

1 **Reproductive trade-offs in extant hunter-gatherers suggest adaptive mechanisms for the**
2 **Neolithic expansion**

3

4 Abigail E. Page, Sylvain Viguiier, Mark Dyble, Daniel Smith, Nikhil Chaudhary, Gul. D. Salali,
5 James Thompson, Lucio Vinicius, Ruth Mace and Andrea. B. Migliano

6

7 **Authors' affiliation:** Department of Anthropology, University College London, 14 Taviton
8 Street, London WC1H 0BW, UK.

9

10 **Corresponding Author:** Abigail Page; Department of Anthropology, University College
11 London, 14 Taviton Street, London WC1H 0BW, UK; +44(0)7588810936;
12 abigail.page.10@ucl.ac.uk

13

14 **Keywords:** Hunter-gatherers; quality-quantity trade-off; epidemiological transition; Neolithic
15 revolution

16

17 **Classification:** Biological Sciences, Anthropology.

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36 **Abstract**

37 The Neolithic demographic transition remains a paradox, as it is associated with both positive
38 effects, such as higher population growth as well as negative effects, such as increased morbidity
39 and mortality rates. Here we reconcile the conflicting evidence by proposing that the spread of
40 agriculture involved a life history quality-quantity trade-off whereby mothers traded offspring
41 survival for increased fertility, achieving greater reproductive success in spite of deteriorating
42 health. We test this hypothesis by investigating fertility, mortality, health and overall reproductive
43 success in Agta hunter-gatherers whose camps exhibit variable levels of sedentarization, mobility
44 and involvement in agricultural activities. We conducted blood composition tests with 345 Agta
45 and found that viral and helminthic infections as well as child mortality rates were significantly
46 increased with sedentarization. Despite this, age-controlled fertility and overall reproductive
47 success are both positively affected by sedentarization and participation in cultivation. Thus, we
48 provide the first empirical evidence of an adaptive mechanism in foragers which reconciles the
49 decline in health and child survival with the observed demographic expansion during the
50 Neolithic.

51

52 **Significance statement**

53

54 The rise of agriculture during the Neolithic period has paradoxically been associated with
55 worldwide population growth in spite of increases in disease and mortality. We examine the
56 effects of sedentarization and cultivation on disease load, mortality and fertility among Agta
57 foragers. We report increased disease and mortality rates associated with sedentarization
58 alongside an even larger increase in fertility associated with both participation in cultivation and
59 sedentarization. Thus, mothers who transition to agriculture have higher reproductive fitness. We
60 provide the first empirical evidence of an adaptive mechanism behind the expansion of
61 agriculture, explaining how we can reconcile the Neolithic increase in morbidity and mortality
62 with the observed demographic expansion.

63

64

65

66

67

68

69

70

71

72 \body

73 **Introduction**

74 Agriculture appeared in multiple locations from around 10–12,000 BP before slowly spreading to
75 become the dominant subsistence system by 5000 BP (1–5). The Neolithic transition was
76 associated with sedentarization, food storage, wealth accumulation and inequality as well as
77 increasing population size (3, 4, 6, 7). It has been suggested that an increased availability of
78 calories combined with a reduction in energy expenditure due to sedentarization led to increased
79 energy availability for reproduction (8–10). As a result, while exact estimates vary, it has been
80 argued that average population growth rates rose from <0.001% per year to approximately 0.04%
81 during the early Neolithic (6, 8, 11–17).

82

83 On the other hand, studies reveal significant increases in morbidity and mortality associated with
84 reduction in dietary breadth and sedentarization (6, 18–22). Research reveals increased prevalence
85 of tuberculosis, syphilis and the plague (6, 23–25), overall immunological stress (26) and a
86 deterioration in oral health (16, 27, 28). Farming led to higher population densities,
87 sedentarization, increased contact with neighboring populations, presence of rodents attracted by
88 food stores, domestication and fecal pollution (29–31). All those factors facilitated virulent
89 bacterial and viral pathogens as well as soil-borne helminths (roundworm, hookworm and
90 whipworm (32–38)). While some argue that Paleolithic foragers experienced high helminths loads
91 (37, 39, 40), archaeological data shows instead an increase in helminths associated with farming
92 (33, 41–45) compared to mobile, low-density hunter-gatherers. In summary, the overall effect of
93 agriculture on health was a trend towards increasing morbidity and mortality (16, 19, 28, 46),
94 although its intensity exhibits some regional variation and inconsistent trends (47–56)).

95

96 Given this significant increase in disease burden, why farming arose independently and expanded
97 in diverse geographical regions (57, 58) remains a puzzle. Here we propose that settled
98 agriculture was accompanied by a life history trade-off, whereby mothers switch strategy to invest
99 in offspring quantity rather than quality and thereby increase their reproductive fitness. A
100 quantity-quality trade-off is an adaptive process based on a principle of optimal distribution of
101 limited resources: parents must either allocate finite resources to a few higher quality offspring or
102 to multiple lower quality ones (59–64). By proposing that a quantity-quality trade-off provides an
103 explanation for the transition to agriculture, we provide an adaptive mechanism that reconciles
104 the decline in population health with increases in mortality during the Neolithic transition. We
105 test this hypothesis with data from extant Palanan Agta foragers in the Philippines (hence forth
106 referred to as Agta), a diverse group varying in mobility, foraging, wealth accumulation and camp
107 permanence; traits all attributed to the Neolithic revolution (1, 3, 6, 7). Residing completely
108 within the Northern Sierra Madre Natural Park the Palanan Agta have remained mostly separated

109 from other Agta populations who reside outside the park limits and further south in Casiguran
110 (65–67). By measuring fertility, mortality alongside viral, bacterial and helminth markers in
111 different Agta camps, we found that sedentarization is associated with increased morbidity and
112 mortality, yet both sedentarization and involvement in non-foraging activities are both associated
113 with even further increases in fertility, resulting in higher reproductive fitness. Our results
114 provide evidence that a quality-quantity trade-off was adaptive and suggests a pathway through
115 which, despite poor health, farming could have become the dominant subsistence system after its
116 origin in the Neolithic. Thus, we demonstrate how exploring the mechanisms that lead extant
117 foragers to seek increased settlement and food production is a theoretically informative approach
118 to test key archaeological predictions (6, 8, 19).

