

1 Intact Grammar in HFA? Evidence from Control and Binding

3 Abstract

4 This study contributes original results to the topical issue of the degree to which
5 grammar is intact in high-functioning children with autism (HFA). We examine the
6 comprehension of binding and obligatory control in 26 HFA children, mean age=12;02,
7 compared with two groups of younger typically developing (TD) children: one matched
8 on non-verbal mental age (MA), mean age=9;09, and the other on verbal MA, mean
9 age=8;09. On the binding task, our HFA group showed a good performance on
10 reflexives on a par with TD matched children, in line with recent reports of intact
11 knowledge of reflexive binding in higher but not lower-functioning children with autism.
12 Their comprehension of personal pronouns was somewhat poorer, with no difference
13 observed between the groups, again supporting the existing literature. Results on the
14 control task, which probed mastery of syntactic relations never previously examined
15 in autism, revealed that both HFA children and the two matched TD groups were at
16 ceiling on single-complement subject control (*try*) and object control (*persuade*).
17 However, a considerably poorer attainment on double-complement subject control
18 (*promise*) was present equally in the HFA group and the verbal MA-matched TD group
19 but not in the non-verbal MA-matched group. Performance on *promise* correlated with
20 age only in the verbal MA-matched group, whilst in HFA it correlated with general
21 cognitive and language abilities.

22 These novel findings demonstrate that regular obligatory control and reflexive binding
23 are preserved in HFA. We contrast these results with previous literature that has
24 demonstrated deficiencies with passives and raising in HFA populations. The
25 emerging bifurcation suggests different analyses for the principles underlying these
26 constructions: whereas the latter incorporate movement, control and binding do not.
27 The poor performance on *promise* supports all previous literature on this lexically and
28 syntactically idiosyncratic construction. Its breaking of locality, which in turn results in
29 a conflict between lexical and syntactic requirements, is exceptional and introduces
30 an extra step of learning. This step appears to be related to maturation in TD children,
31 and to stronger language and cognitive skills in HFA children.

33 KEY WORDS: Autism, Syntax, Control, Binding

34 1. Introduction

35 In this paper we investigate comprehension of two examples of grammar in a group of
36 high-functioning children with autism (HFA)¹: obligatory control and binding. Autism
37 Spectrum Disorder (ASD) is a lifelong developmental disability affecting social
38 communication and interaction, associated with restrictive interests and behaviours,
39 which are not a result of a global developmental delay or cognitive disability (American
40 Psychiatric Association, 2013). Individuals with ASD are amply documented as having
41 consistent difficulties with pragmatic aspects of language (e.g. Tager-Flusberg and
42 Anderson, 1991; Happé, 1993; Norbury, 2005; Rundblad and Annaz, 2010), yet their
43 level of grammatical competence has not been clearly established as investigations
44 on complex syntactic structures in this population are still sparse. The heterogeneity
45 in the cognitive and linguistic abilities in this population makes it yet more difficult to
46 draw precise conclusions about their syntactic knowledge. Studies have reported
47 different results for children who are high-functioning (HFA) from those who are low-
48 functioning (LFA) (Boucher, 2009), or for children who have a language impairment
49 (ALI) against those whose language is normal (ALN) (Tager-Flusberg, 2006). Recent
50 experimental work points to certain advanced syntactic structures being problematic
51 in both children and adults with ASD. Interestingly, all of these structures involve
52 relations where the position that a phrase is interpreted differs from the position that
53 the phrase is pronounced. That is, they all involve movement.² In a sentence repetition
54 task, Riches, Charman, Simonoff and Baird (2010) found that English-speaking
55 teenagers with ALI made significantly more errors than age-matched typically
56 developing (TD) children on subject and object relative clauses. A severe difficulty in
57 the comprehension of subject and object relative clauses is reported in Durrleman and
58 Zufferey (2013) in HFA French-speaking adults, while Zebib, Tuller, Prévost and Morin
59 (2013) found that French-speaking children with ASD would avoid fronted wh-
60 questions in an elicitation task by opting for a more simple alternative (e.g. wh-in situ)
61 whenever possible. These three studies focused on dependencies that involve A-bar

¹ High-functioning autism (HFA) usually refers to individuals diagnosed with ASD whose IQ is above 80, though some studies use a lower benchmark of IQ of 70 and above.

² The framework adopted here is that of generative grammar. For introduction and definition of relevant terminology the reader is referred to texts such as Radford (2004); Cook and Newson (2007); Isac and Reiss (2013).

62 movement, however, constructions involving Argument movement (from here on A-
63 movement), such as passives and raising, have also been reported to cause children
64 with ASD difficulty.³ Severely compromised comprehension of passives was revealed
65 in an early study by Tager-Flusberg (1981) and confirmed more recently in Perovic,
66 Modyanova and Wexler (2007). The latter study also reported a deficient
67 comprehension of raising in their sample of children with ASD. At this point then we
68 can see that the few studies conducted in this area have shown that a number of
69 constructions represented in standard formal theories as involving movement seem to
70 be causing difficulty to individuals with ASD. These involve A and A-bar dependencies,
71 as well as local and non-local movement, and children across the high- and low-
72 functioning divide have exhibited problems with these relations.

73

74 A construction that appears not to cause any interpretative difficulties in autistic
75 children at the higher-functioning level of the spectrum is that of reflexive binding, a
76 local syntactic relation which does not involve movement. Perovic, Modyanova and
77 Wexler (2013a, 2013b) report an impaired comprehension of reflexives (*himself*,
78 *herself*) in their sample of English-speaking children with LFA, who also had an
79 established language impairment, but an intact interpretation of these elements in an
80 age-matched sample of children classified as HFA, with no accompanying language
81 impairment. Thus we now have an example of syntax which is not derived by
82 movement that is preserved in children with HFA.

83

84 This brief review of experimental research into the mastery of argument dependencies
85 in the grammar of individuals with autism highlights a number of points. Firstly, it
86 illustrates that more research on higher levels of grammatical ability is crucial to the
87 question of if and how the autistic profile impacts upon grammatical development. The
88 present study represents a contribution in this respect. It takes a hitherto unresearched
89 area of grammar in this population, namely obligatory control, and asks, using the
90 same paradigm as that for binding, raising and passives, whether HFA children exhibit

³ In A-movement, a phrase moves to an argument position (e.g. in the passive, an object moves to the subject position). In A-bar movement, a phrase moves to a non-argument position (e.g. in wh-movement, an object moves to the left periphery of the clause. See e.g. Rizzi (2013) for further explanation of these terms.

91 any problems with its comprehension. Theoretical accounts of obligatory control differ
92 according to whether they propose a movement-based analysis or not (see Hornstein,
93 2001; Boeckx and Hornstein, 2004 for movement-based analyses and Manzini, 1983;
94 Landau, 2000; Janke 2007 for non-movement-based approaches and Kirby, Davies
95 and Dubinsky 2010a for a review of some of the issues relevant to movement vs. non-
96 movement approaches). Thus the second point of interest is theoretical. The degree
97 to which our current participants succeed with obligatory control will contribute to the
98 debate surrounding its classification. If it is not movement-based, our HFA participants'
99 performance on the construction should pattern more closely with that found for
100 binding, rather than revealing the same deficiencies as those found for raising and
101 passives. This is because aside from not involving movement, binding and obligatory
102 control share other fundamental syntactic properties (see Manzini, 1983; Koster,
103 1987).

104 In the next subsection, we set out the properties of binding and relay the acquisition
105 trajectory of these constructions in typical development. In section 1.2, we do the same
106 for obligatory control. This will take us to section 1.3, where we form our predictions
107 with respect to the current study.

108

109 *1.1 Binding and its acquisition*

110 Pronominal elements include reflexives (e.g. himself/herself) and personal pronouns
111 (e.g. him/her). Both elements are anaphoric, in that they depend upon a referential
112 antecedent for their interpretation, but they differ in terms of the conditions that
113 regulate this interpretative dependency. In standard formal theory, the regulations are
114 stated as a set of conditions under which a reflexive or pronoun can be bound by an
115 antecedent (see Chomsky, 1986). The conditions regulating reflexives demand a local,
116 c-commanding antecedent for the reflexive.⁴ These properties are illustrated in (1a)
117 and (b) respectively. In (1a), the indices indicate that only the most local argument
118 (John) can be linked to the reflexive, whereas (1b) shows that a non-c-commanding
119 antecedent cannot be linked to the reflexive. C-command is a principle that captures
120 the requirement that an antecedent occur in a structurally higher position in a sentence
121 than its dependent. By embedding the noun, *brother*, in a possessive construction, this

⁴ A formal definition of c-command is such that a constituent, 'X', c-commands a constituent, 'Y' if Y is sister to X or contained within X's sister.

122 structural superiority is broken. Pronouns contrast with reflexives in exhibiting an anti-
123 locality requirement. If a pronoun takes a sentential antecedent, that antecedent must
124 not be in a local relation with it: in (1c), the pronoun can refer to Peter or an external
125 referent but not to John.

