al. 2015). For example, in addition to the 228 species listed as
- threatened by Böhm et al. (2013), we identify a total of 330 species that likely require attention due to
- their climate change vulnerability, with limited overlap between the two sets (Figure 2B). Additional
- 501 monitoring is required depending on where they fall within the three dimensions of climate change 502 vulnerability (Foden et al. 2013; Figure 1): potential adapters require monitoring of their adaptive
- vulnerability (Foden et al. 2013; Figure 1): potential adapters require monitoring of their adap
 response to climate change (395 species in this study), potential persisters should have their
- 504 population trends monitored (65 species), while monitoring of the climatic environment is vital for
- 505 biologically susceptible species (246 species) (Foden et al. 2013).
- 506 Increased protection of species through establishment of protected areas may be needed where
- 507 numbers of potential adapters are high to give these species the best possible chance to adapt to
- 508 changing climate over time. Given there was little spatial congruence between the main richness
- 509 hotspots of climate change vulnerable and Red List threatened species, a number of new key areas
- 510 may require management to minimise climate change effects, at least for reptiles (Figure 2B).Hotspots
- 511 of highly vulnerable species were primarily confined to the Amazon basin and
- southeastern/southwestern USA, while hotspots of threatened species richness are spread across the
- world's tropical regions (Böhm et al. 2013). Spatial conservation prioritisation should take into
- account both types of hotspots and appropriate conservation actions.
- 515 *4.3 Conclusions and next steps*
- Climate change vulnerability assessments are useful in supplementing IUCN Red List assessments by 516 highlighting species which may become highly threatened over time. While labour-intensive, the 517 518 approach is relatively easily carried out, provided adequate data are available for species-specific traits relating to climate change. To close data gaps, we need to engage in a three-pronged approach 519 520 by 1) mobilising targeted data collection on traits by collaboration with field herpetologists, including 521 traits excluded here, such a dispersal ability and dependence on environmental triggers, 2) making resulting species trait databases openly available, thus giving transparency to the process, enhancing 522 523 scrutiny of the data and encouraging input from species experts, and 3) define best practice for collecting missing trait data to reduce uncertainty in assessments (Penone et al. 2014). Similarly, the 524 525 most current data possible must be utilised to reassess exposure as improved projections become 526 available.
- 527 The appropriateness of using a random sample for climate change vulnerability assessments needs to be assessed, akin to the Sampled Red List Index approach (Baillie et al. 2008), to derive broad-scale 528 529 patterns of climate change vulnerability, and to investigate the relative importance of individual traits 530 to overall vulnerability. For example, incorporating weighting of traits into an assessment could be 531 highly useful, as some traits are likely to respond more intensely to climate change than others 532 (Tuberville et al. 2015). This will also help determine whether less data-intensive and more time-533 efficient methods may yield broadly similar results for the purpose of global policy planning, rather than making species-specific conservation decisions (for which a full complement of trait data would 534 535 be needed). This should include consideration of ecosystem or community-level assessments of 536 climate change vulnerability. Current species-specific work is focused on comparing outcomes from different trait-based approaches, and how methods could be further standardised to allow more 537 538 consistent assessments of climate change vulnerability within and between species.
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- 682

Table 1. Species traits for which data were collected, by dimension (sensitivity, poor adaptability, exposure) and trait group (A. Specialised

habitat/microhabitat requirements; B. Narrow environmental tolerances or thresholds; C. Dependence on interspecific interactions; D. Poor dispersal ability;

E. Poor evolvability), including hypotheses for inclusion in the assessment and thresholds applied. Traits were scored as contributing to high or low

686 vulnerability as shown in the threshold column.

