

Measurement of the inclusive jet cross section in $p\bar{p}$ interactions at $\sqrt{s} = 1.96$ TeV using a cone-based jet algorithm

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We present a measurement of the inclusive jet cross section in $p\bar{p}$ interactions at $\sqrt{s} = 1.96$ TeV using 385 pb^{-1} of data collected with the CDF II detector at the Fermilab Tevatron. The results are obtained using an improved cone-based jet algorithm (Midpoint). The data cover the jet transverse momentum range from 61 to 620 GeV/c, extending the reach by almost 150 GeV/c compared with previous measurements at the Tevatron. The results are in good agreement with next-to-leading order perturbative QCD predictions using the CTEQ6.1M parton distribution functions.

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The differential jet production cross section at the Tevatron probes the world's highest momentum transfers in particle collisions, is potentially sensitive to a wide variety of new physics, such as quark compositeness [1], and tests perturbative QCD (pQCD) over more than 8 orders of magnitude. There was great interest when the inclusive jet cross section measured by the CDF collabo-

ration at the center of mass energy $\sqrt{s} = 1.8$ TeV [2,3] exhibited an excess in the high transverse energy E_T region when compared to next-to-leading order (NLO) QCD predictions obtained using then-current parton distribution functions (PDFs) [4]. Global PDF analysis by CTEQ [5,6] demonstrated that the excess could be explained by an enhanced gluon distribution at high momentum fraction

x ($x > 0.3$). Recent global PDF fits (CTEQ6, CTEQ6.1, MRST2004) [7–9], which include the Run I Tevatron jet data [2,10], find an increased gluon density at high x and provide a good description of the Run I Tevatron data. The gluon distribution is still poorly constrained at high x (see e.g. Ref. [8]) and contributes significantly to the theoretical uncertainty for many interesting processes at the Tevatron and the LHC. The increase in \sqrt{s} from 1.8 to 1.96 TeV, together with higher luminosity in Run II, allows more precise jet production measurements with a significantly extended kinematic range.

Jet algorithms cluster together objects such as partons or particles, or energies measured in calorimeter cells. The clustering algorithms rely on the association of these objects based on transverse momenta (the k_T algorithm), or angles (the cone algorithm), relative to a jet axis. A measurement using the k_T algorithm is reported in Ref. [11]. In this letter, we report the results of an inclusive jet measurement using a cone algorithm for the rapidity region $0.1 < |y| < 0.7$ [12]. Cone jet algorithms, rather than k_T algorithms, have been used dominantly at hadron collider experiments mainly due to the simplicity in constructing corrections for the underlying event and for multiple interactions in the same bunch crossing [13]. It is worth noting that, previously, results from a cone algorithm [10] and k_T algorithm [14] by the DØ collaboration showed only marginal agreement at low p_T where corrections for multiple interactions and underlying event become important. We use the Midpoint algorithm, an improved iterative cone clustering algorithm [13]. It is difficult to use previous iterative cone algorithms [3,10] with higher order pQCD calculations due to the presence of infrared singularities. The Midpoint algorithm places additional seeds between stable cones having a separation of less than twice the size of the clustering cones; the use of these additional seeds reduces the problem with infrared singularities.

The CDF II detector is a magnetic spectrometer which is described in detail elsewhere [15]. Here we describe briefly those components that are crucial to this measurement. The central detector consists of a silicon vertex detector inside a cylindrical drift chamber. Surrounding the tracking detectors is a superconducting solenoid which provides a 1.4 T magnetic field. Outside the solenoid is the central calorimeter, covering a pseudorapidity (η) [12] range up to 1.1. The central calorimeter consists of 48 modules, segmented into towers of granularity $\Delta\eta \times \Delta\phi \approx 0.1 \times 0.26$ and divided into electromagnetic (CEM) and hadronic (CHA) sections. The CEM is a lead-scintillator calorimeter; the CHA is an iron-scintillator calorimeter with a depth of approximately 4.7 interaction lengths. The energy resolution of the CEM for electrons is $\sigma(E_T)/E_T = 13.5\%/\sqrt{E_T \text{ (GeV)}} \oplus 2\%$ while the average energy resolution of the CHA for charged pions is $\sigma(E_T)/E_T = 50\%/\sqrt{E_T \text{ (GeV)}} \oplus 3\%$. The forward region, $1.1 < |\eta| < 3.6$, is covered by the “Plug Calorimeters” consisting of

lead-scintillator for the electromagnetic section and iron-scintillator for the hadronic section. The region between the central and forward calorimeters, $0.7 < |\eta| < 1.3$, is covered by an iron-scintillator hadron calorimeter with similar segmentation to the central calorimeter.

