

RESEARCH LETTER

10.1002/2014GL062400

Key Points:

- Growth phase plasma sheet temperature varies with solar wind driving
- Higher temperatures observed in intervals of higher driving
- Plasma sheet temperature can influence substorm onset and size

Correspondence to:

C. Forsyth,
colin.forsyth@ucl.ac.uk

Citation:

Forsyth, C., et al. (2014), Increases in plasma sheet temperature with solar wind driving during substorm growth phases, *Geophys. Res. Lett.*, 41, 8713–8721, doi:10.1002/2014GL062400.

Received 4 NOV 2014

Accepted 27 NOV 2014

Accepted article online 2 DEC 2014

Published online 23 DEC 2014

This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

Increases in plasma sheet temperature with solar wind driving during substorm growth phases

C. Forsyth¹, C. E. J. Watt², I. J. Rae¹, A. N. Fazakerley¹, N. M. E. Kalmoni¹, M. P. Freeman³, P. D. Boakes⁴, R. Nakamura⁴, I. Dandouras^{5,6}, L. M. Kistler⁷, C. M. Jackman⁸, J. C. Coxon⁹, and C. M. Carr¹⁰

¹UCL Mullard Space Science Laboratory, Dorking, UK, ²Department of Meteorology, University of Reading, Reading, UK, ³British Antarctic Survey, Cambridge, UK, ⁴Space Research Institute, Austrian Academy of Sciences, Graz, Austria, ⁵Institut de Recherche en Astrophysique et Planétologie, University of Toulouse, Toulouse, France, ⁶CNRS, IRAP, Toulouse, France, ⁷Space Science Centre, Morse Hall, University of New Hampshire, Durham, New Hampshire, USA, ⁸School of Physics & Astronomy, University of Southampton, Southampton, UK, ⁹Department Physics and Astronomy, University of Leicester, Leicester, UK, ¹⁰Department of Physics, Imperial College London, London, UK

Abstract During substorm growth phases, magnetic reconnection at the magnetopause extracts $\sim 10^{15}$ J from the solar wind which is then stored in the magnetotail lobes. Plasma sheet pressure increases to balance magnetic flux density increases in the lobes. Here we examine plasma sheet pressure, density, and temperature during substorm growth phases using 9 years of Cluster data (>316,000 data points). We show that plasma sheet pressure and temperature are higher during growth phases with higher solar wind driving, whereas the density is approximately constant. We also show a weak correlation between plasma sheet temperature before onset and the minimum SuperMAG AL (SML) auroral index in the subsequent substorm. We discuss how energization of the plasma sheet before onset may result from thermodynamically adiabatic processes; how hotter plasma sheets may result in magnetotail instabilities, and how this relates to the onset and size of the subsequent substorm expansion phase.

1. Introduction

Magnetic reconnection at the magnetopause is estimated to extract $\sim 10^{15}$ J of energy from the solar wind during the substorm cycle [Tanskanen et al., 2002]. This energy is stored as magnetic energy in the magnetotail lobes until released by reconnection in the magnetotail, being roughly equally partitioned between enhanced particle precipitation and Joule heating in the ionosphere; energization of the ring current; and loss in plasmoids and the postplasmoid plasma sheet [Richardson et al., 1987; Ieda et al., 1998]. The timing of release, the amount of available energy released, how and why it is partitioned into different energy forms and pathways, and the factors controlling these properties are significant unknowns in our understanding of substorm dynamics [Koskinen and Tanskanen, 2002; Freeman and Morley, 2004; Morley et al., 2007].

As magnetopause reconnection occurs, the dayside magnetosphere is eroded, moving inward by 10–20% [Aubry, 1970; Holzer and Slavin, 1978; Sibeck et al., 1991; Shue et al., 1997; Volwerk et al., 2011], the cusps move equatorward and the magnetotail expands to accommodate the increasing open magnetic flux created by the reconnection. The increase in flaring of the near-Earth magnetotail increases the solar wind ram pressure transmitted through the magnetopause, which is balanced by an increase in the total pressure exerted from within by the increased magnetic flux in the lobes [Coroniti and Kennel, 1972; Shue et al., 1997]. Theoretical models of these magnetospheric changes during the growth phase have been validated experimentally for a few events [e.g., McPherron et al., 1973; Fairfield et al., 1981; Kistler et al., 1993].

The total pressure of the plasma sheet approximately balances the lobe pressure. As such, increases in the lobe magnetic pressure during substorm growth phases result in changes in the plasma sheet density and temperature. Nagai et al. [1997] and Kistler et al. [2006] found that during substorm growth phases ion density increased while temperature was unchanged, implying that the plasma sheet acts isothermally. This contrasts studies showing that the plasma sheet is thermodynamically adiabatic [e.g., Baumjohann and Paschmann, 1989; Goertz and Baumjohann, 1991] and the statistical analysis of plasma sheet density by Nagata et al. [2008] that showed only a small difference in plasma sheet density under long periods of northward or southward interplanetary magnetic field (IMF). This apparent discrepancy is significant since a number of plasma instabilities that may be responsible for substorm onset depend on plasma sheet density and

temperature [e.g., Lui, 2004]. Thus, in order to understand the potential mechanisms for substorm onset, we must understand the variations in plasma sheet properties before onset.

Using 9 years of Cluster data, we statistically examine how the plasma and magnetic field in the magnetotail vary with solar wind energy input during substorm growth phases. Using new advances in the identification of magnetotail regions [Boakes *et al.*, 2014], we separate observations from the lobes and plasma sheet. The solar wind energy input is derived from time averaged upstream measurements of the solar wind plasma and field parameters (OMNI—http://nssdcftp.gsfc.nasa.gov/spacecraft_data/omni/).

2. Data

Between 2001 and 2009, the Cluster spacecraft orbited the Earth in a near-polar orbit, with an apogee of $\sim 19 R_E$ in the magnetotail during the Northern Hemisphere summer. During each orbit, the spacecraft passed through the northern lobes, plasma sheet, and southern lobes, with the orbits sweeping from dawn to dusk each year.

