Anticoagulation among patients hospitalized for COVID-19. A Prospective 1 Meta-analysis. 2 3 **Group Information**: The WHO Rapid Evidence Appraisal for COVID-19 Therapies 4 5 (REACT) Working Group 6 7 The WHO Rapid Evidence Appraisal for COVID-19 Therapies [REACT] Working Group 8 authors and collaborators are listed at the end of this article. 9 10 11 **Manuscript Details** 12 Key Findings: 122 13 Abstract: 347 14 Main article: 3729 15 Tables:0 Figures:4 16 References:40 17 18 Appendix: 1 Online Supplements: 7 19 20 21 22 Corresponding Author: 23 Srinivas Murthy, MD, MHSc Faculty of Medicine, University of British Columbia, Vancouver, Canada 24 25 Srinivas.murthy@ubc.ca 604-445-7001 26 27

28 Key Points

29 30 31 32	Question Is administration of (1) therapeutic- vs prophylactic-dose; (2) therapeutic- vs intermediate-dose and (3) intermediate- vs prophylactic-dose anticoagulation associated with mortality within 28 days of randomization, need for invasive mechanical ventilation, thromboembolic disease, or major bleeding in patients hospitalized with COVID-19?
33 34 35 36 37 38	Findings Administration of therapeutic dose heparin reduced mortality, need for invasive mechanical ventilation, and thromboembolic events compared with prophylactic dose heparin in hospitalized patients with COVID-19. However, mortality was not lower for therapeutic- vs intermediate-dose or intermediate- vs prophylactic-dose anticoagulation. For each comparison, higher compared with lower dose anticoagulation was associated with fewer thromboembolic events but a greater risk of major bleeding.
39 40	Meaning There is high certainty evidence that therapeutic dose heparin, compared with prophylactic dose heparin, reduces 28-day mortality in hospitalized patients with COVID-19.
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- 134 **Background** Clinical trials assessing the efficacy of higher-dose anticoagulation in patients
- hospitalized for COVID-19 have variously reported benefit, no effect, and harm.
- 136 **Purpose** Estimate the association of higher- versus lower-dose anticoagulation with clinical
- 137 outcomes
- 138 **Data Sources** Randomized trials were initially identified from searching the World Health
- 139 Organization's International Clinical Trials Registry Platform (WHO ICTRP) and
- 140 ClinicalTrials.gov in August 2020, combining search terms for COVID-19 and anticoagulation
- with no restriction by trial status or language. Searches were updated periodically until
- March 2023 when the final protocol was registered, with a final search in September 2024.
- 143 Study Selection Eligible trials randomly assigned patients hospitalized for COVID-19 to a
- 144 higher- and a lower-dose anticoagulation strategy.
- 145 **Data Extraction** In this prospective meta-analysis, 18 trials met study selection criteria and
- provided data in a prospectively agreed format and 2 further studies were included based on
- published data. Risk of bias was assessed using the Cochrane Risk of Bias 2 tool. Primary
- analyses were inverse variance—weighted fixed-effects meta-analyses of odds ratios (ORs).
- The primary outcome was all-cause mortality 28 days after randomization. Secondary
- outcomes were progression to invasive mechanical ventilation (IMV) or death,
- thromboembolic events, and major bleeding.
- 152 **Data Synthesis** Administration of therapeutic- compared with prophylactic-dose
- anticoagulation with heparins was associated with lower 28-day mortality (OR 0.77, 95% CI
- 0.64-0.93; $l^2=29\%$; 11 trials, 6297 patients, of whom 5456 required low or no oxygen at
- randomization). The ORs for 28-day mortality were 1.21 (95% CI 0.93-1.58; $l^2=0\%$) for
- therapeutic- with intermediate-dose anticoagulation (6 trials, 1803 patients, 1043 receiving
- non-invasive ventilation at randomization) and 0.95 (95% CI 0.76-1.19; I²=0%; 10 trials, 3897
- patients, 2935 receiving no or low oxygen at randomization) for intermediate- versus
- 159 prophylactic-dose anticoagulation. Associations between dose of anticoagulation and
- outcome appeared broadly consistent across pre-defined patient subgroups, although some
- analyses had limited power to detect interactions. For each comparison, higher- compared
- analyses had limited power to detect interactions. For each companson, higher-compared
- with lower-dose anticoagulation was associated with fewer thromboembolic events but a
- 163 greater risk of major bleeding.
- 164 **Conclusions** Therapeutic- compared with prophylactic-dose anticoagulation reduced 28-day
- mortality. By contrast, mortality was similar for intermediate compared with prophylactic-dose
- anticoagulation and higher for therapeutic- compared with intermediate-dose
- anticoagulation, although this comparison was not estimated precisely.
- 168 **Registration** PROSPERO Identifier: CRD42020213461

Introduction

Hospitalized patients with COVID-19 have high rates of thrombosis and systemic inflammation. (1, 2) The role of thromboprophylaxis with anticoagulants, most commonly low-molecular weight heparins (LMWH), unfractionated heparin (UFH), and direct-acting oral anticoagulants (DOACs), has been investigated in randomized trials that variously reported clinical benefit, no benefit, and potential harm with varied doses and drugs for anticoagulation in patients with differing COVID-19 severity. The World Health Organization (WHO) Rapid Evidence Appraisal for COVID-19 Therapies (REACT) Working Group previously reported prospective meta-analyses evaluating corticosteroids, interleukin-6 antagonists and sodium-glucose cotransporter-2 (SGLT2) inhibitors in such patients. (3-5) We used a similar approach to estimate associations of higher- versus lower-dose anticoagulation with mortality by 28 days after randomization, progression to invasive mechanical ventilation or death, thromboembolic events and major bleeding in patients hospitalized for COVID-19. Secondary objectives were to estimate and compare associations within pre-specified subgroups.