This measurement uses a data sample corresponding to an integrated luminosity of 385 pb^{-1} collected between February 2002 and August 2004. The data were collected using four trigger paths. The Level 1 trigger requires a calorimeter trigger tower, consisting of two calorimeter towers adjacent in η , to have either $E_T > 5 \text{ GeV}$ or $E_T > 10 \text{ GeV}$. At Level 2, the calorimeter towers are clustered using a nearest neighbor algorithm. Four trigger paths with cluster $E_T > 15, 40, 60,$ and 90 GeV are used. Events in these paths are required to pass jet $E_T > 20(J20), 50 (J50), 70 (J70),$ and $100 (J100) \text{ GeV}$ thresholds at Level 3, where the clustering is performed using a cone algorithm with a cone radius $R_{\text{cone}} = 0.7$.

Cosmic ray events are rejected by a cut on the missing transverse energy (\cancel{E}_T) significance [16]. For J20, J50, J70, and J100, we remove events having a \cancel{E}_T significance greater than 4, 5, 5, and 6 $\text{GeV}^{1/2}$, respectively. In addition, all events containing jets with $p_T > 360 \text{ GeV}/c$ and passing the analysis cuts were visually scanned, and no cosmic ray events were found. The efficiency of the \cancel{E}_T significance cut is 100% for jets at low p_T ($65 \text{ GeV}/c$) and decreases to 92% for jets at high p_T ($550 \text{ GeV}/c$). We reconstruct z -vertices by fits to tracks in the event and a beamline constraint, and select the vertex with the highest total p_T of the associated tracks as the event vertex. In order to ensure that the jet energy is well measured, the event vertex is required to be within 60 cm of the center of the detector along the beamline. The efficiency for this cut is determined to be 95% from the beam profile measured using a minimum bias sample. Jets are required to have a rapidity $|y|$ between 0.1 and 0.7 to reduce the effects of the gap between calorimeter modules and at the transition region between the central and plug calorimeters.

There are two essential stages for any jet algorithm. First, the objects (partons, particles, or calorimeter towers) belonging to a cluster are identified. With the Midpoint algorithm the cluster is a cone of radius 0.7 in (y, ϕ) space. For reasons dealing with problems of unclustered energy endemic to iterative cone algorithms [17], the clustering radius is at first set to $R_{\text{cone}}/2 (= 0.35)$, and then later expanded to its full size as discussed below. Second, the kinematic variables defining the jet are calculated from the objects comprising a cluster. The Midpoint algorithm makes use of four-vectors throughout the clustering process. The four-vector for each tower is computed as a sum of vectors for the electromagnetic and hadronic compartments of the tower; the vector for each compartment is defined by assigning a massless vector with magnitude equal to the deposited energy and with direction from the event vertex to the center of each compartment [13]. The

detector towers are sorted in order of descending p_T . Only towers passing a seed cut, $p_T^{\text{tower}} > p_T^{\text{seed}}$, are used as starting points for the initial jet cones. The seed threshold is chosen to be 1 GeV/c; its value has a negligible effect on jets in the kinematic region used in this measurement. A tower passing the threshold of 100 MeV/c is clustered into a cone and eventually into a jet if the separation from the axis of the cone in (y, ϕ) is smaller than $R_{\text{cone}}/2$. There is no threshold for particle and parton clustering. After each iteration the jet centroid position is updated. The jet clustering is repeated until all of the jet cones are stable. A cone is stable when the tower list is unchanged from the previous iteration. After all stable cones have been determined, the clustering radius is expanded to the full size (R_{cone}). The use of the smaller initial cone results in an expected cross section approximately 5% larger due to the inclusion of jet energy that would have remained unclustered in the default Midpoint algorithm [13]. At this point, an additional seed is defined at the midpoint between any two cones separated by less than $2R_{\text{cone}}$ and the iteration process is repeated. Two overlapping cones, if present, are merged into a single jet if the shared energy is larger than 75% ($f_{\text{merge}} = 0.75$) of the energy of the jet with lower p_T ; otherwise the shared towers are assigned to the nearest jet. This splitting/merging procedure is iterated until the tower assignments to jets are stable. The jet kinematic properties are defined using a four-vector recombination scheme [13].

