### Online resource 1

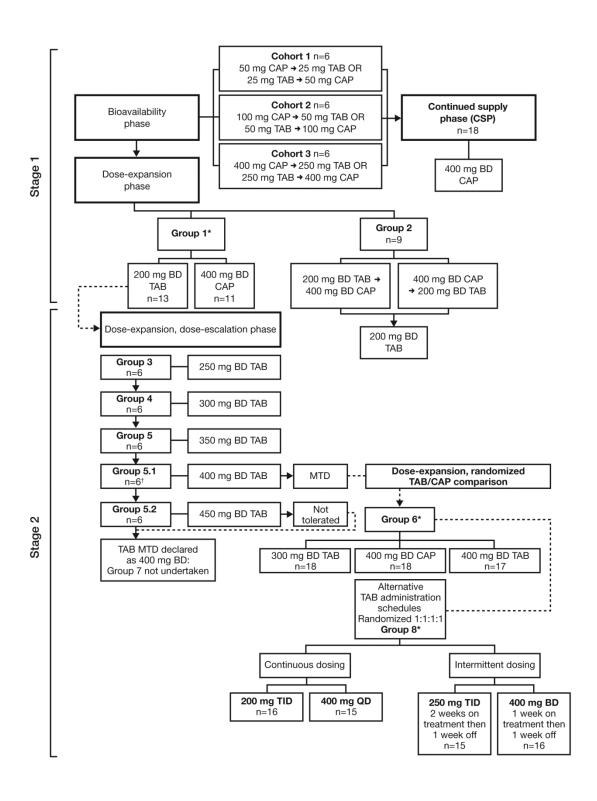
# An adaptive study to determine the optimal dose of the tablet formulation of the PARP inhibitor olaparib

Mateo, Moreno, Gupta, Kaye, Middleton, ٧ Α SB Ε Dean, MR Friedlander, C Gourley, R Plummer, G Rustin, C Sessa, K Leunen, J Ledermann, H Swaisland, A Fielding, W Bannister, S Nicum, L Rhoda Molife

Correspondence: Dr L Rhoda Molife MD, Drug Development Unit, The Royal Marsden/The Institute of Cancer Research, Downs Road, Sutton SM2 5PT, UK Email: maria.bynoe@icr.ac.uk

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#### Supplementary Figure 1. Study design



\*Indicates groups where patients were required to have a confirmed *BRCA*m; †Seven patients were enrolled into group 5.1, six patients received treatment

## **Supplementary Table 1. Patient inclusion and exclusion criteria**

Patients had to fulfil the following criteria for inclusion into the study:	The following were regarded as criteria for exclusion from the study:
≥18 years of age and a life expectancy of ≥16 weeks	Major surgery within 2 weeks of starting the study, and patients must have
	recovered from any effects of any major surgery
Histologically confirmed malignant advanced solid tumour which was	Patients who received any chemotherapy, radiotherapy (except for palliative
refractory to standard therapies (except group 8 patients who were not	reasons), or any other anticancer therapy within 4 weeks from the last dose
platinum refractory) or for which no suitable effective standard therapy	prior to study randomization (or a longer period depending on the defined
existed. If a diagnosis based on a histological sample was not available, a	characteristics of the agents used). Patients were allowed to continue the use
diagnosis based on cytology was allowed for those tumour entities in which	of bisphosphonates for bone metastases and corticosteroids, provided the
this method was a generally accepted alternative	dose was stable before and during the study and these were started at least
	4 weeks prior to the beginning of study treatment. Patients were allowed to
	continue on hormone replacement therapy and on luteinizing-hormone-
	releasing hormone (LHRH)
ECOG performance status 0–1 (group 8 only)	Patients with symptomatic uncontrolled brain metastases
ECOG performance status 0–2 (all other groups)	

