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The Enduring Impact of Shape Following Perfect Aortic Coarctation Repair

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Keywords:	Coarctation of the aorta, statistical shape modelling, computational fluid dynamics, viscous energy loss, long term outcome
Abstract:	<p>Objectives Aortic arch appearances are associated with worse cardiac function and chronic hypertension late after aortic coarctation repair, even without residual obstruction. Statistical shape modeling (SSM) identified specific 3D arch shapes linked to poorer cardiovascular outcomes. We sought a mechanistic explanation.</p> <p>Methods From 53 asymptomatic patients late after aortic coarctation repair with no residual obstruction (age: 22.3 ± 5.6 years; 12-38 years after operation), 8 arch shapes associated with 4 best and 4 worst cardiovascular parameters were obtained from 3D SSM. 4 favorable shapes were affiliated with LV ejection fraction +2 standard deviation (SD) from the mean, and indexed LV end diastolic volume/indexed LV mass/resting systolic blood pressure that were -2SD. 4 unfavorable shapes were defined by the reverse. Computational Fluid Dynamics modeling was carried out to assess differences in pressure gradient across the arch and viscous energy loss (VEL) between favorable and unfavorable aortic arches.</p> <p>Results In all aortic arches, the pressure gradients were clinically insignificant (<8 mmHg). However, in the 4 unfavorable aortic arches, VEL were uniformly higher than those in the favorable shapes (VEL difference: 15-32%). There was increased turbulence and more complex propagation of VEL along the unfavorable aortic arches.</p>

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	<p>Conclusions This study reveals the variable flow dynamics that underpins the association of aortic arch shapes with worse cardiovascular outcomes late after successful aortic coarctation repair. Higher VEL persist in the unfavorable aortic arch shapes. Further understanding of the mechanism of VE results in cardiovascular maladaptation may afford mitigating strategies to monitor and modify this unremitting liability.</p>

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The Enduring Impact of Shape Following Perfect Aortic Coarctation Repair

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For Peer Review

Abstract

Objectives

Aortic arch appearances are associated with worse cardiac function and chronic hypertension late after aortic coarctation repair, even without residual obstruction. Statistical shape modeling (SSM) identified specific 3D arch shapes linked to poorer cardiovascular outcomes. We sought a mechanistic explanation.

Methods

From 53 asymptomatic patients late after aortic coarctation repair with no residual obstruction (age: 22.3 ± 5.6 years; 12-38 years after operation), 8 arch shapes associated with 4 best and 4 worst cardiovascular parameters were obtained from 3D SSM. 4 favorable shapes were affiliated with LV ejection fraction +2 standard deviation (SD) from the mean, and indexed LV end diastolic volume/indexed LV mass/resting systolic blood pressure that were -2SD. 4 unfavorable shapes were defined by the reverse. Computational Fluid Dynamics modeling was carried out to assess differences in pressure gradient across the arch and viscous energy loss (VEL) between favorable and unfavorable aortic arches.

Results

In all aortic arches, the pressure gradients were clinically insignificant (<8 mmHg). However, in the 4 unfavorable aortic arches, VEL were uniformly higher than those in the favorable shapes (VEL difference: 15-32%). There was increased turbulence and more complex propagation of VEL along the unfavorable aortic arches.

Conclusions

This study reveals the variable flow dynamics that underpins the association of aortic arch shapes with worse cardiovascular outcomes late after successful aortic coarctation repair. Higher VEL

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5 47 results in cardiovascular maladaptation may afford mitigating strategies to monitor and modify
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10 49 **(Word Count: 250)**

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13 50 **Keywords**

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15 51 Coarctation of the aorta; statistical shape modelling; computational fluid dynamics; viscous energy
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3 **53 Glossary of Abbreviations**
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5 54 2D = 2-Dimensional
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8 55 3D = 3-Dimensional
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11 56 BP = Blood Pressure
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14 57 CFD = Computational Fluid Dynamics
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17 58 CMR = Cardiovascular Magnetic Resonance
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19 59 CoA = Coarctation of the Aorta
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22 60 iLVEDV = indexed Left Ventricular End Diastolic Volume
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25 61 iLVM = indexed Left Ventricular Mass
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28 62 LVEF = Left Ventricular Ejection Fraction
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31 63 PLS = Partial Least Squares
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33 64 SSM = Statistical Shape Model
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Introduction

