

1 **Estimating the Variability in Fraction Absorbed as a Paradigm for Informing** 2 **Formulation Development in Early Clinical Drug Development**

3 *Sarit Cohen Rabbie¹, Paul D. Martin², Talia Flanagan², Abdul W. Basit¹ and Joseph F. Standing³*

4 ¹Department of Pharmaceutics, UCL School of Pharmacy, University College London, London, UK

5 ²AstraZeneca, Alderley Park, Cheshire, UK

6 ³UCL Institute of Child Health, University College London, London, UK

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8 Key words: Inter-subject variability, absorption, bioavailability, formulation, food effect.

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11 Abbreviation:

12 F - Bioavailability

13 fa- fraction absorbed

14 fg -fraction passing the gut wall

15 fh -fraction escaping hepatic metabolism

16 GI –gastrointestinal

17 NLME- non linear mixed effect modelling

18 IR- immediate release

19 PR- prolonged release

20 ICH- international conference on harmonisation

21 GCP- good clinical practice

22 CLR – renal clearance

23 CLH- hepatic clearance

24 WT – weight

25 FQ- Liver blood flow

26 BPR- blood /plasma ratio

27 CLi- intrinsic clearance

28 LV- liver volume

29 ER- extraction ratio

30 FOCE- first order conditional estimation

31 PK- pharmacokinetics

32 OFV- objective function value

33 VPC – visual predictive check

34 PSN-perl speaks NONMEM

35 CV- coefficient of variation

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64 Corresponding author: Sarit Cohen-Rabbie, sarit.rabbie@astrazeneca.com, Melbourne Science Park,
65 Royston, Hertfordshire, SG8 6HB +44(0)7469400635

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67 **Abstract**

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69 **Purpose:** Inter-subject variability in oral drug absorption is usually reported using bioavailability, which
70 has the components: fraction absorbed (fa), fraction passing the gut wall (fg) and fraction escaping
71 hepatic metabolism (fh). In this study, we sought to separate the absorption (fa*fg) and elimination (fh)
72 components of bioavailability to study variability of absorption and to investigate the effect of
73 formulations, gastric pH and food on absorption variability.

74 **Methods:** Four compounds from the AstraZeneca database with a range of reported bioavailabilities
75 (high, intermediate 1&2 and low) were selected. First, a disposition model using intravenous data was
76 developed; Second, intrinsic clearance and hence hepatic extraction ratio was estimated based on the
77 “well stirred” model; lastly, the oral data were included to enable estimation of fa*fg as a separate
78 component to hepatic extraction. Population pharmacokinetic model fitting was undertaken with
79 NONMEM v.7.2.

80 **Results:** The limiting step in absorption for intermediate 1 was dissolution rate and fa*fg variability
81 increased under elevated gastric pH (15% vs. 38%, respectively). Absorption of solution formulation
82 intermediate 2 increased by 17% in the presence of food but the prolonged release formulation’s
83 absorption didn’t differ under fasted or fed state. Variability wasn’t affected by food for both
84 formulations (~30%). For the low bioavailable compound, variability decreased when formulated as a
85 prolonged-release formulation (39% vs. 15%).

86 **Conclusions:** The method described here enables an exploration of drug absorption inter-subject
87 variability using population pharmacokinetics. Implementation of such an approach may aid the
88 formulation design process through a better understanding of the factors affecting oral drug absorption
89 variability.

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93 1. Introduction

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95 The terms absorption and bioavailability (F) are often used interchangeably (1), though these are distinct
96 concepts. Indeed, whereas bioavailability is defined as the fraction of an oral dose administered that
97 reaches the general circulation or site of action, the fraction absorbed (f_a) is the fraction of a dose that
98 enters the cellular space of enterocytes from the gut lumen. Two other parameters contribute to drug
99 bioavailability: f_g , the fraction of drug entering the enterocytes that escapes first-pass gut wall
100 metabolism and enters the portal vein; and f_h , the fraction of drug entering the liver that escapes first-
101 pass hepatic metabolism and biliary secretion, thus entering the systemic circulation (Equation 1) (2). In
102 this investigation, the term absorption shall refer to both the fraction absorbed and the fraction that
103 escapes gut wall metabolism due to limitation in estimating f_g .

