

1 **Full manuscript title:** Cutamesine (SA4503) Protects Retinal Ganglion Cells in an Ocular  
2 Hypertension Model of Glaucoma Determined Using DARC Technology and RBPMS Cell Marker

3 **Short running title:** Cutamesine-Induced RGC Protection

**Deleted:** Cutamesine-Induced Neuroprotection of RGCs

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28 **Key words:** DARC; Cutamesine; Ocular hypertension; Sigma-1 receptor; Retinal ganglion cells;

29 Rotenone.

31 **Abstract**

32 **Purpose:** This study aimed to evaluate the neuroprotective effects of cutamesine (SA4503), a  
33 potent sigma-1-receptor agonist (S1R-agonist), in rat models of retinal degeneration induced by  
34 elevated intraocular pressure (IOP) using the Detection of Apoptosing Retinal Cells (DARC)  
35 technology. A secondary aim was to test its effect in a rat retinal oxidative stress model.

36 **Methods:** Ocular hypertension (OHT) model was induced in Dark Agouti rats via episcleral vein  
37 injection of hypertonic saline, while a retinal oxidative stress was induced in Sprague-Dawley rats  
38 by intravitreal rotenone injection. In the OHT model, cutamesine (10 nmol) and recombinant  
39 human nerve growth factor (rh-NGF [positive control]; 0.09 nmol), were intravitreally  
40 administered. Their effects were evaluated using DARC technology and RNA-binding protein with  
41 multiple splicing (RBPMS) immunohistochemistry. In the oxidative stress model, cutamesine (10  
42 and 300 nmol) was co-administered with rotenone, and neurofilament light chain (Nfl) gene  
43 expression was measured by RT-PCR.

44 **Results:** OHT induced a significant elevation of IOP over 3 weeks, peaked at day 1 ( $p<0.001$ ), and  
45 gradually decreased by day 21. Cutamesine significantly reduced the number of DARC spots  
46 ( $p<0.05$ ) and preserved retinal ganglion cells (RGCs) labeled with RBPMS ( $p<0.01$ ), similar to  
47 rh-NGF ( $p<0.01$ ). In the oxidative stress model, cutamesine preserved retinal Nfl expression levels  
48 in a dose-dependent manner.

49 **Conclusions:** Cutamesine demonstrated significant neuroprotective activity in rat models of OHT  
50 and oxidative stress using DARC and RBPMS labeling techniques. These findings provide further  
51 evidence that S1R-agonists possess substantial neuroprotective potential and may be beneficial for  
52 patients with OHT/glaucoma.

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73 **1. Introduction**

74 Major visual impairment is caused worldwide by a group of degenerative eye diseases,  
75 grouped under the term “glaucoma”.<sup>1</sup> Several types of glaucoma prevail but their hallmark  
76 characteristic is the optic nerve damage that precedes retinal ganglion cell (RGC) demise and loss  
77 of their axonal connections to the visual centers in the brain.<sup>1-4</sup> The classic reduction of the optic  
78 disc and enlargement of the optic cup observed via ophthalmic fundus examination and optical  
79 coherence tomography (OCT) signifies and correlates with the peripheral, followed by peripheral-  
80 to-central, visual field loss experienced by glaucoma patients. Since over 75 million people suffer  
81 from the most prevalent types of glaucoma (open-angle glaucoma [OAG] and angle-closure  
82 glaucoma [ACG]),<sup>5</sup> it is imperative that research into and a better understanding of the etiological  
83 factors responsible for these disorders continues to be vigorously pursued. Furthermore, patients  
84 afflicted with neurodegenerative retinal diseases await novel treatment options to stave off further  
85 vision impairment and possible blindness.

86 One of the most prominent and common risk factors connected with OAG, ACG, and  
87 secondary forms of glaucoma is elevated intraocular pressure (IOP).<sup>1-6</sup> Indeed, preclinical rodent-  
88 based and clinical data have provided strong evidence that optic nerve damage is highly correlated  
89 with increases in IOP or ocular hypertension (OHT).<sup>7-9</sup> However, there are many patients who  
90 have normal IOPs who continue to experience RGC loss, optic nerve damage and vision  
91 deterioration.<sup>1,6</sup> Accordingly, many IOP-independent risk factors (e.g., neuroinflammation,  
92 immunologic attacks, protein misfolding, low retinal blood flow leading to oxidative stress,  
93 neurotrophin [e.g., nerve growth factor, NGF] deprivation, O<sub>2</sub> and energy depletion, excitotoxicity  
94 and structural stress factors) are increasingly thought to play a pivotal role in glaucoma pathology  
95 and suitable alternative therapeutic modalities need to be developed and approved for patient

