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# 24 **Abstract**



45

# 46 **New and Noteworthy**



# 55 **Abbreviations**

56 FFT, Fast Fourier Transform;  $f_{\text{max}}$ , frequency corresponding to the maximal 57 'threshold impedance'  $(Z_{max})$ ;  $G_{Lk}$ , leak conductance;  $G_{Ks}$ , slow-potassium conductance; 58 GH, hyperpolarization-activated conductance; HCN, hyperpolarization-activated cyclic 59 nucleotide-gated channels;  $I_h$ , hyperpolarization-activated cation current;  $I_{Ks}$ , slow-60 potassium current;  $I_{\text{NaP}}$ , persistent Na<sup>+</sup> current; K<sub>f</sub>, fast potassium; K<sub>s</sub>, slow potassium; 61 RMP, resting membrane potential; SNR, signal to noise ratio; ZAP, Impedance[Z] 62 Amplitude Profile; ' $Z_{threshold}$ ', threshold analog of impedance;  $Z_{0.5}$ , magnitude of 63 'threshold impedance' at 0.5 Hz; Zmax, maximal magnitude of 'threshold impedance'

# 64 **Introduction**

- 65 In humans, studies of the excitability of human peripheral nerve axons have
- 66 been undertaken using threshold-tracking techniques and have provided insight into the
- 67 biophysical determinants of excitability in health and disease (Bostock et al. 1998;





116 excitability (Howells et al. 2012), and used to re-examine the nature of the differences 117 between motor and sensory axons.

## 118 **Materials and Methods**

119 Twenty-four experiments were performed on six subjects. The experiments 120 each lasted  $\sim$  2 hours, and they were carried out on separate days. The subjects 121 provided written consent prior to the study, which was approved by the Human 122 Research Ethics Committee of The University of Sydney and conformed to the 123 *Declaration of Helsinki*. 124 All excitability measurements were made using the QTRAC threshold-tracking 125 software (© Institute of Neurology, University College London, UK). The ZAP 126 protocol was developed in QtracS, and synchronized the delivery of the stimulus 127 command signals with the acquisition of the compound action potentials via a data 128 acquisition system (PCI-6221, National Instruments, Austin, TX). The compound 129 action potentials were amplified using a purpose-built low-noise amplifier, and mains 130 frequency noise was removed using a Humbug noise eliminator (Quest Scientific, 131 Vancouver) before being digitized by the data acquisition system. 132 The ZAP protocol was applied to motor and sensory axons of the median nerve 133 at the wrist. The pulse protocols in the present study required the delivery of long 134 subthreshold pulses, which necessitated special stimulation measures to prevent 135 polarization of electrodes and long-term polarization of resting membrane potential 136 (RMP). Skin impedance at the stimulus sites was reduced using abrasive tape (Red Dot 137 Trace Prep, 3M), followed by cleaning with an alcohol swab. The optimal cathode 138 location (at the wrist) was sought using a saline-soaked gauze-covered electrode before

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139 applying the final stimulation cathode. Disposable self-adhesive Ag/AgCl electrodes 140 (Unilect 1010M) were used for stimulation, ground and EMG recording electrodes. The 141 anode was remote from the median nerve, approximately 10 cm proximal to the cathode 142 and toward the radial edge of the forearm. Compound muscle action potentials 143 (CMAPs) were recorded from the thenar eminence, with the reference electrode on the 144 distal phalanx of digit 1. Self-adhesive Ag/AgCl ring electrodes (RE-D, Electrode 145 Store) were used for recording compound sensory action potentials (CSAPs) of the 146 index finger, with the active electrode on the proximal phalanx of digit 2, and the 147 reference 4 cm distal (Eduardo and Burke 1988). The ground electrode was placed on 148 the dorsum of the hand for both motor and sensory recordings. Skin temperature was 149 monitored using a thermistor (YSI-409B) located close to the site of stimulation, and 150 recordings began when the temperature was stable and above 32°C.

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## 152 **'Threshold ZAP' protocol**

153 A threshold analog of the ZAP (impedance [**Z**] **a**mplitude **p**rofile) technique 154 introduced by Puil and colleagues (1986) was developed for these experiments to enable 155 the *in vivo* study of the frequency response of human axons. This protocol utilizes the 156 empirical observation of Bostock and Baker (1988) that the excitability changes to 157 subthreshold polarization (threshold electrotonus) mirror the underlying electrotonic 158 changes in membrane potential. The suitability of this approach was first assessed by 159 testing the linearity of the correlation between membrane potential and excitability in a 160 mathematical model of the human motor axon (see first section of Results). 161 The threshold to various conditioning currents was tested using a 1-ms test

162 pulse, with the aim of minimizing test stimulus intensities, conditioning currents, and

163 therefore pulse energies. As in all threshold-tracking studies a stimulus-response 164 relationship was recorded and then used to establish the current required to produce the 165 target CMAP or CSAP (50% of maximum in this instance) that was used for the rest of 166 the protocol. This current is referred to as the 'threshold' for the target potential. 167 The 'threshold ZAP' protocol measured the response to a linear "chirp" signal 168 (or swept sinewave), whose frequency was increased linearly from DC to 16 Hz over 4 s 169 and 16 s for human and model studies, respectively. The amplitude of the ZAP was a 170 fixed fraction of the unconditioned (control) threshold . It is described by the equation: 171

$$
ZAP(t) = a * \sin\left(\pi * \frac{f_{max}}{T} * t^2\right)
$$

172

173 where,  $\alpha$  is the amplitude of the chirp ,  $f_{max}$  is the maximal frequency (in Hz; 16 in the 174 present study), T is the length of the ZAP stimulus (in seconds) and  $t$  is time (in 175 seconds).