Coarctation of the aorta (CoA) is a lesion with well-established surgical and interventional approaches for correction.[1-2] However, despite undergoing a ‘successful’ repair with good early outcomes, many patients with CoA face late complications and morbidities, including medically refractory hypertension, reduced heart function, and exercise capacity.[3-5] While the etiology for these challenges is likely to be multi-factorial, an awareness is emerging that the aortic arch shape, long after initial repair, may be an important mechanistic contributor.[6-9] To overcome the limitation of using 2-dimensional (2D), i.e. height-width ratio or angulation, to describe the 3-dimensional (3D) aortic arch,[10-13] we reported using statistical shape modelling (SSM) to leverage large medical imaging datasets to assess a *population* of aortic arch anatomies in 3D space.[14-15] These studies reveal specific 3D aortic arch shapes associated with worse cardiac function/hypertension late after CoA repair (Figure 1).[16-17]

To further understand *how* 3D aortic arch shape can impact late cardiac outcomes in patients without residual aortic arch obstruction or clinically relevant arch pressure gradients, we adopted novel computational fluid dynamics (CFD) modelling in conjunction with the SSM phenomenological approach. We hypothesise that unique mechanistic metrics, gleaned from CFD, are associated with those aortic arch shape features linked to previously reported unfavorable late cardiac function.[17]

83 **Materials and Methods**

84 **Geometry**

85 The analysis is based on the shape modes generated by the SSM, as previously reported, from 53
86 asymptomatic patients late after isolated *surgical* CoA repair (age = 22.3 ± 5.6 years; 12 to 38
87 years after initial operation) with no clinically important residual obstruction or stenosis.[17] We
88 analyzed routine follow-up CMR imaging data (1.5T Avanto MR scanner, Siemens Medical
89 Solutions, Germany) of 53 asymptomatic patients late following isolated aortic coarctation repair
90 (CoA; mean age 22.3 ± 5.6 years), including scans from 2007 to 2015. The CMRs were obtained
91 12 to 38 years (mean 20.6 ± 5.0 years) following initial CoA repair, and none had hemodynamically
92 significant residual aortic arch obstruction or CoA requiring revision/reintervention as determined
93 by Doppler echocardiographic interrogation. 36 patients had initial repair during the first year of
94 life (68%), 7 patients in second year, and 10 patients more than 5 years after birth (with the oldest
95 age at repair at 10 years). Patients with additional left-sided obstructive lesion (including
96 hypoplastic left heart syndrome) or hypoplastic aortic arch/interrupted aortic arch were excluded,
97 as well as those with aneurysmal dilatation and those with imaging artifacts due to stents or valve
98 prosthesis. Approximately 80% of the cohort had an end-to-end (E-E) CoA repair, while nearly
99 half had a bicuspid aortic valve (Table 1). Ethical approval was obtained for the use of image data
100 for research, and all patients or legal guardians gave informed consent.

101 For each of the 4 cardiac function parameters (LVEF, iLVEDV, iLVM and BP), 2 unique aortic
102 arch shapes are extracted from PLS to match high (+2SD) or low (-2SD) cardiac function values.
103 For example, for LVEF, an aortic arch shape associated with LVEF that is +2 SD from the cohort
104 mean is obtained, along with one associated with LVEF that is -2 SD from the mean. Thus, 4 pairs

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3 105 (or 8 total) of aortic arch shapes were generated, and further post-processed using the Vascular
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5 106 Modelling Toolkit (VMTK) software (Orobix, Bergamo, Italy) to prepare for CFD modelling.[18]
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8 107 Pipe-like flow extensions were added at the transverse aortic arch sections (Figure 2A) to account
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10 108 for the volume of flow through the head and neck vessels. Flow extensions, of length 0.5 and 10
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12 109 times their diameters, were added to the inlet and outlets of each geometry, respectively, to avoid
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14 110 recirculation at the outlets and allow boundary condition imposition at the inlet. An example of
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16 111 the resulting processed shapes is shown in Figure 2.
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19 20 112 **CFD**

