

# Dual-anion Strategy Induces Dual Enhancement Towards Ultrashort Phase-Matching Wavelength in Deep-UV Transparent d<sup>0</sup> Transition Metal Oxyfluorides

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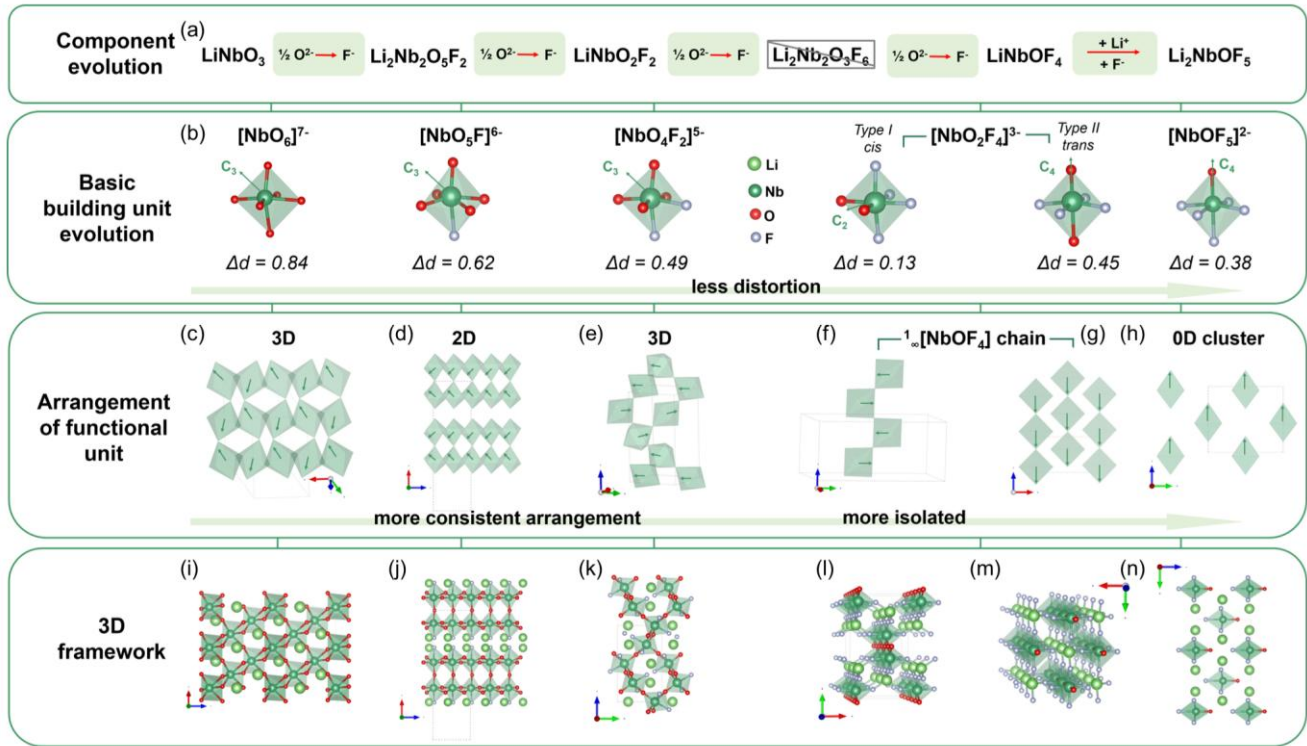
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**ABSTRACT:** The d<sup>0</sup> transition metal oxides are the most commonly used nonlinear optical (NLO) materials in visible light regions, however, the limited band gaps seriously hinder their application in ultraviolet (UV) and deep ultraviolet (DUV). Achieving double enhancement of band gap and birefringence by regulating anionic units helps to push their phase-matching (PM) wavelength into UV/DUV. Herein, started from the famous NLO template LiNbO<sub>3</sub>, the “dual-anion strategy” is proposed to regulate [NbO<sub>6-x</sub>F<sub>x</sub>] octahedrons, the predicted Li<sub>2</sub>Nb<sub>2</sub>O<sub>6-x</sub>F<sub>2x</sub>·(LiF)<sub>y</sub> (x=1,2,4; y=0,2) exhibit dual-property magnification of wide band gap (3.82-6.26 eV, 1~3 eV larger than LiNbO<sub>3</sub>) and extraordinary birefringence (0.100-0.322, 1~4 times that of LiNbO<sub>3</sub>) with strong second harmonic generation (SHG) of 2.6-6.2 × KDP. Remarkably, Li<sub>2</sub>NbOF<sub>5</sub>-I and LiNbOF<sub>4</sub>-II afford record-breaking PM wavelength ( $\lambda_{PM}$ =209 nm) ever reported for d<sup>0</sup> transition metal oxyfluorides. Further analysis uncovers that the fluorinated modification of band edges and increase of octahedral anisotropy are the main reasons for enhanced PM ability.

The nature of anionic groups in inorganic materials is always associated with the performance of compounds<sup>1-6</sup>. Understanding the intrinsic mechanism of functional anionic units and developing an effective method to ameliorate their functionality offers a controllable way to design new generations of functional materials<sup>7-14</sup>. Nonlinear optical (NLO) materials, as a significant role in laser systems, have always been a spotlight with the implementation of anionic group regulation<sup>15-21</sup>. On the one hand, electrons can be approximately bounded to a certain local area during second harmonic generation (SHG), which makes anionic groups crucial to NLO performance.<sup>22-23</sup> On the other hand, commercially available NLO materials from ultraviolet (UV) to infrared (IR) region including KBe<sub>2</sub>BO<sub>3</sub>F<sub>2</sub> (KBBF)<sup>24</sup>,  $\beta$ -BaB<sub>2</sub>O<sub>4</sub> (BBO)<sup>25</sup>, LiB<sub>3</sub>O<sub>5</sub> (LBO)<sup>26</sup>, KH<sub>2</sub>PO<sub>4</sub> (KDP)<sup>27</sup>, LiNbO<sub>3</sub> (LN)<sup>28</sup> and KTiOPO<sub>4</sub> (KTP)<sup>29</sup> have diverse anionic groups with multiple assembly structures, providing the possibilities for the controllability of their structures and performances<sup>30-39</sup>. Even though, it is still challenging to optimize diverse properties in one material, especially for UV and deep-UV (DUV) applications. For instance, the strong SHG response ( $d_{ij} > 0.39$  pm/V) and a short absorption edge ( $E_g > 6.2$  eV) are mutually conflicting, and a wide band gap usually corresponds to small birefringence ( $\Delta n$ )<sup>40</sup>, making it hard to ensure the phase-matching (PM) ability in UV/DUV region ( $\lambda_{PM} < 200$  or 400 nm).

