

# Emerging roles for semaphorins and VEGFs in synaptogenesis and synaptic plasticity

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Synapse formation, maintenance and plasticity are critical for the correct function of the nervous system and its target organs. During development, these processes enable the establishment of appropriate neural circuits. During adulthood, they allow adaptation to both physiological and environmental changes. In this review, we discuss emerging roles for two families of classical axon and vascular guidance cues in synaptogenesis and synaptic plasticity, the semaphorins and the vascular endothelial growth factors (VEGFs). Their contribution to synapse formation and function add a new facet to the spectrum of overlapping and complementary roles for these molecules in development, adulthood and disease.

## Introduction

The contact sites through which neurons pass electrical or chemical signals to other cells are termed synapses. Presynaptic sites are usually located on axons, while post-synaptic sites are found on dendrites, neuronal somata or non-neuronal targets that require innervation, including muscle and blood vessels. Because synapses consist of a presynaptic and postsynaptic site, they permit the assembly of neuronal circuits with directionality and hierarchy. The ordered formation of appropriate types and numbers of synapses in the correct locations is therefore essential for normal nervous system function.

Most synapses form during embryonic and postnatal development, but new synapses can also be added in adulthood, for example during memory formation and learning (Fig. 1A). Newly formed synapses are either maintained or eliminated in processes that involve interactions between pre- and post-synaptic cells and are integrated with regulatory mechanisms that monitor synaptic activity. In the process of synaptic pruning, several synapses may be removed collectively alongside the axon branches or dendrites that carry them (Fig. 1B). Finally, increased or decreased levels of neurotransmitter release at synapses, or an altered response to those neurotransmitters, collectively strengthen or weaken synapses in a mechanism termed synaptic plasticity. Scientific research over the past three decades suggests that a large collection

of different patterning cues synergize to create appropriate synaptic complexity and specificity for neural networks to perform optimally. The semaphorins and vascular endothelial growth factors (VEGFs) are two families of neuronal and vascular patterning cues that regulate synapse formation, maintenance, elimination and plasticity.