Methods

Data Sources and Searches

Trials were identified through a systematic search of the World Health Organization's International Clinical Trials Registry Platform (WHO ICTRP) and ClinicalTrials.gov (25 August 2020). Searches were updated on 01 February 2021, and then periodically until the final version of the protocol was registered (06 March 2023), to ensure all relevant trials were identified. Additionally, research and WHO networks were asked for relevant trials. On the request of journal editors, additional searches were completed in October 2023 and September 2024 (sTable-1 Supplement 1).

Study Selection

Eligible trials randomly assigned hospitalized patients to higher versus lower doses of anticoagulants: queries regarding eligibility were resolved by consensus. The intensity of anticoagulant used was classified as prophylactic, intermediate, or therapeutic dosing, as defined in sTable-2 Supplement 1). All trials secured institutional review board (IRB) approval and obtained informed consent from participants.

In June 2021, principal investigators of potentially eligible trials were invited to participate in the prospective meta-analysis, and to join regular calls to develop the prospective meta-analysis protocol. The final protocol was registered on PROSPERO on 06 March 2023, before the current analyses were conducted (CRD42020213461).

Data Collection

Baseline and outcome data collection forms (Supplement 2) were requested for all eligible trials. Summary data for all outcomes was supplied by intervention group, overall and in pre-specified subgroups. Data were thoroughly checked, and trial investigators were asked to verify the final data prior to inclusion in the meta-analysis. Data on additional trials that did not respond to repeated requests to supply data were extracted from published reports by one reviewer (CLV) and checked by a second (PJG).

Data Synthesis and Analysis

The primary objective was to estimate intention-to-treat effects of: (1) therapeutic vs prophylactic; (2) therapeutic vs intermediate and (3) intermediate vs prophylactic dose (sTable-2 Supplement 1) anticoagulation in hospitalised patients with suspected or confirmed COVID-19. Trials with protocols that allowed a choice of prophylactic or intermediate doses in the comparator group were classified according to the dose received by the majority of patients (sTable-3 Supplement 1).

The primary outcome was all-cause mortality by 28 days after randomization. Secondary outcomes were: i) progression to invasive mechanical ventilation (IMV) or death, for those not requiring mechanical ventilation at the time of randomisation; ii) arterial or venous thromboembolic events; and iii) major bleeding as defined by the International Society of Thrombosis and Hemostasis (all by 28 days after randomization). Severity of disease was defined based on respiratory support at randomization (no oxygen; oxygen flow <15 L/minute; non-invasive ventilation (NIV) including high-flow nasal oxygen (HFNO); invasive mechanical ventilation (IMV), noting that combining the first two groups corresponds to the WHO definition of 'severe' (severely or non-critically ill) and combining the second two corresponds to 'critical' (critically ill) patients. (6) Due to relatively low numbers of patients and/or few events in the 'no oxygen' category for the primary outcome (Therapeutic- vs prophylactic-dose: 1 event/296 patients; Therapeutic- vs intermediate-dose: 1 event /79 patients; Intermediate vs prophylactic dose: 23 events /908 patients), and because data on these two categories could not be separated in all included trials, we have combined them (i.e. no oxygen and oxygen flow <15 L/minute) for analysis. Furthermore, trials that did not provide or report summary results for respiratory support subgroups (7, 8) were categorised according to the level of oxygen support received by the majority of patients. Other patient subgroups were: 1) D-dimer level at randomization (Normal [<2× upper limit of normal (ULN)], Elevated [2-4× ULN], High [>4× ULN]); 2) Receipt of corticosteroids at randomization; 3) Sex; 4) Age (<70 and ≥70 years); 5) Body mass index (BMI; <30 and ≥30 kg/m²) and 6) Epoch of randomization (Before June 2020; July-Dec 2020; Jan 2021 onwards). The primary analyses were inverse-variance weighted fixed-effects meta-analyses of odds ratios (ORs). Inconsistency was quantified using I² statistics and heterogeneity p values using Cochran Q statistics. Precise p values were reported, without adjustment for multiple testing. No threshold for statistical significance was used. Trial-level treatment effect estimates were adjusted for adaptive randomization, where appropriate.

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To obtain illustrative estimated absolute risk differences, risks of outcomes, overall and for patients with varying degrees of disease severity (defined by respiratory support required at randomisation), were assumed from approximate risks among eligible comparison (lower dose) group patients. Meta-analytic ORs were then applied to obtain the corresponding risk with higher-dose anticoagulants. Associations within subgroups were compared by calculating ratios of odds ratios (RORs) and corresponding interaction p-values. Comparisons between subgroups defined by patient characteristics were done by estimating trial-specific RORs comparing associations between subgroups and then combining these in meta-analyses (9, 10). To obtain specific treatment effects for subgroups defined by patient characteristics, we combined treatment effects in each patient subgroup across trials using inverse varianceweighted fixed-effects meta-analysis. In sensitivity analyses, we estimated subgroup effects that were adjusted so that they corresponded with the pooled RORs derived from the within-trial approach (9, 10). Analyses were conducted using Stata 18 (StataCorp. 2023) (11, 12). In sensitivity analyses we: (1) excluded trials in which <90% of patients in the comparator group received the same anticoagulation dose; (2) restricted analyses to trials at low risk of bias; (3) excluded trials at high risk of bias; and (4) restricted analyses to trials published or in press in peer reviewed journals. To examine whether the severity of disease at randomisation (severe or critical as previously defined) modified the effect of anticoagulation dose, and to gain consistent estimates of treatment effect across the three dose comparisons incorporating both direct and indirect data from all included trials, we carried out an exploratory network meta-analysis (NMA) for all outcomes. Full methods and results for the NMA are provided in Supplement 3 (online only).