119

120 **Results**

121 ***Palanan Agta exhibit a suite of traits attributed to the Neolithic transition.*** Archaeological
122 studies have shown that the Neolithic transition from hunter-gathering to agriculture is
123 associated with a suite of traits (3, 7) that are also present across Agta camps. Figure 1 shows
124 significant correlations between proportion of food produced in cultivation and key ‘transition’
125 traits. These correlations indicate that while extensive foraging, small size, high mobility and few
126 belongings characterize some Agta camps; others exhibit more food production, larger camp
127 size, little mobility and many belongings. Thus, the variability present within the Agta today
128 allows us to test to influence of ‘transition’ on fitness related outcomes.

129

130 We used these ‘transition’ variables associated with the Neolithic revolution in multilevel analysis
131 to examine fertility, morbidity and mortality. Due to covariance two models were produced for
132 each analysis: one exploring the effects of *household mobility* (whether an household moved camp
133 at least once or not during two years of fieldwork) and the second, exploring the effects of
134 *household foraging* (binary measure, 1 = more than 75% of maternal time spent in foraging as
135 opposed to cultivation and wage labor). Both these models included one camp settlement
136 variable, chosen based on lowest AIC score in univariate regressions (SI appendix table S4), and
137 included: *i) camp housing type* (temporary, semi-permanent or permanent); *ii) a binary sedentarized*
138 *camp* variable denoted by the presence of permanent camp infrastructures; and *iii) out-of-camp*
139 *mobility* over two years, 0 representing camp abandonment. AICs and full model results are
140 reported in the SI appendix tables S8-14.

141

142 ***Settled Agta exhibit increased fertility.*** The total fertility rate (TFR) among the Agta based on
143 41 live births in 2013-2014 was 7.7, one of the highest reported in foragers (68). For 117 women
144 we interviewed (aged between 16-75 years), the mean number of live births was 4.4 (ranging from
145 0 to 13). Residuals from a linear regression of offspring count and maternal age, including a

146 logarithmic term, were used to control for age effects on fertility and reproductive success, while
147 an exponential term was included for child mortality (see SI appendix). Settled mothers had
148 significantly higher fertility than women who moved camp at least once ($\beta = 1.3 \pm 0.6, p =$
149 0.04). Correspondingly, increases in household belongings were positively associated with
150 fertility ($\beta = 0.5 + 0.2, p = 0.005$). This relationship is further confirmed by examining TFR:
151 settled mothers had 16.7% higher TFR (7.7) compared to mobile mothers TFR (6.6). Similarly,
152 for all live births settled mothers had 20.5% more offspring (5.3) than mobile mothers (4.4; SI
153 appendix table S6). A possible pathway may be that settled mothers are able to accumulate more
154 somatic resources for reproduction. Accordingly, settlement was a significant predictor of
155 maternal BMI (i.e. mothers never witness to move camp, $\beta = 1.7 \pm 0.6, p = 0.004$), and BMI
156 positively predicts fertility ($\beta = 0.3 \pm 0.1, p = 0.02$).

157

158 ***Mothers who forage more exhibit lower fertility.*** A high degree of foraging also significantly
159 predicts fertility ($\beta = -1.4 \pm 0.7, p = 0.04$). As a result, mothers who spent less than 75% of their
160 time foraging experience 0.23 higher fertility residuals than expected for their age. Mothers who
161 spent more than 75% of their time foraging had 0.85 less offspring, given their age (SI appendix
162 table S7). Women who spent more time foraging also had marginally lower BMI ($\beta = -1.5 \pm 0.9,$
163 $p = 0.08$). Therefore, transition to farming as measured by both increasing cultivation and
164 sedentarization are positively associated with fertility, perhaps due to increased somatic resources.

165

166 ***Sedentarization is associated with health deterioration.*** We examined the effects of
167 cultivation and sedentarization on three white blood cell types – lymphocytes, neutrophils and
168 eosinophils - as proxies for different immunological attacks: viral, bacterial and helminthic,
169 respectively (see SI appendix). Our sample consisted of 345 Agta, 140 of which were adults
170 (48.6% males) and 205 children under 16 (60% males). Due to inconclusive test results missing
171 data reduced the sample to 293, consisting of 117 adults (47% males) and 176 children (59%
172 males). Table 1 shows that people in large camps with low out-of-camp mobility had 2.8 times
173 higher chances of presenting with lymphocytosis compared to mobile camps. People residing in
174 camps with permanent and semi-permanent houses had significantly higher eosinophil
175 concentrations than individuals in temporary camps. Severe eosinophilia ($>5 \times 10^9/L$) is
176 indicative of extreme helminth infestations and only found in sedentarized camps. In contrast,
177 people residing in sedentarized camps with some form of infrastructure (i.e. church or water
178 pump) had lower odds (by 80%) of neutrophilia. One major bacterial disease among the Agta is
179 *Mycobacterium tuberculosis* (TB), demonstrated by the fact that 41.7% of the 24 individuals suffering
180 from neutrophilia had clinically diagnosed or suspected TB. In contrast, percentage of time
181 spent foraging had little effect on health. Individuals in households who foraged more had a 76%
182 reduction in odds of presenting with lymphocytosis than households who foraged less. However,

183 indicators of helminthic or bacterial infections were not affected by percentage of time spent
184 foraging (SI appendix tables S11-13).

185

186 To explore helminth burden further we collected 30 fecal samples from Agta who had presented
187 with eosinophilia. All 30 samples tested positive for helminth ova. The mean number of species
188 was 1.6 ± 0.7 and included: roundworm (*Ascaris Lumbricoides*, 83.3%); hookworm (*Ancylostoma*
189 *duodenale* or *Necator americanus*, 46.7%); and whipworm (*Trichuris trichiura*, 30%). Due to the small
190 sample size non-parametric Kruskal-Wallis tests were run on three measures of transition. Living
191 in a sedentarized camp was associated with a 0.65 mean increase in parasite species number
192 compared to living in mobile camps ($\chi^2 = 5.9$, $df = 1$, $p = 0.02$). Household participation in cash
193 labor decreased helminth loads by 37.4% ($\chi^2 = 8.9$, $df = 1$, $p = 0.003$) indicating that families
194 who interact with the wider economy may be more able, financially and/or behaviorally, to
195 acquire anthelmintic medicines. While cash labor is a positive predictor, the proportion of time
196 spent foraging is not ($\chi^2 = 3.3$, $df = 1$, $p = 0.2$). Our results indicate that although increased
197 helminthic load is likely to be a consequence of transition to agriculture, it is settlement, rather
198 than cultivation, which predicts helminth infestation.