Using data from the Cluster Ion Spectrometer Composition Distribution Function sensor (CIS-CODIF) [Reme *et al.*, 1997] and Fluxgate Magnetometer (FGM) [Balogh *et al.*, 1997] instruments on Cluster 4, we examine the ion plasma and magnetic field in the magnetotail between 2001 and 2009. We use data from the Cluster 4 CIS-CODIF sensor which remained operational throughout this period, to avoid any cross-calibration issues arising from using different instruments. We examine the midtail region, defined here as $X < -10 R_E$ and $|Y| < 5 R_E$.

In order to identify growth phase intervals, we isolated those times that were not during substorm expansion or recovery phases using a technique similar to Juusola *et al.* [2011] but applied to the SuperMAG AL (SML) auroral index [Newell and Gjerloev, 2011a, 2011b; Gjerloev, 2012] rather than AL. Changes in SML ($dSML/dt$) were calculated between 2001 and 2010 and filtered using a 60 min low-pass filter to remove short period variations. The median positive and negative changes were then calculated. Intervals during which $dSML/dt$ was less (more) than the median negative (positive) change were labeled as expansion (recovery) phase times. The main difference between our method and that of Juusola *et al.* [2011] is that we take all nonexpansion or recovery phase intervals to be growth phases (Juusola *et al.* [2011] only considered southward IMF intervals) since solar wind power input functions, such as the ε function [Perreault and Akasofu, 1978; Akasofu, 1979; Morley *et al.*, 2007], are nonzero for all but purely northward IMF.

For each Cluster data point, we calculate the mean solar wind power input over the preceding 15 min using the 1 min resolution OMNI data and the function $\varepsilon = VB^2(\sin\{\theta/2\})^4 4\pi\ell_0^2/\mu_0$ where V is the solar wind speed, B is the interplanetary magnetic field (IMF) strength, θ is the clock angle of the IMF with respect to the Earth's magnetic dipole moment, and ℓ_0 is a scaling constant equal to $7 R_E$.

Figure 1 shows the data coverage from Cluster 4 projected onto the (Figure 1a) XZ and (Figure 1b) XY GSM planes. Magnetotail regions are identified from the European Cluster Assimilation Technology (ECLAT) database [Boakes *et al.*, 2014]. These are defined as the lobes, a boundary region, outer plasma sheet, inner plasma sheet. Utilizing Cluster's unique four spacecraft configuration and the curlometer technique [Dunlop *et al.*, 1988], threshold values in plasma β were determined for the different regions based on statistical relations between β and magnetotail currents (except for the inner plasma sheet, which has a threshold criteria in the magnetic field B_{xy} component). These criteria compared well with other methods of region determination [Boakes *et al.*, 2014]. Figure 1c shows a simple model of the approximate locations of the ECLAT regions with their corresponding field lines in the XZ plane and Figure 1d shows the modal ECLAT regions encountered by Cluster 4 projected onto the XY GSM plane.

Cluster provides good coverage of the lobes and plasma sheet, with a median sample density of 1376 data points per R_E^2 in the XY plane (quartiles of 552 and $2239 R_E^{-2}$) and $1728 R_E^{-2}$ in the XZ plane (quartiles of 728 and $2944 R_E^{-2}$). Figures 1b and 1d show there was greater spatial coverage of the lobes but greater temporal coverage of the plasma sheet, with 61,992 and 254,652 data points, respectively. The ECLAT region determination for Cluster 4 became less reliable after 2003 due to the degradation of the CIS-CODIF sensor, particularly the boundary region and outer plasma sheet [Boakes *et al.*, 2014]. However, overall Figure 1d shows the occurrence of the plasma sheet and lobes in the locations we expect. We limit the effects of any region misidentification by combining data from the boundary region, outer plasma sheet, and inner plasma sheet to show trends in the whole plasma sheet.

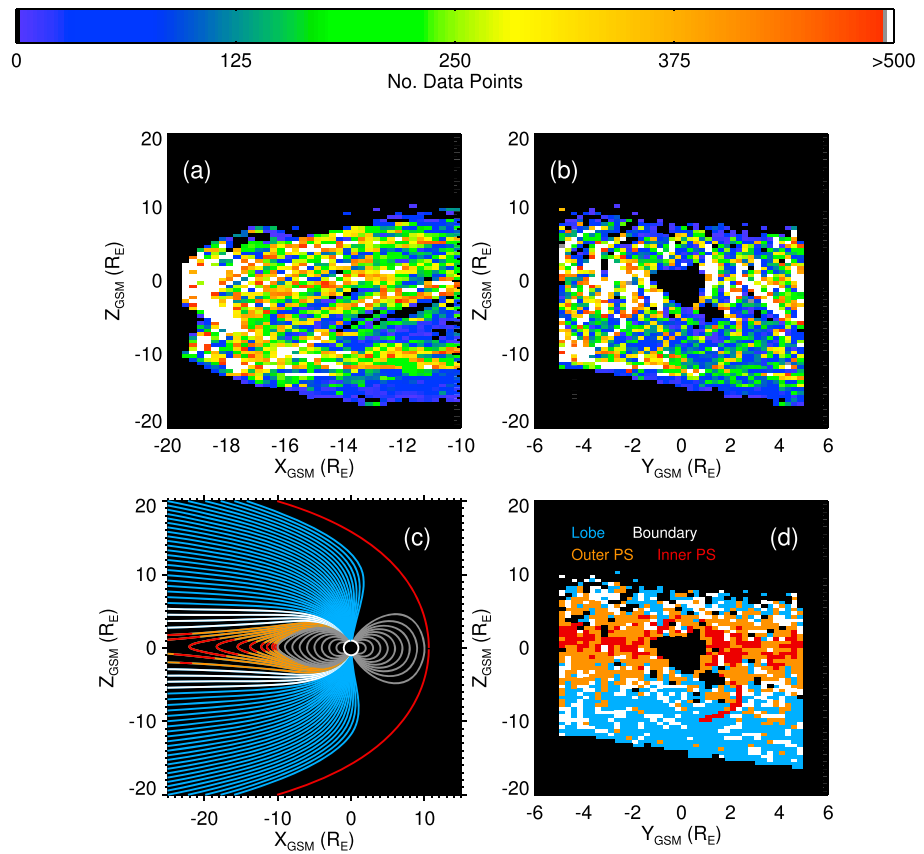


Figure 1. Cluster 4's coverage of the magnetotail. (a) The number of data points binned into $0.25 R_E$ bins in X and Y and $0.5 R_E$ bins in Z projected onto (a) the XZ GSM plane; (b) the XY GSM plane. (c) *Tsyganenko and Stern* [1996] model magnetic field lines color coded by magnetotail regions (see Figure 1d for color key) projected onto the XZ GSM plane. (d) The modal region encountered by Cluster 4 projected onto the YZ plane.