96 care.<sup>1–6,10–12</sup> Therefore, pharmaceutical, nutraceutical, electrical stimulation and gene therapy that  
97 directly provide protection and preservation of RGCs, their axons and retina-brain connections are  
98 being sought in order to combat glaucomatous optic neuropathy.<sup>3,6,12–16</sup> It is with this aim that we  
99 sought to use a novel diagnostic/prognostic technology (Detecting-Apoptosing-Retinal-Cell  
100 [DARC]) to evaluate the neuroprotective activity of two classes of compounds when delivered in  
101 close proximity to the retina via intravitreal (IVT) injections. DARC exploits annexin-5 labeled  
102 with fluorescent dyes (e.g. Alexa-Fluor 488 or DY-776 [ANX776]), which has been successfully  
103 utilized in several pre-clinical<sup>17–20</sup> and clinical<sup>2,21</sup> studies to quantify the number of cells in the  
104 retina that exhibit initial signs of cell stress and apoptotic cell death.<sup>17–21</sup> When coupled with  
105 cellular markers of RGCs (e.g., Brn3a or RNA binding protein with multiple splicing [RBPMS]),  
106 DARC technology has been previously shown to be a useful biomarker for measuring RGC death  
107 in models of retinal degeneration and RGC preservation by adenosine A3 agonists and other  
108 classes of compounds.<sup>18,20,22</sup> Additionally, many compound classes protect against oxidative stress  
109 where neurofilament light chain (Nfl) gene expression was used as a useful cell death biomarker.<sup>23</sup>

110 Test compounds have previously been administered topical ocularly<sup>18,24,25</sup> or  
111 intraperitoneally to determine their potential neuroprotective properties.<sup>22</sup> In the current study, the  
112 test compounds (a sigma-1 receptor agonist, cutamesine and recombinant human NGF [rh-NGF])  
113 were administered IVT in a masked manner, and their effects were assessed with the DARC  
114 reagent which was delivered intranasally. This new delivery approach was of interest in order to  
115 refine the procedures and permit future screening efforts whereby the DARC reagent would be  
116 made available less invasively than in the past where it was injected intravenously.<sup>18,20,22</sup>

117 After obtaining positive efficacy data from the afore-mentioned DARC-based studies  
118 performed at University College London (UK), additional investigations with cutamesine were

119 conducted in order to assess its ability to impart neuroprotection in a rat retinal oxidative stress  
120 model of glaucoma. Thus, we studied the ability of cutamesine to protect RGCs from retinal  
121 oxidative stress using IVT-delivered rotenone, an oxidizing agent<sup>23</sup>, as a challenging insult since  
122 glaucoma is a multi-factorial disease where hypoxia/ischemia induced by low retinal blood  
123 perfusion are recognized as major culprits.<sup>1,3,6,10,11</sup> However, this study was considered  
124 confirmatory for evaluating the efficacy of cutamesine in another animal model of glaucoma.  
125 Unfortunately, due to cost, labor, time and geographic location-constraints of DARC technology  
126 availability, the rotenone-based study was conducted at Santen Pharmaceutical's facility in Japan,  
127 and Nfl gene expression measured by RT-PCR was used for RGC detection and quantification.  
128 The Nfl expression system was successfully utilized as a quantitative biomarker to assess  
129 protective efficacy of numerous classes of compounds in the rotenone-induced oxidative stress  
130 model of RGC death.<sup>23</sup> Since cutamesine is a highly selective sigma-1 receptor agonist with proven  
131 efficacy in a Phase II clinical trial of stroke<sup>26</sup> where neuronal death occurs, we wished to study its  
132 efficacy in two different animal models of glaucomatous retinal damage.

133

134 **2. Materials and Methods**

135 All animals were treated in compliance with the ARVO statement for the Use of Animals  
136 in Ophthalmic and Vision Research. For the DARC technology experiments and OHT model, the  
137 use of animals was approved by the local ethics committee at the University College London  
138 Institute of Ophthalmology and adhered to the United Kingdom Home Office regulations for the  
139 care and use of laboratory animals, the United Kingdom Animals (Scientific Procedures) Act  
140 (1986). For the rotenone-induced oxidative stress model studies, the use of animals was approved  
141 and monitored by the Institutional Animal Care and Use Committee of Santen Pharmaceutical Co.,

142 Ltd. and adhered to “Basic Policies for the Conduct of Animal Experiments in Research  
143 Institutions” issued by the Ministry of Health, Labor and Welfare, Japan (2006), and “The  
144 Guidelines for Proper Conduct of Animal Experiments” published by the Science Council of Japan  
145 (2006). Every effort was made to avoid unnecessary use of laboratory animals. All studies were  
146 conducted in a masked manner.