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$$F = f_a * f_g * f_h$$

105 Equation 1: Oral bioavailability

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107 All parameters mentioned in Equation 1 are sensitive to inter-subject differences (3,4). The factors
108 which contribute to inter-subject variability in f_a are formulation aspects (namely, disintegration and
109 particle size); physicochemical attributes of the drug (dissolution and solubility); and variation in GI
110 physiology, including gastrointestinal (GI) tract functions as represented by pH changes, gastric
111 emptying time and intestinal transit time varying with age, gender, and diseases. Other factors, including
112 food, alcohol or concomitant medication use, may also affect the drug dissolution or GI function (5). f_g
113 is sensitive to the abundance and the regional distribution of drug metabolizing enzymes (which could
114 be influenced by genetics and diet), variation in blood flow to the gut, and disease states. Changes in
115 the activity of drug metabolizing enzymes in the liver as a result of environmental substances or toxins
116 as well as genetic makeup (expression level and polymorphism), can contribute to inter- subject
117 variability in f_h . Other factors affecting hepatic clearance variability are related to age, ethnic groups
118 and gender. The contribution of these factors together with inter-subject variability adds a layer of
119 complexity to the situation *in vivo*, and hence an explicit mechanistic understanding is required.
120 Focusing on f_a from oral administration specifically can improve understanding of the key causes of

121 low absorption and consequent variability in this parameter. In turn, understanding f_a and its associated
122 inter-subject variability in the early stages of drug development provides an opportunity to understand
123 absorption mechanism, optimise formulation performance by increasing drugs solubility or dissolution
124 rate, and consequently to increase drug absorption. However, the effect of different formulations on
125 inter-subject variability is usually not assessed at such an early stage in the clinical development
126 process.

127 When analysing clinical pharmacokinetic data (as drug plasma concentrations), it is common to use
128 non-linear mixed-effect modelling (NLME) – the so-called “population approach” (FDA Guidance for
129 Industry Population Pharmacokinetics). The advantage of this modelling approach is the improvement
130 in underlying effects in drug performance which is important in understanding variability in population
131 (6).

132 One of the more difficult tasks for a modeller is to find an appropriate structural description of drug
133 absorption, as the population pharmacokinetic modelling approach should be executed while taking into
134 account the physicochemical properties of a drug, the physiology of the subject and the variability of
135 all the different mechanisms of absorption. The traditional models used to describe the absorption
136 process are simple and include a parameter describing the absorption rate (first or zero order absorption
137 rate constant), bioavailability and usually a lag time parameter characterizing any potential absorption
138 delay. Given the importance of characterizing absorption, more effort should be expended on
139 developing these models.

140 In this study, the well-stirred model was used to separate f_h from f_a*f_g in place of bioavailability in
141 order to gain a better understanding of inter-individual variability in absorption from different
142 formulations in phase I/II clinical studies. The population approach allowed the determination of the
143 magnitude of inter-subject (individuals) variability. The population pharmacokinetics of four
144 compounds with different reported bioavailabilities (Table I) were tested by the simultaneous fitting of
145 data from different drug formulations, including oral solution, immediate-release (IR) and prolonged-
146 release (PR) formulations. In addition, inter-subject variability in absorption of these drugs was
147 investigated in relation to food effect and gastric pH. We aimed to use these examples to show how

148 such an approach could be useful in formulation development and understanding the important factors
149 affecting inter-subject variability in absorption in early stages of clinical development from clinical
150 trials.