3. Results

Figure 2 shows the (a) magnetic pressure in the lobes, (b) total pressure in the plasma sheet, taken to be the sum of the ion ($H^+ + O^+$) pressure and magnetic pressure, (c) ion temperature, and (d) ion density in the plasma sheet against the 15 min averaged ε function for growth phase intervals. The overlaid boxes indicate the distribution of data points in deciles of the complete solar wind data set (rather than the subset of solar wind data contemporaneous with the Cluster data set). The boxes show the medians (blue line), upper and lower quartiles (thick boxes) and upper and lower deciles (thin boxes). Since the binned Cluster data are not always well described by a symmetric normal distribution, we have chosen this method of display to highlight the characteristics of the data points that would be lost if the data were expressed as a mean and standard deviation.

The magnetic pressure in the lobes (Figure 2a) and total pressure in the plasma sheet (Figure 2b) increase with increased solar wind driving. The Spearman's rank order correlation coefficients (ρ) of the entire data sets were 0.35 and 0.21, respectively, although correlating the shown medians gave ρ of 0.94 and 0.96, respectively. This general trend in the data supports the canonical substorm model: increased driving leads to increased magnetopause reconnection and loading of open magnetic flux into the magnetotail lobes, leading to increased flaring and increased pressure in the magnetotail. In a recent study, *Liu et al.* [2013] showed that plasma sheet pressure was moderately correlated with solar wind ram pressure. We find only a 2% correlation between the time-averaged solar wind ram pressure and the ε function; thus, they are effectively independent. Pressure variations during the substorm may be related to solar wind driving, while solar wind pressure defines the initial pressure within the system, resulting in a spread of observed pressures.

Figures 2a and 2b show a deviation from the lobes and plasma sheet being in pressure balance (at least statistically) under high solar wind driving. This discrepancy may be instrumental, arising from an

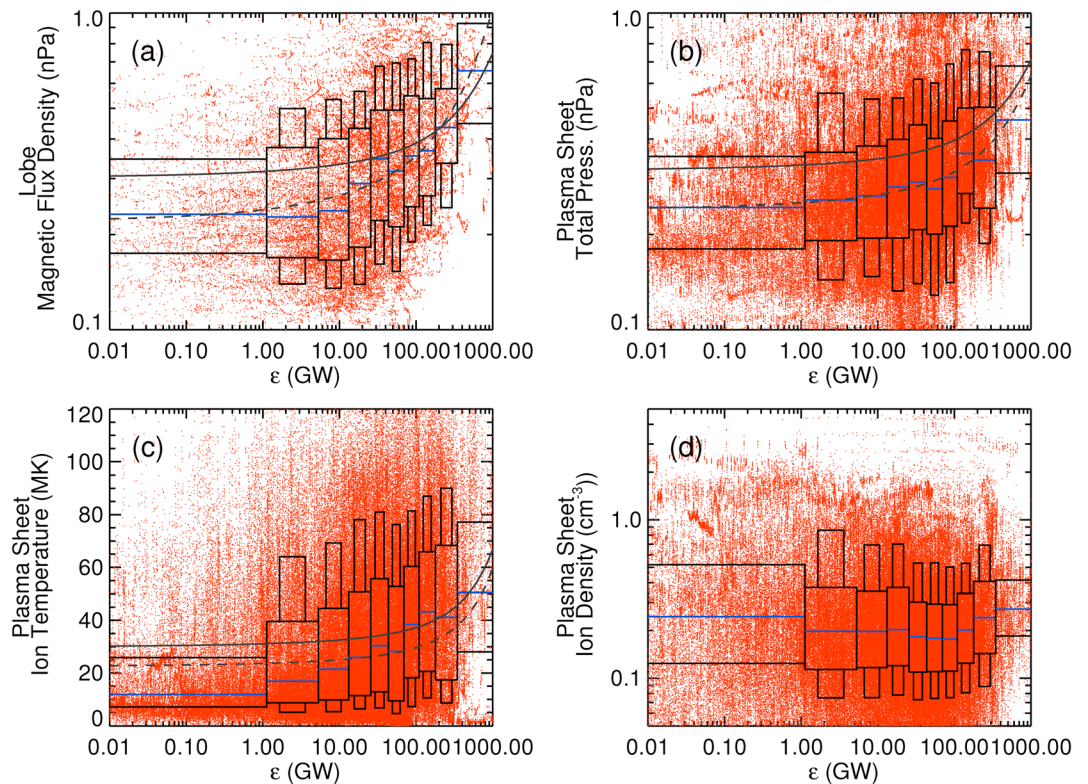


Figure 2. Plot of magnetotail properties against solar wind driving: (a) Magnetic pressure in the lobes; (b) total pressure in the plasma sheet (magnetic pressure + H^+ + O^+); (c) plasma sheet ion temperature; and (d) plasma sheet ion density. The overlaid boxes show the median (blue line), upper and lower quartiles (large box) and upper and lower deciles (small box) of the ordinate data split into deciles of the solar wind driving from the entire data set. The grey lines show the fits to our semiempirical model. The solid lines show fits of these models to the whole data set, and the dashed lines show fits to the shown median values.

underestimation of the ion pressure due to the ion temperature approaching the maximum energy of the CODIF instrument; the presence of an unmeasured cold ion population [e.g., *Andre and Cully, 2012*]; or the electron pressure becoming a significant component. One may also need to account for plasma convection, such that the plasma sheet and lobes are in momentum balance. However, the general trend of increasing plasma sheet pressure with increasing magnetic pressure in the lobes is observed and the considerations of small differences in the pressures are beyond the scope of this paper.