176 The low-frequency range employed in the present study is likely to exclude a 177 significant tissue filtering contribution to the frequency dependence, because extra-178 neural impedance can be regarded as essentially resistive at these frequencies (Gabriel 179 1996; Logothetis et al. 2007).

180 To examine the role of *I*h in the frequency response of human axons, the ZAP 181 signal was superimposed on a hyperpolarizing current of 60% of the control threshold 182 (i.e. -60% of the current required to produce a 50% CMAP or CSAP). This level was 183 chosen as the strongest level of hyperpolarization achievable without unintended 184 stimulation of axons by the supposedly subthreshold current, while still likely to be 185 strong enough to exclude significant involvement of  $K_s$  channels, which might

186 otherwise contribute to low-frequency attenuation (Howells et al. 2012). Subsequent 187 findings supported this choice.



$$
Excitability (threshold reduction, \%) = \frac{threshold_{control} - threshold_{ZAP}}{threshold_{control}}
$$

210 The analysis of frequency response was performed offline, using a custom script 211 written in Matlab (R2012a). For the recordings made with polarization, the effects of 212 threshold electrotonus were first subtracted from the ZAP response. Any residual trend 213 in the ZAP response was removed prior to conversion to the frequency domain using a 214 FFT.

215 In a manner analogous to that introduced by Puil and colleagues (1986), a new 216 measure,  $Z_{threshold}$  relating the response (excitability) to input waveforms, was 217 constructed as follows:

$$
Z'_{threshold} = \frac{FFT(Excitability)}{FFT(input)}
$$

218  $Z_{threshold}$  is a complex-valued data set with real (resistive) and imaginary 219 (reactive) components, and is the threshold analog of impedance, much as 'threshold 220 electrotonus' results from and is related to electrotonic changes in membrane potential. 221 The phase of the 'threshold impedance'  $(\phi_{threshold})$  represents the difference in phase 222 between the threshold response and input current waveforms. 223 The frequency response curve was constructed by plotting the magnitude of 224 'threshold impedance'  $(|Z_{threshold}|)$  versus frequency, from which the spectral 225 parameters:  $Z_{0.5}$ ,  $Z_{\text{max}}$ ,  $f_{\text{max}}$ , Q were calculated. Using the definitions from earlier 226 studies (Hutcheon et al. 1996; Orio et al. 2009; Zemankovics et al. 2010):  $Z_{0.5}$  is defined 227 as the impedance at 0.5 Hz;  $Z_{\text{max}}$  and  $f_{\text{max}}$  are the maximal impedance and corresponding 228 frequency; and Q the ratio of  $Z_{\text{max}}$  to  $Z_{0.5}$ . 229 The suitability of this approach was examined in a mathematical model by

230 comparing the electrical impedance (calculated using membrane potential) to the new

231 measure of 'threshold impedance' (see Results). The results based on ZAP currents 232 were then compared to measurements based on pure single-frequency sinusoidal input 233 currents.

#### 234 **Modelling**

235 A mathematical model of the excitability of human motor and sensory axons, 236 based on the motor axon model of Bostock et al. (1991b) and developed in Howells et 237 al. (2012), was used to examine the basis of the low-frequency response of human 238 motor and sensory axons. This model consists of two compartments, a node and an 239 internode linked by the 'Barrett-Barrett' paranodal pathways through and under the 240 myelin sheath (Barrett and Barrett 1982). Na<sup>+</sup> currents (transient and persistent), slow 241 and fast  $K^+$  currents, leak and pump currents along with the internodally located 242 hyperpolarization-activated conductance  $I<sub>h</sub>$  are the key determinants of the excitability 243 of large myelinated fibres and are represented in this model. The equations and 244 parameters describing this model are listed in full in the Appendix. 245 The models were subjected to the same ZAP protocol, with the exception that 246 the target threshold was defined as the minimal threshold to generate an action potential. 247 If alterations in model parameters resulted in much larger oscillations of 248 excitability, the ZAP amplitude was decreased to maintain linearity of the response.

## 249 **Results**

## 250 **Linearization of the ZAP protocol**

251 The amplitude of the ZAP was chosen to be sufficiently large to give a good 252 signal-to-noise ratio, but small enough to maintain linearity of the response (Koch 253 1984). The linearity of the underlying membrane potential response was assessed using

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254 a 10% ZAP superimposed on a hyperpolarization of 60% (of the control threshold; Fig 255 1a) using the mathematical model in Howells et al. (2012). The maximal peak-to-peak 256 membrane potential deflection was 9.6 mV (blue trace in Fig 1b) which is well below 257 the 20-mV criterion for linearity established by Hutcheon and colleagues (1996). 258 An additional measure of the nonlinearity of the response was made by 259 averaging the response to this initially downward-going ZAP and its mirror (i.e. an 260 initially upward-going ZAP) and subtracting the electrotonic response to the DC 261 polarization. The peak nonlinearity calculated this way was 0.1 mV and occurred 262 between the peak deflections at a time corresponding to 1.9 Hz.