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152 **2. Methods**

153 2.1. Data

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155 Four compounds with low (AZD7009 developed for atrial fibrillation), intermediate 1 and 2 (AZD0865
156 and AZD1305 developed for gastroesophageal reflux disease and for treatment of atrial fibrillation,
157 respectively) and high (AZ242-developed for diabetes mellitus) bioavailability were identified from the
158 AstraZeneca clinical trials database. All datasets were phase I/II studies performed in healthy male
159 volunteers, conducted in accordance with the Declaration of Helsinki, which were compliant with the
160 International Conference on Harmonisation (ICH)/Good Clinical Practice (GCP) and regulatory
161 requirements, and also the AstraZeneca policy on Bioethics. Compound selection was based on
162 availability of intravenous data and differing physicochemical and pharmacokinetic properties.
163 Physicochemical properties and pharmacokinetic parameters based on non-compartmental analysis for
164 each compound are specified in Table I. In addition, the plasma vs. time profiles on log scale of the four
165 compounds in the different formulations are presented in Figure 1. Inter-subject variability in oral drug
166 absorption was investigated in relation to the effect of PR (prolonged release) formulation, gastric pH
167 and food effect and for the low, intermediate 1 and intermediate 2 compounds, respectively. Clinical
168 trials from phase I/II were incorporated in the analysis and $f_a \cdot f_g$ was estimated for the oral solution and
169 different formulations under the mentioned conditions. The number of subjects, demographics (age and
170 weight) and administered doses are listed in Table II.

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2.2. Model building

Population pharmacokinetic model building was undertaken using NONMEM VII (V-12, Icon plc, <http://www.iconplc.com/innovation/solutions/nonmem/>). The process of finding the optimal model includes four major steps: model definition, model fit, model diagnostics and model evaluation. NONMEM is a tool for building mathematical model of this underlying process using several building blocks. The basic block is the structural model. An example of collected data includes the measurement of the plasma concentration over time. Inferences from the data are drawn and summarized in terms of estimated model parameters, such as drug clearance (CL). Another important component of the model is variability. Therefore, parameters of the model are treated as distributions, rather than single values. This is the second building block called “random effects (the measurements “noise”). In biological data, there are two sources of random variability which are quantified in mixed effect analysis: variability between different individuals – inter-individual variability (IIV) and residual variability (RV). Inter-individual variability is considered at the level of the model parameter and the residual variability is at the level of the observed data point and includes noise due to measurement error, erroneous data records, and changes in individual biology over time, or error due to model misspecification (Fisher/Shafer NONMEM Workshop Pharmacokinetic and Pharmacodynamic Analysis with NONMEM, Basic Concepts, 2007)

In this study, individual plasma concentration vs. time from different clinical trials of the same formulation were pooled to form a single dataset, with mass units expressed in nanomoles. Raw plots of plasma drug concentration vs. time were generated using R (Version R-3.1.1, available on <http://www.r-project.org/>) and inspected for possible trends in the structural models (Figure 1). Disposition of each compound was determined by modelling the intravenous data alone. One-, two-, three- and four-compartment models (Volume of distribution and clearance values of central and peripheral compartments) with CL split into the renal component (CLR) and hepatic clearance (CLH).

205 CLR was fixed according to unchanged urine excretion, and the hepatic component (CLH) estimated
206 using the well-stirred liver model as follows:

207 Liver volume (LV) in Liters was associated to the subject weight as indicated by Noda *et al.* (7) in
208 Equation 2:

209

$$210 \quad LV = 0.05012 * WT^{0.78}$$

211

212 **Equation 2: Liver volume based on publication from Noda *et al.* (7)**

213

214 Liver blood flow (FQ) in males was reported as 50.4 L/h/L of liver volume. The blood/plasma ratio,
215 measured in vitro (AstraZeneca in house data set), was used to take into account the total blood to
216 total plasma drug concentration ratio (BPR-as fixed value) (Equation 3):

$$217 \quad FQ = 50.4 * LV * BPR$$

218 **Equation 3: Liver blood flow**

219 Intrinsic clearance (CLi) was estimated parameter from the disposition model and was used to
220 calculate the hepatic clearance (Equation 4):

221

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$$223 \quad CLH = \frac{FQ * CLi}{(FQ + CLi)}$$

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225 **Equation 4: Clearance hepatic calculation based on intrinsic clearance**

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227 Allometric weight scaling was added to renal clearance fixed effects *a priori*, standardized to a body
228 weight of 70 kg according to the following relationships (8) (Equation 5 and Equation 6):

$$229 \quad CL = CLH + CLR$$

230

231 **Equation 5: Clearance calculation**

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$$CLR = CLR * ((WT)/70)^{0.75}$$

235

236 **Equation 6: Renal clearance normalised by weight**

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238 The IV data were analysed with first-order conditional estimation (FOCE) plus interaction (inter-
239 individual and residual variability). For the IV data analysis, the ADVAN7 TRANS1 (General Linear
240 Model with Real Eigen value and estimation of Q and V PK parameters) subroutine in NONMEM was
241 used.