147 **2.1. DARC technology experiments and OHT model**

148 **Randomized experimental design:** In total, 20 male Dark Agouti (DA) rats aged 8-10 weeks  
149 were used in this study. Fifteen rats were randomly divided into three blocks (n = 5 / group). Each  
150 block contained all three treatments, i.e., OHT-only (as negative control), OHT + rh-NGF (as  
151 positive control), and OHT + cutamesine. Three rats (six eyes) served as normal controls. Two  
152 additional rats were used to substitute for unexpected retinal abnormality and insufficient DARC  
153 dosing.

154 **In Vivo Work:** Each rat was anesthetized by intraperitoneal injections of ketamine  
155 (37.5%)/Domitor (25%; Pfizer Animal Health, Exton, PA) solution (0.75 mL ketamine, 0.5 mL  
156 Domitor, and 0.75 mL sterile water) at 0.1 mL/100 g.<sup>17</sup> All animals had surgically elevated IOP in  
157 the left eye by injection of 50  $\mu$ L of hypertonic saline solution (1.85 M) into the episcleral  
158 veins.<sup>27,28</sup> Animals undergoing treatment (all groups except OHT-only) each received IVT drug  
159 administration (4  $\mu$ L) in the left eye on the day of OHT surgery (day 1). All test compounds were  
160 dissolved in phosphate buffered saline (PBS; vehicle composed of 137 mM NaCl, 2.7 mM KCl,  
161 10 mM Na<sub>2</sub>PO<sub>4</sub>, 1.8 mM KH<sub>2</sub>PO<sub>4</sub>; pH 7.4) and provided to the technician in a masked manner.  
162 Rats received a second intravitreal injection of the appropriate compound or vehicle eleven days  
163 later. IOPs were measured in both eyes before surgery (baseline) and day 1, weeks 1, 2, and 3 after  
164 surgery using a Tonolab tonometer, and 10 readings were collected from each measurement. In our

165 experiments, IOP exhibited a rapid elevation within the first 24 hours followed by a gradual decline  
166 toward baseline over 21 days. This IOP profile differs from the classical hypertonic saline-induced  
167 ocular hypertension model,<sup>29,30</sup> which typically produces a sustained elevation of IOP for several  
168 weeks to months. This difference may be attributable to technical variation or strain-specific  
169 factors. Notably, the classical model employed Brown Norway rats, whereas we used Dark Agouti  
170 rats. However, similar IOP profiles have been reported in our previous studies using the same  
171 model,<sup>31,32</sup> supporting the reproducibility of this response in our experimental conditions. Despite  
172 the transient nature of the IOP elevation, histological and molecular analyses confirmed RGC  
173 stress and apoptosis, thereby validating the model for assessing the neuroprotective effects of  
174 various compounds. The rat eyes were imaged *in vivo*, using DARC for RGC apoptosis in both  
175 eyes at baseline and week 3, where fluorescently labeled annexin-5 was intranasally administrated  
176 2 hours before imaging.<sup>2,15,20,21,25</sup> The retinal imaging was performed using the Heidelberg Retinal  
177 Angiograph Spectralis (HRA+OCT Spectralis, Heidelberg Engineering, Germany) as previously  
178 described utilized.<sup>2,18,21,22,25</sup> Animals were then sacrificed, their eyes enucleated and perfused in  
179 4% paraformaldehyde (PFA) overnight then stored in PBS until retinal dissection for retinal  
180 histologic assessment.

181 The IVT doses of cutamesine (10 nmol; a high-affinity and potency S1R-A with half-  
182 maximal affinity constant [ $IC_{50}$ ] of 17 nM) and rh-NGF (0.09 nmol;  $EC_{50} < 1$  nM) were selected  
183 based on prior *in vitro* and *in vivo* experiments conducted by the investigators and based on the  
184 compound affinities, potencies and efficacies of the compounds from the literature (see ahead).  
185 Since the inner limiting membrane in the back of the eye is permeable to molecules up to 150 kDa  
186 molecular mass, it was anticipated that sufficient amounts of both cutamesine and rh-NGF would  
187 be able to reach the retina,<sup>25</sup> especially the inner most retinal neurons, RGCs, upon IVT injections.

188 The second IVT injection was contemplated to ensure that both compounds were present at  
189 sufficiently high concentrations to exert their biological functions. These aspects are described and  
190 discussed in more detail in the Discussion section.