In order to consider how the energetics of the substorm cycle relate to the solar wind driving of the magnetosphere, we break the plasma pressure down into temperature and density (Figures 2c and 2d). Figure 2c shows that plasma sheet temperature increases with solar wind driving, with ρ for the entire data set of 0.31 and 0.83 for the shown median values. The median temperature increases by a factor of 2.9 between the lowest and highest driving deciles. The interquartile range also increases, showing that a greater range of temperatures was observed during intervals of high solar wind driving. In contrast, there is little variation in the median or interquartile ranges of density between different levels of driving (Figure 2d), although the median density drops by 28% between the first and seventh deciles.

A simple calculation of a $40 \times 3 \times 30$ Re plasma sheet with a density of $0.1 - 0.3 \text{ cm}^{-3}$ and temperature of $20 - 30 \text{ MK}$ gives a thermal energy of $\sim 1.57 \times 10^{13} \text{ J}$, about 10–50% of the energy ejected in plasmoids and 1.5–7% of the total energy released in a substorm [*Jeda et al., 1998; Tanskanen et al., 2002*]. As such, an increase in the plasma sheet temperature of 20 MK between intervals of low and high solar wind driving means $\sim 1.5 \times 10^{13} \text{ J}$ extra is stored in the plasma sheet prior to substorm onset during intervals of high solar wind driving.

Given that the autocorrelation e -folding time of the solar wind velocity is 32 h compared to 5–10 h for the solar wind magnetic field [*Borovsky et al., 1998*] and that the solar wind ram pressure is approximately constant during individual substorms [*Kistler et al., 2006*], we determine a functional relationship between

solar wind driving and plasma sheet pressure assuming that pressure variations in the plasma sheet during the growth phase result from the addition of magnetic flux to the tail lobes. *Morley et al.* [2007] determined a functional form of the polar cap potential with respect to solar wind driving (their equation (10)):

$$\Phi = 2 \times 10^4 (\pi p \times 10^6)^{\frac{1}{3}} + 1.4 \times 10^3 (\pi p \times 10^6) \quad (1)$$

where $p = \varepsilon/4\pi l_0^2$ is the solar wind power per unit area. Taking this equation and assuming no loss of lobe flux during the growth phase, the increase in magnetic flux in the lobes is then

$$F = \Phi t \quad (2)$$

where t is an integration period, taken in this case to be an hour. The lobe magnetic field strength is then

$$B_{\text{lobe}} = \frac{F_0 + F}{A/2} = B_0 + \frac{F}{A/2} \quad (3)$$

where A is the cross-sectional area of the magnetotail, F_0 is the initial lobe flux, and B_0 is the initial lobe magnetic field. We assume that the cross-sectional area of the lobe is fixed during the growth phase, assuming that there is no variation in the solar wind ram pressure during a substorm [*Kistler et al.*, 2006] and that the increase in the lobe cross-sectional area from the increase in lobe magnetic flux is negligible. Taking the magnetotail to be in pressure balance, we fit the magnetic pressure based on equation (3) to the lobe magnetic pressure and plasma sheet total pressure in Figures 2a and 2b using the MPFIT package [*Markwardt*, 2009]. The grey solid line shows the fit to all the data and the grey dashed line shows the fit to the shown medians. The fitting gives $B_0 \sim 24\text{--}33$ nT and $A \sim 23.5^2\text{--}27.5^2 \pi R_E^2$ which are reasonable values for the magnetotail. The coefficients of determination (R^2) for the fits to all the data were less than 0.18, indicating that the spread of the data is not dependent on the solar wind driving. For the medians, R^2 is higher than 0.95, indicating that the general trends in the data are well described by the model. We note that the cross-sectional area for individual events is dependent on the solar wind ram pressure, which can vary by a factor of 2 between storm and nonstorm intervals [*Kistler et al.*, 2006]. This is not accounted for in our analysis.

We expand this model to calculate plasma sheet temperature under the assumption of pressure balance in the magnetotail,

$$P_{B,\text{lobe}} = P_{B,\text{PS}} + P_{i,\text{PS}} \quad (4)$$

$$P_{B,\text{lobe}} = kn_{i,\text{PS}} T_{i,\text{PS}} \left(\frac{1 + \beta_{\text{PS}}}{\beta_{\text{PS}}} \right) \quad (5)$$

thus the temperature in the plasma sheet for a given value of β is given by

$$T_{i,\text{PS}} = \left(\frac{\beta_{\text{PS}}}{\beta_{\text{PS}} + 1} \right) \frac{B_{\text{lobe}}^2}{2\mu_0 kn_{i,\text{PS}}} \quad (6)$$

The grey lines in Figure 2c show the functional form of the plasma sheet temperature using equations (1), (3), and (6) for $\beta = 0.35$ and for constant density (after Figure 2d) using the B_0 and A from fitting the plasma sheet total pressure (solid line) and the medians of the total pressure (dashed line). We note that β_{PS} can vary with $T_{i,\text{PS}}$ and that a full expansion of this equation will be dependent on the plasma equations of state used.

4. Plasma Sheet Temperature Versus Substorm Size

Figure 3 compares the plasma sheet temperatures averaged over the last 5 min of a growth phase when Cluster was in the inner or outer plasma sheet with the minimum SML in the subsequent expansion phase. The median temperature in the boundary region was 15 MK compared with 54 MK and 60 MK in the outer and inner plasma sheet, respectively; thus, we do not consider events in the boundary region for this analysis. The plasma sheet temperature observations are separated into quartile groups indicated by the vertical dotted lines. In each group, the median SML is indicated with a horizontal red line, and the upper/lower quartiles of SML are indicated with horizontal blue lines.