The inclusive differential jet cross section is defined as:

$$\frac{d^2\sigma}{dp_T dy} = \frac{1}{\Delta y} \frac{1}{\int L dt} \frac{N_{\text{jet}}/\epsilon}{\Delta p_T}, \quad (1)$$

where N_{jet} is the number of jets in the p_T range Δp_T , ϵ is the trigger, \cancel{E}_T significance cut and z -vertex cut efficiency, $\int L dt$ is the effective integrated luminosity, and $\Delta y = 1.2$ is the rapidity interval used in the analysis. A trigger efficiency greater than 99.5% is required to include the jets collected by a given trigger threshold. The measured calorimeter level jet cross section must be corrected for detector effects and for energy from additional $p\bar{p}$ interactions in the same bunch crossing (pile-up). For this sample, the average number of additional $p\bar{p}$ interactions is about 0.9. The pile-up corrections subtract 0.93(± 0.14) GeV/c for each additional z -vertex from the measured jet p_T [18].

The detector response corrections are determined from a detector simulation and a jet fragmentation model. The detector response is determined using a GEANT-based detector simulation [19] in which a parametrized shower simulation (GFLASH [20]) is used for the calorimeter simulation. The GFLASH parameters are tuned to test-beam data for electrons and high- p_T charged pions and to the collision data for low- p_T charged hadrons [18]. PYTHIA 6.216 [21], with Tune A [22,23], is used for the production and fragmentation of the jets. Tune A refers to the values of the

parameters describing multiple-parton interactions and initial state radiation which have been adjusted to reproduce the energy observed in the region transverse to the leading jet in the data from Run I. It has also been shown to provide a reasonable description of the measured energy distribution inside a jet [23].

The measured p_T spectrum must be corrected for detector effects before it can be compared to theoretical predictions. We cluster the final state stable particles [24] in PYTHIA using the same algorithm as the one used to cluster calorimeter towers. The resulting jets contain all the particles from the $p\bar{p}$ collision, including those from the hard scatter, multiple parton-parton interactions and beam remnants. The correction, done in two correlated steps, is determined from a large sample of PYTHIA events, passed through the CDF detector simulation. First, a p_T -dependent correction is determined by matching the particle jet to the corresponding calorimeter jet and is applied to each measured jet. A binned spectrum is formed from the corrected p_T of each jet. The bin widths are chosen commensurate with jet energy resolution and statistics. The p_T correction ranges from 1.17 at low p_T (65 GeV/c) to 1.04 at high p_T (550 GeV/c). The spectrum must be further corrected for bin-to-bin jet migration due to the finite energy resolution of the calorimeter. This unfolding correction depends on the detector energy resolution and the true spectrum as well as the p_T -dependent correction that was applied in the first step. The PYTHIA events are reweighted to match the experimental spectrum before the correction factors are calculated. A bin-by-bin unfolding correction is then determined by taking the ratio of the binned hadron level cross section and calorimeter level cross section corrected by the p_T -dependent correction. The size of the unfolding correction varies from 1.30 at low p_T to 2.31 at high p_T . The applied corrections remove the detector effects from the raw cross section and the corrected hadron level cross section can now be compared to theoretical predictions.

The main systematic uncertainties on the measured inclusive jet cross section arise from four sources: the jet energy scale, the jet energy resolution, the unfolding of the measured cross section to the hadron level cross section, and the luminosity. The dominant source of uncertainty is from the jet energy scale. The energy scale is known to better than 3% over the entire transverse momentum range, leading to an uncertainty on the jet cross section varying from 10% at low p_T to +58 – 39% at high p_T , comparable to the uncertainty achieved by CDF in Run I. The uncertainty due to the jet p_T resolution is determined by the p_T resolution difference between the data and the PYTHIA Monte Carlo. The uncertainty on the cross section varies from about 6% at low p_T to about 10% at high p_T . The uncertainty associated with the unfolding correction is determined by correcting a HERWIG 6.5 [25] dijet sample using the corrections derived from the PYTHIA sample. This

