

1 *An In Vitro* Test of the Efficacy of an Anti-Biofilm Wound Dressing

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4 Jawal Said<sup>a</sup>, Michael Walker<sup>b</sup>, David Parsons<sup>b</sup>, Paul Stapleton<sup>a</sup>, Anthony E. Beezer<sup>a</sup>,

5 Simon Gaisford<sup>a\*</sup>

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7 <sup>a</sup>UCL School of Pharmacy, University College London, 29-39 Brunswick Square,

8 London, WC1N 1AX, UK

9 <sup>b</sup>ConvaTec GDC, First Avenue, Deeside Industrial Park, Deeside, Flintshire, CH5

10 2NU, UK

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16 \*Corresponding author

17 email: s.gaisford@ucl.ac.uk

18 Tel: +44(0)20 7753 5863

19 Fax: +44(0)20 7753 5942

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23 **Abstract**

24 Broad-spectrum antimicrobial agents, such as silver, are increasingly being  
25 formulated into medicated wound dressings in order to control colonization of wounds  
26 by opportunistic pathogens. Medicated wound dressings have been shown *in-vitro* to  
27 be effective against planktonic cultures, but *in-vivo* bacteria are likely to be present in  
28 biofilms, which makes their control and eradication more challenging. Recently, a  
29 functional wound dressing (AQUACEL<sup>®</sup> Ag+ Extra<sup>™</sup> (AAG+E)) has been developed  
30 that in addition to silver contains two agents (ethylenediaminetetraacetic acid (EDTA)  
31 and benzethonium chloride (BC)) designed to disrupt biofilms. Here, the efficacy of  
32 AAG+E is demonstrated using a biofilm model developed in an isothermal  
33 microcalorimeter. The biofilm was seen to remain viable in the presence of  
34 unmedicated dressing, silver-containing dressing or silver nitrate solution. In the  
35 presence of AAG+E, however, the biofilm was eradicated. Control experiments  
36 showed that neither EDTA nor BC alone had a bactericidal effect, which means it is  
37 the synergistic action of EDTA and BC disrupting the biofilm with silver being  
38 bactericidal that leads to the product's efficacy.

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41 **Key words:**

42 *Staphylococcus aureus*; biofilm; isothermal calorimetry; wound dressings; silver

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45 **1. Introduction**

46 The process of healing of chronic cutaneous wounds is complex and may be affected  
47 by the presence of microorganisms (Bowler, 2002). In particular, bacterial  
48 contamination may slow wound healing, result in damage to surrounding tissue and  
49 ultimately lead to infection of the host (Landis, 2008). Progression to infection may  
50 also be aided by other factors including poor blood supply to the wound and the  
51 intrinsic virulence properties of the invading organisms (Siddiqui and Bernstein, 2010;  
52 Bowler et al, 2001).

53 The fact that treatment of bacterial infection at the wound site has the potential  
54 significantly to reduce the time for wound healing has led to the development of a  
55 number of medicated wound dressings containing antimicrobial agents. Silver is a  
56 particularly widely used agent, as it shows broad antimicrobial (against both Gram-  
57 negative and Gram-positive organisms, Mirafatab et al, 2014) and anti-fungal activity  
58 (Bowler et al, 2005), although there is debate as to the specific efficacy of silver (Aziz  
59 et al, 2012; White and Cutting, 2006) and to its potential toxicity (Hermans, 2006).

60 An added complexity in the treatment of chronic wound infections is that the  
61 organisms are frequently present as biofilms, with this form of bacterial growth being  
62 increasingly implicated in cases of poor wound healing (Hurlow and Bowler, 2012).

63 Evidence for biofilm involvement in chronic wounds comes from macroscopic (Hurlow  
64 and Bowler, 2012; Metcalf and Bowler, 2013) and microscopic observation of biofilms  
65 (James et al., 2008; Metcalf and Bowler, 2013). A study involving *in vivo* wound  
66 models of a *Staphylococcus aureus* infection also showed microscopic evidence of  
67 biofilm formation along with a demonstration of physiological differences between  
68 planktonic cells and biofilm bacteria recovered from wounds (Davis et al. 2008).