## 263 **Linearity of excitability as an output measure**

264 In a bid to assess the suitability of threshold to a linear systems formulation, a 265 ZAP input stimulus was applied to the motor axon model (Fig. 1a), and both the 266 resultant membrane potential (Fig. 1b) and excitability (Fig. 1c) were calculated. For 267 both of these input signals (RMP and -60%), excitability was linearly correlated to 268 membrane potential ( $R^2 = 0.9998$ ). Electrical impedance was transformed to the 269 frequency domain and calculated in the usual way using the ratio:  $FFT(V)/FFT(I)$ , and 270 the magnitude and phase are shown in Fig 1e,h. By analogy with the term 'threshold 271 electrotonus' used for the threshold analog of membrane potential, the proposed 272 measure  $Z_{threshold}$  was calculated as FFT(excitability)/FFT(I). Its magnitude and 273 phase are shown in Fig 1f,i. Under the present experimental conditions there was a tight 274 correlation in the modelled data between  $Z_{threshold}$  and  $Z_{electrical}$  as shown in Fig. 2. 275 At hyperpolarized membrane potentials the magnitude and phase for both measures 276 were linearly correlated from DC to 16 Hz ( $R^2$  = 0.9997, 0.997, respectively; Fig 2b,c 277 green to blue data). At RMP the magnitude and phase were also correlated ( $R^2$  =0.90,

278 0.98, respectively; yellow to red data), though at low frequencies ( $\leq$   $\approx$  2 Hz; yellow data 279 points), the magnitude of  $Z_{threshold}$  appears to be underestimated using the ZAP 280 protocol.

281 For comparison, the electrical impedance was calculated in response to single 282 frequency sinusoids at selected frequencies and the magnitude and phase are plotted in 283 Fig 1d,g. A linear regression of the magnitude and phase of  $Z_{electrical}$  calculated this 284 way versus the data derived using a ZAP stimulus gave good correlations with  $R^2$ 285 values of 0.95 and 0.98, respectively.

## 286 *In vivo* **measurement of the frequency response of human axons**

287 Excitability (measured as reduction in threshold) is an effective *in vivo* measure 288 of the response to an input current. However, unlike studies of resonance and the 289 frequency preference of membrane potential in neurons (Hu et al. 2002; Hutcheon et al. 290 1996; Orio et al. 2009; Puil et al. 1986; Puil et al. 1988; Puil et al. 1994; Wang et al. 291 2006; Zemankovics et al. 2010), the time taken to record each data point with threshold 292 tracking is much greater. This imposes a limit on both the frequency resolution and the 293 maximal frequency recorded. The ZAP recordings for the modelled data involve 294 polarizing currents longer than 16 s, with sampling of at least 512 points. Such 295 measurements are impracticable in human subjects, as they would result in unacceptably 296 long polarizing currents and recordings which could take up to 32 hours. A 297 compromise was made to record 128 time points over a 4-s ZAP, and a comparison of 298 these 4-s vs 16-s recordings is shown for the model in Fig. 3. Apart from a loss of low-299 frequency phase resolution (<1Hz for -60%, and < 2 Hz for RMP) and some folding 300 back of higher frequencies at frequencies >~8Hz, acceptable recordings could be

- 301 recorded in a fraction of the time. The regression lines for amplitude and phase were 302 close to the line of identity (see legend to Fig. 3).
- 303 Balancing the stimulation protocol led to a near doubling of the recording time, 304 but prevented polarization of the electrodes and damage to the skin. An average of 305 1507 stimulus sweeps were delivered [range 1057 to 2351] for each recording, resulting  $306$  in  $\sim$  12 sweeps / sample point (this includes balance, control stimulus and stimulus / 307 response sweeps), resulting in a 'cost' for each data point of  $\sim$  53 seconds. 308 Most experiments were complete within 2 hours, and in recordings with good 309 signal-to-noise ratios the tracking was faster and the studies were complete within 1.5 310 hours. Even though the protocol was balanced and should not have any long-term effect 311 on axonal excitability, the 24 recordings were made on different days. 312 The resonance protocol was well tolerated by all subjects, and Fig. 4 shows that

313 despite these challenges a resonant peak was clearly visible in all recordings,

314 particularly during hyperpolarization (shown in blue).

#### 315 **Frequency-response curves**

316 The individual responses to the unpolarized ZAP current are shown in Figure 4 317 (top row, red traces), and their near perfect superimposition shows little variation 318 between subjects in both motor and sensory axons. For each time point the maximal 319 difference between any two pairs of responses at RMP was, on average, 5.5% and 6.1% 320 for the motor and sensory axons, respectively. As is usual for the response to 321 hyperpolarization (see Howells et al. 2012; Tomlinson et al. 2010), there was 322 considerable variability between subjects in the 'threshold electrotonic' responses, - 323 180%(range: -222 to -144%) for motor axons and -135% (range: -154 to -113%) for 324 sensory axons The mean 'threshold electrotonic responses were significantly different



349 dependence (Gutfreund et al. 1995; Hu et al. 2002; Hutcheon et al. 1996; Wang et al.

350 2006). The resonant frequency for the hyperpolarized axons occurred at 2.1 and 2.5 Hz

- 351 for the motor and sensory axons respectively.
- 352 .