126

- 127 (1) a. Peter₁ said that John₂ should wash himself *_{1/2}
128 b. Peter₁'s brother₂ washed himself*_{1/2}
129 c. Peter₁ said that John₂ should wash him_{1/*2/3}

130

131 Children interpret reflexives accurately by the age of about four, however, pronouns
132 can continue to cause difficulty even at the age of six (Jakubowicz, 1984, Chien and
133 Wexler, 1990). The original methodology (i.e. the truth value judgment task) and the
134 results of early studies have been disputed more recently (Conroy, Takahashi, Lidz
135 and Philips, 2009), however, the finding of a differential comprehension of reflexives
136 versus pronouns has been reported consistently across a range of languages (e.g.
137 French, Russian, Icelandic, Dutch - see Guasti, 2004, for a comprehensive review as
138 well as a discussion of clitic languages, where the effect has not been observed), and
139 with different methods (e.g. forced-choice picture selection: van den Akker, Hoeks,
140 Spenader and Hendriks, 2012).

141 The phenomenon of worse interpretation of pronouns as opposed to reflexives can be
142 understood by looking further at the differing principles underlying these elements'
143 regulation. The interpretation of reflexives is uniform in being regulated syntactically
144 only. Under the structural configuration mentioned above, they are always interpreted
145 as bound variables. In contrast, pronouns can either be bound variables or elements
146 regulated by coreference.⁵ In the former instance, the relation is syntactically
147 determined but in the latter, they are regulated by pragmatic or processing constraints
148 (see Chien and Wexler, 1990 for a pragmatic account; Grodzinsky and Reinhart, 1993
149 for a processing account). In their extra-syntactic guise, pronouns will be liable to
150 failure and this extra level of complexity translates into later acquisition in TD.

151

⁵ The difference between binding and co-reference is further observed in studies which have investigated children's interpretation of pronouns when bound by quantified antecedents, e.g. in 'Every bear_i is washing her_i'. Here the co-referential reading is not available and the pronoun is successfully interpreted by children as a bound variable (see Guasti, 2004, for a review of relevant literature).

152 The contrast in the acquisition of reflexives and pronouns in TD has also been
 153 observed in clinical populations, though it may go in the opposite direction, with
 154 reflexives being more difficult to interpret than pronouns. The work undertaken on
 155 reflexive binding suggests the construction could serve as a litmus test for a
 156 grammatical deficit in a population. Groups known for their grammatical strengths
 157 relative to their other cognitive impairments, as, for example, Williams syndrome,
 158 perform well on tasks assessing reflexive comprehension (see Perovic et al. 2007;
 159 Perovic et al. 2013b; Ring and Clahsen, 2005). Those groups for whom
 160 morphosyntactic deficits are well documented, however, exhibit problems on these
 161 same tasks (for Down syndrome, see Perovic 2004, 2006; Ring and Clahsen 2005;
 162 Sanoudaki and Varlokosta 2014; for LFA children see the references mentioned
 163 above). Interestingly, no group differences have been revealed for pronoun
 164 interpretation: children with ASD, regardless of their high- or low-functioning
 165 classification, demonstrated the same variability in their performance as that of the TD
 166 children against whom they were matched.

167
 168 In the next sub-section, we turn to obligatory control, which we will see exhibits a
 169 substantial overlap with reflexive binding in terms of its syntactic principles yet includes
 170 further components that need to be integrated during acquisition, which culminate in
 171 a more complex learning task.

172
 173 *1.2 Control and its Acquisition*
 174 Like reflexives, the understood subject in obligatorily controlled complements must
 175 have a local, c-commanding antecedent (see Manzini, 1983; Cohen Sherman and
 176 Lust, 1993; Goodluck, Terzi and Diaz, 2001). This can be seen in (2), where in (a),
 177 locality permits only 'Peter' to be interpreted as the potential dog walker and in (b),
 178 only 'John's brother' (and not 'John') can be, since only the whole possessive NP c-
 179 commands into the infinitival clause.

180
 181 (2) a. John told Peter_i [_{ec} to walk the dog]. OBJECT CONTROL
 182 b. John's brother_i tried [_{ec} to walk the dog] SINGLE-COMPLEMENT
 183 SUBJECT CONTROL
 184

185 These two sub-types of obligatory control are produced by children as young as three
186 years of age but at five, children still alternate at the level of chance between subject-
187 and object-oriented interpretations of object control, indicating acquisition is not yet
188 complete (see Kirby, Davies and Dubinsky, 2010b for a recent review of the acquisition
189 literature). Studies have also shown that young children will look beyond the sentential
190 arguments when assigning a referent to the *ec* in obligatorily-controlled complements.
191 McDaniel, Cairns and Hsu (1990/1), for example, identified a group of children
192 between the ages of 3;9 and 5;4 who permitted an arbitrary interpretation of the *ec* in
193 object-control structures.⁶ Of further interest is that given the appropriate discourse
194 environments, children appear not to stop at arbitrary referents. Some five-year-old
195 children, for example, have been found to bypass the obligatory syntactic antecedent
196 for the *ec* in obligatory control environments in favour of a sentence-external referent
197 if that referent has been mentioned in the preceding discourse (Eisenberg and Cairns,
198 1994). This was more prevalent in structures with one main-clause argument (Grover,
199 in a) rather than two (Big Bird and Ernie, in b).

200

- (3) a. Grover decides [*ec* to pat Big Bird].
b. Big Bird tells Ernie [*ec* to jump over the fence].

201

202 From these works, we can see that reflexives and obligatory control do not develop
203 absolutely in tandem. Control appears to lag a little behind. If we pay attention to what
204 distinguishes these constructions, too, we can see why control might provide a greater
205 learning challenge. A reflexive is always a direct argument of a transitive verb. In this
206 configuration it is strictly anaphoric so its interpretation is entirely predictable once this
207 structural requirement has been grasped. In obligatory control, however, a child needs
208 to determine which verbs, out of a set of transitive verbs, select for controlled
209 complements (see C Chomsky, 1969; Cohen Sherman and Lust, 1993; Guasti, 2004).
210 A further complication is that a verb the child has encountered as an obligatory-control
211 verb in one instance can also occur with a different kind of complement, where the
212 relation is not obligatory control, in another (see Goodluck et al. 2001 for a discussion

⁶ The reader is referred to the original paper (especially pages 302-306 and 323) for the authors' justifications for why the children's interpretations were classified as arbitrary rather than specific external ones.

213 of this issue in Greek and Spanish children). This can be seen in (4a), which shows a
214 prototypical control verb (tell) with its controlled complement, whose *ec* carries the
215 object-oriented interpretation. Yet that same verb can combine with a clause which
216 has a verbal gerund subject, whose *ec* is not restricted in the same way (4b). The *ec*
217 in this type of construction can host a number of interpretations, including sentence-
218 external ones, under the appropriate discourse conditions (see Bresnan, 1982; Janke,
219 2007; Janke and Perovic, accepted).

220

- 221 (4) a. Peter told John_i [*ec*_i to read the book].
222 b. Peter_i told John_j that [[*ec*_{ij/k} to read/reading the book slowly] was a
223 mistake].

224

225 This alternative possibility opens up a further learning task for the child. Obligatory
226 control is a member of a wider set of control relations, whose understood subjects
227 differ in terms of how their interpretations are secured. Within obligatory control, they
228 conform to a set of structural requirements, and when these are met, their
229 interpretations are predictable (c.f. ‘promise’, which we discuss below). But there is
230 also a class of control constructions which is not obligatory. In these instances, the
231 reference of the understood subjects can be discourse determined, as in (4b and 5)
232 or arbitrary, as in (6).

233

234 (5) A: The headmaster phoned.

235 B: What did he say?

236 A: He said [*ec*_A to introduce yourself_i to the class before he arrives]

237 (Janke, 2007:181, no 65)

238 (6) A: Did you lock the door?

239 B: Oh, I’ve nothing [*ec*_{arb} to steal]. (Perovic and Janke, 2013:5; no 5b)

240

241 Unlike obligatory control, these non-obligatory-control structures are open to
242 pragmatic manipulation. Interpretations are decided on the basis of a contextual cue,
243 as shown by Bresnan (1982) for controlled verbal-gerund subjects.

244

245 (7) Tom_i felt sheepish. [*ec*_i Pinching those elephants was foolish].

246

247

(Bresnan, 1982)

248

249 As the topic of the sentence preceding the non-finite clause, 'Tom' provides the
250 pragmatic lead to the *ec*'s reference (see also Reinhart, 1981, and Samek-Lodovici,
251 1996). The flexibility in terms of referent choice for non-obligatory control relates back
252 to what is observed in early research on its obligatory counterpart (as in Eisenberg
253 and Cairns above). The five-year-olds who permit sentence-external readings seem
254 to have a wider set of constructions from which to narrow down to obligatory control
255 and they haven't yet reached an adult grammar in which obligatory control is resilient
256 to pragmatic interference. Once the structure of a controlled clause is built, the *ec* must
257 receive a specification. If selected by a control verb, this will come from a designated
258 argument in the main clause but if not, the value attributed to it can be arbitrary (where
259 the value is minimal, such as +animate; see Haegeman, 1994) or become specific,
260 given the right discourse conditions (see Ariel, 1988, 2000). The greater number of
261 interpretative possibilities in control suggests an extra level of complexity in the
262 learning task for obligatory control than that which exists for reflexive binding.

