

Disentangling Steric and Electrostatic Factors in Nanoscale Transport Through Confined Space

*Steven Buchsbaum,^{1,†} Nick Mitchell,^{2,†} Hugh Martin,³ Matt Wiggin,⁴ Andre Marziali,⁴ Peter V.
Coveney,³ Zuzanna Siwy,^{1,*} Stefan Howorka^{2,*}*

¹ School of Physical Sciences, University of California, Irvine, CA 92697, ² Department of
Chemistry, Institute of Structural Molecular Biology, University College London, London
WC1H 0AJ, U.K., ³ Department of Chemistry, Centre for Computational Science, University
College London, London WC1H 0AJ, U.K., ⁴ Department of Physics & Astronomy, University
of British Columbia, Vancouver BC V6T 1Z1, Canada

[†]These authors contributed equally to the work.

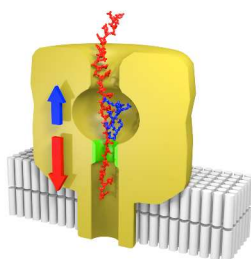
* Corresponding authors: s.howorka@ucl.ac.uk, zsiwy@uci.edu

Phone: 0044 20 7679 4702, Fax: 0044 20 7679 7463

TITLE RUNNING HEAD: Dis-entangling Nanoscale Transport

1
2
3 ABSTRACT
4
5

6 The voltage-driven passage of biological polymers through nanoscale pores is an analytically,
7 technologically, and biologically relevant process. Despite various studies on homopolymer
8 translocation there are still several open questions on the fundamental aspects of the pore
9 transport. One of the most important unresolved issues revolves around the passage of
10 biopolymers which vary in charge and volume along their sequence. Here we exploit an
11 experimentally tunable system to disentangle and quantify electrostatic and steric factors. This
12 new, fundamental framework facilitates the understanding of how complex biopolymers are
13 transported through confined space and indicates how biopolymer translocation can be slowed
14 down to enable future sensing methods.
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29



39
40 SYNOPSIS: The voltage-driven translocation of complex biopolymers through a protein
41 nanopore is biophysically modeled to disentangle and quantify electrostatic and steric factors
42 which can oppose electrophoretic movement.
43
44
45
46
47
48
49
50

51
52 KEYWORDS: Single-molecule, nanopore, DNA, peptide, kinetics, biophysical model
53
54
55
56
57
58
59
60

INTRODUCTION

The transport of biopolymers through nanoscale channels is of relevance in nanopore analytics, nanofiltration,¹ and biology. In nanopore analytics, single molecules are detected via the passage-induced changes in ionic current.²⁻⁷ The approach can be implemented with protein^{4, 8-10} and solid-state nanopores¹⁰⁻¹⁶ and has gained popularity¹⁷ due to its simplicity, the wide range of accessible analytes,^{2, 13, 18-20} and the prospect of DNA sequencing²¹⁻²³ based on nucleic acid sensing.²⁴⁻²⁷ The translocation of protein and DNA strands through protein channels is also a biologically relevant process in pathogenic bacteria and human cells.

Reflecting the technological applications, a fundamental understanding of nanoscale transport including a biophysical model is key. Detailed insight has been gained from studies on pores of known molecular structure and the electrophoretically-driven movement of individual DNA and RNA strands.^{3, 11, 21, 23, 28-30} Several biophysical parameters have been determined such as threading frequency,³¹⁻³⁴ orientation of strands,³⁵⁻³⁹ velocity of DNA transport,⁴⁰ influence of pore geometry,⁴¹ and interactions with the pore wall.³⁹ In addition to nucleic acids, translocation has also been investigated for peptides,⁴²⁻⁴⁵ proteins,⁴⁶⁻⁴⁸ and peptide-oligonucleotide conjugates,⁴⁹ which, unlike nucleic acid strands, frequently feature inhomogeneous charge distributions and vary considerably in diameter along the polymer sequence.

Here, we ask the fundamental question of how charge and steric factors of biopolymers influence their transport through nanopores. The relevance of this topic is highlighted by the increasing role of polypeptides⁵⁰ and DNA strands with point mutations^{24, 26, 27} in electrical

1
2
3 sensing and, in more general terms, the need to develop a generic biophysical model applicable
4
5 to many different molecules and pores. Current models for homogeneously charged DNA strands
6
7 do not account for biopolymers where charge and size vary along the sequence. In this study we
8
9 address this shortcoming by using an interdisciplinary approach comprising chemically defined
10
11 biopolymers, nanopore recordings, biophysical modeling, and computational molecular
12
13 simulations. By examining the translocation of biopolymers as a function of tunable diameter,
14
15 length and charge, as well as applied potential, we generate a biophysical model able to
16
17 disentangle and quantify steric and electrostatic factors in pore translocation, something hitherto
18
19 not achieved.
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

RESULTS AND DISCUSSION

Defining the components of the experimental system. We determined the influence of charge and size in the voltage-driven biopolymer translocation using the α -hemolysin (α HL) pore. This natural membrane protein is of known structure (Figure 1A)⁵¹ and a widely accepted reference standard in nanopore studies. With regard to biopolymer translocation, the structurally most important part of α HL channel (Figure 1A, yellow) is its 1.3 nm-wide inner constriction (Figure 1A, green). The narrow bottleneck limits the passage to single stranded DNA (ssDNA) molecules and is the site where the majority of an applied electric field drops off.^{37, 52, 53} The electric field is the electrophoretic driving force which moves a negatively charged ssDNA molecule (Figure 1A, red) from e.g. the *cis* to the positive pole on the *trans* side of the pore. Given the key role of the inner constriction, we surmised that a non-homogeneous ssDNA molecule with a wide central segment of tunable charge and length (Figure 1A, blue) would help elucidate the influence of sterics and electrostatics in pore translocation. In particular, one could foresee that a strand with a central wide segment of positive charge would be slowed down in the inner constriction due to the steric bulk and the lack of electrophoretic force (Figure 1A, arrows).

In order to disentangle these two factors, we synthesized DNA molecules with a wide segment, tunable length and charge as shown schematically in Figure 1B. A common DNA oligonucleotide of 27 nt carries a positively charged oligoarginine tag of three, five or seven residues covalently attached at an internal base position (R₃-DNA, R₅-DNA, R₇-DNA; Figure 1B, blue) or a negatively charged hexa-aspartate tag (D₆-DNA; Figure 1B, magenta). The attachment is mediated by a linker (Figure 1B, black); the detailed chemical structure of DNA-

peptide conjugates is shown in Figure 1C. The strategy for the chemical synthesis of the conjugates⁴⁹ and evidence for their successful chemical preparation is provided in Supporting Information, Figures S-1, S-2, S-3 and Table S-1.