69 While the drivers for biofilm formation are not completely clear, experimentally it is  
70 usually the case that microbial biofilms show reduced antimicrobial susceptibility than  
71 comparable planktonic organisms (Davis et al, 2008, Percival et al, 2011). Antibiotic  
72 resistance has been ascribed to several mechanisms, including the production of

73 inactivating enzymes, the presence of persister cells and the protective effects of  
74 extracellular polymeric substances (EPS) (Smith, 2005).  
75 Successful treatment of chronic wound infections therefore requires development of  
76 next generation of medicated dressings that are efficacious against biofilms.  
77 AQUACEL<sup>®</sup> Ag+ Extra<sup>™</sup> (AAg+E) is a recently developed dressing that contains two  
78 agents that are known to disrupt biofilms, ethylenediaminetetraacetic acid (EDTA)  
79 and benzethonium chloride (BC), in addition to ionic silver as an antimicrobial agent.  
80 A recent cohort analysis of wound healing data involving 121 clinical cases showed  
81 AAg+E to result in progress towards healing in over 90% of wounds which were  
82 previously stalled, infected or at risk of infection and with a high suspicion of biofilm  
83 contamination (Metcalf et al, 2014).  
84 Determination of wound dressing efficacy using analytical or traditional  
85 microbiological methods is tricky, because of the challenges inherent in determining  
86 viable bacterial counts in a heterogeneous system. Isothermal microcalorimetry  
87 (IMC) is one technique that offers potential in this area, since it can detect the power  
88 resulting from bacterial growth without requiring optical clarity of the sample. We  
89 showed previously how IMC can be used to quantitate wound dressing efficacy  
90 (Gaisford et al, 2009; Said et al, 2014) against planktonic cultures of two common  
91 wound pathogens. To use IMC to investigate the efficacy of AAg+E against biofilms,  
92 however, requires development of a biofilm model. Hence, the specific aim of this  
93 work was to develop a biofilm model suitable for use with IMC and to use the model  
94 to explore the efficacy of AAg+E.

95

## 96 **2. Material and Methods**

97 Ethylenediaminetetraacetic acid (EDTA), benzethonium chloride (BC) and silver  
98 nitrate (AgNO<sub>3</sub>) were purchased from Sigma (UK) and used as received. Wound  
99 dressings, AQUACEL<sup>®</sup> (AH), AQUACEL<sup>®</sup> Ag (AAgH) or AQUACEL<sup>®</sup> Ag Extra  
100 (AAg+E) were supplied by ConvaTec Ltd. The wound dressings, all comprised of

101 sodium carboxymethylcellulose fibres, differ in that AH has no antimicrobial agent  
102 while AAgH contains ionic silver and AAg+E contains ionic silver, EDTA and BC.  
103 The challenge organism, *Staphylococcus aureus* NCIMB 9518, was grown overnight  
104 in nutrient broth (NB; Oxoid Ltd) for 16h at 37 °C. Cells were then harvested, washed  
105 in phosphate buffered saline (PBS), resuspended in 15 %v/v glycerol at an organism  
106 density of 10<sup>8</sup> cfu/ml and frozen in aliquots (1 mL) over liquid nitrogen (Beezer et al,  
107 1976; Cosgrove, 1979). Aliquots were stored under liquid nitrogen until required.  
108 Previous experience (data not shown) has indicated that organisms can be stored for  
109 over 6 years in this frozen state and remain viable post thawing with less than 1%  
110 decrease in viability.