199

200 ***Child mortality is increased in settled camps.*** We examined whether the negative effects of
201 settlement on health also extended to child mortality rates (including all post-natal deaths until
202 age 16). Out of 520 reported live births from 124 mothers, 19% of children died before age one,
203 13.9% from one to five and 6% from five to fifteen years. Those rates are lower than the 28-29%
204 previously reported for neighboring Agta (65, 69). Mothers residing in camps with permanent
205 housing experienced significantly higher childhood mortality rates, compared to mothers living in
206 camps with temporary housing ($\beta = 0.7 \pm 0.3$, $p = 0.005$). The relationship for semi-permanent
207 camps was in the same direction but of marginal significance ($\beta = 0.5 \pm 0.3$, $p = 0.08$). This
208 relationship results in a 63.2% increase in mortality rates for settled mothers living in
209 sedentarized camps (0.93) when compared to nomadic mothers in temporary camps (0.57). Thus,
210 the sedentarized camps with the highest helminth and viral disease rates also experience the
211 highest childhood mortality rates. Following the morbidity results, proportion of time spent in
212 cultivation had no significant relationship with child mortality ($\beta = 0.01 \pm 0.04$, $p = 0.8$).

213

214 ***A quantity-quality trade-off explains higher reproductive success in transitioning Agta.***
215 Our results show that women who settle down trade child survival for fertility, increasing their
216 overall reproductive success (Figure 2a). Settled women ($\beta = 0.8 \pm 0.4$, $p = 0.03$) had significantly
217 more children surviving to age 16 (our measure of reproductive fitness) than mobile women.
218 Although these settled women faced higher child mortality rates, they also experienced extremely
219 high fertility. As a result of the negative morbidity effect of sedentarized camps compared to the

220 positive fertility effect of settled households, women residing in permanent camps suffered lower
221 child survival ($\beta = -1.2 \pm 0.5, p = 0.01$) compared to temporary camps, once household mobility
222 has been controlled for. Figure 2a demonstrates that mobile women living in sedentarized camps
223 faced the worst situation as they experienced both higher mortality rates associated with
224 permanent camps and the lower fertility rates associated with high mobility. Thus, they
225 experienced 0.73 lower fitness, given their age. Overall, our results show that settled mothers, in
226 spite of the higher mortality rates, had an extra 0.59 offspring (4.4) surviving to age 16 compared
227 to mobile women (3.8), a relative increase of 15.8% in reproductive fitness.

228

229 Our other indicator of ‘transition’, the percentage of time involved in foraging, also predicted
230 reproductive success ($\beta = -1.2 \pm 0.6, p = 0.05$). We find that mothers who foraged more than
231 75% of the time had 0.74 less children surviving to age 16 given their age, while mothers who
232 spent less time foraging experienced 0.04 more children surviving to age 16 (figure 2b). Thus,
233 while abandoning foraging does not significantly affect child mortality rates, it does increase
234 fertility, and consequently mother’s reproductive success. Consequently, while cultivation is
235 associated with increased fertility, sedentarization is associated with both increased fertility and
236 increased morbidity and mortality. The overall consequence of this combined process is a
237 reproductive trade-off where settled mothers involved in cultivation have the highest
238 reproductive fitness, despite increased morbidity and mortality.

239

240 **Discussion**

241 The variability of lifestyles currently observed within the Agta allows the testing of hypothesis
242 proposed by archaeologists to explain the demographic processes taking place during the
243 Neolithic transition (8, 29). Current evidence from archaeology and other sources suggests a
244 Neolithic paradox as fertility increased alongside morbidity (58). Here we have tried to shed light
245 on this debate by offering an adaptive explanation for the Neolithic transition. We propose that a
246 life history trade-off between offspring quantity and quality is able to explain a suite of traits
247 including higher fertility, mortality, morbidity and overall reproductive success observed in
248 sedentarized Agta practicing cultivation. In so far that variability observed within the Agta is
249 reflective of Neolithic trends, our results illuminate the adaptive mechanisms involved in
250 transition to sedentarized food production. In doing so we provide empirical evidence that a
251 quantity-quality trade-off can explain the demographic changes associated with cultivation and
252 sedentism.

253

254 Our data indicates that sedentarization was associated with increased risk of infectious
255 disease. Viral infections were higher in the larger, less mobile camps, with increased cultivation.
256 Camp size and population density (measured here through out-of-camp mobility) were

257 significantly correlated with viral infections in our data. This follows expectations of transmission
258 of viruses such as *Caliciviruses* and *Rotoviruses*, causing gastroenteritis and influenza (38), both
259 common among settled Agta and dependent on higher population densities (70). This mirrors
260 the finding of increased risk of high C-reactive protein concentrations (indicative of an
261 inflammatory response) in children among more acculturated Tsimane forager-horticulturalists
262 (71). In particular, cultivation may independently predict increased viral load (indicated by the
263 association between lymphocytosis and foraging) due to poorer nutritional quality of agricultural
264 staples. Increased consumption of rice with cultivation entails a loss of dietary breadth and
265 macro- and micro-nutritional quality (72, 73) leading to malnutrition, which is a significant
266 predictor of disease and mortality (74).