Quality Assessment

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For each trial, the risk of bias (low risk, some concerns, or high risk) was assessed using the Cochrane Risk of Bias Assessment Tool version 2. (13) The Grading of Recommendations Assessment, Development and Evaluation (GRADE) approach was used to assess the certainty of the evidence.

Results

Initial searches identified 143 potentially eligible trials, with two additional trials identified
through contact with investigators. After removing duplicates and studies deemed ineligible
based on population, intervention or study type, eligibility was assessed for 38 records, of which
four (NCT04505774, NCT04359277, NCT04372589, NCT02735707) were combined into a
multi-platform RCT within two populations defined by disease severity; one in non-critically ill
patients (14) and one in critically ill patients (15). These were included separately. Two trials
(NCT04444700, NCT04362085) were combined under the RAPID collaboration. (16)
Therefore, 35 trial investigators or groups were contacted and invited to participate, of which
one trial was subsequently determined to be ineligible based on the interventions being
assessed, 4 terminated early due to poor recruitment or did not commence recruitment and no
data were provided, 7 did not respond to invitations to participate and one trial was still
recruiting at the time of final data collection (Appendix eFigure-1 and sTable-4, Supplement 1).
Among 22 trials and 11733 patients (98% of all randomised) with data available, data from two
trials were extracted from publications (7, 8). Of 20 trials that supplied data, 17 are published
(14-29; Appendix eTable-1).

Patients were recruited from 21 countries. Nineteen trials evaluated heparins, primarily enoxaparin, tinzaparin or dalteparin, or UFH. Our main findings therefore focus on anticoagulation using heparins. One trial (19) assessed compared therapeutic dose rivaroxaban with prophylactic dose heparins: its results are in supplementary materials (Supplement 4-7, online only).

Most trials compared two anticoagulation doses, but three (8, 22, 23) compared three anticoagulation doses, so that relevant groups can be included in pairwise comparisons in each of the 3 meta-analyses. Trials with two groups in the same dose category were analysed as 2 group trials (19) and STAUNCH-19 unpublished). For two 3-group trials that included a randomisation to therapeutic dose heparin or apixaban (7), (18) we only included results from the comparison of therapeutic versus prophylactic dose heparin.

Therapeutic versus prophylactic dose anticoagulation

Data on patient characteristics and 28-day mortality were supplied for 6297 (99%) patients from 11 trials (Appendix eTable-1 and eTable-2). The range of median ages was 52-70 years and 3708 (57%) patients were male: 644 (15%) and 143 (3%) respectively of 4262 patients with data supplied received non-invasive ventilation and invasive mechanical ventilation at randomization. 275 comparator group patients from 2 trials (mpRCT-non-critically ill (14): 227 and COVID-HEP (24): 48 patients) received intermediate dose anticoagulation.

No trial results were judged as at high risk-of-bias. For 28-day mortality, risk of bias was judged as low in 9 trials (66.5% of the meta-analysis weight, Appendix eTable-3 and sFigure-1, Supplement 1).

Data on 28-day mortality were available for 3189 patients (252 deaths) receiving therapeutic dose and 3108 patients (304 deaths) receiving prophylactic dose anticoagulation (summary OR

(sTable-5, Supplement 1). Supplements 4-7 (online only) present further details and full results.

0.77, 95% CI 0.64-0.93; I²=29%; Figure 1). This corresponds to an absolute mortality risk of

7.2% for the rapeutic- compared with an assumed 10% for prophylactic- dose anticoagulation

The summary odds ratios were 0.77 (95% CI 0.61-0.97; I²=39%) among 5447 patients receiving 311 no or low O2 at randomisation; 0.71 (95% CI 0.49-1.02; I²=0%) among 714 patients receiving 312 NIV or HFNO at randomisation and 1.15 (95% CI 0.51-2.57; I²=38%) among 123 patients 313 314 receiving IMV or ECMO at randomisation (p for interaction 0.57, Figure 2a; Appendix eFigure-315 2a). 316 Based on 10 trials, 711 of 5948 patients progressed to IMV or died by 28 days (summary OR 317 0.80 (95% CI 0.68-0.94; I²=18%; Figure 1). Based on 11 trials, there were 222 thromboembolic events among 6289 patients (summary OR 0.48; 95% CI 0.36-0.64; I²=0%; Figure 1) and 81 318 major bleeding events among 6298 patients (summary OR 1.90, 95% CI 1.19-3.05; I²=0%; 319 320 Figure 1). There was no strong evidence that the effects of anticoagulation differed by levels of 321 respiratory support at randomisation for secondary outcomes (Appendix eFigures-3-8). 322 Estimated absolute risks for secondary outcomes are in sTable-5, Supplement 1. Results of 323 prespecified sensitivity analyses were broadly consistent with those reported above (sTable-6. 324 Supplement 1). Comparisons within the remaining pre-defined patient subgroups are reported 325 in Appendix eTable-4. 326 The GRADE assessment of the certainty of the evidence was high for each outcome except 327 major bleeding, which was rated as moderate, due to imprecision (81 events) and some 328 concerns about potential subjectivity in outcome assessment (sTable-7, Supplement 1). Therapeutic versus intermediate dose anticoagulation 329 330 Data on patient characteristics and 28-day mortality were supplied for 1798 (93.7%) patients 331 from 6 trials (Appendix eTable-1 and eTable-2). The range of median ages was 52-74 years 332 and 1228 (64%) patients were male: 991 (55%) and 363 (20%) respectively were receiving non-333 invasive ventilation and invasive mechanical ventilation at randomization. 334 No trial results were judged as at high risk-of-bias. For 28-day mortality and progression to IMV 335 or death, risk of bias was assessed as low in 2 trials (11% of the meta-analysis weight, with 4