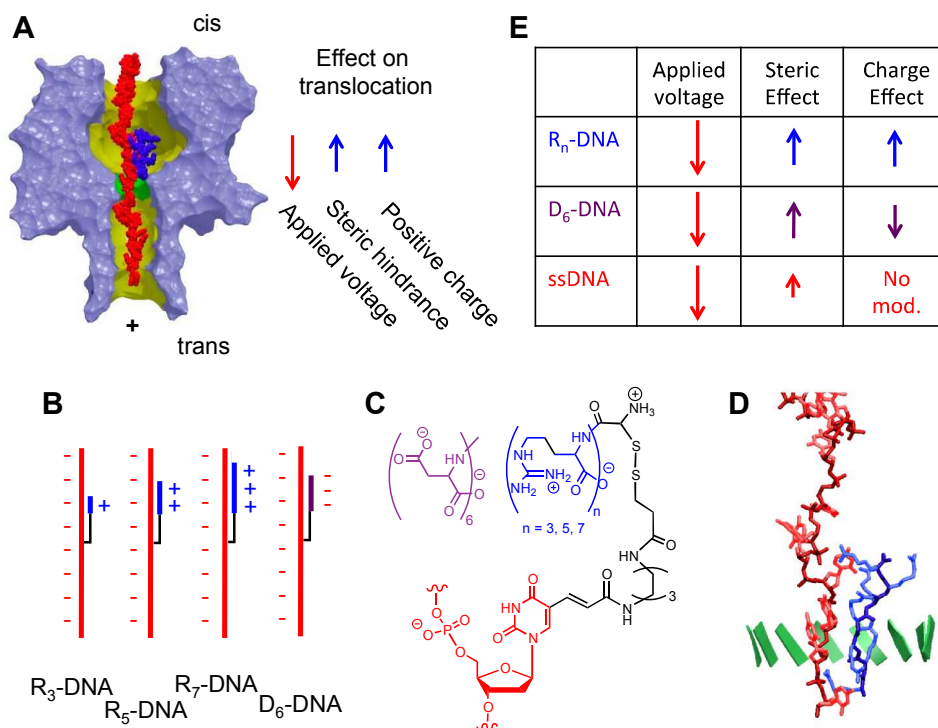


Figure 1. Bio-chemical components for examining pore translocation. (A) The α HL protein pore features a channel (yellow) with the inner constriction (green).⁵¹ A DNA strand (red) with an attached peptide (blue) encounters steric hindrance upon translocation through the constriction. (B) Four DNA oligonucleotides R₃-DNA, R₅-DNA, R₇-DNA, and D₆-DNA carry an oligoarginine tag (blue) of varying length or a hexa-aspartate tag (purple) attached to an internal base position. (C) Chemical structures of the oligoarginine and hexa-aspartate tags, linker, and DNA oligonucleotide. (D) Snap-shots of energetically equilibrated computer simulation of the R₇-DNA conjugate threaded inside the narrow inner constriction represented by the green-colored stripes (residues 112, 113, 146 and 147). (E) Summary table showing how steric and

1
2
3 electrostatic factors support or oppose the translocation of peptide-tagged DNA strands through
4
5 α HL.
6
7

8
9 We determined the width, length and net charge of the central segment of the conjugate
10 threaded inside α HL using molecular models and simulations^{54, 55} as detailed experimental data
11 are not available. The molecular model of the α HL pore was based on its X-ray coordinates
12 whereas the DNA oligonucleotide and the R₇-peptide were assembled *in silicio* based on the
13 known monomer dimensions and molecular modeling software. In the computational
14 simulations, the R₇-DNA conjugate was threaded into the narrow inner constriction of the pore
15 via constant velocity-steered molecular dynamics simulations followed by equilibration for 4 ns
16 to obtain an energetically representative structure (Supporting Information, Figure S-4). As
17 illustrated by the close-up view of R₇-DNA (Figure 1D), the parallel aligned and slightly coiled
18 peptide strand is intertwined with DNA. Together, the biopolymers have an average diameter of
19 1.63 nm – in agreement with experimental studies^{56, 57} – but feature a smaller value of 1.39 nm at
20 the inner constriction (measured from the hard-sphere surfaces) (Supporting Information, Figure
21 S-5). This thickness is very close to the maximum width of 1.35 nm of the inner constriction
22 which has a corrugated surface at the measured residue position 113. Furthermore, the R₇-
23 peptide within the constriction has a pitch of 0.29 nm (C _{α} -C _{α}) which is lower than the value of
24 0.52 nm for the elongated DNA (C3'- C3'). The mismatch implies that the R_n-DNA segment has
25 a positive charge of 0.8 per DNA residue.
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50

51 Based on its width and positive charge, the central segment of the R_n-conjugates was expected
52 to slow down electrophoresis-driven translocation (Figure 1E). Conjugate D₆-DNA served as a
53 control due to its negative net charge yet similar diameter (Figure 1E).
54
55
56
57
58
59
60

1
2
3
4
5
6 **The translocation of R₃-DNA, R₅-DNA, R₇-DNA, and D₆-DNA through α HL.** The pore-
7
8 passage of peptide-modified DNA oligonucleotides was characterized using single-channel
9
10 current recordings. Under standard electrolyte conditions^{3, 35, 49} at 100 mV, the blank α HL pore
11
12 exhibited a conductance of 1930 ± 190 pS ($n = 4$, number of independent recordings), in line
13
14 with literature.^{49, 58, 59} Addition of the peptide-tagged strands R₃-DNA, R₅-DNA, and R₇-DNA to
15
16 the *cis* side gave rise to high-amplitude current blockades (Figure 2A-C, respectively). The
17
18 blockades most likely arise when individual net negatively charged DNA molecules are
19
20 electrophoretically driven through the inner constriction towards the positively polarized *trans*
21
22 side. For quantitative analysis, we only considered type I events (Figure 2A) which start with the
23
24 high-blockage state and likely represent a conjugate where DNA and peptide are aligned in
25
26 parallel (Figure 2A) with DNA threaded first into the pore. The other type II events had a
27
28 preceding mid-blockade level (Figure 2A) which probably stems from misfolded strands that
29
30 reside in the internal cavity³⁵ but eventually thread into the inner constriction to generate an
31
32 almost complete blockade.^{34, 49} Similarly, D₆-DNA blockades (Figure 2D) also showed two
33
34 types of events, and the noisier mid-blockade of type II events can be explained in a related
35
36 fashion as for the arginine-bioconjugates.⁶⁰
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