267

268 As with viral infections, Agta living in more permanent camps (as predicted by camp housing
269 type) were also worse off in terms of helminthic infections, matching theoretical predictions (29,
270 33, 43, 44, 75). Contrary to this, some studies have argued the high helminth burden was a major
271 selective pressure before the Neolithic (37, 39, 76) due to extreme helminth rates in many semi-
272 sedentarized, farmer-foragers (31, 37, 77). However, these groups are no longer fully
273 mobile. Supporting our interpretation and findings, researchers observed a near absence of
274 helminths in an isolated Amazonian group (78), Hadza children (79) and lower helminth loads
275 among isolated and mobile Saluma and Yanomama groups (80). While some parasitic species
276 were hosted by our hominid ancestors (29, 81, 82), there is little archaeological evidence for an
277 extreme intestinal parasite burden predating agriculture (33, 41–43, 45, 81). Thus, increased viral
278 and helminth burdens are costs associated with sedentarization. It should be noted that settled
279 Agta did not, however show an increased incidence of neutrophilia, which in our sample is often
280 caused by TB. Chronic bacterial infections, such as TB, have evolved to survive at low
281 population densities, thus likely predate agriculture (83, 84). The lower incidence of neutrophilia
282 in settled Agta camps is likely due to the limited access to medical care, which might also explain
283 the finding that cash labor decreased helminth loads by 37.4%. Since westernized medicine is a
284 recent occurrence, the overall increased helminthic load in sedentarized camps may be
285 underestimating the effect that settlement had on human populations during the Neolithic
286 transition.

287

288 We found that more permanent camps had significantly higher childhood mortality rates,
289 matching archaeological evidence from the Neolithic (6). Our results also revealed significantly
290 higher fertility rates in settled women, particularly those transitioning from foraging to
291 cultivation. Agriculture has been associated with fertility increases (85, 86) as the reduction in
292 energy expenditure with settlement (87) and increased carbohydrate consumption (10, 88) are
293 associated with increased BMI, which correlates with shorter inter-birth intervals and higher

294 fertility (89). This suggests a pathway through which increased cultivation could lead to increased
295 fertility. An additional pathway, as suggested by Kramer and Boone (90), considers the increased
296 economic productivity of children in agriculture which reduces maternal constraints and thus,
297 increases her fertility. Our study remains correlative, which leaves unanswered the question of
298 the causal direction between fertility, sedentarization and food production. Since sedentarization
299 correlates with food production in the Agta, with more sedentarized households abandoning
300 foraging, it seems that these two process are interdependent, and thus are treated here as part of
301 a process that involved a cluster of ‘transition’ traits. This is likely to be the case for the Neolithic
302 transition as well, as many have reported the interplay between sedentarization and food
303 production leading to the demographic changes associated in the origins of agriculture (4, 7).
304 Further limitations stem from using a cross-sectional study design to explore longer-term trends,
305 thus, it is unclear to what degree sedentism is reflective of mobility trends beyond our two-year
306 sample period. However, a cross-sectional study design does permit the exploration of intra-
307 population variability without requiring this variability to be recent or unilineal. Furthermore,
308 foraging and mobility behaviors are likely altered during the heavy rainy and typhoon season not
309 captured here as data collection occurred during the dry period. However, this does mean there is
310 no systemic temporal bias in the data as camps were visited within the same season. Finally, more
311 detailed dietary data would be required to explore these questions in more depth, allowing us to
312 better separate the effects of ‘transition’.

313

314 In summary, our study of the Agta provides evidence supporting the hypothesis that while the
315 transition to agriculture in the Neolithic significantly depressed health, the overall fitness payoff
316 was greater. Therefore, our proposed quality-quantity trade-off provides an adaptive mechanism
317 that reconciles deteriorating health, increased mortality and demographic expansion following the
318 spread of agriculture in the Neolithic. Finally, since high fertility rates were
319 accompanied by relatively high mortality rates, the trade-off also explains why population
320 numbers did not explode during the Neolithic but instead increased relatively slowly (8), perhaps
321 as large increases in fertility were matched by increases in mortality (17).

322

323 **Methods**

324 Data collection occurred over two field seasons from April to June 2013 and February to
325 October 2014 during the Isabela dry season. There are around 1,000 Agta living in Palanan
326 municipality, Isabela Province, located in northeastern Luzon. The Agta are primarily fisher-
327 foragers, residing either at the coast or inland watersheds. Hunting does occur, however fishing is
328 far more common: out of 2168 work activities 54% were spent fishing, 20% gathering and 3%
329 hunting. The remaining ‘non-foraging’ activities consist of cultivation (13.5%) and wage labor
330 (9.5%). There is, however significant variance in diet (Figure S1) and activities between

331 households: mobile households spent only 3.5% of their work activities in cultivation and 0% of
332 time in wage labor. Comparatively, settled households spend 11.6% and 10% of their work
333 activities in cultivation and wage labor, respectively. We found 26% Agta moved camp at least
334 once during our fieldwork, resulting in an average camp move of once every 10 days. Similarly,
335 the degree of camp sedentarization varies drastically as some camps change daily and consists of
336 temporary shelters while others consist of permanent wooden structures built around churches
337 and cultivation plots. Some Agta living in permanent camps closer to town have limited access to
338 medical care and government provisioning. However, the effects of these sporadic interventions
339 on the Agta health are limited (see SI appendix for further ethnographic description). We stayed
340 around 14 days in each of the 13 camps and conducted reproductive histories to quantify
341 demographics and interviews to produce an index of household belongings. After creating an
342 emic-sourced list of ‘important’ belongings we asked each household whether they had each of
343 the 14 objects, and if so how many. This was then weighted based on how many times each item
344 were mentioned (SI appendix table S1). We also conducted four daily camp scans at staggered
345 time intervals based on spot observation techniques (SI appendix figure S4). We categorized each
346 individual’s activity at the allocated time, separating hunting, fishing, foraging, wage labor and
347 cultivation. Thus, we can quantify the proportion of time individuals spent in foraging activities
348 versus cultivation and wage labor. Finally we recorded key features of the camp and its changing
349 composition as we visited each camp two to three times (depending on whether it had dissolved
350 into new camps).