### 353 **Computational Model**

#### 354 **Assessment of the mathematical models in the frequency domain**

356 responses of the mathematical models in Howells et al. (2012), derived using DC

355 The recorded responses to the ZAP protocol were then compared to the

357 conditioning stimuli (Fig. 5). The motor and sensory models provided good fits to the

358 mean changes in excitability in response to the ZAP protocol measured at RMP (Fig. 5:

359 compare upper red and black traces in the top row; with correlation coefficients of 0.99

360 and 0.95 for motor and sensory axons, respectively). With a 60% hyperpolarization

361 correlations were similarly tight  $(R^2 = 0.98, 0.96)$ , but the motor axon model had a

362 slightly more hyperpolarized baseline than the group data (motor model, -204%; motor

363 data -180), and the sensory axon model slightly depolarized when compared to the

364 sensory group data (sensory model, -126%; sensory data, -135. These shifts are small

365 and could result from differences in activation of *I*h between subjects (Howells et al.

2013; Howells et al. 2012; Tomlinson et al. 2010) and/or variation in extracellular  $K^+$ 

367 levels (Boërio et al. 2014).

368 In the frequency domain, the modelled excitability data showed the same key 369 features of resonance as the group data, both qualitatively and quantitatively, namely a 370 voltage-dependent resonant peak that was greater in motor axons than sensory. The 371 summary statistics of the modelled spectral data are given in Table 2.

#### 372 **The voltage dependence of the frequency response**

373 Given the good fit of the modelled data to the experimental data, the voltage 374 dependence of the frequency response was modelled for motor axons at RMP (0%) and 375 with background hyperpolarizations of 30, 60 and 90% of the control threshold (Fig. 6). 376 As described in the methods, the majority of the early phases of threshold 377 electrotonus were complete by the start of the ZAP protocol (200 ms after the onset of 378 the hyperpolarization; Fig. 6a). The resonant response grew with hyperpolarization, as 379 previously reported for various neurons in guinea pigs and rats (Gutfreund et al. 1995; 380 Hutcheon et al. 1996; Wang et al. 2006), to a peak which was maximal in the present 381 study with a 60% hyperpolarization (Fig. 6b,c). 382 **The contribution of slowly rectifying conductances to the frequency response**  383 The mathematical model was used to explore the role of key ion channels to the 384 observed resonance in human motor axons (Fig. 7). The frequency response and its 385 voltage-dependence is reflected in, and indeed driven by, the interaction between  $I_{Ks}$ , 386  $I_{\text{NaP}}$ ,  $I_{\text{h}}$  and  $I_{\text{Lk}}$ . 387 *At RMP* the response to the ZAP input was dominated by  $I_{Ks}$  in a frequency-388 dependent manner, with the greatest response at low frequencies and a gradual decline 389 in amplitude with increasing frequency (see green in the left column of Fig. 7). 390 Unsurprisingly  $I<sub>h</sub>$  did not contribute significantly to the frequency response at rest. 391 **With 60% hyperpolarization** slow  $K^+$  channels were largely deactivated. Less 392 than  $1\%$  of  $K_s$  channels were open, and because membrane potential was below the 393 equilibrium potential for  $K^+$ , these channels passed a small *depolarizing* current. In 394 contrast, roughly one third of HCN channels were activated, with *I*h opposing low-395 frequency inputs preferentially providing the mechanism for resonance in 396 hyperpolarized axons.

397 **Conductances that alter the magnitude of the frequency response**

398 The influence of the leak conductance  $(G_{Lk})$  was smaller at RMP (grey curves in 399 left column of Fig. 7) and increased with polarization, consistent with an ohmic 400 conductance modelled with a reversal potential near resting membrane potential. The 401 effect of  $G_{Lk}$  can be seen purely in terms of its effect on the input conductance, and its 402 ability to 'leak' current across the membrane.  $G_{Lk}$  opposed fluctuations in membrane 403 potential independent of frequency, and therefore progressively suppressed resonance 404 with increasing polarization. This implies that the 60% hyperpolarization used here 405 may be optimal for studying  $I<sub>h</sub>$ . At 60% hyperpolarization the magnitude of  $I<sub>L<sub>k</sub></sub>$  is 406 comparable to that of  $I<sub>h</sub>$  (compare grey and red curves in right column of Fig. 7), but 407 importantly it varies in phase with and proportional to changes in membrane potential. 408 In contrast an increase in the fraction of sodium channels operating in a 409 persistent mode *amplifies* resonance at RMP, and its effect on the frequency-response 410 curves *diminishes* rapidly with hyperpolarization, as seen in Figure 7.

## 411 **Sensitivity of frequency response to key currents**

412 A sensitivity analysis was performed on each of the key conductances in the 413 model of a motor axon. For each conductance, the effect of complete removal of the 414 conductance and a doubling of the conductance were compared to the normal level in 415 the unaltered model. The ZAP measurements were then made at the same membrane 416 potentials (RMP and -60%) as in the unaltered model.

417 The frequency response at RMP, was sensitive to a reduction in  $G_{Ks}$  (compare

418 dotted and thin red curves in Fig 8b) with no appreciable contribution by GH. As

419 previously discussed,  $G_{Lk}$  attenuates and  $P_{NaP}$  amplifies resonance at RMP (compare red

420 curves in Fig 8c and d).

421 With 60% hyperpolarization,  $P_{NaP}$  and  $G_{Ks}$  have a negligible effect on  $Z_{electrical}$  $422$ , with  $G_H$  responsible for the resonance which is sensitively modulated by leak 423 conductances (removal of  $G_{Lk}$  increases  $Z_{max}$  by 166% and doubling  $G_{Lk}$  decreases  $Z_{max}$ 424 by 38%).