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22 113 All 8 geometries were meshed using ANSYS Integrated Computer Engineering Modelling for
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24 114 Computational Fluid Dynamics (ANSYS Inc., Canonsburg, PA) software package and simulations
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26 115 were carried out in ANSYS Fluent v19.0. Post-processing and result analysis were conducted in
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28 116 ParaView v5.9.0 (Kitware, New York). The computational mesh comprised tetrahedral cells in
29
30 117 the lumen of the aorta and thin prismatic cells in the near-wall region. Mesh sensitivity analysis
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32 118 that confirmed independence with ~2 million cells and error of <2% is detailed in the
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34 119 Supplementary Material.
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39 120 A transient parabolic inlet velocity profile was adapted from literature,[19] obtained from phase
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41 121 contrasted CMR for a patient with a healthy aorta. Through linear scaling, the volumetric flow rate
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43 122 plot (Fig. 2) was generated for a peak volumetric flow rate of 400 ml/s, at 0.11s, for CoA patients
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45 123 post-repair.[20] Cardiac cycle was 1s which corresponds to a heart rate of 60 BPM.
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49 124 Like previously studies,[21] outlet boundary conditions were defined as flow, where the total inlet
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51 125 flow is divided 1:1 between head-neck vessels and descending aorta. A $k-\omega$ SST turbulence model
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53 126 with 5% turbulence intensity was used in the simulation.[20] Two cardiac cycles were simulated
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127 to reach stable solution. Blood was modelled as an incompressible, Newtonian fluid with a density
 128 of 1060 kg.m^{-3} and dynamic viscosity of $0.0036 \text{ kg.m}^{-1}.\text{s}^{-1}$.

129 Analysis

130 To characterize flow performance, for each of the 8 models, 2 flow dynamic values, known for
 131 effects on cardiovascular maladaptation and clinical outcomes, were extracted from CFD: 1) **peak**
 132 **pressure gradient (ΔP)** between the ascending and descending aorta (shown as planes *i* and *ii* in
 133 Fig. 2), and 2) **viscous power loss (E'_L)**. [22, 23]

134 The peak pressure gradient at maximal systolic flow rate was calculated through eq 1:

$$135 \quad \text{eq 1.} \quad \Delta P = P_{AAo} - P_{DAo}$$

136 E'_L was calculated as reported previously: [25]

$$137 \quad \text{eq 2.} \quad E'_L = \mu \sum_{m=1}^n \phi_v V_m$$

138 where:

- 139 • $\mu = 0.0036 \text{ kg.m}^{-1}.\text{s}^{-1}$
- 140 • $n = \text{number of cells}$
- 141 • $\phi_v = \frac{1}{2} \sum_i \sum_j \left[\left(\frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right) - \frac{2}{3} (\nabla \cdot v) \delta_{ij} \right]^2$ with $\delta_{ij} = 1$ for $i = j$ and 0 otherwise
 142 (for each cell)
- 143 • $V_m = \text{volume of cell } m$

144 E'_L represents the viscous power loss in the fluid volume at each point in time. The peak and the
 145 average E'_L – calculated as the area under each curve, divided by the period of the cardiac cycle
 146 (supplementary material) – were obtained.

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3 147 For each cardiac function parameter, to compare ΔP and E'_L between the 2 shapes (favorable vs.
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6 148 unfavorable), we also reported the percentage differences of the ΔP or E'_L of the 'low' (-2 SD)
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8 149 shape to the 'high' (+2 SD) shape.
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11 150 Velocity streamlines and E'_L contours at the time of maximum systolic flow rate, were also
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13 151 generated for all 8 shape modes to visually illustrate the spatial arrangement variabilities within
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15 152 the different geometries.
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Results

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155 Table 1 summarizes the peak (systolic) pressure gradients, ΔP , for each geometry. Flows through

156 the ‘unfavorable’ shapes, i.e. those associated with low LVEF, high iLVEDV, high iLVM and

157 high BP, produced higher pressure gradients (in red) than their ‘favorable’ counterparts (in green).

158 This corroborated previous phenomenological findings.[17] ΔP reached values between 3.7 and

159 7.6 mmHg. The highest ΔP highest was seen in the aortic shape linked with high BP (+2SD).