The anionic groups containing d<sup>0</sup> transition metal with second-order Jahn-Teller (SOJT) distortions are one of the most commonly used NLO-active groups in visible light regions, the out-of-center distortions (e.g., NbO<sub>6</sub>, TiO<sub>6</sub> in LN and KTP) arising from metal d $\pi$ -oxygen p $\pi$  orbital interactions are potential to induce non-centrosymmetric (NCS) structures and generate strong SHG intensities<sup>41-44</sup>. The SOJT distortion also leads to increased polarization anisotropy, making birefringence capable of better PM ability<sup>45</sup>. Extensive attempts including Nb<sub>2</sub>O<sub>3</sub>(IO<sub>3</sub>)<sub>2</sub>(SO<sub>4</sub>) (6×KDP,  $\Delta n = 0.22$ )<sup>46</sup>, LiZrTeO<sub>6</sub> (2.5×KDP,  $\Delta n = 0.06$ )<sup>47</sup>, BaTeMo<sub>2</sub>O<sub>9</sub> (15×KDP)<sup>48</sup>, BaTeW<sub>2</sub>O<sub>9</sub> (12.5×KDP), K(VO)<sub>2</sub>O<sub>2</sub>(IO<sub>3</sub>)<sub>3</sub> (20×KDP)<sup>49</sup>, BaNbO(IO<sub>3</sub>)<sub>5</sub> (14×KDP)<sup>50</sup> and Cs<sub>3</sub>Nb<sub>5</sub>GeO<sub>16</sub> ( $\Delta n = 0.19$ )<sup>51</sup> demonstrate success in the visible to IR region. However, the high distortion usually corresponds to limited band gaps, which seriously influences transparency in short wavelength regions<sup>52-54</sup>. For example, LiNbO<sub>3</sub> exhibits a large SHG response of 25 pm/V but is only transparent in 350 ~ 4500 nm<sup>55-56</sup>. Based on the electronegativity difference of anionic groups, many strategies have been proposed to manipulate the band gap<sup>57-61</sup>, resulting in a series of wide bandgap compounds with d<sup>0</sup> transition metal, such as K<sub>5</sub>(NbOF<sub>4</sub>)(NbF<sub>7</sub>)<sub>2</sub> (5.88 eV, 4×KDP,  $\Delta n=0.07$  @ 546 nm)<sup>61</sup>, ZrF<sub>2</sub>SO<sub>4</sub> (6.02 eV, 3.2×KDP, 0.074 @ 546 nm), HfF<sub>2</sub>SO<sub>4</sub> (> 6.53 eV, 2.5×KDP, 0.058 @ 546 nm)<sup>60</sup>, ZrOF<sub>4</sub>H<sub>2</sub> (> 6.53 eV, 2.2×KDP, 0.035 @ 546 nm)<sup>52</sup>. However, regulations based



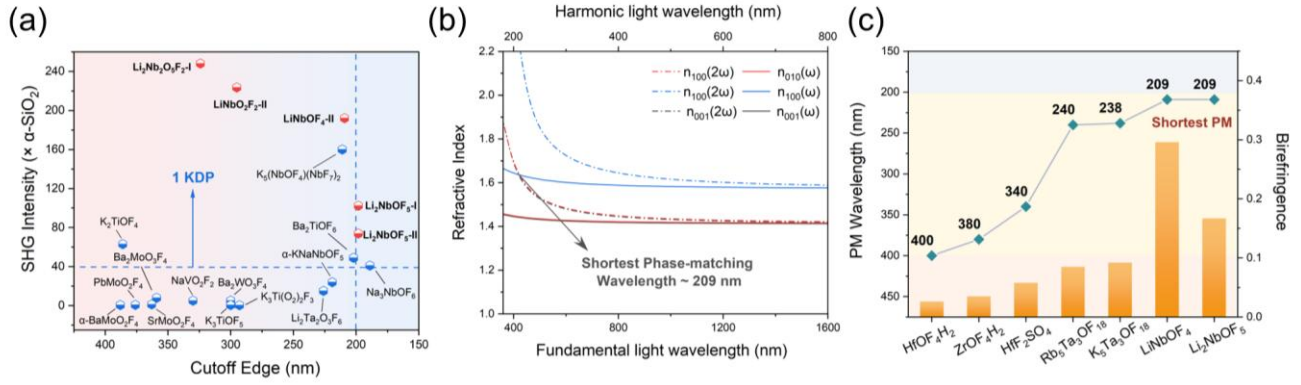
**Figure 1.** Structure descriptions of LiNbO<sub>3</sub> and Li<sub>2</sub>Nb<sub>2</sub>O<sub>6-x</sub>F<sub>2x</sub>·(LiF)<sub>y</sub> (x=1, 2, 4; y=0, 2). (a) Component evolution from LiNbO<sub>3</sub> to Li<sub>2</sub>NbOF<sub>5</sub> by dual-anion strategy. (b) Coordinate environments and the magnitude of the out-of-center distortion ( $\Delta d$ ) of [NbO<sub>6-x</sub>F<sub>x</sub>]<sup>(7-x)-</sup> (x=0, 1, 2, 4, 5) octahedron. (c-h) Arrangement of functional units, which shows lower degree of polymerization and more consistent arrangement with higher F/O ratio. (i-n) 3D structures of LiNbO<sub>3</sub>, Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>2</sub>-I, LiNbO<sub>2</sub>F<sub>2</sub>-I, LiNbOF<sub>4</sub>-I, II and Li<sub>2</sub>NbOF<sub>5</sub>-I, respectively.

