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Quadruplex Nucleic Acids as Novel Therapeutic Targets

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ABSTRACT

Quadruplex-forming sequences are widely prevalent in human and other genomes, including bacterial ones. These sequences are over-represented in eukaryotic telomeres, promoters and 5' untranslated regions. They can form quadruplex structures, which may be transient in many situations in normal cells since they can be effectively resolved by helicase action. Mutated helicases in cancer cells are unable to unwind quadruplexes, which are impediments to transcription, translation or replication, depending on their location within a particular gene. Small molecules that can stabilise quadruplex structures augment these effects and produce cell and proliferation growth inhibition. This article surveys the chemical biology of quadruplexes. It critically examines the major classes of quadruplex-binding small molecules that have been developed to date and the various approaches to discovering selective agents. The challenges of requiring (and achieving) small-molecule targeted selectivity for a particular quadruplex are discussed in relation to the potential of these small molecules as potentially clinically-useful therapeutic agents.

INTRODUCTION

The concept that certain guanine-rich nucleic acid sequences can form four-stranded structures is now over fifty years old, although the knowledge that such sequences form aggregates is much older.¹ Initially fibre-diffraction studies²⁻⁴ were used to establish that polymeric runs of guanylic acid (and also guanosine monomers) form right-handed four-fold helices, and proposed that the strands are tightly held together by guanine-guanine Hoogsteen hydrogen-bonding to form guanine base quartets (termed G-quartets or G-tetrads: Figure 1a). the quartets stack together in the manner of base pairs in duplex nucleic acids, with the striking differences of having four strands, and sodium or potassium ions held centrally between quartets and coordinated to the O6 substituent of a guanine base.⁵

It was subsequently found that such guanine-rich sequences form the underlying repeat motif of telomeric DNA at the ends of all eukaryotic chromosomes⁶⁻⁸ and also that short-length oligonucleotides containing such sequences can form discrete structures, termed quadruplexes⁹ (Figure 1b). These structures can be formed¹⁰⁻¹² from

- (i) a single strand, folded back three times (unimolecular quadruplexes), having the general sequence $G_a X_n G_b X_o G_c X_p G_d$ where G_{a-d} represent short guanine (G) tracts and X_{n-p} represent intervening “loop” regions of more general length and sequence
- (ii) two strands, which are normally identical (bimolecular quadruplexes), each folded back once
- (iii) three¹³ or four strands (tetramolecular quadruplexes).

Quadruplexes can adopt a wide diversity of structures and topologies (outlined below), in striking contrast to the relative uniformity of duplex nucleic acids. A number of quadruplexes have been characterised by biophysical methods, especially by circular dichroism and

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3 fluorescence spectroscopy.^{14,15} All have the common feature of a core of stacked G-quartets,
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5 a central ion channel and four grooves, of varying dimensions (Figure 2a,b). The diversity
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7 arises in particular from (i) the variability of loop length and sequence, (ii) sequences in
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9 which the length of G-tracts is non-equivalent, and (iii) the influence of monovalent ions in
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11 the channel. Quadruplexes remained of little more than academic interest, until the early
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13 1990s, when several seminal NMR¹⁶⁻¹⁸ and X-ray crystallographic¹⁹ studies confirmed the
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15 earlier supposition of the nature of the G-quartet and its role as the underlying structural
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17 motif in quadruplexes.
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21 This article will discuss the background to, and current status of quadruplexes,
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23 emphasizing their targeting with small molecules for therapeutic ends. It will also examine
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25 some future directions for this rapidly-developing field, including structure-based approaches
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27 and their relevance to the development of new therapeutic agents. The interested reader is
28
29 referred to the large number of existing reviews on various other aspects of quadruplex
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31 chemistry, biophysics and biology for further background reading.^{10-12,14-15,20-24}
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35 **QUADRUPLEX PREVALENCE AND STABILITY**

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39 The determination of the sequence of the human genome (comprising ca three billion
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41 nucleotides) in 2002 led to the discovery of the wide prevalence of putative quadruplex
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43 sequence motifs,²⁵⁻²⁹ in addition to their inherent occurrence in human telomeric DNA (see
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45 below). The initial search algorithms employed in two independent informatics studies^{25,26}
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47 both used a basic search sequence of $G_{3-5} X_n G_{3-5} X_o G_{3-5} X_p G_{3-5}$, albeit with distinct
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49 algorithms. Both assumed that loops X_n , X_o and X_p would have 1-7 nucleotides, and that the
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51 size of the G-tracts varied between three and five guanines in length, but that they were not
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53 necessarily of equal length. The loop length limits were set on the assumption that shorter
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55 loops would be of greatest stability, in accordance with experimental and computational
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3 studies.³⁰⁻³² Both these studies independently resulted in the finding of ca 350,000 putative
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5 occurrences, of which a significant number occur in longer stretches of multiple G-tracts
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7 (“quadruplex islands”), where the definition of an individual quadruplex may be ambiguous
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9 and thus there is likely to be multiple and overlapping quadruplex species. The existence of a
10
11 stable quadruplex can be validated by the combined use of several spectroscopic and
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13 biophysical techniques, notably UV fluorescence³³ and circular dichroism,³⁴ optimally
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15 together with X-ray crystallographic³⁵ and NMR analyses.³⁶
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20 The concept that individual loops within a stable genomic quadruplex cannot contain more
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22 than seven nucleotides has been more recently challenged by experimental findings of
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24 quadruplex-forming sequences with long loops in a number of quadruplexes. Examples
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26 include:
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- 29 (i) a nine-nucleotide propeller loop determined in the NMR structure of a quadruplex
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31 within the human CEB25 mini-satellite locus, which comprises almost perfect 52-
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33 nucleotide tandem repeats.³⁷
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 - 35 (ii) A 26-nucleotide loop, stabilised as a hairpin and with C:G and G:G base pairing, has
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37 been reported to be formed within a quadruplex encoded in the promoter sequence of
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39 the *hTERT* gene.³⁸
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 - 42 (iii) Very long central loops have been identified using a combined bioinformatics and
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44 experimental approach in the 5'-UTRs (untranslated regions) of human mRNAs, 10-
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46 90 nucleotides in length and with each flanking loop comprising just a single
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48 nucleotide.³⁹
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 - 51 (iv) An NMR study has shown that the promoter of the human *BCL-2* gene contains a 13-
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53 nucleotide central loop,⁴⁰ of sequence d(CGCGGGAGGAAGG).
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3 These results strongly suggests that the original loop length definitions^{25,26} are too
4 restrictive, and that the total number of putative quadruplexes is much greater than the
5 original tally of ca 350,000. This is also in accord with a systematic experimental study of 80
6 different sequences,⁴¹ which concluded that provided the flanking loops are short, then a very
7 long central loop can be tolerated. The ability of this loop to form stable secondary
8 interactions is clearly a major factor in determining stability. Shorter loops can also do this:
9 the five-nucleotide lateral loop of sequence d(AGGAG) in the promoter of the *c-KIT* gene
10 forms a highly stable secondary structure with two G:A base pairs, as observed in 2D-NMR⁴²
11 and crystal structures,^{43,44} and persists in molecular dynamics simulations.^{44,45} Although
12 detailed structural data on the 13-nucleotide loop in the *BCL-2* promoter quadruplex⁴⁰ is not
13 yet available, its sequence is strongly suggestive of it also containing a number of stabilising
14 G:A base pairs.
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30 The effects of longer loop length are however not straightforward and ultimately depend
31 on the sequence of the loop(s) and possibly on the biological function and sequence context
32 of the quadruplex. This has recently been illustrated in the case of the quadruplex sequence in
33 the human mini-satellite 39-nucleotide CEB25 repeating motif, with a 9-nucleotide central
34 loop flanked by two single-nucleotide T loops.³⁷ The overall quadruplex sequence is
35 d(GGGTGGGT**GTAAAGTGT**GGGTGGG). Although this central loop (shown in bold)
36 appears to be much less structured than the long loops in other quadruplexes in for example
37 the *BCL-2* or *c-KIT* genes, its presence still imparts some stability to this quadruplex. A
38 subsequent detailed analysis⁴⁶ of the effects of varying loop length both *in vitro* and *in vivo*
39 on the CEB25 mini-satellite in *Saccharomyces cerevisiae* cells has revealed that the length of
40 the central loop is an important factor in determining genomic instability at such sequences.
41 Short (≤ 4 nucleotide) central loop CEB25 quadruplexes have enhanced stability compared to
42 ones with a longer loop and can act to block replication and enhance genomic instability.
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3 The overwhelming majority of quadruplex studies, especially those related to small-
4 molecule therapeutics, have focussed on the human genome. Putative quadruplex-forming
5 sequences have been located using bioinformatics approaches in a number of organisms other
6 than *homo sapiens* (there is frequently strong evolutionary conservation of quadruplex motifs
7 in vertebrates,⁴⁷ for example between canine and humans in the *c-KIT* gene⁴⁸). Examples of
8 other organisms with quadruplex-containing genomes include *Saccharomyces cerevisiae*,⁴⁹
9 *Escherichia coli*^{50,51} and a large number of bacterial species.⁵² In broad terms the effects of a
10 quadruplex sequence on transcription and translation depend on its position within an *E. coli*
11 gene.⁵⁰ A number of quadruplex-forming sequences have been identified within the HIV
12 genome, including within the long terminal repeat (LTR) promoter^{53,54} and in the coding
13 region of the *NEF* gene.⁵⁵ Therapeutic implications of targeting these HIV quadruplexes are
14 discussed below. Potential quadruplex-forming sequences have also been located in the small
15 (23 million nucleotide) malaria genome,⁵⁶ with 63 non-telomeric quadruplexes being
16 identified in this genome using a search sequence containing up to 11 nucleotides in the
17 loops. Of these, 16 are clustered upstream of *var* genes, and there is some evidence that
18 several of these quadruplexes can be selectively targeted *in vitro*,⁵⁶ as demonstrated with a
19 synthetic macrocyclic dibenzophenanthroline derivative.

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42 The development of methodology to directly locate genomic quadruplex occurrences
43 using a combination of next-generation sequencing and the polymerase stop assay has
44 recently been applied to the human genome.⁵⁷ Results were consistent using either K⁺ ions or
45 stabilising ligands to induce quadruplex formation, and a large number of previously known
46 quadruplex-forming regions have been identified and validated. In addition, and
47 unexpectedly, quadruplexes were found in genes which had previously not been identified by
48 computational search methods.^{25,26} two such notable genes are the breast cancer susceptibility
49 genes *BRCA1* and *BRCA2*. Overall, a total of 716,310 quadruplex occurrences were
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3 identified, of which 451,646 had not been previously predicted. Many of these have non-
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5 canonical quadruplex structures (ie they do not conform to the $G_{3-5}X_nG_{3-5}X_oG_{3-5}X_pG_{3-5}$
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7 pattern of quadruplex sequence norm) and/or long loops. Of particular relevance to potential
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9 drug targeting was the prediction of regions of high quadruplex density within oncogenes
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11 such as *c-MYC* and *BCL-2*.
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15 Direct experimental observations of quadruplexes in cells have relied on the generation
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17 of quadruplex-specific antibodies, which were first successfully applied⁵⁸ to demonstrate the
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19 presence of quadruplexes in the transcriptionally active macronuclei of the ciliate organism
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21 *Stylonychia lemnae*. Interestingly, no evidence of antibody staining was found in the
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23 replication region of this organism; this prescient finding was suggested as indicative of
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25 quadruplexes being resolved (ie unwound) during replication. Another antibody, also with the
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27 ability to specifically pull-down quadruplex nucleic acid structures has been developed more
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29 recently⁵⁹ for use with human cells, initially with MCF-7 breast carcinoma cells, in tandem
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31 with deep sequencing, to map the occurrence of quadruplexes in the genome for this cell line.
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33 Quadruplex signals were found to be enriched in particular, in sub-telomeric and regulatory
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35 regions. Furthermore a representative set of enriched genes are sensitive to transcriptional
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37 down-regulation when treated with the quadruplex-specific ligand pyridostatin (**1**). Direct
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39 visualisation of quadruplex occurrence within (fixed) cancer cells has been demonstrated
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41 using the antibody approach coupled with fluorescent probes.^{60,61} Interestingly the images
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43 show finite numbers of quadruplex foci, strongly suggesting that the number of stable
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45 quadruplexes within these cell lines is not large. One of these antibodies⁶⁰ (BG4) has been
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47 used to examine quadruplex occurrence in human tissues and elevated levels have been found
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49 in patient-derived stomach and liver cancers, contrasting with lower responses in normal
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51 tissues.⁶² Possible causes of these elevated quadruplex levels are discussed further below, but
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3 this data overall is strongly suggestive of underlying differences in actual quadruplex
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5 occurrence between normal and cancer cells.
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9 Antibody-based quadruplex visualisation is restricted to fixed or permeable cells and
10 tissues, and thus may potentially be prone to artefacts arising from the fixing process. Several
11 groups are developing small-molecule quadruplex-specific probes that are useable in live
12 cells. These show significant differences in fluorescent emission/excitation maxima and
13 especially in fluorescent decay lifetimes when bound to quadruplex versus other types of
14 nucleic acids. Examples include 3,6-bis(1-methyl-2-vinylpyridinium) carbazole diiodide⁶³ (o-
15 BMVC: **2**), naphthoTASQ (a G-quartet mimetic tetra-substituted naphthalene derivative,⁶⁴ **3**)
16 and a planar triarylmethyl carbocation⁶⁵ (**4**). These promising approaches will need cross-
17 validation with quadruplex-binding antibodies before they become generally useable. At
18 present neither the antibody nor the small-molecule visualisation methodology is able to
19 identify an individual quadruplex within a genome, although this may be more readily
20 feasible with a small genome such as that of HIV, which has only a small number of
21 quadruplex targets.
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37 38 **TELOMERIC QUADRUPLEXES**