191 **Immunohistochemistry and imaging of retinae:** RNA-binding protein with multiple splicing  
192 (RBPMS) is a highly selective marker of RGCs.<sup>31,33</sup> For detecting and correlating RGCs in the  
193 current studies, whole retinae were dissected from both eyes of each animal and immuno-stained  
194 with an anti-RBPMS antibody to assess RGC survival. Briefly, samples were washed in PBS and  
195 0.5% Triton X-100 (Sigma-Aldrich, UK) then permeabilized through freezing at -80 °C and  
196 thawing at room temperature. Samples underwent a blocking process through incubation in 5%  
197 normal goat serum (Sigma-Aldrich, UK) in phosphate buffer (PB, 0.1 M). The guinea pig anti-  
198 RBPMS antibody was diluted at 1:250 in bovine serum albumin (BSA) solution. The samples were  
199 incubated for two days. Samples were then washed in PBS and 0.5% Triton X-100 and incubated  
200 in the secondary antibody solution (goat anti-guinea pig 647 nm, Alexa Fluor Invitrogen™ at 1:250  
201 dilution in BSA solution. Samples underwent a final washing process and then were flat-mounted  
202 using Mowiol (Sigma-Aldrich, UK) and a coverslip (Merck, UK) onto microscope slides. These  
203 were then imaged using an automatic stage imaging set-up of a fluorescent microscope (Olympus  
204 BX40, Windsor, UK with a 10 × Olympus lens; 1 pixel = 0.636 μm) with the 647 nm filter. Several  
205 series of small, tiled areas from different regions of the retinae were image-captured and the labeled  
206 RGCs automatically quantified. The process was repeated for all the retinal samples from all  
207 treatment groups, and the mean ± SEMs calculated, and the data plotted.

208 **Data Analysis:** DARC spots on *in vivo* images were automatically counted by an algorithm,  
209 recently developed and validated in the Cordeiro lab.<sup>2,18,21,22,25</sup> The DARC count was defined as  
210 the number of annexin-positive spots seen in the retinal image at 120 minutes at Week 3 after

211 baseline spot subtraction. The greater the DARC spot number means the greater the cell apoptosis.  
212 RBPMS<sup>+ve</sup> RGCs were counted and analyzed as described above.

213 **Statistical Analysis:** All data were analyzed with a Student's t-test or one-way ANOVA with  
214 Dunnett's multiple comparisons tests, comparing treatments to control groups using GraphPad  
215 Prism 5 (GraphPad Software, Inc., La Jolla, CA, USA). Data were presented as means  $\pm$  SEM or  
216 SDM as indicated in figure legends, and  $p < 0.05$  was considered statistically significant.

217 **2.2. Rotenone-induced oxidative stress model studies**

218 Rotenone is a naturally occurring and broad-spectrum pesticide that inhibits the activity of NADH  
219 dehydrogenase in the mitochondrial respiratory chain complex I. Because of this unique biological  
220 activity, rotenone has been used as a versatile tool to study involvement of mitochondrial functions  
221 and oxidative stress in neuronal cell death including in the retina.<sup>23</sup>

222 **Animals and intravitreal injections:** Adult male Sprague-Dawley rats (6 weeks old) were  
223 purchased from Japan SLC, Inc. (Hamamatsu, Japan). The environment was kept at  $23 \pm 3$  °C with  
224 a 12-hour light and a 12-hour dark cycle. All rats were allowed food and water *ad libitum*, and they  
225 were acclimatized to the environment for at least 1 week prior to the experiment. Each rat was  
226 anesthetized with inhalation of isoflurane (3-4% for induction and 1-2% for maintenance). IVT  
227 injections were made via a 33-G needle connected to a 25  $\mu$ L microsyringe (Hamilton company,  
228 Reno, NV, USA). The needle penetrated the eye from the nasal sclera at 1-2 mm posterior to the  
229 limbus, and was inserted toward the optic disc. Both eyes of each animal received a single injection  
230 of 5- $\mu$ L solution containing vehicle or rotenone (2 nmol/eye). For concomitant injection of either  
231 rotenone or with cutamesine, both chemicals were premixed and a 5- $\mu$ L aliquot of resultant  
232 solution was administered in the same way as described above. All injections were performed  
233 under a binocular microscope and care was taken not to injure the lens or retina during the

234 procedure. As seen in our earlier studies, a bilateral approach was taken to minimize the number  
235 of animals used and sacrificed for this study. This study utilized 2-3 rats/group with bilateral IVT  
236 injections and thus data were obtained from n = 4-6 eyes/group. Twenty-four hours following IVT  
237 injection, the animals were sacrificed using intraperitoneally administered pentobarbital at a very  
238 high dose, and the eyes immediately isolated. They were subjected to further assays as described  
239 in the sections below.