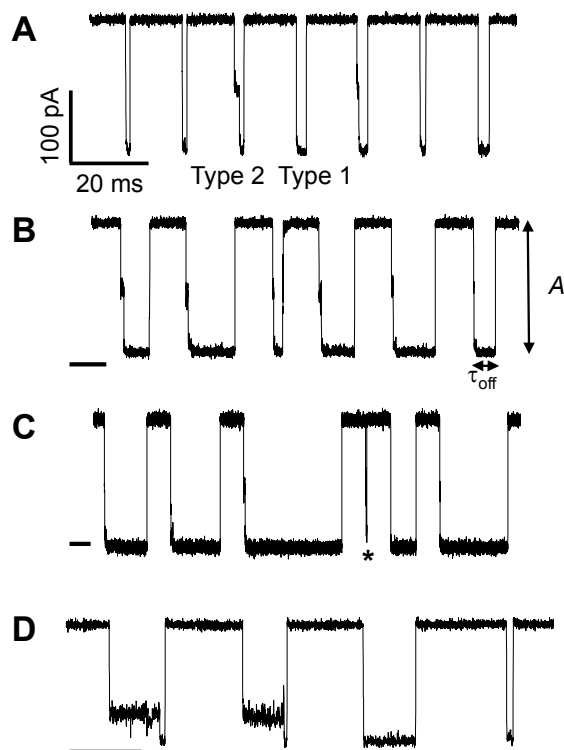


Figure 2. Representative single-channel current traces of α -HL with strands (A) R₃-DNA, (B) R₅-DNA, (C) R₇-DNA, and (D) D₆-DNA recorded at a transmembrane potential of +100 mV and in 2 M KCl, 20 mM Tris, pH 8.0. The current traces were acquired in the variable-length mode of Clampex where intermittent stretches of the open-channel current are omitted. A small and short current blockades in panel C (indicated by an asterisk) likely stem from a non-modified DNA strand. Impurities of DNA oligonucleotides without a peptide tag were very rare in the R₇-DNA traces as 98% of all events were of long duration and high-amplitude.

The amplitude of the blockades, A , (Figure 2B), obtained by all-point histogram analysis, were: R₃-DNA: 98.2 ± 0.5 %; R₅-DNA, 98.7 ± 0.5 %; R₇-DNA, 99.3 ± 0.4 %; and D₆-DNA, 97.4 ± 1.43 % of the open channel current (Figure 2). The amplitudes are high compared to non-

1
2
3 modified DNA oligonucleotides (91.7%),⁴⁹ suggesting that the peptide tag almost completely
4 blocks the inner pore by steric and/or electrostatic factors. The peptide tags also affected the
5 translocation duration, τ_{off} , (Figure 2B). Its characteristic average, τ^* , was obtained as described
6 in the Methods section (Supporting Information) using on average 2500 events per condition
7 (Supporting Information, Table S-2) and fitting to the Becquerel decay function^{39, 61} (Supporting
8 Information; Figure S-6). The characteristic duration for R₃-DNA, R₅-DNA and R₇-DNA events
9 was 2.4 ± 0.3 ms, 10.9 ± 1.2 ms and 19.1 ± 7.8 ms, respectively (Figure 2A-2C). The
10 dependence of translocation time on Arg-tag length is also visualized in Figure 3A at another
11 voltage. By comparison, the translocation time for D₆-DNA was 2.0 ± 0.2 ms (Figure 2D). The
12 durations for all of the noted peptide-modified DNA strands are one to two orders of magnitude
13 larger than the value of 0.12 ms for non-modified DNA of similar length.⁴⁹
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31

32 Several qualitative interpretations can be drawn from the observations. Firstly, the correlation
33 between R_n-DNA event duration and peptide length strongly supports the notion that the tags
34 fully translocate through the pore. Secondly, the dramatic slowing down of the R_n-DNA
35 compared to non-modified DNA implies the influence of electrostatic factors. In a molecular
36 representation, a net positively charged R_n-DNA segment moving into the constriction where the
37 electric field drops off will experience a weaker or even reversed electrostatic force. The
38 presence of electrostatic factors is also supported when the R₅-DNA duration is compared to D₆-
39 DNA data. The translocation caused by the positively charged R₅ tag is an order of magnitude
40 slower than for the negatively charged D₆ tag, even though both peptide tags have approximately
41 the same size and volume.
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

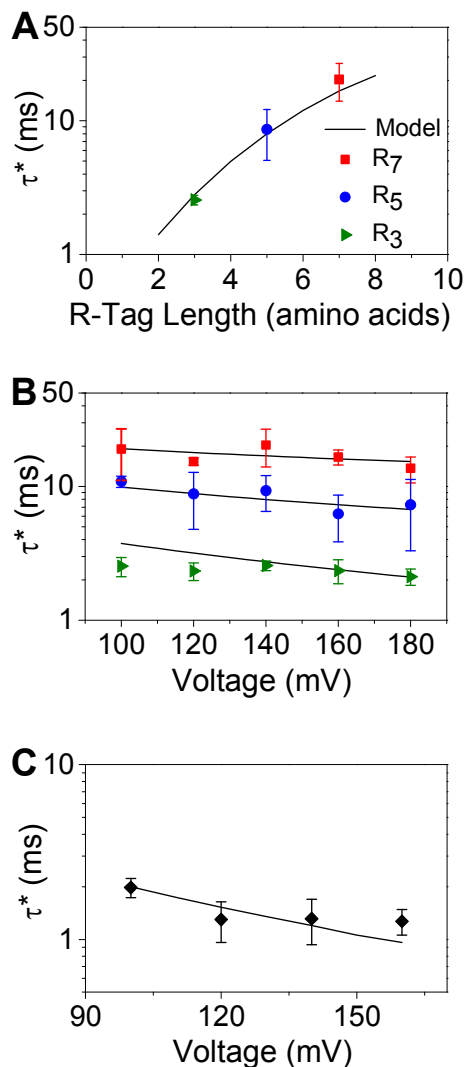


Figure 3. Quantitative analysis of pore translocation for DNA-peptide conjugates. Plots show experimental data points and fits for τ^* , the dominant characteristic time scales of the Becquerel decay function. Characteristic time scales for translocation of (A) R₃-DNA (green triangles), R₅-DNA (blue circles), and R₇-DNA (red squares), as a function of applied potential, (B) for the R_n-tag as a function of arginine tag length at 140 mV, and (C) for D₆-DNA as a function of applied voltage. Error bars are calculated as the average standard deviation in τ^* obtained from three or more independent experiments at each voltage with the exception of data points for R₅-DNA at 120 and 180 mV with two recordings but with an above-average total number of 2598 and 3145