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41 The ability of eukaryotic telomeric sequences DNA, and in particular human telomeric DNA
42 based on the hexanucleotide repeat sequence d(TTAGGG),^{8,66} to form discrete quadruplex
43 arrangements,^{6,7,9,66,67} requires a transient or stable single-stranded stretch of DNA. Typically
44 telomeric DNA can range in length from 3-7 kilobases, almost all of which except the
45 extreme 100-200 nucleotides at the 3' end,⁶⁸ is double-stranded. This single-stranded
46 overhang, free from the constraints of Watson-Crick base pairing, is thus in principle
47 available for quadruplex formation and indeed such structures will spontaneously form in the
48 absence of associated proteins. Telomeric duplex DNA may be folded into a higher-order
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3 structure (the t-loop), and is associated with an array of telomere-binding proteins (TRF1,
4 TRF2, RAP1, TIN2, and TPP1), collectively termed the shelterin complex.⁶⁹ The single-
5 stranded overhang is also not normally free to form higher-order structures since it is
6 associated with a number of copies of the single-strand telomere binding protein POT1
7 (hPOT1 in humans).⁷⁰ This protein thus effectively destabilises quadruplex formation at
8 telomeric DNA ends.⁷¹ The shelterin complex is involved in telomere length regulation, and
9 POT1 is involved in telomere end-protection via feedback to other telomeric proteins within
10 the shelterin complex, notably TIN2 and TRF2.⁷²
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21 The discovery of the telomere length maintenance enzyme telomerase⁷³ and its
22 identification as a specialised reverse transcriptase, was followed in the mid-1990s by the
23 finding of a profound link between the up-regulated expression of telomerase and
24 oncogenesis.^{74,75} This has been the starting-point for the surge of interest in telomeric
25 quadruplexes, and ultimately in quadruplexes generally as therapeutic targets. The detailed
26 chemistry at the telomerase catalytic sub-unit (hTERT in humans) active site involves
27 assembly of nascent telomeric DNA onto the 3' end telomeric DNA end. The process occurs
28 on a complementary RNA template (hTR) and uses a pool of precursor mononucleotide
29 triphosphates, with its substrate, the 3' end of telomeric DNA, hybridising onto the template,
30 and so the telomeric DNA must be single-stranded at this point. The enzymatic activity of
31 hTERT can be inhibited by sequestration of this 3' single-stranded end into a quadruplex
32 arrangement⁷⁶, which is augmented by the stabilising influence of a quadruplex-binding small
33 molecule.⁷⁷ Quadruplex formation in the telomeric DNA substrate effectively hinders this
34 hybridisation from occurring. This approach to telomerase inhibition has been subsequently
35 developed into an anti-cancer strategy⁷⁸⁻⁸¹ following proof-of-principle experiments
36 demonstrating in general that inhibition of telomerase function in tumour cells (where its
37 expression is up-regulated in ca 80-85% of all human cancers) leads to senescence and
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3 apoptosis. These key experiments used antisense oligonucleotides,⁸² dominant-negative
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5 mutants of the catalytic domain of telomerase⁸³ or hTERT catalytic-site small-molecule
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7 inhibitors.⁸⁴ The onset of replicative senescence normally requires telomeric DNA to shorten
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9 to a critical length and is accompanied by up-regulation of the RB pathway^{85,86} via the cyclin-
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11 dependent kinase inhibitor p21, and increased expression of the tumour suppressor protein
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13 p16^{INK4a}. This leads to senescence induction, which is a precursor to selective cancer cell
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15 apoptosis and cell death. These cellular and molecular events have also been observed *in*
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17 *cellulo* with a number of quadruplex-binding small molecules, as well as demonstrating
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19 telomerase inhibitory activity and telomere shortening. Examples of telomeric quadruplex-
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21 binding agents (Figure 3) include quinoline-based triazine compounds (**5**),⁸⁷ BRACO-19 (**6**:
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23 an acridine derivative^{88,89}), the perylene derivatives PM2 and PIPER (**7**)⁹⁰ and more recently,
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25 a ruthenium complex with chiral 4-(2,3-dihydroxypropyl)-formamide oxoaporphine.⁹¹ The
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27 consequences of what is now termed telomere targeting will be discussed further in a
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29 subsequent section.
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35 The unexpected findings⁹²⁻⁹⁴ that telomeric DNA can be transcribed into discrete (non-
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37 coding) telomeric RNA molecules, termed TERRA, may indicate further potential targets for
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39 small-molecule quadruplex-mediated intervention at the telomere since TERRAs readily
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41 form stable RNA quadruplexes.⁹⁵⁻⁹⁸ TERRA molecules are involved in regulating telomere
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43 length, via telomerase activity in telomerase-positive cancer cells, and also form TERRA
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45 RNA-telomeric DNA hybrids, which may be involved in recombination-mediated telomere
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47 length homeostasis in telomerase-negative ALT cell types.^{99,100} TERRA molecules also play a
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49 role in the DNA damage response at telomeres.¹⁰¹ It is not clear at present what, if any role,
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51 natural TERRA quadruplexes might play in these processes, so stabilising them with small
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53 molecules may or may not have therapeutic benefit in proliferating cells.
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3 **Quadruplex-mediated targeting of telomerase and telomere maintenance.** The finding
4 that a disubstituted amidoanthraquinone compound (**8**) could inhibit the catalytic action of
5 telomerase from elongating the 3' single-stranded end of telomeric DNA, was correlated with
6 its ability to fold the end into a quadruplex arrangement.⁷⁷ Subsequent synthesis and
7 evaluation of the regioisomeric series of *bis*-amidoanthraquinones enabled structure-activity
8 relationships to be established, which strengthened the evidence for a causal link between
9 binding and activity.¹⁰²⁻¹⁰⁴ The overall structural features needed for effective small molecule
10 binding to a quadruplex and optimal telomerase activity within a series are closely similar
11 and have been defined as (i) the possession of an extended heteroaromatic chromophore
12 which can π - π stack onto a G-quartet surface of aquadruplex, and (ii) normally (at least) one
13 flexible side-chain containing terminal cationic-charged moiety such as a pyrrolidine or
14 piperidine group. The chemical space for quadruplex-binding telomerase-inhibiting
15 compounds has been subsequently extended to a large number of chemotypes. These are
16 mostly based on polyheteroaromatic compounds, for example the tetra-N-methylpyridyl-
17 porphyrin compound (TMPyP4: **9**, well-studied but non-selective),¹⁰⁵ a series based on the
18 pentacyclic dibenzophenanthroline core,¹⁰⁶ the N,N'-*bis*[2-(1-piperidino)ethyl]-3,4,9,10-
19 perylenetetracarboxylic diimide PIPER¹⁰⁷ and a pentacyclic acridinium compound (RHPS4,
20 8,13-diethyl-6-methylquino[4,3,2-*kl*]acridinium iodide: **10**) and derivatives.¹⁰⁸

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The concept of using substituted polycyclic and heteroaromatic compounds as probes
(and potential anticancer leads) has been the dominant theme in the subsequent development
of the majority of quadruplex-binding ligand libraries, whether targeted to telomeric or other
categories of quadruplex.^{20,22,109,110} Some of these libraries are derived from natural products,
such as the core chromophores of the alkaloids berberine (**11**) and quindoline (**12**), but the
majority are purely synthetic in origin. In addition, a number of categories of transition metal-

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3 containing complexes have been developed to target telomeric quadruplexes,¹¹¹ some of
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5 which are also potent telomerase inhibitors (see for example.^{112, 113})
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8 Notable exceptions to the polycyclic + cationic substituted side-chain class are
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10 compounds based on, or derived from the macrocyclic natural product telomestatin (**13**),
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12 which comprises five unsubstituted oxazole rings, two methylated oxazole rings and a
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14 thiazoline ring with one asymmetric centre.^{114,115} Even though (**13**) has no formal positive
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16 charge and the molecule is slightly non-planar, its extensive π - π overlap with a terminal G-
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18 quartet in quadruplexes¹¹⁶ confers high affinity and selectivity, low duplex affinity, and is
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20 combined with potent telomerase inhibitory ability. However (**13**) is highly insoluble in
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22 aqueous media and thus challenging to formulate for biological studies or potentially as a
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24 therapeutic agent. A number of (**13**)-mimetic macrocyclic scaffolds have been reported¹¹⁷,
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26 often comprising a number of oxazole rings interspersed with for example, pyridyl and/or
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28 phenyl rings or amide groups (for example, **14**).¹¹⁸ These linked rings may form a fully cyclic
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30 system, as in (**13**) itself, or may be open, forming a three-sided or acute crescent-like shape,
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32 with an angle of ca 90° between each arm. The ubiquitous alkylamino type of cationic side-
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34 chain has been added to several of these ring systems, notably in derivative of the compound
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36 HXDV,¹¹⁹ which has six linked oxazole rings and two valine units together with a
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38 dimethylaminoalkyl side-chain. A number of these compounds show high potency (<1 μ M)
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40 in cell proliferation assays together with effective quadruplex stabilising properties. One
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42 compound (**15**) in this series has been evaluated in the MDA-MB-435 breast tumour
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44 xenograft model with evidence of diminished tumour growth relative to controls, although
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46 the relationship of the *in vivo* activity to quadruplex binding has yet to be elucidated.
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53 The classic model of senescence and apoptosis induction via telomerase inhibition
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55 requires that telomere shortening occurs in a timely manner, progressing through to the limit
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57 of telomere length attrition (for example in MCF-7 human breast carcinoma cells, which have
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3 a mean telomere length of ~6 kilonucleotides). Since ~100 nucleotides are lost per round of
4 replication, critical senescence events should only be activated after a large number of rounds
5 of replication.^{120,121} Although several early studies on quadruplex-binding small molecules
6 did observe progressive telomeric DNA shortening,^{87,90} senescence and growth arrest
7 occurred much more rapidly than predicted on the basis of the classic senescence model of
8 critical telomere shortening being required. Rapid inhibition of cell growth and proliferation
9 was also accompanied by changes at the telomere itself such as anaphase bridge formation
10 and end-to-end chromosomal fusions. These changes are consistent with telomere end
11 uncapping from associated proteins^{88,90,122-4}, including hPOT1, which binds to the single-
12 stranded overhang⁷⁰ and is known to be displaced by quadruplex formation.⁷¹ It has been
13 suggested that the telomerase enzyme complex is physically associated with the extreme 3'
14 end of the telomeric DNA overhang in cancer cells, with the inference that this association is
15 displaced on quadruplex formation,¹²⁵ and thus telomere attrition is no longer the rate-
16 determining event. These rapid senescence- and apoptosis-inducing effects have subsequently
17 found to be induced by almost telomeric quadruplex-binding small molecules. Ligand-
18 induced quadruplex stabilisation and telomere uncapping also results in DNA damage to
19 telomeres and consequently initiates a cascade of responses with altered regulation of, in
20 particular, p²¹/p16^{INK4a} kinases, p53, PARP and ATM/ATR pathways. The DNA damage
21 responses are characterised by senescence¹²⁶ and the rapid appearance of phosphorylated
22 histone γ -H2AX foci, often being apparent 24 hrs after compound administration.¹²⁷ These
23 responses have been studied in detail with several quadruplex-binding small molecules,
24 notably with the pentacyclic acridine derivative (**10**),¹²⁸⁻¹³⁰ the macrocycle (**13**)¹³¹ and the
25 bis(quinoliny)pyridine-dicarboxamide derivative (**1**).¹²⁷ There is also evidence that the
26 observed antitumour activity of (**10**) in xenograft models is a direct consequence of the
27 critically-important DNA damage response.¹²⁸ Intriguingly, a study of the effects of (**1**) on
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3 the genome of several cancer cell lines using a chromatin immunoprecipitation sequencing
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5 approach¹³² has revealed that this compound (which has high quadruplex-specificity and very
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7 low duplex DNA affinity¹³³), produces DNA damage at both telomeres and a small number
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9 of non-telomeric regions of the genome, notably at the *SRC* gene locus.
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12 The classic model of quadruplex-induced telomerase inhibition and telomere length
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14 attrition by small molecules with affinity for telomeric quadruplexes has evolved into a more
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16 direct mechanism in cancer cells, leading directly to telomere-induced senescence, growth
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18 inhibition and apoptosis. The telomere maintenance effects of these compounds involve
19
20 telomere uncapping and induction of telomere damage, and telomerase inhibition, though it
21
22 may well still play a role, does not appear to be the major factor. Selectivity for cancer cells
23
24 may be a consequence of deficiencies of the DNA damage response apparatus in many
25
26 cancers.¹³⁴ Initial events are the dissociation of hPOT1 from the single-stranded overhang and
27
28 possibly the uncapping of telomerase from telomere ends to enable quadruplex formation,
29
30 which then acts as a damage-response signal. Once formed, quadruplexes need to be rapidly
31
32 unwound otherwise they pose an impediment to the normal functioning of a cell. The helicase
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34 RTEL1 provides an additional defence to telomere targeting by quadruplex stabilisation since
35
36 it is able to effectively unwind telomeric quadruplexes and thus maintain telomere
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38 integrity.¹³⁵ In those instances where telomere dysfunction via small-molecule quadruplex
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40 binding has been unequivocally validated, it is a reasonable assumption that RTEL1 itself has
41
42 also been disabled. The recent finding of a non-uniform distribution of quadruplexes within
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44 cell nuclei and preferential localisation in heterochromatin¹³⁶ has been suggested to be a
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46 consequence of the molecular crowding within heterochromatin, and also implies that
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48 epigenetic factors may play a role in selectivity.
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56 It should not be assumed that strong ligand binding to human telomeric quadruplexes *in*
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58 *vitro* means that the cellular (and *in vivo*) mechanism of action involves telomere
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3 maintenance dysfunction – other quadruplexes may be more significant targets in particular
4 cell types. The available evidence points to telomere targeting being the critical mechanism
5 of action for at least one well-characterised example, the acridines-based compound (**10**).
6
7 However the increasing application of high-definition genomic probes, (with (**1**)¹³² being a
8 prominent example) is demonstrating an altogether more complex scenario, in view of the
9 multiplicity of non-telomeric quadruplex sites in the human genome, as discussed in the next
10 section.
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19 **PROMOTER QUADRUPLEXES**

