

**Paper 487: REVISED**

**Implementing successful residential alternatives to acute in-patient  
psychiatric services: Lessons from a multi-centre study of alternatives in  
England**

Nicola Morant<sup>1,2</sup>, Brynmor Lloyd-Evans<sup>2</sup>, Helen Gilbert<sup>3</sup>, Mike Slade<sup>3</sup>, David Osborn<sup>2</sup> &  
Sonia Johnson<sup>2</sup>

<sup>1</sup> Department of Social and Developmental Psychology, University of Cambridge.

<sup>2</sup> Research Department of Mental Health Sciences, University College London.

<sup>3</sup> Health Service and Population Research Department, Institute of Psychiatry, King's College London.

**Running Head:** Implementing alternatives to acute in-patient care.

**Word count** (excluding abstract, references and tables): 3,997

**Tables:** 3

**Correspondence to:** Dr Nicola Morant, Dept. of Social and Developmental Psychology, University of Cambridge, Free School Lane, Cambridge CB2 3QR. E-mail: [nm432@cam.ac.uk](mailto:nm432@cam.ac.uk). Tel: 00 44 (0)1223 842019.

## **Structured summary**

*Background:* Standard acute psychiatric care in the U.K. is costly but problematic.

Alternatives to standard in-patient wards exist, but little is known about their effectiveness, implementation and sustainability. This paper explores successful features and limitations of five residential alternative services in England, and factors that facilitate or impede their initial and sustained implementation and success.

*Methods:* Semi-structured interviews about the functioning of six alternative services were conducted with 36 mental health professionals with good working knowledge of, and various connections with these services. A group interview with study researchers was also conducted. Data were analysed using thematic analysis.

*Results:* One service did not show evidence of operating as an alternative and was excluded from further analysis. The remaining five alternatives are valued for providing a more holistic style of care than standard services that confers many perceived benefits. However, they are seen as less appropriate for compulsorily detained or highly disturbed patients, and as providing less comprehensive treatment packages than hospital settings. Factors identified as important to successful implementation and sustainability are: responding to known shortcomings in local acute care systems; balancing role clarity and adaptability; integration with other services; and awareness of the alternative among relevant local health-care providers.

*Conclusions:* Residential alternatives can play an important role in managing mental health crises. Their successful implementation and endurance depend on establishing and maintaining a valued position within local service systems. Findings contribute to bridging the gap between research evidence on the problems of standard acute care and delivering improved crisis management services.

**Key words:** mental health; acute psychiatry; in-patient services; implementation; residential crisis houses; qualitative research

## **Introduction**

Acute psychiatric in-patient care in the U.K. has been identified as problematic (Department of Health 2002; Lelliott & Bleksley, 2010) and evidence about outcomes is limited (Jepson *et al.*, 2001). Commonly cited problems include service user dissatisfaction, lack of safety, stigma, difficulties in forming therapeutic relationships and unclear functions (Rose, 2001; Lelliott & Quirk, 2004; Totman *et al.*, 2010). Given that acute in-patient care remains a central and costly component of the U.K. mental health system, the need for service innovation and research into how best to respond to mental health crises is clear (Healthcare Commission, 2008).

One development has been the establishment of acute residential alternatives to traditional in-patient care (referred to here as ‘alternatives’), based either in community or hospital settings and aiming to cater for similar patient populations at the point of crisis. A recent survey identified 131 such alternatives in England (Johnson *et al.*, 2009). A second phase of this study (The Alternatives Study) evaluated six alternative services representing different service models. It found considerable overlaps in patient characteristics of these services and those of standard acute hospital wards (Johnson *et al.*, 2010). There was less clinical improvement during admissions to alternatives compared to standard services (Slade *et al.*, 2010), but short and medium-term costs were less and readmission rates were no greater (Byford *et al.*, 2010). Importantly, compared to standard in-patient wards, patient satisfaction with community alternatives was greater (Gilburt *et al.*, 2010; Osborn *et al.*, 2010). These findings mirror those of a recent systematic review of residential alternatives showing preliminary evidence for greater patient satisfaction and lower costs (Lloyd-Evans, *et al.*, 2009).

Thus there is a growing evidence base suggesting that alternatives have a genuine capacity to cater for acutely ill patients and overcome known problems of in-patient care. However, service models are often not clearly defined and failures to sustain innovative alternatives appear common (Lloyd-Evans *et al.*, 2009). In addition to evidence regarding outcomes, an understanding of how to implement and sustain new service models effectively is required in order to achieve positive change. This ‘implementation science’ (Tansella & Thornicroft, 2009), although currently under-developed (Greenhalgh, *et al.*, 2004), will allow us to bridge the considerable translational gap between what is known to be effective and what is delivered in routine mental health care (Proctor *et al.*, 2009).