1
2
3 events, respectively. The fits are derived from the biophysical model and provide the parameters
4
5 of $q_1 = 0.31e$, $q_2 = -0.013e$, $k_1 = 1387 \text{ s}^{-1}$, $k_0 = 10^5 \text{ s}^{-1}$ for data in panels A and B, and $q_2 = 0.48$
6
7 for data in panel C.
8
9

10
11 However, thirdly, the slowing down of conjugates is also partly caused by steric hindrance
12
13 when the wide DNA-peptide segment passes through the narrow inner constriction. The role of
14
15 this steric factor is evident as the negatively charged and hence electrophoretically attracted D₆-
16
17 DNA with a wider central segment is one order of magnitude slower than the non-modified DNA
18
19 strand.
20
21

22
23 In order to better discriminate electrostatic and steric factors, we increased the data set by
24
25 measuring the translocation of all four peptide-DNA conjugates as a function of voltage as
26
27 illustrated for the R_n-DNA strands (Figures 3B) and D₆-DNA (Figure 3C). Almost all data points
28
29 were obtained by averaging a total of 2500 events from three independent recordings
30
31 (Supporting Information, Table S-2; legend to Figure 3). The quality of data for R₃-DNA and R₇-
32
33 DNA was high as indicated by the small error bars (Figure 3B). For some R₅-DNA data points
34
35 (Figure 3B, 120 to 180 mV) the errors are larger even though the number of events was very high
36
37 and ranged between 2500 and 3100 events, implying that obtaining event durations can be
38
39 associated with a larger data spread, as observed by others.⁶² Irrespectively, two major trends are
40
41 apparent. Firstly, longer arginine tag lengths lead to longer translocation times at all voltages
42
43 (Figure 3B), thereby confirming and extending the previously mentioned observations at an
44
45 isolated voltage (Figure 3A). Furthermore, the event durations decrease with increasing
46
47 magnitude of the potential. This is the case for R₅-DNA and R₇-DNA (Figure 3B) as well for D₆-
48
49 DNA (Figure 3C). While the first trend on tag length suggests the influence of sterics and
50
51 potentially electrostatic forces, the second trend is most probably due to faster electrophoresis at
52
53
54
55
56
57
58
59
60

1
2
3 higher voltages. To better discriminate electrostatic and steric factors we developed a biophysical
4
5 model whose good fit to the data serves to validate it.
6
7
8
9

10 **Quantitative analysis using a biophysical model helps define the role of sterics and**
11 **electrostatics.** A biophysical model was developed to describe τ^* as a function of V and
12 arginine/aspartate tag lengths. The model is based on a previously developed approach⁶³ and now
13 considers the electrostatic and steric effects of modified ssDNA translocating the internal
14 constriction of the α HL pore (Figure 4). In the model, the DNA-peptide conjugate is divided into
15 a central, chemically modified and two non-modified flanking regions which differ with regard
16 to effective charge and steric-dependent speed of translocation (Figure 4A). The force for
17 translocation is the applied potential which drops off at the narrow inner constriction (Figure
18 4B). In order to obtain expected translocation times, our model calculates the free energy of
19 translocation and the associated hopping rates for a DNA strand which is considered to slide
20 forward and backward for each nucleotide, depending on steric and electrostatic factors (Figure
21 4C).
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

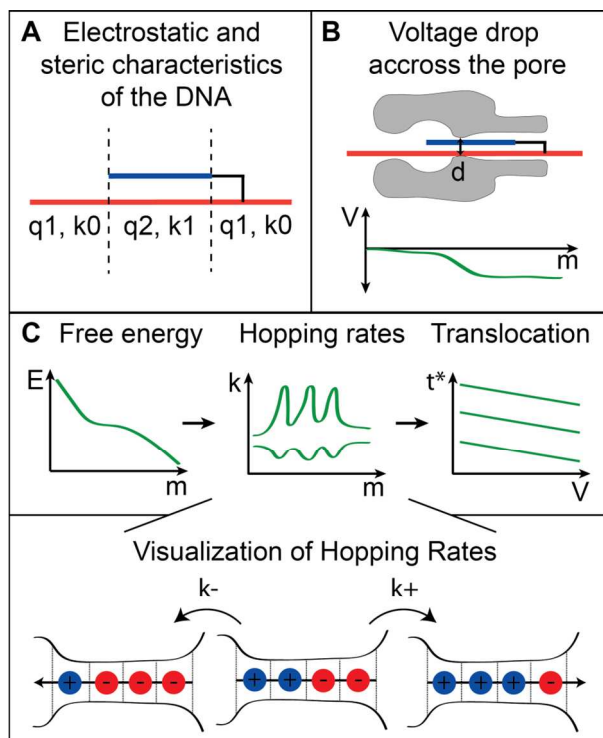


Figure 4. Scheme illustrating our biophysical model. (A) The charge sequence and steric features of the peptide-modified DNA strand are defined. (B) The strand translocation is analyzed for the α HL pore which has an inner constriction that is 4 nucleotides in length and 1.3 nm in diameter. The voltage drop is given as a function of DNA position, m , which measures how many nucleotides have entered the pore. (C) After deriving the free energy change for the translocation as a function of m , the hopping rates are calculated for the peptide-modified region (k_1) and the unmodified region (k_0) of the DNA to account for the steric factors caused by an increase in diameter. By combining both voltage and diffusion based translocation, the predicted event duration for a specific DNA strand is calculated.

