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Bio-inspired CO₂ conversion by iron sulfide catalysts under sustainable conditions†

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The mineral greigite presents similar surface structures to the active sites found in many modern-day enzymes. We show that particles of greigite can reduce CO₂ under ambient conditions into chemicals such as methanol, formic, acetic and pyruvic acid. Our results also lend support to the Origin of Life theory on alkaline hydrothermal vents.

Hydrothermal vents consist of porous chimney structures composed of colloidal barriers of continually forming iron sulfides in three-dimensional cavities.¹ The chimneys comprise mostly iron, nickel and cobalt sulfides as well as silica gel, ferrous hydroxide and oxyhydroxides.² It has been proposed that at the interface between hydrothermal fluids and the primordial ocean, H₂ and CO₂ would have reacted together to form small organic molecules, catalyzed by the FeS membranes formed in the plumes of the vents.^{1d,3} Hydrothermal vents found on the ocean floor can be divided into two main types, those with acidic and alkaline effluents. Acidic hydrothermal vents, termed 'black smokers' are located directly above magma chambers,⁴ with a hot (up to 405 °C) acidic (pH 2–3) effluent.⁵ In contrast, alkaline hydrothermal vents have cooler (40–91 °C), more basic effluent (pH 9–11).⁶ Furthermore, the cavities in the chimneys restrict the diffusion of the vent springs leading to a natural chemiosmotic potential owing to the contrast in pH,³ which could provide the driving force required to overcome the initial thermodynamically unfavorable CO₂ reduction step.⁷

An attractive suggestion is the hypothesis that iron-sulfide minerals, such as greigite (Fe₃S₄), found in the chimney cavities of hydrothermal vents,² catalyzed CO₂ reduction, forming a primitive acetyl-CoA pathway similar to that in contemporary enzymes.⁸ In fact, greigite is structurally similar to the Fe₄S₄ clusters found in ferredoxins,⁹ Fig. 1A, which have been shown to act as electron-transfer sites and to be catalytically active

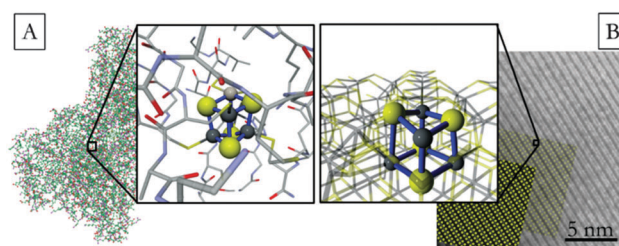


Fig. 1 Schematic representation of (A) the ferredoxin center of the CO dehydrogenase enzyme,^{11f} (B) the greigite surface, Fe₃S₄(001), showing enhanced the cubane structure.

centers for molecule transformations.¹⁰ Such enzymes are highly product-specific and efficient, shown for example in formate dehydrogenases, which are able to reduce CO₂ to formate under moderate conditions, *i.e.* at low temperatures and pressures and at neutral pH.¹¹ A recent study has highlighted the catalytic nature of greigite, showing that CO₂ could be converted to CH₄ and CO, but no solution-based products were detected in that study – an essential requirement for prebiotic chemistry.¹² In addition, FeS has been shown to catalyze CO₂ reduction¹³ and into a range of thiols in the presence of H₂S.¹⁴

In this study, we show that CO₂ can be reduced to a range of small organic solution-based molecules that are required for the commencement of pre-biotic chemistry. The use of a greigite surface allows such transformations at a low overpotential, atmospheric pressure and room temperature. Furthermore, we have elucidated the mechanism of formation of formic acid and methanol, which explains the requirement of an alkaline environment.

Highly faceted greigite nanoparticles have been synthesized through the decomposition of iron(III) dithiocarbamate. Metal dithiocarbamates have been shown to be excellent precursors to metal sulfide materials.¹⁵ The particle morphology is a rectangular plate with faces terminating at (001) and edges at (111) surfaces (see ESI†). Computer modelling of both bulk materials and the surfaces predicts bond lengths that closely resemble those found in the synthesized particles, with differences of only 0.06 ± 0.02 Å and 0.13 ± 0.02 Å for the short and long bonds.¹⁶

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These are also very similar to the Fe–S distances found in the CO dehydrogenase enzyme, $\Delta d(\text{Fe–S}) = 0.01 \pm 0.02 \text{ \AA}$ and $0.08 \pm 0.02 \text{ \AA}$.^{11f} The slightly longer Fe–S distances found in synthetic and modeled greigite, compared with the enzymatic cubane cluster, are due to periodic packing of the Fe and S atoms in a crystal lattice, which is not applicable in the enzyme.