351

352 These data created the ‘transition’ variables which were broken into: a) *camp sedentarization*
353 measured by *i) camp housing type* (temporary, semi-permanent or permanent), *ii) a binary sedentarized*
354 *camp* variable denoted by the presence of church and/or water pump and *iii) out-of-camp mobility*
355 between three visits, 0 representing camp abandonment, 0.5 when 50% of individuals remained
356 and 1 when no one leaves; b) *household mobility* established by whether an individual moved camp
357 or not during two years of fieldwork; c) *household belongings* a emic based list of common objects;
358 and d) *household foraging*, a binary variable in which 1 equals when mothers spent more than 75%
359 of food production activities in foraging compared to those who spend more time in cultivation
360 and wage labor. Due to multicollinearity, only one of the variables in the *camp sedentarization* group
361 was entered into each model, chosen by the lowest AIC in univariate regressions. White blood
362 cell composition analysis was conducted on HemoCue® WBC DIFF and we collected fecal
363 samples from 30 individuals with intestinal parasites symptoms. Further information and
364 descriptive statistics for these variables can be found in SI appendix tables S2 and S3. Logistic
365 and linear multilevel models (MLM) were conducted in R version 3.1.2 (92) using the lme4
366 package (93). All models were originally run with level 1 as the individual, level 2 as the
367 household and level 3 as the camp. Levels that failed to improve the model were removed to

368 produce the most parsimonious model. Only theoretically informed variables were entered into
369 the model; however, as many variables co-vary, the maximal model suffered from
370 multicollinearity (variance inflation factor scores of more than 2.5 (94)). Therefore, two models
371 were produced, separating *household mobility* from *household foraging*, to correct for any remaining
372 covariance. Otherwise all models contained all appropriate controls (age, sex, mean relatedness
373 and household dependents and belongings) and the best predictor variable for camp
374 sedentarization. As the proportion of each leukocyte is dependent on the circulating levels of
375 other leukocytes, whether an individual had abnormally high levels of any white blood cell types
376 was controlled for as well as total white blood cell count in each analysis. Due to the smaller
377 sample size, non-parametric Kruskal-Wallis tests were used to examine the data on polyparasitic
378 infections. All model betas are reported in text alongside standard errors. This research and
379 fieldwork was approved by UCL Ethics Committee (UCL Ethics code 3086/003) and carried out
380 with permission from local government and tribal leaders in Palanan. Informed consent was
381 obtained from all participants, and parents signed the informed consents for their children (after
382 group and individual consultation and explanation of the research objectives in the Agta
383 language). As part of the process, people identified as having any disease were provided with
384 medical care in partnership with the local government.

385 Acknowledgements

386 This project was funded by the Leverhulme Trust grant RP2011-R-045 to A.B.M., R.M. also
387 received funding from European Research Council Advanced Grant AdG 249347. We thank the
388 Human Evolutionary Ecology and Hunter-Gatherer groups at UCL and Matt Thomas for their
389 comments on earlier drafts, the three reviewers for their constructive critique, our assistants in
390 the Philippines, and most importantly, the Agta.

391

392 References

- 393 1. Bar-Yosef O, Meadow RH (1995) The origins of agriculture in the near east. *Last Hunters-First*
394 *Farmers*, eds Price D, Gebauer AB (School of American Research Press, Santa Fe), pp 39–94.
- 395 2. Bollongino R, et al. (2013) Stone Age Central Europe. *Science* (80) 342:479–481.
- 396 3. Piperno D, Pearsall DM (1998) *The origins of agriculture in the Lowland Neotropics* (Academic Press,
397 London).
- 398 4. Price DT, Gebauer AB (1995) New perspectives on the transition to agriculture. *Last Hunters-First*
399 *Farmers*, eds Price DT, Gebauer AB (School of American Research Press, Santa Fe), pp 3–20.
- 400 5. Richerson PJ, Boyd R, Bettinger RL (2001) Was Agriculture Impossible during the Pleistocene but
401 Mandatory during the Holocene? A Climate Change Hypothesis. *Am Antiq* 66(3):387–411.
- 402 6. Cohen MN, Crane-Kramer GMM (2007) *Ancient Health: Skeletal indicators of agricultural and economic*
403 *intensification* (University Press of Florida, Gainesville).
- 404 7. Hayden B (1995) A new overview of domestication. *Last Hunters-First Farmers*, eds Price D,
405 Gebauer AB (School of American Research Press, Santa Fe), pp 215–242.
- 406 8. Bocquet-Appel J, Naji S (2006) Testing the Hypothesis of a Worldwide Neolithic Demographic
407 Transition: Corroboration from American Cemeteries. *Curr Anthropol* 47(2):341–365.
- 408 9. Starling AP, Stock JT (2007) Dental indicators of health and stress in early Egyptian and Nubian
409 agriculturalists: A difficult transition and gradual recovery. *Am J Phys Anthropol* 134(4):520–528.
- 410 10. Herrera E (2000) Metabolic adaptations in pregnancy and their implications for the availability of
411 substrates to the fetus. *Eur J Clin Nutr* 54 Suppl 1:S47–S51.
- 412 11. Wells JCK, Stock JT (2007) The biology of the colonizing ape. *Yearb Phys Anthropol* 50:191–222.
- 413 12. Bandy MS (2005) New World settlement evidence for a two-stage Neolithic Demographic