111 Ampoules were prepared by adding molten agar (300 µL, Agar No. 3, Oxoid), which  
112 was allowed to set. Tryptone soya broth (TSB, 1 mL) was added to prepared  
113 ampoules and inoculated with *S. aureus* to a final population density of 1x10<sup>6</sup> cfu/mL.  
114 The ampoules were then sealed and incubated at 37 °C with agitation for 24 hours.  
115 Thereafter, the ampoules were opened and the TSB was carefully poured out. The  
116 ampoules were gently rinsed with sterile distilled water a minimum of five times to  
117 ensure effective removal of planktonic cells. Fresh, sterile TSB (1 mL) and where  
118 required wound dressing (3.3 mg), EDTA (0.14% w/v), BC (0.4% w/v) or AgNO<sub>3</sub> (1 x  
119 10<sup>-4</sup> M) was then added to the ampoules, which were hermetically sealed and placed  
120 into calorimeters.

121 Cell counts were determined after each calorimetric experiment. After removal of  
122 TSB and extensive rinsing, as above, fresh PBS was added to ampoules, which were  
123 then sealed. Ampoules were then briefly vortexed before being sonicated for a period  
124 of 5 minutes. Colony counts were determined by serially diluting the PBS from the  
125 ampoules and spread plating onto iso-sensitest agar. Colonies were counted  
126 following 16h incubation at 37 °C.

127 Calorimetric data were recorded with a 2277 Thermal Activity Monitor (TAM; TA  
128 Instruments Ltd, UK) operated at 37 °C. Data capture was initiated exactly 30 min

129 post inoculation with the dedicated software package Digitam 4.1 (1 data point every  
130 10 s, amplifier setting 300  $\mu$ W). The instrument was calibrated periodically by the  
131 electrical substitution method. Data were analysed using Origin 8.1 (Microcal  
132 Software Inc).

133

### 134 **3. Results and Discussion**

135 Although not discussed here, it was not possible to culture a biofilm directly onto the  
136 glass walls of the ampoule. Rather, the agar layer was necessary to encourage  
137 biofilm attachment and growth. A similar effect has been noted in ecological research  
138 where a twofold increase in the accumulation of diatoms (phytoplanktonic algae) was  
139 seen on a surface when unenriched agar was added (Stevenson, 1983). The  
140 rationale in that study was the use of agar to ‘simulate mucilage of immigrating  
141 organisms’, in other words to create a ‘slimy’, polymeric conditioning film. In relation  
142 to *in vivo* correlation it has been suggested that the use of agar simulates a microbial  
143 colonisation of a moist surface, similar to wounds (Daeschlein, 2010).

144 The physiology of cells in biofilms is distinct from those in planktonic culture because  
145 of their sessile nature and differences in exposure to nutrients and gases in their  
146 microenvironment (Stewart and Franklin, 2008). Diffusion is the main mechanism of  
147 delivery of solutes to biofilm clusters (Stewart, 2003) and so concentration gradients  
148 exist that depend on the thickness of the biofilm. It follows that delivery of  
149 antimicrobial agents will similarly be rate limited by this diffusion mechanism, and  
150 their efficacy may be reduced relative to planktonic cultures (up to a 1000 fold  
151 decrease in susceptibility has been reported, Stewart and Costerton, 2001).

152 Similarly, the power produced by an established biofilm will differ from that of a  
153 planktonic culture. As has been discussed in the literature (Braissant et al, 2010;  
154 Said et al, 2014) the power output from planktonic cultures will show a series of  
155 exothermic peaks and troughs with time as the organisms utilise the available  
156 nutrients to increase in number. Integration of the power data with respect to time

157 gives a plot of cumulative heat versus time, which is comparable to the standard  
158 growth curves determined with optical density (OD) readings.

159 Typical power-time data for *S. aureus* biofilms are shown in Figure 1. Biofilms were  
160 found not to give as repeatable data as planktonic cultures. Similar variability was  
161 seen in a study by Astasov-Frauenhoffer et al (2012). However, the degree of  
162 variability was small enough to permit study of the efficacy of the dressing. It is  
163 apparent that there is an exothermic peak initially, followed by a smaller, but  
164 persistent, exothermic power. It is assumed that the initial peak represents either  
165 growth of planktonic cells released from the biofilm or growth and metabolism within  
166 the biofilms themselves. The power then resolves to a non-zero baseline, which is  
167 assumed to be associated with biofilm metabolism.