160 Each shape mode’s respective E'_L transient plot is shown in Figure 3. *Highest* (worst) maximum

161 and average E'_L values were seen in aortic shapes associated with low LVEF, high iLVEDV, high

162 iLVM and high BP (Table 2). Percentage differences of the peak values ranged from 9.2% to

163 26.9%, and the average over a cardiac cycle between 15.1% and 32%. Maximum instantaneous

164 E'_L ranging from 66.90 mW to 91.56 mW, while average E'_L ranging between 10.77 mW and 15.24

165 mW.

166 The velocity streamlines in Figure 4 qualitatively show how, given the same input flow conditions,

167 the blood flow distributes differently in response to the specific aortic arch shape. The main

168 differences between favorable and unfavorable shape modes in the E'_L contour plots (Figure 5) can

169 be seen in the descending aorta: the flow, as it travels over the transverse arch, either propagates

170 E'_L from the inner to the outer curvature (seen in the high iLVM case) or courses uniformly round

171 the inner curvature. This is also reflected in the velocity fields, where regions of flow acceleration

172 (turbulence) coincide with higher E'_L . Unfavorable shape modes with E'_L mapped a traversing

173 contour, crossing from the inner to the outer curvature, are observed throughout a cardiac cycle

174 and are associated with worse cardiac functions (low LVEF, high iLVEDV, high iLVM and high

175 BP) (Fig. 3).

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177 **Discussion**

178 In our 2017 study titled “How Successful is Successful?”, we asked two questions: 1) can the 3D
179 shape of an aortic arch, late after CoA repair, be fully and quantitatively accounted for, and 2) is
180 3D aortic arch shape, in it by itself, associated with better/worse cardiovascular outcomes?[17]
181 The novelty of that study was therefore two-folds: introducing statistic shape modelling (SSM) to
182 fully characterize the 3D properties of the aortic arch in a large cohort of patients, and discovering
183 distinct 3D aortic arch shapes that independently correspond with poorer cardiovascular function.
184 While shedding light on the importance of the aortic shape, that study was descriptive in nature
185 and could not afford a mechanistic insight into *how* differences in shape contributed to outcomes,
186 such as worse ventricular performance and hypertension. In a series of studies using 4D CMR to
187 examine flow characteristics in the aortic arch in various congenital heart diseases, Schaeffer’s
188 group observed that flow inefficiencies, characterized by increased viscous energy loss, are
189 associated with both natural and surgically reconstructed aortas, such as those in tetralogy of Fallot
190 and following Norwood-type aortic reconstruction.[13, 22] Nonetheless, these studies did not
191 address aortic arch shape relationships late after CoA repair, nor reported arterial pressures or
192 pressure gradients across an aortic arch. Therefore, we employed well-established CFD methods
193 to uncover the flow dynamic differences, i.e., viscous energy loss and peak pressure gradient, that
194 may underpin the observed relationship between aortic arch shapes and cardiovascular outcomes.
195 Adopting the same cohort of patients who are doing well with no clinically important anatomic
196 arch obstruction late after CoA repair, we identified 2 distinct aortic arch shapes that are associated
197 with +2 and -2 SD values for each cardiovascular parameter. CFD simulations revealed worse
198 viscous energy losses were uniformly present in (unfavorable) aortic arch shapes corresponding
199 with low LV function, more LV dilatation, high LV mass, and worse hypertension. Conversely,