240 **Real-time PCR:** The retinae were isolated and immediately immersed in RNA later® (Qiagen,  
241 Hilden, Germany). On the day of RNA extraction, each sample was transferred to a 2-mL tube  
242 containing a 0.5-mL QIAzol lysis reagent and a zirconia bead (Qiagen, Hilden, Germany), and  
243 rigorously homogenized for 5 min at 25 Hz using a TissueLyzer (Qiagen, Hilden, Germany). Total  
244 RNA was extracted individually from each retina, according to the rest of the protocol for a RNeasy  
245 96 Kit provided by the manufacturer (Qiagen, Hilden, Germany). First strand cDNA was prepared  
246 using 0.2 µg of total RNA in a reagent mixture containing PrimeScript RT enzyme, oligo-dT  
247 primers and random 6-mers (PrimeScript RT reagent Kit, Takara, Shiga, Japan). An aliquot of  
248 resultant cDNA was added to a master mix of either QuantiTect or QuantiFast Multiplex PCR kit  
249 (Qiagen, Hilden, Germany), and real-time PCR was performed using a 7500 Fast Real-Time PCR  
250 system (Applied Biosystems, Foster City) according to the manufacturer's instructions. A pre-  
251 designed primer-probe mixture for Gapdh (Applied Biosystems, Foster City, CA, USA) or  
252 neurofilament light-chain (Nfl) (Sigma-Aldrich, St. Louis, MO, USA) was used for this reaction.<sup>23</sup>  
253 The sequences of forward and reverse primers used for Nfl were 5'-  
254 ACAAGCAGAATGCAGACATCA-3' and 5'-GGAGGTCCTGGTACTCCTTC-3', respectively,  
255 and the sequence of TaqMan® probe was [FAM] 5'- CCATCTCGCTTCTCGTGCCTCGC -3'  
256 [BHQ-1]. The sequences of primers and probe for Gapdh are not available, because they are kept

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258 undisclosed by the manufacturer. The real-time PCR conditions were the cycling conditions of 50  
259 °C for 2 min and 95 °C for 15 min followed by 40 cycles of 94 °C for 1 min and 60 °C for 1 min.  
260 Fluorescence intensity at every annealing step was captured and threshold cycle time ( $C_T$ ) values  
261 was determined using the 7500 software. The comparative  $C_T$  method was deployed to determine  
262 Nfl expression relative to that of Gapdh. The relative Nfl expression levels were further normalized  
263 to the vehicle group. All the latter procedures were performed according to previously reported  
264 methods.<sup>23</sup>

265 **Statistical analysis:** Each value represents the mean  $\pm$  S.E.M. Statistical analyses were performed  
266 using EXSUS software version 10.0.7 and SAS version 9.4 (EPS Corporation, Tokyo, Japan) in  
267 accordance with the manufacturer's instructions. Student's t-test was performed to compare the  
268 values between two groups. For multiple comparison, Dunnett's multiple comparison test was  
269 used. Differences were assumed to be statistically significant when  $p < 0.05$ .

270

### 271 3. Results

#### 272 3.1. DARC technology-based studies:

273 1. IOP profiles: The hypertonic saline-induced OHT resulted in significant elevation of IOP  
274 profiles of operated OS eyes in all animals over 3 weeks, compared to the contralateral OD controls  
275 (Fig. 1A). The levels of IOP peaked at day 1 ( $p < 0.001$ ), and gradually decreased at day 7 ( $p <$   
276 0.01), and day 14 ( $p < 0.01$ ) compared to the OD control eyes, and finally returned to baseline  
277 levels by day 21 (Fig. 1A). IOP profiles in Fig. 1B are presented as OS minus OD in different  
278 treatment groups and exhibited the same pattern as in Fig. 1A, with no significant difference  
279 between the groups. These data suggested that all compounds (test and positive control) did not

280 affect IOP in any group of animals and all subsequent treatments' effects were independent of IOP  
281 changes.

282 **2. Neuroprotection:** The computerized image analyses of the DARC-based neuronal loss  
283 in retinae of the rats subjected to OHT was successfully executed by the algorithm (see the un-  
284 annotated image in Fig. 2A, compared with the algorithm-based detection of the retinal cells  
285 undergoing apoptosis in Fig. 2B). Compared with the controls, there was a significantly increased  
286 number of DARC-labeled retinal spots in the OHT only group (Figs. 3A and 3C). IVT delivery of  
287 the positive control agent (rh-NGF), and cutamesine (SA4503), significantly reduced the DARC  
288 counts compared to the OHT only group, being 100% and 83% of controls, respectively (Fig. 3A),  
289 suggestive of protection from OHT-induced elevated retinal cell apoptosis. As expected,  
290 RBPMS<sup>+ve</sup> RGCs were significantly reduced due to OHT as compared to naïve controls ( $p < 0.01$ )  
291 (Fig. 3B). Here, rh-NGF ( $p < 0.01$ ) and cutamesine ( $p < 0.01$ ) resulted in significantly higher  
292 RBPMS<sup>+ve</sup> RGC density compared to the OHT-only group, suggestive of successful  
293 neuroprotection (90-98% of baseline control) from OHT-induced RGC loss (Figs. 3B and 3C).