168 The presence of the initial exotherm is surprising. Following 24 hours incubation, the  
169 putative biofilm samples were extensively rinsed in order to remove planktonic cells.  
170 Each rinsing can be considered as a 1:1 dilution as the volume of diluent used was  
171 equivalent to that of the original growth medium in the culture. As such, very little or  
172 no power, or at least a significant delay in onset of power signals, would be expected  
173 from planktonic cells as these would have been diluted down to undetectable levels  
174 (given that the lower limit of detection for IMC is approximately  $1 \times 10^5 - 1 \times 10^6$  cfu/mL,  
175 Said et al, 2014).

176 Cell counts for biofilm samples suggest a potential explanation. Immediately following  
177 preparation (i.e. before being loaded into the calorimeter) the average cell count was  
178  $4 \times 10^7$  cfu/mL. After 24 h in the calorimeter, the average cell count had increased to  
179  $1 \times 10^8$  cfu/mL. This suggests that cell division continues within the biofilm, presumably  
180 until the available nutrients and/or gases in the fresh medium are exhausted, after  
181 which the system reverts to a stasis metabolism. An alternative explanation is that  
182 since rinsing will disturb the isotonic balance of the system, the biofilm responds by  
183 dispersing bacteria from the film as a survival response. These bacteria then grow as  
184 a planktonic population.

185 The corresponding cumulative heat plot for the biofilm is shown in Figure 2 (all further  
186 data are shown as cumulative heat plots for familiarity and ease of comparison with  
187 OD data).

188 Previously (Said et al, 2014) we showed that AgNO<sub>3</sub> at a concentration of 1 x 10<sup>-4</sup> M  
189 was bactericidal against planktonic *S. aureus*. Figure 3 shows the growth curves for  
190 planktonic and biofilm cultures in the presence of this silver concentration. As  
191 expected, no growth is seen in planktonic culture, but the biofilm culture shows  
192 growth following an initial delay. The average cell count following the calorimetric  
193 experiment was 1.5 x 10<sup>7</sup> cfu/mL, not much lower than that recorded for the  
194 untreated biofilm, which confirms that biofilm formation confers on the participant  
195 organisms a significant degree of protection against antimicrobial agents.

196 The implication of this finding is that a wound dressing containing silver alone might  
197 be less effective against a biofilm culture, and this effect is indeed observed  
198 experimentally, Figure 4. Dressing alone (AH) did not inhibit growth, while silver-  
199 containing dressing (AAGH) only delayed growth (the same effect noted above in the  
200 silver nitrate experiments).

201 Successful eradication of an established biofilm requires delivery of agents to disrupt  
202 biofilm structure in addition to an antimicrobial compound. This is the formulation  
203 strategy behind AAg+E and the data in Figure 4 show a significant reduction in  
204 growth with this dressing. The average cell count following exposure to AAg+E was 2  
205 x 10<sup>4</sup> cfu/mL, so the dressing can be deemed to be bactericidal in nature because it  
206 induced a 3 log reduction in viable cells relative to the control.

207 The question then remains as to the relative contributions of the components in  
208 AAg+E to the overall efficacy of the dressing. Biofilms were exposed to solutions  
209 containing only AgNO<sub>3</sub>, only EDTA and BC or all three components (Figure 5). In all  
210 cases a small amount of AH (i.e. plain dressing) was included, the intention being to  
211 imitate the action of a dressing containing only these components. It is apparent that  
212 EDTA and BC cause an initial delay but then growth is seen which is identical to the



213 control. We noted previously that the presence of AH can alter the kinetics of growth  
214 (Said et al, 2014), possibly because the hydrofiber network can sequester planktonic  
215 bacteria, changing the rate of supply of nutrients and altering local pH. It is also  
216 possible that the hydrofiber itself can cause physical disruption of a biofilm. An effect  
217 on growth rate in the presence of AH is seen in Figure 4. (Silver nitrate is seen to  
218 inhibit growth for at least 10h, after which growth similar to the control is seen. In  
219 neither case therefore do these components act to inhibit the biofilm. When they are  
220 added in combination, however, a reduction in growth is seen, which confirms the  
221 hypothesis that it is the combination of biofilm disrupters and an antimicrobial agent  
222 working in synergy that is necessary for efficacy.