263

264 The last sub-type of control that is relevant to our current study is rather different from
265 the regular examples of obligatory control shown in (2a and b) above, and notorious
266 for the difficulty it causes in acquisition. This is double-complement subject control,
267 represented almost exclusively by the verb 'promise'. In this construction, the locality
268 principle otherwise strictly adhered to (see Rosenbaum, 1967) is broken and the child
269 must work out that for this rogue sentence, the object is skipped in favour of the
270 subject:

271

272 (8) John₁ promised Peter₂ [*ec*₁to walk the dog] DOUBLE-COMPLEMENT SUBJECT
273 CONTROL

274

275 There is, as demonstrated in Cohen Sherman and Lust (1986), a conflict between the
276 lexical and structural principles associated with 'promise', principles which need to be
277 reconciled for acquisition to occur. The lexical subject-control property of 'promise'
278 contradicts the unmarked structural requirement in double-complement control

279 structures, namely that the closest c-commanding DP in the matrix clause be the
280 antecedent. In contrast, in object control, the lexical and structural requirements tally
281 with one another.

282

283 As expected on the basis of its idiosyncratic nature, and its breaking of an already
284 acquired principle, the promise construction is acquired late. Children up to the age of
285 ten can still falter on this example of control (see C Chomsky, 1969; Tavakolian, 1978;
286 Pinker, 1984; Hsu et al. 1989; Eisenberg and Cairns, 1994; Kirby et al. 2010).

287

288

289 *1.3 The Current Study*

290 If we use the literature on binding and obligatory control in TD as a benchmark against
291 which to measure our HFA children's progress, we can form some expectations with
292 regard to their performance in the current study.

293

294 We have seen that performance on binding in ASD is mixed. The picture emerging is
295 that children classified as LFA do exhibit problems in this area of grammar, however,
296 HFA children perform on par with their non-verbal MA-matched peers. Following this
297 literature, we expect that our HFA participants will exhibit a level of comprehension of
298 reflexives and pronouns no different to that of their matched controls. Our ability to
299 replicate the aforementioned results on pronouns is particularly important, given the
300 pragmatic deficits for which this population is renowned.

301

302 The literature on the acquisition of binding and control in TD has also shown that
303 reflexive binding is achieved before obligatory control. Specifically, for a short time,
304 children continue to accept an incorrect reference in obligatory control after the age at
305 which they perform flawlessly on reflexive binding. If our HFA children are following a
306 typical trajectory, we expect their performance on reflexive binding and obligatory
307 control to exhibit this same order, namely reflexives prior to obligatory control, or
308 rather, an equal pattern of performance, if they are of an age when both of these
309 constructions are already established in typical development. A pattern that deviates
310 from this order would be one where the HFA children perform worse on reflexives than
311 on obligatory control.

312 Our expectations with regard to performance on obligatory control are more
313 exploratory since there is no work on this construction in ASD yet. We focus on single-
314 complement subject control (e.g. *try*), object control (e.g. *persuade*) and double-
315 complement subject control (e.g. *promise*). The single-complement subject control
316 condition, which is the type of control acquired earliest in TD, will indicate whether
317 children show any propensity to opt for a sentence-external, yet pictorially
318 represented, referent. This task would indicate whether a purely visual distraction of
319 an additional potential referent could lead children away from the obligatory
320 antecedent. For object control, we aim to establish if the children adhere to locality, by
321 disallowing a subject interpretation. Lastly, on the basis of the hypothesis that control
322 is not derived by movement, the children's performance on regular control is expected
323 to be far better than that reported in the HFA literature for structures whose underlying
324 movement operation is uncontroversial, namely passives and raising. For double-
325 complement subject control our question is whether HFA children exhibit similar
326 problems to those witnessed in much younger TD children with respect to its breaking
327 of locality (C Chomsky, 1969; Tavakolian 1978; Cohen Sherman and Lust, 1993). In
328 light of what is known about the course of development of control constructions in TD
329 children, we would like to see if our HFA children's performance suggests that same
330 course, namely: single-complement subject control < object control < double-
331 complement subject control.

332

333 It is possible that the complex learning task of acquiring different types of control
334 constructions be affected by factors such as chronological age and general linguistic
335 and cognitive skills, thus we shall also investigate the effects of these factors in the
336 performance of our samples. This pertains especially to double-complement subject
337 control constructions, whose tokens are rare and whose acquisition requires a
338 resolution of opposing syntactic and lexical requirements. The same possibility
339 extends to pronouns, which are subject to both syntactic and pragmatic constraints
340 and whose acquisition is also delayed in typical development.

341

342

343 **2. Method**

344 *2.1 Participants*

345 Seventy-five⁷ children took part in the study: twenty-six HFA children (4 girls) aged
346 between 7;3-16;4 ($M=12;02$, $SD=2;06$) were matched individually to one group of
347 twenty-four⁸ TD controls (5 girls), aged 6;06-15 ($M=9;09$, $SD=2;04$) on non-verbal
348 reasoning, and matched individually to another group of twenty-five⁹ TD control
349 children (4 girls), aged 5;06-13;01 ($M=8;09$, $SD=2;04$) on verbal MA.

350

351 HFA children were recruited from four specialist schools for children with ASD in
352 greater London, Berkshire and Kent. The clinical diagnosis of ASD¹⁰, a key entry
353 requirement to the school, was made on the basis of either DSM-IV TR (APA, 2000)
354 or ICD-10 (WHO, 1992). None of the children had any hearing impairments or any
355 accompanying deficits (neurological or genetic disorder, such as Rett syndrome,
356 tuberous scleroris, fragile X). Details of the participants' ages and scores on measures
357 of verbal and non-verbal abilities are given in Table 1. Their non-verbal IQ, as
358 measured on the Matrices subtest of the Kaufman Brief Intelligence Test (KBIT)
359 ranged between 82-154, $M=113.65$ ($SD=15.64$). Following the standard literature on
360 HFA classifications, only children whose non-verbal IQ was 80 or above were
361 included. Their scores on standardized tests of verbal abilities were rather
362 heterogeneous, in line with the literature (e.g. Kjelgaard and Tager-Flusberg 2001): on
363 the British Picture Vocabulary Scales II (BPVS II), their standard scores ranged from
364 45 to 121, $M=90.77$ ($SD=23.87$), and on the Test of Reception of Grammar 2 (TROG)
365 from 55 to 116, $M=91.73$ ($SD=18.33$).¹¹ TD controls, with no known developmental

⁷ Two more HFA children were recruited but were excluded from this number for failing to complete the test battery.

⁸ This group consists of 24 participants, as no suitable matches could be found for two HFA children who gained extremely high raw scores on KBIT (44 and 48 out of the possible 48).

⁹ This group consists of 25 participants, as no suitable match could be found for one HFA child with a low raw BPVS score (45).

¹⁰ One of the children had a diagnosis of Asperger syndrome rather than ASD, but since Asperger has been subsumed under the general ASD diagnoses in the latest version of DSM-5, it was decided to collapse both diagnoses in this sample.

¹¹ Despite the wide range of children's standard scores on the tests of grammar (TROG 2) and vocabulary (BPVS II), only three children in our sample could be classified confidently as Autism plus Language Impairment (ALI), having scored at/or nearly at floor on these measures. Their BPVS standard scores were 45 and 47 and their scores on TROG were 53 and 55. A further child could be classified as borderline impaired (Kjelgaard and Tager-Flusberg, 2001) on both measures: 79 on BPVS

366 delays or hearing impairment, were recruited from schools in greater London and
 367 Berkshire. One group of children, TD KBIT, was matched individually to the HFA
 368 children on non-verbal reasoning, as per the raw score on KBIT Matrices, as well as
 369 gender. The other control group, TD BPVS, was matched individually to the HFA
 370 children on verbal MA, as per the raw score on BPVS 2, and gender. Twelve adult
 371 controls from the same geographical regions were also recruited. Their performance
 372 on the experimental task was at ceiling.¹²

373

374 **Table 1.1. Ages and Mean Standard and Raw Scores (Standard Deviation) on Tests**
 375 **of Language and Cognition for all Participant Groups.**

Group	HFA <i>n</i> =26	TD KBIT <i>n</i> =24	TD BPVS <i>n</i> =25
Age in months	147.31 (31.14)	119.21 (28.77)	106.92 (29.55)
Range	88-197	80-180	68-158
KBIT SS	113.65 (21.09)	119.58 (15.63)	-
Range	82-154	88-158	
KBIT Raw Scores	33.96 (7.04)	32.08 (6.13)	-
Range	22-48	21-44	
BPVS-II SS	90.77 (23.87)	-	115.92 (13.99)
Range	45-121		97-149
BPVS-II Raw Scores	100.69 (23.69)	-	102.44 (21.21)
Range	45-137		61-141
TROG-2 SS	91.73 (18.34)	-	-
Range	55-116		
TROG-2 Raw scores	14.69 (4.44)		
Range	4-20	-	-

and 78 on TROG, while two more scored in the severely impaired range on the vocabulary measure (BPVS SS of 54 and 55) but not the grammar measure (TROG SS of 79 and 81). These were not classified as ALI.

¹² In some dialects of American English, *promise*, although always carrying a subject-reading, is a more marked construction. For this reason it was important that our adult participants' interpretations all converged, in their universally accepting the construction and rejecting an object reading.

376

377 Key: KBIT SS = Kaufmann Brief Intelligence Test Standard Scores; BPVS SS = British
378 Vocabulary Scales Standard Scores; TROG SS = Test of Reception of Grammar
379 Standard Scores. Measures on which HFA participants are matched to TD controls
380 are in bold.