Patients had to fulfil the following criteria for inclusion into the study:	The following were regarded as criteria for exclusion from the study:
Patients must have had adequate organ and bone marrow function measured	Patients considered a poor medical risk owing to a serious, uncontrolled
within 7 days prior to administration of study treatment as defined below:	medical disorder, non-malignant systemic disease or active, uncontrolled
<ul> <li>Haemoglobin ≥10.0 g/dL and no blood transfusions in the 4 weeks prior</li> </ul>	infection
to randomization (group 8 only); ≥9.0 g/dL (all other groups)	Patients unable to swallow orally administered medication and patients with
<ul> <li>Absolute neutrophil count (ANC) ≥1.5x10<sup>9</sup>/L</li> </ul>	gastrointestinal disorders likely to interfere with absorption of the study
No dysplastic features on peripheral blood smear (group 8 only)	medication
■ White blood cells (WBC) >3x10 <sup>9</sup> /L	Pregnant or breastfeeding women
<ul> <li>Platelet count ≥100x10<sup>9</sup>/L</li> </ul>	Immunocompromised patients, eg, patients who were known to be
■ Total bilirubin ≤1.5x institutional upper limit of normal (ULN)	serologically positive for human immunodeficiency virus (HIV) and were
Aspartate transaminase (AST [SGOT])/alanine transaminase (ALT)	receiving antiviral therapy
[SGPT]) ≤2.5xULN unless liver metastases were present, in which case	Patients with known hepatic disease
it must have been ≤5xULN	Persistent toxicities (CTCAE grade 2 or greater) caused by previous cancer
■ Serum creatinine ≤1.5xULN	therapy (excluding alopecia)
Female patients must have had evidence of non-childbearing status: negative	Treatment with any investigational product during the previous 14 days (or a
urine or serum pregnancy test within 7 days of study treatment for women of	longer period depending on the defined characteristics of the agents used)
childbearing potential, or postmenopausal status	
	1

Patients had to fulfil the following criteria for inclusion into the study:	The following were regarded as criteria for exclusion from the study:
Patients must have had solid tumours originating from the ovary or breast	Patients who were at the time of the study experiencing seizures or who were
with a confirmed genetic BRCA1/2 mutation (group 8 gBRCA ovarian	at the time of the study being treated with only anti-epileptics for seizures
[including primary peritoneal and fallopian tube] cancer patients only). The	(use of anti-epileptic drugs to control pain was allowed in patients not
mutation must have been confirmed as a loss-of-function mutation, ie, a	suffering from seizures), unless drug was excluded because of CYP3A4
known deleterious or suspected deleterious mutation (groups 1, 6, 7 and 8)	induction
Patients must have had at least one lesion, not previously irradiated, that	Patients who received the following classes of inhibitors of CYP3A4
could be accurately measured as ≥10 mm in the longest diameter with spiral	(azole antifungals, macrolide antibiotics, protease inhibitors)
computed tomography (CT) or as ≥20 mm with conventional techniques	Group 8 only:
(conventional CT or magnetic resonance imaging [MRI]) and which was	Patients with myelodysplastic syndromes/acute myeloid leukemia
suitable for accurate repeated measurements (groups 1, 6, 7 and 8)	Patients who were platinum refractory
	Patients who had previously received a PARP inhibitor

#### **Pharmacodynamics**

#### Methods

Samples for assessment of PARP inhibition in peripheral blood mononuclear cells (PBMC) were collected from patients participating in stage 1 of the study, in each of the single-dose treatment periods at pre-dose and 3, 10 and 24 hours post-dose.

Supplementary Table 2. Mean percentage inhibition of PARP-1 from baseline (± standard error) in peripheral blood mononuclear cell (PBMC) samples following administration of single oral doses of the tablet or capsule formulation (n=6 per cohort; stage 1)

Time after dose (h)	Та	blet formulati	on	Capsule formulation			
	25 mg	50 mg	250 mg	50 mg	100 mg	400 mg	
	dose	dose	dose	dose	dose	dose	
3	49.4 ± 43.5	58.2 ± 22.0	22.4 ± 43.0	42.8 ± 282	66.9 ± 167	50.5 ± 274	
10	69.9 ± 31.0	74.9 ± 55.0	89.4 ± 63.0	66.9 ± 331	55.7 ± 47.0	83.7 ± 28.0	
24	39.0 ± 45.0	41.5 ± 174	46.2 ± 215	16.7 ± 402	83.6 ± 100	54.4 ± 256	