solely on adding large amounts of fluorine into a component leads to difficulties in controlling birefringence. Excessive introduction of fluorine will cause the d<sup>0</sup> transition metal to form polyhedral with multiple coordination, making it difficult to maintain octahedral configuration, which leads to non-parallel arrangements and results in relatively small birefringence (e.g. 0.035 and 0.026@546 nm for ZrOF<sub>4</sub>H<sub>2</sub> and HfOF<sub>4</sub>H<sub>2</sub>), thus limiting the blueshift of their shortest PM wavelength. Therefore, in addition to enlarging the band gap, anisotropic anionic groups should also be taken into consideration. An efficient solution for this challenge lies in the introduction of two different anionic ligands, which proves a success especially in tetrahedral groups, contributing to the discovery of performance-enhanced UV/DUV NLO materials PNO<sup>62</sup>, PNF<sub>2</sub><sup>63</sup>, SO<sub>2</sub>(NH<sub>2</sub>)<sub>2</sub><sup>64</sup>, Sr(SO<sub>3</sub>NH<sub>2</sub>)<sub>2</sub><sup>65</sup>, etc. With central positive ions bonded to two anionic ligands (e.g., O, F, N, NH<sub>2</sub>, etc.), the intrinsic polarizability anisotropy can be enhanced, further, by choosing the appropriate substituting anion, bandgap can also be improved. Such a dual-property enhancement will help to improve PM ability. However, there are very limited insights into this kind of anion modification in d<sup>0</sup> transition metal octahedron, let alone manipulating the properties through different ratios of two anion as well as regulating structures.

Herein, “dual-anion strategy” is proposed to adjust d<sup>0</sup> transition metal octahedron. Considering the high electronegativity, fluorine is chosen as a substitute for oxygen. To obtain large birefringence and SHG response by increasing the density of

functional units while inducing orderly arrangement, small cations Li is chosen. Thus, the well-known LiNbO<sub>3</sub> with small size cation Li and NLO-active unit [NbO<sub>6</sub>] is chosen as a template. Eight thermodynamically stable/metastable structures Li<sub>2</sub>Nb<sub>2</sub>O<sub>6-x</sub>F<sub>2x</sub>·(LiF)<sub>y</sub> (x=1, 2, 4; y=0,2) with different dual-anion ratios are predicted by component evolution design<sup>66-67</sup>, exhibiting large band gap (3.82-6.26 eV) and birefringence (0.100-0.322) with strong SHG response of 2.6-6.2 × KDP. Remarkably, Li<sub>2</sub>NbOF<sub>5</sub> can reach the DUV transparency (198 nm) with strong SHG response (2.6 × KDP), Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>2</sub>-I and LiNbOF<sub>4</sub>-II show extraordinary birefringence of 0.322 and 0.296 in UV region. In addition, the dual-anion strategy induces dual-property enhancement of band gaps (5.93, 6.25 eV) and birefringence (0.296, 0.167) in LiNbOF<sub>4</sub>-II and Li<sub>2</sub>NbOF<sub>5</sub>-I, making them record-breaking in d<sup>0</sup> transition metal oxyfluorides with the shortest PM wavelength of 209 nm. Further in-depth mechanisms exploration demonstrates the effectiveness of our design strategy, uncovering that the fluorinated modification of band edges and the increase of octahedral anisotropy are the main reasons for the dual enhancement and thus better PM ability, which provides a new thought for the rational design of UV/DUV NLO materials.

**Structure descriptions and NLO-related properties:** Starting with LiNbO<sub>3</sub>, a succinct and effective component evolution design as shown in Figure 1(a) is proposed for clarifying dual-anion strategy, by adjusting the ratio of dual-anion, the modified units should be [NbO<sub>6-x</sub>F<sub>x</sub>]<sup>(7-x)-</sup> (x= 1-5), and the



**Figure 2.** (a) The SHG intensity and cutoff edge of NCS Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>2</sub>-I, LiNbO<sub>2</sub>F<sub>2</sub>-II, LiNbOF<sub>4</sub>-II, and Li<sub>2</sub>NbOF<sub>5</sub>-I, II compared with some typical d<sup>0</sup>-TM oxyfluorides (1×KDP≈40×SiO<sub>2</sub>). (b) Refractive index dispersion curves and accordingly shortest PM wavelength of Li<sub>2</sub>NbOF<sub>5</sub>-I. (c) Birefringence and PM wavelength compared with other existing transition metal oxyfluorides.