Implementation processes are complex, involving facilitators and barriers across policy, organisational, and practitioner levels (Proctor *et al.*, 2009). At the local service system level, factors such as commissioning priorities, professional cultures, leadership, staff training and availability of resources may enhance or impede implementation (Mancini *et al.*, 2009; Tansella & Thornicroft, 2009; Whitley *et al.*, 2009). As part of the Alternatives Study, qualitative interviews were conducted with professional stakeholders who were well positioned to discuss how six residential alternative services operated within their local acute care systems. This paper analyses professional stakeholders' perspectives on the successes and limitations of these services in comparison to standard acute in-patient care, and the factors within local service contexts that facilitate or impede their implementation and functioning. Three of these alternatives were more than ten years old, allowing exploration of factors that promote long-term sustainability.

## **Methods**

### **Settings**

Six services were selected to reflect the most common types of residential alternatives in England, as identified in an earlier survey (Johnson *et al.*, 2009). Services were located in various urban, suburban and rural areas of England, and are fully described elsewhere (Johnson *et al.*, 2010). They comprised:

- Two non-clinical alternatives (one specifically for Black ethnic groups) managed outside the National Health Service (NHS) by voluntary sector organisations with few mental health qualified staff.
- A community-based clinical crisis house, with content of care and staffing more closely resembling that found in a hospital.
- Crisis team beds located in a community rehabilitation hostel and closely integrated with a Crisis Resolution and Home Treatment service, providing rapid assessment and intensive home treatment for mental health crises.
- A short stay hospital ward offering admissions of up to 72 hours.

- A general acute hospital ward run according to a specific therapeutic model (the Tidal Model; Barker & Buchanan-Barker, 2005). Unlike the other alternatives that co-existed alongside standard in-patient wards, this was the only in-patient ward for the locality.

## **Participants**

For each service, 5-7 people were interviewed, purposively sampled to access a range of professional perspectives on and interfaces with the alternative. These comprised: the alternative service manager; a senior staff member at the local standard in-patient unit; the crisis resolution team manager; a referring clinician from the local community mental health team (usually a consultant psychiatrist); a senior manager from the local mental health Trust; and a senior representative of service commissioners and funders. Preference was given to respondents who had been in post longest and worked closely with alternative services, so were best able to comment on their impact and role within local service networks. A total of 36 interviews were conducted.

Although the study was specifically designed to draw on the ‘insider’ perspectives of these professionals (who were stakeholders of the service systems they were asked to discuss), we aimed to enhance the validity of findings through a secondary source of qualitative data from a more objective ‘outsider’ perspective. Consequently, a group interview was conducted by the first author with four study researchers who had spent extensive time in the services studied.

## **Procedure**

Semi-structured interviews following a pre-planned topic guide were conducted. At the beginning of each interview, respondents were shown summaries of quantitative data comparing characteristics and short-term outcomes of the local alternative service’s clients to those of a local comparison ward. This was designed to stimulate discussion and ensure it was based on local realities. A series of open-ended questions were then posed to all respondents, but with flexibility to expand and explore related issues. Questions covered the following topics: referral pathways; the role and function of alternatives within local service networks; comparisons with standard services; and in what ways services were ‘alternatives’. Managers of alternatives were also asked about the service history including how and why it may have changed. The group interview asked researchers to discuss impressions and

experiences of the alternatives, how they compared to standard acute in-patient services, and what features made them 'alternatives'. All interviews were audio-recorded and transcribed.

## **Analysis**

Data were analysed using thematic analysis within NVivo7 software. Thematic analysis is “a foundational method for qualitative analysis” (Braun & Clarke, 2006, p. 78) that is theoretically flexible and provides a systematic way of interpreting verbal data (Boyatzis, 1998). Analysis sought to answer initial research questions and explore emergent themes, and to understand both commonalities and variations within the data corpus. To enhance validity, a collaborative approach was adopted: analysis was conducted primarily by the first two authors, with input from other authors throughout the process. We adopted a staged approach in which a hierarchically organised set of thematic codes was progressively developed based on reading and coding an initial small sub-set of data, reviewing and discussing this process, and revising our thematic codes. This process was repeated with progressively larger sub-groups of data until the whole data corpus was coded. The analytic process aimed to move progressively from initially descriptive coding to more interpretative thematic codes that reflect underlying and more abstract themes and concepts, whilst maintaining close links with specific pieces of data (Saldaña, 2009). Data from the researchers group interview was analysed after the principal data corpus and used to validate emergent themes.

## **Results**

Preliminary data analysis concurred with a range of findings from the Alternatives Study indicating that the Tidal model ward did not function as an alternative (Johnson *et al.*, 2010; Lloyd-Evans, *et al.*, 2010a). Accordingly, data from five interviews about this service are excluded from this analysis that considers the remaining five services. Results are structured in terms of underlying themes that emerged from analysis. These do not map onto specific interview questions but represent issues drawn out from material across the interviews that speak to our initial research questions about: i) how these services succeed in implementing alternative forms of crisis management; ii) their limitations in this respect; iii) factors that facilitate their implementation and sustainability. We focus on issues that are common across the alternatives (although with some variations discussed below). This is partly due to space limitations, but also because there was generally more common ground than variations, and

findings based on sub-groups of respondents associated with particular services or professional backgrounds would necessarily be more tentative due to the smaller numbers involved.