trials judged to have some concerns, mostly due to missing reasons for excluding participants

337 or deviations from intended interventions. All 6 trial results were judged to have some concerns for the thromboembolic events and major bleeding (Appendix eTable-3 and sFigure-1, 338 339 Supplement 1). 340 Data on 28-day mortality were available for 888 patients (204 deaths) receiving therapeutic 341 dose and 915 patients (194 deaths) receiving intermediate dose anticoagulation (summary OR 342 1.21, 95% CI 0.93-1.58; I²=0%; Figure 3). This corresponds to an absolute mortality risk of 27% 343 for therapeutic- compared with an assumed risk of 24% for intermediate-dose anticoagulation 344 (sTable-5, Supplement 1). Supplements 4-7 (online only) include further details and full results. 345 The summary OR among 322 patients receiving no or low oxygen at randomisation was 2.42 $(95\% \text{ CI } 0.81-7.21; \text{ I}^2=13\%); 1.18 (0.86-1.60; \text{ I}^2=0\%)$ among 1063 patients receiving NIV or 346 347 HFNO at randomisation and 1.13 (95% CI 0.69-1.84; I²=0%) for 341 patients receiving IMV or 348 ECMO at randomisation (p-value for interaction 0.98, Figure 2b and Appendix eFigure-2b). 349 Based on 5 trials, 418 of 1242 patients progressed to IMV or death by 28 days (summary OR $1.30 (95\% CI 1.00-1.71; I^2=2\%;$ Figure 3). Based on 6 trials, there were 121 thromboembolic 350 events among 1787 patients (summary OR 0.63; 95% CI 0.43-0.93; I²=0%; Figure 3) and 46 351 352 major bleeding events among 1484 patients from 4 trials (summary OR 1.21; 95% CI 0.66-2.20; 353 l²=0%; Figure 3); two trials recorded no major bleeding events. There was no strong evidence 354 that the effects of anticoagulation differed by levels of respiratory support at randomisation for 355 secondary outcomes (Appendix eFigures 3b-8b). Estimated absolute risks for secondary 356 outcomes are in sTable-5, Supplement 1. Results of the prespecified sensitivity analyses were 357 broadly consistent with those reported above (sTable-6, Supplement 1). Insufficient data were 358 available to assess the between-subgroup differences in associations of therapeutic- versus intermediate-dose anticoagulation between pre-defined patient subgroups. 359 360 The GRADE assessment of the certainty of the evidence was moderate for each outcome, with 361 all judged to have some imprecision as well as potential subjectivity in assessment of major 362 bleeding and thromboembolic events (sTable-7, Supplement 1).

Intermediate versus prophylactic dose anticoagulation 363 364 Data on patient characteristics and 28-day mortality were supplied for 3897 (98.9%) patients 365 from 10 trials (Appendix eTable-1 and eTable-2). The range of median ages across trials was 366 48-65 years and 2449 (63%) patients were male. 496 (13%) and 250 (7%) of 2698 patients 367 from 9 trials with data supplied received non-invasive ventilation and invasive mechanical 368 ventilation respectively. 369 No trial results were judged as at high risk-of-bias. For 28-day mortality, risk of bias was judged 370 as low in 8 trials (84% of the meta-analysis weight in the meta-analysis). One trial was judged to 371 have some concerns for progression to IMV or death. Results for 9 trials (thromboembolic 372 events) and 6 trials (major bleeding) were assessed as having some concerns, mainly because 373 of potential for subjective outcome assessments (Appendix eTable-3 and sFigure-1, 374 Supplement 1). 375 Data on 28-day mortality were available for 1939 patients (205 deaths) and 1958 patients (215 376 deaths) receiving intermediate and prophylactic dose anticoagulation respectively (summary 377 OR 0.95; 95% CI 0.76-1.19; I²=0%, Figure 4). This corresponds to little change in absolute 378 mortality risk for intermediate- compared with an assumed 10% risk for prophylactic-dose 379 anticoagulation (sTable-5, Supplement 1). Supplements 4-7 (online only) include further details and full results. The summary ORs were 1.08 (95% CI 0.77-1.53; I²=0%) among 2928 patients 380 381 receiving no or low oxygen support at randomisation; 0.88 (95% CI 0.57-1.36; I²=0%) among 382 540 patients receiving NIV or HFNO at randomisation and 0.64 (95% CI 0.36-1.15; I²=0%) 383 among 257 patients receiving IMV or ECMO at randomisation (Test for interaction p=0.10 384 Figure 2c; Appendix eFigure-2c). Note that level of respiratory support was unknown for 151 patients from 5 trials.(23, 25-27, 29) 385 386 Based on 8 trials, the summary OR for progression to IMV or death by 28 days was 0.84 (95% CI 0.68-1.05; $I^2 = 38\%$, Figure 4). Based on 10 trials, there were 121 thromboembolic events 387 among 3847 patients (summary OR 0.67; 95% CI 0.45-1.00; I²=37%; Figure 4) and 44 major 388