223 The effect of EDTA has been attributed to its properties as a metal chelator, since the  
224 chelation of divalent cations that are important in ensuring the structural integrity of  
225 cells causes disruption and prolonged exposure may result in a biocidal effect (Banin  
226 et al., 2005). Certain divalent cations have also been indicated in the structural  
227 integrity of the biofilm EPS matrix (Banin et al., 2005; Percival et al., 2005) and  
228 sequestration of these cations may result in dispersal of the biofilm.

229 EDTA may also play a role in the delivery of silver to the bacteria. Since silver is  
230 contained in the dressing in its ionic form, delivery to its site of action will be affected  
231 both by the concentration of free silver in solution and the relative association  
232 constants between silver and components in solution and the bacterial cell wall. It is  
233 likely that binding to EDTA affects the relative positions of these association  
234 constants, and since the silver appears to have faster action in the presence of EDTA  
235 the data imply the association constant of silver with EDTA is fast, ensuring silver  
236 ions are rapidly removed from the dressing, but not as strong as the association  
237 constants of silver with the cells.

238 BC, on the other hand, is a surfactant. In high concentrations BC has antiseptic and  
239 anti-infective properties that have been shown to have anti-biofilm activity against  
240 oral fungal biofilms (Ichikawa et al., 2008), but the concentrations achieved from

241 AAg+E are below the MIC. Thus, BC acts to reduce surface tension, affecting biofilm  
242 architecture and cell-cell interactions (Davey et al., 2003), promoting the activity of  
243 silver when delivered with EDTA.

#### 244 **4. Summary**

245

246 A biofilm model was developed that enabled the use of IMC to test the efficacy of an  
247 anti-biofilm wound dressing. It was shown that a broad spectrum antimicrobial agent  
248 alone was not effective against the biofilm but that when biofilm disrupting agents  
249 were included in the dressing bactericidal action was seen.