## **1. Successful features of implementation**

Alternatives are generally viewed as complementing standard acute care rather than aiming to replace it entirely, taking the pressure off busy in-patient wards and offering more possibilities in crisis management. These functions are valued across all stakeholders. Several related strengths are identified:

### **a) An holistic ethos**

The principal perceived strength is a holistic and collaborative style of care that is less medicalised than in standard acute wards (quote 1.1, Table 1). Features of this broad ethos characterise all the alternatives, but it is most clearly articulated in relation to the two non-NHS voluntary sector services. Three ways that this is manifested are described (sections b, c and d):

**Insert Table 1 about here**

### **b) Retaining links with “normal life”**

Alternatives are seen as better able to engage with and retain links with clients’ normal lives than standard in-patient services. Shorter lengths of stay mean that admissions are focused on crisis management, with an aim to discharge clients back home quickly and avoid disrupting social activities and networks (quote 1.2). Time-limited admissions also encourage prompt engagement with community support services, with alternatives not being limited by organisational factors, such as weekly ward rounds, that may delay hospital discharges. Additionally, alternatives often engage with their clients’ practical life problems, from help with housing to fixing a mobile phone, contributing to crisis resolution, and to positive client experiences and therapeutic relationships. Finally, alternatives are seen as less stigmatising, with non-statutory services in particular being less obviously identified as mental health services by clients’ social networks. This is linked to physical features such as their location in old town houses (the two non-clinical crisis houses), single bedrooms and kitchen

facilities, all of which confer a more homely and less institutional atmosphere than hospital settings (quote 1.3).

**c) Autonomy, choice and responsibility**

With the exception of the clinical crisis house, a recovery-oriented approach of empowering clients to take responsibility for themselves is perceived as central, aiming to counter the paternalism and coercion often associated with standard acute in-patient care (quote 1.4). This is particularly strong at the two non-clinical crisis houses, which allow self-referrals and encourage involvement in decisions about individual treatment programmes and service routines. The lack of compulsorily detained patients at most alternatives allows them to offer more choices and freedoms, and may create an atmosphere that encourages responsibility and conformity (quote 1.5).

**d) Relationships**

Opportunities for developing strong therapeutic and peer relationships is a key perceived strength, related to three factors. Firstly, compared to standard wards, clients of alternatives are more likely to be known to mental health services (Johnson *et al.*, 2010), providing opportunities for continuity. Secondly, all the alternatives are small, with 12 beds or less, allowing more personalised care (quote 1.6). Finally, the two non-statutory sector crisis houses are perceived to have strong communal atmospheres that encourage supportive peer relationships. There are some suggestions that staff's lack of formal mental health training and orientation towards talking therapies in these two services facilitate positive therapeutic relationships.

**2. Perceived limitations of alternatives.**

**a) Provision for sectioned and high risk patients**

Only one alternative (the clinical crisis house) took sectioned patients, although two others (crisis team beds and the non-clinical crisis house) could accept detained patients on leave from hospital. Respondents acknowledge that most alternatives are not set up to manage sectioned, high risk, highly disturbed or disruptive patients due to their physical lay-out, referral procedures, low staffing levels (particularly at nights and weekends) and staff who

lack the necessary qualifications, experience or support (quote 2.1, Table 2). However, this is not necessarily seen as problematic: Particularly for the non-clinical crisis houses, accepting sectioned patients would compromise the service ethos (quote 2.2). However, some stakeholders express frustration that alternatives often refuse to accept more severely unwell patients, and suggest better staff training and support in managing higher-risk patients (quote 2.3).

**Insert Table 2 about here**

#### **b) Range of interventions**

Particularly among staff working in standard in-patient units, a common theme was that alternatives provide less comprehensive treatment packages than hospital settings, with less focus on clients' physical health needs due to their non-medical orientation. This is attributed to staffing levels, and to the range of staff, expertise and economies of scale of hospital settings (quote 2.4).

#### **c) Size and organisational issues**

The small size of alternatives leads to some logistical problems, particularly in managing very disturbed patients. Places are not always available and there is less flexibility if additional or specialist staff are needed or staff are absent. These can be exacerbated by geographical factors: Concerns about staffing logistics (especially for psychiatrists) were strongest for one alternative located in a very rural and widely distributed catchment area. For three alternatives (the non-clinical crisis house, crisis beds service and the clinical crisis house) slow referral and admission processes, particularly at night, were viewed by some as significant limitations to crisis management, that often resulted in suitable patients being admitted to in-patient wards instead (quote 2.5).