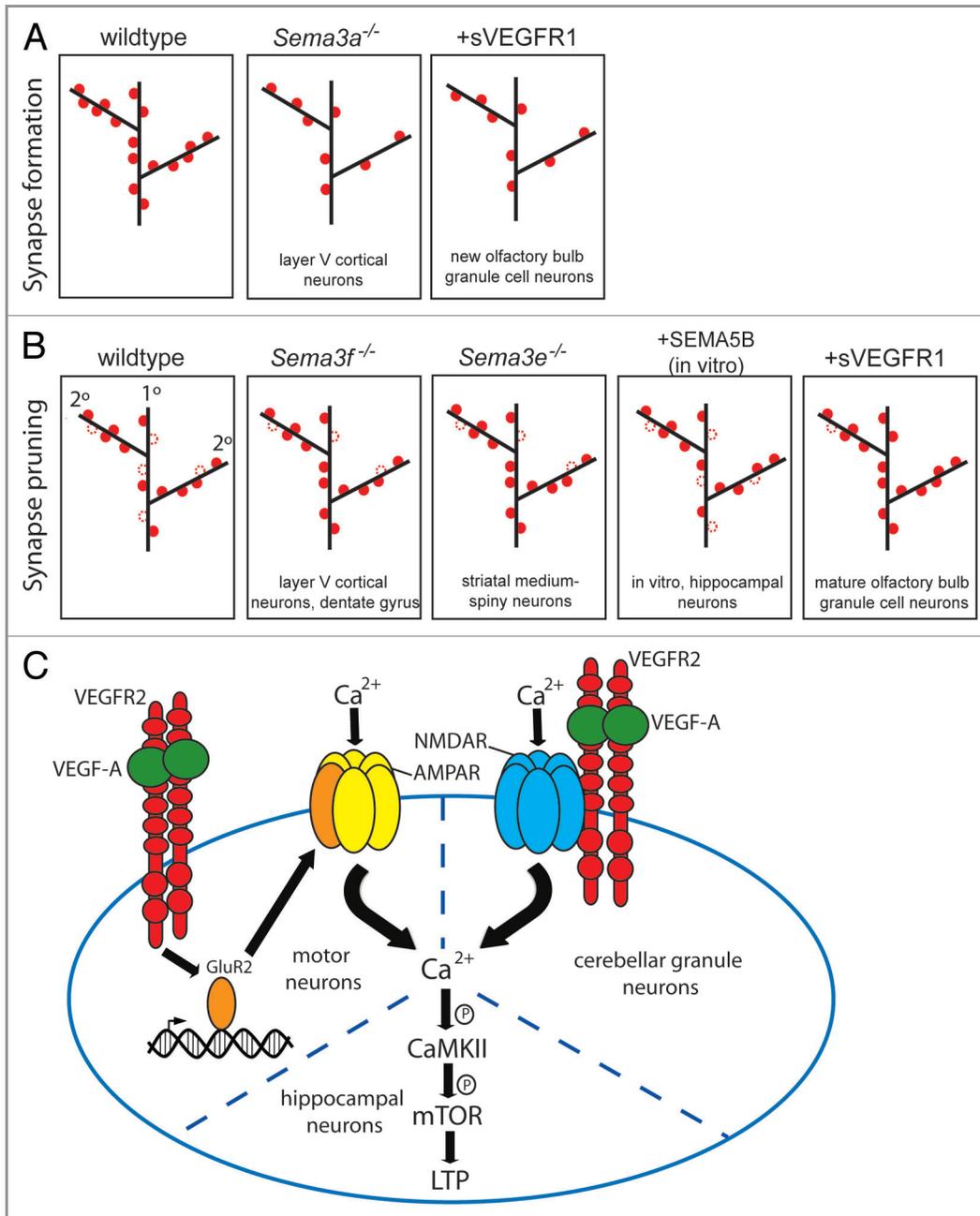
The semaphorins belong to a large family of glycoproteins that includes both secreted and membrane-bound forms and are characterized by the presence of a so-called SEMA domain; they are categorized into eight classes, with invertebrates using classes 1, 2 and 5 semaphorins and vertebrates using classes 3–7.<sup>1</sup> To convey their signals, semaphorins bind transmembrane receptors of the plexin (PLXN) family or composite receptors consisting of a plexin and a ligand-binding co-receptor of the neuropilin (NRP) family, NRP1 or NRP2. Although initially discovered due to their function as chemorepellents in axon guidance,<sup>2</sup> semaphorins have also been implicated in vascular growth and function.<sup>3</sup>

The VEGF family includes several cysteine knot glycoproteins that are termed VEGF-A, VEGF-B, VEGF-C and VEGF-D and are structurally unrelated to the semaphorins.<sup>4</sup> The VEGFs are best known as growth and patterning factors for blood vessels and lymphatic vessels.<sup>4</sup> In addition, they were more recently shown to pattern subsets of neurons.<sup>5</sup> For example, VEGF-A, the most widely studied VEGF family member, is essential for blood vascular development, but also regulates neuronal generation, survival, migration and axon guidance.<sup>5</sup> The VEGFs bind to transmembrane tyrosine kinase receptors termed VEGFR1 (FLT1), VEGFR2 (KDR, FLK1) and VEGFR3, but share with class 3 semaphorins the ability to bind NRP1 and NRP2.<sup>6</sup> Extending the concept of receptor sharing, VEGFR2 can also be recruited into plexin/neuropilin receptor complexes to modulate the signaling response induced by semaphorins.<sup>7</sup> This review discusses recent evidence for roles for semaphorins and VEGFs in synaptic development and plasticity to update and complement previous excellent reviews.<sup>8–10</sup>

## Control of Synapse Formation by Semaphorins

Early evidence that semaphorins control synaptic development in vivo came from work on the *Drosophila* giant fiber, which controls the fight-or-flight response induced by visual stimuli. Using SEMA1A loss-of-function mutants, researchers showed that giant fiber axons were either mistargeted or reached their target interneurons without forming synapses.<sup>11</sup> This defect was rescued