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22 In parallel with the developing interest in telomeric quadruplexes in the 1990s, potential
23 quadruplex arrangements began to be identified in a variety of genomic contexts and genes,
24 initially in retinoblastoma susceptibility genes¹³⁷ and notably, in the nuclease hypersensitive
25 element within the promoter of the *c-MYC* gene.¹³⁸⁻¹⁴⁰ The occurrence of a quadruplex
26 sequence in the promoter region of a particular gene (with *c-MYC* being the paradigm for
27 many subsequent studies) has been developed into a second potential therapeutic quadruplex-
28 targeting strategy in human cancers.^{140,141} The concept was initially articulated as a simple
29 inhibition of function following the induction of a stabilising quadruplex-small molecule
30 complex (using the porphyrin compound (**9**)).¹⁴⁰ It has received much attention and a number
31 of genes with putative promoter quadruplex sequences have been subsequently identified,
32 initially by a bioinformatics approach.¹⁴²⁻¹⁴⁴ A quadruplex-small molecule complex would be
33 an effective impediment to RNA polymerase transcription. Subsequent validation of a
34 particular sequence as being capable of forming a stable quadruplex has in large part come
35 from biophysical studies. Typically this has involved the application of circular dichroism,
36 fluorescence and NMR spectroscopy to isolated quadruplex sequences. Promoter
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3 quadruplexes have also been identified in bacterial genomes,¹⁴⁵ although here as yet the
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5 concept has not been significantly exploited to date.
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8 The majority of stable promoter quadruplexes reported are from human oncogenes and
9
10 cancer-associated genes, and all appear to be intramolecular. In a number of instances the
11
12 sequences are within established nuclease hypersensitive/transcriptional activation sites
13
14 within or upstream of promoter regions. Well-studied examples of genes containing such
15
16 quadruplex sites for which quadruplex formation *in vitro* has been demonstrated include c-
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18 *MYC*,¹⁴⁰ *BCL-2*,^{40,146} *h-RAS*¹⁴⁷, *k-RAS*,¹⁴⁸ *c-KIT*,^{149,150} *HIF*,^{151,152} *b-RAF*,^{153,154} the androgen
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20 receptor,¹⁵⁵ *RET*,^{156,157} *HSP90*,¹⁵⁸ *MET*¹⁵⁹ and *VEGF*,¹⁶⁰ whereas promoter quadruplexes
21
22 appear to under-represented in genes associated with normal cellular processes. Many
23
24 validated and putative promoter quadruplexes tend to be within 1kb, more commonly
25
26 immediately upstream and close to the transcription start site in these genes. Perhaps
27
28 unsurprisingly they can also include or are overlapping with the G-rich SP1 transcription
29
30 factor binding sites¹⁶¹ d(GGGCGG), which would serve to augment their ability to repress
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32 transcription. Some of these genes, which are over-expressed in particular cancers, have been
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34 targeted with small molecules at the protein level and in several instances, compounds are in
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36 the clinic (for b-RAF, c-KIT) or in clinical trial (for example for MET, BCL-2). However
37
38 several of the proteins encoded by genes in this list have long been considered to be
39
40 undruggable – c-MYC and the RAS proteins are prominent examples. Quadruplex targeting
41
42 at the gene level, at least in principle, offers the possibility of circumventing such roadblocks.
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49 In striking contrast to the relative simplicity of human telomeric quadruplexes, where the
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51 quadruplex unit is four d(TTAGGG) repeats, many promoter quadruplexes have complex
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53 sequences. There high diversity in overall sequence, as would be expected, which translates
54
55 into diversity in the nature of the G-tract size and in the size and sequence of the loops. Some
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57 quadruplexes unequivocally comprise just four G-tract repeats, notably the two quadruplexes
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3 in the *c-KIT* gene,^{149,150} which are 22- and 21-mers respectively. These are between -87 and -
4
5 109 bp and between -140 and -160 bp upstream of the transcription initiation site. The latter
6
7 is adjacent to the SP1 transcription factor binding site. By contrast, the well-studied 27-mer
8
9 NHE III₁ element of the *c-MYC* promoter contains five short G-tracts, and that both four-tract
10
11 quadruplexes (1234- and 2345-G-tract) can be formed,^{162,163} and indeed a quadruplex can be
12
13 formed with all five tracts.¹⁶⁴ The major quadruplex-forming sequence in the *BCL-2* promoter
14
15 is a 39-mer with six G-tracts, so that in principle up to 15 distinct four-tract intramolecular
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17 quadruplexes are possible. Unsurprisingly, quadruplexes formed from such clusters can be
18
19 conformationally complex, although when several loops are short (each with \leq two
20
21 nucleotides), then individual quadruplexes tend to have parallel folds. This is the case even
22
23 when one loop is long, as with a *BCL-2* quadruplex.⁴⁰ Cell-based experiments have shown
24
25 that the promoter of the *SRC* proto-oncogene is a major binding site for the well-studied
26
27 quadruplex-selective compound (**1**).¹³² This site has six G-tracts, suggesting that these
28
29 enhanced quadruplex clustering are possible hot-spots for quadruplex-binding small
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31 molecules, although in this study not all such sites were targeted, as judged by DNA damage
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33 responses.
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40 The promoter of the *hTERT* gene (the catalytic domain of the telomerase complex) is
41
42 mutated in a number of human cancers,¹⁶⁵ notably in melanomas and gliomas, leading to
43
44 enhanced expression of telomerase and maintenance of the malignant phenotype in these
45
46 cancers. The mutations occur in a highly distinctive putative quadruplex-forming region³⁸
47
48 with 12 G-tracts. The topology of a major quadruplex formed in this region is currently
49
50 controversial, with one model based on foot-printing analysis having a 26-nucleotide loop,
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52 contrasting with NMR studies on one segment of the sequence having a parallel and a (3+1)
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54 hybrid quadruplex in equilibrium.¹⁶⁶ Biophysical and simulation studies¹⁶⁷ on the complete
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3 hTERT G-tract region suggest the possibility of a complex arrangement involving three
4
5 stacked parallel quadruplexes, but with some cross-interaction between them.
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8 **Promoter quadruplex targeting with small molecules.** The early demonstration of *c-MYC*
9
10 down-regulation by a small molecule has prompted much effort to target this and a number of
11
12 other quadruplexes, with a view to eventual therapeutic utility. A few examples are given
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14 here. Substituent groups are usually flexible acyclic chains terminating in cationic groups. A
15
16 persistent challenge is that even if strong binding to a particular promoter quadruplex is found
17
18 *in vitro* and effects consistent with down-regulation of the target gene in cells are observed,
19
20 this does not necessarily constitute robust validation of the cellular effects being direct on-
21
22 target ones. However evidence of down-regulation at both mRNA and protein levels is
23
24 usually taken to be highly suggestive of on-target effects, and such evidence has been
25
26 documented in a number of instances
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31 *BCL-2* expression has been shown to be down-regulated, for example, by members of a
32
33 small library of mono-substituted quindoline (**12**) derivatives,¹⁶⁸ using a luciferase reporter
34
35 assay to select compounds with optimal promoter quadruplex stabilising ability. *BCL-2*
36
37 expression was down-regulated at the mRNA level and reduced levels of BCL-2 protein were
38
39 also observed following cell treatment with the most effective *BCL-2* quadruplex binder in
40
41 the series. *BCL-2* expression was also shown to be down-regulated in MIA-PACA2
42
43 pancreatic cancer cells¹⁶⁹ and tumour xenografts¹⁷⁰ treated with the tetra-substituted
44
45 naphthalene diimide compound MM41 (**16**). This compound has exceptional affinity for
46
47 several quadruplexes, especially human telomeric and *BCL-2* promoter ones. Selectivity at
48
49 the cellular level was indicated on the basis of *BCL-2* mRNA levels being selectively down-
50
51 regulated in several pathway-focussed PCR gene arrays (for oncogenes, DNA damage
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53 response and for telomere maintenance-related genes). However *BCL-2* down-regulation was
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55 not the sole significant gene change observed, suggesting that with this compound in this
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3 particular cell line there are likely to be several major quadruplex targets. Thus protein levels
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5 of both BCL-2 and k-RAS were reduced in treated tumours compared to controls – k-RAS
6
7 mutation and dysregulation is a key driver of most pancreatic cancers and cell lines.
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9 Comparisons of changes in gene expression in short-term cell culture conditions with those in
10
11 long-term treated tumours need to be made with caution since in the latter such effects may
12
13 be masked by or mistaken for global necrotic and apoptotic effects. A study of gene
14
15 expression changes induced by a trisubstituted naphthalene diimide derivative (**17**), also
16
17 using a focussed gene array approach, has similarly observed *BCL-2* as well as *hTERT*
18
19 mRNA down-regulation in several cancer cell lines including a melanoma one.¹⁷¹ In accord
20
21 with other observations,^{169,170} no reduction in *c-MYC* expression was observed, which is
22
23 notable in view of the ubiquitous role of *c-MYC* in oncogenesis and the ability of this and
24
25 many other such small molecules, to bind with high affinity to *c-MYC* quadruplexes. The
26
27 array data derived from this trisubstituted naphthalene diimide indicates that in some cell
28
29 lines at least the pattern of modulation of gene expression correlates with putative quadruplex
30
31 potential, although the small number of genes surveyed probably preclude a more definitive
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33 conclusion.
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40 The two quadruplexes found in the promoter of the *c-KIT* oncogene can be stabilised
41
42 by a variety of polycyclic-based small molecules, including substituted indenoisoquinolines,
43
44 tri-substituted isoalloxazines and mono-substituted benzo[a]phenoxazines.¹⁷²⁻¹⁷⁴ A target
45
46 validation study has been reported¹⁷⁴ employing a 173-member compound library including
47
48 mono-substituted benzo[a]phenoxazines (**18**), using a luciferase reporter assay approach,
49
50 established in the human HGC-27 gastric cancer cell line with and without *c-KIT* promoter
51
52 quadruplex formation. This enabled the assignment of quadruplex-related effects to be made
53
54 with confidence. The two hit compounds from this assay showed dose-dependent reduction
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56 of *c-KIT* expression in HGC-27 cells.
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3 The reality of small molecule-driven promoter quadruplex transcriptional down-
4 regulation is likely to be considerably more complex than the straightforward picture outlined
5 above and may well also involve small-molecule interactions with the opposite i-motif strand
6 in a quadruplex-containing duplex sequence, as well as proteins such as nucleolin that would
7 stabilise a quadruplex complex once formed. Discussion of these topics is beyond the scope
8 of this review and the reader is referred to, for example, recent mechanistic studies on small
9 molecule binding to the *BCL-2* and *c-MYC* promoter loci.¹⁷⁵⁻¹⁷⁷
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19 A number of studies have reported high affinity of a small molecule (often a quindoline
20 derivative) to a particular promoter quadruplex, together with observations of down-
21 regulation of the gene, sometimes at both mRNA and protein level. The dangers of assuming
22 a direct cause and effect relationship between *in vitro* and cellular observations have been
23 well illustrated by a structure-activity study on the effects of 11-piperazinylquindoline
24 derivatives, such as (2-(4-(10H-indolo[3,2-b]quinolin-11-yl)piperazin-1-yl)-N,N-
25 dimethylethanamine: **19**) on *c-MYC* expression.¹⁷⁸ Several compounds in this series are
26 effective stabilisers of a *c-MYC* quadruplex. The lead compound inhibits cell growth and
27 produces *c-MYC* down-regulation in cells; several other derivatives also have effects on other
28 quadruplex-containing genes, as well as their quadruplexes. An exon-specific assay,¹⁷⁹ which
29 is an elegant alternative to using a pair of isogenic cell lines, exploits particular translocation
30 features of the CA46 Burkitt's lymphoma cell line and was used to demonstrate¹⁷⁸ that *c-*
31 *MYC* quadruplex targeting is not directly involved in *c-MYC* down-regulation by this
32 compound. However indirect effects on "quadruplex target" genes are not by any means
33 universal and appear to depend on the nature of the small molecule involved, and on the cell
34 type. For example, and in striking contrast to the study outlined above,¹⁷⁸ an ellipticine
35 derivative with a single dimethylaminoethoxy side-chain (GQC-05: NSC338258), was found
36 by a combined screening and molecular modelling procedure.¹⁷⁹ This compound directly
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3 down-regulate *c-MYC* expression, using the same exon-specific assay.¹⁷⁹ Use of a reporter
4 assay with quadruplex-containing and quadruplex-negative constructs in the promoter can
5 also provide evidence of promoter quadruplex involvement,¹⁸⁰ although the approach by itself
6 is less definitive than a genetic one. It has been used, for example, to study effects produced
7 by disubstituted indolo[3,2-*c*]quinolines on *k-RAS* expression in colon cancer cells and their
8 relationship to binding to the *k-RAS* promoter quadruplex,¹⁸¹ as well as with compounds
9 targeting *BCL-2* and *c-KIT* quadruplexes.^{168,174}
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19 The fluoroquinolone-based quadruplex-binding compound **quarfloxin (21)** (CX-3543:
20 5-fluoro-N-(2-((*S*)-1-methylpyrrolidin-2-yl)ethyl)-3-oxo-6-((*R*)-3-(pyrazin-2-yl) pyrrolidin-
21 1-yl)-3H-benzo[*b*]pyrido[3,2,1-*kl*] phenoxazine-2-carboxamide)^{182,183} is an example of
22 evolution in target considerations. It was originally developed as a *c-MYC* quadruplex
23 stabiliser. Subsequent studies have indicated that it acts on ribosomal DNA (rDNA), which
24 has a large number of putative quadruplex sequences, and then inhibits rDNA-nucleolin
25 interactions, which in turn inhibits RNA polymerase I transcription.¹⁸³ This compound
26 associates with nucleolin in cancer cell nuclei and causes rRNA synthesis inhibition. It
27 produced significant reductions in tumour volume in the MDA-MB-231 breast cancer and
28 MIA-PACA-2 pancreatic cancer xenograft models. **(21)** is distinguished by being the first-in-
29 class, (and to date, only) quadruplex-binding compound to have entered clinical trials for
30 human cancer. Phase 1 trials indicated good patient tolerance and a phase 2 trial was in
31 carcinoid/neuroendocrine tumours, a tumour type for which the phase 1 trial indicated some
32 responses. Compound **(21)** has more recently been licenced to Tetragene Inc
33 (www.tetragene.com) in order for clinical development to continue. It will be interesting to
34 see the application of next-generation sequencing technologies to determine the actual targets
35 in the genome for this drug.
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RNA QUADRUPLEXES

By contrast with promoter quadruplexes, the concept of quadruplex formation in mRNA sequences has the obvious attraction unwinding of duplex nucleic acid is not required.¹⁸⁴ Thus a given RNA G-tract sequence can more readily fold into a quadruplex form than a DNA duplex one. In addition, *in vitro* studies have indicated that RNA quadruplexes are more thermodynamically stable than their DNA counterparts¹⁸⁵⁻¹⁸⁷ and are less liable to conformational and topological heterogeneity, probably as a consequence of the additional hydrogen-bonding possibilities provided by the 2' sugar hydroxyl group in RNAs. The finding that quadruplex sequences are over-represented in the 5'-untranslated regions (5'-UTR) of many human genes^{188,189} has catalysed interest in the category of sequences have been identified in a number of genes, for example, in *BCL-2*,¹⁹⁰ *n-RAS*,¹⁹¹ the human estrogen receptor alpha,¹⁹² the MT3 matrix metalloproteinase,¹⁹³ transforming growth factor β ²¹⁹⁴ and several oncogenes targeted by the cap-binding helicase eIF4A,¹⁹⁵ as well as more generally in introns.¹⁹⁶ There is good evidence that 5'-UTR quadruplexes are involved in post-translational gene regulation,^{197,198} although the exact role of a particular quadruplex depends on its locus within the 5'-UTR. The quadruplex antibody approach has been used to visualise the occurrence of RNA quadruplexes in human cells,¹⁹⁹ which was enhanced when a RNA quadruplex-specific small molecule (a carboxy derivative of compound **(1)**) was used.