294 [Representative images of RBPMS<sup>+ve</sup> RGCs for these groups are shown in Fig. 3D, while the](#)  
295 [corresponding quantitative data for RGC counts are presented in Fig. 3B.](#)

296 **3.2. Rotenone-induced retinal degeneration studies:**

297 Compared to vehicle-treated animals, IVT delivery of rotenone (2 nmol/eye) to rats  
298 decreased the expression level of Nfl to  $45.1 \pm 2.8\%$ . Cutamesine at IVT doses of 10 and 300  
299 nmol/eye preserved Nfl expression to  $64.4 \pm 4.9\%$  and  $95.4 \pm 3.4\%$  of vehicle-treated animals,  
300 respectively ( $p < 0.01$  and  $0.001$ , respectively) (Fig. 4). Here, the positive control agents, N-  
301 methyl-D-aspartate glutamatergic channel blockers (MK-801 and memantine; 10 and 100 nmol

302 final, respectively; IVT delivered) protected/preserved Nfl expression by >90% akin to cutamesine  
303 (Fig. 4).<sup>23</sup>

304

#### 305 **4. Discussion**

306 The current studies assessed the ability of a small molecule (cutamesine; SA4503; 1-(3,4-  
307 dimethoxyphenethyl)-4-(3-phenylpropyl) piperazine dihydrochloride) and a medium sized  
308 polypeptide neurotrophic agent (rh-NGF) to penetrate the inner limiting retinal membrane and to  
309 protect RGCs from elevated IOP-induced RGC death. The hypertonic saline injection into the  
310 episcleral veins is a highly reproducible animal model of OHT and OAG.<sup>27,28,31</sup> We demonstrated  
311 its robust effectiveness to raise IOP in the rats and its temporal profile in our investigations (Figs.  
312 1A and 1B). Our data obtained from nasally delivered DARC technology and immuno-staining  
313 procedures provided clear evidence of protection of retinal cells including RGCs by cutamesine  
314 and rh-NGF that were highly statistically significant relative to the untreated controls (Figs. 2 and  
315 3). These results were further supported by the fact that cutamesine preserved RGCs in one of the  
316 non-IOP-induced rat retinal degeneration models where rotenone was used to induce oxidative  
317 stress (Fig. 4).

318 There are literature reports pertaining to sigma-1 receptor agonists (e.g., (+)-pentazocine;  
319 SKF-10,047; pridopidine) exerting neuroprotective actions on RGCs under different insult  
320 conditions,<sup>34-39</sup> and others such as fluvoxamine reducing the profibrotic effects of transforming  
321 growth factor- $\beta$ 2 in mouse trabecular meshwork cells.<sup>40</sup> However, cutamesine has not been tested  
322 previously in any of these assays or *in vivo* ocular investigations until our current studies, and it  
323 has a different chemical structure compared to other older generation sigma-1 receptor agonists  
324 (Fig. 6). Cutamesine is a selective high-affinity ( $IC_{50} = 17$  nM) sigma-1 receptor agonist having a

325 100-fold lower affinity for the sigma-2 receptor.<sup>41,42</sup> It interacts with the sigma-1 receptors which  
326 serve as chaperones and which are located in the nuclear envelope and mitochondria of every  
327 retinal cell type and are abundant in the ganglion cell layer of the rat retina.<sup>43</sup> The neuroprotective  
328 mechanisms recruited by the activated sigma-1-receptor and the endoplasmic reticulum-based  
329 inositol trisphosphate receptor (ryanodine receptor) engagement encompass the reduction of  
330 intracellular Ca<sup>2+</sup>,<sup>37,44-46</sup> reduction of nitric oxide production,<sup>47</sup> decreasing glutamatergic ligand-  
331 induced neurotoxicity,<sup>48,49</sup> dampening of the caspase-activated production of inflammatory  
332 cytokines by optic nerve-head astrocytes,<sup>49</sup> and hence inhibition of local inflammation and cellular  
333 swelling.<sup>50,51</sup> Additionally, positive properties of cutamesine include its ability to enhance neurite  
334 outgrowth,<sup>52-54</sup> up-regulation of the early response kinase-1/2,<sup>55</sup> the protective transcription factor  
335 Bcl-2 and that of activation of the anti-oxidant transcription factor Nrf2 in the retina.<sup>56</sup> The ability  
336 of cutamesine to protect photoreceptors,<sup>42,57</sup> inner cochlear hair cells,<sup>58</sup> and cortical neurons from  
337 oxidative stress<sup>59</sup> and its neuroprotective functions against glutamate-induced cell death in retinal  
338 neurons<sup>60</sup> support its neuroprotective activity towards RGCs that we observed *in vivo* in two  
339 models of retinal degeneration (Figs. 3 and 4). Pridopidine and (+)-pentazocine also have recently  
340 been shown to exert similar characteristics to cutamesine in the rat microbead-induced model of  
341 OHT<sup>61</sup> and in other animal models of glaucoma, including optic nerve crush.<sup>34,36,62</sup> These  
342 comparative studies and the additional evidence that sigma-1 receptor agonists increase production  
343 of brain-derived growth factor,<sup>63,64</sup> which may contribute to the preservation of RGCs and their  
344 axons, highlight the potential of sigma-1 receptor agonists in preserving RGCs and suggest that  
345 cutamesine may offer similar or superior neuroprotective effects. Also, the beneficial effects of  
346 cutamesine in stroke patients have already been mentioned.<sup>26</sup>