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**Figure 1.** Roles of semaphorins and VEGFs in synapse formation, elimination and plasticity. (A) During late vertebrate embryonic and early postnatal stages in vertebrates, synapses (red dot) form between axons and neuronal dendrites (black lines). On dendrites, the postsynaptic site is located on membranous protrusions called spines. *Sema3a*<sup>-/-</sup> mice were reported to have reduced spine density in layer V cortical neurons. Inactivation of VEGF-A in the mouse brain with a soluble VEGFR1 receptor reduces spine density in newly born olfactory bulb granule cell neurons. (B) After synapse formation, some spines are pruned to eliminate synapses (red dotted circle). *Sema3f*<sup>-/-</sup> mice have more spines on primary dendrites (labeled 1°) of layer V cortical and dentate gyrus neurons, indicating reduced pruning, but normal spines on secondary dendrites (2°). *Sema3e*<sup>-/-</sup> mice also have increased spine density on primary dendrites, but in direct-pathway medium-spiny neurons. In vitro, overexpression of *Sema5B* also reduces spine number in rat hippocampal neurons. Inactivation of VEGF-A in the mouse brain with sVEGFR1 receptor increases spine number in mature olfactory bulb granule cell neurons, suggesting reduced pruning. (C) Three different VEGF-A effects relevant to synaptic plasticity have been identified; here, these VEGF-A functions are represented in one virtual postsynaptic cell. In developing mouse cerebellar granule cell neurons, VEGF-A acts through VEGFR2 to modulate Ca<sup>2+</sup> influx through NMDA receptors. In cultured rat motor neurons, VEGF-A modulates Ca<sup>2+</sup> influx through AMPA receptors by stimulating the transcription of the receptor subunit GluR2; VEGFR2 may mediate this effect. In cultured rat hippocampal neurons, VEGF-A-induced Ca<sup>2+</sup> influx stimulates phosphorylation (indicated with P) of CaMKII and mTOR, leading to LTP. It is not known if these different means to alter Ca<sup>2+</sup> influx may be integrated in one type of neuron, or if they represent independent pathways that are employed by distinct neuronal subtypes.

by expressing SEMA1A in the *Sema1a*<sup>-/-</sup> postsynaptic target interneuron.<sup>11</sup> Interestingly, the SEMA1A cytoplasmic signaling domain is necessary for successful synapse formation, and expressing SEMA1A presynaptically also rescues synapses in *Sema1a*<sup>-/-</sup> mutants; it has therefore been proposed that SEMA1A may act as both a ligand and a receptor during giant fiber synapse formation, although the precise mechanism is still unclear.<sup>11</sup>

Treatment of primary mouse cortical neurons with SEMA3A increases the density of dendritic spines as well as clustering and co-localization of the presynaptic marker synapsin-1 with the postsynaptic marker PSD-95.<sup>12</sup> In agreement with these *in vitro* findings, one study reported that the spine density of layer V cortical neurons was reduced in *Sema3a*<sup>-/-</sup> adult mouse brains<sup>12</sup> (Fig. 1A). However, another study determined the distribution of synapses along specific dendritic sections and did not find any changes in spine density in cortical layer V or other parts of the *Sema3a*-null brain.<sup>13</sup>

Several class 4 semaphorins co-localize and interact with PSD-95 *in vitro*.<sup>14-16</sup> While SEMA4B knockdown in rat hippocampal neuron cultures reduced the density of both excitatory (glutamatergic) and inhibitory (GABAergic) synapses, knockdown of SEMA4D reduced the density of GABAergic synapses only.<sup>17</sup> Different class 4 semaphorin family members may therefore induce the formation and maturation of select subsets of synapses, perhaps due to differential expression of their receptors and downstream signaling pathways in their target neurons.

### Control of Synapse Formation by VEGF

A role for VEGF-A in synapse formation was initially suggested by work on cultured primary cortical neurons, which demonstrated increased neurite extension and upregulation of dendrite-enriched microtubule associated protein MAP2 *in vitro*.<sup>18</sup> In addition to guiding subsets of CNS axons to their targets *in vivo*,<sup>19,20</sup> VEGF-A may therefore also promote synapse formation or maturation. Thus, sympathetic nerve density on resistance arteries is normal in *Vegfa*<sup>ΔΔ</sup> mice with low levels of VEGF-A, but synapses between the sympathetic nerve endings and smooth muscle cells in these arteries are abnormal, with a wider synaptic cleft.<sup>21</sup> Consistent with defective vasoregulation of resistance arteries by sympathetic nerves, these mice cannot maintain their core body temperature under cold stress or upregulate cerebral blood flow after short-term oxygen shortage.<sup>21</sup> More direct evidence for a role of VEGF-A in controlling synapse formation was provided by experiments in which the forced expression of a soluble VEGFR1 protein in the adult mouse brain sequestered VEGF-A and concomitantly decreased dendritic spine number and density on newly-born granule cell neurons in the olfactory bulb, independently of effects on neurogenesis or blood vessels.<sup>22</sup>