### **3. Facilitators to implementation**

Stakeholders' views of how the implementation and sustainability of alternatives had been facilitated, what barriers had been encountered, and how these had been overcome focus principally on four areas:

#### **a) Locally-valued roles**

Initial implementation of alternatives had often been motivated by short-comings in local acute care systems, identified through consultations with existing service providers and local service user and carer groups. Responding to un-met needs was valued most by local stakeholders of the short stay unit, where robust assessments had reduced in-patient admissions and associated costs, and of the Black non-clinical crisis house, catering for a client group that was over-represented in coercive forms of care in an ethnically diverse catchment area. The three other alternatives were also seen as responding positively to service short-comings (although with less clear consensus), by enhancing the crisis resolution service (crisis team beds), catering for an isolated rural population (clinical crisis house) and providing a less coercive alternative to hospital admission (non-clinical crisis house). Subsequent endurance depends on continuing to play a unique and valued role within the local system. This is facilitated by high quality, committed and enthusiastic staff teams (quote 3.1, Table 3).

**Insert Table 3 about here**

#### **b) Balancing role clarity and adaptability**

Role clarity about what alternatives offer (in terms of treatment regimes and clinical presentations) is perceived as central to their effective functioning (quote 3.2). As well as helping to avoid inappropriate referrals, the potential risks associated with acute care may make this especially important. Simultaneously, adaptability is cited as essential, particularly by stakeholders of the more established alternatives. Long-term sustainability within the context of changes and developments in local service systems had required modifications of, for example, referral pathways and criteria. The introduction of crisis resolution teams is cited as particularly significant, impacting positively on the functioning of more established alternatives. Flexibility (e.g. regarding lengths of stay or referral criteria) is also valued in relation to individual care, contributing to good working relationships with other services.

Thus, there is a perceived tension between adaptability and maintaining a clear and distinct role, especially when changes challenge alternatives' original aims or working ethos (quote 3.3). Several examples are described of pressures from statutory services to change or extend alternatives' role beyond their stated aims or competences (e.g. to admit complex, high risk or non-acute patients, waive time limits, or take overspill from wards). The ability to resist

pressures to move away from what they can do safely and well appears to contribute to sustainability.

### **c) Integration with other services**

Stakeholders identify good working relationships with other acute care service providers, particularly crisis resolution teams, and CMHTs, as important particularly for initial implementation, but also to the continued endurance of alternatives (quote 3.4). Joint working, assessments or care planning allow alternatives to discharge patients promptly and compensate for input they are unable to provide. For example, community teams provide medical cover for the two non-clinical crisis houses and crisis team beds, allowing appropriate medication management. Collaboration with crisis resolution teams in particular enables alternatives to make a significant contribution to community-based crisis management, allowing patients to be diverted from acute admission (quote 3.5). For the three NHS-based alternatives this is facilitated by proximity to other services located on the same site.

### **d) Awareness**

Accurate knowledge of alternatives and their working models across a broad range of local health providers is seen as important to ensuring both sufficient and appropriate referrals. Multiple and rapidly evolving community-based services can create barriers to this that require alternatives to engage in continuing awareness-raising activities (quote 3.6). Although all the services are well-integrated into local secondary mental health systems, awareness of alternatives is generally weaker in non-mental health services (general practitioners, hospital emergency departments, the police and voluntary and private sector agencies). The two non-statutory sector alternatives in particular receive few direct referrals from non-mental health agencies, and community mental health services often act as gate-keepers. This is seen to sometimes complicate the referral process.

## **Discussion**

The data we have presented focuses on how alternative residential services function within their local acute care systems. This is a unique contribution within the larger Alternatives Study, providing: a) an in-depth professional perspective on the effectiveness and limitations

of these services (Results sections 1 and 2); b) an understanding of how these service models are implemented within existing service systems (Results section 3). As these are both determinants of the success and endurance of novel or innovative services, we consider each in turn.

### **Effectiveness of alternative service models**

These services are seen by professionals as important components of their local service systems that may improve the acceptability of acute care. At their best, they manage crises in a more personalised and holistic way than standard in-patient care, keeping links with patients' normal social networks, involving patients in care decisions, empowering them to develop functional coping and help-seeking strategies, and facilitating meaningful therapeutic alliances. Many of these features are strongest in the two non-clinical crisis houses. The culture and practical set-up of these services may be mutually enhancing: Maintaining a holistic care ethos may be easier in environments that are more homely, smaller and less formal than hospital settings, that do not accept involuntary patients, and where there are fewer highly disturbed patients. On the other hand, some acutely unwell patients cannot be safely managed in such environments. Compared to traditional in-patient wards, alternatives may focus less on reviewing and managing psychiatric medication, offer a narrower range of therapeutic input, and have less access to specialist staff or interventions. The poorer ability of some alternatives to address physical health needs is significant given concerns about this among many mental health service users (Sokal *et al.*, 2004).