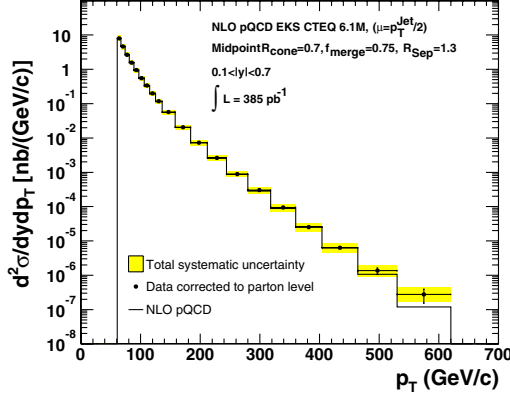


FIG. 1 (color online). The measured inclusive jet differential cross section corrected to the parton level compared to the NLO pQCD prediction of the EKS calculation using CTEQ6.1M.

uncertainty is determined to be less than 5% at high p_T and less than 10% at low p_T . The luminosity uncertainty is 6%, independent of p_T and is not included in the quoted systematic error. Other effects considered were determined to have a negligible effect on the cross section. Adding all of these contributions in quadrature yields a total experimental systematic uncertainty on the inclusive jet cross

section varying from approximately 15% at low p_T to approximately +60 – 40% at high p_T .

To compare the data with predictions for jets of partons as obtained from NLO calculations, the data must be further corrected for underlying event and hadronization effects. It is also possible to correct the NLO predictions for the same effects; the two corrections are simply the inverse of each other. For the former, we correct for the energy in the jet cone not associated with the hard scatter, i.e., from the collisions of other partons in the proton and antiproton. The latter corrects for particles outside the jet cone originating from partons whose trajectories lie inside the jet cone. It does not correct for the effects of hard gluon emission outside the jet cone, which are already accounted for in the NLO prediction. The bin-by-bin hadron-to-parton corrections are obtained by applying the Midpoint clustering algorithm to the hadron level and to the parton level outputs of the PYTHIA Tune A dijet Monte Carlo samples, generated with and without an underlying event. The sample without the underlying event was generated by turning off multiple-parton interactions. The underlying event correction results in a decrease of the cross section varying from 22% at low p_T to 4% at high p_T ; the hadronization correction increases the cross section by 13% at

TABLE I. Results for the inclusive jet cross section corrected to the hadron level, $d^2\sigma^{\text{hadron}}/dp_T dy$, and to the parton level, $d^2\sigma^{\text{parton}}/dp_T dy$ are shown for each bin together with the statistical (first) and systematic (second) errors. The correction factors, $C^{h \rightarrow p}$, applied to the hadron level cross section to obtain the parton level cross section are also shown. There is an additional 6% luminosity uncertainty.