Median PARP inhibition relative to baseline ranged over 22–67% 3 hours after dosing, with maximum levels 10 hours post-dose

Supplementary Table 3. Germline *BRCA* mutation status of patients in doseexpansion groups 1, 6 and 8

		I	BRCA mutation ty	pe
Treatment group	Primary	BRCA1	BRCA2	BRCA1 and
	tumour			BRCA2
	location			
Group 1				
200 mg TAB (n=13)	Ovary (n=8) <sup>a</sup>	5	2	1
	Breast (n=5)	1	1	3
400 mg CAP (n=11)	Ovary (n=7)	3	1	3
	Breast (n=4)b	0	3	0
Group 6				
300 mg TAB (n=18)	Ovary (n=13)	10	3	0
	Breast (n=5)	2	3	0
400 mg TAB (n=17)	Ovary (n=12)	9	3	0
	Breast (n=5)	3	2	0
400 mg CAP (n=18)	Ovary (n=13)	9	4	0
	Breast (n=5)	4	1	0
Group 8				
200 mg TID TAB cont	Ovary (n=16)	11	3	2
(n=16)				
250 mg TID TAB inter	Ovary (n=15)	12	3	0
(n=15)				
400 mg BD TAB inter	Ovary (n=16)	13	3	0
(n=16)				
400 mg OD TAB cont	Ovary (n=15)	9	6	0
(n=15)				

<sup>&</sup>lt;sup>a</sup>One patient was incorrectly stratified as having primary breast cancer, when this should have been stratified as ovarian cancer. This patient was excluded from the ovarian cancer subset as this was based on randomization stratification data but included as ovarian cancer in summaries of primary

tumour location; <sup>b</sup>BRCAm data missing for one patient with breast cancer receiving 400 mg CAP. CAP, capsule formulation; cont, continuous dosing schedule; inter, intermittent dosing schedule; TAB, tablet formulation.

Supplementary Table 4. Pharmacokinetic parameters and relative bioavailability for olaparib following single dosing of CAP and TAB formulations in cohorts 1–3 and following multiple doses of CAP 200 mg and TAB 200 mg in the PK comparison phase of group 2

		Olap	oarib dose and f	ormulation gro	oups	
	Coh	Cohort 1		Cohort 2		ort 3
	25 mg	50 mg	50 mg	100 mg	250 mg	400 mg
PK parameter	TAB	CAP	ТАВ	CAP	TAB	CAP
C <sub>max</sub> (μg/mL), geometric mean (CV%)	1.17 (48)	1.82 (26)	2.22 (36)	2.90 (23)	8.81 (23)	5.67 (47)
t <sub>max</sub> (h), median	1.0	1.5	0.5	1.25	1.25	1.25
(range)	(0.5–3.0)	(1.0–3.0)	(0.5–2.0)	(1.0–2.0)	(1.0–4.0)	(1.0–8.0)
AUC (μg·h/mL), geometric mean (CV%)	5.15 (69)	10.0 (45)	8.34 (42)	16.9 (32)	63.0 (37)	57.9 (78)
Half-life (h), arithmetic mean (SD)	7.27 (0.79)	7.87 (1.72)	7.47 (1.02)	8.44 (2.94)	6.17 (0.88)	11.9 (4.82)
CL/F (L/h), arithmetic mean (SD)	5.65 (3.29)	5.38 (2.37)	6.42 (2.60)	6.18 (2.08)	4.19 (1.64)	8.64 (7.11)
V <sub>area</sub> /F (L), mean (SD)	59.3 (36.3)	60.6 (31.3)	69.2 (31.2)	81.1 (49.8)	36.2 (10.7)	167 (196)