### **Implementation issues**

Alternative service models often fail to endure in the absence of novelty and initial charismatic leaders (Lloyd-Evans *et al.*, 2009). Our study highlights the factors that professionals within local service systems perceive to have facilitated the endurance of five different alternative services, three of which were over a decade old. For service developers wishing to implement sustainable alternative forms of acute care, our findings show that short-comings in existing local service configurations will shape the most appropriate service model. Secondly, changes in local service networks may challenge the sustainability of innovative services, but this can be overcome by alternatives that adapt to evolving contexts whilst preserving the integrity of their original model. This is facilitated by strong

relationships with other components of the local acute care system, and promotional work among local agencies that encounter people in mental health crisis.

Successful implementation also depends on national level factors (Tansella & Thornicroft, 2009). The mandatory national development of crisis resolution and home treatment teams (Department of Health, 2000) may strengthen the functioning of alternatives. The synergy detected between these and some alternative services appears to enhance their capacity to jointly manage severely unwell clients in the community. Services in this study are not as radical as some successful alternative models in other countries, such as user-led services (Greenfield *et al.*, 2008), family placement schemes (Polak & Kirby, 1976) and medication-free crisis houses (Bola & Mosher, 2003; Carlton *et al.*, 2008)). This perhaps reflects organisational constraints of the U.K. mental health system that may discourage or dilute innovative service models through requirements for compatibility with existing NHS structures, cultures and processes.

### **Clinical and research implications**

The small size of the services studied appears to be integral to their personalised style of care, suggesting that alternatives coexisting with standard acute services within a locality may be the most viable model. This shapes how they function allowing, for example, positive risk-taking with clients. Our study highlights tensions between managing severely unwell or sectioned patients and maintaining an alternative ethos. Thus commissioners seeking to improve acute care should consider whether they prioritise decreasing hospital bed usage or changing general acute psychiatric services. Given the diversity of alternative service models, it may be desirable for more than one type of alternative to exist in a catchment area, offering possibilities to manage both detained and non-detained patients. An unanswered question is how alternatives impact on the client mix of local in-patient wards (perhaps by increasing the concentration of complex and highly disturbed patients), and the implications for their functioning and for service user experiences. This is an important area for future research that will inform service planning.

Our findings that, across different service models, alternatives are valued for facilitating strong therapeutic relationships concur with data collected from users of these services (Gilbert *et al.*, 2010), and wider literature on the importance of relationships and connection during crises (e.g. Martin *et al.*, 2000; McCabe & Priebe, 2004). Findings suggest that this

emerges from the broader working ethos of alternatives, and further research is needed on the specific factors that support or inhibit relationship-building across a range of acute settings. A related issue concerns the apparent success of acute care provision by two non-statutory voluntary organisations employing mainly unqualified staff. Further research is needed to explore the optimal staff mix for acute care services and the non-statutory sector's role in this provision.

A limitation of this work is its primary reliance on the perspectives of mental health professionals. Although service users' and carers' views of these alternatives have been explored elsewhere (Gilburt *et al.*, 2010; Lloyd-Evans *et al.*, 2010b), the views of others involved in crisis management such as G.P.s, emergency service providers, the police and voluntary sector organisations remain unexplored. A second limitation concerns generalisability from the five services we have studied, that may not necessarily represent their respective alternative service models. However, a qualitative approach drawing on professionals' and researchers' knowledge of services allows an in-depth understanding of how services operate within local acute care systems, from which common strengths, limitations and implementation-related factors have been identified. Implementation researchers in mental health are increasingly recognising this balance between generalisable issues and understanding the variability between service configurations and their appropriateness in different social contexts (Mancini, *et al.*, 2009; Whitley, *et al.*, 2009).

To conclude, this type of research helps address the translational gap between evidence and implementation in acute psychiatric care by highlighting valued and workable features of care delivery by residential alternatives, limitations and factors associated with their set-up and survival. This should contribute to both the development of acute care services that produce positive outcomes and service user experiences, and to their long-term sustainability, which is arguably how their greatest public health impact can be achieved (Tansella & Thornicroft, 2009).

## **Acknowledgements**

This paper presents independent research commissioned by the National Institute for Health Research (NIHR) under the Service Delivery and Organisation programme (project number 08/1304/075). The views expressed in this paper are those of the authors and not necessarily of the NHS, NIHR or Department of Health. We are grateful to the practitioners who participated in interviews and to Dorota Jagielska and Rachel Skinner, researchers for The Alternatives Study. This study was undertaken in the context of the NIHR Specialist Mental Health Biomedical Research Centre at the Institute of Psychiatry, King's College, London and the South London and Maudsley NHS Foundation Trust. The study was supported by the Mental Health Research Network (MHRN) and associated with the MHRN acute care group (convenor S.J.).