250

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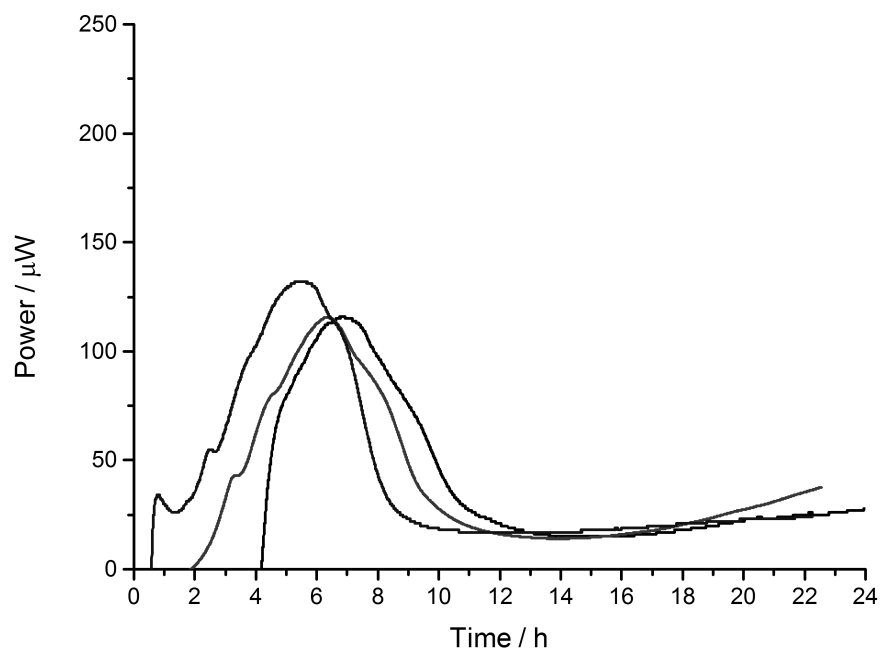
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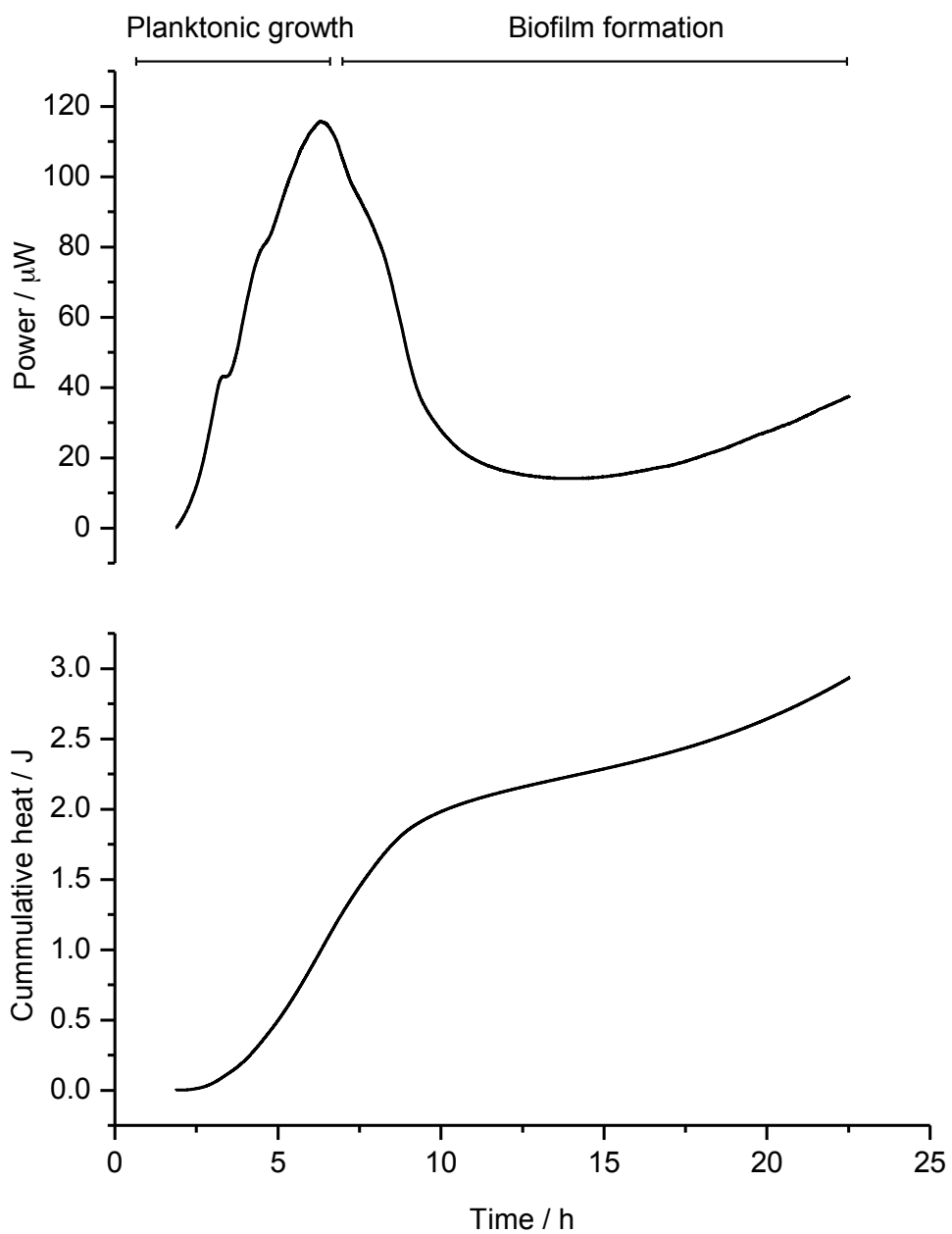
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373 **Figure 1: Power-time data for biofilms grown on agar, showing the level of**  
374 **reproducibility for three samples.**