	Olap	parib dose and formulation gro	oups
	Cohort 1	Cohort 2	Cohort 3
Relative bioavailability	25 mg TAB	50 mg TAB	250 mg TAB
	vs 50 mg CAP	vs 100 mg CAP	vs 400 mg CAP
C <sub>max</sub> ratio <sup>a</sup> (90% CI)	1.29 (1.10–1.52)	1.53 (1.11–2.11)	2.49 (1.87–3.31)
AUC ratio <sup>a</sup> (90% CI)	1.03 (0.85–1.24)	0.99 (0.69–1.42)	1.74 (1.36–2.23)
	PK comparison phase	of group 2, 200 mg TAB and 4	00 mg CAP – crossove
PK parameter	200 mg TAB	400 mg CAP	TAB:CAP ratio
C <sub>max,ss</sub> (μg/mL), geometric mean (CV%)	8.02 (38)	8.10 (35)	0.991
AUC <sub>ss</sub> (μg·h/mL), geometric mean (CV%)	38.36 (46)	48.48 (52)	0.791
C <sub>min,ss</sub> (μg/mL), geometric mean (CV%)	0.68 (86)	1.38 (101)	0.540

<sup>&</sup>lt;sup>a</sup>C<sub>max</sub> and AUC values were normalized for administered dose. CV, coefficient of variation

Supplementary Table 5. Number (%) of patients who had at least one AE of any grade (≥20% incidence in any treatment group) in the dose-expansion phase for groups 1 (200 mg TAB and 400 mg CAP) and 6 and dose-escalation groups 3, 4, 5, 5.1 and 5.2

	Gro	up 1	Group 3	Group 4	Group 5	Group 5.1	Group 5.2		Group 6	
	200 mg BD	400 mg BD	250 mg BD	300 mg BD	00 mg BD 350 mg BD 4	400 mg BD	450 mg BD	300 mg BD	400 mg BD	400 mg BD
	TAB	CAP	TAB	TAB	TAB	TAB	TAB	TAB	TAB	CAP
	n=13	n=11	n=6	n=6	n=6	n=6	n=6	n=18	n=17	n=18
Any AE, n (%)	13 (100)	10 (91)	6 (100)	6 (100)	6 (100)	6 (100)	6 (100)	18 (100)	17 (100)	18 (100)
Blood and lymphati	ic system disord	ders								
Anaemia	1 (8)	0	1 (17)	2 (33)	1 (17)	2 (33)	3 (50)	7 (39)	9 (53)	6 (33)
Neutropenia	0	0	0	1 (17)	0	1 (17)	1 (17)	3 (17)	1 (6)	2 (11)
Thrombocytopenia	0	0	0	0	0	0	1 (17)	1 (6)	3 (18)	0
Gastrointestinal dis	sorders									
Abdominal pain	1 (8)	1 (9)	0	1 (17)	2 (33)	1 (17)	1 (17)	2 (11)	2 (12)	0
Constipation	7 (54)	1 (9)	3 (50)	1 (17)	1 (17)	3 (50)	2 (33)	4 (22)	1 (6)	2 (11)
Diarrhoea	4 (31)	3 (27)	4 (67)	4 (67)	2 (33)	1 (17)	3 (50)	9 (50)	4 (24)	2 (11)
Dyspepsia	1 (8)	2 (18)	3 (50)	2 (33)	0	0	3 (50)	1 (6)	2 (12)	3 (17)
Nausea	10 (77)	7 (64)	5 (83)	5 (83)	5 (83)	6 (100)	5 (83)	13 (72)	15 (88)	15 (83)
Stomatitis	0	0	0	0	2 (33)	1 (17)	2 (33)	0	1 (6)	1 (6)
Vomiting	5 (39)	3 (27)	2 (33)	4 (67)	1 (17)	1 (17)	0	8 (44)	6 (35)	5 (28)
General disorders										
Alopecia	0	1 (9)	0	0	1 (17)	0	2 (33)	1 (6)	1 (6)	1 (6)
Oedema	4 (0)	4 (0)	4 (47)	0	0 (00)	4 (47)	0 (22)	4 (00)	4 (0)	4 (0)
peripheral	1 (8)	1 (9)	1 (17)	0	2 (33)	1 (17)	2 (33)	4 (22)	1 (6)	1 (6)