Trait set				
Sensitivity	Variable	Description	Vulnerability threshold	Hypotheses
А	S1. Habitat specialisation	Number of habitats a species occurs in	Low >1 habitat type	Habitat generalists are more likely to adapt to changing habitat conditions with climate change as they have more
			High = 1 habitat type	habitat options available to them
А	S2.	Species is dependent on one or more of	Low = false	Species which occur in microhabitats affected by climate
	Microhabitat	the identified microhabitats ¹		change will be more affected by climate change overall
	specialisation		High = true	
А	S3. Restriction	Species is found only at 1,000 metres	Low = false	Species which occur solely in high-altitude habitat will be
	to high-altitude	above sea level or above		more affected by climate change due to consequences of
	habitat		High = true	altitudinal range shifts
В	S4. Tolerance of	Average absolute deviation in	Low = highest 75%	Species with narrow tolerance to precipitation regimes will
	changes to	precipitation across the species'	(>30.97 mm)	be most affected by precipitation changes due to climate
	precipitation	historical range	High = lowest 25%	change
	regimes		(<30.97 mm)	
В	S5. Tolerance of	Average absolute deviation in	Low = highest 75%	Species with narrow tolerance to temperature regimes will
	temperature	temperature across the species'	(>1.28 °C)	be most affected by precipitation changes due to climate
	changes	historical range	High = lowest 25% (<1.28 °C)	change
В	S6. Sensitivity to	Species relies upon a specific fire regime	Low = false	Fire regimes are likely to change with changing climate,
	change in fire	(or lack of) across its entire range		thus directly affecting species dependent on specific
	regime		High = true	regimes
В	S7. Sensitivity to	Species relies upon a specific flooding	Low = false	Flooding regimes are likely to change with changing climate,
	change in	regime (or lack of) across its entire range		thus directly affecting species dependent on specific
_	flooding regime		High = true	regimes
В	S8.	Sex of offspring is known to be	Low = false	Species with temperature-dependent sex determination
	Temperature-	dependent upon temperature during		will be more vulnerable to the effects of climate change
	dependent sex	incubation	High = true	due to temperature changes affecting population sex ratios
	determination			
C	S9. Dependence	Species diet consists of a low number of	Low = talse	Species with dietary specialisation are likely to be more
	on narrow	species from a single dietary category		affected by changes in prey base due to climate change

16

	range of food types		High = true	
С	S10. Interspecific	Species is dependent upon another to modify or create habitat suitable for	Low = false	Species whose population dynamics are dependent on interspecific interactions are likely to be more affected by
	dependencies	itself OR could experience increases in one or more of the following as a result of climate change: Predation, competition, parasitism, disease	High = true	changes in abundance and distribution of the other species in question
Adaptability	Variable	Description	Threshold	Hypotheses
D	A1. Barriers to dispersal	Species occurs in isolated areas due to the presence of barriers to dispersal (i.e. a species is isolated because it occurs	Low = false (no known barriers)	Species which are not isolated due to presence of extrinsic barriers to dispersal are more likely to be able to disperse out of areas experiencing climate change
		exclusively on mountaintops, small islands and/or polar edges of land masses)	High = true	
E	A2. Slow	Generation length (here replaced by	Low = shortest 75%	Species with longer generation length/longevity are likely to
	generations	length)	(<10 years) High = longest 25%	frequency/output hence affecting their ability to produce
	Sellerations		(>10 years)	offspring fast enough to potentially trigger adaptation by genetic variability
E	A3.	Reproductive output (mean clutch/litter	Low = highest 75%	Species with low reproductive output will be more affected
	Reproductive	size x mean no. clutches/litters per year)	(>3.8)	by climate change since they will not produce enough
	capacity		High = lowest 25% (<3.8)	offspring to potentially trigger adaptation by genetic variability
Exposure	Variable	Description	Threshold	Hypotheses
	E1. Exposure to sea level rise	Habitat types are exposed to sea level inundation (i.e. species occurs only in	Low = false	Habitats which are coastal and already exposed to inundation by the sea will become even more affected due
		inundation exposed coastal habitats and in no or only one other habitat type)	High = true	to sea level rise; specialists of these habitat types will thus be more affected by climate change
	E2. Changes in	Substantial changes in mean	Low = lowest 75%	In areas where temperature changes are largest, climatic
	mean	temperature occur across the species'	(<27.25°C)	change exposure is going to be highest and species are
	temperature	range (measured as absolute difference in mean temperatures across the species' range for all months between 1975-2050)	High = highest 25% (>27.25°C)	more likely to be affected