A series of electrochemical reduction experiments were performed at room temperature and pressure, using a greigite-modified electrode in CO₂-saturated aqueous solutions at pH 4.5, 6.5 and 10.5. The nano-carbon dispersed greigite particles were drop-coated onto a carbon rod electrode and the electrode potential was cycled continuously between 0.2 and -0.8 V vs. NHE at 1 mV s^{-1} . Dissolved reduction products were detected *ex situ* using quantitative ¹H-NMR analysis. The major reaction product under all three pH-conditions was formic acid and the quantity detected with time is shown in Fig. 2A. Substantially more formic acid is generated at pH 6.5 than at pH 10.5; only a small quantity is produced at pH 4.5. Constant potential electrolysis experiments at pH 6.5 showed the onset of formic acid production at 0.4 V vs. NHE (see ESI†).

The computer simulations, using accurate *ab initio* techniques based on the Density Functional Theory (see ESI† for details), suggest that the disparity in formic acid production over the pH range can be attributed to the type and concentrations of aqueous species present in the CO₂-saturated solutions. In agreement with the equilibrium constants: at low pH, dissolved CO₂ mainly exists as a neutral molecule; at neutral pH, the dominant solution species is HCO₃[−] (bicarbonate) and at high pH, CO₃^{2−} (carbonate) is the majority species. These species interact with either the (001) or the (111) surfaces of the greigite particles. The calculations reveal that

on the (001) surface the CO₂ molecule experiences electrostatic repulsion between anionic surface sulfur atoms and the lone pairs of the molecule's oxygen atoms and it therefore does not bind to this surface (Fig. 2B). In contrast, the metallic centers on the (111) surface bind CO₂ through an Fe–O bond with a binding energy (E_B) of -0.62 eV . However, the molecule remains linear and not activated.¹⁷ Thus, at pH 4.5 the major solution species, *i.e.* neutral CO₂, either does not bind to the surface or if it binds, it is not activated for further reaction, although the over-potential and elevated pressure existing at the hydrothermal vents may well enhance the interaction of gases on a substrate beyond that shown in our calculations. At pH 6.5, the major species present in solution is HCO₃[−], which binds to both the (001) and (111) surfaces through two O–Fe bonds perpendicular to the surface, thereby releasing energies of 0.36 eV and 1.63 eV , respectively. In basic solution (pH 10.5) the major species in solution is CO₃^{2−}, which does not favorably adsorb onto the (001) surface ($E_B = +1.24 \text{ eV}$) but binds to the (111) surface with $E_B = -0.46 \text{ eV}$. Based on these values, the adsorption of HCO₃[−] to the (111) and (001) surfaces and CO₂ and CO₃^{2−} to the (111) surface should be considered as steps in possible routes to products.

Clearly, water will compete with these species for binding at the metallic centers. The H₂O molecule binds with $E_B = -0.42 \text{ eV}$ on the (001) surface and considering that water is present in vast excess, competitive adsorption of HCO₃[−] ($E_B = -0.36 \text{ eV}$) on the same surface is therefore unlikely, leaving only H₂O bound to the (001) surface at all pH values considered. On the (111) surface, water binds with E_B of -0.56 eV , this time out-competing the CO₃^{2−} species ($E_B = -0.46 \text{ eV}$). As such, only HCO₃[−] is able to adsorb on the (111) surface, owing to a much higher binding energy, by $\Delta E_B = -1.07 \text{ eV}$, compared to H₂O, implying that the (111) is the most probable surface for CO₂ reduction and adsorbed HCO₃[−] is the most likely reactive species. Consistent with the computed binding energies, the fastest experimentally observed rate of CO₂ conversion to formic acid is observed at pH 6.5. Although other solution species dominate at pH 4.5 and 10.5, some HCO₃[−] will still be present, allowing the conversion to formic acid to proceed under these conditions albeit at reduced rates. A further plausible explanation for the disparity of product concentrations, shown in Fig. 2A, are the competitive processes occurring at the different pHs. The simulations suggest that active sites deactivate at high pH due to surface OH accumulation, which adsorbs strongly on the Fe centres. This can be seen experimentally in the reduction in formic acid production over time at pH 10.5. At pH 6.5, the cycling potential bias of up to 0.2 V vs. NHE is enough to remove the hydroxyl groups. In addition, H₂ generation from the reduction of adsorbed H at low pH (pH 4.5) competes with HCO₃[−] reduction, thereby decreasing the reduction efficiency.