381

382 2.2 *Materials*

383 2.2.1 Binding Task

384 To test children's comprehension of binding, we employed a two-choice picture-
385 selection task from Perovic and Wexler (2007) and Perovic et al. (2013a, b), who used
386 it on a large number of typical children and children with developmental disorders such
387 as ASD and Williams syndrome. The pictures, which involved the well-known
388 characters from the Simpson family, were presented on a laptop screen (specific
389 details about the procedure are given at the end of the Methods section, as they
390 pertain to both the Binding and Control tasks).

391 The task included two critical conditions, *Name Reflexive* and *Name Pronoun*, and two
392 control conditions, *Name Possessive* and *Name Name*. In *Name Reflexive* and *Name*
393 *Pronoun*, the subject of the sentence was always a possessive noun phrase (e.g.
394 *Bart's dad*) and the object was either a reflexive (e.g. *himself*) or a pronoun (e.g. *him*).
395 Thus the *Name Reflexive* sentence '*Bart's dad is washing himself*' was presented with
396 two pictures on the screen: one picture in which Homer (Bart's dad) is washing himself
397 in a bathtub with Bart standing by (the correct choice), and the other picture in which
398 Homer is washing Bart who is sitting in a bathtub (the incorrect choice). The *Name*
399 *Pronoun* sentence '*Bart's dad is washing him*' was presented with one picture showing
400 Homer washing Bart who is sitting in the bathtub (the correct choice), and the other
401 picture showing Homer washing himself in a bathtub with Bart standing by (incorrect
402 choice).

403 Possessive noun phrases as subjects provided two possible antecedents for the
404 reflexive or pronoun: *Bart's dad* (i.e. *Homer*), which c-commands the object, and *Bart*,
405 the possessor, which does not. In order to independently test participants'
406 understanding of possessive noun phrases, and the crucial relation of c-command, the
407 control condition *Name Possessive* also used a possessive subject (*Bart's dad*). For

408 a sentence '*Bart's dad is eating an ice cream*', one picture showed Homer (Bart's dad)
409 eating an ice cream (correct choice), and the other picture showed Bart eating an ice
410 cream (incorrect choice).

411 *Name-Name* also served as a control condition, containing proper names in the
412 subject position and no reflexives or pronouns in the object position (e.g. '*Bart is*
413 *washing dad*'), in order to test that the child could understand the task.

414 Four verbs, 'wash', 'touch', 'point to', and 'dress' were used in the NP and NR
415 conditions, with each verb occurring twice. Each of the four conditions included eight
416 sentences, giving a total number of 32 sentences in the task.

417

418

419 2.2.2 Obligatory Control Task

420 A new two-choice picture-selection task using the same Simpsons characters as
421 above was devised for the following control constructions: single-complement subject
422 control (*try*), object control (*persuade*) and double-complement subject control
423 (*promise*).¹³ A simple SVO structure was used to test that the children understood the
424 task. All sentence types included eight items.¹⁴

425 Prior to the trial, we used a structured interview technique to determine the children's
426 understanding of the verbs independently of control. The specific questions that each
427 child was asked, together with a representative selection of the children's responses
428 can be found in Appendix D. Only one child with HFA gave a less than satisfactory
429 answer on *try*, however, it was decided not to exclude him as his performance on this
430 condition was at ceiling.

431

432 The following sentence types and corresponding pictures were used in the Control
433 task:

434 Single Complement Subject Control (*try*): Four of the eight sentences in this condition
435 included the main-clause subject performing an action on the complement's inanimate
436 object with another unmentioned character depicted nearby. To illustrate, the sentence

¹³ These verbs were chosen because they represent prototypical examples of control but also because they lent themselves well to the task adopted here.

¹⁴ Two additional tasks, testing the adjuncts 'while' and 'after' were also included in the test battery but their results are not included in the current analysis.

437 '*Bart tried to eat the sandwich*' was accompanied by a corresponding picture in which
438 Bart was eating a sandwich while Lisa stood next to him, and a foil in which Lisa was
439 eating the sandwich and Bart stood next to her. This tested whether the child would
440 opt for a visually depicted yet unmentioned referent as the agent of 'eat' (Lisa in this
441 instance) over the visually depicted sentence-internal referent. The other four
442 sentences included the main-clause subject performing an action on the complement's
443 animate object. Thus '*Homer tried to wash Bart*' was accompanied by a corresponding
444 picture in which Homer was washing Bart, and a foil in which Bart was washing Homer.
445 This checked whether the child might choose an incorrect referent on the basis of a
446 'last-heard referent' strategy.¹⁵

447 Object Control (*persuade*): This condition used corresponding pictures in which the
448 matrix object engaged in an action. The foil pictures depicted the matrix subject
449 engaging in the action. For the example sentence '*Homer persuaded Marge to drive*
450 *the car*', the corresponding picture showed Marge driving, with Homer standing next
451 to the car, whereas in the foil, Homer was behind the wheel with Marge standing by.

452 Double Complement Subject Control (*promise*): The corresponding pictures showed
453 the matrix subject engaged in an action, whereas in the foils the matrix object was the
454 actor. In the example sentence, '*Homer promised Marge to walk the dog*', the correct
455 picture depicted Homer leading the dog with Marge standing by, whereas in the foil
456 Marge led the dog and Homer stood next to her.¹⁶

457 Serving as a control condition to test that the participants could understand the task,
458 the SVO condition contained simple subject-verb-object sentences with no embedding
459 and no infinitive verbs. They included the same characters and similar types of action
460 to the other pictures, for example, the sentence '*Homer is walking the dog*' was

¹⁵ These two sets of sentences were originally treated as two sub-conditions: *try-animate* and *try-inanimate*, however, no difference was found in the children's performance and the responses were analysed together.

¹⁶ Note that the main verbs in all of the above conditions were in the past tense. Following a pilot study in present tense with several children and adults, it was agreed that past tense best suited the *promise* sentences. To reduce variation between conditions, all of the verbs in the three experimental conditions were changed to past tense. The last version of the task was administered to the twelve adults, aged 18-55, all of whom demonstrated ceiling performance.

461 accompanied by two pictures, one showing Homer walking the dog with Marge looking
462 on, and a foil in which the characters were reversed.

463 As can be observed in Appendix B, the sentences included a variety of actions, in
464 order to keep the pictures and the task more engaging. The verbs were used at most
465 twice in each of the conditions.

466

467 *2.3 Procedure*

468 Both Binding and the Control tasks involved an identical procedure. Participants were
469 shown pictures on the laptop computer, and then asked to point to the picture that
470 went best with the sentence they heard ('Point to the picture that goes best with what
471 I say'). The instructions were given for the first and second trial, after which children
472 continued to respond without further instructions. Each participant was presented with
473 a different order of pictures, which was randomized automatically by the software
474 used. The location of the correct picture (i.e. whether it occurred on the right or left)
475 was balanced throughout.

476 Prior to the administration of each task, children were familiarized with the characters
477 and the actions depicting the verbs used in the tasks (see Appendix C).

478 The test battery was administered in a quiet room at the children's schools by one of
479 the two experimenters present in the room. The battery was presented over the course
480 of two sessions, each lasting approximately 30 minutes. To keep the length of each
481 session similar, the order of presentation was BPVS, KBIT and the Binding task in the
482 first session, and TROG and the Control task in the second session. There was a
483 space of 2-3 weeks between sessions. The scoring of the binding and control tasks
484 was computerized, i.e. the software recorded the picture choice, while the
485 standardized tests were scored by the experimenter administering the test on a
486 scoring sheet. Aside from being presented on the screen, the sentences were uttered
487 by the experimenter once. The children were free to ask for the sentence to be
488 repeated if necessary and were not penalized if the sentence was repeated.

489

490

491 **3. Results**

492 Participants' responses to each item (correct or incorrect) were analysed using the
493 GLMM procedure in SPSS, 21, as logistic regression models have been argued to be

494 better suited to binomially distributed data than ANOVAs (Jaeger 2008; Quene and
 495 van der Bergh, 2008). The fixed effects built into the model were Group, Sentence
 496 Type and the Group*Sentence Type interaction. Separate analyses were carried out
 497 for the two tasks.

498
 499 *3.1 Binding*

500 Table 1.2 shows estimated mean probabilities correct and the standard error for each
 501 sentence type. The analysis revealed no significant effect of Group ($F(2, 288)=0.223$,
 502 $p=.801$) but a significant effect of Sentence Type ($F(3, 288)=14.793$, $p<.001$). No
 503 significant Group*Sentence Type interaction was found ($F(6, 288) = 0.999$, $p=.426$).

504
 505

506 **Table 1.2 Estimated Mean Probabilities Correct (Standard Error) on Binding**

507

Sentence	HFA		TD KBIT		TD BPVS	
	<i>Mean</i>	<i>SE</i>	<i>Mean</i>	<i>SE</i>	<i>Mean</i>	<i>SE</i>
<i>Name Pronoun</i>	0.90	(0.04)	0.89	(0.04)	0.89	(0.04)
<i>Name Reflexive</i>	0.94	(0.03)	0.98	(0.01)	0.99	(0.01)
<i>Name Poss.</i>	0.99	(0.01)	0.99	(0.01)	0.99	(0.01)
<i>Name Name</i>	0.99	(0.01)	0.98	(0.01)	0.98	(0.01)

508 Note: HFA=high-functioning autism group, TD KBIT=typically developing group
 509 matched on raw score of KBIT, TD BPVS= typically developing group matched on raw
 510 score of BPVS.