### Roles for Semaphorins in Synaptic Maintenance and Elimination

Semaphorins are well known inducers of axon pruning, and additionally have been implicated in synapse elimination, also

known as synaptic pruning.<sup>10</sup> For example, SEMA3A treatment of primary mouse hippocampal neurons reduces the accumulation of the synaptic markers synaptophysin and PSD-95 in puncta, indicating synapse elimination.<sup>23</sup> These observations suggest that SEMA3A can promote synapse elimination in hippocampal neurons, even though it has been reported, controversially, to induce synapse formation in cortical neurons (see above).

SEMA3F, its receptor NRP2 and the signaling co-receptor PLXNA3 are all required for synaptic elimination through spine pruning *in vivo*. Thus, adult *Sema3f*<sup>-/-</sup>, *Nrp2*<sup>-/-</sup> and *Plxna3*<sup>-/-</sup> mouse brains have an increased number and abnormal distribution of spines on primary dendrites of cortical layer V and dentate gyrus neurons<sup>13</sup> (Fig. 1B). Moreover, SEMA3F treatment reduces the total number of spines and PSD-95-positive puncta in established primary cultures of dentate gyrus neurons, indicating a role in synapse elimination.<sup>13</sup> Strikingly, the colocalization of the excitatory synapse markers PSD-95 and vGlut1 was decreased, whereas two markers for inhibitory synapses, vGAT and gephrin, were unchanged.<sup>13</sup> These observations show that SEMA3F functions to specifically eliminate excitatory synapses in cultured dentate gyrus neurons.

A higher density of glutamatergic synapses is observed on the primary dendrites of direct-pathway medium spiny neurons in the striatum of mice lacking either SEMA3E or its receptor PLXND1<sup>24</sup> (Fig. 1B). Thus, SEMA3E signaling through PLXND1 appears to inhibit the formation or promote the elimination of thalamostriatal synapses,<sup>24</sup> in analogy to the role of SEMA3F signaling in dentate gyrus neurons.<sup>13</sup>

SEMA5B may also promote synapse elimination, as its knockdown significantly increases and its overexpression decreases synapse number in cultured rat hippocampal neurons<sup>25</sup> (Fig. 1B). Time-lapse imaging of hippocampal neurons treated with SEMA5B showed that this decrease in synapse number was due to the rapid elimination of synapses and not a secondary consequence of a failure to form new synapses.<sup>25</sup> Yet, the role of SEMA5B *in vivo* remains to be confirmed.

### Possible Roles for VEGFs in Synaptic Maintenance and Elimination

VEGFs may also contribute to synaptic elimination (Fig. 1B). Thus, sequestering VEGF-A by transgenic expression of soluble VEGFR1 in adult mice increases spine density in already-established olfactory bulb granule cells (Fig. 1B), even though this treatment impairs spine formation in newly born olfactory bulb granule cells<sup>22</sup> (Fig. 1A). VEGF-A may therefore contribute both to synapse formation and, potentially, elimination at different developmental stages of the same type of neuron.

VEGF-A may also be essential for synaptic maintenance, at least for motor neurons. Thus *Vegfa*<sup>ΔΔ</sup> mice with reduced levels of VEGF-A suffer from motor neuron degeneration,<sup>26</sup> and this correlates with the downregulation of genes involved in synapse formation, assembly and plasticity in motor neurons at the onset of motor dysfunction.<sup>27</sup> In agreement with a role for VEGF-A in synaptic maintenance, the intraventricular infusion of exogenous

VEGF-A preserves neuromuscular junctions in G93A-SOD1 mice, a transgenic model of motor neuron degeneration.<sup>28</sup>

Together, current knowledge suggests opposing roles for VEGF-A in synapse pruning and maintenance for different neuronal subtypes. It has not yet been investigated if these differential effects correlate with the expression of specific sets of VEGF-A receptors in particular neurons.