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Small-molecule targeting of 5'-UTR quadruplexes has been shown to inhibit translation, for example, with the *N-RAS*²⁰⁰ and *TRF2*¹⁹⁸ genes, with observations of decreased levels of translation efficiency using *in vitro* reporter assays, using respectively pyridine-2,6-bis-quinolino-dicarboxamide and bis-quinolinium derivatives. It has been shown²⁰¹ that RNA 5'-UTR quadruplexes can be targeted in cells, in a study with the *K-RAS* gene using the photo-

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3 activated porphyrin compound tri-meso(N-methyl-4-pyridyl), meso(N-tetradecyl-4-pyridyl)
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5 porphine. This compound has cytoplasmic rather than nuclear localisation. It down-regulates
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7 k-RAS protein levels in pancreatic cancer PANC-1 cells (which over-express this protein), by
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9 up to 80% in a dose-dependent manner, and also produces cell-growth arrest. These changes
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11 were found to be correlated with changes in mRNA levels.
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15 There is much current interest in RNA quadruplexes formed from expansion of simple
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17 quadruplex motifs such as the hexanucleotide repeat r(G₄C₂). Such repeat expansions are
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19 found in a number of neurodegenerative diseases.²⁰² The r(G₄C₂) motif occurs in the non-
20
21 coding region of the *C9orf72* gene and is associated with the majority of cases of the
22
23 neurodegenerative diseases amyotrophic lateral sclerosis (ALS) and frontotemporal dementia
24
25 (FDT).²⁰³ The repeats can form RNA quadruplexes^{204,205}, which have been directly related to
26
27 disease progression,²⁰⁴ although the exact mechanisms relating to *C9orf72* quadruplexes are
28
29 not known at present. It is not clear for example, whether stabilising the quadruplexes will
30
31 result in beneficial changes in their association with RNA-binding proteins, or what effects
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33 this will have on the level of further truncated *C9orf72* transcripts. A start has been made on
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35 developing small-molecule targeting of the *C9orf72* quadruplex; initial experiments²⁰⁶ with
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37 the non-selective porphyrin compound (**9**) show that this ligand does bind to the *C9orf72*
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39 RNA quadruplex, which then inhibits binding of two established RNA binding proteins,
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41 hnRNPA1 and ASF/SF2 to the quadruplex. The *C9orf72* repeat expansion RNA can also in
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43 principle form a hairpin arrangement in equilibrium with a quadruplex, with the latter
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45 favoured in K⁺ but not Na⁺-containing solution. It is not known what the effect on this would
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47 be in the crowded environment of the cytoplasm. A focussed library of 132 RNA-binding
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49 small molecules has been used to screen the *C9orf72* RNA repeat *in vitro*.²⁰⁷ The three hit
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51 compounds were found to bind to the repeats in cells using a pull-down technique and had an
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53 effect not only on repeat translation but also on numbers of RNA foci in repeat-expressing
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3 neurons. Two of the compounds have a curved shape resembling classic DNA minor groove
4 binding compounds; the third, most biologically active compound is an ellipticine derivative
5 with a single piperidine (cationic) side-chain (**22**). Remarkably, very few ellipticine
6 derivatives have been evaluated for quadruplex binding and cellular activity, a notable
7 exception being GQC-05 (NSC338258: **20**), also with a single, though different side-chain,
8 which down-regulates *c-MYC* expression.¹⁸⁰
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16 17 **QUADRUPLEXES IN REPLICATION**

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Quadruplexes can occur at many loci²⁰⁸⁻²¹¹ throughout the human and other genomes in addition to their well-documented presence in promoters, untranslated regions and telomeres. They are present in immunoglobulin switch regions and in breakpoint regions in many cancer genomes.²¹² They may well play a more general role in gene regulation²¹³, consist with their well-documented non-random occurrence throughout the genome. Replication presents opportunities for DNA quadruplexes to be formed since DNA becomes unwound and transiently single-stranded. In principle their occurrence presents a stall to replication, but in practice such impediments are effectively resolved by, in particular helicases.^{214,215} However when helicases involved in replication and repair, such as Pif1^{216,217}, FANCD1^{218,219} and the Bloom's helicase are mutated,^{60,220} as is often the case in cancer cells, then replication is stalled. Quadruplex replication arrest (and the appearance of increased numbers of quadruplex foci in cells), is augmented by quadruplex-binding small molecules such as the polyoxazole compound (**13**),⁶⁰ the resulting quadruplex complexes are more resistant to helicase unwinding than the native quadruplexes.²²¹ The helicases XPB and XPD, which are associated with promoter activity and transcriptional regulation, also unwind quadruplexes.²¹⁵ The RTEL1 helicase unwinds and resolves quadruplexes at telomeres,²²² it is mutated in a

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3 number of human cancers²²³ although it is not known as yet whether these mutations result in
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5 impaired quadruplex unwinding.
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8 Helicase malfunction then provides a further basis for the concept of quadruplex-targeted
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10 selectivity in many cancers, and a working hypothesis to explain the observations of selective
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12 cancer cell growth inhibition induced by quadruplex-binding small molecules *in vitro* and *in*
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14 *vivo*. Few systematic compound library screens have been reported to date based on the
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16 concept of their ability to stabilise quadruplexes against helicase unwinding, but these would
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18 be a useful addition to existing approaches, possibly using the recently-described rapid
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20 fluorescence-based helicase assay²²⁴, which has been validated with a number of well-
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22 established quadruplex-binding compounds.
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27 Structure-based approaches to finding new ligands may also be fruitful. The DEAH (Asp-
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29 Glu-Ala-His) box RNA helicase binds to and unwinds quadruplexes with parallel topology.
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31 The 2D-NMR solution structure has been determined²²⁵ of the quadruplex-binding DEAH
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33 peptide bound to a parallel quadruplex. This shows the α -helical core of the peptide sitting on
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35 a G-quartet end-face of the quadruplex (Figure 3) in a manner reminiscent of the
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37 chromophores of typical quadruplex-binding ligands. Several basic peptide side-chains are
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39 associated with the quadruplex phosphate groups. Thus the structure reinforces the view that
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41 ligand planarity is not an essential requirement for quadruplex binding and suggests ways in
42
43 which novel peptomimetic ligands could be engineered to interfere with helicase unwinding
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45 and bind with high specificity to a particular quadruplex.
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49 50 **QUADRUPLEX STRUCTURES**

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53 Crystallographic and 2D-NMR structural studies have determined the detailed molecular
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55 structures of DNA and RNA quadruplexes from a variety of sources (reviewed in ^{35,36,226, 227}).
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57 Intramolecular and intermolecular quadruplexes formed from human-derived sequences have
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3 been studied in most detail, with the latter formed from two (bimolecular) strands.
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5 Quadruplexes with four (tetramolecular) or three separate strands are also possible. All show
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7 the common features of at least two G-quartets, stacked together with a right-handed twist
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9 and connected by loop sequences. Loops can be of several distinct types; diagonal, lateral (or
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11 edgewise), and propeller (or chain-reversal). The spaces between the four strands are termed
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13 grooves, analogous to those in duplex DNA and RNA, although by contrast with the two
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15 groove sin duplex structures, quadruplexes have four grooves. Groove dimensions are
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17 sensitive to the size and nature of the loops and to topology – the pattern of glycosidic angles
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19 plays an important role in defining overall groove width and depth. The differences in groove
20
21 dimensions are import factors in enabling small molecules to differentiate between different
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23 quadruplexes. A given loop type is associated with a given set of strand directions for the two
24
25 strands that it connects. These various possibilities give rise to a large number of possible
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27 folds (topologies), of which only a small number have been observed to date. The guanines
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29 forming each quartet arise from the short G-tracts; however when the tracts are of unequal
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31 length or loops themselves contain guanines, then more complex arrangements may be
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33 possible and can even dominate the overall topology.
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39 **Telomeric quadruplexes.** There has been considerable emphasis on structural studies of
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41 quadruplexes formed by human telomeric DNA sequences,^{228,229} assembled from the repeat
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43 d(TTAGGG), in particular on intramolecular quadruplexes containing four such repeats.²³⁰⁻²³⁵
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45 All comprise a core of stacked G-quartets assembled from the G-tracts in successive repeats,
46
47 held together by d(TTA loops). The structures themselves display a variety of folds.^{228,230-235}
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49 2D-NMR studies in dilute solution in K⁺ ion environments have revealed several types of
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51 fold with anti-parallel strands, including (3+1) hybrid topologies (Figure 4) in which three of
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53 the four backbone strands are in one direction (ie parallel) and the fourth is in the opposite
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55 antiparallel direction.²³⁰⁻²³² The precise nature of the flanking sequences appears to play a
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3 role in stabilising one particular type over another. A single fold dominates in Na⁺ solution,
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5 with two lateral and one diagonal loop, so that two backbone orientations are in one direction
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7 and the other two are anti-parallel.²²⁸ By contrast, the crystal structures²³⁵ of a human
8
9 telomeric intramolecular and a bimolecular quadruplex containing K⁺ ions shows all strands
10
11 parallel in both structures and with all the loops necessarily being of the propeller type in
12
13 order to achieve this strand orientation (Figure 1). There is continuing controversy as to
14
15 which of these folds best represents the human intramolecular quadruplex in cellular
16
17 environments; under crowding and high concentration conditions the parallel form is
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19 predominant^{236,237}, consistent with it also being present in cells, whereas in more dilute K⁺-
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21 containing solution the (3+1) forms may predominate.
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27 Crystal structures of small-molecule human telomeric quadruplex complexes representing
28
29 several diverse chemotypes are available²³⁸⁻²⁴⁴, with structural data available in the PDB.
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31 Structures for a number of naphthalene diimide intramolecular complexes²³⁹⁻²⁴¹ have been
32
33 determined (Figure 5), as well as with berberine,²⁴³ two mesoporphyrin complexes²⁴⁴ and the
34
35 crystal structure of a bimolecular complexes with the trisubstituted acridine compound (6).²³⁸
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37 All of these structures have parallel-stranded quadruplexes, with the ligand chromophore
38
39 bound on an external G-quartet face and side-chain substituents located in quadruplex
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41 grooves. In all instances the core structure, of three stacked G-quartets, is constant and
42
43 essentially unchanged. Also to a first approximation the quadruplexes in these complexes are
44
45 closely similar overall to the native structures. However there are significant variations in
46
47 conformation of the propeller loops and groove dimensions, with evidence of ligand-related
48
49 effects.²⁴⁵ Analysis of the structural data on several naphthalene diimide derivative
50
51 complexes shows that loop conformations are most constrained within a series of closely-
52
53 related compounds, giving confidence to conservative *in silico* design studies based on such
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55 crystal structures. These crystal structures have also highlighted the role of groove-bound
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3 water molecules in generating a network of water-mediated hydrogen bonds to the cationic
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5 side-chain substituents of the naphthalene diimides.
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8 Only one NMR-determined structure in this category has been reported to date²⁴⁶,
9
10 involving an analogue of the cyclic polyoxazole compound (**13**) with six oxazole rings
11
12 together with two alkylamino side-chains (**23**), which impart aqueous solubility to the
13
14 otherwise insoluble macrocycle core. A human telomeric DNA sequence was used, which
15
16 forms a (3+1) hybrid fold in the native state.^{230,232} This topology is retained in the complex
17
18 (Figure 6), with the macrocycle stacked on one end of the quadruplex. The macrocycle has a
19
20 significant out-of-plane twist, which is reflected in the non-planarity of the G-quartet on
21
22 which it is stacked, indicating that G-quartets can accommodate chemotypes with substantial
23
24 non-planarity without compromising overall quadruplex stability. Overall stabilisation of the
25
26 six oxazole rings arises from a number of close contacts to backbone and two sideways-on
27
28 loop bases, which contribute to the preference for this particular topology. The two flexible
29
30 side-chains are in close hydrogen-bond contact with backbone phosphate atoms. The overall
31
32 structure provides some clear pointers to ways in which affinity and selectivity can be
33
34 enhanced by rational modification of, in particular, the side-chains.
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40 Small-molecule binding tends to favour a particular quadruplex topology, dependent on
41
42 the precise nature of the ligand, which can be reliably assessed, at least for telomeric
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44 quadruplexes, by CD spectroscopy.²⁴⁷ Thus compound (**13**) and the pentacyclic acridines
45
46 derivative (**10**), which has minimal substituents, both have a preference for anti-parallel type
47
48 quadruplexes whereas the porphyrin (**9**) and a number of porphyrin derivatives as well as
49
50 tetrasubstituted naphthalene diimide compounds, prefer the parallel fold. This behaviour has
51
52 been rationalised on the basis of the available surface area at the chromophore binding site,
53
54 the 3' or 5' terminal G-quartet.²⁴⁸
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3 Human telomeric RNA sequences can also readily form quadruplexes. However unlike
4 their DNA counterparts, these are altogether more conformationally rigid and fold into only a
5 single topology in K^+ solution. This is the all-parallel-stranded form, observed both in the
6 crystal²⁴⁹ and in dilute solution by 2D-NMR;²⁵⁰ both structures are closely similar to the
7 crystal structures of the DNA telomeric quadruplex. The crystal structure has highlighted the
8 role of the O2' ribose hydroxyl group in stabilising r(UUA) propeller loop conformation via
9 hydrogen bonding. Only one structure of an RNA telomeric quadruplex ligand complex is
10 currently available, involving a disubstituted acridine chromophore having two
11 amidoalkylamino side-chains.²⁵¹ The r(UUA) propeller loops have altered conformations
12 compared to the native structure, with the O2' ribose hydroxyl groups hydrogen bonding to
13 loop adenine bases so that these bases swing round to become in-plane with the terminal G-
14 quartet of the quadruplex. The resulting extended r(AGGGGA) surface is able to
15 accommodate two side-by-side bound ligand molecules.
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32 **Promoter and other quadruplexes.** 2D-NMR studies in particular have validated the
33 existence of stable quadruplexes for a number of promoter quadruplex sequences. These are
34 notable for their sequence diversity, and include structures for *c-MYC*,^{252,253} *BCL-2*,^{254,255}
35 *VEGF*²⁵⁶, *hTERT*¹⁶⁶ and *RET*²⁵⁷ quadruplexes, all of which have fully-assigned spectra and
36 structures deposited in the PDB. Remarkably, the majority of the major species in solution
37 tend to have parallel topology, which is a consequence of most sequences have at least one
38 short ($n < 3$ nucleotide) propeller loop, whose stereochemistry requires strands to be parallel;
39 the *VEGF* 22-mer sequence d(CG₄CG₃CCG₅CG₄) is typical (Figure 7), with two single-
40 nucleotide dC loops and a longer tetranucleotide loop, which plays a role in overall
41 stabilisation of this quadruplex. This parallel propensity is also shown, for example, by the
42 *RET* oncogene quadruplex, with one single-nucleotide dC loop and two d(GCG) propeller
43 loops. Examination of the prevalence of single nucleotides in the quadruplex surveys of the
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3 human genome^{25,26} indicate that they probably comprise the majority of loop types in
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5 quadruplexes.
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8 However the existence of propeller loops and of parallel strands does not necessarily lead
9
10 to a simple all-parallel topology, if for example guanine bases occur within loop regions and
11
12 also actively participate in quartet formation. This can result in an altogether more complex
13
14 fold. An example is one of the two sequences in the *c-KIT* promoter, which has been
15
16 extensively characterised by 2D-NMR⁴² and by X-ray crystallography.^{43,44} A non-G-tract
17
18 guanosine situated between two cytosine loops, is folded back and forms part of the G-quartet
19
20 core. This overall fold has not been observed in other quadruplexes, which is unsurprising
21
22 given that the primary 22-mer sequence has a unique occurrence in the human genome.²⁵⁶
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24 The *c-KIT* fold (Figure 8) is conserved between solution and crystal, providing further
25
26 support for the notion that the topology of quadruplexes with parallel strands is likely to be
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28 conformationally stable.
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33 No crystal structures of small molecule complexes with non-telomeric DNA quadruplexes
34
35 have been reported to date. Three 2D-NMR structures of *c-MYC* promoter quadruplexes have
36
37 been determined, with the porphyrin compound (**9**)²⁵⁹, the (bisquinolinium) phenanthroline
38
39 compound²⁶⁰ Phen-DC₃ (**24**) and a crescent-shaped mono-substituted quindoline compound
40
41 with an aminoalkylamino side-chain.²⁶¹ The chromophores in all three structures are stacked
42
43 over a terminal G-quartet of the quadruplex core. The complex with compound (**9**) has the
44
45 substituent N-methylpyridyl groups positioned close to the edges of the grooves but not
46
47 actually bound in them. Unsurprisingly this compound has modest quadruplex selectivity,
48
49 being able to also bind effectively to duplex nucleic acids. The quindoline substituents of
50
51 compound (**24**) have extensive overlap with the guanines of the terminal G-quartet (Figure 9)
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53 whereas the overall crescent-like shape of the molecule ensures that it would stack only
54
55 poorly in a duplex intercalation site (Figure 10), in accord with its high quadruplex
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3 selectivity. The NMR structure rationalises earlier data, on for example, analogues with
4
5 pyridinium rings replacing the quinolones, which have reduced quadruplex-stabilising ability.
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7 It also suggests sites of functionalisation to enhance affinity and selectivity. The mono-
8
9 substituted quindoline 2: 1 complex²⁶¹ is unusual in that a ligand molecule is bound to each
10
11 terminal G-quartet face, with additional stabilisation from 5' and 3' flanking nucleotides. The
12
13 short protonated aminoalkylamino side-chain attached to the quindoline skeleton is barely
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15 able to reach the nearest groove (Figure 11), suggesting ways in which to improve affinity, as
16
17 well as selectivity for this particular quadruplex.
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21 There are remarkably few detailed molecular structures available for RNA quadruplexes
22
23 and none to date of 5'-UTR ones. Those determined include several in more complex flanking
24
25 sequence environments than those in the structures of simple isolated DNA quadruplexes. An
26
27 RNA quadruplex is the target of the Fragile X Mental Retardation Protein (FMRP) and a co-
28
29 crystal structure²⁶² (Figure 12) shows recognition of this quadruplex by the arginine-glycine-
30
31 rich (RGG) motif of the protein. This quadruplex is at the end of a helical RNA stem, where
32
33 the RGG β -turn is bound. There is an extensive pattern amino-acid contacts to the RNA,
34
35 stabilising the interface and its mixed-base quartet. As with the DEAH-box helicase, one sees
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37 a protein motif bound at one end of the quadruplex, analogous to small-molecule binding
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39 sites, and suggesting where small-molecule inhibitors might best act. Two co-crystal
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41 structures^{263,264} of small-molecule fluorophores bound to a novel RNA quadruplex aptamer
42
43 have revealed an unprecedented quadruplex fold with, in both structures, just two G-quartets.
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45 This parallel-stranded quadruplex is formed at the junction between two RNA duplex stems,
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47 with the strands folding back and each donating guanines to the quartets. The sequences
48
49 involved do not obviously conform to the quadruplex rules and there are no G-tracts of more
50
51 than two nucleotides in length. The two fluorophores are closely-related - in one structure²⁶⁴ it
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53 is 3,5-difluoro-4-hydroxybenzylidene imidazolinone (**25**) - both are bound in a stacking
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3 environment between one G-quartet and the adjacent base triplet (Figure 13), which are both
4 part of an extended co-planar run of duplex and quadruplex base pairs, triplets and quartets.
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7 There are a number of contacts involving 2'-ribose hydroxyl atoms and fluorophore.
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10 Altogether these structures show that quadruplexes, perhaps RNA ones in particular, can
11 form highly specific small-molecule binding environments, especially when part of more
12 extensive nucleic acid structures.
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16 17 **QUADRUPLEX SELECTIVITY** 18