## References

- Barker, P. and Buchanan-Baker, P.** (2005). *The Tidal Model: A guide for mental health professionals*. Brunner-Routledge: Hove.
- Bola J. R. and Mosher, L. R.** (2003). Treatment of acute psychosis without neuroleptics: Two-year outcomes from the Soteria project. *Journal of Nervous and Mental Disease*, 191, 219-229.
- Boyatzis, R.** (1998). *Transforming Qualitative Information: Thematic analysis and code development*. Sage: London.
- Braun, V. and Clarke, V.** (2006). Using thematic analysis in psychology. *Qualitative Research in Psychology*, 3, 77-101.
- Byford, S., Sharac, J., Lloyd-Evans, B., Gilbert, H., Osborn, D., Leese, M., Johnson, S., Slade, M.** (2010). Alternatives to standard acute inpatient care in England: Readmissions, service use and cost after discharge. *British Journal of Psychiatry*, 197, s20–s25.
- Calton, T., Ferriter, M., Huband, N., Spandler, H.** (2008). A systematic review of the Soteria paradigm for the treatment of people diagnosed with schizophrenia. *Schizophrenia Bulletin*, 34, 181–192.
- Department of Health** (2000). *The NHS Plan*. Stationery Office, London.
- Department of Health** (2002). *Mental Health Policy Implementation Guide: Adult acute inpatient care provision*. Department of Health, London.
- Gilbert, H., Slade, M., Rose, D., Lloyd-Evans, B., Johnson, S., Osborn, D.** (2010). Service users' experiences of residential alternatives to standard acute wards: qualitative study of similarities and differences. *British Journal of Psychiatry*, 197, s26–s31.
- Greenfield, T., Stoneking, B., Humphreys, K., Sundby, E., Bond, J.** (2008). A randomized trial of a mental health consumer-managed alternative to civil commitment for acute psychiatric crisis. *American Journal of Community Psychology*, 42, 135–144.
- Greenhalgh, T., Robert, G., MacFarlane, F., Bate, P., Kyriakidou, O.** (2004). Diffusion of innovations in service organizations: Systematic review and recommendations. *Milbank Quarterly*, 82, 581–629.
- Healthcare Commission** (2008). *The Pathway to Recovery: A review of NHS acute inpatient mental health services*. Commission for Healthcare Audit and Inspection: London.
- Jepson, R., De Blasi, Z., Wright, K., Ter Riet, G.** (2001). *Scoping Review of the Effectiveness of Mental Health Services*. NHS Centre for Reviews and Dissemination: University of York.

- Johnson, S., Gilbert, H., Lloyd-Evans, B., Osborn, D., Boardman, J., Lesse, M., Shepherd, G., Thornicroft, G., Slade, M.** (2009). In-patient and residential alternatives to standard acute psychiatric wards in England. *British Journal of Psychiatry*, *194*, 456-463.
- Johnson, S., Lloyd-Evans, B., Morant, N., Gilbert, H., Shepherd, G., Slade, M., Jagielska, D., Leese, M., Byford, S. Osborn, D.** (2010). Alternatives to standard acute care in England: roles and populations served. *British Journal of Psychiatry*, *197*, s6–s13.
- Lelliott, P. and Bleksley, S.** (2010). Improving the quality of acute inpatient care. *Epidemiology and Psychiatric Sciences*, *19*, 287-290.
- Lelliott, P. and Quirk, A.** (2004). What is life like on acute psychiatric wards? *Current Opinion in Psychiatry*, *17*, 297-301.
- Lloyd-Evans, B., Slade, M., Jagielska, D., Johnson, S.** (2009). Residential alternatives to acute psychiatric hospital admission: Systematic review. *British Journal of Psychiatry*, *195*, 109-117.
- Lloyd-Evans, B., Johnson, S., Morant, N., Gilbert, H., Osborn, D., Jagielska, D., Skinner, R., Leese, M., Shepherd, G. Slade, M.** (2010a). Alternatives to standard acute inpatient care in England: Differences in content of care and staff-patient contact. *British Journal of Psychiatry*, *197*, s46-s51.
- Lloyd-Evans B., Johnson, S., Slade, M., Barrett, B., Byford, S., Gilbert, H., Leese, M., Morant, N., Osborn, D., Skinner, R., Malone, N., Thornicroft, G.** (2010b). In-patient Alternatives to Traditional Mental Health Acute In-Patient Care. Report for the National Institute for Health Research Service Delivery and Organisation programme. ([http://www.sdo.nihr.ac.uk/files/project/SDO\\_FR\\_08-1304-075\\_V01.pdf](http://www.sdo.nihr.ac.uk/files/project/SDO_FR_08-1304-075_V01.pdf)). Accessed 7 November 2011.
- Mancini, A., Moser, L., Whitley, R., McHugo, G., Bond, G., Finnerty, M. Burns, B.** (2009). Assertive community treatment: Facilitators and barriers to implementation in routine mental health settings. *Psychiatric Services*, *60*, 189-195.
- Martin, D., Garske, J. Davis, M.** (2000). Relation of the therapeutic alliance with outcome and other variables: A meta-analytic review. *Journal of Consulting and Clinical Psychology* *68*, 438–450.
- McCabe, R. and Priebe, S.** (2004). The therapeutic relationship in the treatment of severe mental illness: A review of methods and findings. *International Journal of Social Psychiatry* *50*, 115–128.
- Osborn, D., Lloyd-Evans, B., Johnson, S., Gilbert, H., Byford, S., Leese, M., Slade, M.** (2010). Residential alternatives to acute inpatient care in England: A comparison of satisfaction, ward atmosphere and service user experiences. *British Journal of Psychiatry*, *197*, s41–s45.
- Polak P. and Kirby, M.** (1976). A model to replace psychiatric hospitals. *Journal of Nervous and Mental Disorders*, *162*, 13–22.