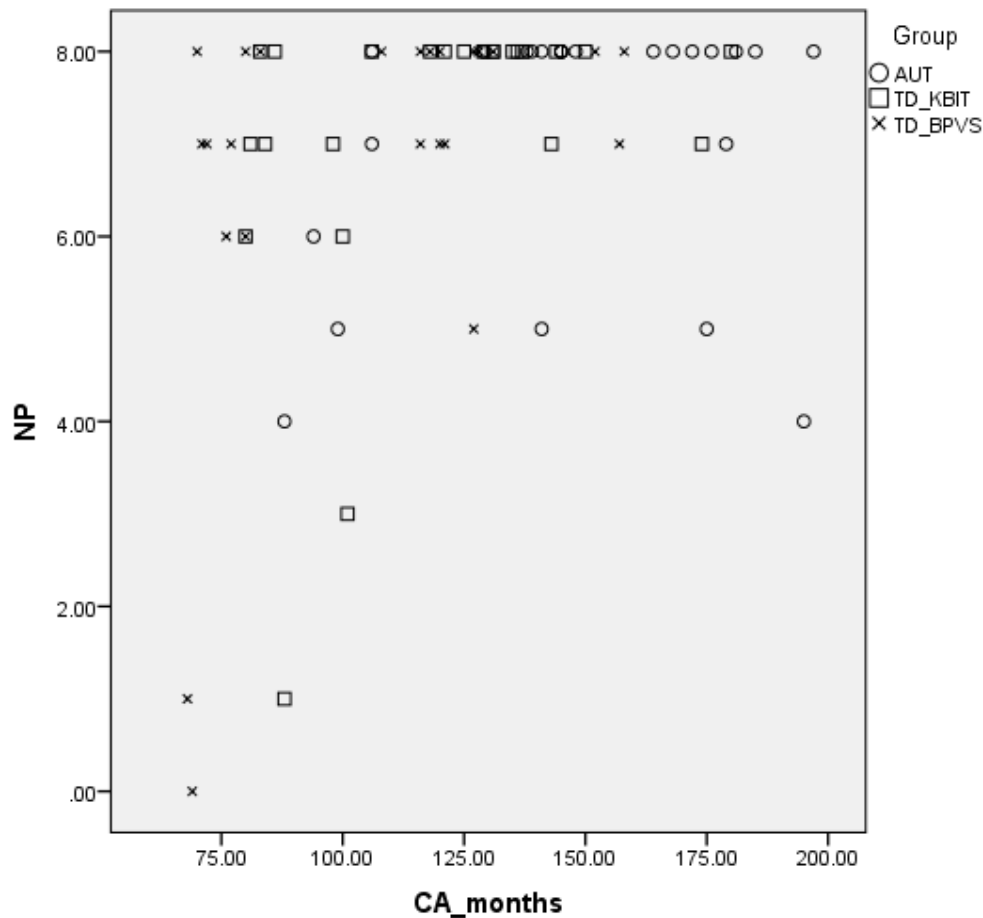
511
 512 Pair-wise comparisons (Sidak-corrected) uncovered no difference between groups on
 513 any of the conditions. As indicated by the significant effect of Sentence Type, for all
 514 groups collapsed, children performed better on all sentence types than on the Name-
 515 Pronoun condition: Name-Reflexive ($t(288)=3.606$, $p=.001$) (OR=6.93), Name-
 516 Possessive ($t(288)=4.465$, $p<.001$) (OR=19.85) and Name-Name ($t(288)=4.191$,
 517 $p<.001$) (OR=10.77). The groups' performance did not differ on other conditions:
 518 Name-Possessive vs. Name-Name ($t(288)=.908$, $p=.722$ (OR=1.84), Name-
 519 Possessive vs. Name-Reflexive ($t(288)=.941$, $p=.722$ (OR=2.86) and Name-Name vs.
 520 Name-Reflexive ($t(288)=.474$, $p=.722$, (OR=1.55). In contrast to the uniformly ceiling

521 performance on the other three sentence types, the individual data in the Name-
522 Pronoun condition shows variation in all of the groups (see scatterplot in Figure 1),
523 particularly in the youngest TD BPVS group and the HFA group.

524

525 Figure 1: Scatter plot showing the relationship between age (x-axis) and children's
526 performance on *Name-Pronoun* (y-axis).

527



528

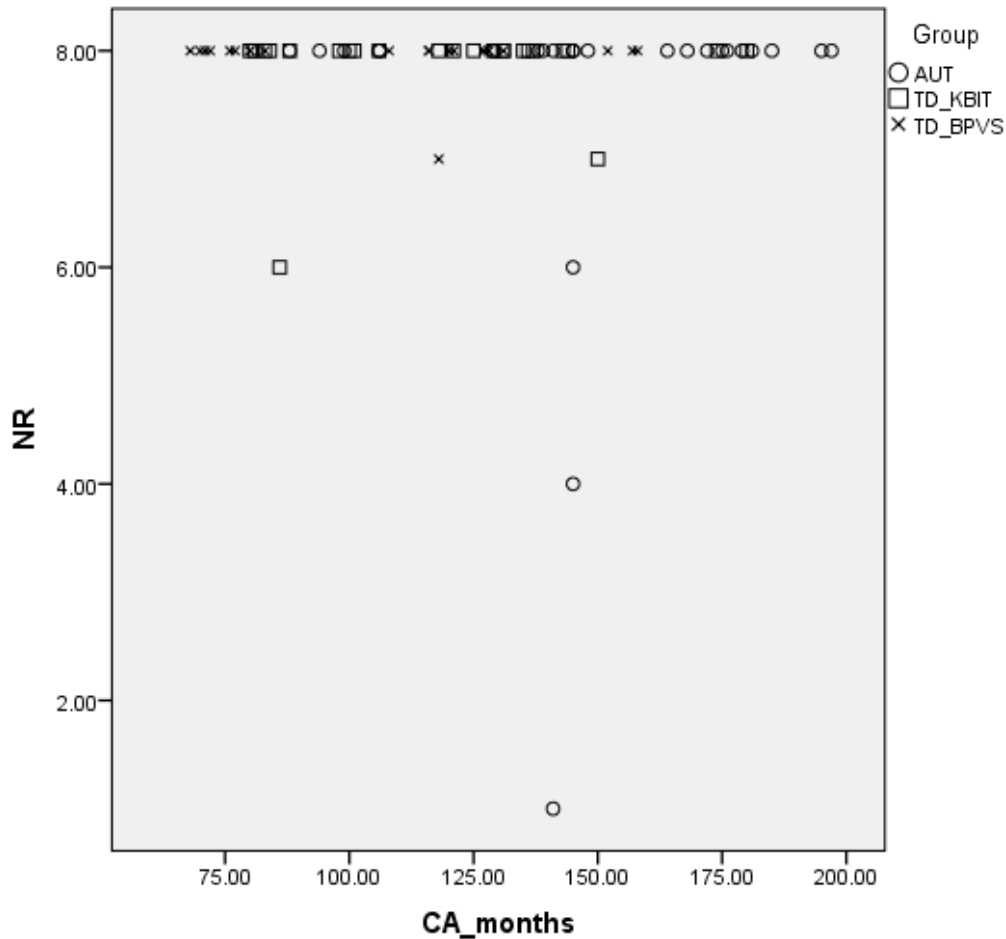
529

530 The Name-Reflexive condition also elicited a consistent ceiling performance from the
531 TD groups, although three HFA children scored at or below chance¹⁷ on this condition.
532 Individual variability in the groups' performance is shown in the scatterplot in Figure 2.
533 It is worth noting here that two of these children qualify as ALI (their score on Name-
534 Reflexive were 1/8 and 3/8 correct), while one child who scored 6/8 correct was
535 borderline ALI (see footnote 11).

¹⁷ We consider the score of 6 out of 8, 75%, to be above chance.

536
537
538
539
540

Figure 2: Scatter plot showing the relationship between age (x-axis) and children's performance on *Name-Reflexive* (y-axis).



541
542

543 3.2 Obligatory Control

544 The analysis revealed no significant effect of Group ($F(2, 288)=2.078, p=.127$), again
545 a highly significant effect of Sentence Type ($F(3, 288)=18.540, p<.001$) and no
546 significant Group*Sentence Type interaction ($F(6, 288)=1.192, p=.310$). Estimated
547 mean probabilities correct and the standard error for each sentence type are given in
548 Table 1.3.

549

550 **Table 1.3. Estimated Mean Probabilities Correct (Standard Error) on Control**

551

Sentence	HFA		TD KBIT		TD BPVS	
	<i>Mean</i>	<i>SE</i>	<i>Mean</i>	<i>SE</i>	<i>Mean</i>	<i>SE</i>
<i>Promise</i>	0.70	(0.06)	0.92	(0.04)	0.77	(0.05)
<i>Try</i> ¹⁸	0.99	(0.01)	0.98	(0.01)	0.96	(0.01)
<i>Persuade</i>	0.96	(0.02)	0.94	(0.03)	0.95	(0.03)
<i>SVO</i>	0.99	(0.01)	0.99	(0.01)	0.97	(0.01)

552

553 The significant effect of Sentence Type for all groups when collapsed was sourced to
554 their performance on *promise*. Sidak-corrected pair-wise comparisons revealed that
555 the TD KBIT group performed significantly better on *promise* than the HFA group
556 ($t(288)=3.110$, $p=.006$) (OR=4.93), and marginally better than the TD BPVS group
557 ($t(288)=2.157$, $p=.063$) (OR=3.43). There were no differences in the performance of
558 the HFA group and the younger TD BPVS ($t(288)=0.915$, $p=.361$) (OR=1.43).