variable components of the Li-Nb-O-F system can be Li<sub>2</sub>Nb<sub>2</sub>O<sub>6</sub>-xF<sub>2x</sub>·(LiF)<sub>y</sub> (x=1, 2, 3, 4; y=0, 2). We systematically search the energetically favorable structures by USPEX and select the structures with  $E_{\text{hull}} < 10$  meV. For each USPEX search, we performed three independent runs to increase the reliability of the predicted results. Among them, Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>6</sub> is dynamically unstable with imaginary phonon modes, other eight structures are identified with negative formation enthalpies and good dynamic stability (Figures S1-2), namely Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>2</sub>-I, II; LiNbO<sub>2</sub>F<sub>2</sub>-I, II; LiNbOF<sub>4</sub>-I, II and Li<sub>2</sub>NbOF<sub>5</sub>-I, II. To verify the credibility of our calculation, the structural rationality is also checked by suitable bond lengths and bond valence sum (BVS) as shown in Table S1, and all of the bond lengths are similar to existing niobium oxyfluorides such as KNaNbOF<sub>5</sub><sup>68</sup>, BaNbO(IO<sub>3</sub>)<sub>5</sub><sup>50</sup>, Sr<sub>3</sub>Nb<sub>2</sub>O<sub>7</sub>F<sub>12</sub>·2H<sub>2</sub>O<sup>69</sup>. Remarkably, except for LiNbOF<sub>4</sub>-I (Z=4) and Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>2</sub>-II (Z=2) which crystallizes in centrosymmetric *Cmcm* (No.63) and *C2/m* (No.12), others all crystallize in NCS space groups *Cmc2*<sub>1</sub> (No.36), *P4*<sub>1</sub>2<sub>1</sub>2 (No. 92), *Pna2*<sub>1</sub> (No. 33), *Imm2* (No. 44), *Imm2* (No. 44), *Pc* (No. 7) for Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>2</sub>-I (Z=4), LiNbO<sub>2</sub>F<sub>2</sub>-I (Z=4), II (Z=4); LiNbOF<sub>4</sub>-II (Z=2), Li<sub>2</sub>NbOF<sub>5</sub>-I (Z=2), II (Z=2), respectively, indicating that Li-Nb-O-F may be a favorable system to explore potential NLO activity.

As is known to all, the basic building unit [NbO<sub>6</sub>]<sup>7-</sup> in LiNbO<sub>3</sub> has always been regarded as a good NLO-active unit due to its strong face (C<sub>3</sub>) distortion ( $\Delta d = 0.84$ ). With anion regulation, four distinct building units [NbO<sub>6-x</sub>F<sub>x</sub>]<sup>(7-x)-</sup> (x=1, 2, 4, 5) of the corresponding oxyfluorides Li<sub>2</sub>Nb<sub>2</sub>O<sub>6-x</sub>F<sub>2x</sub>·(LiF)<sub>y</sub> (x=1, 2, 3, 4; y=0,2) are shown in Figure 1(b), all of the building units are experimentally observed in similar compounds, such as Nb<sub>27</sub>O<sub>54</sub>F<sub>27</sub>([NbO<sub>5</sub>F])<sup>70</sup>, NaNbO<sub>2</sub>F<sub>2</sub>([NbO<sub>4</sub>F<sub>2</sub>])<sup>71</sup>, Rb<sub>5</sub>Nb<sub>3</sub>O<sub>18</sub>([NbO<sub>2</sub>F<sub>4</sub>])<sup>72</sup>, KNaNbOF<sub>5</sub>([NbO<sub>5</sub>F])<sup>68</sup>(Figure S8). With the increase of x, the [NbO<sub>6-x</sub>F<sub>x</sub>]<sup>(7-x)-</sup> (x=1, 2, 4, 5) units gradually undergo the transition from face distortion (C<sub>3</sub>) to edge distortion (C<sub>2</sub>), and then to corner (C<sub>4</sub>) distortion, in the meanwhile, the magnitude of the out-of-center distortion also decreases from 0.62-0.13 continuously. Different F/O ratio also results in different structure features. Compared with LiNbO<sub>3</sub>, in which [NbO<sub>6</sub>]<sup>7-</sup> unit rotates in two different directions (Figure 1(c)), and then connects with each other by corner-sharing O to form a 3D structure (Figure 1(i)), the increase in F/O ratio leads to overall “dimensional reduction” effect, making the 3D [NbO/F] framework (LiNbO<sub>3</sub>, LiNbO<sub>2</sub>F<sub>2</sub>) collapses into 2D layer (Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>2</sub>), 1D chain (LiNbOF<sub>4</sub>) and 0D island-type

connection (Li<sub>2</sub>NbOF<sub>5</sub>). In LiNbO<sub>2</sub>F<sub>2</sub>-I, corner-sharing [NbO<sub>4</sub>F<sub>2</sub>]<sup>5-</sup> rotate to reversed directions with the Nb-O-Nb angle of 160° to form a 3D structure as shown in Figure 1(e), the reversed arrangement and its crystallization in the *D*<sub>4</sub>-422 point group leads to zero SHG coefficients, although it crystallizes in the NCS space group. As for LiNbOF<sub>4</sub>-I and II, there are two types of [NbO<sub>2</sub>F<sub>4</sub>]<sup>3-</sup>: type I cis- and type II trans-[NbO<sub>2</sub>F<sub>4</sub>]<sup>3-</sup> units in the structures. The units share O atoms to form a 1D zigzag chain and straight chain, respectively (Figure 1(f-g)), each Li coordinates with four F to act as a chain-to-chain adhesive (Figure 1(l-m)). As for Li<sub>2</sub>NbOF<sub>5</sub>, its “zero-dimensional” (0D) anionic structure is composed of [NbOF<sub>5</sub>]<sup>2-</sup> clusters arranged in the same direction along the c-axis (Figure 1(h)), with the Li<sup>+</sup> cations surrounded by one oxygen atoms and three F atoms to form [LiOF<sub>3</sub>] units, which acts as spacers between these anions (Figure 1(n)).