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20 Can small molecules have (i) selectivity for quadruplexes over duplex and other nucleic
21 acids forms, and (ii) selectivity for a particular quadruplex? The first question is
22 straightforward to answer, in the affirmative. There are many examples in the literature of
23 individual compounds, and of broad chemotypes that have low or minimal affinity for duplex
24 nucleic acids as well as high quadruplex affinity (this is typically assessed on a small number
25 of quadruplex types). Heteroaromatic polycyclic compounds such as substituted acridines,
26 quindolines, ellipticines and naphthalene-diimides, tend to show promiscuous binding to
27 various types of nucleic acid structure; selectivity for the larger surface area of a terminal G-
28 quartet increases with ligand size and especially with the number of side-chain substituents.
29 This however is at the cost of reduced drug-like character - higher molecular weight and
30 increased cationic charge, even though several of these compounds are showing anticancer
31 effects *in vivo*. Many macrocyclic and crescent-shape molecules on the other hand, have
32 built-in low duplex-binding ability by virtue of their inability to effectively bind into a duplex
33 intercalation site (see Figure 10). These crescent-shaped molecules bear some resemblance to
34 classic DNA duplex groove binders but crucially they do not have the shallow curvature
35 characteristic of this class, with the three sides of the crescent being much more acutely
36 angled in order to achieve selectivity. Examples include quindoline compounds²⁶¹ and a
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3 number of oligo-oxazole-based compounds and several low-molecular weight meta-
4 substituted diphenyl furans.²⁶⁶
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8 Selectivity for a particular quadruplex has generally been approached empirically rather
9 than by exploiting the available structural data. Even so, screening approaches have resulted
10 in several remarkable findings. For example the crescent-shaped heptacyclic compound
11 TOxaPy, comprising four oxazole and three pyridyl rings (**26**) is highly selective²⁶⁷ for the
12 Na⁺ form of the human telomeric quadruplex and binds only very weakly to any of the K⁺
13 forms,²²⁹⁻²³⁵ indicating a marked topological preference for the antiparallel Na⁺ form.²²⁸
14 Compound (**26**) also shows some affinity for several promoter quadruplexes. Selectivity for
15 human telomeric quadruplex DNA can in principle be enhanced by exploiting the potential of
16 the 3' single-stranded telomeric DNA overhang to form tandem quadruplexes, analogous to
17 'beads on a string.'²⁶⁸ Such selectivity has been found²⁶⁹ with a hybrid oxazole-triazole
18 compound, which can have a crescent-shaped conformation. The goal of designing small
19 molecules selective for particular promoter quadruplexes is altogether more challenging and
20 no studies have been reported to date utilising the available structural data. Screening
21 methods continue to be employed, with some successes. For example, several members of a
22 series of crescent-shaped bis(benzimidazole)-phenanthroline compounds, with additional
23 flexible alkylamino side-chains have been found to be selective for the *c-MYC* and *c-KIT*
24 promoter quadruplexes over a human telomeric one and duplex DNA.²⁷⁰ These findings have
25 been rationalised by molecular dynamics simulations, which emphasise the role of the side-
26 chains in propeller loop recognition. An innovative application of small-molecule
27 microarrays, screening 20,000 compounds, has been used to find a selective *c-MYC* promoter
28 quadruplex inhibitor.²⁷¹ The lead compound from this approach, a disubstituted benzofuran
29 derivative (**27**), does not have the characteristics of a conventional quadruplex binder and
30 produces only a modest increase in *c-MYC* quadruplex melting temperature (ΔT_m), of 2.1° C.
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3 On the other hand, measurement of binding affinity by surface plasmon resonance (SPR)
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5 gave a low μM value for K_d , sufficient to elicit a biological response. Significantly, SPR
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7 measurements on five other promoter quadruplexes did not record binding, although weak
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9 binding was found with two others (from the *BCL-2* and *RBI* genes). In accord with this
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11 selectivity, an exon-specific assay¹⁷⁹ using the Burkitt's lymphoma cell line CA46,
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13 demonstrated that effects were ascribable to binding to the *c-MYC* promoter quadruplex
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15 locus. Studies on various panels of cancer cell lines has confirmed and extended these finding
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17 of high selectivity, so that overall this represents the first detailed proof of concept study in
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19 this field. The lead compound (**27**), while not being an ideal drug candidate, is an appropriate
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21 starting-point for further optimisation.
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25 26 LIGAND DESIGN