559

560 There were no statistically significant differences in the performance of the three
561 groups on any of the remaining sentence types (estimated mean probabilities correct
562 were between .94 and .99 for all groups):

563 - *try* - HFA vs. TD KBIT: ($t(288)=0.090$, $p=.928$) (OR=2.02), TD KBIT vs TD
564 BPVS: ($t(288)=1.348$, $p=.384$) (OR=2.04), HFA vs. TD BPVS: ($t(288)=1.446$,
565 $p=.384$) (OR=4.12);

566 - *persuade* -HFA vs. TD KBIT: ($t(288)=0.465$, $p=.954$) (OR=1.53), TD KBIT vs TD
567 BPVS: ($t(288)=0.170$, $p=.954$) (OR=0.82), HFA vs. TD BPVS: ($t(288)=0.300$,
568 $p=.954$) (OR=1.26)

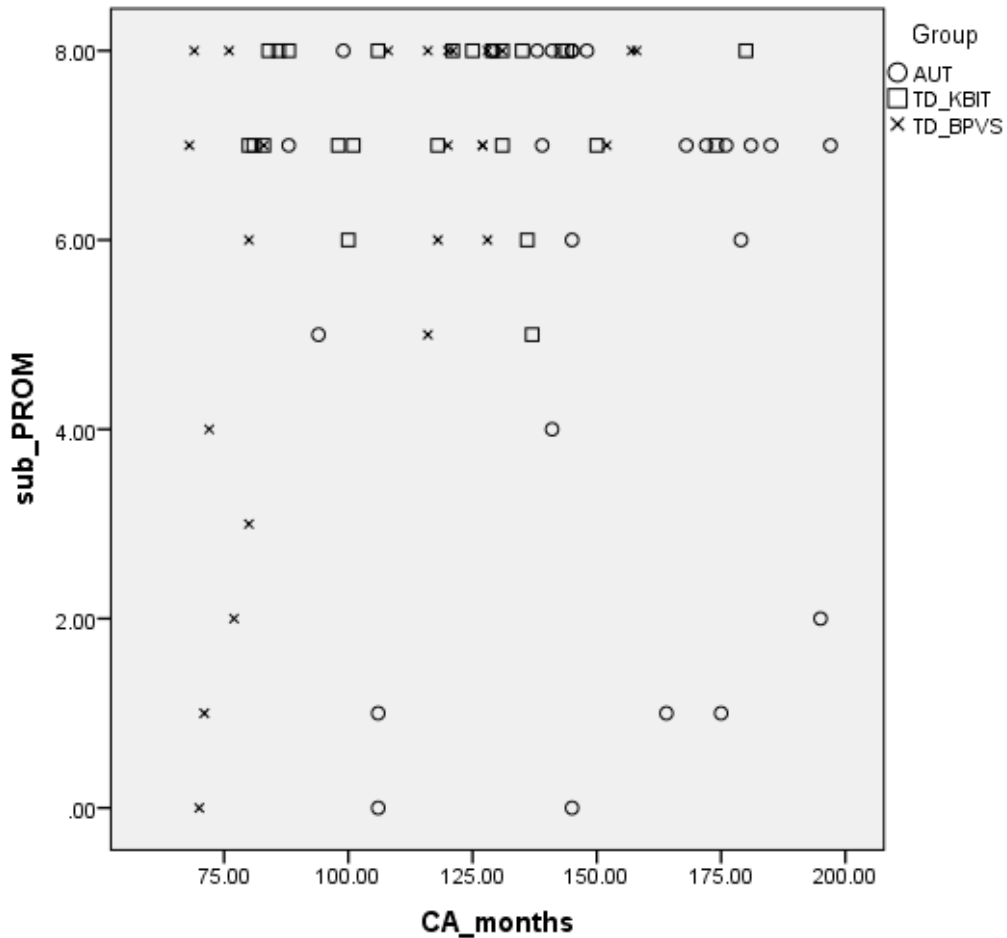
569 - SVO - HFA vs. TD KBIT: ($t(288)=0.429$, $p=.668$) (OR=1), TD KBIT vs TD BPVS:
570 ($t(288)=1.347$, $p=.447$) (OR=3.06), HFA vs. TD BPVS: ($t(288)=0.987$, $p=.544$)
571 (OR=3.06).

572

¹⁸ Note that there were two out of 85 children who made two errors on *try* (all other children made no errors, or one error only in the animate *or* inanimate sub-condition). The children who did make two errors were a HFA child, whose extremely low vocabulary and grammar scores indicated a clear language impairment, and one young typical child, aged 6;6. Their errors concerned only the animate sub-condition, which suggests that animacy may have played a role in the comprehension of *try* sentences in these two children.

573 Figure 3: Scatter plot showing the relationship between age (x-axis) and children's
574 performance on *promise* (y-axis).

575



576

577

578 In the HFA group, eight children had significant difficulties interpreting *promise* (5 and
579 less out of 8 correct), compared to six children in the TD BPVS group, and one child
580 in the TD KBIT group (see scatter plot in Figure 3).

581

582 All incorrect responses on *promise* were examined to check whether difficulties could
583 be sourced to occurrences of particular verbs, e.g. that the verb 'walk' was used twice
584 in this condition, rather than once. This was not the case in any of the groups.

585

586 3.3. Correlation Analyses

587 In order to ascertain the influence of age and general verbal and non-verbal abilities
588 on the accuracy of children's comprehension of the two sentence types which showed

589 most variation, *promise* and *Name-Pronoun*, we ran three correlation analyses. Our
 590 findings show that age was positively correlated to performance on the *Name-Pronoun*
 591 and *promise* conditions only in the youngest TD BPVS group but not in the HFA group,
 592 or the TD KBIT group (see earlier scatterplots for a clearer view of the relationship
 593 between age and children’s performance on relevant sentence types). Performance
 594 on KBIT (measuring non-verbal reasoning), BPVS (measuring receptive vocabulary)
 595 and TROG (measuring receptive grammar) was positively correlated to the HFA
 596 group’s performance only on *promise*, but not on *Name-Pronoun*. The performance of
 597 the two typical groups on *Name-Pronoun* and *promise* was not correlated to their
 598 performance on KBIT or BPVS¹⁹.

599

600 Table 3: Pearson correlation coefficients of the relationship between children’s scores
 601 on *Name-Pronoun* (NP) and *promise* (out of 8 possible correct), and age, non-verbal
 602 reasoning (standard scores on KBIT), receptive vocabulary (standard scores on
 603 BPVS) and grammar (standard scores on TROG).

604

	HFA		TD KBIT		TD BPVS	
	NP	<i>promise</i>	NP	<i>promise</i>	NP	<i>promise</i>
<i>Age</i>	.226	.018	.387	.015	.439*	.549**
<i>KBIT SS</i>	.247	.447*	.208	.073	.370	.091
<i>BPVS SS</i>	.175	.474*	-.246	.148	-.003	.060
<i>TROG SS</i>	.361	.472*	-	-	-	-

605

606

607

608 4. Discussion

609 The present study drew a comparison between comprehension of reflexive binding
 610 and obligatory control in twenty-six British high-functioning children with autism and
 611 two groups of TD children, individually matched on verbal and non-verbal abilities. The
 612 choice of these two constructions was motivated by both clinical and theoretical
 613 considerations. Its clinical import is that of contributing to the as yet still limited

¹⁹ The negative correlation coefficient between BPVS and Name-Pronoun in both TD groups was due to several younger children with very high BPVS SS, who scored low on Name-Pronoun due to their young age.

614 literature on complex syntax in ASD. Obligatory control has not been studied at all in
615 this population and reflexive and pronominal binding only to a limited degree. Of
616 theoretical interest is whether the mechanism underlying control is the same or
617 different to other constructions that have been traditionally argued to involve the same
618 underlying syntactic mechanisms, such as raising. Specifically, if control is a
619 dependency involving a relation between a trace and an antecedent, we expected our
620 HFA children to exhibit difficulty with it on a par with that found for raising and passives.
621 If not movement-based, however, we expected it to pattern more closely to the results
622 found for binding. We found the latter to be true. The two sentence types that did cause
623 difficulty, and showed most variation in the groups' performance, were pronominal
624 binding (the *Name-Pronoun* condition), and particularly double-complement subject
625 control (the *promise* condition). We start our discussion with binding, indicating how
626 the current results map with the previous literature, and then move onto control,
627 drawing a distinction between the three different sub-types and the contributions that
628 the current disclosed patterns provide for our understanding of the HFA grammatical
629 profile and for our more general understanding of the nature of the control relation.