The key optical properties of LiNbO<sub>3</sub> and predicted compounds are listed in Table 1, Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>2</sub>-I features the highest SHG responses of about 6.2 × KDP, Li<sub>2</sub>NbOF<sub>5</sub>-I features the lowest, but it can still reach the standard of 2.6 × KDP, indicating that Li-Nb-O-F is a very promising candidate system for NLO application. The band gaps of Li-Nb-O-F increase from 3.82 to 6.26 eV with higher dual-anion substitution, exhibiting a much wider transparency from UV to DUV compared with LiNbO<sub>3</sub> in visible region. Among them, Li<sub>2</sub>NbOF<sub>5</sub>-I and II reach DUV cutoff edge of 198 nm with strong SHG of 2.6 and 2.9 × KDP, acting as rare cases of DUV transparent d<sup>0</sup> transition metal oxyfluorides by theoretical design, exceeding the performance of SrMoO<sub>4</sub>F<sub>4</sub>, K<sub>2</sub>TiOF<sub>4</sub>, NaVO<sub>2</sub>F<sub>2</sub>, and α-KNaNbOF<sub>5</sub> (Figure 2(a)). Contrary to statistical intuition that band gaps and birefringence usually show opposite trends in the same system, our design of dual-anion d<sup>0</sup> metal octahedron shows a dual improvement in not only band gaps but also birefringence (0.100-0.322 @ 1064 nm). Remarkably, Li<sub>2</sub>Nb<sub>2</sub>O<sub>5</sub>F<sub>2</sub>-I and LiNbOF<sub>4</sub>-II exhibit extraordinary birefringence of 0.322 and 0.296, which is close to that of α-BaTeMo<sub>2</sub>O<sub>9</sub>, and SbB<sub>3</sub>O<sub>6</sub>, far passing that of LiNbO<sub>3</sub>, CaCO<sub>3</sub>, and YVO<sub>4</sub> (Figure S3 and Table S4). The dual-property improvement makes LiNbOF<sub>4</sub>-II and Li<sub>2</sub>NbOF<sub>5</sub>-I reach the shortest UV PM wavelength (209 nm) compared with other existing transition metal oxyfluorides to date (Figures 2(b-c) and S4). The good optical performance aroused our curiosity, why is the dual-anion strategy in d<sup>0</sup> transition metal octahedron able to exhibit dual amplification thus enhanced PM ability while maintaining strong SHG response? What is the impact of

different anion ratios on the performance? Inspired by these, the structure-property relationship including band gap, PM ability and SHG response is further investigated.

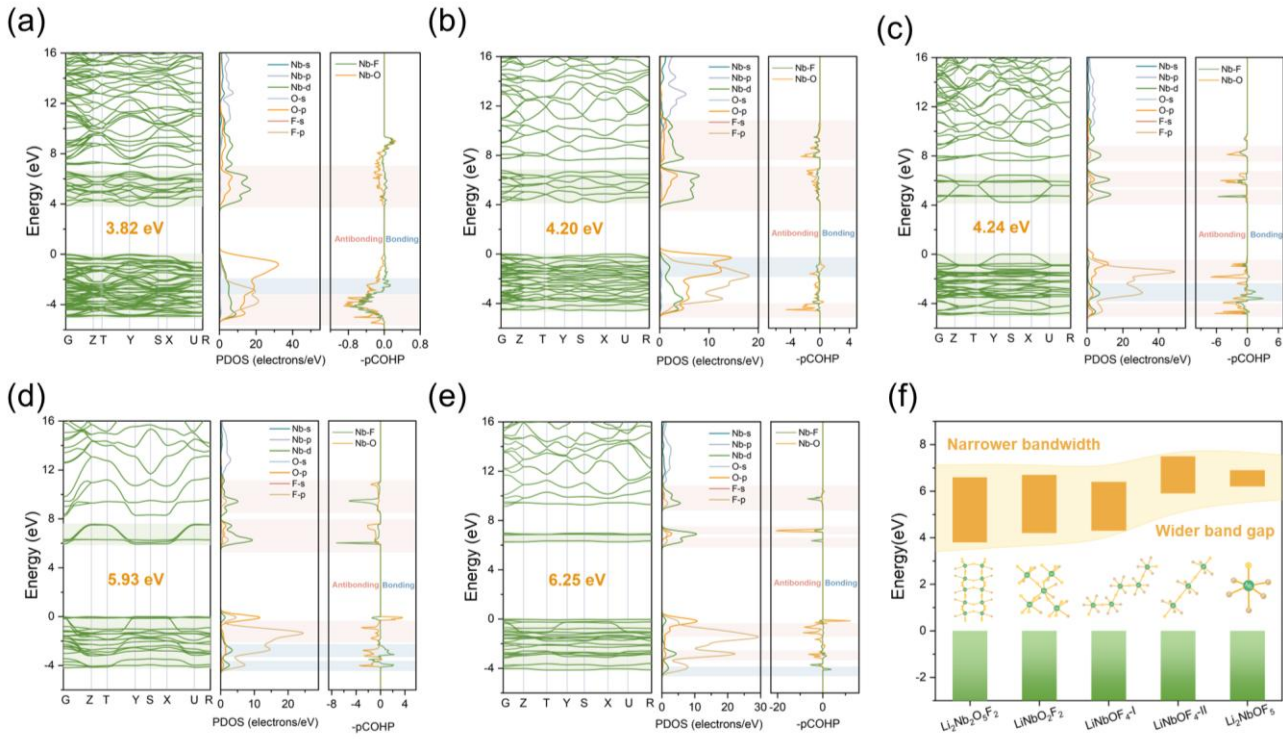
**Table 1. Band gaps ( $E_g$ , in eV), UV cutoff edge (in nm), the SHG coefficients ( $d_{ij}$ , in pm/V), birefringence ( $\Delta n$  @ 1064 nm), and the shortest phase-matching wavelengths ( $\lambda_{PM}$ , in nm) for  $\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2$ -I, II;  $\text{LiNbO}_2\text{F}_2$ -I, II;  $\text{LiNbOF}_4$ -I, II and  $\text{Li}_2\text{NbOF}_5$ -I, II.**