	Gro	oup 1	Group 3	Group 4	Group 5	Group 5.1	Group 5.2		Group 6	
	200 mg BD	400 mg BD	250 mg BD	300 mg BD	350 mg BD	400 mg BD	450 mg BD	300 mg BD	400 mg BD	400 mg BD
	TAB	CAP	TAB	CAP						
	n=13	n=11	n=6	n=6	n=6	n=6	n=6	n=18	n=17	n=18
Fatigue	9 (69)	5 (45)	5 (83)	6 (100)	3 (50)	5 (83)	4 (67)	13 (72)	14 (82)	9 (50)
Non-cardiac chest	4 (0)	0	0	0 (22)	0	0	0	4 (0)	0 (40)	0
pain	1 (8)	0	0	2 (33)	0	0	0	1 (6)	2 (12)	0
Pyrexia	0	0	0	1 (17)	0	0	0	0	6 (35)	0
Infections										
Lower respiratory	0	. (0)		2 (22)		. (.=)		2 (1 1)	2 (12)	2 (1.1)
tract infection	0	1 (9)	1 (17)	2 (33)	0	1 (17)	1 (17)	2 (11)	3 (18)	2 (11)
Urinary tract										
infection	2 (15)	1 (9)	1 (17)	0	0	1 (17)	0	7 (39)	3 (18)	2 (11)
Metabolism and nu	tritional disorde	rs								
Decreased		- ()	- ()	- ()		- ()		- ()	- ()	- 4. 11
appetite	7 (54)	3 (27)	2 (33)	2 (33)	1 (17)	3 (50)	1 (17)	9 (50)	5 (29)	8 (44)
Musculoskeletal dis	sorders									
Arthralgia	2 (15)	2 (18)	0	3 (50)	0	1 (17)	1 (17)	2 (11)	1 (6)	2 (11)
Back pain	5 (39)	3 (27)	1 (17)	1 (17)	0	0	1 (17)	3 (17)	4 (24)	2 (11)
Musculoskeletal										
chest pain	3 (23)	0	0	0	1 (17)	0	1 (17)	0	1 (6)	1 (6)
Musculoskeletal										
pain	0	1 (9)	0	1 (17)	0	0	2 (33)	2 (11)	3 (18)	0
Pain in extremity	2 (15)	1 (9)	0	1 (17)	0	2 (33)	0	0	1 (6)	1 (6)

	Gro	up 1	Group 3	Group 4	Group 5	Group 5.1	Group 5.2		Group 6	
	200 mg BD	400 mg BD	250 mg BD	300 mg BD	350 mg BD	400 mg BD	450 mg BD	300 mg BD	400 mg BD	400 mg BD
	TAB	CAP	TAB	ТАВ	TAB	TAB	TAB	TAB	TAB	CAP
	n=13	n=11	n=6	n=6	n=6	n=6	n=6	n=18	n=17	n=18
Nervous system	disorders									
Dizziness	1 (8)	1 (9)	0	1 (17)	0	2 (33)	0	2 (11)	1 (6)	0
Dysgeusia	0	0	1 (17)	2 (33)	0	0	1 (17)	6 (33)	3 (18)	2 (11)
Headache	1 (8)	0	1 (17)	1 (17)	1 (17)	2 (33)	3 (50)	2 (11)	1 (6)	2 (11)
Paraesthesia	1 (8)	1 (9)	0	0	1 (17)	0	2 (33)	0	0	1 (6)
Renal and urinar	y disorders									
Pollakiuria	0	0	0	2 (33)	0	0	0	1(6)	0	0
Respiratory, thor	racic and mediastir	nal disorders								
Cough	5 (39)	1 (9)	2 (33)	1 (17)	1 (17)	1 (17)	0	3 (17)	2 (12)	2 (11)
Dyspnoea	2 (15)	2 (18)	0	1 (17)	0	1 (17)	1 (17)	5 (28)	4 (24)	4 (22)
Dyspnoea	4 (0)	•	•	•	•	4 (47)	0 (00)	0 (44)	4 (0)	4 (0)
exertional	1 (8)	0	0	0	0	1 (17)	2 (33)	2 (11)	1 (6)	1 (6)