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29 The overwhelming majority of current quadruplex-binding small molecule scaffolds^{80,}
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31 ^{109,265,272} have been selected on the basis of the simple premise of possessing¹⁰²⁻¹⁰⁴ (i) a
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33 heteropolyaromatic planar chromophore, which binds via π - π stacking to a terminal G-
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35 quartet, and (ii) one or more flexible substituents with a cationic charge, to bind in
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37 quadruplex grooves and to loops. Notable exceptions to these broad guidelines are (i) cationic
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39 porphyrin and related scaffolds, of which the best-studied is the tetra-N-methyl-pyridyl
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41 porphyrin, compound (**9**), and (ii) a number of ligand families, all characterised by having
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43 macrocyclic or crescent-shaped scaffolds. These scaffolds are mostly based on or derived
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45 from the telomestatin and related oxazole-linked macrocyclic chemotypes. Appropriate
46
47 crescent shapes can be achieved using pyridyl or phenanthroline rings disubstituted with
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49 heteroaromatic groups such as quinolines. Members of both of these broad classes of
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51 compounds bind to the terminal G-quartets in telomeric and other quadruplexes, with
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53 sufficient affinity from π - π aromatic G-quartet stacking so to not require the involvement of
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3 additional cationic groove-binding substituents. These quadruplex binders tend to have
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5 greater quadruplex selectivity over duplex DNA or RNA than those based on
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7 polyheteroaromatic chemotypes.
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11 New quadruplex-binding chemotypes have mostly been found as outcomes of screening
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13 chemical libraries against a measurement of quadruplex affinity or stabilisation. We are now
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15 seeing the increasing use of much larger compound libraries, which is starting to move the
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17 field away from the established concepts of what constitutes a good quadruplex-binding
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19 ligand, and promises to ensure the development in time of altogether more drug-like
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21 chemotypes. Also *in silico* and structure-based approaches are being used with increasingly
22
23 broader ranges of scaffolds. The extensive structural knowledge base for the various
24
25 topological folds of the human telomeric monomeric quadruplex has prompted a number of
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27 *in silico* studies²⁷³⁻²⁷⁷ using, for the most part, well-established docking software with the
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29 crystallographic or NMR-derived structures. As well as the well-documented pitfalls of the
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31 approach in general, telomeric quadruplexes present several particular challenges as *in silico*
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33 targets:
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38 (i) the flexibility of the loops in these structures has rarely been taken into account,²⁷⁸ so
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40 “hits” from screening of small-molecule libraries can only be taken as indicative of plausible
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42 high-affinity hits and are generally not reliably predictive of ranking order. A survey²⁴⁵ of
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44 known crystal structures of telomeric quadruplex complexes with small molecules has found
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46 that a number of distinct loop types are represented in this data set, although one type does
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48 predominate. There appears to be a dependence of loop type on the nature of the small
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50 molecule, although at present it is not possible to predict this. A combined spectroscopic and
51
52 molecular dynamics simulation study has shown that natural mutations in loop sequences
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54 may affect loop conformations and even overall quadruplex topology.²⁷⁹ This may be of
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56 especial relevance to the design of ligands specific to such mutated quadruplexes as further
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3 sequence data on mutations in promoter regions becomes available, following the findings of
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5 hTERT promoter mutations in melanoma and other cancers.¹⁶⁵
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8 (ii) In general *in silico* studies have taken a particular telomeric quadruplex topology as a
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10 starting-point. It cannot be assumed that the chosen topology is preferentially stabilised by
11
12 the resulting hits, especially since some ligands are known to alter topology, for example
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14 from anti-parallel to parallel.
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18 (iii) *in silico* methods work best with well-defined binding pockets for example in nucleotide
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20 binding sites in kinases, which are not present in most structurally simple quadruplexes. No
21
22 studies to date have been reported on *in silico* screening of more complex quadruplexes,
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24 where fragment-based approaches could also be used.
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28 In spite of these caveats, it is apparent that the approach can successfully generate novel
29
30 hits, and has the advantage of targeting quadruplex grooves as well as the more conventional
31
32 terminal G-quartets. This has resulted in the discovery of a number of non-planar quadruplex-
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34 binding compounds, some of which have the potential to be developed into drug-like
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36 leads.²⁷⁴⁻²⁷⁷ Docking studies have used a range of large *in silico* libraries, with the ZINC and
37
38 ChemBridge databases employed, for example, to find hits against the *c-MYC*
39
40 quadruplexes.^{280,281} Fragment-based design has not yet been used to full develop a novel lead
41
42 compound, although a study using a fragment library derived from RNA-targeting has
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44 identified the potential of this approach.²⁸²
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48 Data from X-ray crystallography³⁵ and 2D-NMR studies³⁶ on molecular structures of
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50 small-molecule quadruplex complexes, notably of telomeric quadruplexes has provided the
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52 starting-points for some of these *in silico* studies. The perennial question of the relevance of
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54 quasi-solid-state crystal structures to solution or even cellular conditions, is of especial
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56 significance for quadruplexes, since some do have folds that are environment-sensitive.
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3 These crystal structures are densely packed and crowded solvent-containing environments
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5 akin to the cellular conditions surrounding nuclear DNA – it is notable that quadruplexes
6
7 have been recently reported to be localised in the highly organised DNA of
8
9 heterochromatin.¹³⁵ It is therefore unsurprising that in the case of human telomeric
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11 quadruplexes - the most contentious category – studies of topology in crowded solution have
12
13 mostly concurred with the crystal data. Water molecules can also play a more detailed, active
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15 and intimate role in ligand binding. The crystal structures, for example of several
16
17 naphthalenediimide-telomeric quadruplex complexes,^{240,241} have revealed the consistent role
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19 of bridging water molecules in the grooves via hydrogen bonds between cationic groups at
20
21 the termini of ligand side-chains, and phosphate groups lining the walls of the grooves.
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26 Although those crystal structures have not been used directly for the design of new leads,
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28 they have been useful starting-points for structure-based optimisation of existing
29
30 compounds.²³⁹ Recent 2D-NMR structures of quadruplex complexes also provide
31
32 opportunities for ligand refinement and improvement.²⁸³ An important future application of
33
34 this structural information, especially for polycyclic compounds, will be to enhance their
35
36 drug-like features.
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40 **Limitations of current quadruplex models.** There are several potential challenges with the
41
42 current approaches to measuring quadruplex-small molecule binding, which is normally
43
44 assessed *in vitro* by one or more biophysical methods, using an isolated quadruplex as target.
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46 In particular there has been relatively little attention paid to the relationship of the behaviour
47
48 and ligand binding of an isolated quadruplex, to its behaviour in its (DNA or RNA plus
49
50 associated proteins) biological or cellular context. The higher-order telomeric quadruplexes
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52 arrangements possible in the single-stranded 3' overhang at the extreme end of eukaryotic
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54 telomeres are obvious and accessible ones to focus on, as discussed earlier in this review. The
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56 junctions of telomeric quadruplexes with duplex DNA may also be plausible ligand targets,
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3 with ligand binding onto a d(TAT) base triplet platform formed at the junction.²⁸⁴ Analogous
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5 junctions may be formed around promoter DNA quadruplexes and have been observed in two
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7 extended RNA quadruplex-small molecule complexes.^{263,264} The sequences of the junctions
8
9 and flanking regions may affect quadruplex topology. mRNA quadruplexes are also in
10
11 principle susceptible to flanking and bystander effects, although the additional ribose 2'-OH
12
13 groups may reduce flexibility and hence hinder topological change in RNA quadruplexes –
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15 this reduced flexibility has been noted in telomeric RNA quadruplexes which do not have the
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17 variability observed in dilute solution with their DNA counterparts.
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21 **Quadruplex selectivity: a real or apparent problem?** This review has highlighted those
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23 studies where individual small molecule leads and starting-points have been exemplified into
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25 chemical libraries, and from these structure-activity relationships have been evaluated, for
26
27 example correlating quadruplex stabilisation measured by thermal shift (ΔT_m) with
28
29 telomerase inhibitory activity or changes in expression of a target gene. In reality, selectivity
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31 for individual quadruplexes has until recently been almost entirely the result of serendipitous
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33 discovery, following screening with either an individual pre-chosen quadruplex, or from a
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35 small library of (normally) promoter quadruplexes. Once a particular quadruplex has been
36
37 chosen, affinity can be optimised on the basis of structure-activity relationships. Remarkably
38
39 few studies have surveyed a complete genome, for example by whole-genome expression
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41 profiling²⁸⁵, to verify that the chosen quadruplex is in reality a significant biological target.
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43 We have discussed in an earlier section the advisability of being able to distinguish between
44
45 direct effects arising from quadruplex-small molecule binding and consequential changes in
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47 the target gene's expression, from effects that are in reality just correlated together and are
48
49 not direct cause-and-effect. This latter circumstance can occur when other upstream
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51 components of a pathway, or of an intersecting pathway, are the direct targets and these may
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53 or may not be quadruplex-related.
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3 The task of specifically targeting an individual quadruplex in say the human genome
4 appears at first sight to be an impossibly daunting one, unless that quadruplex has features so
5 distinctive for structure-based design or library screening. This may be more realistic with
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10 very small genomes such as the HIV one, where all the potential quadruplexes can be
11 identified. At present this approach is not obviously feasible for the human genome.
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13 Bioinformatics surveys of both DNA and RNA human quadruplexes have shown that the
14 majority probably have at least one short loop, suggestive of a “simple” parallel topology.
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19 Many genomic quadruplexes are therefore likely to have the same general features, ie a core
20 of stacked quartets with the loops forming four grooves. Structural and molecular dynamics
21 data has shown that the groove dimensions and loop conformations are not fixed, but have
22 significant flexibility, which will change on ligand binding. Even so, it is possible to
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quadruplex. ²⁷¹ Even though the lead compound was only evaluated for selectivity with a small number of other quadruplexes (and therefore its genomic quadruplex selectivity is unknown), the fact that it was able to down-regulate c-MYC expression in a cancer cell with

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3 some potency, demonstrates that the cell is in effect able to sort out selectivity, provided that
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5 the target plays a major role in maintaining the cell's malignant phenotype.
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8 Although targeting a single defined quadruplex within a genome may not be readily
9
10 feasible at present, it is important to achieve high selectivity over binding to duplex DNA and
11
12 RNA. Competition studies that examine (for example thermal stability (ΔT_m)) effects of a
13
14 ligand on a quadruplex in the presence of large excess of duplex DNA are valuable in that
15
16 they have relevance to the nucleic acid biological context. Duplex nucleic acid affinity leads
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18 to non-specific cell toxicity; the fact that many quadruplex-binding small molecules have
19
20 features that at first sight resemble, in particular, classic intercalative compounds, with
21
22 implications of such off-target toxicity, should not deter further studies on them. It is notable
23
24 that most of the quadruplex-binding compounds with reported anti-cancer activity in
25
26 xenograft models, are based on classic polycyclic heteroaromatic cores, notably compounds
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28 (6),⁸⁹ (10)^{128,286}, (16),¹⁶⁸ (21)¹⁸¹ and EMICORON (N,N-bis[2-(1-piperidino)-ethyl]-1-(1-
29
30 piperidinyl)-6-[2-(1-piperidino)-ethyl]-benzo[ghi] perylene-3,4:9,10-tetracarboxylic
31
32 diimide.²⁸⁷ This suggests that even if their genomic quadruplex selectivity is modest, it is
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34 sufficient to down-regulate key oncogenic targets.
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40 Is it therefore necessary in practice to be confident that a single gene is being targeted at
41
42 the promoter or UTR level by a small molecule? The experience of cancer therapeutics over
43
44 the past 25 years suggests that in reality this does not matter as long as one can be confident
45
46 of among the major quadruplex targets is a driver gene or genes that play a key role in the
47
48 maintenance and progression of a particular cancer cell type. The oncogene addiction
49
50 hypothesis^{288,289} states that some cancers rely on the dominant action of an individual
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52 oncogene for growth and viability, and that these are appropriate targets. In principle, then
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54 targeting aberrant telomere maintenance, promoter or 5'-UTR quadruplexes may be a fruitful
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56 way of demonstrating the importance of this hypothesis, and arriving at novel drugs for the
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3 treatment of human cancers. The quadruplex approach holds out the promise of
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5 circumventing the challenges of targeting “undruggable” targets such as c-MYC and RAS at
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7 the protein level. The next few years will determine whether this promise becomes a reality
8
9 as chemical-based approaches are increasingly combined with new biological tools in order
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11 to interfere with quadruplex function.
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13 14 15 **AUTHOR INFORMATION**

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26 27 **Notes**

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30 The author declares no competing financial interests.
31

32 33 **Biography**

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35
36 **Stephen Neidle** is an Imperial College London graduate (BSc chemistry, PhD, DSc). He
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38 started his independent academic career at the Department of Biophysics at King' College
39
40 London, becoming one of the first Cancer Research Campaign Career Development Fellows,
41
42 and spent some while at the Fox Chase Cancer Center, Philadelphia working in collaboration
43
44 with Helen Berman. He moved in 1985 to the Institute of Cancer Research UK as a Cancer
45
46 Research Campaign Life Fellow and Professor of Biophysics. In 2002 he was appointed to
47
48 the Chair of Chemical Biology at the School of Pharmacy, University of London (now part of
49
50 University College London). Stephen Neidle's major research interests are in drug design and
51
52 discovery involving nucleic acid-small molecule interactions applied to cancer, anti-infective
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54 and neuro-degenerative diseases.
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ABBREVIATIONS USED

hTERT, human Telomerase Reverse Transcriptase; BCL-2, B-cell Lymphoma 2; HIV, Human Immunodeficiency Virus; NEF, Negative Regulatory Factor; BRCA1, 2, Breast Cancer tumour suppressor genes; MYC, avian Myelocytomatosis viral oncogene homolog; TRF1, 2, Telomeric Repeat Factor 1, 2; hPOT1, human Protection of Telomeres; RB, RetinoBlastoma; TERRA, Telomeric Repeat-containing RNA; ALT, Alternative Lengthening of Telomeres; PARP, Poly (ADP-Ribose) Polymerase; ATM, Ataxia Telangiectasia Mutated; ATR, Ataxia Telangiectasia and Rad3-related; SRC: Rous Sarcoma; RTEL1, Regulator of Telomere Elongation Helicase 1; NHE: Nuclease Hyper-responsive Element; h, k, n-RAS, Harvey, Kirsten sarcoma virus, neuroblastoma Rat Sarcoma ;FANCI, Fanconi Anemia group J protein; XPB, D: Xeroderma Pigmentosum types B, D; VEGF, Vascular Endothelial Growth Factor; PDB, Protein Data Bank; RET, Rearranged during Transfection

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Figure legends**Figure 1**

- a. Stick representation of a guanine G-quartet, with hydrogen bonds shown as dashed lines. A potassium ion at the centre of the quartet is also shown. This is coordinated to O6 atoms of the quartet and also to those of the stacked adjacent quartet.
- b. Representation of the assembly of an intramolecular quadruplex from a human telomeric DNA sequence (PDB id 1KF1)²³⁵, illustrating the donation of one guanine from each of the four G-tracts to form an individual G-quartet. Three quartets stack together to form this quadruplex, linked by propeller loops

Figure 2

- a. Cartoon representation of a quadruplex (PDB id 1JPQ), with various features highlighted and labelled. The stack of four G-quartets constitute the quadruplex core. Guanine bases are coloured blue. The loops contain thymine bases, coloured cyan.
- b. View of the same quadruplex, now looking down onto the quartet plane.

Figure 3

A cartoon view of the DEAH helicase peptide-quadruplex complex, as determined by 2D-NMR.²²⁵ The α -helix core of the peptide is shown sitting on an external G-quartet face (PDB id 2N21).

Figure 4

A view of a (3+1) hybrid human telomeric quadruplex, determined by 2D-NMR (PDB id 2HY9).²³¹

Figure 5

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3 View showing the crystal structure²⁴¹ of an intramolecular human telomeric DNA G-
4 quadruplex bound by the tetra-substituted naphthalene-diimide compound (**16**), shown in
5 stick form (PDB id 3UYH).
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10 **Figure 6**

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13 Two orthogonal views of the 2D-NMR structure²⁴⁶ of a complex between a human telomeric
14 quadruplex and a telomestatin analogue (**23**), shown in stick representation (PDB id 2MB3).
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19 **Figure 7**

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22 Cartoon view of the parallel quadruplex formed from a sequence in the human VEGF
23 promoter (PDB id 2M27), determined by 2D-NMR.²⁵⁶
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28 **Figure 8**

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31 Cartoon view of the high-resolution crystal structure⁴³ for a quadruplex formed from a
32 sequence in the *c-KIT* promoter (PDB id 3QXR).
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36 **Figure 9**

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39 View of the 2D-NMR structure²⁶⁰ of a *c-MYC* promoter quadruplex, with bound
40 (bisquinolinium) phenanthroline Phen-DC₃ (PDB id 2MGN), projected onto the plane of the
41 G-quartets. Note the extensive π - π overlap between the four guanines of the terminal quartet
42 and the two quinolinium and phenanthroline moieties.
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49 **Figure 10**

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52 A molecular model for Phen-DC₃ intercalated between two Watson-Crick base pairs in
53 duplex DNA. Note that although the phenanthroline group is stacked between the base pairs,
54 the two quinolinium groups are necessarily completely protruding into solvent and do not
55 make any contacts with the DNA.
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Figure 11

View of the 2:1 complex between a mono-substituted quindoline compound²⁶¹ (shown in stick representation) and a *c-MYC* promoter complex, determined by 2D-NMR (PDB id 2L7V).

Figure 12

Co-crystal structure²⁶² of the RNA quadruplex which is the target of the Fragile X Mental Retardation Protein (FMRP) bound to the arginine-glycine-rich (RGG) motif of the protein. The close-up view shows the part of the RNA stem and quadruplex, in cartoon form, together with the peptide (with the β -turn and backbone in cartoon form and side-chain atoms in stick form), bound onto a mixed quartet surface at one end of the quadruplex.

Figure 13

- a. Close-up view of the crystal structure²⁶⁴ (PDB id 4Q9R) RNA quadruplex region of the RNA aptamer mimicking green fluorescent protein, bound to a substituted hydroxybenzylidene imidazolinone molecule (shown in space-filling mode).
- b. Close-up looking onto the plane of the G-quartets, showing the overlap between the bound hydroxybenzylidene imidazolinone molecule (in stick form) and the guanines.