Compounds	Space group	Z	$E_g^{\text{GGA}}$ (eV)	$E_g^{\text{HSE06}}$ (eV)	$E_g^{\text{PBE0}}$ (eV)	UV cutoff edge(nm)	$\Delta n$ @1064nm	SHG coefficients (pm/V)	$\lambda_{PM}$ (nm)
$\text{LiNbO}_3$	<i>R3c</i>	6	2.68	3.3*		350*	0.088*	$d_{22}=2.1$ ; $d_{15}=-4.6$ ; $d_{33}=-25.2^*$	/
$\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2$ -I	<i>Cmc2_1</i>	4	1.91	3.82	4.53	323	0.322	$d_{15}=1.47$ ; $d_{24}=-2.42$ ; $d_{33}=2.11$	306
$\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2$ -II	<i>C2/m</i>	2	2.54	4.61	5.33	269	0.130	/	/
$\text{LiNbO}_2\text{F}_2$ -I	<i>P4_12_12</i>	4	2.25	4.07	4.89	304	0.100	/	515
$\text{LiNbO}_2\text{F}_2$ -II	<i>Pna2_1</i>	4	2.46	4.20	5.06	295	0.141	$d_{15}=-0.85$ ; $d_{24}=-2.18$ ; $d_{33}=-0.25$	455
$\text{LiNbOF}_4$ -I	<i>Cmcm</i>	4	2.45	4.24	5.09	292	0.225	/	/
$\text{LiNbOF}_4$ -II	<i>Imm2</i>	2	3.72	5.93	6.78	209	0.296	$d_{15}=1.88$ ; $d_{24}=1.65$ ; $d_{33}=-0.69$	209
$\text{Li}_2\text{NbOF}_5$ -I	<i>Imm2</i>	2	3.96	6.25	6.94	198	0.167	$d_{15}=-1.03$ ; $d_{24}=-0.93$ ; $d_{33}=1.00$	209
$\text{Li}_2\text{NbOF}_5$ -II	<i>Pc</i>	2	3.97	6.26	6.93	198	0.136	$d_{11}=-0.46$ ; $d_{15}=0.75$ ; $d_{12}=-0.08$ ; $d_{13}=-0.37$ ; $d_{24}=-0.01$ ; $d_{33}=-1.15$	228

The band gaps calculated by HSE06 are converted to UV cutoff edge.

\* represents data from experiments<sup>53</sup>



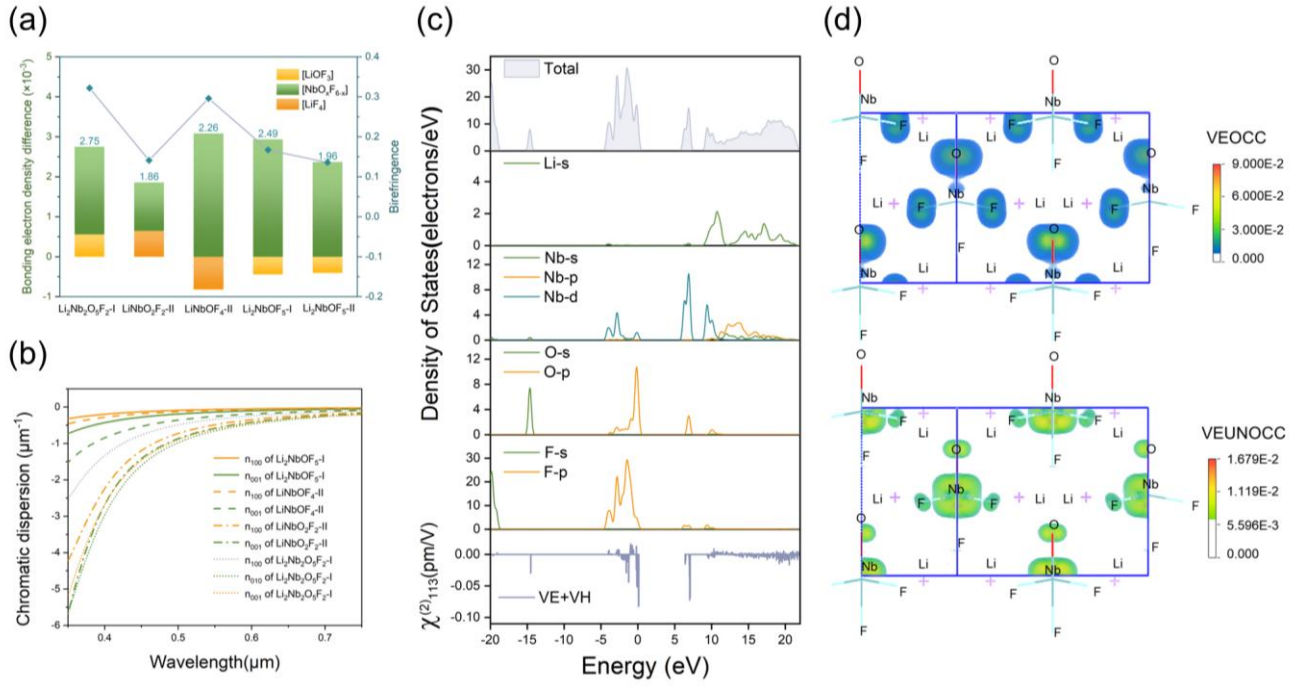


**Figure 3.** Calculated band structures, partial density of states (PDOS) and crystal orbital Hamilton population (COHP) of  $\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2$ -I (a),  $\text{LiNbO}_2\text{F}_2$ -II (b),  $\text{LiNbOF}_4$ -I (c), II (d), and  $\text{Li}_2\text{NbOF}_5$ -I (e). Pink and blue shades stand for anti-bonding and bonding states, green shades stand for bandwidth. (f) Schematic diagram of conduction band and valence band positions and widths of five Li-Nb-O-F.