630
631 As a group, the HFA children showed a very good comprehension of reflexives, with
632 an estimated mean proportion correct of .94, suggesting intact reflexive binding. These
633 results on British children tally precisely with those found for American HFA children's
634 comprehension of reflexives as reported in Perovic et al. (2013a). Three children in
635 the current sample of twenty-six showed less than perfect performance: two performed
636 at or below chance on this sentence type, and one just above chance. Crucially, the
637 first two children qualified as ALI ('autism plus language impairment') and the third as
638 a border-line ALI, as per their scores on the standardized language assessments. This
639 is again in line with Perovic et al. (2013b), whose sample of twenty-six ALI children
640 also showed a chance performance on reflexives, which was interpreted as signaling
641 deficient knowledge of reflexive binding. However, some variability in the performance
642 of children with ALI is also noted here: one child classified as ALI showed a ceiling
643 performance on reflexives.

644
645 No difference between the three groups was observed in the pronoun condition. The
646 estimated mean proportion correct in HFA was .90, and in the two TD groups it was

647 .89. Although this is a high performance, notable variation is still evident in all three
648 groups. The variation we see in our current samples is also in line with the previous
649 literature. In Perovic et al. (2013b), twenty-two children classified as ALN ('autism with
650 normal language'), exhibited some difficulties in their interpretation of pronouns in an
651 identical task, although again, their performance did not differ from a group of non-
652 verbal MA-matched controls.

653

654 The literature on typical development reviewed in earlier sections reports that the
655 problems with pronoun interpretation disappear with age. This age-dependent
656 development is corroborated in our TD sample (especially in the younger TD BPVS
657 group), but not in our HFA group. Both age and scores on the standardized
658 assessments of non-verbal reasoning, vocabulary and syntax comprehension varied
659 greatly in our HFA participants, but none of these correlated with their performance on
660 pronouns. If we assume that there are variable levels of difficulty with pragmatics in
661 our sample, and if the interpretation of pronouns is decided at the syntax-pragmatics
662 interface, then the absence of any correlations on these measures is perhaps
663 expected.

664

665 For the obligatory control conditions, the simplest construction tested was single-
666 complement subject control (*try*). Incorrect answers would either have indicated that
667 the children permitted free interpretation of the implicit agent (where the direct object
668 in the infinitival was inanimate) or that they were employing a last-heard referent
669 strategy (where the direct object in the infinitival was animate). Ceiling performance
670 on this construction confirmed that this was not so. With regard to object control
671 (*persuade*), there was also no difference between groups. As a first test on knowledge
672 of this construction in HFA children, the results from these two regular examples of
673 control offer support for the claim that the syntax underlying canonical obligatory
674 control is preserved. The children's systematic preference for an adult-like reading
675 points to a firm grasp of the obligatory nature of the interpretative link between the
676 argument in the main clause and the understood subject in the complement.

677

678 We turn now to double-complement subject control (*promise*) for which there was a
679 varied performance, especially in the HFA children and their language-matched

680 control group with estimated mean probabilities correct of .70 and .77, respectively.
681 First of all, our finding supports all the studies that have tracked this construction's
682 development in TD children (e.g. Hsu et al. 1989; Cohen Sherman and Lust, 1993;
683 Eisenberg and Cairns, 1994). The *promise* sentences proved exceptionally difficult for
684 only a proportion of our HFA group. However, eighteen children demonstrated an
685 adult-like grasp of this construction. Let us look more closely at the eight who did not.
686 A first possibility we need to exclude is that they were not paying attention to the whole
687 sentence string. If the children attended only to the final part of the sentence, then their
688 poor performance is orthogonal to the control properties of this particular verb.²⁰ This
689 would explain their choosing the object in the *persuade* and the *promise* constructions,
690 since the picture fits with the main-clause object in both, as indicated by the underlining
691 in the examples below:

692

- 693 (8) (a) Homer persuaded Marge to hold the dog
694 (b) Homer promised Marge to hold the dog

695

696 Lack of attention to the main-clause verb, however, would predict that the children who
697 performed poorly on *promise* opted for the object in both *persuade* and *promise*
698 uniformly, which is true only for one of the twenty-six children. The other twenty-five
699 succeeded with *persuade* but gave mixed responses for *promise*; this equates with a
700 stage of development for this construction suggested in much previous work on
701 younger TD children (see references above).

702

703 Another possibility that needs to be ruled out is that it is the meaning of the verbs used
704 in these control examples which is responsible for these children's poor performance
705 on *promise*. If so, this again would be independent of any syntactic source to the
706 problem. It is well known, for example, that individuals with ASD have an impaired
707 ability to mentalise (Happé, 1993), and the obligatory-control verbs used here all
708 involve intentions: *try* involves an intention on the part of the agent, and *persuade* and
709 *promise* both relate to or involve a change in mental states. However, the children
710 demonstrated their understanding of the verbs used in the task prior to the test itself –

²⁰ We thank Nina Hyams for alerting us to this possibility.

711 even those children who exhibited very poor comprehension of the *promise*
712 constructions. Furthermore, problems with verbs relating to intentions cannot account
713 for the discrepancy between the children's perfect performance on *try* and *persuade*
714 and the flawed performance on *promise*, as all three conditions employed these verb-
715 types. This line of argumentation would also not generalize to children without autism,
716 whose delayed acquisition of the *promise* construction, and not the meaning of the
717 verb itself (C Chomsky, 1969), is legendary and witnessed once again in the current
718 sample of TD children.

719
720 The question remains as to what property of the *promise* construction makes it so
721 difficult for children. The children giving mixed responses on *promise* appear reluctant
722 to break locality. This could be because of a propensity to avoid long-distance
723 dependencies generally, as reported for A-bar movement in ASD in Zebib et al. (2013)
724 for example. However, we think it more likely that for this particular construction, the
725 problem stems from the exceptional status of this type of control, and from the
726 reconciliation needed between conflicting lexical and syntactic requirements for this
727 construction, which simultaneously demand a subject and an object reference
728 respectively (see references above). There is a large number of object-controlled
729 double-complement structures (e.g. tell; order; force) relative to this one nearly
730 isolated construction which contradicts an otherwise very predictable locality rule. To
731 view the learning problem in this instance as one deriving from a deficit in establishing
732 a long-distance syntactic dependency would be far-fetched in the absence of any other
733 similar constructions against which to test. The handful of other examples of subject-
734 controlled double complements involve verbs that are highly infrequent and/or have
735 other complications (e.g. threaten; guarantee; vow to - see Boeckx and Hornstein,
736 2004), making them a poor means for comparison. Furthermore, in their responses,
737 we have seen nothing different from that witnessed in the TD literature for younger
738 children.²¹ It is also worth highlighting that at the age at which TD children have
739 mastered constructions with long-distance dependencies (see for example C
740 Chomsky, 1969, and de Villiers, Roeper and Vainikka, 1990, and Thornton and Crain,
741 1994, on long-distance wh-movement) they still falter with *promise*.

²¹ See Caplan and Hildebrandt (1988) for data on two aphasic patients who also show a pattern of better performance on object control, *persuade*, and a poorer performance on subject control, *promise*.

742

743 It is noteworthy that the HFA children's performance on *promise* did again not correlate
744 with age. This distinguishes them from the youngest language-matched TD group,
745 where a highly significant age-related correlation for success on *promise* was
746 observed. This correlation was also not observed in the older TD group matched on
747 non-verbal-reasoning, though their ceiling performance precluded the possibility of
748 seeing such a correlation. However, the HFA group's performance on *promise*
749 correlated moderately with their performance on the standardized tests of language
750 and non-verbal reasoning, a correlation not observed in either of the TD control
751 groups. Thus it seems that strong vocabulary and syntax comprehension is needed
752 for the above mentioned reconciliation between conflicting lexical and syntactic
753 requirements for this construction.²²

754

755 The design of the current task enables us to return to our earlier discussion of
756 experiments on argument dependencies in autism, which adopted a similar
757 experimental design (Perovic et al. 2013a, b; Perovic and Wexler, 2007), and relate
758 these to the results on regular control and binding found here. Recall that LFA- but not
759 HFA children performed deficiently on binding, whereas children with autism across
760 the low- and high-functioning range seem to show difficulties comprehending passives
761 and raising. Reflexives and the implicit subject in controlled complements require a
762 local, agreeing and c-commanding argument from which they gain their reference.
763 This much they share. On most theoretical accounts, they are also not derived by
764 movement/displacement (see Williams, 1980; Manzini, 1983; Landau, 2000; 2013;
765 Janke, 2007; Rooryck, 2007; but see Hornstein, 2001, for a raising-based account).
766 But the two relations cannot be conflated entirely (see also Lasnik, 1992). As
767 mentioned in the introduction, the null subjects in control also form a heterogeneous
768 set in terms of how their reference is determined, encompassing subject, object,
769 discourse, and generic interpretations. In obligatory control, it must be established
770 whether or not a particular verb selects for a controlled complement. If it does, there
771 will be a designated controller and part of the child's learning task is to grasp the

²² An approach that appears promising in terms of facilitating abstract representations of structures that children with SLI find difficult is set out in Garraffa, Coco and Branigan (2015), which used a sentence-priming paradigm effectively.