- Proctor, E., Landsverk, J., Aarons, G., Chambers, D., Glisson, C. Mittman, B.** (2009). Implementation research in mental health services: An emerging science with conceptual, methodological, and training challenges. *Administration and Policy in Mental Health*, 36, 24-34.
- Rose, D.** (2001). *Users' Voices: The perspectives of mental health service users on community and hospital care*. The Sainsbury Centre for Mental Health: London.
- Saldaña, J.** (2009). *The Coding Manual for Qualitative Researchers*. Sage: London.
- Slade, M., Byford, S., Barrett, B., Lloyd-Evans, B., Gilbert, H, Osborn, D., Skinner, R., Leese, M., Thornicroft G. Johnson, S.** (2010). Alternatives to standard acute inpatient care in England: Short-term clinical outcomes and cost-effectiveness. *British Journal of Psychiatry*. 197, s14–s19.
- Sokal, J., Messias, E., Dikerson, F., Keyenbuhl, J., Brown, C., Goldberg, P. Dixon, L.** (2004). Comorbidity of medical illnesses among adults with serious mental illness who are receiving community psychiatric services. *Journal of Nervous and Mental Disease* 192, 421 – 427.
- Tansella, M. and Thornicroft, G.** (2009). Implementation science: Understanding the translation of evidence into practice. *British Journal of Psychiatry*, 195, 283-285.
- Totman, J., Mann, F. and Johnson, S.** (2010). Is locating acute wards in the general hospital an essential element in psychiatric reform? The UK experience. *Epidemiology and Psychiatric Sciences*, 19, 282-286.
- Whitley, R., Gingerich, S., Lutz, W., Mueser, K.** (2009). Implementing the illness management and recovery program in community mental health settings: Facilitators and barriers. *Psychiatric Services*, 60, 202-209.

**Table 1: Successful features of implementation - illustrative quotes**

Quote No.	Theme	Quote
1.1	A more holistic ethos than standard in-patient wards	Researcher 1: It's like people as people, not people as diagnosis. Researcher 2: Yeah kind of, and I wouldn't mean that that should throw out all the medical stuff which I think the best alternative services have really good medical care, but in general they seem to know a bit more about these people and who they are and where they live and do their cats need feeding and all these kinds of things. (Researcher group interview)
1.2	Keeping links with clients' normal lives.	"They have full access to the community, they continue with their work if able or college courses etc. And I think because, obviously ... we work from a holistic point of view, and I am not saying that acute wards don't work from a holistic point of view, but obviously they follow the medical model in looking at diagnosis above the individual, and I think that we look at the individual above the diagnosis." (Non-clinical crisis house manager)
1.3	Built environment	"It's got a different shape to it, it's a Victorian Town House, its spread across three or four floors, its right on [the main street], it doesn't smell of Dettol and cabbage, do you know what I mean. It doesn't have an institutional feel to it. ... It's almost got the feeling of a house more than it has a hospital. That's very important I think, because its more welcoming, surprise, surprise that people refer themselves there." (Black non-clinical crisis house; referring consultant psychiatrist)
1.4	Empowerment and recovery-orientation	"I guess what we do is involve the service user very much in their own recovery. So its about empowering them, its about you know engaging with them in a way that they feel able to understand what is happening to them. And the whole idea is to equip them with coping strategies so that they can identify pathways of crisis if you like, identify reasons why they got into crisis in the first place and also equip them with tools to deal with and manage crisis better in the future." (Black non-clinical crisis house; housing association manager)
1.5	Encouraging autonomy and responsibility	"Being able to give people, you know a sense of control back so that they can organise their day and what are they doing and the visiting arrangements, that's crucial for us. You know giving people that sense of control. And you haven't got it up there at [the in-patient unit], there's no sense of it at all." (Crisis team beds, service manager)
1.6	Small size leads to more personal style of care	"Here we have a more intimate knowledge of the people and that's a numbers game: there's only eight, there's twenty down there [acute ward]. In terms of interactions its perhaps more personal I think here, than down there." (Clinical crisis house; Crisis Resolution Team manager)