425 **Do sensory axons behave as relatively depolarized motor axons?** 

426 The model was used to assess the possibility that differences in the frequency 427 response of motor and sensory axons can be attributed to differences in their resting 428 membrane potentials. Figure 9 shows that the discrepancy in response between the 429 motor and sensory models is reduced by 94.9% (RMP) and 99.7% (60% 430 hyperpolarization) when the motor model is depolarized by 3-mV. However, this 431 degree of depolarization reduced the discrepancy in the frequency response curves by 432 97% (RMP) and 29.2% (60% hyperpolarization) implying that there are probably other 433 differences between sensory and motor axons.

## 434 **Discussion**

435 The present study has examined the low-frequency response of human axons 436 *in vivo* using a novel application of frequency-domain and threshold-tracking 437 techniques. Studying the response to subthreshold oscillatory input currents at different 438 membrane potentials allows the separation of the ion channel contributions to axonal 439 excitability based upon their voltage dependence and gating kinetics. We provide 440 evidence that changes in excitability reflect changes in membrane potential, at least 441 under the conditions of the present studies. The findings using the ZAP protocol and 442 their compatibility with studies that have relied on square-wave DC pulses validates the 443 present approach as a technique for studying ion channel function in human axons

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444 *in vivo*. In the absence of evidence for KIR channels in myelinated axons of the

445 peripheral nervous system, we attribute inward rectification to HCN channels in the

446 following discussion.

447 Traditional threshold-tracking techniques probe the slowly-gated inwardly-448 rectifying conductance  $G_H$  using long-lasting hyperpolarizing square-wave conditioning 449 currents, but these conditioning stimuli do not easily separate out the contributions of 450 voltage-dependent  $(G_{Ks})$ , and ohmic  $(G_{Lk})$  conductances. This new protocol attempts to 451 address these limitations by adding frequency-domain techniques to further distinguish 452 these conductances.

453 There are a number of ways in which channel activity could be modulated 454 through intra- or extra-cellular mechanisms affecting the gating or changes in channel 455 expression. The present study focusses on overall channel activity not the mechanisms 456 underlying any differences in activity.

457 The mathematical models of the behavior of human sensory and motor axons 458 described in Howells et al. (2012) were subjected to this new frequency probe, and 459 adequately describe the response to oscillatory inputs. This provides independent 460 validation of these models, which were then used to examine the factors responsible for 461 generating and amplifying (or attenuating) resonance in human axons.

462 One limitation of this technique as implemented in the current study is the time 463 taken for an entire recording. Depending on the application, there are several strategies 464 that could be employed in future studies. The standard FFT approach requires a 465 uniform spacing of data points collected in the time domain, but sampling at high 466 frequencies during the low-frequency component of the ZAP is costly. Non-uniform 467 sampling techniques could be employed to speed up the protocol. Reducing the

468 sampling interval to 62.5 ms, would limit the upper frequency studied to 8 Hz, but 469 would nearly halve the recording time. Reducing the sweep length would also have a 470 major impact on the recording time but unfortunately would also reduce the resolution 471 in the frequency domain. Another approach may be to measure pure sinusoids at 472 desired frequencies only. A careful analysis of the minimum number of data points 473 required to resolve amplitude and phase of the threshold response would need to be 474 performed, but a rough estimate based on an angular resolution of 45° would require 8 475 data points / frequency studied.

### 476 **Excitability as a measure of membrane potential**

477 Direct comparisons of the threshold and electrotonic responses in the same 478 axons are difficult and not possible in human axons *in vivo*. The present study has 479 compared these responses in a model of human axons that had previously been 480 validated using DC pulses (Howells et al. 2012), and has found a tight correlation of 481 excitability and membrane potential for hyperpolarized axons over this frequency range. 482 This confirms the conclusions of Bostock and Baker (1988).

483 The relationship between changes in excitability and the underlying membrane 484 potential has greatly assisted the interpretation of axonal excitability studies (Bostock et 485 al. 1998). The linearity of such a relationship is not a requirement for the analysis of 486 such data and has never been tested in these studies. However, in the present study 487 which uses a linear systems formulation, the linearity of the relationship is crucial. The 488 theoretical basis of such a relationship, comes from the observation that the current-489 voltage curves of myelinated axons are linear for short pulses, leading Bostock et al. 490 (1991a) to argue that the current threshold is consequently proportional to the voltage 491 threshold.

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## 492 **Comparison with the responses produced by DC conditioning stimuli**

493 The 'threshold impedance' data presented in this study can be related to the 494 threshold conductance derived from the current-threshold relationship in conventional 495 excitability studies (Howells et al. 2012). The reciprocal of the slope of the current-496 threshold relationship gives the threshold impedance, albeit in response to a 200-ms 497 square pulse (giving a period for the first harmonic of 400 ms). The fundamental 498 frequency is thus of 2.5 Hz, comparable to the resonant frequencies for the 499 hyperpolarized axons presented in this study. Using the model data from Howells et al. 500 (2012), the threshold impedances would be: motor 4.06 (60%), 1.75 (0%); sensory 3.56 501 (60%), 1.33 (0%). These values compare favourably to the data shown in Fig. 4. 502 The ZAP protocol provided the opportunity to test the models developed in 503 Howells et al. (2012) against a different stimulus paradigm, and also to test the model in 504 the frequency domain. Without further modification, the models provided a remarkably 505 good fit to the ZAP data (Fig. 5), providing independent verification of the dynamics of 506 the modelled conductances of motor and sensory axons.