242 Once an adequate structural model was identified, the disposition parameters and its associated inter
243 subject variability were then fixed, on the assumption that absorption model mis-specification may
244 unduely influence disposition parameters when data were pooled. Additional (e.g. oral) data for each
245 formulation or condition (fast\fed) from different studies were pooled, and the absorption model was
246 developed.

247 The extraction ratio (ER) was calculated based on the intrinsic hepatic clearance (CLi) and liver blood
248 flow (FQ) and was further utilised to estimate $f_a * f_g$ based on bioavailability (F1) NONMEM estimation
249 (**Error! Reference source not found.& Error! Reference source not found.**):

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$$ER = \frac{CLi}{(FQ + CLi)}$$

252 **Equation 7: Enterohepatic circulation**

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$$F1 = f_a * f_g * (1 - ER)$$

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257 **Equation 8: Calculation of $f_a * f_g$ based on the well stirred model**

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259 For the oral absorption modelling the ADVAN5 TRANS1- (linear general model and estimation of Q
260 and V, PK parameters) NONMEM subroutine was used. Inter-individual variability for PK parameters
261 was estimated using an exponential model (log normal model), except for the $f_a \cdot f_g$, where a Logit
262 transformation was used to ensure the individual estimate remained between 0 and 1. Mixed additive
263 and proportional model was tested for residual error.

264

265 Lag-time or a discrete number of transit compartments were used to describe delays in absorption. Due
266 to long runtimes when using ordinary differential equation solver methods (e.g. ADVAN6), for the
267 transit model a stepwise search for the optimal number of transit compartments (n) was conducted based
268 on the lowest OFV (Figure 2). Two typical run files of the disposition model and absorption model are
269 provided as supplementary information.

270

271 2.3. Pharmacokinetic model evaluation and simulations

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273 Model selection was achieved by use of the objective function value (OFV- an objective function value
274 is the sum of squared deviations between the predictions and the observations. In NONMEM, the
275 objective function is -2 times the log of the likelihood. A difference in objective function value of 3.84
276 is considered to be significant at $p < 0.05$ with one degree of freedom, based on chi squared distribution));
277 successful covariance step; by examination of relative standard error values and goodness-of-fit plots.
278 Xpose (version 4.0) and R software (Version 3.1.1) were used for the graphical goodness-of-fit analysis.
279 A visual predictive check (VPC) was employed to characterize the model's simulation properties. The
280 final model was used to simulate 500 new datasets, based on the design of the original data set. For each
281 of the original data points, a 95% prediction interval was obtained by extracting the 2.5% and 97.5%
282 percentiles of their simulated distributions. These were then plotted against the observations using PsN
283 (Perl speaks NONMEM Version 3.5.3) and Xpose (version 4.0).

284

285 To estimate inter-subject variability, simulations using R in 1000 subjects were carried out to estimate
286 variance from the model, the square root of the variance being the standard deviation (using Multivariate
287 Normal Density and Random Deviates package to provide the density function and a random number
288 generator for the multivariate normal distribution with mean equal to mean and covariance matrix
289 sigma). The coefficient of variation (CV%) was then calculated by dividing the standard deviation by
290 the mean value (Table IV). PsN was also used to run a nonparametric bootstrap of 200 iterations to
291 provide unbiased estimates of the standard errors and the 95% confidence intervals of the estimated
292 parameters (Figures 4A, B and C). The terms high and low variability refer to distributions that have
293 high and low coefficients of variation, respectively. Typically, a coefficient of variation of a
294 pharmacokinetic parameter of 10% or less is considered low, 25% is moderate, and above 40% is high
295 (9).