Each nucleotide segment of the DNA oligomer is characterized by a net charge, Q , and a basal hopping rate, k (Figure 4A). In the unmodified region, the charge Q_1 is written as the product of the electron charge (e) and the partial charge constant q_1 . The unmodified basal hopping rate, k_0 ,

1
2
3 is known from previous experiments, and describes the passage of ssDNA through the α HL pore.
4
5 The charge in the chemically modified region of the nucleotide, Q_2 , is the product of e and the
6
7 constant q_2 which accounts for the combined contributions from the ssDNA and modification.
8
9 Due to the effective enlargement of the molecule diameter, the modified basal hopping rate, k_1 ,
10
11 will be smaller than k_0 .
12
13
14
15
16

17 As charge q and rate k depend on the nucleotide position of the DNA-conjugate, functions
18
19 $q(m)$ and $k(m)$ are defined in Eq. 3 and 4, where parameter m defines how many nucleotides
20
21 have entered the pore.
22
23
24
25
26

$$q(m) = \begin{cases} q_1 * e & m < 18 \\ q_2 * e & 18 \leq m \leq (17 + R) \\ q_1 * e & m > (17 + R) \end{cases} \quad (3)$$

$$k(m) = \begin{cases} k_0 & m < 18 \\ k_1 & 18 \leq m \leq (17 + R) \\ k_0 & m > (17 + R) \end{cases} \quad (4)$$

27
28
29
30
31
32
33
34 A value of $m = 1$ means that one nucleotide is located in the pore while the rest of the ssDNA
35
36 has yet to enter. m takes values from 1 to 27, and the chemical peptide modification is set to
37
38 begin at base 18 of the DNA molecule (Figure 4B). This is different to the actual covalent
39
40 attachment point at nucleotide 14 but accounts for the charge-neutral and thin aliphatic linker
41
42 chain. Moving the ssDNA within the α HL pore is associated with a free energy of translocation,
43
44 $F(m)$ (Figure 4C). The free energy is assumed to contain only one term⁶³ caused by the
45
46 interaction with the applied potential. As a consequence of the small diameter of α HL's inner
47
48
49
50
51
52
53
54
55
56
57
58
59
60

constriction, the potential is assumed to drop linearly and completely over this region (Figure 4B). $F(m)$ is calculated by summing up the energy contribution of each nucleotide segment for each position, m , as the ssDNA translocates the pore (Figure 4C). The result is given by

$$F(m) = \begin{cases} \sum_{l=0}^{m-1} V(l) * q(m-l) & m \leq L \\ V * \sum_{l=1}^{m-L} q(l) + \sum_{l=0}^{L-1} V(l) * q(m-l) & L < m < 27 \\ V * \sum_{l=1}^{m-L} q(l) + \sum_{l=m-27}^{L-1} V(l) * q(m-l) & m \geq 27 \end{cases} \quad (5)$$

where L is the maximum number of nucleotides that can be contained within the inner constriction; a value of $L = 4$ is used. The position-dependent hopping rates, $k(m)$, represent the likelihood that the ssDNA will shift in the forward (+) or backward (-) direction (Figure 4C). An expression for the hopping rates is given by

$$\begin{aligned} k_+ &= k(m) * e^{-\alpha * \Delta(m+1)} \\ k_- &= k(m) * e^{(1-\alpha) * \Delta(m+1)} \end{aligned} \quad (6)$$

and depends on $\Delta(m)$ that gives the difference in energy between two consecutive states and is defined by

$$\Delta(m) = \frac{F(m) - F(m-1)}{k_b * t} \quad (7)$$

The variable α represents any possible asymmetry present in the physical transition point between two successive values of m . Previous experiments have shown that $\alpha \sim .6$ for ssDNA

translocating α HL in the 5' to 3' direction.⁶⁴ Using the hopping rates, the total theoretical translocation times $\langle t \rangle$ can be calculated using

$$\langle t \rangle (m) = \sum_{l=1}^{N-1} \sum_{i=1}^l \frac{w_{trans}(i)}{k_+(i)} \prod_{j=i+1}^l \frac{k_-(j)}{k_+(j)} - \frac{1}{w_{trans}(m)} \sum_{l=1}^{m-1} \sum_{i=1}^l \frac{w_{trans}(i)}{k_+(i)} \prod_{j=i+1}^l \frac{k_-(j)}{k_+(j)} \quad (8)$$

where the function $w_{trans}(m)$ which represents the probability of exiting the trans side is given by

$$w_{trans}(m) = \frac{1 + \sum_{l=1}^{m-1} \prod_{j=1}^l \frac{k_-(j)}{k_+(j)}}{1 + \sum_{l=1}^{N-1} \prod_{j=1}^l \frac{k_-(j)}{k_+(j)}} \quad (9)$$

Combining Eq. 3 – 7 and the known value $k_0 \sim 10^5 \text{ s}^{-1}$,⁴⁰ Eq. 8 can be expressed as a function solely of q_1 , q_2 , k_1 , and the voltage. This function can now be fitted to the entire data set covering the voltage range from 100 mV to 180 mV and the arginine tag length of 3, 5 and 7. As illustrated in Figure 3B and an overall correlation coefficient, R^2 , of 0.98, the fit achieves an excellent match to data of the R₇-DNA and R₅-DNA set, and a good match for R₃-DNA. Possible reasons for lower fit quality at low voltages for R₃ are discussed in the Conclusions. All fits were obtained using the following parameter values: $q_1 = 0.31$, $q_2 = -0.013$, and $k_1 = 1387 \text{ s}^{-1}$ (Table 1). The value for q_1 is in very good agreement with previously published values which range from 0.1 to 0.4.⁴⁰ The variable q_2 is of opposite charge, in line with a net positively charged DNA-arginine segment predicted from the computational simulations. Finally, k_1 is several orders of magnitude lower than k_0 (10^5 s^{-1}), realistically implying that the modified region faces significantly larger steric hindrance when compared to the unmodified parts of the DNA strand.

1
2
3 The model was tested for the translocation of D₆-DNA. This peptide tag is similar in size to
4 arginine but of opposite charge. It was therefore expected that the fit to the data generates a q_2
5 larger than q_1 because the former includes the negative charges of the D₆ peptide and nucleic acid
6 while the latter is only for the DNA strand. Indeed, the best fit to the data (Figure 3C) resulted in
7 a value of 0.48 for q_2 (Table 1), matching our expectation.
8
9
10
11
12
13
14
15
16

17 Further analysis shows that the biophysical model strongly supports the dual role of steric and
18 charge in the determining the translocation time. Good fits of the model to the data are obtained
19 only when both factors are considered; ignoring either one results in inadequate fits. For
20 example, DNA-Arg₅ at 160 mV has an experimental translocation time of ~ 8 ms which is
21 matched by a fit when both steric and charge are considered. If the steric effects of the
22 modification are ignored (i.e. k_1 is the same as k_0), the best fit results in an inadequate
23 translocation time of 0.2 ms. Similarly, accounting for the increased diameter caused by the
24 peptide, but setting a homogeneous charge over the entire molecule to q_1 , the predicted value is
25 too low with a value of 1.5 ms. When both effects are entirely eliminated, a value of 0.1 ms is
26 obtained which satisfactorily matches the translocation speeds of unmodified ssDNA through
27 α HL.⁴⁹
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44