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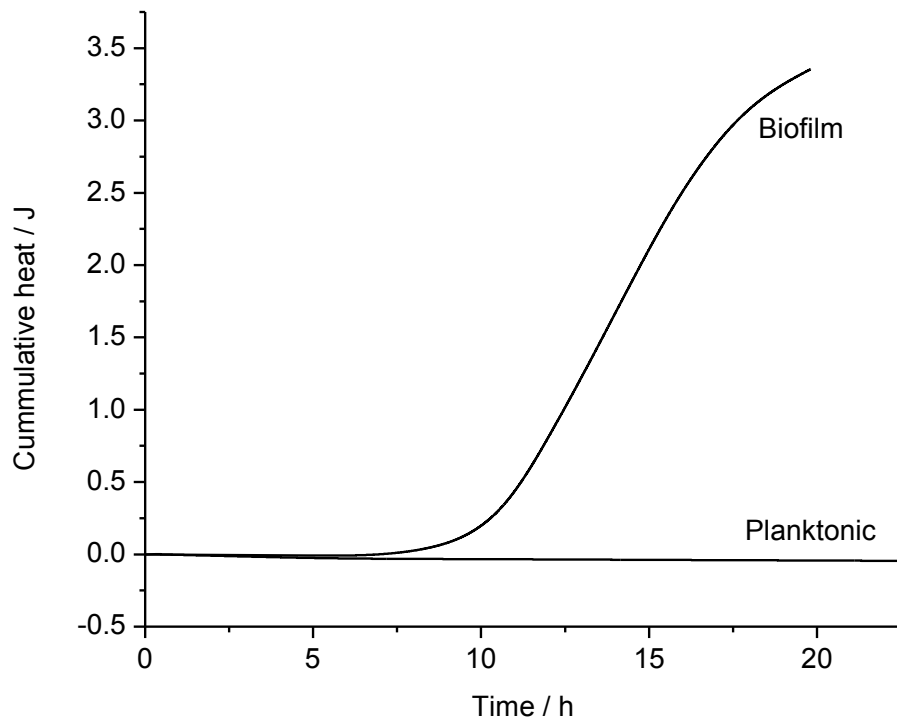
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377 **Figure 2: Power-time data for the control biofilm (bottom) and corresponding**

378 **growth curve (top)**

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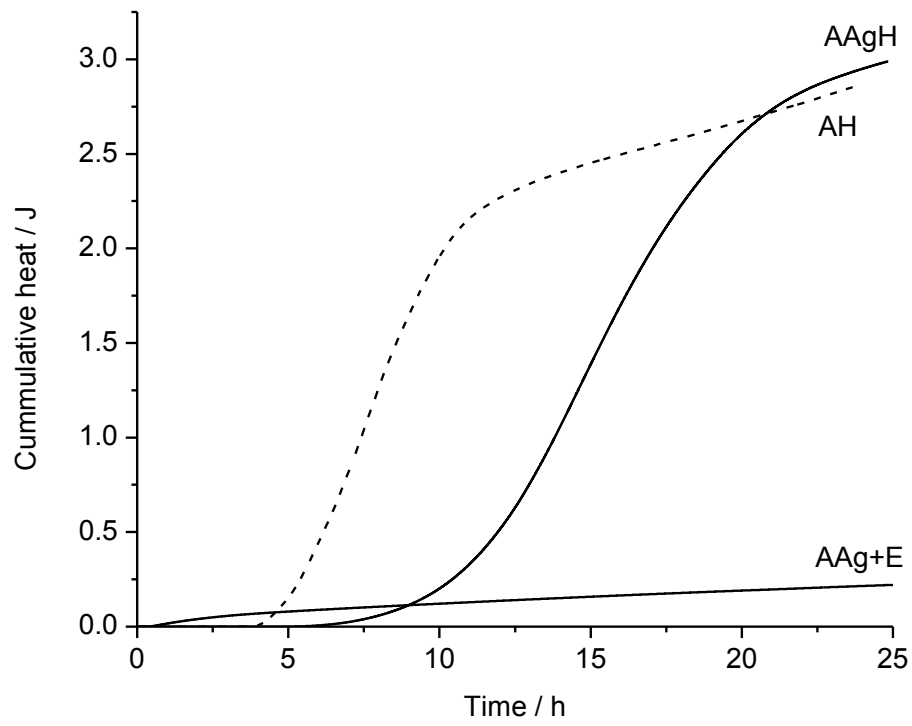
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383 **Figure 3: Growth curves for planktonic and biofilm cultures in the presence of**