296

297 **3. Results**

298

299 The best fit for the disposition model for all four compounds was achieved with a three compartment
300 model. For high, intermediate 1, intermediate 2 and low bioavailability compounds, the OFV decreased
301 significantly, when changing from two compartments model to a three compartments model. In
302 addition, no significant improvement in fit with a four compartment model was observed for any
303 compound. A successful covariance step was observed for all structural models. Shrinkage percentages
304 are in the acceptable range (Table I, supplementary appendix). The disposition parameters of the final
305 structural model for all four compounds are presented in Table III. Reasonable goodness-of-fit plots
306 confirmed that the structural model adequately described the data (Figure 1, supplementary appendix).

307

308 After fixing the disposition parameters and adding the oral data, estimations of $f_a \cdot f_g$ and k_a (absorption
309 rate constant) were carried out by adding an absorption compartment. Improvement in fit using a lag-
310 time was tested for oral solution formulations for all compounds, and appeared to improve the fit.
311 Adding transit compartment in the case of oral solution administration did not yield a significant
312 decrease in OFV and therefore was not included in the final model. For all compounds in the solid forms
313 formulations addition of transit compartment improved the model fit and were included in the final
314 model.

315

316 The goodness-of-fit plots for all solution formulations and solid dosage forms formulation are provided
317 in the supplementary appendix in Figures 2 and 3 (A, B and C), respectively, showing that the model
318 fit in particular in early time points (which represent the absorption phase) is reasonably acceptable.
319 The visual predictive check for all compounds, presented in Figure 3 (A, B, C and D) indicates that the
320 final model was able to simulate data with a similar distribution to the observed data. The VPC is
321 showing that the median, 5th, 50th and 95th percentiles of the observations lie within the 95% CI of the
322 model simulation. The nonparametric bootstrap of 200 iterations estimates of the standard errors and
323 the 95% confidence intervals of $f_a \cdot f_g$ presented in Box plots graphs (Figure 4).

324

325 $f_a * f_g$ and inter-subject variability estimations for the different formulations presented in Table IV. For
326 the low bioavailability compound, $f_a * f_g$ for the oral solution was estimated to be 33% with inter-subject
327 variability of 39% which increased when the compound was formulated as prolonged release
328 formulation (57%) and variability decreased to 15%. The effect of different forms of the active
329 ingredient (salt and base forms) on the inter-subject variability in absorption was investigated for
330 intermediate 1. $f_a * f_g$ of the salt form increased compared to the base form although variability was
331 similar for both forms. However, under elevated gastric pH, inter-subject variability in $f_a * f_g$ increased
332 for both forms. Food effect absorption was investigated for intermediate 2 compound when an oral
333 solution and a PR formulation were given under fasted and fed conditions. Positive food effect was
334 observed when oral solution was administered (increase of 16% in $f_a * f_g$) which diminished when the
335 PR formulation was administered. Inter-subject variability was similar across all formulations under
336 fed and fasted states (CV~30%).

337

338 **3. Discussion**

339 In this investigation, the “well stirred “model was successfully implemented in NONMEM analysis to
340 focus on the drug absorption and its associated inter-subject variability, and not overall bioavailability.
341 The high bioavailability compound was chosen as a control drug to confirm that the absorption values
342 generated by NONMEM with the fitted “well-stirred” model equations are valid. The absorption rate
343 constant was high for the oral solution, indicating that the compound is rapidly absorbed. 100%
344 absorption was estimated for the oral solution with short lag time. A relatively low inter-individual
345 variability was estimated for this compound (9%).

346 *3.1. Effect of formulations on inter-subject variability (low bioavailability compound)*

347 For the low bioavailability compound, the absorption rate was faster in the case of the solution compared
348 to the PR formulation (3.2 and 0.04 h⁻¹, respectively), with higher variability in the solution absorption
349 rate than in the solid dosage form. Low absorption was estimated for the oral solution and high
350 variability whereas the PR formulation absorption increased to 60% and corresponded inter-subject
351 variability decreased by more than half. The low absorption after oral solution administration (30%)
352 indicates f_h is around 50%. Therefore, the low bioavailability in the case of the solution dosage form
353 can be attributed to both absorption and hepatic elimination. Inter-subject variability in absorption is
354 higher compared to the inter-subject variability in bioavailability (40% vs 26%), indicating absorption
355 process might be responsible for major differences between subjects.