- 414 Transition. *Curr Anthropol* 46(S):S109–S115.
- 415 13. Bellwood P, Oxenham M (2008) The expansions of farming societies and the role of the Neolithic
- 416 Demographic Transition. *The Neolithic Demographic Transition and Its Consequences*, eds Bocquet-
- 417 Appel J, Bar-Yosef O (Springer, London), pp 13–34.
- 418 14. Downey SS, Bocaage E, Kerig T, Edinborough K, Shennan S (2014) The Neolithic Demographic
- 419 Transition in Europe: Correlation with Juvenility Index Supports Interpretation of the Summed
- 420 Calibrated Radiocarbon Date Probability Distribution (SCDPD) as a Valid Demographic Proxy.
- 421 *PLoS One* 9(8):e105730.
- 422 15. Hershkovitz I, Gopher A (2008) Demographic, Biological and Cultural Aspects of the Neolithic
- 423 revolution: A view from the southern Levant. *The Neolithic Demographic Transition and Its*
- 424 *Consequences*, eds Bocquet- Appel J, Bar-Yosef O (Springer, London), pp 441–482.
- 425 16. Willis A, Oxenham MF (2013) The neolithic demographic transition and oral health: The
- 426 Southeast Asian experience. *Am J Phys Anthropol* 152(2):197–208.
- 427 17. Zahid HJ, Robinson E, Kelly RL (2015) Agriculture, population growth, and statistical analysis of
- 428 the radiocarbon record. *PNAS*:1517650112.
- 429 18. Armelagos GJ, Goodman AH, Harper KN, Blakey ML (2009) Enamel hypoplasia and early
- 430 mortality: Bioarcheological support for the Barker hypothesis. *Evol Anthropol* 18(6):261–271.
- 431 19. Cohen MN, Armelagos GJ (1984) *Paleopathology at the Origins of Agriculture* (Academic Press,
- 432 Gainesville).
- 433 20. Cohen MN (1989) *Health and the rise of civilisation* (Yale University Press, London).
- 434 21. Kohler TA, Varien MD (2010) A Scale Model of Seven Hundred Years of Farming Settlements in
- 435 Southwestern Colorado. *Becoming Villagers*, eds Bandy MS, Fox JR (University of Arizona Press,
- 436 Tucson), pp 37–61.
- 437 22. Richards MP (2002) A brief review of the archaeological evidence for Palaeolithic and Neolithic
- 438 subsistence. *Eur J Clin Nutr* 56(12):16
- 439 23. Hershkovitz I, et al. (2008) Detection and molecular characterization of 9000-year-old
- 440 Mycobacterium tuberculosis from a neolithic settlement in the Eastern mediterranean. *PLoS One*
- 441 3(10):1–6.
- 442 24. Greenblatt CL, Spigelman M (2003) *Emerging pathogens: archaeology, ecology and evolution of infectious*
- 443 *disease* eds Greenblatt CL, Spigelman M (Oxford University Press, Oxford).
- 444 25. Rasmussen S, et al. (2015) Early Divergent Strains of Yersinia pestis in Eurasia 5,000 Years Ago.
- 445 *Cell* 163(3):571–582.
- 446 26. Mathieson I, et al. (2015) Genome-wide patterns of selection in 230 ancient Eurasians. *Nature*
- 447 advance on.
- 448 27. Pechenkina E A., Benfer R a., Zhijun W (2002) Diet and health changes at the end of the Chinese
- 449 Neolithic: The Yangshao/Longshan transition in Shaanxi province. *Am J Phys Anthropol* 117(1):15–
- 450 36.
- 451 28. Danford ME, Jacobi KP, Wrobel GD, Glassman S (2007) Health and the transition to
- 452 Horticulture in the south-central United States. *Ancient Health: Skeletal Indicators of Agricultural and*
- 453 *Economic Intensification.*, eds Cohen MN, Crane-Kramer GMM (University Press of Florida,
- 454 Gainesville), pp 65–79.
- 455 29. Barrett R, Kuzawa CW, McDade T, Armelagos GJ (1998) Emerging and re-emerging infectious
- 456 diseases: The Third Epidemiologic Transition. *Annu Rev Anthropol* 27(1):247–271.
- 457 30. Cockburn TA (1977) Infectious Diseases in Ancient Populations. *Culture, Disease and Healing. Studies*
- 458 *in Medical Anthropology.*, ed Landy D (Macmillan Publishing, New York), pp 83–96.
- 459 31. Froment A (2014) Human Biology and Health of African Rainforest Inhabitants. *Hunter-Gatherers*
- 460 *of the Congo Basin: Cultures, Histories and Biology of African Pygmies*, ed Hewlett BS (Transaction
- 461 Publishers, London), pp 117–164.
- 462 32. Jackson J a., Friberg IM, Little S, Bradley JE (2009) Review series on helminths, immune
- 463 modulation and the hygiene hypothesis. *Immunology* 126(1):18–27.
- 464 33. Leles D, et al. (2010) A parasitological paradox: Why is ascarid infection so rare in the prehistoric
- 465 Americas? *J Archaeol Sci* 37(7):1510–1520.
- 466 34. Bethony J, et al. (2006) Soil-transmitted helminth infections: ascariasis, trichuriasis, and
- 467 hookworm. *Lancet* 367(9521):1521–1532.
- 468 35. Dounias E, Froment A (2006) When forest-based hunter-gatherers become sedentary:
- 469 Consequences for diet and health. *Unasylva* 57:26–33.
- 470 36. Hotez PJ, Bethony J, Bottazzi ME, Brooker S, Buss P (2005) Hookworm: “The great infection of
- 471 mankind.” *PLoS Med* 2:0187–0191.
- 472 37. Hurtado a. M, Frey MA, Hurtado I, Hill KR, Baker J (2008) The role of helminthes in human
- 473 evolution. *Medicine and Evolution: Current Applications, Future Prospects*, eds Elton S, O’Higgins P
- 474 (CRC Press, London), pp 153–180.