Based on the calculated binding energies, we propose a reaction mechanism to transform adsorbed HCO₃[−] to formic acid on the Fe₃S₄(111) surface with surface H atoms, generated through dissociation of water. To identify the HCO₃[−] transformation reactions, many intermediates and transition states were explored, leading to the multiple pathways plotted in Fig. S23 (ESI†). Our calculated energy barriers agree with the substantial kinetic barriers found in previous experiments on the formation of HCOO[−] and CO.¹⁸

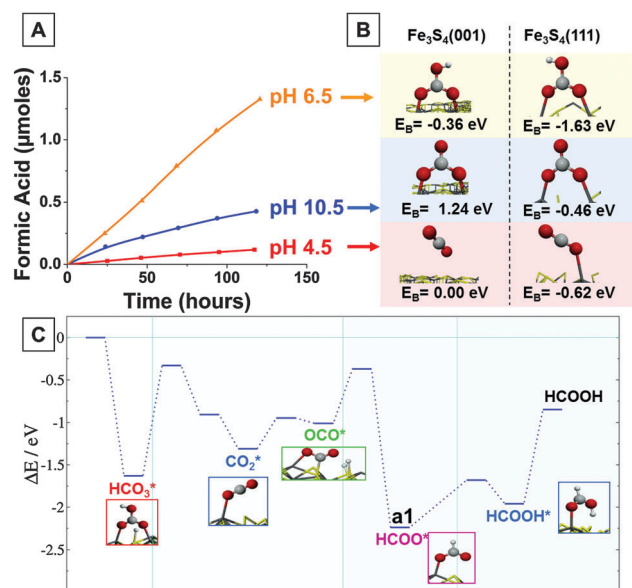


Fig. 2 (A) Formic acid formation as a function of time under different pH conditions; (B) representation of reactants on both the (001) and (111) surfaces as a function of the solution pH, binding energies (E_B) provided as inset; (C) potential energy surface for the mechanism of HCO₃[−] reduction to HCOOH on the Fe₃S₄(111). Adsorbed intermediate species are denoted by * and their proposed structures are shown as insets in the figure.



However, the energy barriers are expected to decrease with applied negative electrode potential, and the model therefore represents the 'worst case scenario' for the energy barriers that need to be overcome in the conversion of HCO_3^- to formic acid.

Fig. 2C shows the computed thermodynamic and kinetic energy profile for the reduction of HCO_3^- on the (111) surface. As described above, adsorption of water onto the (111) surface releases 0.56 eV per molecule, although the energy barrier to dissociate the molecule to generate the surface H atoms and OH is calculated to be 0.94 eV. HCO_3^- binds favorably with the surface with $E_B = -1.63$ eV to give HCO_3^* , which further reacts with a co-adsorbed H atoms to release H_2O , requiring 1.31 eV to overcome the energy barrier. Although this is a substantial barrier, we postulate that if the system is not immediately equilibrated this barrier can be overcome by the energy released in the preceding adsorption step. An additional energy input of 0.36 eV is then required to activate CO_2^* to a bent geometry (OCO^*), where both O and C interact with Fe and S surface atoms, respectively. This structure is stabilized by neighboring adsorbed H atoms, as shown in Fig. 2C inset. Hydrogenation of OCO^* on the carbon atom results in intermediate **a1** (HCOO^*) and addition of a further H atom finally leads to formation of formic acid. Formic acid is bound to the surface ($E_B = -1.10$ eV) and some energy is required to release the formic acid into solution.

The computed reaction profile shows that from the zero energy starting point the transformation of HCO_3^- into formic acid is thermodynamically and kinetically favorable. The reaction is driven by the energy released by thermodynamically favorable steps such as adsorption of the HCO_3^- and generation of the formate (**a1**) on the (111) surface. However, for the reaction to proceed, H ad-atoms are required on the surface, which requires an energy input of 0.94 eV from adsorbed molecular water. In our experiments, we have applied a modest over-potential of up to 1.1 eV, which is more than sufficient to generate the H ad-atoms required for this reaction. Thus, the calculations reveal a feasible mechanism for the production of formic acid under the moderate experimental conditions employed.

In addition to formic acid, other reaction products were experimentally detected under the three pH conditions investigated, as shown in Fig. 3A–C. At pH 4.5, low concentrations of acetic acid and methanol were detected, in accord with the low production of formic acid. On increasing the pH to 6.5, acetic acid, methanol and pyruvic acid were all detected in higher concentrations. At pH 10.5, the only additional product to formic acid is acetic acid, in intermediate concentrations. The concentrations of the products have been justified earlier, when we considered the concentration of HCO_3^- in solution. The disparity in the reduced products at varying pH can be accounted for by considering viable routes to methanol production. The overall faradaic efficiency for the production of formic, acetic, and pyruvic acid and methanol is calculated to be ca. 8% at pH 6.5.