356 Considering the physicochemical properties of this compound (Table I), solubility or dissolution should
357 not be the rate-limiting step, as in its given dose it is expected to be completely dissolved in the GI
358 fluids. The increase in the absorption for the PR formulation might indicate a possible stability issue for
359 the drug in the upper part of the gastrointestinal tract. Allowing for a low dissolution rate in the upper
360 part of the gut will enable more of the drug to reach the lower parts of the gut, thus prolonging
361 absorption. In addition, no gut wall metabolism is expected based on clinical trial data that showed that
362 no effect on drug pharmacokinetics when co-administered with the P-gp inhibitor verapamil
363 (AstraZeneca data file).

364 3.2. *The effect of gastric pH on inter-subject variability in absorption (intermediate 1*
365 *bioavailability compound)*

366 Based on the absorption estimations for the oral solution for intermediate 1 compound (60%), f_h
367 estimated at around 90%, indicating low hepatic extraction; therefore, the medium bioavailability can
368 be attributed to the decrease in absorption. Inter subject variability of the oral solution absorption was
369 lower compared to the inter-subject variability in bioavailability, but still classified as medium inter-
370 subject variability (22% vs. 15%). The absorption of the base form did not differ from the oral solution
371 (~60%). 15% increase in the drug absorption for the salt form might indicate that the absorption is
372 solubility/dissolution rate-limiting.

373 The intermediate 1 compound is a weak base therefore, its solubility would be highly dependent on the
374 gastrointestinal pH, and drug precipitation might occur as a consequence of the pH increase from acidic
375 in the stomach (especially in the fasted state) to near-neutral in the small intestine (10). It seems that
376 the salt formulation managed to minimize precipitation, and yielded a super-saturated state for a longer
377 period of time in order to allow longer absorption. To emphasise that, the absorption of the base and
378 the salt forms decreased 4 and 2 folds respectively, at elevated gastric pH. At elevated gastric pH, the
379 compound solubility in the gastric fluid is low, and almost all the drug would be emptied into the
380 duodenum from the stomach in the undissolved form. Both the rate and extent of absorption are
381 therefore limited by intestinal drug dissolution.

382 A separation of the fraction that escapes gut wall metabolism (f_g) from the fraction absorbed (f_a) was
383 not made in this analysis. However, based on clinical studies where the compound was administered
384 with grapefruit juice, and which did not seem to affect the pharmacokinetics of intermediate 1, this
385 indicates that metabolism by CYP 3A4/3A5 in the gut is of minor importance for the pharmacokinetics
386 of intermediate 1.

387

388 The inter-subject variability estimated herein was similar for all formulations around 15%, and
389 increased under elevated gastric pH conditions. The increase in solubility of the drug using the salt

390 formation did not affect the inter-subject variability. The medium inter-subject variability might mask
391 the increase in absorption (only 10%) when the drug was administered in the salt form. In the case of
392 elevated gastric pH, the differences in gastric pH due to omeprazole administration can explain the
393 increase in variability.

394

395 *3.3. The effect of food effect on inter-subject variability in absorption (intermediate 2*
396 *bioavailability compound)*

397 For intermediate 2, the k_a value for the oral solution was relatively high compared to the PR formulation,
398 indicating a slow release of the drug from the tablet matrix in the GI tract, and hence slow absorption.
399 The variability in the rate of absorption was higher in the case of the solid dosage forms as compared
400 to the solution, which might be attributed to the differences in the disintegration and dissolution of the
401 drug resulting from the difference between individual GI physiology. With regards to absorption,
402 solution absorption in the fasted state was estimated 60% and increased in the fed state (77%).
403 Comparing the PR formulation and the solution in the fasted state, it can be seen that absorption
404 increased by 10%. In addition, no food effect was observed for the PR formulation (71% vs. 68% under
405 fast and fed states respectively).