The minimum SML (SML_{min}) during the expansion phase, which can be taken as an indication of the intensity of the substorm, increases with temperature of the plasma sheet just prior to onset. The difference between the median SML_{min} between subsequent groups is statistically significant, assessed using the

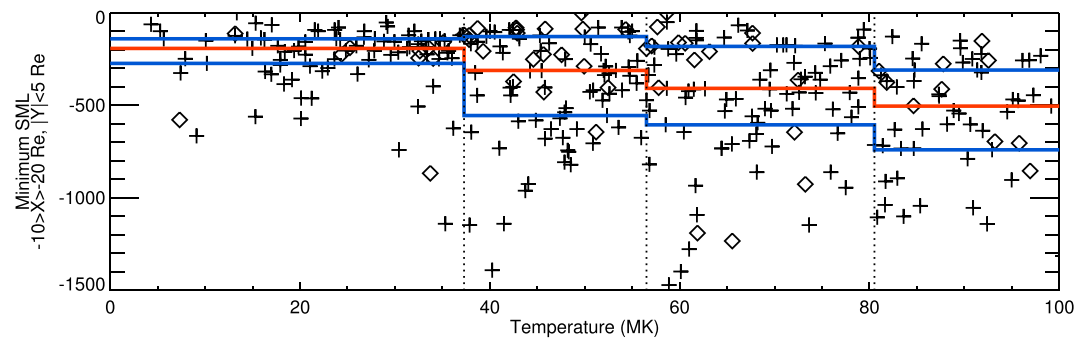


Figure 3. Minimum SML during a substorm expansion phase against mean plasma sheet temperature in the 5 min of growth phases prior to substorm onset. Crosses (diamonds) show data from the outer (inner) plasma sheet as defined by *Boakes et al.* [2014]. The red line shows the median SML_{\min} in the four temperature quartile groups. The blue lines show the upper and lower quartiles of SML_{\min} .

Mann-Whitney-Wilcoxon test, beyond the 95% level. The spread of data is large (with interquartile ranges of up to 137% of the median) and the Spearman's correlation coefficient is low ($\rho = 0.43$) but statistically significant beyond the 99% level. These results show a weak link between substorm expansion phase magnitude and the plasma sheet temperature observed by Cluster prior to onset.

5. Discussion

Using 9 years of magnetotail data from Cluster 4, we have shown that the plasma sheet pressure and temperature increase with solar wind power input during the substorm growth phase. Similar effects can be seen during the substorm expansion and recovery phases (not shown) but this is expected if one considers that the substorm expansion phases heats a preheated plasma sheet.

Tsyganenko and Mukai [2003] developed a plasma sheet model using data from Geotail that is described by a series of equations employing up to 16 terms. In this study, we have shown that a first approximation of the plasma sheet pressure and temperature can be obtained from a physical model of the magnetotail and using an empirical model of the cross polar cap potential of *Morley et al.* [2007] that is dependent on solar wind power input, assuming a constant area of the magnetotail.

Given that the magnetosphere's shape is defined by pressure balance between the solar wind and magnetospheric plasmas [e.g., *Shue et al.*, 1997], previous studies of the tail have tended to examine changes in pressure [e.g., *Kropotkin and Lui*, 1995; *Miyashita et al.*, 2009]. Under the assumption that magnetospheric plasma behaves as an ideal gas, with $P = nKT$, pressure varies with density, temperature, or both. Thermodynamically isothermal changes in pressure would result in variations in density at constant temperature, whereas adiabatic changes in pressure would result in temperature changes. Statistical studies examining the thermodynamics of the plasma sheet have concluded that the plasma sheet is predominantly thermodynamically adiabatic [e.g., *Baumjohann and Paschmann*, 1989; *Goertz and Baumjohann*, 1991], with this property being used to model and understand the convection of plasma [e.g., *Pontius and Wolf*, 1990; *Erickson*, 1992; *Birn et al.*, 1996], although nonadiabatic heating effects are present following substorm onset [*Baumjohann et al.*, 1991; *Huang et al.*, 1992]. We have shown that, on average, the plasma sheet temperature is higher during intervals of high solar wind driving, while the median plasma sheet density is approximately invariant. Our results may be interpreted as demonstrating the large-scale thermodynamically adiabatic nature of the plasma sheet, at least during the substorm growth phase, by showing that increased solar wind driving of the magnetosphere results in statistical increases in temperature at near constant density. However, in order to validate this hypothesis it is necessary to rule out the possibility that the plasma sheet is more likely to be at a higher temperature during intervals in which our solar wind driving function is large, i.e., to rule out that the higher temperatures and a large solar wind driving function have a common source. Given the multitude of correlations that have been shown between various solar wind and magnetospheric parameters, this task is nontrivial; however, by separating out the velocity and magnetic field components of the ε function, we find that the increase in temperature with these components is comparable.

A relationship between the solar wind velocity and temperature has previously been shown [e.g., *Burlaga and Ogilvie*, 1970; *Richardson and Smith*, 2003]. *Borovsky et al.* [1998] also showed a weak correlation (~25%) between solar wind velocity and plasma sheet temperature with higher solar wind speeds giving higher ion temperatures in the magnetosheath. One might therefore consider that the temperature of the plasma sheet is linked to its seed population, be that the magnetosheath or solar wind. However, given that the plasma sheet ion temperature is approximately an order of magnitude greater than in the magnetosheath and 2 orders of magnitude greater than the solar wind, in order for this to be the case, the mechanisms that heat the plasma sheet must multiply the ion thermal energy rather than simply adding to it and it is unclear what processes may do this.

Case studies [*Nagai et al.*, 1997] and superposed epoch analysis [*Kistler et al.*, 2006] have shown that the plasma sheet density increases for near constant temperature during the substorm growth phase, with this effect being most prominent for substorms observed during the main phase of a storm. These results imply that the pressure changes in the plasma sheet during the growth phase are isothermal and must, by extension, result in any thermal energy added to the plasma sheet from the work done by the increasing lobe magnetic flux density being transmitted out of the magnetotail plasma, presumably into the ionosphere. Given that we have not explicitly examined temporal changes in plasma sheet temperature or density, our results are not directly comparable; however, isothermal changes in the plasma sheet would be in direct contrast to the adiabatic changes discussed above.