**Band-edge electronic features:** To clarify the impact of our dual-anion strategy on the band edge, the band structure, partial density of states (PDOS) and underlying bonding properties (crystal orbital Hamilton population, COHP) of Li-Nb-O-F are further explored. The calculated band structure of  $\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2$ -I,  $\text{LiNbO}_2\text{F}_2$ -II,  $\text{LiNbOF}_4$ -I, II, and  $\text{Li}_2\text{NbOF}_5$ -I are shown in Figure 3(a-e), illustrating the dominating contribution of Nb-d, O-p and F-p in both the top of valence bands (VBM) and the bottom of conduction band (CBM), which exhibits distinctly different features with different ratios of dual-anion F/O. As the ratio increases, the bands in both VB and CB gradually flatten, especially for the CB part, which changes from highly curved bands ( $\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2$ ,  $\text{LiNbO}_2\text{F}_2$ ) to more flat bands ( $\text{LiNbOF}_4$ ) and then turns to isolated intermediate bands ( $\text{Li}_2\text{NbOF}_5$ ). Combined with PDOS and COHP analysis, CBM is mainly composed of Nb-O and Nb-F antibonding states, in which Nb-O antibonding gradually moves upward and exhibits more localized characteristics, leading to narrower bandwidth (Figure 3(f)) and higher anisotropy in CB, which are beneficial to enlarge the band gap and obtain high birefringence. For instance, as shown in Figure 3(c-d), the flat band of  $\text{LiNbOF}_4$ -I, II mainly originates from the antibonding hybridization and overlap of Nb-O and Nb-F, with higher F/O ratio, the Nb-O antibonding moves upward, and it shows less overlap with Nb-F anti-bond, resulting in the isolated intermediate bands in  $\text{Li}_2\text{NbOF}_5$ <sup>73-74</sup> (Figure 3(e)). As for the VB part, F-2p orbital gradually overwhelms O-2p, dominating the VB top from  $\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2$  to  $\text{Li}_2\text{NbOF}_5$ , the F-2p orbitals show lower energy states thus widen the energy gap. Combined with COHP analysis, the VBM is mainly composed of Nb-O antibonding states, Nb-F and Nb-O bonding states, with the increase of F, the Nb-O antibonding orbital gradually upshift, while the Nb-O

bonding states becomes more localized near the VBM, and Nb-F bonding orbital downshift to deeper energy region around -4 eV, which leads to narrower bandwidth, further enlarges the band gap.

In addition, structural factors also play an important role in band gaps, herein, the average band gaps of  $\text{LiNbO}_2\text{F}_2$ ,  $\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2$ ,  $\text{LiNbOF}_4$ , and  $\text{Li}_2\text{NbOF}_5$  increase as the dimension of basic building units decreases from 3D to 0D (Figure S5). This difference can be inferred as the changes in atomic orbital connectivity induced by structure. As the structural dimensionality decreases from 3D to 1D, the connectivity of octahedron along the direction perpendicular to the chain breaks down, resulting in a lower connectivity of atomic orbitals, thus leading to reduced band dispersion and narrower bandwidths, which enlarges the band gap (Figure 3(c-d)). Similarly, the connectivity is further broken in 0D (Figure 3(e)), leading to even larger band gap, making  $\text{Li}_2\text{NbOF}_5$ -I, II as rare DUV transparent NLO candidates. It is worth noting that for  $\text{LiNbOF}_4$ -I-II with the same F/O ratio and 1D chain, their band gaps differ by almost 1.69 eV, indicating that the introducing straight chain formed by *trans*- $[\text{NbO}_2\text{F}_4]$  is more beneficial to enlarge the band gap rather than zig-zag chain formed by *cis*- $[\text{NbO}_2\text{F}_4]$ . The difference stems from the deeper F-2p orbitals in straight chain, which push the bonding state of Nb-F to deeper energy region around -4 eV as shown in Figure 3(c-d), thus widen the band gap. In conclusion, anion substitutions lead to a lower structural dimensionality in Li-Nb-O-F systems, which makes VB and CB to exhibit narrower bandwidth, drives Nb-O bonding states to become more localized and pushes Nb-F.



**Figure 4.** (a) Response electron distribution anisotropy (REDA) analysis of  $\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2\text{-I}$ ,  $\text{LiNbO}_2\text{F}_2\text{-II}$ ,  $\text{LiNbOF}_4\text{-I}$ , and  $\text{Li}_2\text{NbOF}_5\text{-I, II}$  respectively. (b) Chromatic dispersion characteristics of Li-Nb-O-F compounds, the refractive chromatic dispersion decreases continuously as the F/O increases. (c) Total and partial density of states and band-resolved SHG analysis of  $\text{Li}_2\text{NbOF}_5\text{-I}$ . (d) Occupied and unoccupied states of SHG density in the VE process of  $\text{Li}_2\text{NbOF}_5\text{-I}$ .

bonding orbital to deeper region in VB, thereby increasing the band gap.

**Phase-matching ability mechanism:** To effectively generate coherent light via the SHG process, one of the paramount important requirements is the PM condition, which refers to when the refractive index of the second harmonic wave is equal to the refractive index of the fundamental wave (Figure 2(b)). Our proposed strategy demonstrates a success in improving PM ability,  $\text{LiNbOF}_4\text{-II}$  and  $\text{Li}_2\text{NbOF}_5\text{-I}$  reach the shortest UV PM wavelength (209 nm) to date, the internal mechanism is worth exploring.