bleeding events among 3248 patients from 6 trials with data available (summary OR 1.22; 95% CI 0.66-2.25; I²=0%; Figure 4). Three trials recorded no major bleeding events. There was no strong evidence that the effects of anticoagulation differed by levels of respiratory support at randomisation for secondary outcomes (Appendix eFigures-3c-8c). Estimated absolute risks for these secondary outcomes are in sTable-5, Supplement 1. Results of the prespecified sensitivity analyses were broadly consistent with those reported above (sTable-6, Supplement 1). Comparisons within the remaining pre-defined patient subgroups are reported in Appendix eTable-4.

The GRADE assessment of the certainty of the evidence was high for 28-day mortality and progression to IMV or death, and moderate for major bleeding and thromboembolic events, due to some imprecision and potential for subjective outcome assessment (sTable-7, Supplement 1).

Discussion

In this prospectively designed meta-analysis of randomized trials, administration of therapeutic-compared with prophylactic-dose anticoagulation with heparins to patients hospitalized for COVID-19 was associated with 23% lower 28-day mortality. Trials making this comparison were mainly conducted in patients requiring no or low oxygen at randomization. By contrast, mortality was higher for therapeutic- compared with intermediate-dose anticoagulation, although this comparison was not estimated precisely. The risk of 28-day mortality was similar for intermediate- versus prophylactic dose anticoagulation. Associations with progression to invasive mechanical ventilation or death were similar to those for 28-day mortality. For each comparison of higher and lower doses of anticoagulation, the risk of major bleeding was greater, but the risk of thromboembolic events was lower. Associations between dose of anticoagulation and outcome appeared broadly consistent across all pre-defined patient subgroups, although some analyses had limited power to detect interactions.

Reported results of some of the studies included in this meta-analysis have suggested that therapeutic anticoagulation doses are preferable in patients not requiring intensive care unit (ICU) levels of care (14, 16) but not in those requiring ICU care (15, 20), and have informed current guidelines to varying extents (31-34). This includes one of the largest trials, which found that effects of therapeutic versus non-therapeutic anticoagulation on its primary composite outcome (organ support-free days, evaluated on an ordinal scale) differed between severely and critically ill patients (30). These results have informed guidelines to varying extents (31-34). Results of our subgroup analysis on effect of therapeutic vs prophylactic doses of anticoagulation on 28-day all-cause mortality in patients on IMV or ECMO at randomization were inconclusive because of small numbers of patients. However, we did not identify clear differences between patients requiring different levels of respiratory support. It is possible that effects on non-mortality components of organ support-free days, not considered in this study, differ by disease severity. Larger sample sizes are required to identify between-subgroup differences for all-cause mortality than on an ordinal composite outcome. Interpretation of these results is difficult because of the different doses of anticoagulation compared, and because severity of illness at randomization differed for different dose comparisons. In trials comparing therapeutic with prophylactic dose anticoagulation, 5543 (88.2%) of 6286 patients did not require IMV or ECMO at randomization, compared with 386 (21.4%) of 1803 patients in trials comparing therapeutic with intermediate dose anticoagulation. The association of therapeutic versus intermediate dose anticoagulation with higher 28-day mortality could therefore relate either to the different dosing regimens or to the differing distributions of COVID-19 severity between patients recruited to the different dose comparisons. Flexible dosing of comparison groups (sTable-3, Supplemnent 1) meant that in some trials a significant minority of comparison group patients received a different dose to that specified for the comparison. In the mpRCT, 29% of 1050 non-critically ill comparison group patients received intermediate or sub-therapeutic dose anticoagulation (14), while 40% of 567 critically ill comparison group patients (15) received prophylactic dose anticoagulation. The lack of dose-

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441 dependency of the treatment effect is possibly explained both by the varied patients recruited 442 and doses assessed within trials, and by the balance between risks and benefits. Therapeutic 443 dose plausibly has higher benefit on efficacy outcomes, but higher adverse event rates, while 444 intermediate dose has relatively less benefit on efficacy outcomes, but relatively lower adverse 445 event rates. 446 Prospective meta-analyses (PMAs) designed in conjunction with trial investigators before trial 447 results are known can provide comprehensive information based on all available evidence for 448 outcomes of primary interest, overall and in pre-specified subgroups, particularly in the face of a 449 pandemic. (35) They can rapidly provide evidence informing clinical decision-making and 450 identify key knowledge gaps (36). In this example, we were not able to provide rapid evidence 451 largely due to the complexity of analysis, and because some large trials, important to the 452 evidence base, reported independently and were not able to collaborate in this endeavour. 453 Our study has further several limitations. First, only one trial examined a DOAC, so our results 454 apply to anticoagulation with heparins. Second, inconsistencies in reporting severe adverse 455 events meant we only examined major bleeding as the adverse event of primary interest. Data 456 on the relative impact of specific adverse events such as thromboembolic events or 457 haemorrhages were lacking, acknowledging the varied impacts of these events. Third, as we 458 did not achieve collaboration for all trials, some data were extracted from publications (7, 8). 459 This has resulted in some missing outcome data, inability to separate patients receiving 460 different degrees of respiratory support at randomisation, and lack of doses studied for certain 461 populations resulting in reductions in power to detect subgroup effects. Unsuccessful attempts 462 to obtain data directly contributed to a considerable delay to the reporting of this prospective 463 meta-analysis. Fourth, the majority of the data were for therapeutic vs prophylactic dose 464 comparisons, particularly in populations with low or no oxygen requirement at randomization. 465 with comparisons involving intermediate-dosing having fewer numbers randomized. Fifth, while 466 collection of summary data meant that while we could assess the interaction of individual 467 patient characteristics (e.g. D-Dimer levels or respiratory support level) with treatment effects,