406 The physiology of the gastrointestinal tract changes in the fed state, and may consequently affect drug
407 absorption. The remarkable changes in the stomach under the fed state notably include a rise in gastric
408 pH thanks to buffering and dilution effects, along with an increase in the gastric fluid volume and a
409 decrease in gastric emptying time. In the small intestine, an increase in bile salt concentration, decrease
410 in fluid volumes and in some cases inhibition of CYP enzymes and efflux transporters are expected (5).
411 Since intermediate 2 is a free base, it would be expected to have high solubility in the gastric fluids, and
412 its solubility should not decrease significantly in the administered clinical dose in the intestine. Another
413 explanation is the drug degradation in low pH conditions which might explain the increased absorption
414 under fed state. *In vitro* studies have shown to support this hypothesis (11). In the fed state, both the
415 elevated gastric pH and the low retention time in the stomach might contribute to the drug stability, and

416 therefore more drug arriving to the small intestine that is available for absorption. In addition, it might
417 be that an increase in bile salt concentration and gastric fluid volumes might have a positive food effect
418 on the drug absorption under fed conditions. The food effect vanished in the case of the prolonged
419 release formulation. Thanks to a slower dissolution in the stomach, less of the drug is deemed
420 susceptible to degradation in the acidic conditions of the stomach, and more available to be absorbed in
421 the small intestine.

422 High inter-variability (greater than 30%) can be attributed to the absorption process for all formulations.
423 Although a positive food effect caused an increase in absorption in the fed state, formulating the drug
424 as prolonged-release tablet did not reduced the variability in absorption in either the fasted or fed states.
425 It might be that other physiological conditions (i.e. transit time) contributing to the inter-subject
426 variability in absorption.

427 In this study, a model to estimate inter subject variability in absorption was developed by implementing
428 the well stirred model using population pharmacokinetics. For formulation scientists, the input of
429 formulation performance with regard of variability might increase the understanding of absorption
430 mechanism and physiological factors affecting the drug absorption in particular for compounds
431 classified as BCS II, to enable formulation optimisation to reduce the risk for high variability. This
432 method to estimate variability in absorption can also be useful for clinical pharmacology scientist in
433 planning and designing clinical trials in later stages of drug development to optimise sampling time and
434 the number of subjects to enrol the study which will have great input for the clinical trial size.

435

436 4. Conclusions

437

438 Bioavailability is a commonly-used but complex and error-inherent means by which drug absorption is
439 estimated in clinical trials and beyond drug development. Here, the well-stirred model was successfully
440 implemented to delineate absorption from metabolism using a population pharmacokinetics approach.
441 Our method maybe especially important for optimising formulation development for compounds with
442 low and intermediate bioavailability. From our results, we have shown that absorption is
443 solubility/dissolution-limited for intermediate 1 compound, likely attributable to significant drug
444 precipitation in the small intestine. Due to the basic nature of the Intermediate 1 compound, we
445 estimated low absorption on exposure to an elevated gastric pH, though there was evidence of
446 considerable inter-subject variability. By comparison, food effects influencing absorption of the
447 intermediate 2 compound disappeared when the drug was administered in a prolonged release
448 formulation, indicating that in the absence of food, the oral solution is less stable on exposure to an
449 acidic gastric pH. This investigation in early stages of drug development with the support of in vitro
450 data, will contribute to our mechanistically understanding of the factors contributing drug absorption
451 variability, assist in planning future clinical trials and power them accordingly. In addition, it can
452 support label information (i.e. restriction for PPI's and food effect).

453

454 Estimations for drug absorption in this work included the fraction that escape gut wall metabolism. To
455 our knowledge, there is no definite method to calculate f_g from plasma concentration vs. time data,
456 though obtaining a separate estimation of f_g by developing a separate model remains highly desirable.
457 Estimation of absorption from phase I/II clinical studies would otherwise enable better understanding
458 of the factors contributing to low and erratic absorption, and therefore aid selection of the most
459 appropriate formulation for further development. Moreover, a better understanding of drug absorption
460 variability will enable better planning and execution of phase II and III clinical trials through aiding
461 improved selection of sample size and dosage regimen, and so on, for the purpose of optimising the
462 drug development process.

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