384  **$1 \times 10^{-4}$  M  $\text{AgNO}_3$ .**

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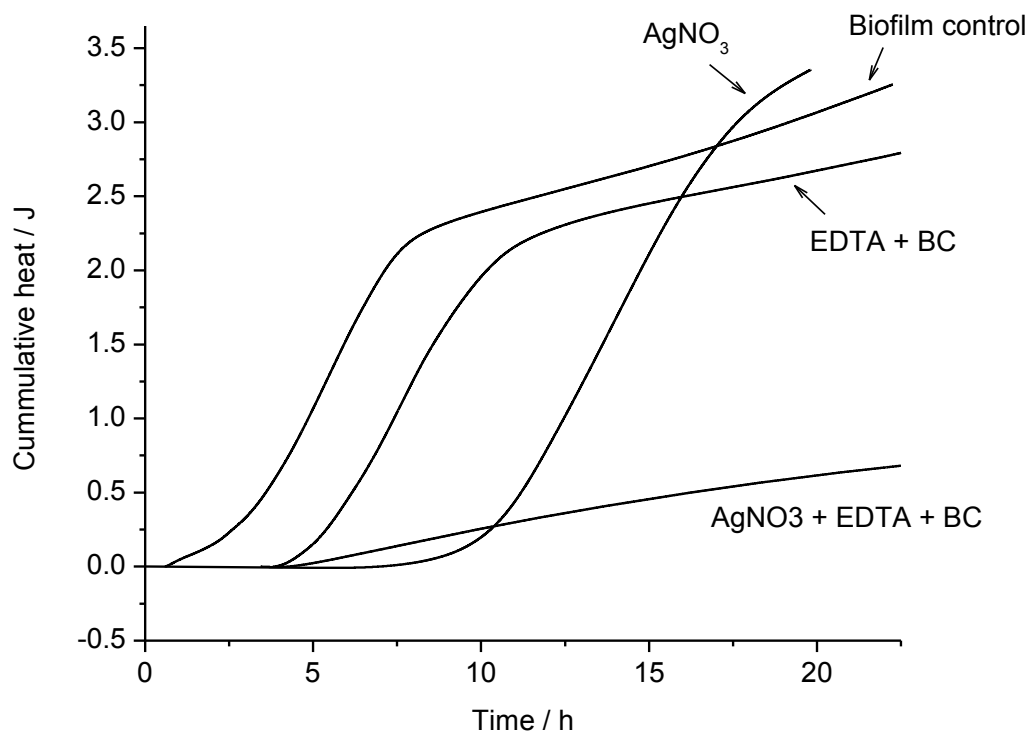


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388 **Figure 4: Growth curves for biofilms in the presence of AH, AAgH and AAg+E.**

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391

392 **Figure 5: Growth curves for biofilm alone and in the presence of AgNO<sub>3</sub>, EDTA**

393 **+ BC and AgNO<sub>3</sub> + EDTA + BC.**

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