we are not able to consider the impact of multiple factors (e.g. D-Dimer levels and respiratory support levels) on treatment effects: this would require collection and analysis of individual participant data. Finally, as with much of the work on COVID19 therapeutics, evolving disease and population factors decrease the generalizability of these trials, largely conducted earlier in the pandemic, to current practice.

Conclusions

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In this prospective meta-analysis of clinical trials examining doses of anticoagulation for hospitalized patients with COVID-19, therapeutic- compared with prophylactic-dose anticoagulation reduced 28-day mortality. By contrast, mortality was higher for therapeutic compared with intermediate dose anticoagulation, although this comparison was not estimated precisely, and similar for intermediate compared with prophylactic dose anticoagulation. For each comparison, higher compared with lower dose anticoagulation was associated with fewer thromboembolic events but a greater risk of major bleeding.

Figures and tables

- Figure 1. Association of therapeutic- versus prophylactic-dose anticoagulation with (a) 28-day
- 483 mortality, (b) progression to invasive mechanical ventilation or death, (c) thromboembolic
- 484 events, and (d) major bleeding.
- Footnote: Trials are listed in order of date of first randomisation.
- Figure 2. Associations of anticoagulation with 28-day mortality, based on inverse-variance
- 487 weighted meta-analyses, according to level of respiratory support at the time of randomisation,
- 488 together with ratios of odds ratios comparing associations across respiratory support
- 489 subgroups.
- 490 Footnote: The p-values for interaction test the null hypothesis that the odds ratios across respiratory support
- subgroups are the same and are based on chi-squared statistics with 2 degrees of freedom. Trials unable to supply
- subgroup data, and those with no events, are not included in the summary counts of trials, events/patients, nor do
- they contribute to the pooled OR estimate. For full details see Appendix eFigure-3.
- 494 **Figure 3**. Association of therapeutic- versus intermediate-dose anticoagulation with (a) 28-day
- 495 mortality. (b) progression to invasive mechanical ventilation or death. (c) thromboembolic
- 496 events, and (d) major bleeding.
- Footnote: Trials are listed in order of date of first randomisation.
- 498 **Figure 4.** Association of intermediate- versus prophylactic-dose anticoagulation with (a) 28-day
- 499 mortality, (b) progression to invasive mechanical ventilation or death, (c) thromboembolic
- 500 events, and (d) major bleeding.
- Footnote: Trials are listed in order of date of first randomisation.

502	Author Contributions:
503	Drs Murthy, Vale, Sterne had full access to all of the data in the study and take responsibility for
504	the integrity of the data and the accuracy of the data analysis.
505	Drs Murthy and Sterne are equal contributors.
506	Concept and design: Murthy, Vale, Godolphin, Fisher, Tritschler, Sterne, Diaz, Marshall
507	Trial design and provision of data: Berg, Berry, Blondon, Bohula, Cattaneo, Coluccio;
508	DeSancho, Farkouh, Girardis, Hochman, Jensen, Jüni, Kirtane, Lawler, Lawler, Le Gal,
509	Lecumberri, Lopes, Lorenzi, Marietta, Miranda, Morici, Morrow, Muñoz-Rivas, Neal, Parikh,
510	Perepu, Sadeghipour, Bikdeli, Sethi, Sholzberg, Spyropoulos, Wu, Zarychanski, Zuily
511	Acquisition, analysis, or interpretation of data: Data collection forms were designed by Vale,
512	Sterne, Murthy, Godolphin, and Fisher. Assessing risk of bias and certainty in the evidence
513	[GRADE]: Higgins, McAleenan, Spiga. All authors contributed to the analysis and interpretation
514	of data.
515	Drafting of the manuscript: Murthy, Vale and Sterne generated the first draft of the
516	manuscript. All authors provided revisions towards the final draft.
517	Critical revision of the manuscript for important intellectual content: All authors.
518	Statistical analysis: Vale, Godolphin, Fisher, Sterne
519	Obtained funding: Sterne, Murthy, Diaz, Marshall.
520	Administrative, technical, or material support: Murthy, Vale, Sterne, Diaz, Marshall.
521	Supervision: Sterne, Murthy, Vale, Godolphin, Higgins, Diaz, Marshall.
522	Conflict of Interest Disclosures:
523	CLV, PJG and DJF are supported by the UKRI Medical Research Council (https://mrc.ukri.org/)
524	Grant number: MC_UU_00004/06.