Our results can be interpreted as showing that thermal energy can be added to the plasma sheet during the substorm growth phase without the need for reconnection or a rapid reconfiguration of the magnetosphere. While this additional energy may be small (of the order of 1% of the total substorm energy budget), it may be significant, particularly in controlling substorm onset. The physics controlling the onset of the magnetospheric substorm are still the subject of rich debate. Both plasma instabilities [e.g., *Lui*, 2004; *Rae et al.*, 2009, 2012; *Walsh et al.*, 2010] and reconnection [e.g., *Angelopoulos et al.*, 2008; *Nishimura et al.*, 2011; *Sergeev et al.*, 2012] play important roles. As yet, we do not have a clear understanding of the processes that control the onset, rate or duration of reconnection in the magnetotail. Equally, the destabilization of the near-Earth magnetotail by plasma instabilities during expansion phase onset [*Rae et al.*, 2012] is not well-understood. The dependence of magnetic reconnection on plasma sheet temperature has not, to our knowledge, been investigated, but it is instructive to consider how some of the most promising plasma instabilities depend upon plasma sheet temperature. Modeling indicates that the magnetotail becomes unstable to ballooning instabilities and reconnection when the plasma beta is high [e.g., *Cheng and Zaharia*, 2004], although it is usually argued that this comes about from a local reduction in the magnetic field strength [*Pritchett and Coroniti*, 2013]. Higher plasma sheet temperatures prior to the onset of substorms and following high solar wind driving increase the plasma pressure and hence plasma beta in the inner plasma sheet. This, in turn, could lead to a greater probability of localized regions of the tail becoming unstable to the ballooning instability and the onset of substorms. In their review, *Lui* [2004] showed that many of the possible substorm onset instabilities have some dependence on temperature or temperature ratios. For example, the anomalous resistivity from the cross-field current instability is linearly dependent on the plasma temperature thus the increase in plasma sheet temperature with solar wind driving may provide the necessary conditions for substorm onset. Given our lack of knowledge in this area, our results indicate that the dependence of magnetotail energy transfer processes on temperature warrants further study.

Our results have shown that plasma sheet temperature increases with increased solar wind driving, and that higher plasma sheet temperatures are associated with larger substorms. This link may not be causal but simply a reflection of the correlation between lobe magnetic flux and plasma sheet temperature and the correlation between stored lobe magnetic energy and substorm size [e.g., *Morley et al.*, 2007; *Milan et al.*, 2009]. It is interesting to speculate that higher temperatures in the plasma sheet may increase the susceptibility of the magnetotail to various instabilities and that the resulting reconfiguration of the magnetosphere is dependent on how unstable the magnetotail is, which may be dependent on temperature, and thus how large a substorm is. Deconvolving the effect of having large stores of magnetic energy from the effect of plasma sheet temperature on various magnetotail instabilities will be nontrivial, but may be crucial in determining the physics behind substorms within this highly coupled system.

6. Conclusions

Using 9 years of Cluster tail observations, we have shown that the growth phase plasma sheet temperature is higher during intervals of higher solar wind driving. This is thermodynamically reasonable: work done on the plasma sheet by the increasing magnetic pressure in the lobes increases the internal energy of the plasma sheet; in an thermodynamically adiabatic magnetotail this energy cannot be easily and quickly extracted from the plasma sheet so its temperature rises. We note that we cannot fully deconvolve the links between plasma sheet temperature and the components of the solar wind drivers that may increase the plasma sheet temperature through other mechanisms. Higher temperatures during intervals of high driving may increase the likelihood of the plasma sheet becoming susceptible to a number of instabilities thus increasing the likelihood of substorm onset. While the energy increase of the plasma sheet may be small compared to the total energy budget of a substorm, the thermodynamic processes and energization of the plasma sheet prior to substorm onset may be key determining onset times and substorm intensity.

Acknowledgments

We thank the Cluster CIS and FGM teams, ECLAT project and Cluster Active Archive for providing the Cluster data. We thank CDAWeb and NASA Goddard Space Flight Center for providing the OMNI solar wind data. For the ground magnetometer data we gratefully acknowledge the following: Intermagnet; USGS, Jeffrey J. Love; CARISMA, Ian Mann; CANMOS; The S-RAMP Database, K. Yumoto and K. Shiokawa; The SPIDR database; AARI, Oleg Troshichev; The MACCS program, M. Engebretson, Geomagnetism Unit of the Geological Survey of Canada; GIMA; MEASURE, UCLA IGPP, and Florida Institute of Technology; SAMBA, Eftyhia Zesta; 210 Chain, K. Yumoto; SAMNET, Farideh Honary; The institutes who maintain the IMAGE magnetometer array, Eija Tanskanen; PENGUIN; AUTUMN, Martin Conners; DTU Space, Jürgen Matzka; South Pole and McMurdo Magnetometer, Louis J. Lanzarotti and Alan T. Weatherwax; ICESTAR; RAPIDMAG; PENGUIN; British Antarctic Survey; MacMac, Peter Chi; BGS, Susan Macmillan; Pushkov Institute of Terrestrial Magnetism, Ionosphere and Radio Wave Propagation (IZMIRAN); GFZ, Monika Korte; and SuperMAG, Jesper W. Gjerloev. The ECLAT project data were funded by FP7 grant number 263325. I.J.R., C.M.J., and M.P.F. were supported in part by National Environmental Research Council (NERC) grant NE/L007495/1. A.N.F. was funded by STFC Consolidated grant ST/K000977/1. C.F. was funded in part by the NERC and STFC grants. P.D.B. was funded by Austrian Science Fund FWF P24740-N27. J.C.C. and N.M.E.K. were funded by STFC studentships.

The Editor thanks Joseph Borovsky and Qin-Gang Zong for their assistance in evaluating this paper.