347 Collectively, the described studies for cutamesine using elevated IOP-induced and  
348 oxidative stress-induced rat models of retinal pathology and using the DARC biomarker and RGC-  
349 specific labeling and Nfl gene expression readouts have provided new information about the role  
350 of sigma-1 receptor in directly protecting and preserving RGCs. The literature cited above suggests  
351 multiple mechanisms of action of this class of compounds at cellular and molecular levels (Figs.  
352 5 and 6). Such data provide an impetus for creating novel compounds with multi-functional  
353 pharmacological properties via conjugation/hybrid generation.<sup>65,66</sup> These novel agents may offer  
354 higher potency and therapeutic indices than the existing singular compounds including ifenprodil  
355 and its analogs<sup>67-69</sup> and of course the other bona fide sigma-1 receptor agonists.<sup>70-74</sup> Likewise, drug  
356 formulation technologies that provide platforms to co-deliver multiple compounds<sup>4,6,14,75</sup> and to  
357 extend the duration of activity of the latter to mitigate retinal neurodegeneration should prove  
358 useful in the quest to halt RGC and thalamic/visual cortical neuronal demise.<sup>2,75</sup> Since sigma-1  
359 receptor agonists appear to also lower IOP,<sup>76,77</sup> it is tempting to suggest that such compounds either  
360 on their own or when complexed with or co-delivered with other neuroprotective ocular  
361 hypotensive drugs such as betaxolol or brimonidine,<sup>11,78</sup> proteinaceous therapeutics,<sup>79</sup> and/or  
362 genetic cargos<sup>80</sup> may offer solutions to tackle both the elevated IOPs and the RGC loss experienced  
363 by OHT/glaucoma patients.<sup>1,3,6,10-13</sup> We await and hope for rapid progress in this realm since  
364 patients need novel drugs to help treat their diseases of the retina, especially glaucomatous optic  
365 neuropathy.<sup>1-12</sup>

366

**367 Authorship contribution statement**

368 N.A.S.: Conceptualization; Resources; Writing–original draft; Writing–review and editing;  
369 Supervision. T.O.: Validation; Data curation; Writing–review and editing; Visualization; Project  
370 Administration. T.T.: Validation; Data curation; Writing–review and editing; Visualization; Project  
371 Administration. M.S.: Methodology; Validation; Formal Analysis; Investigation; Data curation;  
372 Writing–review and editing; Project Administration. L.G., S.C. and V.L.: Validation; Formal  
373 Analysis; Investigation; Data curation; Writing–review and editing; Visualization. MFC:  
374 Resources; Methodology; Writing–review and editing; Supervision.

375

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379

**380 Declaration of Competing Interest**

381 The authors declare that there is no known competing financial interest. At the time of the  
382 study, N.A.S. and M.S. were employees of Santen Inc. and Santen Pharmaceutical Co., Ltd.,  
383 respectively. Currently, N.A.S. is an employee of Nanoscope Therapeutics Inc. (Dallas, TX), and  
384 M.S. is an employee of Shionogi & Co., Ltd. (Osaka, Japan).

385

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627

628 **Figure Legends**

629 **Figure 1. IOP profiles among treatment groups.** **A.** IOP profiles were compared between the  
630 OHT eyes (OS) and the contralateral eyes (OD) overtime (Day 0, 1, 7, 14, and 21 days). **B.** The  
631 IOP profiles were presented as the difference between the OS and OD eyes. The saline-induced  
632 OHT in the left eye induced a significant increase of IOP over the 3 weeks, compared to the  
633 contralateral OD eyes. Levels of IOP peaked at day 1 ( $p < 0.001$ ) and gradually decreased over  
634 time (day 7  $p < 0.01$  and day 14  $p < 0.01$ ), reaching near baseline levels at day 21 in all OHT  
635 treatment groups. None of the test compounds (rh-NGF and cutamesine) significantly affected the  
636 IOP. Each value represents the mean  $\pm$  S.E.M. of 5 eyes. \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ .

637

638 **Figure 2. An automated, validated algorithm was used to compute the DARC count in each**  
639 **image.** **A.** A raw image from an OHT eye with white spots visualized. **B.** Annotated spots  
640 identified by algorithm (green squares).

641

642 **Figure 3. Effects of cutamesine on OHT-induced changes in DARC and RBPMS counts.** **A.**  
643 DARC count (number of annexin positive spots) in OHT eyes with different treatments. DARC  
644 counts were presented as numbers of spots at week 3 minus that at baseline. OHT treatments  
645 significantly increased the DARC count compared to control group ( $p < 0.05$ ). rh-NGF, as a  
646 positive control, and cutamesine resulting in a significant reduction of RGC apoptosis compared  
647 to OHT only group ( $p < 0.05$  and 0.05, respectively). **B.** OHT treatment resulted in a significant  
648 reduction of RBPMS<sup>+</sup>ve RGC density in OHT only compared to control ( $p < 0.01$ ). rh-NGF, as a  
649 positive control, and cutamesine significantly preserved RBPMS<sup>+</sup>ve RGC density compared to  
650 OHT only group ( $p < 0.01$  and 0.01, respectively). Each value represents the mean  $\pm$  SEM of 4 to  
651 6 eyes. \*  $p < 0.05$ , \*\*  $p < 0.01$ . Based on rat eye vitreous volume and the injection of cutamesine  
652 into each eye, even if only 5% of the injected compound reaches the retina, the ambient local  
653 concentration of cutamesine would be approx. 140 nM. This concentration, relative to its IC<sub>50</sub> at  
654 the S1-R (17 nM) is more than sufficient to fully occupy and activate the S1-Rs on RGCs to  
655 permit the neuroprotective activity of cutamesine. Similarly, given that rh-NGF exhibits 0.7-1  
656 nM potency at its neurotrophic receptor,<sup>81</sup> the expected local concentration of the IVT delivered  
657 rh-NGF (0.09 nmol) in the retina would be in the low nanomolar range, more than enough to  
658 activate NGF receptors on the RGCs. **C.** Representative DARC images at baseline and 2 hours

659 after intranasal administration of fluorescently labelled annexin-5 from control, OHT only,  
660 OHT+rh-NGF, and OHT+Cutamesine groups. **D.** Representative images of RBPMS labeling  
661 (shown in black and white) of retinal flat mounts from rats that underwent different treatments.  
662 The bar indicates 50  $\mu$ m. These images are provided as examples, while the corresponding  
663 quantitative data for RBPMS<sup>+</sup> RGC counts from 4-6 eyes are shown in Fig. 3B above.  
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665 **Figure 4. Retinal protective effects of cutamesine against retinal degeneration induced by**  
666 **rotenone as measured by neurofilament light chain (Nfl) gene expression.** Vehicle or  
667 cutamesine was premixed with rotenone and simultaneously injected into the vitreous of rat eyes.  
668 Twenty-four hours following injection, the retina was isolated and Nfl expression was  
669 determined by real-time PCR. The Nfl expression level was normalized to that of Gapdh in an  
670 individual retinal sample and is shown as the percentage of the respective control. Closed  
671 column, vehicle (50% DMSO in distilled water, n = 6 from 3 animals); open column, rotenone  
672 alone (n = 5 from 3 animals); dark grey column, rotenone (2 nmol/eye) plus cutamesine (10  
673 nmol/eye or 300 nmol/eye, n = 4 from 2 animals in each group). Each value represents the mean  
674  $\pm$  SEM of 4 to 6 eyes from 2 or 3 animals. \*\*\*P < 0.001, by Student's unpaired t-test, compared  
675 with vehicle. ##P < 0.01, ###P < 0.001, by Dunnett's multiple comparison test compared with  
676 rotenone alone. Memantine (100 nmol/eye) yielded >90% protection in this retinal oxidative  
677 stress model.<sup>23</sup>  
678

679 **Figure 5.** A schematic that summarizes the current state of knowledge of the sigma-1 receptor  
680 related neuroprotective activities, for example, as it pertains to cutamesine. Adapted and  
681 modified from Smith et al. 2018.<sup>39</sup>

682  
683 **Figure 6.** Chemical structures of cutamesine and older generation prototypic sigma-1 receptor  
684 agonists.

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