Supplementary Table 6. Summary of haematological toxicity – maximum overall CTCAE grade change in haemoglobin (g/L), neutrophils (10<sup>9</sup>/L) and platelets (10<sup>9</sup>/L) during treatment for groups 6 and 8

	Baseline CTCAE	B 41 4 4 11 14	Patients with m	aximum overall CTC	AE grade	
Group/treatment	grade <sup>a</sup>	Patients at baseline with one	during treatment, n (%) <sup>c</sup>			
	Total	on-treatment measurement, n (%) <sup>b</sup>	Grade ≤2	Grade 3	Grade 4	
Group 6						
300 mg BD TAB	Haemoglobin					
	0	6 (33.3)	5 (27.7)	1 (5.6)	0	
	1	10 (55.6)	8 (44.4)	1 (5.6)	1 (5.6)	
	2	2 (11.1)	1 (5.6)	0	1 (5.6)	
	Total	18 (100)	14 (77.7)	2 (11.1)	2 (11.1)	
400 mg BD TAB	Haemoglobin					
	0	8 (47.1)	4 (23.5)	2 (11.8)	2 (11.8)	
	1	6 (35.3)	5 (29.4)	1 (5.9)	0	
	2	3 (17.6)	2 (11.8)	1 (5.9)	0	
	Total	17 (100)	11 (64.7)	4 (23.5)	2 (11.8)	

	Baseline CTCAE	Patients at baseline with one	Patients with maximum overall CTCAE grade				
Group/treatment	grade <sup>a</sup>		during treatment, n (%) <sup>c</sup>				
	Total	on-treatment measurement, n (%) <sup>b</sup>	Grade ≤2	Grade 3	Grade 4		
400 mg BD CAP	Haemoglobin						
	0	12 (66.7)	11 (61.1)	1 (5.6)	0		
	1	6 (33.3)	5 (27.7)	1 (5.6)	0		
	Total	18 (100)	16 (88.8)	2 (11.1)	0		
Group 8							
200 mg TID TAB	Haemoglobin						
cont	0	11 (68.8)	10 (62.5)	1 (6.3)	0		
	1	5 (31.3)	5 (31.3)	0	0		
	Total	16 (100)	15 (93.8)	1 (6.3)	0		
250 mg TID TAB	Haemoglobin						
inter	0	11 (73.3)	11 (73.3)	0	0		
	1	4 (26.7)	3 (20.0)	1 (6.7)	0		
	Total	15 (100)	14 (93.3)	1 (6.7)	0		

	Baseline CTCAE	Detients at begaline with one	Patients with maximum overall CTCAE grade during treatment, n (%) <sup>c</sup>						
Group/treatment	grade <sup>a</sup>	Patients at baseline with one							
	Total	on-treatment measurement, n (%) <sup>b</sup>	Grade ≤2	Grade 3	Grade 4				
00 mg BD TAB	Haemoglobin								
inter	0	13 (81.3)	13 (81.3)	0	0				
	1	3 (18.8)	3 (18.8)	0	0				
	Total	16 (100)	16 (100)	0	0				
00 mg TID TAB	Haemoglobin								
cont	0	10 (66.7)	10 (66.7)	0	0				
	1	5 (33.3)	5 (33.3)	0	0				
	Total	15 (100)	15 (100)	0	0				