#### 507 **Factors contributing to resonance in hyperpolarized motor and**

#### 508 **sensory axons**

509 Two mechanisms are required to generate resonance in axons. The combination 510 of suitable low-pass and high-pass filters allows such a resonance to occur, and this is 511 realised electrically in tuned (RLC) circuits which consist of the parallel combination of 512 a **R**esistor, inductor (**L**) and **C**apacitor (Hutcheon and Yarom 2000). The input 513 conductance and membrane capacitance form the necessary low-pass filter, limiting the 514 rate at which membrane potential changes can occur in response to input stimuli 515 according to the membrane time constant (RC). The high-pass filtering is achieved by

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516 the so-called 'inductive' reactances which slowly oppose changes in membrane 517 potential.

#### 518 **Low-frequency attenuation**

519 In human axons, the slow rectifying conductances,  $G_H$  and  $G_{K,s}$ , provide the 520 'inductive' attenuation of output responses at low frequencies. The modelling in this 521 study provided support for the view that  $G_{Ks}$  and  $G_H$  play complementary roles (Howells 522 et al. 2012).  $G_{Ks}$  contributes to the low-frequency attenuation at less-hyperpolarized 523 membrane potentials in motor axons, while  $G_H$  attenuates the low-frequency response 524 for hyperpolarization below RMP (Biel et al. 2009). The modelling demonstrated that 525 the action of  $I_h$  was confined to frequencies below  $\sim$  3 Hz, and that  $I_{Ks}$  had a more 526 gradual attenuation across the frequencies studied. This suggests that  $I_{Ks}$  also 527 contributes to the high-frequency attenuation of responses by augmenting the input 528 conductance (Hutcheon and Yarom 2000).

529 **High-frequency attenuation** 

530 As previously discussed, the low-pass filtering of the membrane is due to the 531 parallel combination of the nodal capacitance and input conductance. As the membrane 532 capacitance is essentially constant, the low-pass filtering is governed by changes in the 533 input conductance which itself is the parallel combination of all open channels. For the 534 axons in the present study these are predominantly  $G_{Lk}$  and  $G_{Ks}$ .  $G_{Lk}$  increases and  $G_{Ks}$ 535 decreases with hyperpolarization from rest, providing a complementary control over the 536 input conductance and thereby the low-pass filtering of the membrane (Hutcheon and 537 Yarom 2000).

#### 538 **Amplifiers and suppressors of resonance**

539 In contrast to the effects of  $I_{Lk}$  on the frequency response,  $I_{NaP}$  potentiates the 540 response of human axons to oscillatory input currents. This confirms previous studies 541 which have examined the effect of TTX on the frequency-response curve and have 542 shown a significant decrease in the magnitude of the resonant peak, particularly at 543 depolarized membrane potentials (Gutfreund et al. 1995; Hu et al. 2002; Hutcheon et al. 544 1996; Wang et al. 2006).

## 545 **Differences between motor and sensory axons**

546 It is tempting to attribute the observed differences in the frequency response of 547 motor and sensory axons to differences in their resting membrane potentials. Figure 9 548 shows that the responses of the motor model do indeed approximate those of the 549 sensory model more closely when it is depolarized by an amount equivalent to a 3-mV 550 depolarization of RMP (compare discrepancy between the blue and red traces in the 551 lower plot to the black and red traces in the middle plot). On closer examination 552 however, the low-frequency attenuation for the hyperpolarized axons is not improved by 553 depolarization, and there is a suggestion that at higher frequencies depolarization 554 attenuates the responses of motor axons further. We therefore suggest that, while a 555 difference in membrane potential may be a major contributor to the difference in the 556 responses of sensory and motor axons, other factors are important. 557 The key differences between the motor and sensory models (reported by 558 Howells et al. 2012) are likely to contribute to the differential frequency responses. 559 These differences are a near-halving of nodal  $G_{Ks}$ , up-modulation of  $I_h$  and an increase 560 in *I*<sub>NaP</sub> (the latter secondary to depolarization of resting membrane potential) in sensory 561 axons.

#### 562 **Application of this technique to resonance under other conditions**

563 The present study has examined the mechanisms underlying low-frequency 564 resonance of hyperpolarized human axons, but this *in vivo* technique could also be used 565 to study the interactions of other voltage-gated ion channels using different frequencies 566 and with different levels of polarization. There was evidence in the present study that 567 resonance may occur with *depolarization*: in some subjects the balancing anti-stimulus 568 excited axons at higher frequencies (not shown). Such activity is comparable to the M-569 resonance observed in rat hippocampal pyramidal cells (Hu et al. 2002), and it is likely 570 that the rhythmic spontaneous activity recorded from *demyelinated* rat spinal root axons 571 would also have demonstrated a resonant peak in the frequency domain (Baker and 572 Bostock 1992).

573 One extension of this study could involve studying resonant behavior during 574 depolarization, and this might have more relevance to ectopic activity in demyelinating 575 neuropathies.