Figure 1a

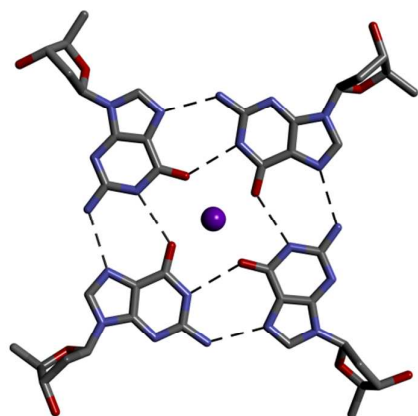


Figure 1b

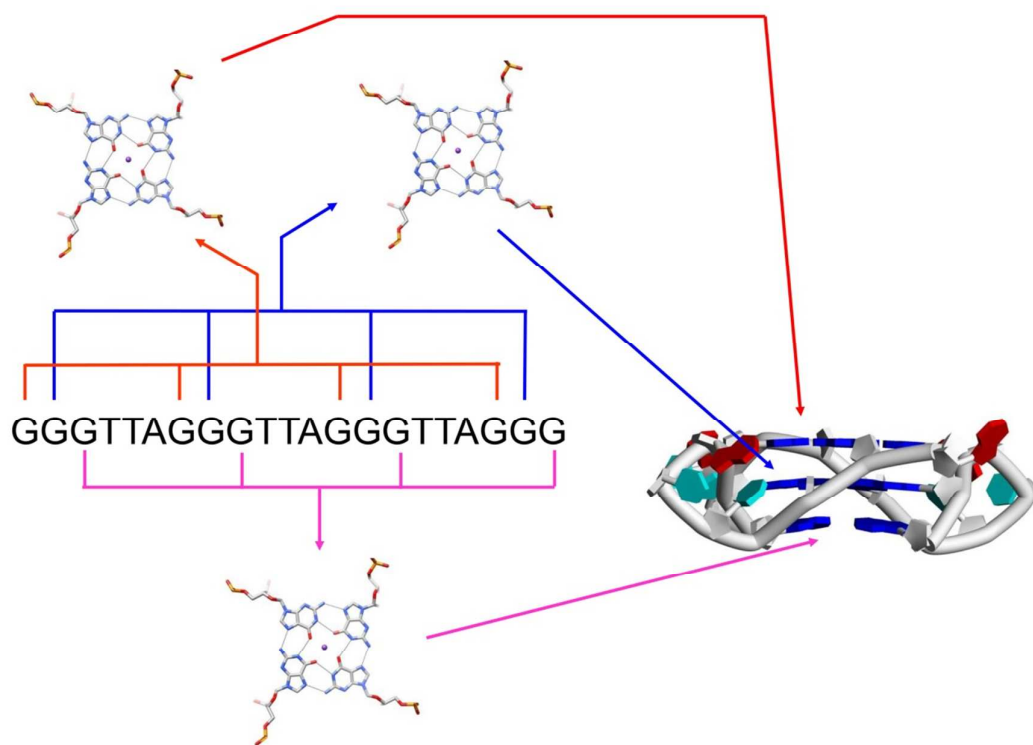


Figure 2a

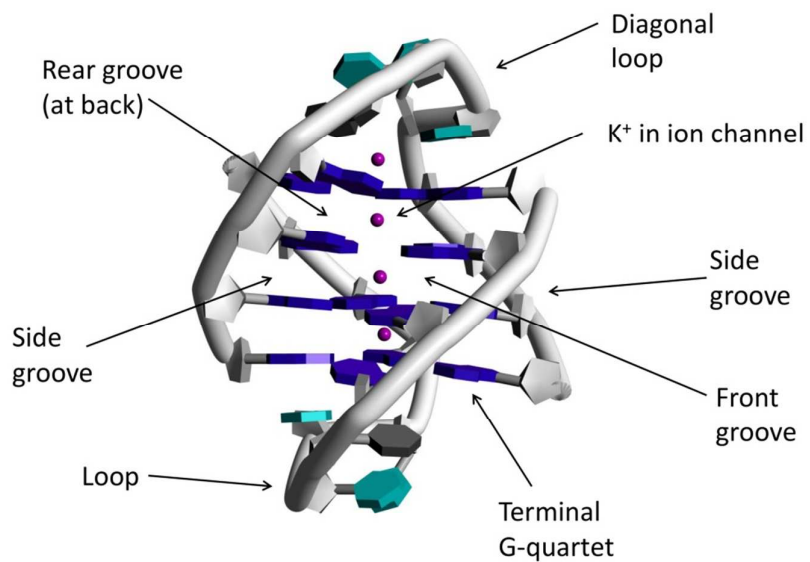


Figure 2b

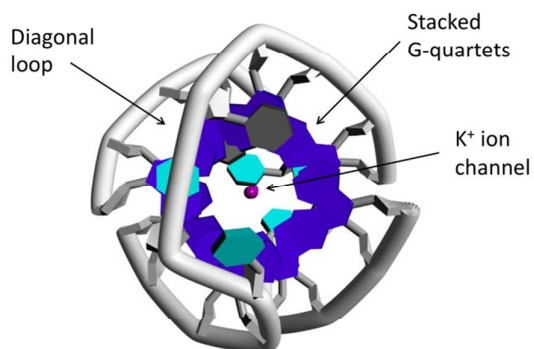


Figure 3

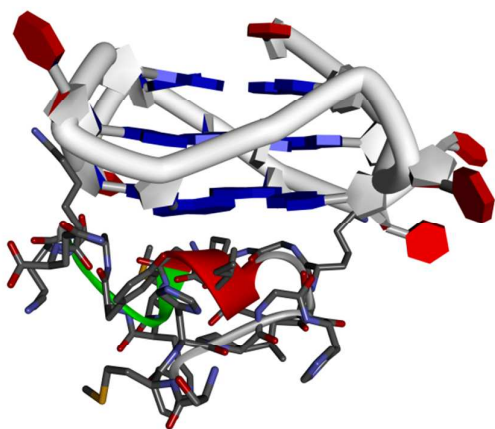


Figure 4

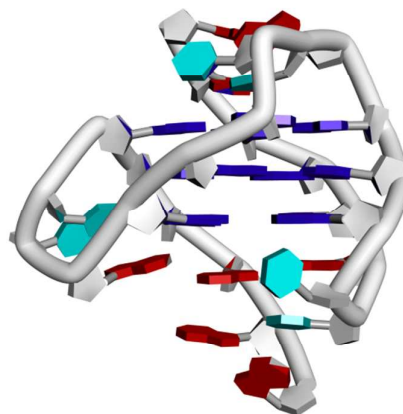


Figure 5

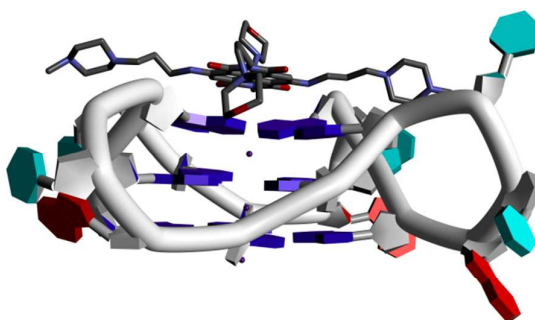


Figure 6

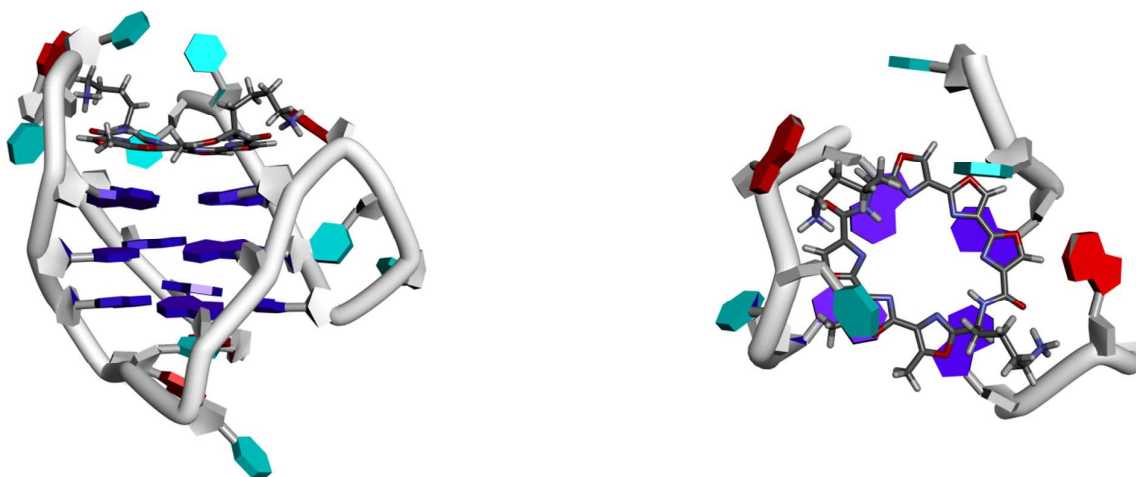


Figure 7

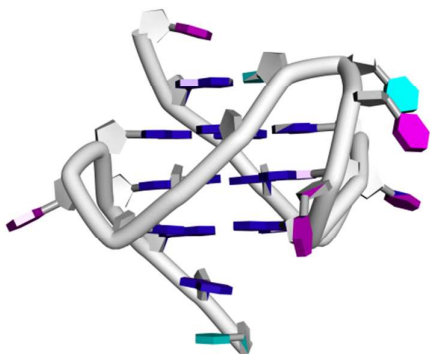


Figure 8

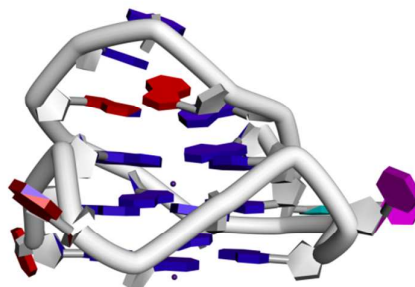


Figure 9

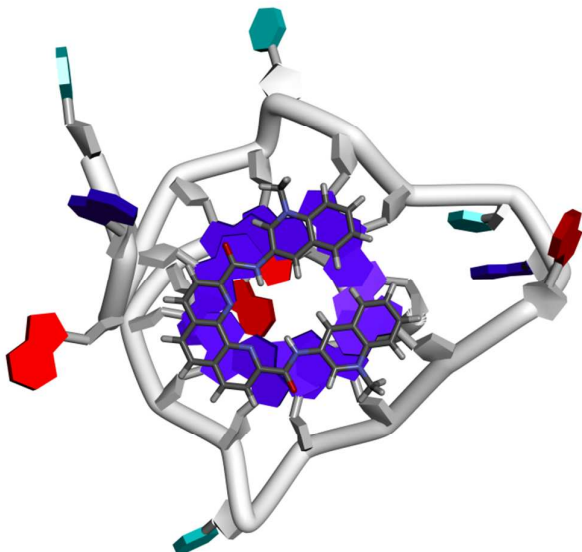


Figure 10

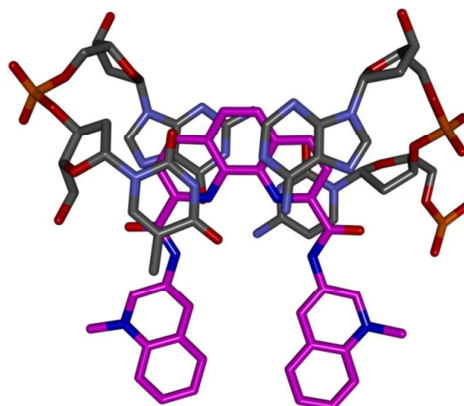
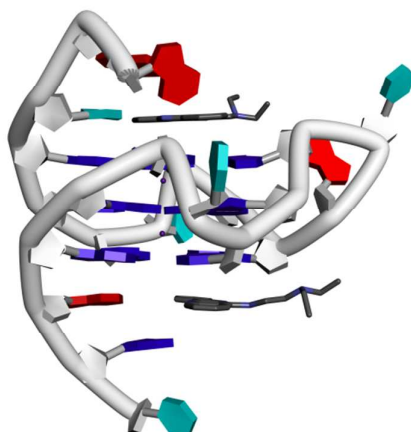


Figure 11



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Figure 12

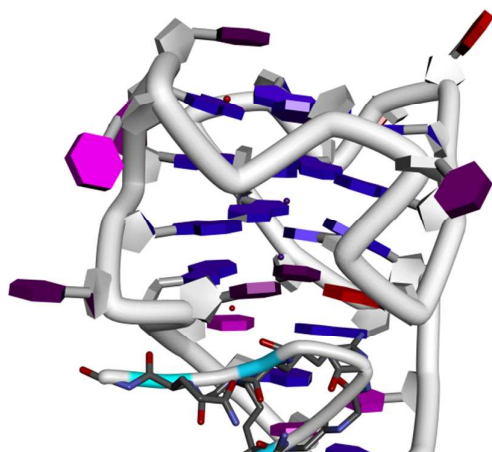
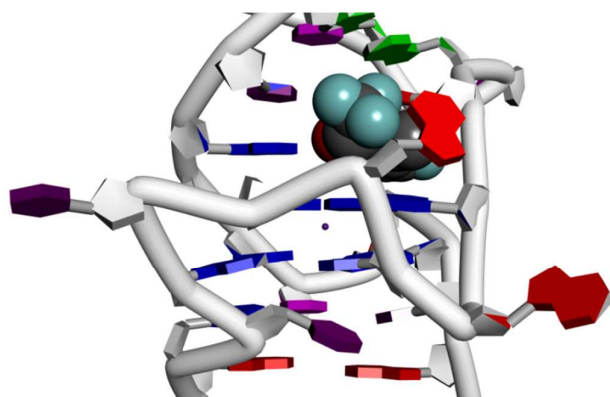
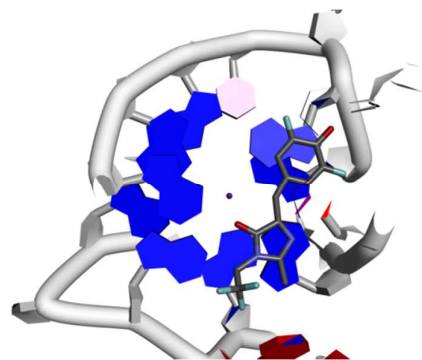