45 CONCLUSIONS

46
47
48
49
50 In this manuscript, we have examined how a non-homogenous charge distribution influences
51 the electrophoretic transport of linear biopolymers through a nanopore. Using chemically tunable
52 DNA and peptide strands, computational simulations, and single-molecule analysis of the
53
54
55
56
57
58
59
60

1
2
3 reference pore α -hemolysin, we have developed a powerful biophysical model which is able to
4
5 disentangle electrostatic and steric factors. The model provides excellent to very good fits even
6
7 though several simplifying assumptions were made. (i) The voltage was assumed to drop off
8
9 linearly over the inner constriction of the α HL pore even though in reality the potential profile is
10
11 likely to be more complicated.^{41, 52} (ii) Only the steric interactions between the pore and the
12
13 translocating molecule were taken into account, while other factors such as electrostatic
14
15 influence of local charges at the pore walls were neglected. This simplified approach may be
16
17 valid for large voltages but as the potential decreases, the electrostatic pore-DNA interactions
18
19 could become more prominent. Indeed, the lower fit quality for the R₃-DNA at lower voltages
20
21 could be accounted for by such factors. The mismatch between fit and data occurs for the R₃ but
22
23 not the longer peptides likely because pure electrophoresis and sterics become less important for
24
25 short tags thereby increasing the relative influence of pore interactions. (iii) Finally, our model
26
27 does not explicitly consider the effects of electro-osmotic flow (EOF) which has previously been
28
29 shown to be important in determining DNA translocation kinetics.^{50, 65, 66} However, it is unlikely
30
31 that EOF plays a major role in our experiments because the average flux of the ions inside the
32
33 pore blocked by the peptide-DNA conjugate is very low.
34
35
36
37
38
39
40
41
42

43
44 Within these boundaries, our model has advanced the field of nanopore research and
45
46 established that it is possible to separate and quantify how inhomogeneous charge and sterics
47
48 along the primary sequence affect biopolymer translocation. We are confident that a refined
49
50 model can be expanded and fine-tuned to biophysically analyze and aid the sensing of DNA
51
52 strands with single point mutations and also of polypeptide strands which differ in size and
53
54 charge at every residue position. This is an important task as the examination of proteins and
55
56
57
58
59
60

1
2
3 possible future sequencing of polypeptides has received a major boost.^{67, 68} In order to adapt the
4
5 biophysical model to polypeptides, the basal hopping rate would have to be varied along the
6
7 sequence (currently it is a constant), and the free energy would have to include more terms such
8
9 as the pore-biopolymer interactions. The varied hopping rate could be obtained following
10
11 previous studies by extrapolating the translocation speed to zero voltage.⁴⁰ If done for homo-
12
13 polymers, the corresponding diffusion coefficient could then be assembled to model to hetero-
14
15 polymers under voltage following Boltzmann statistics. Similarly, the inclusion of pore-
16
17 biopolymer interactions into an expanded model can benefit from previous work in this area.^{64, 69}
18
19 We note that our results on the charge-induced slowing down of DNA strands may also be
20
21 applicable to several nanopore-based DNA strand sequencing techniques in which it is often
22
23 difficult to achieve high signal-to-noise ratios for speedily translocating DNA strands. Our
24
25 concept of partial charge neutralization for delayed DNA translocation could furthermore be
26
27 extended to nucleic acid derivatives that carry a different partially neutral DNA backbone as well
28
29 as the detection of DNA mutations.^{24, 27} Finally, our results apply to the use of nanopores as
30
31 model systems to study biopolymer transport through biological pores. Though *in vivo* transport
32
33 is in most cases not driven by electric fields, detection in these model systems is most easily
34
35 accomplished by applying an electrostatic potential, and observing ionic current blockades
36
37 during translocation. Understanding the effects of electrostatic forces in these systems is
38
39 therefore essential to interpretation of translocation results. In conclusion, our model and data are
40
41 of interest to nanopore analytics and for the basic understanding of driven transport through
42
43 confined space.
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

ASSOCIATED CONTENT

Supporting Information. Methodological details about the chemical synthesis of the DNA-peptide conjugates, the computational modeling of the conjugates inside the nanopore, the acquisition of nanopore data and their analysis, and the building of a biophysical model, as well as data on the chromatographic and mass spectrometric analysis of the conjugates, results on the analysis and fitting of the nanopore data, and snapshots of the computational simulations on the pore translocation of DNA-peptide bio-conjugates. This material is available free of charge via the Internet at <http://pubs.acs.org>.

AUTHOR INFORMATION

Corresponding Author

* Corresponding authors: s.howorka@ucl.ac.uk, zsiwy@uci.edu

Phone: 0044 20 7679 4702, Fax: 0044 20 7679 7463

Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. SB analyzed the nanopore data and carried out the biophysical modeling in cooperation with ZS, NM synthesized and chemically characterized the DNA-bioconjugates and analyzed nanopore recordings, HM conducted the computational simulations under the supervision of PC, MW analyzed the nanopore data to obtain characteristic time scales under the supervision of AM, and SH acquired nanopore data, supervised the project, and, together with SB, wrote the manuscript.

Funding Sources

This work has been supported by the Engineering and Physical Science Research Council, The Royal Society of Chemistry (Journals Grant), The Leverhulme Trust (RPG-170), and the Department of Chemistry at University College London. NM held a Scholarship from the UCL Graduate School. PVC and HM acknowledge funding by the 2020 Science: EPSRC programme EP/I017909/1EPSRC, FP7 funds INBIOMEDvision with grant agreement no 270107 and VPH-NoE with agreement no 223920, and XSEDE/Teragrid allocation TG-MCB090174. ZS and SB recognize the support of the National Science Foundation CHE 1306058 and UC National Lab Fee Program 12-LF- 236772

There are no competing financial interests.

ACKNOWLEDGMENT

We thank A. B. Tabor for use of a peptide synthesizer.