Calculated reaction profiles reveal energetically feasible routes for methanol production, shown in Fig. 3D. The initial energetic profile is synonymous with that for formic acid production from HCO_3^- on the $\text{Fe}_3\text{S}_4(111)$ surface (Fig. 2C), leading to the activated, bent OCO^* intermediate. At this point, hydrogenation of the

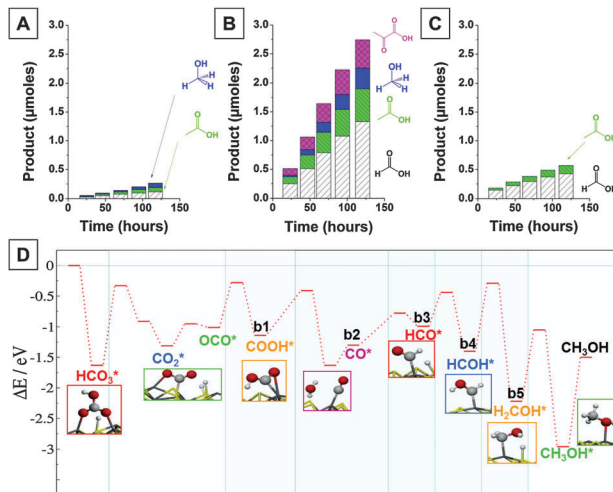


Fig. 3 Formation of formic acid, acetic acid, methanol and pyruvic acid at (A) pH = 4.5; (B) pH = 6.5; (C) pH = 10.5. (D) Potential energy profile for the mechanism of HCO_3^- reduction to CH_3OH , on the $\text{Fe}_3\text{S}_4(111)$.

carbon atom eventually leads to formic acid, but an alternative route is hydrogenation of an oxygen atom to give intermediate **b1** (COOH^*). Although intermediate **a1** is more stable thermodynamically than **b1**, by 1.1 eV, the difference between their transition states is only 0.1 eV, suggesting that both processes may take place competitively. Exothermic hydrogenation of the OH group of **b1** leads to free H_2O and CO^* bound to Fe (**b2**). This intermediate is reduced to **b3** (HCO^*) after overcoming an energy barrier of 0.7 eV. At this stage, oxygen is preferentially hydrogenated, leading to **b4** (HCOH^*). Further hydrogenation of carbon leads to CH_2OH , under a thermodynamic driving force (0.8 eV); although the transition state is 1.1 eV above the previous intermediate. Finally, a 1.2 eV barrier must be overcome for the final hydrogenation step to produce methanol (CH_3OH^*). Similar to the reaction pathway to form formic acid, the calculated reaction profiles suggest that methanol production is kinetically and thermodynamically favorable.

The formation of products such as acetic and pyruvic acid is explained by the slow process of releasing methanol into the solution, the activation barrier of **b4** to **b5** to methanol, as well as the endothermic nature of the final step. Consequently, **b4** and **b5** and methanol compounds accumulate on the surface where they can participate in coupling reactions to generate acetic or pyruvic acid. At low pH, however, a large amount of H is available on the surface, preventing significant coverage by **b4** and **b5**, whereas at lower coverage of H ad-atoms (pH 10.5), the adsorbed CH_2OH is more likely to undergo a dehydration reaction with formic acid to produce acetic acid. Formation of pyruvic acid at pH 6.5 is proposed to be the result from the dehydration of acetic acid and formic acid, which were present in higher concentrations at this pH. The relatively low concentrations of formic and acetic acid at pH 4.5 and 10.5 reduced the likelihood of pyruvic acid formation, which was hence not observed under these conditions.

This study has shown that the iron sulfide mineral greigite is able to promote the formation of small soluble organic molecules



from CO₂ in aqueous solution on application of a relatively small potential and under mild conditions. We found that pH 6.5 is the optimum pH for the effective reduction of dissolved CO₂ at the greigite surface, with soluble organic molecules formed at an 8% faradaic efficiency. When we relate this pH to the acidic and alkaline hydrothermal vent systems on the ocean floor, only the alkaline hydrothermal vents provide the environment where this pH can be achieved, when considering their effluents at pH 10 mixing with the primordial ocean of pH 5.5.¹⁹ We note that a cycling potential is harder to justify in nature. However, Fe₃S₄ surfaces would be constantly regenerated due to its continual synthesis from the vent systems, and fresh Fe₃S₄ surfaces would thus be available continuously without cycling.¹³ The electro-potentials required are plausibly derived from galvanic interactions between mineral surfaces and coupled redox processes that could contribute about one volt to the overall system,³ beside the pH and temperature gradients which contribute ~300 millivolts.^{8c,20} Similarly, chemo-osmotic gradients are required for both modern acetogenesis and methanogenesis, suggesting that ancient processes may have used chemo-osmotic coupling mechanisms naturally existing at alkaline vents.²¹ Moreover, as shown by others, the products obtained in our study can be transformed into key biomolecules through reaction with ammonia and phosphates, reactants which are reported to be present around such vent systems.^{21,22} Thus, our combined experimental and computational study provides evidence that the crucial first step in this pathway from CO₂ to biomolecules is feasible, and it therefore strongly supports the hypothesis that alkaline hydrothermal vent systems provided one possible environment for the pre-biotic chemistry preceding the onset of life.

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