## 576 **Functional consequences**

577 The primary motivation for studying the low-frequency resonance of human 578 axons in this study was to resolve the contributions of  $I<sub>h</sub>$ ,  $I<sub>Ks</sub>$  and  $I<sub>Lk</sub>$  to excitability. 579 Conventional excitability studies using steady DC currents such as threshold 580 electrotonus can provide only limited insight into the relative contributions of the 581 activity of different channels at different membrane potentials. The fact that a 582 low-frequency resonance was found in healthy axons of peripheral nerve raises the 583 questions: "Are there functional consequences of this resonance in healthy axons of 584 peripheral nerve", or "is it merely an expected consequence of the time-domain 585 properties of ion channels"?



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# **References**

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# 695 **Author contributions**

696 All authors contributed to all aspects of the study, and have approved the final 697 version of the manuscript.

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- 705

# 706 **Tables**

707



## 708 **Table 1. Spectral parameters**

709

710 Derived parameters summarizing the frequency response of motor axons and sensory axons at RMP (0%)

711 and with a 60% hyperpolarization. Bracketed values were calculated after first smoothing the data with a

712 Pearson Type IV function.

713

	$Z_{0.5\text{Hz}}$	$Z_{\rm max}$		$f_{\rm max}$
Motor $0\%$	$1.2$ [1.4]	$2.4$ [2.3]	$1.9$ [1.6]	$4.5$ [4.9]
Motor 60%	$2.9$ [2.6]	$4.9$ [4.6]	$1.7$ [1.8]	$2.3$ [2.1]
Sensory 0%	$0.9$ [1.1]	$1.8$ [1.8]	$1.9$ [1.6]	$9.3$ [6.5]
Sensory 60%	$2.3$ [2.2]	4.1 [3.9]	$1.8$ [1.8]	$2.0$ [2.6]

714 **Table 2. Spectral parameters derived from modelled data** 

715

716 Bracketed values were calculated after first smoothing the data with a Pearson Type IV function.

717

# **Figures**



responses of the six subjects at RMP (red) and with hyperpolarization (blue) in motor and

**Figure 4. Excitability changes in response to ZAP conditioning.** *Upper Row:* Superimposed

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- sensory axons. *Middle Row:* Mean (± SEM) magnitude of threshold impedance versus
- frequency (n=6). *Bottom Row:* Mean (± SEM) phase difference between response and input
- stimulus.
- **Figure 5. Comparison of modelled and observed data.**
- 746 Observed data (mean [solid lines]  $\pm$  SEM [dashed lines] for RMP [red] and 60%
- hyperpolarization [blue]) and modelled data (black lines). *Top Row*, Response to input ZAP at
- RMP and with 60% hyperpolarization. *Bottom Row*, Magnitude of 'threshold impedance'
- |' $Z_{threshold}$ '| versus frequency for the axons at RMP and with hyperpolarization.
- **Figure 6. Voltage dependence of the frequency response in the model motor axon.**
- **a** Threshold electrotonic responses at RMP (0%, red) and for 30 (green), 60 (blue) and 90%
- (cyan) hyperpolarizations. **b***.* Response to ZAP conditioning superimposed on the
- hyperpolarizations in *A*. *c.* Magnitude of the threshold impedance calculated from the
- responses in *b.* **d***.* Phase of the threshold impedance, corresponding to the difference
- between response and input stimulus.
- **Figure 7. Ion channels contributing to the low-frequency resonance.** Membrane potential
- (EN, top), currents (I, middle) and channel open fractions (bottom) for motor axons in
- response to the ZAP protocol modelled at RMP (left column), and with -60% hyperpolarization
- (right column).
- **Figure 8. Sensitivity of frequency response to key currents.** Thin lines correspond to the
- unaltered model (same as Fig 1e). The dotted lines correspond to the removal of a
- conductance, and the thicker lines are with the same conductance doubled. The red and blue
- 763 lines are modelled at RMP and with 60% hyperpolarization, respectively. **a.**  $G_H$  (maximal
- 764 conductance of  $I_h$ ). **b.** G<sub>Ks</sub> (maximal conductance of slow K<sup>+</sup> channels). **c.** G<sub>Lk</sub> (maximal
- 765 conductance of ohmic 'leak' channels). **d.** P<sub>NaP</sub> (fraction of Na<sup>+</sup> channels operarting in a
- persistent mode). Note: RMP and hyperpolarization were clamped for each conductance
- alteration to maintain the same average potential as in the unaltered data.

#### **Figure 9. Do sensory axons behave as relatively depolarized motor axons?**

- Observed excitability responses (mean ± SEM) to ZAP function (**a.**) and frequency-response
- curves (**b.**) for motor (black) and sensory (red) axons at RMP and with a 60% hyperpolarization.
- Modelled excitability (**c.**) and frequency-response (**d.**): motor model (black), sensory model
- (red). Depolarised motor model (blue) and sensory model (red) excitability (**e.**) and frequency
- response (**f.**).