**Table 2: Perceived limitations of alternatives - illustrative quotes**

2.1	Standard wards are better able to manage high risk patients	“On acute wards per shift, you have two or three nurses per shift who are qualified nurses who actually know how to assess risk and you’ve got doctors, a consultant who sees the patient two or three times a week, you’ve got a doctor who is actually based on the ward five days a week, the SHO. So I think that the team, you’ve got a team of professionals working for the patient, so I think its about the assessment that is carried out, and the plans that are put in place and how these risks can be managed.” (Non-clinical crisis house; in-patient charge nurse)
2.2	Taking sectioned patients would compromise the service	“But I think the sort of clients that they have are appropriate and I think trying to make it more secure for detained clients, I don’t think it would work at [the service] in the current building that it is, because it’s a nice old house. I think it would put a lot of restrictions on the staff and the users by doing that. No I don’t. It’s not really the model we were looking at for [the service].” (Non-clinical crisis house; mental health commissioner)
2.3	Need for training and support for alternatives to manage higher-risk patients	“I think sometimes more exposure to high risk would give them the confidence to manage it. Because sometimes I think there’s a confidence issue. It is quite scary sometimes when you are here as the only trained staff with a non-trained member of staff with you, to think that suddenly you have responsibility for managing this on your own, somewhere where help is not easy to get to. And it is about perhaps supporting and educating them about what they can do and how they can do that.” (Clinical crisis house; crisis resolution team manager)
2.4	Limitations of alternatives’ provision of clinical input compared to hospital care	“As to whether it can provide a true alternative to an inpatient stay, I don’t know, because you certainly have to mix the accessibility issues with economies of scale and if within a Resource Centre catchment area you can’t provide the depth of service over a 24 hour of 7 day period. What you gain in the fact that somebody can be supported close to home is lost in terms of the strength of service they can actually receive ... But I do think that a hospital setting that is slightly larger can actually offer that critical mass of clinical input, therapeutic programmes that can actually perhaps support people’s acute episode in a stronger way.” (Clinical crisis house; in-patient ward manager)
2.5	Inability of alternatives to process referrals rapidly	“One of the other problems about the viability of them being an alternative to hospital is the rapidity of response and again that may be just staffing levels and so on. ... Sometimes there is a delay of a day, or two or three and the person ends up in hospital because of that. And yet when we look at the reason its not just because the beds are full, I could understand that, if there’s a waiting list and no beds, but often its just again about sort of rapidity of response organisationally. I don’t know why, but those sort of reasons affect viability.” (Non-clinical crisis house; referring consultant psychiatrist)

**Table 3: Facilitators to implementation - illustrative quotes**

3.1	Implementation aided by high quality staff	“We deliberately decided that we would put a rich skill mix into [the short stay unit] because we needed the most robust, experienced, able staff to be able to make the decisions that needed to be made. Because we are moving away from a traditional model of care to a new model of care, that uncertainty comes with risk and we wanted to mitigate against that risk by making sure that we had the most experienced, able, qualified staff.” (Short stay unit; Trust director of in-patient services)
3.2	Importance of role clarity	“Decide what information they want, what assessments are needed ... and make sure that that criteria, that those forms are filled in robustly and meet your criteria. Because if you don’t have set boundaries like that then there’s a big risk I think that the beds will be used inappropriately.” (Crisis beds; in-patient manager)
3.3	Tensions between role clarity and flexibility	“Make sure that your boundaries are set within what you can and cannot achieve, your expectations of what you can and cannot achieve are fairly well grounded within, not just the management but also the staff, because that obviously gives the staff something to work from with service users and with other agencies. One of the things that we have had issues with, teething problems I guess, is that we weren’t always, or the staff weren’t quite sure where the boundaries were and then those boundaries could be pushed by the statutory services and then you take on too much or be expected to do too much and you then stretch your service too much and too far.” (Non-clinical crisis house; service manager)
3.4	Importance of integration with other services	“I think the integrity, the robustness, the comprehensiveness and the expertise of the assessment is key. But its only key in a spirit of sort of willingness because if you don’t have your home treatment services, your CMHTs, your consultant psychiatrists, your nursing and therapy staff singing from the same handbook then all you are going to be doing is bouncing the problem from one system to another.” (Short stay unit; Trust director of in-patient services)
3.5	Close working between alternatives and crisis resolution teams	“If we are able to get a person to a level where the crisis team are able to work with them at home, then that will happen. Because obviously it would be better for someone to be worked with at their home, in their own environment, where they’ve got a certain amount of input as well, rather than removing them from their environment, putting them in an acute ward. ... So I think the idea of them leaving us still within the sort of realms of a crisis but other services having input allows for a sort of steadier support.” (Non-clinical crisis house; service manager)
3.6	Importance of knowledge of the alternative amongst referrers	“the challenge of the [Black non-clinical crisis house] is to make sure that those people [community mental health teams] have the existence of [the service] as an alternative looming large in their mind at just the right stage of intervention. Some do, some don’t. But the real challenge is what do they say in the trade, “branding” do you know what I mean, getting [the service] brand into the minds of all those different teams.” (referring consultant psychiatrist)