Previous studies reveal that the large birefringence and small refractive index chromatic dispersion ( $\text{dn}/d\lambda$ ) have a significant effect on blueshift of PM wavelength.<sup>75-77</sup> In Li-Nb-O-F system, all compounds exhibit birefringence larger than 0.10, three of which can reach the birefringence over 0.2. To quantitatively analyze the contribution of the group to the birefringence, the response electron distribution anisotropy (REDA) method, which is proposed to analyze the relationship between optical anisotropy and chemical bonds, is adopted<sup>78-79</sup>. The bonding electron density difference  $\Delta\rho^b$  of basic building units and corresponding birefringence of  $\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2\text{-I}$ ,  $\text{LiNbO}_2\text{F}_2\text{-II}$ ,  $\text{LiNbOF}_4\text{-II}$  and  $\text{Li}_2\text{NbOF}_5\text{-I, II}$  are shown in Figure 4(a). All of the  $[\text{NbO}_{6-x}\text{F}_x]$  units contribute more than 50% to the birefringence, proving that they are birefringent-active. Among which, dual-anion groups with consistent alignment or reduced dimensionality (2D or 1D) are more conducive producing large birefringence. For instance, the 1D  $[\text{NbO}_2\text{F}_4]$  straight chain exhibits the largest  $\Delta\rho^b$  of 0.00308, dominating the large birefringence (0.296) of  $\text{LiNbOF}_4$ . Apart from birefringence, the refractive chromatic dispersion decreases continuously as the dual anion F/O ratio increases (Figure 4(b)), indicating the positive effect

on optimizing chromatic dispersion of dual-anion octahedral chromophores. This can also explain why  $\text{Li}_2\text{NbOF}_5\text{-I}$  with much smaller birefringence can reach the same level of PM wavelength as  $\text{LiNbOF}_4\text{-II}$ . Also,  $\text{Li}_2\text{NbOF}_5\text{-I}$  and II exhibit similar bandgaps, however,  $\text{Li}_2\text{NbOF}_5\text{-I}$  exhibits shorter PM wavelength, the difference mainly stems from the smaller birefringence and larger refractive chromatic dispersion of  $\text{Li}_2\text{NbOF}_5\text{-II}$  (Figure S9). Consequently, by dual-anion strategy, the refractive index dispersion is reduced, and birefringence is enlarged, both of which contribute to the improvement of PM capabilities in  $\text{LiNbOF}_4\text{-II}$  and  $\text{Li}_2\text{NbOF}_5\text{-I}$ .

**SHG ability mechanism:** As the representative  $\text{Li}_2\text{Nb}_2\text{O}_5\text{F}_2\text{-I}$ ,  $\text{LiNbO}_2\text{F}_2\text{-II}$ ,  $\text{LiNbOF}_4\text{-II}$  and  $\text{Li}_2\text{NbOF}_5\text{-I}$  maintain strong SHG response, SHG-density method and band-resolved SHG calculations<sup>80</sup> are employed to explore the potential NLO-active groups. Band-resolved SHG calculations show that the VB's sharp region consists of Nb-p, O-p and F-p, whereas the CB consists of Nb-d, O-p and F-p (Figures 4(c) and S6) for all four compounds. The shape of the SHG density around Nb, O, and F atoms also reveal a clear contribution to the SHG coefficient in both occupied and unoccupied states as shown in Figures 4(d) and S7, indicating that  $[\text{NbO}_5\text{F}]$ ,  $[\text{NbO}_4\text{F}_2]$ ,  $[\text{NbO}_2\text{F}_4]$ ,  $[\text{NbOF}_5]$  unit could be referred as promising NLO-active units with large nonlinear susceptibilities in the UV/DUV region, together with their parallel alignment being thought to produce high nonlinear bulk susceptibilities.

**Dual-anion VS fluorination strategy:** In this work, to widen the band gap, fluorine is chosen as a substitute for oxygen, which makes dual-anion strategy proposed here similar to the previously reported fluorination strategy. It should be noted that commonly used fluorination strategy focuses on the modification of a whole compound, not on a specific anionic group.

Traditional fluorination strategy may increase the band gap but fail to ensure the enlargement of birefringence due to the excessive introduction of fluorine. Our proposed “dual-anion strategy” refers to the introduction of two different anionic ligands in specific groups. We not only focus on the modification of band edges, but also improvement of birefringence by modifying  $d^0$  transition metal octahedral configuration with moderate distortion, such a double boost induces enhanced PM ability. Also, with the distorted octahedron, the strong SHG response is maintained. Further, proposed strategy provides a broad adjustability to other anions (N, P,  $\text{NH}_2$ , etc.), leading to tunable performances, which is desirable for future study.

In summary, a dual-anion strategy is proposed to regulate the  $d^0$  transition metal octahedron and construct new niobium oxyfluoride by evolutionary algorithm. A series of  $\text{Li}_2\text{Nb}_2\text{O}_6\cdot x\text{F}_{2x}(\text{LiF})_y$  ( $x=1, 2, 4$ ;  $y=0, 2$ ) are discovered with strong SHG response ( $2.6\text{--}6.2\times \text{KDP}$ ), wide UV-DUV transparency ( $3.82\text{--}6.26\text{ eV}$ ) and extraordinary birefringence ( $0.100\text{--}0.322$ ), achieving dual enhancement compared with their original template  $\text{LiNbO}_3$ . Notably,  $\text{LiNbOF}_4\text{--II}$  and  $\text{Li}_2\text{NbOF}_5\text{--I}$  is record-breaking in  $d^0$  transition metal oxyfluorides with the shortest PM wavelength of 209 nm. Further analysis reveals that the narrow bandwidth, high electronic anisotropy and optimized chromatic dispersion are reasons for improved PM ability, indicating that  $[\text{NbOF}_5]^{2-}$  can be as a new DUV NLO-active and birefringent-active units. Our dual-anion strategy and structure-property exploration provide a good foundation for the future optimization of UV/DUV phase-matching  $d^0$  transition metal oxyfluorides.

## ASSOCIATED CONTENT

**Supporting Information.** Formation enthalpies and phonon spectrums of predicted compounds; birefringence of predicted compounds compared with other well-known birefringent materials; refractive index dispersion curves and accordingly predicted shortest phase-matching wavelength; relationship between average band gap and dimension; total and partial density of states and band-resolved SHG analysis; SHG density analysis; crystallographic structural data, bond lengths and bond valence sum; computational details. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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All authors have given approval to the final version of the manuscript.

### Notes

The authors declare no competing financial interest.

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