### Semaphorins in Synaptic Plasticity

Several lines of evidence suggest that semaphorins regulate synaptic plasticity. First, the expression of class 3 semaphorins in the cortex (SEMA3A) and hippocampus (SEMA3C and SEMA3F) is reduced in rat models of epilepsy,<sup>29,30</sup> while rats housed in enriched environments increase the expression of NRP1 in the hippocampus.<sup>31</sup> Second, several *ex vivo* studies using hippocampal slices suggest a role for class 3 semaphorins in synaptic plasticity. Thus, treatment of adult mouse hippocampal slices with SEMA3A dose dependently decreases the number of field excitatory postsynaptic currents (EPSCs).<sup>23</sup> Interestingly, SEMA3F has the opposite effect, as treatment of adult mouse hippocampal slices with SEMA3F increases the amplitude and frequency of mini EPSCs.<sup>32</sup> Third, genetic evidence suggests a role for class 3 semaphorins *in vivo*. Thus, dentate gyrus and cortical layer V slices from *Nrp2*<sup>-/-</sup> mice show increased frequency of mini EPSCs, and *Sema3f*<sup>-/-</sup> mice are prone to seizures.<sup>13</sup> In agreement, long-term potentiation (LTP) in cultured hippocampal neurons induces miR-188, a microRNA that downregulates NRP2 protein levels, and when transfected into these neurons rescues the NRP2-mediated decrease in dendritic spine density and synaptic transmission.<sup>33</sup>

Providing yet another line of evidence for the role of class 3 semaphorins in regulating synaptic plasticity *in vivo*, mice lacking either SEMA3E or PLXND1 show an increased frequency of mini EPSCs and, additionally, of evoked EPSCs in direct pathway medium spiny neurons.<sup>24</sup> Together, these studies suggest that class 3 semaphorin family members cooperate to alter synaptic plasticity in the adult brain by differentially affecting the same type of neurons or acting on distinct types of neurons.

Importantly, two independent studies using paired pulse experiments showed that the increased synaptic transmission in mice lacking SEMA3F or SEMA3E is not due to the modulation of synaptic strength.<sup>13,24</sup> Instead, the effect of these semaphorins on synaptic transmission was attributed to an increased density and altered distribution of primary dendritic spines.<sup>13,24</sup> Evidence that semaphorins control synaptic transmission directly and independently of synapse formation is therefore still lacking. Interestingly, however, the cyclin-dependent kinase CDK5, which has been linked to LTP, is a downstream target of semaphorins,<sup>34</sup> and direct roles for semaphorins in modulating synaptic activity and plasticity may therefore emerge in the future.

### VEGF in Synaptic Plasticity

The knockdown of VEGF-D in the mouse hippocampus decreases the frequency and amplitude of mini EPSCs and

impairs memory formation; moreover, its knockdown in primary hippocampal neuron cultures reduces dendritic length, but not spine density.<sup>35</sup> These observations are consistent with a model in which VEGF-D controls synaptic plasticity by changing dendrite structure. Adding detail to the molecular mechanism, VEGF-D-induced dendritic branching downstream of neuronal activity involves nuclear calcium signaling and the calcium/calmodulin-dependent protein kinase IV.<sup>35</sup>

VEGF-A may also modulate synaptic plasticity. For example, treatment of adult rat hippocampal neuron cultures with exogenous VEGF-A enhances LTP,<sup>36</sup> while sequestering VEGF-A with a soluble VEGFR1 receptor in the adult mouse hippocampus reduces LTP, independently of neurogenesis and vascular changes.<sup>37</sup> Moreover, viral or transgenic overexpression of VEGF-A in adult rats or mice enhances hippocampal spatial memory formation,<sup>31,37</sup> and the intrathecal administration of VEGF-A to adult rats induces a long-lasting increase in nerve burst amplitude in respiratory phrenic nerves.<sup>38</sup>

Similar to the semaphorins, VEGF-A is also upregulated after neuronal activity in mice, for example in the hippocampus and in motor neurons after seizures<sup>39,40</sup> and in cultured neurons and brain slices following membrane depolarization.<sup>36</sup> Additionally, exogenous VEGF-A reduces the amplitude and frequency of field excitatory and inhibitory postsynaptic potentials (EPSPs and IPSPs) in rat hippocampal and motor neurons in brain slices and enhances mini EPSC frequency in neonatal rat hippocampal neuron cultures.<sup>39,41,42</sup> Whether these effects are caused by changes in spine density or dendrite number, as observed for semaphorins, remains to be addressed.