<sup>&</sup>lt;sup>a</sup>Baseline was defined as the last result obtained prior to the start of olaparib; <sup>b</sup>Patients with a baseline value and at least one on-treatment value; percentages have been calculated using the number of patients at baseline; <sup>c</sup>Derived from laboratory assessments between the start of treatment and 30 days following the date of last dose of olaparib

Supplementary Table 7. Summary of number (%) of patients with interruptions and dose reductions of olaparib as a result of adverse events for groups 6 and 8

		Numl	ber of pati	ents with	an interru	uption	Numbe	er of patie	nts with a	a dose rec	luction	Total number of patients with a dose					
												interruption and/or reduction					
	n	Total	1	2	≥3	AE	Total	1	2	≥3	AE	Total	1	2	≥3	AE	
Group 6																	
300 mg BD TAB	18	8	3	2	3	7	4	3	0	1	4	9	2	3	4	8	
		(44.4)	(16.7)	(11.1)	(16.7)	(38.9)	(22.2)	(16.7)		(5.6)	(22.2)	(50.0)	(11.1)	(16.7)	(22.2)	(44.4)	
400 mg BD TAB	17	10	5	4	1	10	11	7	2	2	11	13	4	2	7	13	
		(58.8)	(29.4)	(23.5)	(5.9)	(58.8)	(64.7)	(41.2)	(11.8)	(11.8)	(64.7)	(76.5)	(23.5)	(11.8)	(41.2)	(76.5)	
400 mg BD CAP	18	6	3	2	1	3	3	3	0	0	3	8	4	3	1	6	
		(33.3)	(16.7)	(11.1)	(5.6)	(16.7)	(16.7)	(16.7)			(16.7)	(44.4)	(22.2)	(16.7)	(5.6)	(33.3)	
Group 8																	
200 mg TID TAB	16	13	2	4	7	7	4	3	1	0	3	13	2	2	9	7	
cont		(81.3)	(12.5)	(25.0)	(43.8)	(43.8)	(25.0)	(18.8)	(6.3)		(18.8)	(81.3)	(12.5)	(12.5)	(56.3)	(43.8)	
250 mg TID TAB	15	11	1	2	8	7	2	2	0	0	1	11	1	2	8	7	
inter		(73.3)	(6.7)	(13.3)	(53.3)	(46.7)	(13.3)	(13.3)			(6.7)	(73.3)	(6.7)	(13.3)	(53.3)	(46.7)	

		Numb	er of pati	ents with	an interru	ıption	Numbe	er of patier	nts with	a dose red	duction	Total number of patients with a dose interruption and/or reduction					
													interrupti	on and/or	and/or reductio	11	
	n	Total	1	2	≥3	AE	Total	1	2	≥3	AE	Total	1	2	≥3	AE	
400 mg BD TAB	16	16	6	3	7	8	6	5	0	1	6	16	4	5	7	8	
inter		(100)	(37.5)	(18.8)	(44.0)	(50.0)	(37.5)	(31.3)		(6.3)	(37.5)	(100)	(25.0)	(31.3)	(43.8)	(50.0)	
400 mg OD TAB	15	7	3	2	2	5	2	1	0	1	2	7	3	2	2	5	
cont		(46.7)	(20.0)	(13.3)	(13.3)	(33.3)	(13.3)	(6.7)		(6.7)	(13.3)	(46.7)	(20.0)	(13.3)	(13.3)	(33.3)	

Supplementary Figure 2. Waterfall plots of percentage change in target lesion size at 16 weeks (a) following multiple dosing of olaparib capsule 400 mg BD (groups 1 and 6), (b) following multiple dosing of the tablet 200 mg BD to patients in group 1, (c) following multiple dosing of the tablet 300 mg BD to patients in group 6, (d) following multiple dosing of the tablet 400 mg BD to patients in group 6, (e) following continuous dosing of the tablet 200 mg TID to patients in group 8, (f) following intermittent dosing of the tablet 250 mg TID to patients in group 8, (g) following intermittent dosing of the tablet 400 mg TID to patients in group 8, and (h) following continuous dosing of the tablet 400 mg OD to patients in group 8