772 obligatory nature of this relationship. This selectional restriction is not operative for the
773 *ec* in non-obligatory controlled clauses, whose interpretation is regulated extra-
774 syntactically. Depending on the type of control then, namely whether it is an example
775 of obligatory or non-obligatory control, correct interpretation can call upon lexical,
776 syntactic and pragmatic knowledge. This is unlike *himself/herself*, which, whenever it
777 is the direct argument of a verb, is always an anaphor. If, as we intimated above,
778 acquisition of anaphoric dependencies is a similar yet less complicated learning task
779 to obligatory control, then a natural expectation that arose from this was that our HFA
780 children who succeeded on a picture-selection task on regular control would also
781 succeed on a picture-selection task on reflexive binding. This is exactly what we found.
782

783 The results of studies on passives and raising reviewed earlier suggest a different
784 picture for these constructions: problems appear to be evident in children across the
785 spectrum, and, most relevant to our current discussion, to HFA children. If the syntactic
786 principles underlying obligatory control differ from those that regulate passives and
787 raising, in not involving A-movement, then the bifurcation emerging here, with
788 obligatory control and binding on the one hand and passives and raising on the other,
789 makes sense theoretically. As we noted in the introduction, there have been a number
790 of recent studies into populations with ASD, using constructions whose underlying
791 movement is uncontroversial, namely *wh*-questions (Zebib et al. 2013) and relative
792 clauses (Riches et al. 2010; Durrleman and Zufferey, 2013). An interesting proposition
793 emerging from this discussion is that HFA individuals have adult-like competence of
794 reflexive binding and (regular) obligatory control but not of *wh*-movement, relative
795 clauses, passives and raising. The relations that seem to cause difficulties involve both
796 A-bar dependencies (relative clauses and *wh*-movement) and A-dependencies
797 (passives and raising), yet all involve displacement of some kind. The A-bar
798 dependencies that are most problematic are those which employ the greatest number
799 of movement operations (or constructions involving the most distance between the
800 place in which the argument surfaces and where it is interpreted), making it plausible
801 that HFA children struggle with long-distance dependencies. Yet passives and raising
802 are local relations, which suggests that displacement itself might be sufficient to cause
803 the children difficulty. Future experimentation, perhaps also on more unaccusatives,
804 can help us decide.

805

806 **5. Conclusions**

807 This paper forms a novel contribution to a line of studies dedicated to the more general
808 question of whether complex grammar is intact in children on the autistic spectrum. It
809 has taken a new example of complex grammar, namely obligatory control, and tested
810 the preferred interpretations of these constructions in HFA children. The children's
811 results on these constructions were compared with that of binding. One important
812 finding is that for regular examples of subject- and object-control and the binding of
813 reflexives, all but three children (who were classified as ALI) achieved a successful
814 performance, a result that lends support to these examples of complex grammar being
815 spared in this population. We have also discussed the degree to which properties of
816 obligatory control and binding differ from other examples of complex grammar, in
817 particular, passives and raising. The current study's results found binding and
818 obligatory control to pattern together: both were unaffected in our HFA children. We
819 contrasted this excellent performance with previous studies on passive and raising,
820 which have reported deficiencies, and suggested that together, these support a
821 distinction in terms of the syntactic operations underlying them. The significant
822 difficulties observed for the *promise* construction were not restricted to our HFA group,
823 but were also observed at a similar level in the language-matched TD controls. In line
824 with previous literature on this anomalous construction, we attribute their difficulty to
825 its breaking of locality, which is an otherwise robust grammatical principle that children
826 have already acquired and can rely on for its consistency. Children have to abandon
827 this rule for only one construction. Their reluctance to do so translates into
828 compromised acquisition.

829

830 **Appendices**

831

832 **Appendix A. Binding Sentences**

833

834 **1. Name Reflexive**

835 Bart's dad is touching himself.

836 Lisa's mum is touching herself.

837 Bart's dad is pointing to himself.
838 Lisa's mum is pointing to herself.
839 Bart's dad is washing himself.
840 Maggie's mum is washing herself.
841 Maggie's mum is dressing herself.
842 Lisa's mum is dressing herself.

843

844 2. Name Pronoun

845 Bart's dad is touching him.
846 Lisa's mum is touching her.
847 Bart's dad is pointing to him.
848 Lisa's mum is pointing to her.
849 Bart's dad is washing him.
850 Maggie's mum is washing her.
851 Maggie's mum is dressing her.
852 Lisa's mum is dressing her.

853

854 3. Name Possessive

855 Bart's dad is licking a lamp post.
856 Lisa's mum is waving a flag.
857 Bart's dad is patting a dog.
858 Maggie's mum is patting a dog.
859 Lisa's mum is driving a car.
860 Lisa's mum is playing with blocks.
861 Bart's dad is eating an ice cream.
862 Maggie's mum is eating an ice cream.

863

864 4. Name Name

865 Bart is pointing to Dad.
866 Lisa is touching Mum.
867 Bart is washing Dad.
868 Mum is dressing Maggie.
869 Dad is pointing to Bart.

870 Mum is touching Lisa.

871 Mum is washing Maggie.

872 Mum is dressing Lisa.

873

874

875 Appendix B. Obligatory Control Sentences

876 1. Single-Complement Subject Control

877 Maggie tried to wash Marge.

878 Homer tried to wash Bart.

879 Lisa tried to dress Marge.

880 Marge tried to dress Maggie.

881 Lisa tried to eat the sandwich.

882 Homer tried to eat the sandwich.

883 Bart tried to hit the punch bag.

884 Marge tried to hit the punch bag.

885

886 2. Object Control

887 Homer persuaded Marge to walk the dog.

888 Marge persuaded Homer to walk the dog.

889 Lisa persuaded Bart to build the sandcastle.

890 Bart persuaded Lisa to build the sandcastle.

891 Marge persuaded Maggie to get in the bath.

892 Marge persuaded Homer to read the book.

893 Homer persuaded Marge to drive the car.

894 Marge persuaded Maggie to pat the dog.

895

896 3. Double-Complement Subject Control

897 Marge promised Homer to walk the dog.

898 Homer promised Marge to walk the dog.

899 Bart promised Lisa to play the trumpet.

900 Lisa promised Bart to play the trumpet.

901 Lisa promised Bart to write the letter.

902 Marge promised Homer to read the book.

903 Marge promised Homer to drive the car.

904 Maggie promised Marge to pat the dog.

905

906 4. SVO

907 Homer is walking the dog.

908 Lisa is eating a sandwich.

909 Lisa is throwing water.

910 Bart is playing the trumpet.

911 Marge is driving the car.

912 Maggie is patting the dog.

913 Maggie is having ice-cream.

914 Bart is swinging a bat.

915

916 Appendix C: Familiarization procedure

917

918 Prior to the experimental task, participants were presented with pictures depicting all
919 the characters of the Simpson family on the laptop computer. The first picture showed
920 all 5 members of the family together, and the experimenter pointed out to each
921 character individually to the child: 'This is Homer, he is the dad in this family. This is
922 Marge, she is the mum in this family. These are the children: Bart, Lisa and Maggie.'
923 To ensure that the child is able to see the difference between Lisa and her younger
924 sister Maggie, the experimenter would add: 'See Maggie has a dummy here, she is a
925 baby'.

926 The following sets of picture pairs were used to ensure that the child can distinguish
927 between the characters, select the appropriate character out of the two presented on
928 the screen, and understand that the correct picture can be on either left or right side
929 of the screen:

930 1. Homer (left side) and Bart (right side), with the instruction: 'Point to Homer.'

931 2. Homer (left side) and Bart (right side), with the instruction: 'Point to Bart.'

932 3. Marge (left side) and Lisa (right side), with the instruction: 'Point to Marge.'

933 4. Marge (left side) and Lisa (right side), with the instruction: 'Point to Lisa.'

934 5. Lisa (left side) and Maggie (right side), with the instruction: 'Point to Lisa.'

935 6. Lisa (left side) and Maggie (right side), with the instruction: 'Point to baby
936 Maggie.'

937

938 The presentation of the above pictures was followed by pictures showing relevant
939 characters involved in an action described by the verbs used in the task: e.g. wash,
940 dry, point to and touch (Binding), and e.g. drive a car, walk the dog, play the trumpet
941 (Control).

942 The instructions uttered by the experimenter included sentences such as:

943 'Look, here we have washing/drying/touching/pointing. Marge is
944 washing/drying/touching/pointing to Maggie.' (Binding)

945 'Look, here we have driving/building/reading/walking/playing'. 'Homer is walking the
946 dog/driving the car/playing the trumpet.' (Control)

947 The experimenter would ensure that the participants can distinguish between the
948 characters before proceeding with the task. All the participants were able to follow
949 these instructions and were able to distinguish between the characters.

950

951

952

953 Appendix D: Questions used to determine knowledge of verbs independently of
954 control and representative sample of responses.

955

956 Try: what does it mean when you try?

- 957 • It's when you do something and you're not sure you can do it.
- 958 • You might not be able to do it but if you really really want to do it you can do it.
- 959 • It's like you give it a go....but you might not be able to do it.

960 Persuade: what does it mean when you persuade someone?

- 961 • You make someone do something.
- 962 • You convince someone that they do it.
- 963 • It's when you make someone do something.

964 Promise: what does it mean when you promise someone something?

- 965 • It's like when you say you'll definitely do it.
- 966 • I say I'll do something for sure.
- 967 • Once I've said I'll do it, I have to do it.

968

969 The promise question was followed up with: If you promise your mum that you will tidy
970 up your room, does that mean that you do it or you don't do it?

971 • It means I do it.

972 • I do it... well if I keep my promise.

973 • I do it.

974

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986

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