REFERENCES

1. Basore, J. R.; Lavrik, N. V.; Baker, L. A. *Adv. Mater.* 2010, 22, (25), 2759-2763.
2. Howorka, S.; Siwy, Z. *Chem. Soc. Rev.* 2009, 38, (8), 2360-2384.
3. Kasianowicz, J. J.; Brandin, E.; Branton, D.; Deamer, D. W. *Proc. Natl. Acad. Sci. U S A* 1996, 93, (24), 13770-13773.
4. Bayley, H.; Cremer, P. S. *Nature* 2001, 413, (6852), 226-230.
5. Martin, C. R.; Siwy, Z. S. *Science* 2007, 317, (5836), 331-332.
6. Movileanu, L. *Trends Biotechnol.* 2009, 27, (6), 333-341.
7. Bezrukov, S. M.; Vodyanoy, I.; Parsegian, V. A. *Nature* 1994, 370, (6487), 279-281.
8. Bayley, H.; Braha, O.; Cheley, S.; Gu, L. Q., Engineered nanopores. In *Nanobiotechnology: Concepts, applications and perspectives*, Niemeyer, C. M.; Mirkin, C. A., Eds. Wiley-VCH: Weinheim, 2004.
9. Bayley, H.; Jayasinghe, L. *Mol. Membr. Biol.* 2004, 21, (4), 209-220.
10. Rhee, M.; Burns, M. A. *Trends Biotechnol.* 2007, 25, (4), 174-181.
11. Healy, K. *Nanomed.* 2007, 2, (4), 459-481.
12. Dekker, C. *Nat. Nanotechnol.* 2007, 2, (4), 209-215.

- 1
2
3 13. Howorka, S.; Siwy, Z., Nanopores: generation, engineering and single-molecule
4 applications. In Handbook of single-molecule biophysics, Hinterdorfer, P.; Van Oijen, A. M.,
5 Eds. Springer: New York, 2009.
6
7
- 8
9
10
11 14. Healy, K.; Schiedt, B.; Morrison, A. P. *Nanomed.* 2007, 2, (6), 875-897.
12
13
- 14 15. Gracheva, M. E.; Melnikov, D. V.; Leburton, J. P. *ACS Nano* 2008, 2, (11), 2349-2355.
16
17
- 18 16. Gracheva, M. E.; Vidal, J.; Leburton, J. P. *Nano Lett.* 2007, 7, (6), 1717-1722.
19
20
- 21 17. Griffiths, J. *Anal. Chem.* 2008, 80, (1), 23-27.
22
23
- 24 18. Nakane, J.; Wiggin, M.; Marziali, A. *Biophys. J.* 2004, 87, (1), 615-621.
25
26
- 27 19. Tropini, C.; Marziali, A. *Biophys. J.* 2007, 92, (5), 1632-1637.
28
29
- 30 20. Sexton, L. T.; Horne, L. P.; Sherrill, S. A.; Bishop, G. W.; Baker, L. A.; Martin, C. R. J.
31 *Am. Chem. Soc.* 2007, 129, (43), 13144-13153.
32
33
- 34 21. Branton, D.; Deamer, D. W.; Marziali, A.; Bayley, H.; Benner, S. A.; Butler, T.; Di
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
21. Branton, D.; Deamer, D. W.; Marziali, A.; Bayley, H.; Benner, S. A.; Butler, T.; Di
Ventra, M.; Garaj, S.; Hibbs, A.; Huang, X. H.; Jovanovich, S. B.; Krstic, P. S.; Lindsay, S.;
Ling, X. S. S.; Mastrangelo, C. H.; Meller, A.; Oliver, J. S.; Pershin, Y. V.; Ramsey, J. M.;
Riehn, R.; Soni, G. V.; Tabard-Cossa, V.; Wanunu, M.; Wiggin, M.; Schloss, J. A. *Nat.*
Biotechnol. 2008, 26, (10), 1146-1153.
22. Clarke, J.; Wu, H. C.; Jayasinghe, L.; Patel, A.; Reid, S.; Bayley, H. *Nat. Nanotechnol.*
2009, 4, (4), 265-270.
23. Marziali, A.; Akeson, M. *Annu. Rev. Biomed. Eng.* 2001, 3, 195-223.

- 1
2
3 24. An, N.; Fleming, A. M.; White, H. S.; Burrows, C. J. *Proc. Natl. Acad. Sci. USA* 2012,
4
5 109, (29), 11504-11509.
6
7
- 8
9 25. Wanunu, M.; Dadosh, T.; Ray, V.; Jin, J.; McReynolds, L.; Drndic, M. *Nat. Nanotechnol.*
10
11 2010, 5, 807-814.
12
13
- 14 26. Wang, Y.; Zheng, D.; Tan, Q.; Wang, M. X.; Gu, L. Q. *Nat. Nanotechnol.* 2011, 6, (10),
15
16 668-674.
17
18
- 19
20 27. Wanunu, M.; Cohen-Karni, D.; Johnson, R. R.; Fields, L.; Benner, J.; Peterman, N.;
21
22 Zheng, Y.; Klein, M. L.; Drndic, M. *J. Am. Chem. Soc.* 2011, 133, (3), 486-492.
23
24
- 25 28. Wanunu, M.; Soni, G. V.; Meller, A., Single-molecule studies on nucleic acid
26
27 interactions using nanopores. In *Handbook of Single-Molecule Biophysics*, Hinterdorfer, P.; Van
28
29 Oijen, A. M., Eds. Springer: New York, 2010; pp 265-291.
30
31
32
- 33 29. Vercoutere, W.; Akeson, M. *Curr. Opin. Chem. Biol.* 2002, 6, (6), 816-822.
34
35
- 36 30. Deamer, D. W.; Branton, D. *Acc. Chem. Res.* 2002, 35, (10), 817-825.
37
38
- 39 31. Henrickson, S. E.; Misakian, M.; Robertson, B.; Kasianowicz, J. J. *Phys. Rev. Lett.* 2000,
40
41 85, (14), 3057-3060.
42
43
- 44 32. Meller, A.; Branton, D. *Electrophoresis* 2002, 23, (16), 2583-2591.
45
46
- 47 33. Nakane, J.; Akeson, M.; Marziali, A. *Electrophoresis* 2002, 23, (16), 2592-2601.
48
49
- 50 34. Maglia, G.; Restrepo, M. R.; Mikhailova, E.; Bayley, H. *Proc. Natl. Acad. Sci. U S A*
51
52 2008, 105, (50), 19720-19725.
53
54
55
56
57
58
59
60