p_T (GeV/c)	$\frac{d^2\sigma^{\text{hadron}}}{dp_T dy}$ (nb/(GeV/c))	$C^{h \rightarrow p}$	$\frac{d^2\sigma^{\text{parton}}}{dp_T dy}$ (nb/(GeV/c))
61–67	$(9.03 \pm 0.09^{+1.26}_{-1.20}) \times 10^0$	$0.889 \pm 0.008 \pm 0.116$	$(8.02 \pm 0.11^{+1.53}_{-1.49}) \times 10^0$
67–74	$(5.17 \pm 0.05^{+0.70}_{-0.65}) \times 10^0$	$0.903 \pm 0.008 \pm 0.104$	$(4.67 \pm 0.06^{+0.83}_{-0.80}) \times 10^0$
74–81	$(2.92 \pm 0.03^{+0.39}_{-0.35}) \times 10^0$	$0.916 \pm 0.009 \pm 0.092$	$(2.67 \pm 0.04^{+0.45}_{-0.42}) \times 10^0$
81–89	$(1.70 \pm 0.02^{+0.23}_{-0.20}) \times 10^0$	$0.927 \pm 0.009 \pm 0.082$	$(1.57 \pm 0.02^{+0.26}_{-0.23}) \times 10^0$
89–97	$(1.02 \pm 0.01^{+0.14}_{-0.12}) \times 10^0$	$0.936 \pm 0.007 \pm 0.073$	$(0.95 \pm 0.01^{+0.15}_{-0.13}) \times 10^0$
97–106	$(5.90 \pm 0.04^{+0.83}_{-0.69}) \times 10^{-1}$	$0.945 \pm 0.007 \pm 0.064$	$(5.57 \pm 0.05^{+0.87}_{-0.75}) \times 10^{-1}$
106–115	$(3.53 \pm 0.02^{+0.51}_{-0.42}) \times 10^{-1}$	$0.952 \pm 0.007 \pm 0.057$	$(3.36 \pm 0.03^{+0.53}_{-0.44}) \times 10^{-1}$
115–125	$(2.07 \pm 0.01^{+0.31}_{-0.25}) \times 10^{-1}$	$0.958 \pm 0.007 \pm 0.050$	$(1.98 \pm 0.02^{+0.31}_{-0.26}) \times 10^{-1}$
125–136	$(1.23 \pm 0.01^{+0.19}_{-0.15}) \times 10^{-1}$	$0.963 \pm 0.007 \pm 0.044$	$(1.18 \pm 0.01^{+0.19}_{-0.16}) \times 10^{-1}$
136–158	$(5.84 \pm 0.03^{+0.94}_{-0.76}) \times 10^{-2}$	$0.970 \pm 0.007 \pm 0.035$	$(5.67 \pm 0.05^{+0.94}_{-0.77}) \times 10^{-2}$
158–184	$(2.10 \pm 0.01^{+0.36}_{-0.30}) \times 10^{-2}$	$0.977 \pm 0.007 \pm 0.026$	$(2.05 \pm 0.02^{+0.36}_{-0.30}) \times 10^{-2}$
184–212	$(7.47 \pm 0.05^{+1.36}_{-1.16}) \times 10^{-3}$	$0.983 \pm 0.007 \pm 0.019$	$(7.34 \pm 0.07^{+1.35}_{-1.15}) \times 10^{-3}$
212–244	$(2.67 \pm 0.02^{+0.52}_{-0.46}) \times 10^{-3}$	$0.987 \pm 0.006 \pm 0.014$	$(2.63 \pm 0.02^{+0.52}_{-0.45}) \times 10^{-3}$
244–280	$(8.88 \pm 0.10^{+1.89}_{-1.69}) \times 10^{-4}$	$0.990 \pm 0.006 \pm 0.009$	$(8.79 \pm 0.11^{+1.87}_{-1.67}) \times 10^{-4}$
280–318	$(3.03 \pm 0.05^{+0.72}_{-0.64}) \times 10^{-4}$	$0.992 \pm 0.007 \pm 0.006$	$(3.01 \pm 0.06^{+0.71}_{-0.63}) \times 10^{-4}$
318–360	$(9.53 \pm 0.27^{+2.57}_{-2.21}) \times 10^{-5}$	$0.993 \pm 0.006 \pm 0.004$	$(9.46 \pm 0.27^{+2.55}_{-2.20}) \times 10^{-5}$
360–404	$(2.53 \pm 0.14^{+0.79}_{-0.65}) \times 10^{-5}$	$0.994 \pm 0.008 \pm 0.003$	$(2.51 \pm 0.14^{+0.79}_{-0.64}) \times 10^{-5}$
404–464	$(6.34 \pm 0.61^{+2.42}_{-1.81}) \times 10^{-6}$	$0.994 \pm 0.010 \pm 0.002$	$(6.31 \pm 0.61^{+2.40}_{-1.80}) \times 10^{-6}$
464–530	$(1.36 \pm 0.29^{+0.65}_{-0.45}) \times 10^{-6}$	$0.994 \pm 0.013 \pm 0.002$	$(1.36 \pm 0.29^{+0.64}_{-0.44}) \times 10^{-6}$
530–620	$(2.78 \pm 1.24^{+1.64}_{-1.11}) \times 10^{-7}$	$0.994 \pm 0.008 \pm 0.003$	$(2.76 \pm 1.24^{+1.63}_{-1.10}) \times 10^{-7}$

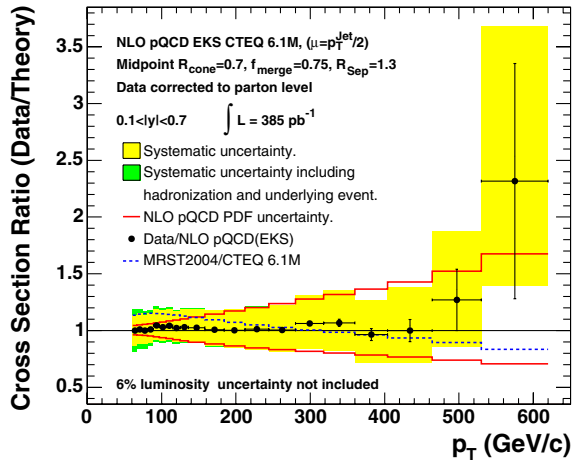


FIG. 2 (color online). The ratio of the data corrected to the parton level over the NLO pQCD prediction of the EKS calculation using CTEQ6.1M. Also shown are the experimental systematic errors and the theoretical errors from the PDF uncertainty. The ratio of MRST2004/CTEQ6.1M is shown as the dashed line. An additional 6% uncertainty on the determination of the luminosity is not shown.