Figure 13

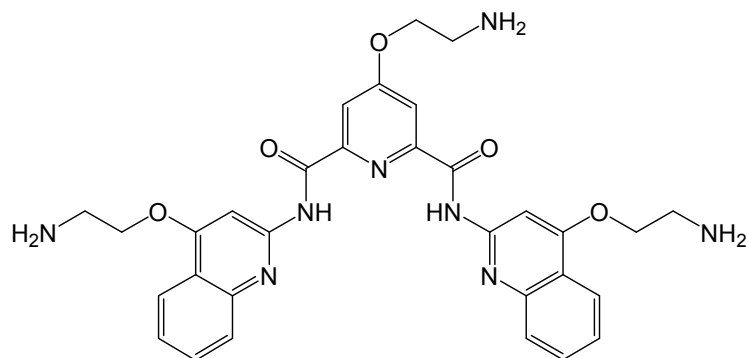
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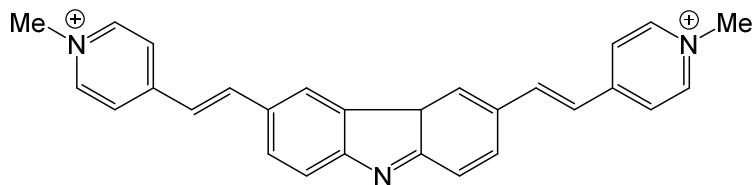
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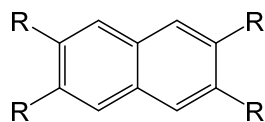
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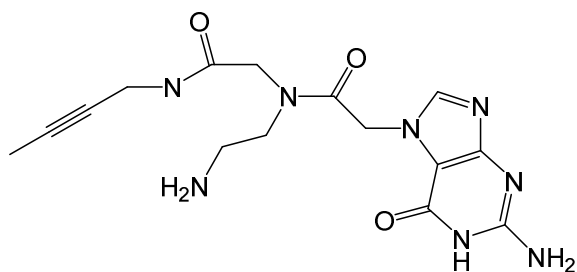
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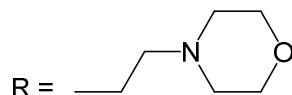
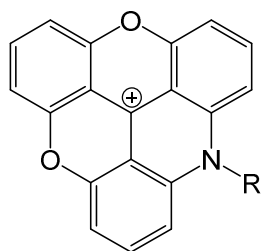
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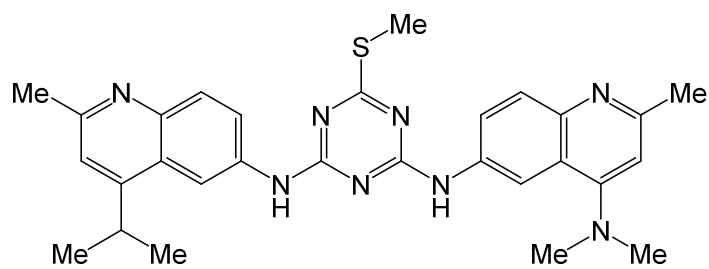
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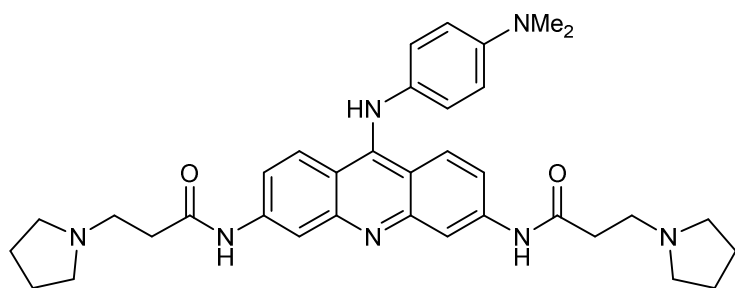
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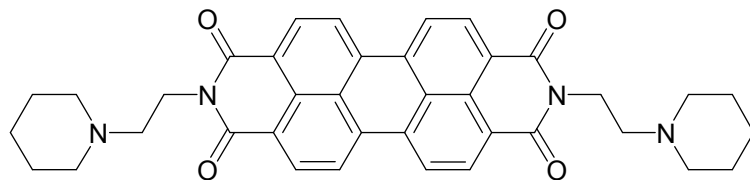
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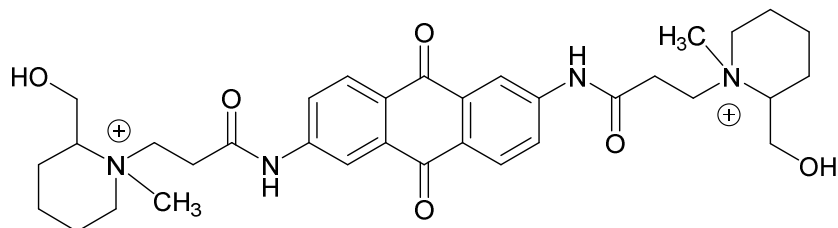
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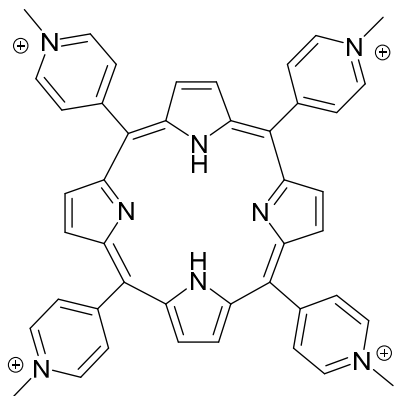
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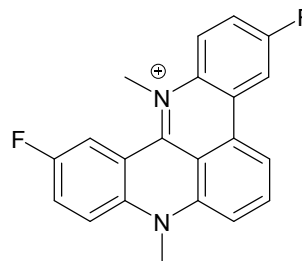
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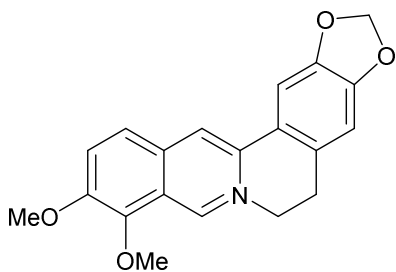
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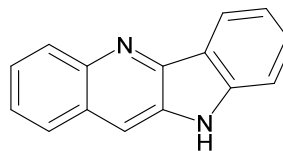
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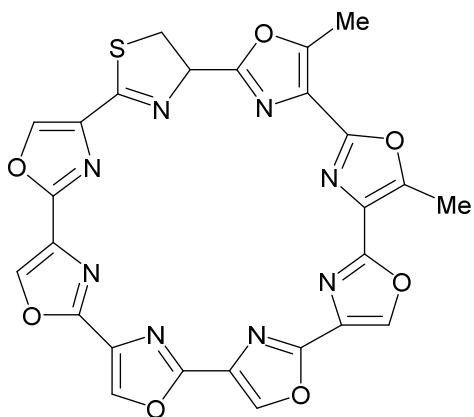
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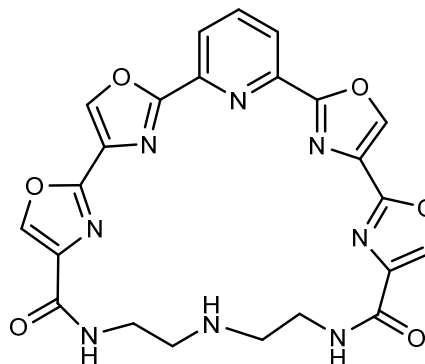
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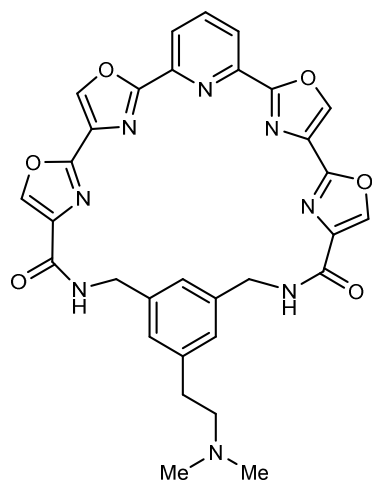
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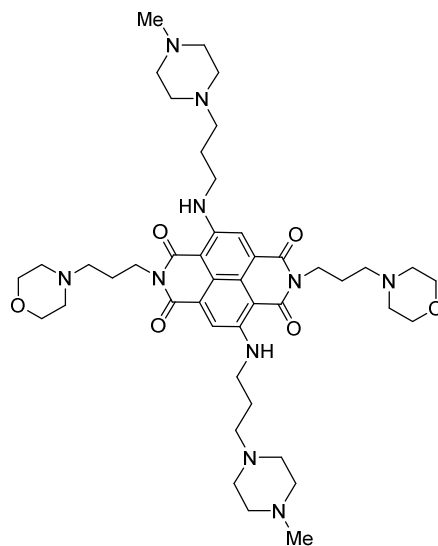
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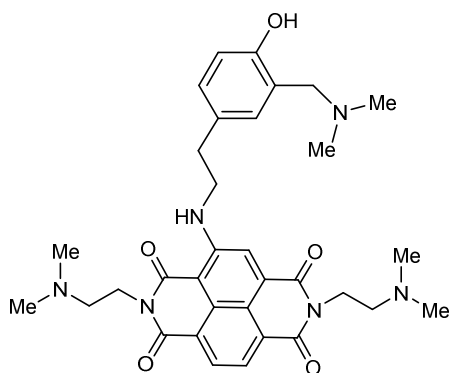
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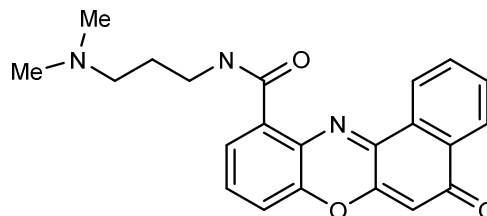
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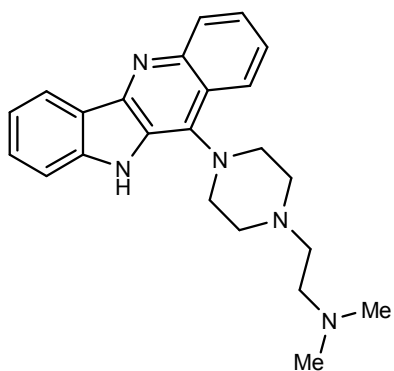
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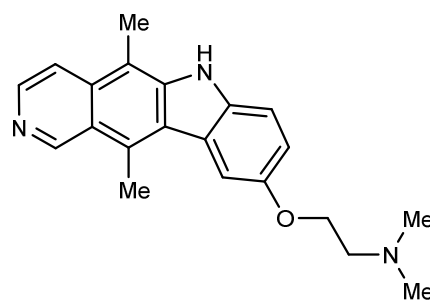
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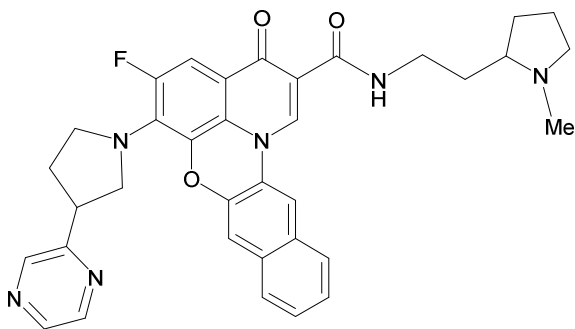
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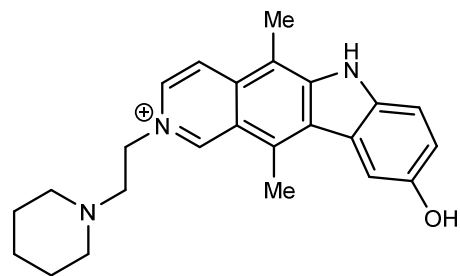
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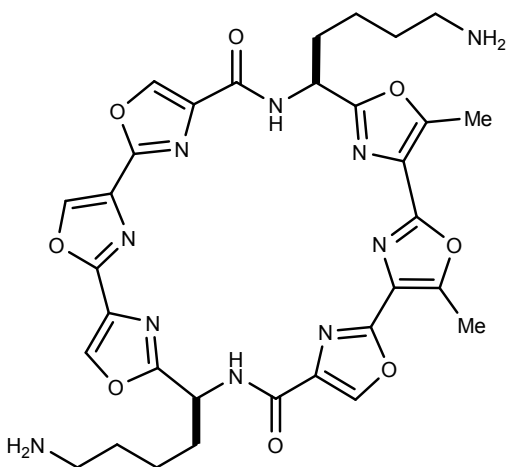
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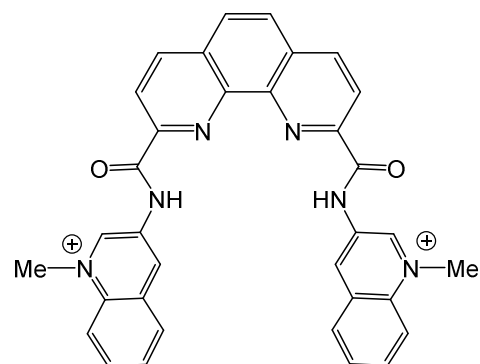
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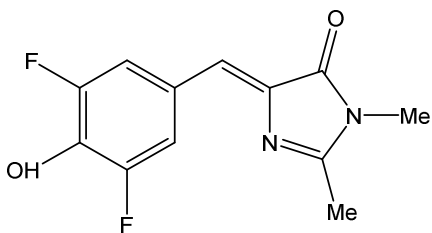
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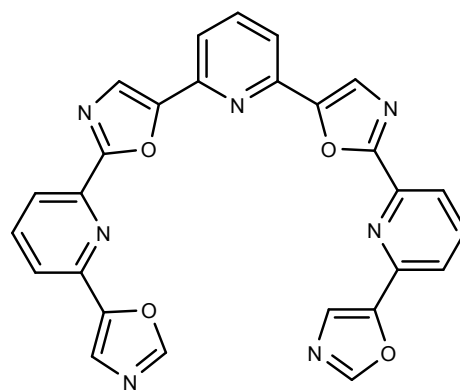
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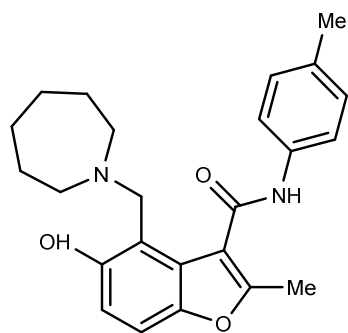
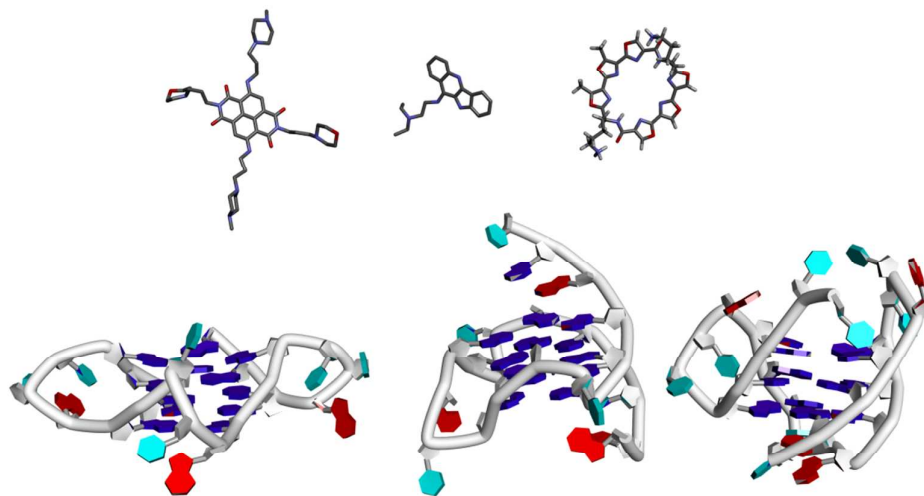


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