References

- Akasofu, S. I. (1979), Inter-planetary energy flux associated with magnetospheric substorms, *Planet. Space Sci.*, *27*(4), 425–431.
- Andre, M., and C. M. Cully (2012), Low-energy ions: A previously hidden solar system particle population, *Geophys. Res. Lett.*, *39*, L03101, doi:10.1029/2011GL050242.
- Angelopoulos, V., et al. (2008), Tail reconnection triggering substorm onset, *Science*, *321*(5891), 931–935.
- Aubry, M. P. (1970), Diagnostics of magnetosphere from ground based measurements of electromagnetic waves, *Ann. Geophys.*, *26*(2), 341.
- Balogh, A., et al. (1997), The Cluster magnetic field investigation, *Space Sci. Rev.*, *79*(1-2), 65–91.
- Baumjohann, W., and G. Paschmann (1989), Determination of the polytropic index in the plasma sheet, *Geophys. Res. Lett.*, *16*(4), 295–298, doi:10.1029/GL016i004p00295.
- Baumjohann, W., G. Paschmann, T. Nagai, and H. Luhr (1991), Superposed epoch analysis of the substorm plasma sheet, *J. Geophys. Res.*, *96*(A7), 11,605–11,608, doi:10.1029/91JA00775.
- Birn, J., F. Iino, J. U. Brackbill, and M. Hesse (1996), A comparison of MHD simulations of magnetotail dynamics, *Geophys. Res. Lett.*, *23*(4), 323–326, doi:10.1029/95GL03857.
- Boakes, P. D., R. Nakamura, M. Volwerk, and S. E. Milan (2014), ECLAT cluster spacecraft magnetotail plasma region identifications (2001–2009), *Dataset Pap. Sci.*, *2014*, 684305, doi:10.1155/2014/684305.
- Borovsky, J. E., M. F. Thomsen, and R. C. Elphic (1998), The driving of the plasma sheet by the solar wind, *J. Geophys. Res.*, *103*(A8), 17,617–17,639, doi:10.1029/97JA02986.
- Burlaga, L. F., and K. W. Ogilvie (1970), Magnetic and thermal pressures in solar wind, *Sol. Phys.*, *15*(1), 61–71.
- Cheng, C. Z., and S. Zaharia (2004), MHD ballooning instability in the plasma sheet, *Geophys. Res. Lett.*, *31*, L06809, doi:10.1029/2003GL018823.
- Coroniti, F. V., and C. F. Kennel (1972), Changes in magnetospheric configuration during substorm growth phase, *J. Geophys. Res.*, *77*(19), 3361–3370, doi:10.1029/JA077i019p03361.
- Dunlop, M. W., D. J. Southwood, K.-H. Glassmeier, and F. M. Neubauer (1988), Analysis of multipoint magnetometer data, *Adv. Space Res.*, *8*, 273–277.
- Erickson, G. M. (1992), A quasi-static magnetospheric convection model in 2 dimensions, *J. Geophys. Res.*, *97*(A5), 6505–6522, doi:10.1029/91JA03141.
- Fairfield, D. H., R. P. Lepping, E. W. Hones, S. J. Bame, and J. R. Asbridge (1981), Simultaneous measurements of magnetotail dynamics by imp spacecraft, *J. Geophys. Res.*, *86*(A3), 1396–1414, doi:10.1029/JA086iA03p01396.
- Freeman, M. P., and S. K. Morley (2004), A minimal substorm model that explains the observed statistical distribution of times between substorms, *Geophys. Res. Lett.*, *31*, L12807, doi:10.1029/2004GL019989.
- Gjerloev, J. W. (2012), The SuperMAG data processing technique, *J. Geophys. Res.*, *117*, A09213, doi:10.1029/2012JA017683.
- Goertz, C. K., and W. Baumjohann (1991), On the thermodynamics of the plasma sheet, *J. Geophys. Res.*, *96*(A12), 20,991–20,998, doi:10.1029/91JA02128.
- Holzer, R. E., and J. A. Slavin (1978), Magnetic-Flux transfer associated with expansions and contractions of dayside magnetosphere, *J. Geophys. Res.*, *83*(A8), 3831–3839, doi:10.1029/JA083iA08p03831.
- Huang, C. Y., L. A. Frank, G. Rostoker, J. Fennell, and D. G. Mitchell (1992), Nonadiabatic heating of the central plasma sheet at substorm onset, *J. Geophys. Res.*, *97*(A2), 1481–1495, doi:10.1029/91JA02517.
- Ieda, A., S. Machida, T. Mukai, Y. Saito, T. Yamamoto, A. Nishida, T. Terasawa, and S. Kokubun (1998), Statistical analysis of the plasmoid evolution with Geotail observations, *J. Geophys. Res.*, *103*(A3), 4453–4465, doi:10.1029/97JA03240.
- Juusola, L., N. Ostgaard, E. Tanskanen, N. Partamies, and K. Snekvik (2011), Earthward plasma sheet flows during substorm phases, *J. Geophys. Res.*, *116*, A10228, doi:10.1029/2011JA016852.
- Kistler, L. M., W. Baumjohann, T. Nagai, and E. Mobius (1993), Superposed epoch analysis of pressure and magnetic-field configuration changes in the plasma sheet, *J. Geophys. Res.*, *98*(A6), 9249–9258, doi:10.1029/93JA00630.
- Kistler, L. M., et al. (2006), Ion composition and pressure changes in storm time and nonstorm substorms in the vicinity of the near-Earth neutral line, *J. Geophys. Res.*, *111*, A11222, doi:10.1029/2006JA011939.
- Koskinen, H. E. J., and E. I. Tanskanen (2002), Magnetospheric energy budget and the epsilon parameter, *J. Geophys. Res.*, *107*(A11), 1415, doi:10.1029/2002JA009283.
- Kropotkin, A. P., and A. T. Y. Lui (1995), Quasi-Static evolution of the magnetosphere—The substorm growth-phase, *J. Geophys. Res.*, *100*(A9), 17,231–17,239, doi:10.1029/95JA00792.
- Liu, Y., L. M. Kistler, C. G. Mouikis, B. Klecker, and I. Dandouras (2013), Heavy ion effects on substorm loading and unloading in the Earth's magnetotail, *J. Geophys. Res. Space Phys.*, *118*, 2101–2112, doi:10.1002/jgra.50240.
- Lui, A. T. Y. (2004), Potential plasma instabilities for substorm expansion onsets, *Space Sci. Rev.*, *113*(1-2), 127–206.
- Markwardt, C. B. (2009), Non-linear least-squares fitting in IDL with MPFIT, *Astr. Soc. P.*, *411*, 251–254.