# 775 **Appendix**

# 776 **Modelling equations and parameters**

777 Membrane potential: (asterisks denote intermodal parameters)  
\n
$$
\frac{dE}{dt} = -\frac{l_{NA} + l_{Kf} + l_{Ks} + l_{Lk} + l_{pump} + l_{external} + l_{BB}}{C_n + C_{myelin}}
$$
\n
$$
\frac{dE}{dt} = -\frac{l_{Kf} + l_{Ks} + l_{Lk} + l_{pump} + l_{Lk} - l_{BB} - C_{myelin}}{C_{ax}}
$$
\n778 Capacitance:  
\n779 Con concentrations:  
\n
$$
[Na]_i = 9
$$
\n
$$
[Na]_o = 144.2
$$
\n
$$
[K]_i = 155
$$
\n
$$
C_{ax} = 327 pF
$$
\n779 Ion concentrations:  
\n
$$
[Na]_i = 9
$$
\n
$$
[Na]_o = 144.2
$$
\n
$$
[K]_i = 155
$$
\n
$$
[K]_o = 4.5 mM
$$
\n580 Sodium current:  
\n
$$
I_{Na} = P_{Na} (m^2 h)z(Na)
$$
\n
$$
I_{Na} = P_{Na} (\frac{P_{NaP}}{100} m_p^3)z(Na)
$$
\n
$$
z(Na) = \frac{EF^2}{RT} (\frac{Sel_{Na} [[Na]_o - [Na]_i \exp(\frac{EF}{RT})] + (1 - Sel_{Na}) \{[K]_o - [K]_i \exp(\frac{EF}{RT})\}}{1 - exp(\frac{EF}{RT})})
$$
\n781 Fast potassium current:  
\n
$$
I_{Kf} = G_{Kf} n^4 (E - E_{Kf})
$$
\n782 Show potassium current:  
\n
$$
I_{Ks} = G_{Ks} s(E - E_r)
$$
\n783 Leak current:  
\n
$$
I_{Ls} = G_{Ls} (E - E_r)
$$
\n784 Barrett-Barrett current:  
\n
$$
I_{Bs} = G_{Bs} (E - E^*)
$$
\n785 Current through HCN channels:  
\n
$$
I_{R} = \frac{RT}{F} \ln \left( \frac{[K]_o + Sel_{X}[Na]_o - Sel_{X}[K]_o}{[K]_i + Sel_{X}[Na]_i - Sel_{X}[K]_i} \right)
$$
 for 

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788 Sensory parameters (bracketed values)

789

## 790 **Maximum conductances and permeabilities:**



# 792 **Resting membrane potential:**



793

791

# **Figures**

**Figure 1. Measures of impedance and 'threshold impedance' in a model of human motor axons.a.** DC to 16Hz ZAP stimulus (10% of threshold) applied at RMP (red) and with 60% hyperpolarization (blue).**b,e,h.** Response, electrical impedance magnitude and phase measured using membrane potential.**c,f,i.** Response, 'impedance' magnitude and phase measured using threshold change.**d,g.** Impedance magnitude and phase difference measured using membrane potential and individual sinewave stimuli at frequencies of 0.5, 0.75, 1, 1.5, 2, 3, 4, 6, 8, 10, 12 and 16 Hz.

#### **Figure 2. Correlation of electrical impedance and 'threshold impedance' measures in the**

**model.a.** Correlation of excitability and membrane potential in response to the same input stimulus (data from Fig. 1b,c)**b.** Magnitude of 'threshold impedance' vs conventional electrical impedance (data from Fig. 1e,f).**c.** Phase difference between response and input measured using the threshold and membrane potential methods (data from Fig. 1 h,i). The yellow to red data points correspond to data gathered at RMP and are graded according to frequency (see scale, lower left). Similarly the green to blue data points correspond to the hyperpolarized data.

**Figure 3.Comparison of Frequency Response Curves derived from 16Ͳs and 4Ͳs ZAPs.**Blue and red traces are from 4-s ZAP stimuli at RMP and with 60% hyperpolarization. Grey traces are for the corresponding 16Ͳs ZAP stimuli.**a.** Membrane potential change.**b.** Electrical impedance magnitude (linear regression of 16-s vs 4-s data: y=0.98\*x -0.001, R<sup>2</sup>=0.94; i.e. close to the line of identity). **c.** Phase difference between membrane potential and stimulus current (linear regression:  $y=1.10*x+0.053$ ,  $R^2=0.86$ ).

**Figure 4.Excitability changes in response to ZAP conditioning.** *Upper Row:* Superimposed responses of the six subjects at RMP (red) and with hyperpolarization (blue) in motor and sensory axons.*Middle Row:* Mean (± SEM) magnitude of threshold impedance versus frequency (n=6).*Bottom Row:* Mean (± SEM) phase difference between response and input stimulus.

#### **Figure 5.Comparison of modelled and observed data.**

Observed data (mean [solid lines] ± SEM [dashed lines] for RMP [red] and 60% hyperpolarization [blue]) and modelled data (black lines).*Top Row*, Response to input ZAP at RMP and with 60% hyperpolarization.*Bottom Row*, Magnitude of 'threshold impedance'  $\frac{1}{Z_{threshold}}'$  versus frequency for the axons at RMP and with hyperpolarization.

#### **Figure 6.Voltage dependence of the frequency response in the model motor axon.**

**a** Threshold electrotonic responses at RMP (0%, red) and for 30 (green), 60 (blue) and 90% (cyan) hyperpolarizations.**b***.* Response to ZAP conditioning superimposed on the hyperpolarizations in *A*.*c.* Magnitude of the threshold impedance calculated from the responses in *b.***d***.* Phase of the threshold impedance, corresponding to the difference between response and input stimulus.

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**Figure 1.**



**Figure 2.**



**Figure 3.**



**Figure 4.** 



**Figure 5.**



**Figure 6.** 



**Figure 7.**



**Figure 8.**



Figure 9.