Some evidence supports the possibility that VEGF-A modulates synaptic transmission directly by altering calcium influx (Fig. 1C). Thus, VEGF-A treatment increases the transcription of the glutamate AMPA receptor subunit GluR2, which decreases AMPA receptor permeability to Ca<sup>2+</sup> in cultured rat motor neurons.<sup>43</sup> Additionally, VEGF-A and its receptor VEGFR2 associate with the glutamate NMDA receptor in developing mouse cerebellar granule cells before synapse formation to modulate NMDA receptor-mediated currents and Ca<sup>2+</sup> influx in these cells.<sup>44</sup> VEGF-A treatment also increases Ca<sup>2+</sup> flux into cultured rat hippocampal neurons and activates CamKII and mTOR, two molecules known to operate in signaling pathways that promote LTP.<sup>36</sup> However, it is not yet known if these mechanisms also occur in the same neuron and are functionally coordinated, or if they are specific to different neuronal subtypes. Finally, it is not yet known whether VEGF-A can modulate glutamate receptor-based synaptic activity in functional adult synapses *in vivo*.

### Discussion and Future Directions

While we now know that VEGF-A and VEGF-D contribute to synaptic development, maintenance and plasticity, the role of other VEGF family members remains to be clarified. For example, VEGF-B and VEGF-C have been implicated in neurogenesis,<sup>45,46</sup> but possible roles in the synapse have not yet been investigated. Similarly, only a small subset of proteins in the large semaphorin

family has been studied for possible roles in the synapse, and many more roles may therefore be uncovered. The sources of semaphorins and VEGFs during synapse formation, maintenance and pruning also remain unknown. Finally, it will be important to examine if there is cooperation, competition or redundancy between both classes of secreted glycoproteins, in particular as they share some subunits of their receptor complexes, such as NRP1, NRP2, PLXND1 and VEGFR2.

The analysis of genetic mutants of these VEGF-A receptors for defects in synapse formation, elimination or plasticity will also help to determine if VEGF-A is generally important because of its direct effects on synapses, or if it affects synapses more often indirectly, i.e., by acting through blood vessels or other VEGF-responsive cell types that release synaptic modulators. Several studies implicating VEGF-A in dendritogenesis, LTP modulation and neuroprotection after seizures have excluded vascular effects as the primary cause of these phenotypes and are therefore consistent with direct effects of VEGF-A on synapses.<sup>22,37,40</sup> However, synaptogenesis has been shown to occur alongside angiogenesis after cerebral ischemia, a condition that strongly upregulates VEGF-A,<sup>47</sup> and the hypoxia-induced upregulation of the transcription factor HIF1A, which is upstream of VEGF-A, enhances spontaneous firing in hippocampal cultures.<sup>41</sup> Thus, under specific physiological circumstances, VEGF-A may promote synaptogenesis also indirectly by inducing new blood vessel growth, similar to its proposed role in neurogenesis.<sup>48</sup> Adding further levels of complexity, hypoxia can also inhibit synapse formation in the newborn rat brain, which is associated with changes in the expression of genes involved in synaptic function and maturation.<sup>49</sup> Semaphorins can also modulate blood vessel

growth and function,<sup>50-52</sup> but it has not yet been examined if they contribute to synaptic development and plasticity indirectly through their vascular roles.

While studies of VEGF and semaphorins in normal synaptic development and maintenance are a focus of ongoing research, future research will undoubtedly aim to understand their contribution to diseases in which synaptic function is affected. The dysregulation or dysfunction of semaphorins and VEGFs has been observed in several neural disorders. For example, upregulation of SEMA3A has been linked to schizophrenia and Alzheimer disease,<sup>53,54</sup> downregulation of *Sema5a* has been observed in autism<sup>55</sup> and *Sema3f* binding by the fragile X mental retardation protein (FMRP) has been implicated in fragile X mental retardation pathology.<sup>56</sup> Furthermore, genetic variation of *VEGFA* has been associated with motor neuron disease<sup>57</sup> and Alzheimer disease.<sup>58</sup> Whether the link of semaphorins and VEGFs to these disorders involves synaptic effects remains to be investigated.

As new roles of VEGFs and semaphorins in synaptic health and dysfunction are being uncovered, these molecules may become new targets for therapeutic intervention in neurological disease.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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