- Mcfherron, R., C. T. Russell, and M. P. Aubry (1973), Satellite studies of magnetospheric substorms on August 15, 1968.9, Phenomenological model for substorms, *Eos Trans. AGU*, *54*(4), 206–206.
- Milan, S. E., A. Grocott, C. Forsyth, S. M. Imber, P. D. Boakes, and B. Hubert (2009), A superposed epoch analysis of auroral evolution during substorm growth, onset and recovery: Open magnetic flux control of substorm intensity, *Ann. Geophys.-Germany*, *27*(2), 659–668.
- Miyashita, Y., et al. (2009), A state-of-the-art picture of substorm-associated evolution of the near-Earth magnetotail obtained from superposed epoch analysis, *J. Geophys. Res.*, *114*, A01211, doi:10.1029/2008JA013225.
- Morley, S. K., M. P. Freeman, and E. I. Tanskanen (2007), A comparison of the probability distribution of observed substorm magnitude with that predicted by a minimal substorm model, *Ann. Geophys.-Germany*, *25*(11), 2427–2437.
- Nagai, T., T. Mukai, T. Yamamoto, A. Nishida, S. Kokubun, and R. P. Lepping (1997), Plasma sheet pressure changes during the substorm growth phase, *Geophys. Res. Lett.*, *24*(8), 963–966, doi:10.1029/97GL00374.
- Nagata, D., S. Machida, S. Ohtani, Y. Saito, and T. Mukai (2008), Solar wind control of plasma number density in the near-Earth plasma sheet: Three-dimensional structure, *Ann. Geophys.-Germany*, *26*(12), 4031–4049.
- Newell, P. T., and J. W. Gjerloev (2011a), Substorm and magnetosphere characteristic scales inferred from the SuperMAG auroral electrojet indices, *J. Geophys. Res.*, *116*, A12232, doi:10.1029/2011JA016936.
- Newell, P. T., and J. W. Gjerloev (2011b), Evaluation of SuperMAG auroral electrojet indices as indicators of substorms and auroral power, *J. Geophys. Res.*, *116*, A12211, doi:10.1029/2011JA016779.
- Nishimura, Y., L. R. Lyons, S. Zou, V. Angelopoulos, and S. B. Mende (2011), Categorization of the time sequence of events leading to substorm onset based on THEMIS all-sky imager observations, *IAGA Spec. Sopron*, *3*, 133–142.
- Perreault, P., and S. I. Akasofu (1978), Study of geomagnetic storms, *Geophys. J. R. Astron. Soc.*, *54*(3), 547–573.
- Pontius, D. H., and R. A. Wolf (1990), Transient flux tubes in the terrestrial magnetosphere, *Geophys. Res. Lett.*, *17*(1), 49–52, doi:10.1029/GL017i001p00049.
- Pritchett, P. L., and F. V. Coroniti (2013), Structure and consequences of the kinetic ballooning/interchange instability in the magnetotail, *J. Geophys. Res. Space Phys.*, *118*(1), 146–159, doi:10.1029/2012JA018143.
- Rae, I. J., et al. (2009), Near-Earth initiation of a terrestrial substorm, *J. Geophys. Res.*, *114*, A07220, doi:10.1029/2008JA013771.
- Rae, I. J., C. E. J. Watt, K. R. Murphy, H. U. Frey, L. G. Ozeke, D. K. Milling, and I. R. Mann (2012), The correlation of ULF waves and auroral intensity before, during and after substorm expansion phase onset, *J. Geophys. Res.*, *117*, A08213, doi:10.1029/2012JA017534.
- Reme, H., et al. (1997), The Cluster ion spectrometry (CIS) experiment, *Space Sci. Rev.*, *79*(1-2), 303–350.
- Richardson, I. G., S. W. H. Cowley, E. W. Hones, and S. J. Bame (1987), Plasmoid-Associated energetic ion bursts in the deep geomagnetic tail—Properties of plasmoids and the postplasmoid plasma sheet, *J. Geophys. Res.*, *92*(A9), 9997–10,013, doi:10.1029/JA092iA09p09997.
- Richardson, J. D., and C. W. Smith (2003), The radial temperature profile of the solar wind, *Geophys. Res. Lett.*, *30*(5), 1206, doi:10.1029/2002GL016551.
- Sergeev, V. A., V. Angelopoulos, and R. Nakamura (2012), Recent advances in understanding substorm dynamics, *Geophys. Res. Lett.*, *39*, L05101, doi:10.1029/2012GL050859.
- Shue, J. H., J. K. Chao, H. C. Fu, C. T. Russell, P. Song, K. K. Khurana, and H. J. Singer (1997), A new functional form to study the solar wind control of the magnetopause size and shape, *J. Geophys. Res.*, *102*(A5), 9497–9511, doi:10.1029/97JA00196.
- Sibeck, D. G., R. E. Lopez, and E. C. Roelof (1991), Solar-wind control of the magnetopause shape, location, and motion, *J. Geophys. Res.*, *96*(A4), 5489–5495, doi:10.1029/90JA02464.
- Tanskanen, E., T. I. Pulkkinen, H. E. J. Koskinen, and J. A. Slavin (2002), Substorm energy budget during low and high solar activity: 1997 and 1999 compared, *J. Geophys. Res.*, *107*(A6), 1086, doi:10.1029/2001JA900153.
- Tsyganenko, N. A., and T. Mukai (2003), Tail plasma sheet models derived from Geotail particle data, *J. Geophys. Res.*, *108*(A3), 1136, doi:10.1029/2002JA009707.
- Tsyganenko, N. A., and D. P. Stern (1996), Modeling the global magnetic field of the large-scale Birkeland current systems, *J. Geophys. Res.*, *101*(A12), 27,187–27,198, doi:10.1029/96JA02735.
- Volwerk, M., et al. (2011), Interplanetary magnetic field rotations followed from L1 to the ground: The response of the Earth's magnetosphere as seen by multi-spacecraft and ground-based observations, *Ann. Geophys.-Germany*, *29*(9), 1549–1569.
- Walsh, A. P., et al. (2010), Comprehensive ground-based and in situ observations of substorm expansion phase onset, *J. Geophys. Res.*, *115*, A00113, doi:10.1029/2010JA015748.