low p_T , and by 3.5% at high p_T . HERWIG provides consistent results on the hadronization corrections, but predicts smaller underlying event energy; the difference in the underlying event correction is taken as the underlying event correction uncertainty. In previous measurements at the Tevatron [2, 10], the hadronization corrections were not applied to the data. The inclusive jet cross section is shown in Fig. 1, and Table I lists the cross sections with the statistical and systematic uncertainties at the hadron and parton levels. Also included in Table I are the explicit factors applied to the hadron level cross section to obtain the parton level cross section. The experimental and theoretical jet cross sections are obtained by averaging over the transverse momentum bins.

Current NLO theoretical predictions for inclusive jet production exist only at the parton level, for which the final state consists only of 2 or 3 partons [26–28]. For our comparisons with theory we use the calculation of EKS [26]. The ratio of the inclusive jet cross section, corrected to the parton level, to the NLO QCD predictions using the CTEQ6.1M PDFs is shown in Fig. 2. The Midpoint jet algorithm has been applied to the 2 or 3 partons in the final state of the EKS calculation. In order to mimic the properties of the splitting/merging step, present at the experimental level but not at the NLO parton level, a parameter R_{sep} with a value of 1.3, has been introduced [17]. Two partons are clustered within the same jet if (i) they are within R_{cone} (0.7 for this measurement) of the jet centroid and (ii) within $R_{\text{sep}} \times R_{\text{cone}}$ of each other. The use of $R_{\text{sep}} = 1.3$ results in a reduction of the theoretical cross section prediction by approximately 5%, roughly independent of jet transverse momentum, as compared to the

prediction obtained when R_{sep} is not used in the calculation. In the EKS predictions, the renormalization and factorization scales (μ_R and μ_F) have both been set to $p_T^{\text{jet}}/2$. Using a scale of p_T^{jet} ($2p_T^{\text{jet}}$) rather than $p_T^{\text{jet}}/2$ leads to a theoretical prediction for the jet cross section lower by approximately 10% (20%) over the entire p_T range and a larger χ^2 in the global PDF fits [7]. The gluon distribution has been determined in the global fits, primarily by the Tevatron Run I jet data, using a renormalization and factorization scale of $p_T^{\text{jet}}/2$. Thus, for self-consistency, this scale should be used in the NLO comparisons.

We show in Fig. 2 the experimental uncertainties for the inclusive jet cross section and the theoretical uncertainties estimated from the 40 CTEQ6.1M error PDFs [7]. The PDF uncertainty is the dominant theoretical uncertainty for most of the transverse momentum range. The correction for underlying event and hadronization is model dependent. The error associated with this correction is added in quadrature to the total experimental error and shown in Fig. 2 as the outer shaded band. The data are in good agreement with the NLO QCD predictions, which is consistent with what is reported in Ref. [11].

It is important to emphasize that the CTEQ6.1M gluon density is already “enhanced” at high x and so automatically leads to a larger prediction for the jet cross section than older PDFs such as CTEQ5M. Also shown in Fig. 2 is the prediction using the latest PDF set from the MRST group [9]. The MRST2004 PDFs also contain an enhanced higher x gluon, leading to reasonable agreement with the CDF jet measurement.

In conclusion, we have measured the inclusive jet cross section in the range $61 < p_T < 620$ GeV/ c using an improved iterative cone clustering algorithm, Midpoint. The new measurement extends the jet transverse momentum range over previous measurements at the Tevatron by about 150 GeV/ c . The data are well described by NLO QCD predictions using CTEQ6.1M PDFs, within the theoretical (PDF) and experimental uncertainties. No new physics is indicated in the high p_T region. Inclusion of these data in future global PDF fits will provide further constraints on the gluon distribution at large x .

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