E3. Temperature variability change	Substantial changes in temperature variability across the species' range (measured as absolute difference in average absolute deviation in temperature across the species' range for all months between 1975 to 2050)	Low = lowest 75% (<7.32°C) High = highest 25% (>7.32°C)	In areas where temperature changes are largest, climatic change exposure is going to be highest and species are more likely to be affected
E4. Changes in mean precipitation	Substantial changes in mean precipitation occur across the species' range (measured as absolute ratio of change in mean precipitation across the species' range for all months between 1975-2050)	Low = lowest 75% (<0.55 mm) High = highest 25% (>0.55 mm)	In areas where precipitation changes are largest, climatic change exposure is going to be highest and species are more likely to be affected
E5. Precipitation variability change	Substantial changes in precipitation variability across the species' range (measured as absolute ratio of change in average absolute deviation in precipitation across the species' range for all months between 1975 to 2050)	Low = lowest 75% (<0.47 mm) High = highest 25% (>0.47 mm)	In areas where precipitation changes are largest, climatic change exposure is going to be highest and species are more likely to be affected

¹ mountain rapids/rivulets, ephemeral pools, bamboo, vines, fallen trees, dead wood, tree hollows, trees bordering water, riverine or gallery forests, ant
 hills/termitaria, dunes, open patches in grassland, rocky areas and outcrops, cliffs, and caves; freshwater- or forest-dependent.

Table 2. Climate change vulnerability in a subsample of 1498 reptile species by order,
biogeographic realm and habitat system. Results for the full assessment including all traits are
shown, and supplemented with results of the assessment excluding low data quality traits where
these were different (bottom row italics).

Taxon	High	Low	Ν	% vulnerable
Reptiles	330	1,168	1,498	22.1%
	(324	1,174		21.6%)
Amphisbaenia	2	26	28	7.1%
Crocodylia	3	1	4	75%
	(1	3		25%)
Sauria	177	688	865	20.5%
	(175	690		20.2%)
Serpentes	139	416	555	25.0%
	(137	418		24.7%)
Testudines	9	37	46	19.6%
Realm				
Afrotropical	33	257	290	11.4%
	(32	258		11.0%)
Australasian	33	188	220	15.0%
	(32	167		14.5%)
Indomalayan	52	262	314	16.6%
-	(50	264		15.9%)
Nearctic	26	72	98	26.5%
Neotropical	166	361	527	31.5%
	(165	362		31.3%)
Oceanian	0	8	8	0.00%
Palaearctic	44	111	155	28.4%
	(42	113		27.1%)
Habitat system				
Terrestrial	322	1,149	1,471	21.9%
	(316	1,155		21.5%)
Freshwater	23	57	80	28.8%
	(21	59		26.3%)
Marine	8	15	23	34.8%
	(7	16		30.4%)

Figure legends

Figure 1. Climate change vulnerability of species according to the IUCN method (Foden et al. 2013). A: Using the full set of trait variables, species scored as 'high' across all three dimensions (sensitivity, poor adaptability and exposure) were classed as overall highly vulnerable to climate change (N=330; 22.0%). B: Excluding low data quality traits (microhabitat specialisation (S2), temperature-dependent sex determination (S8) and interspecific dependencies (S10)), 324 species were classed as highly vulnerable to climate change (21.6%). Seventy-four (full assessment, A) and 82 species (low data quality traits excluded, B) were scored as 'low' in all three dimensions. Species will have different monitoring requirements: potential adapters require monitoring of adaptive response to climate change, potential persisters require population monitoring; biologically susceptible species require environmental/climatic monitoring.

Figure 2. A. Richness pattern of species that are considered climate change vulnerable (highly sensitive, poorly adaptable and highly exposed). B. overlap (red) of 10% hotspots of climate change vulnerable (CCV) and threatened species on the IUCN Red List; climate change vulnerable species only (light grey), threatened species only (IUCN Red List categories Vulnerable, Endangered and Critically Endangered; dark grey).

Figure 1.