JACS is supported the NIHR Bristol Biomedical Research Centre at University Hospitals Bristol and Weston NHS Foundation Trust and by Health Data Research UK South West. The views expressed in this article are those of the authors and do not necessarily represent those of the NHS, the NIHR, MRC, or the Department of Health and Social Care. JPTH and FS are supported by the NIHR Bristol Evidence Synthesis Group. JPTH is an NIHR Senior Investigator (NIHR203807) and is also supported by the NIHR Applied Research Collaboration West (ARC West) at University Hospitals Bristol and Weston NHS Foundation Trust. PJ serves as unpaid member of steering group or executive committee of trials funded by Abbott Vascular, Astra Zeneca, Biotronik, Biosensors, St. Jude Medical, Terumo and The Medicines Company, has received research grants to the institution from Appili Therapeutics, Astra Zeneca, Biotronik, Biosensors International, Eli Lilly, The Medicines Company, and honoraria to the institution for participation in advisory boards and/or consulting from Amgen, Ava and Fresenius, but has not received personal payments by any pharmaceutical company or device manufacturer. PJ was a Tier 1 Canada Research Chair in Clinical Epidemiology of Chronic Diseases. This research was completed, in part, with funding from the Canada Research Chairs Programme. BB is supported by the Scott Schoen and Nancy Adams IGNITE Award from the Mary Horrigan Connors Center for Women's Health and Gender Biology at Brigham and Women's Hospital and a Career Development Award from the American Heart Association (#938814). BB reports that he is a consulting expert, on behalf of the plaintiff, for litigation related to two specific brand models of IVC filters. MTD is Panel Member American Society of Hematology Practice guidelines for anticoagulation in COVID-19 and on advisory board of Pharmacosmos. GS has received speaker honoraria from Medtronic, Pulnovo, Infraredx, Abiomed, Amgen, Boehringer Ingelheim; has served as a consultant to Abbott, Daiichi Sankyo, Ablative Solutions,

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Role of the Funder/Sponsor: The World Health Organization [WHO] contributed to the design and conduct of the study by convening the WHO COVID-19 Clinical Management and Characterization Working Group. This group assembled information on ongoing trials and invited trial investigators to participate in this prospective meta-analysis. The WHO chief scientist invited trial investigators to participate and provided a secure portal for submission of

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577 data. Other than the contributions of Dr Diaz as a co-author, the WHO had no role in the 578 preparation, review, or approval of the manuscript. The WHO had no role in the decision to 579 submit the manuscript for publication. 580 581 **Disclaimer:** The views expressed in this article are those of the authors and not necessarily 582 those of the UK National Institute for Health Research or the UK Department of Health and 583 Social Care. 584 **Data Access Statement** 585 Protocol: Registered with PROSPERO (available at: 586 https://www.crd.york.ac.uk/prospero/display record.php?ID=CRD42020213461) 587 Statistical Code: Available on request from the authors 588 Data: Please see individual trials for data sharing policies. All summary results used in this 589 meta-analysis are presented either in the article or are included in the online supplementary

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material.

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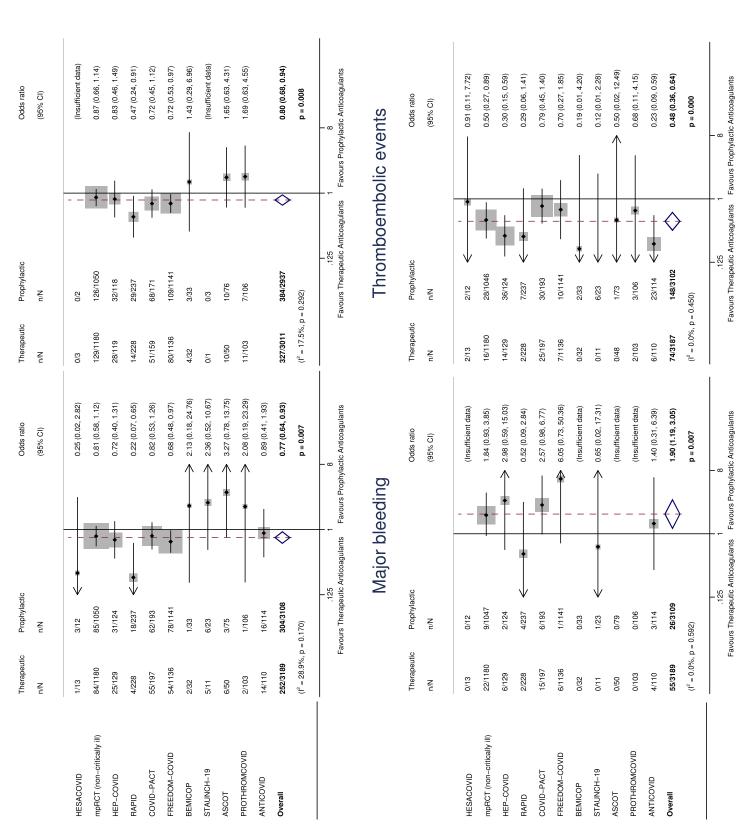
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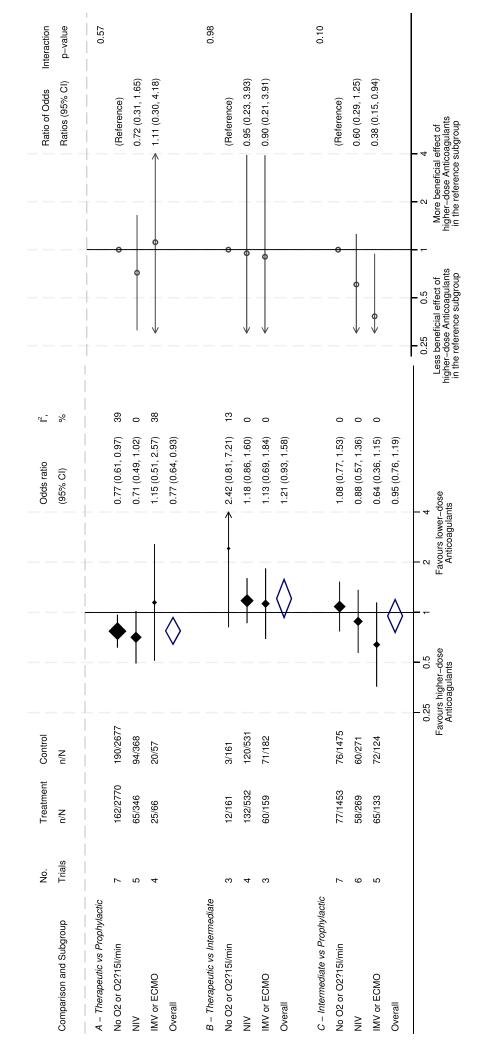
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28-day mortality