- 1
2
3 35. Butler, T. Z.; Gundlach, J. H.; Troll, M. A. *Biophys. J.* 2006, 90, (1), 190-199.
4
5
6 36. Wang, H.; Dunning, J. E.; Huang, A. P.; Nyamwanda, J. A.; Branton, D. *Proc. Natl.*
7
8 *Acad. Sci. U S A* 2004, 101, (37), 13472-13477.
9
10
11 37. Mathe, J.; Aksimentiev, A.; Nelson, D. R.; Schulten, K.; Meller, A. *Proc. Natl. Acad. Sci.*
12
13 *U S A* 2005, 102, (35), 12377-12382.
14
15
16 38. Wanunu, M.; Chakrabarti, B.; Mathe, J.; Nelson, D. R.; Meller, A. *Phys. Rev. E* 2008, 77,
17
18 (3), 031904 (031901-031905).
19
20
21 39. Wiggin, M.; Tropini, C.; Tabard-Cossa, V.; Jetha, N. N.; Marziali, A. *Biophys. J.* 2008,
22
23 95, (11), 5317-5323.
24
25
26 40. Meller, A.; Nivon, L.; Branton, D. *Phys. Rev. Lett.* 2001, 86, (15), 3435-3438.
27
28
29 41. Howorka, S.; Bayley, H. *Biophys. J.* 2002, 83, (6), 3202-3210.
30
31
32 42. Sutherland, T. C.; Long, Y. T.; Stefureac, R.; Bediako-Amoa, I.; Kraatz, H. B.; Lee, J. S.
33
34 *Nano Lett.* 2004, 4, (7), 1273-1277.
35
36
37 43. Movileanu, L.; Schmittschmitt, J. P.; Scholtz, J. M.; Bayley, H. *Biophys. J.* 2005, 89, (2),
38
39 1030-1045.
40
41
42 44. Stefureac, R.; Long, Y. T.; Kraatz, H. B.; Howard, P.; Lee, J. S. *Biochemistry* 2006, 45,
43
44 (30), 9172-9179.
45
46
47 45. Goodrich, C. P.; Kirmizialtin, S.; Huyghues-Despointes, B. M.; Zhu, A.; Scholtz, J. M.;
48
49 Makarov, D. E.; Movileanu, L. *J. Phys. Chem. B* 2007, 111, (13), 3332-3335.
50
51
52
53
54
55
56
57
58
59
60

- 1
2
3 46. Huang, L.; Kirmizialtin, S.; Makarov, D. E. *J. Phys. Chem. B* 2005, 123, (12), 124903.
4
5
6 47. Oukhaled, G.; Mathé, J.; Biance, A. L.; Bacri, L.; Betton, J. M.; Lairez, D.; Pelta, J.;
7
8 Auvray, L. *Phys. Rev. Lett.* 2007, 98, (15), 158101.
9
10
11 48. Talaga, D. S.; Li, J. *J. Am. Chem. Soc.* 2009, 131, (26), 9287–9297.
12
13
14 49. Mitchell, N.; Howorka, S. *Angew. Chem. Int. Ed.* 2008, 47, (30), 5476-5479.
15
16
17 50. Firnkes, M.; Pedone, D.; Knezevic, J.; Döblinger, M.; Rant, U. *Nano Lett.* 2010, 10, (6),
18
19 2162-2167.
20
21
22
23 51. Song, L.; Hobaugh, M. R.; Shustak, C.; Cheley, S.; Bayley, H.; Gouaux, J. E. *Science*
24
25 1996, 274, (5294), 1859-1866.
26
27
28
29 52. Aksimentiev, A.; Schulten, K. *Biophys. J.* 2005, 88, (6), 3745-3761.
30
31
32 53. Kathawalla, I. A.; Anderson, J. L.; Lindsey, J. S. *Macromolecules* 1989, 22, (3), 1215-
33
34 1219.
35
36
37 54. Martin, H.; Jha, S.; Howorka, S.; Coveney, P. J. *Chem. Theory Comput.* 2009, 5, (8),
38
39 1955-2192.
40
41
42
43 55. Maffeo, C.; Bhattacharya, S.; Yoo, J.; Wells, D.; Aksimentiev, A. *Chem. Rev.* 2012, 112,
44
45 (12), 6250-6284.
46
47
48 56. Cantor, C. R.; Schimmel, P. R., *Biophysical chemistry. Part III. The behavior of*
49
50 *biological macromolecules.* W. H. Freeman and Co.: New York, 1980; p 1283.
51
52
53
54
55
56
57
58
59
60

- 1
2
3 57. Puglisi, J. D.; Chen, L.; Blanchard, S.; Frankel, A. D. *Science* 1995, 270, (5239), 1200-
4 1203.
5
6
7
8
9 58. Howorka, S.; Movileanu, L.; Braha, O.; Bayley, H. *Proc. Natl. Acad. Sci. U S A* 2001,
10 98, (23), 12996-13001.
11
12
13
14 59. Howorka, S.; Cheley, S.; Bayley, H. *Nat. Biotechnol.* 2001, 19, (7), 636-639.
15
16
17
18 60. The mid-blockade level of type II events (58% of all) was noisier and longer (around 10-
19 20 ms) than Rn. For these events, the negatively charged D6 peptide is most probably threaded
20 into the inner constriction of α HL; the resulting DNA hairpin-like loop cannot pass the narrow
21 inner constriction until it retracts so that one DNA terminus threads first.
22
23
24
25
26
27
28 61. Berberan-Santos, M. N.; Bodunov, E. N.; Valeur, B. *Chem. Phys.* 2005, 316, 171-182.
29
30
31 62. Ho, C.; Qiao, R.; Heng, J. B.; Chatterjee, A.; Timp, R. J. *Proc. Natl. Acad. Sci. U S A*
32 2005, 102, (30), 10455-10450.
33
34
35
36
37 63. Schink, S.; Renner, S.; Alim, K.; Arnaut, V.; Simmel, F. C.; Gerland, U. *Biophys. J.*
38 2012, 102, (1), 85-95.
39
40
41
42 64. Lathrop, D. K.; Ervin, E. N.; Barrall, G. A.; Keehan, M. G.; Kawano, R.; Krupka, M. A.;
43 White, H. S.; Hibbs, A. H. *J. Am. Chem. Soc.* 2010, 132, (6), 1878-1885.
44
45
46
47
48 65. Luan, B. Q.; Aksimentiev, A. *Phys. Rev. E* 2008, 78, (2), 021912.
49
50
51
52 66. van Dorp, W. F.; Someren, B.; Hagen, C. W.; Kruit, P.; Crozier, P. A. *Nano Lett.* 2005,
53 5, 1303-1307.
54
55
56
57 67. Nivala, J.; Marks, D. B.; Akeson, M. *Nat. Biotechnol.* 2013, 31, (3), 247-250.
58
59
60

1
2
3 68. Rodriguez-Larrea, D.; Bayley, H. *Nat. Nanotechnol.* 2013, 8, (4), 288-295.
4
5

6 69. Lubensky, D. K.; Nelson, D. R. *Biophys. J.* 1999, 77, (4), 1824-1838.
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60