- 475 38. Van Blerkom LM (2003) Role of Viruses in Human Evolution. *Am J Phys Anthropol* 122:14–46.
- 476 39. Rook GAW (2009) Review series on helminths, immune modulation and the hygiene hypothesis: The broader implications of the hygiene hypothesis. *Immunology* 126(1):3–11.
- 477 40. Stearns SC (2012) Evolutionary medicine: its scope, interest and potential. *Proc R Soc B Biol Sci* 279(1746):4305–4321.
- 478 41. Hugot JP, Reinhard KJ, Gardner SL, Morand S (1999) Human enterobiasis in evolution: origin, specificity and transmission. *Parasite* 6(3):201–8.
- 479 42. Reinhard K, Ambler JR, Mcguffie M (1985) Diet and Parasitism at Dust Devil cave. *Am Antiq* 50(4):819–824.
- 480 43. Reinhard KJ, Araujo A (2012) Synthesising parasitology with archaeology in paleopathology. *The Global History of Paleopathology*, eds Buikstra JE, Roberts C (Oxford University Press, Oxford), pp 751–764.
- 481 44. Reinhard KJ (1988) Cultural ecology of prehistoric parasitism on the Colorado Plateau as evidenced by coprology. *Am J Phys Anthropol* 77(3):355–366.
- 482 45. Santoro C, Dorsey Vinton S, Reinhard KJ (2003) Inca Expansion and Parasitism in the Lluta Valley: Preliminary Data. *Mem Inst Oswaldo Cruz* 98:161–163.
- 483 46. Cohen MN (2009) Introduction: Rethinking the Origins of Agriculture. *Curr Anthropol* 50(5):591–595.
- 484 47. Hutchinson DL, Norr L, Teaford MF (2007) Outer Coast Foragers and Inner Coast Farmers in Late Prehistoric North Carolina. *Ancient Health: Skeletal Indicators of Agricultural and Economic Intensification.*, eds Cohen MN, Crane-Kramer GMM (University Press of Florida, Gainesville), pp 52–64.
- 485 48. Pinhasi R, Stock JT (2011) *Human Bioarchaeology of the Transition to Agriculture*.
- 486 49. Douglas MT (2006) Subsistence change and dental health in the people of Non Nok Tha, northeast Thailand. *Bioarchaeology of Southeast Asia*, eds Oxenham MF, Tayles N (Cambridge University Press, Cambridge), pp 191–219.
- 487 50. Humphrey LT, et al. (2014) Earliest evidence for caries and exploitation of starchy plant foods in Pleistocene hunter-gatherers from Morocco. *Proc Natl Acad Sci* 111(3):954–959.
- 488 51. Lukacs JR (1992) Dental paleopathology and agricultural intensification in south Asia: new evidence from Bronze Age Harappa. *Am J Phys Anthropol* 87(2):133–150.
- 489 52. Oxenham MF, Tayles N (2006) Synthesising Southeast Asian population history and palaeohealth. *Bioarchaeology of Southeast Asia*, eds Oxenham MF, Tayles N (Cambridge University Press, Cambridge), pp 335–349.
- 490 53. Oxenham MF, Nguyen LC, Nguyen KD (2008) Oral health in northern Vietnam. *Bull Indo-Pacific Prehist Assoc* 22:121–134.
- 491 54. Pietrusewsky M, Ikehara-Quebral R (2007) The bioarchaeology of the Vat Kommou cemetery, Angkor Borei, Cambodia. *Bull Indo-Pacific Prehist Assoc* 26:86–97.
- 492 55. Tayles N, Domett KM, Halcrow S (2009) Can dental caries be interpreted as evidence of farming? The Asian experience. *Comparative Dental Morphology*, eds Koppe T, Meyer G, Alt KW (Karger, Basel), pp 162–166.
- 493 56. Walker P, Hewlett B (1990) Dental health diet and social status among Central African foragers and farmers. *Am Anthropol* 92(2):383–398.
- 494 57. Diamond J (2002) Evolution, consequences and future of plant and animal domestication. *Nature* 418(6898):700–7.
- 495 58. Lambert PM (2009) Health versus fitness: competing themes in the origins and spread of agriculture? *Curr Anthropol* 50(5):603–608.
- 496 59. Borgerhoff Mulder M (2000) Optimizing Offspring: The Quantity-Quality Trade-off in Agropastoral Kipsigis. *Evol Hum Behav* 21(6):391–410.
- 497 60. Draper P, Hames R (2000) Birth order, sibling investment, and fertility among Ju/'Hoansi (!Kung). *Hum Nat* 11(2):117–156.
- 498 61. Gillespie DO., Russell AF, Lummaa V (2008) When fecundity does not equal fitness: evidence of an offspring quantity versus quality trade-off in pre-industrial humans. *Proc R Soc B Biol Sci* 275(1635):713–722.
- 499 62. Hagen EH, Barrett HC, Price ME (2006) Do human parents face a quantity-quality tradeoff?: Evidence from a shuar community. *Am J Phys Anthropol* 130:405–418.
- 500 63. Hill K, Hurtado AM (1996) *Aché Life History: The Ecology and Demography of a Foraging People* (Aldine de Gruyter, New Haven).
- 501 64. Lawson DW, Alvergne A., Gibson M. (2012) The life-history trade-off between fertility and child survival. *Proc R Soc B Biol Sci* 279(1748):4755–4764.
- 502 65. Minter T (2010) The Agta of the Northern Sierra Madre. Dissertation (Leiden University).
- 503 66. Rai NK (1990) *Living in a lean-to: Philippine Negrito foragers in transition* (University of Michigan, Ann Arbor).