ortality Progression to IMV or death



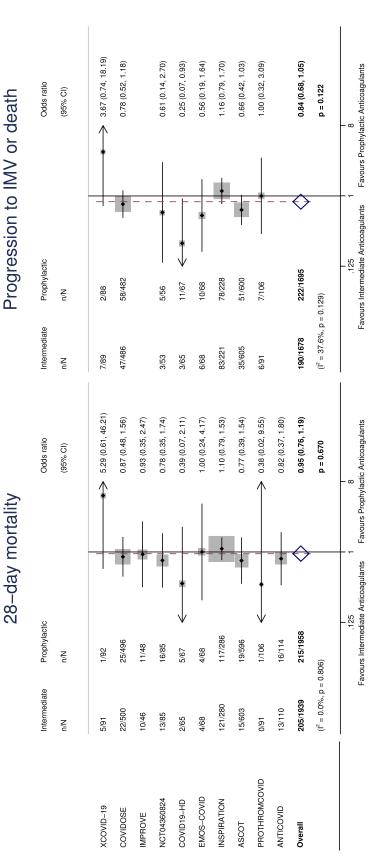


4.45 (0.17, 115.13) 0.43 (0.02, 10.78) 2.45 (0.86, 6.97) 0.11 (0.00, 3.40) 1.14 (0.85, 1.55) 2.38 (0.80, 7.06) 1.69 (0.60, 4.78) 1.30 (1.00, 1.71) 0.61 (0.39, 0.94) 0.50 (0.04, 5.63) 0.88 (0.12, 6.39) 1.00 (0.31, 3.20) 0.63 (0.43, 0.93) 8 Favours Intermediate Anticoagulants 8 Favours Intermediate Anticoagulants Odds ratio Odds ratio (95% CI) p = 0.054(95% CI) p = 0.020Thromboembolic events .125 Favours Therapeutic Anticoagulants .125 Favours Therapeutic Anticoagulants Intermediate Intermediate 74/907 62/29 6/110 178/395 196/623 2/91 2/80 1/63 Ϋ́ 4 6/91 6/71 6/63 $(I^2 = 0.0\%, p = 0.873)$ Ž N 0/3 $(I^2 = 2.1\%, p = 0.395)$ Therapeutic Therapeutic 47/880 38/530 6/110 2/103 186/393 222/619 0/48 1/79 0/10 11/103 Š 12/65 10/20 Š 3/8 2.65 (0.10, 67.88) 8.45 (0.98, 72.72) 4.51 (0.21, 95.13) (Insufficient data) (Insufficient data) 1.17 (0.88, 1.57) 1.01 (0.20, 5.18) 1.09 (0.49, 2.44) 1.21 (0.93, 1.58) 1.46 (0.72, 2.98) 0.50 (0.04, 5.63) 0.33 (0.02, 7.14) 1.00 (0.24, 4.10) 1.21 (0.66, 2.20) 8 Favours Intermediate Anticoagulants .125 8 Anticoagulants Favours Intermediate Anticoagulants p = 0.152 Odds ratio Odds ratio p = 0.538(95% CI) (95% CI) Major bleeding .125 Favours Therapeutic Anticoagulants Intermediate Intermediate 13/562 20/912 4/110 194/915 177/567 0/91 13/110 2/80 9/0 Ϋ́ 1/4 3/80 1/63 0/91 $(l^2 = 0.0\%, p = 0.674)$ Ν̈́ 9/4 $(I^2 = 0.0\%, p = 0.516)$ Therapeutic Therapeutic 26/881 20/529 0/103 4/110 204/888 177/536 14/110 1/79 1/10 0/20 2/103 Ϋ́ 3/79 2/10 9/20 Ϋ́ mpRCT (critically ill) **PROTHROMCOVID PROTHROMCOVID** mpRCT (critically ill) COVID-HEP COVID-HEP ANTICOVID ANTICOVID IMPACT IMPACT ASCOT Overall ASCOT Overall

Progression to IMV or death

28-day mortality

28-day mortality



Thromboembolic events

Major bleeding