- 537 67. Peterson JT (1978) *The Ecology of Social Boundaries: Agta Foragers of the Philippines* (University of
538 Illinois Press, London).
- 539 68. Kelly RL (2013) *The Lifeways of Hunter-Gatherers: The Foraging Spectrum* (Cambridge University Press,
540 Cambridge).
- 541 69. Early JD, Headland TN (1998) *Population Dynamics of a Philippine Rain Forest People: The San Ildefonso*
542 *Agta* (University Press of Florida, Gainesville).
- 543 70. Norrby E, Kovamees J, Blixenkronne-Moller M, Sharma B, Orvell C (1992) Humanized animal
544 viruses with special reference to the primate adaptation to morbillivirus. *Vet Microbiol* 33:275–286.
- 545 71. McDade TW, et al. (2005) Predictors of C-reactive protein in Tsimane’ 2 to 15 year-olds in
546 lowland Bolivia. *Am J Phys Anthropol* 128(4):906–913.
- 547 72. Hurrell RF (2003) Influence of vegetable protein sources on trace element and mineral
548 bioavailability. *J Nutr* 133(9):2973S–7S.
- 549 73. Mcdonell A, Oxenham MF (2014) Localised Primary Canine Hypoplasia: Implications for
550 Maternal and Infant Health at Man Bac, Vietnam, 4000-3500years BP. *Int J Osteoarchaeol* 24(4):531–
551 539.
- 552 74. Who (2012) WHO Children reducing mortality. *WHO Media Cent*:1.
- 553 75. Mascie-Taylor CGN (1993) The Biological Anthropology of Disease. *The Anthropology of Disease*, ed
554 Mascie-Taylor CGN (Oxford University Press, Oxford), pp 1–72.
- 555 76. Cooper PJ (2004) Intestinal worms and human allergy. *Parasite Immunol* 26(11-12):455–467.
- 556 77. Tanner S, et al. (2009) Influence of helminth infections on childhood nutritional status in lowland
557 Bolivia. *Am J Hum Biol* 21(5):651–656.
- 558 78. London D, Hruschka D (2014) Helminths and human ancestral immune ecology: What is the
559 evidence for high helminth loads among foragers? *Am J Hum Biol* 26:124–129.
- 560 79. Jelliffe DB (1962) The Children of the Hadza Hunters. *Trop Pediatr* 60:907–913.
- 561 80. Confalonieri U, Ferreira LF, Araujo A (1991) Intestinal Helminths in Lowland South American
562 Indians: Some Evolutionary Interpretations. *Hum Biol* 63(6):863–873.
- 563 81. Gonçalves MLC, Araújo A, Ferreira LF (2003) Human Intestinal Parasites in the Past: New
564 Findings and a Review. *Mem Inst Oswaldo Cruz* 98(SUPPL. 1):103–118.
- 565 82. Sprent JFA (1969) Helminth “zoonoses”: an analysis. *Helminthol Abstr* 38:333–351.
- 566 83. Armelagos GJ, Harper KN (2005) Genomics at the Origins of Agriculture. *Evol Anthropol* 14:109–
567 121.
- 568 84. Sreevatsan S, et al. (1997) Restricted structural gene polymorphism in the Mycobacterium
569 tuberculosis complex indicates evolutionarily recent global dissemination. *Proc Natl Acad Sci U S A*
570 94(18):9869–74.
- 571 85. Bentley GR, Jasienska G, Goldberg T (1993) Is the Fertility Higher Than Agriculturalists That of
572 Nonagriculturalists?. *Curr Anthropol* 34(5):778–785.
- 573 86. Sellen D, Mace R (1997) Fertility and mode of subsistence: a phylogenetic analysis. *Curr Anthropol*
574 3(5):878–889.
- 575 87. Blurton Jones N (1987) Bushman birth spacing: Direct tests of some simple predictions. *Ethol*
576 *Sociobiol* 8(3):183–203.
- 577 88. Hardy K, Brand-Miller JC, Brown KD, Thomas MG, Copeland L (2015) The importance of
578 dietary carbohydrate in human evolution. *Q Rev Biol* 90(3):251–268.
- 579 89. Tracer D (1991) Fertility related changes in maternal body composition among the Au of Papua
580 New Guinea. *Am J Phys Anthropol* 85(4):393–406.
- 581 90. Kramer KL, Boone JL (2002) Why Intensive Agriculturalists Have Higher Fertility: A household
582 energy budget approach. *Curr Anthropol* 43(3):511–517.
- 583 91. Gibson M, Mace R (2005) Helpful grandmothers in rural Ethiopia: A study of the effect of kin on
584 child survival and growth. *Evol Hum Behav* 26(6):469–482.
- 585 92. R Core Team (2012) R: A language and environment for statistical computing.
- 586 93. Bates D, Bolker B, Maechler M, Walker S (2013) lme4 R Package.
- 587 94. Zuur AF, Ieno EN, Elphick CS (2010) A protocol for data exploration to avoid common statistical
588 problems. *Methods Ecol Evol* 1(1):3–14.
- 589 95. Griffin PB, Griffin MB (1992) Fathers and Childcare among the Cagayan Agta. *Father-Child*
590 *Relations: Cultural and Biosocial Contexts*, ed Hewlett BS (Transaction, New Brunswick), pp 297–320.
- 591 96. Bion GP, B.Griffin M (1997) Agta Foragers. *Aust J Anthropol* 8:259.
- 592 97. Headland TN (1989) Population Decline in a Philippine Negrito Hunter-Gatherer Society. *Am J*
593 *Hum Biol* 72:59–72.
- 594 98. Dyble M, et al. (2015) Sex equality can explain the unique social structure of hunter-gatherer
595 bands. *Science* 348(6236):796–798.
- 596 99. Griffin BM (2012) The Cultural Identity of Foragers and the Agta of Palanan, Isabela the
597 Philippines. *Anthropos* 91(1996):111–123.

- 598 100. Estioko-Griffin AA, Griffin PB (1981) The beginning of cultivation among Agta hunter-gatherers
599 in Northeast Luzon. *Adaptive Strategies and Change in Philippine Swidden-Based Societies*, ed Olofsen H
600 (Forest Research Institute, Laguna), pp 55–72.
- 601 101. Griffin PB (1985) A contemporary view of the shift from hunting to horticulture. *Recent Advances in*
602 *Indo-Pacific Prehistory*, eds Misra VN, Bellwood P (IBH Publishing, New Delhi), pp 349–352.
- 603 102. Headland TN, et al. (1989) Hunter-Gatherers and Their Neighbors from to the Prehistory Present.
604 *Curr Anthropol* 30:43–66.
- 605 103. Headland TN (1984) Agta Negritos of the Philippines. *Cult Surv Q* 8(3):29–31.
- 606 104. Dounias E, Froment a. (2011) From foraging to farming among present-day forest hunter-
607 gatherers: consequences on diet and health. *Int For Rev* 13(3):294–304.
- 608 105. Morris SS, et al. (1999) Precision, accuracy, and reliability of hemoglobin assessment with use of
609 capillary blood. *Am J Clin Nutr* 69(6):1243–1248.
- 610 106. Greer PJ, et al. (2013) *Wintrob's Clinical Hematology* (Lippincott Williams & Wilkins). Thirteenth.
- 611 107. Beck N (2008) *Diagnostic Hematology* (Springer, London).
- 612 108. van Assendelft OW (2002) Calibration, control of hematology analyzers. *Adv Adm Lab* 22:43–47.
- 613 109. Mitaishvili R (2010) *The Human Blood: Composition, Typing, Lab Test Interpretation* (RM Global Health).
- 614 110. Wahed A, Dasgupta A (2015) *Hematology and Coagulations: A Comprehensive Review for Board*
615 *Preparation, Certification and Clinical Practice*. (Elsevier, London).
- 616 111. Bentley S, Johnson A, Bishop C (1993) A parallel evaluation of four automated hematology
617 analyzers. *Am J Clin Pathol* 100:626–632.

618 **Figure legends**

619 **Figure 1:** Correlation plot for six key predictor variables ($n = 345$). Positive correlations are marked in blue
620 shades, negative in red shades. All correlations are statistically significant at $p < 0.05$. Variables are: settled
621 camp (number of permanent structures within a camp); wealth (an index quantifying household
622 belongings); mobility (whether an individual was ever witnessed to move camp over a two year period, 0 =
623 never moved); turnover (number of people leaving a camp); and foraging (proportion of food that
624 individuals acquired from foraging activities versus food production and wage labor).
625

626 **Figure 2:** Relationship between measures of transition and age-specific fertility, mortality and reproductive
627 success (RS) separated by a) individual settlement status and b) degree of foraging. Error bars represent
628 standard errors of the mean and $n = 90$.
629
630
631