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3 200 ranging from 3.7 and 7.6 mmHg, peak pressure gradients were not hemodynamically nor clinically
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5 201 significant. Moreover, calculated contour mapping suggests that a more complex propagation of
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7 202 viscous energy loss along the aortic arch into the descending aorta is linked with unfavorable
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12 204 Viscous energy loss is not a familiar nomenclature because it is not a clinically measurable
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14 205 quantity. However, in the fields of fluid mechanics and heat/mass transfer, it signifies the
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16 206 undesirable loss of mechanical energy that powers fluid motion due to viscosity. As a viscous
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18 207 fluid, blood motion can produce friction and shear that will partially convert kinetic and potential
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20 208 energies to viscosity-related energy transfers that becomes permanent, unrecoverable losses to the
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22 209 usable mechanical energy of the system. Therefore, the observed viscous energy loss relationship
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24 210 in this study provides a mechanistic link to the previously reported association between aortic arch
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26 211 and worse cardiovascular outcomes, such as systemic hypertension, long after successful CoA
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28 212 repair. This suggests that specific aortic arch shapes that result in increased viscous energy loss
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30 213 may contribute (in part) to late cardiovascular maladaptation, such as lower LVEF or higher iLVM.
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32 214 Unlike the pronounced kinetic energy loss (reflected by large pressure gradients) caused by
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34 215 significant (re-)stenosis or obstruction that typically mandates intervention, shape-related viscous
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36 216 energy loss in patients with successful CoA repair is subtle but can chronically persist in the
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38 217 background despite acceptable echocardiographic interrogation, or even angiographic assessment.
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40 218 Rather, viscous energy loss will not produce discernible, or even clinically measurable, pressure
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42 219 gradients. This is evident by the underwhelming peak pressure gradients obtained from the CFD
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44 220 simulations. While the impact of high-grade aortic arch obstruction on cardiac function and distal
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46 221 organ perfusion is readily diagnosed, how shape-related chronic viscous energy loss, acting under
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3 223 likely that viscous energy loss is a part of a larger set of factors, including inherent biological
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5 224 variances/vascular properties/genetic predispositions, that result in increased cardiovascular risks
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8 225 for patients with repaired CoA.
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10 226 To isolate aortic arch shape as the independent focus of the study, the patient cohort represents
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12 227 those who are clinically well *without* residual anatomic aortic arch obstruction. With peak systolic
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14 228 pressure gradients ranging from 3.7 and 7.6 mmHg in the 8 CFD models, the numerical simulation
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16 229 not only validated our patient selection, but also shed light on the challenges to clinically evaluate
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18 230 and monitor shape-related viscous energy loss. It is worth highlighting that for each aortic arch
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20 231 shape pair, the favorable and unfavorable shapes were separated by 4 standard deviations in
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22 232 clinical outcomes. Despite this wide divide in each of the 4 outcome measures, none of the
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24 233 unfavorable aortic arch shapes produced peak pressure gradients that would have registered
25
26 234 clinical concern or mandated intervention. What is clear then is that pressure gradient as the
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28 235 traditional measure of adequacy of aortic arch reconstruction is unable to capture shape-related
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30 236 viscous energy loss because it does not produce sufficiently high flow resistance. While
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32 237 unsupported by the present study, it is possible that shape-related viscous energy loss continuously
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34 238 exerts a subtle and subclinical, but cumulative, long term cardiovascular burden in patients after
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36 239 CoA repair. Or perhaps, rather than promote resistance and pressures, high viscous energy loss
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38 240 reflects exaggerated shear stress contour and/or disorganized wave propagation, two factors known
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40 241 to affect unfavorable ventricular-arterial coupling. [24, 25] Due to this insidious nature, a more
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42 242 robust and life-long follow up within an established adult congenital heart program with regular
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44 243 echocardiograms may be indicated for all patients after CoA repair.
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52 244 Finally, we are often asked (and rightly criticised for) how the additional insights from this exercise
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54 245 would be useful or actionable clinically, i.e., how would one perform the initial CoA repair to
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246 ensure a favorable aortic arch shape? The answer, we are afraid, is that we do not know. The aortic
247 arch studied here are from a cross-sectional population of patients at a late timepoint from the
248 initial CoA repair. Thus, without the knowledge of what the aortic arch looked like immediately
249 after surgery or at any other interceding timepoints, it is not possible to assess the evolution of the
250 initial aortic arch shape to its late or final appearance. And without such a longitudinal, and
251 patient-specific, understanding of aortic arch transformation with time and growth, it would be
252 purely conjecture, and foolhardy, for us to suggest specific operative technique or surgical
253 modification to the standard CoA repairs. Since the vast majority (>80%) of the cohort had
254 extended end-to-end repair, SSM is unable to reveal specific preoperative patient characteristics,
255 intraoperative techniques or postoperative management that may predict the late appearance of the
256 repaired aortic arch.

257 **Limitations**

258 In this study, the CFD simulations of the 8 aortic arch shape models used the same meshing
259 parameters, uniform boundary conditions, rigid-walled and modeling methods. While patient-
260 specific modeling is currently popular, the application of a uniform boundary condition and
261 simulation parameters in this study is logical to focus on the comparative flow dynamics between
262 different aortic arch shapes. By removing inter-patient variabilities in the CFD simulations, in
263 addition to vastly improving the efficiency of the investigation analysis, differences in both viscous
264 energy loss and peak pressure gradients are singularly attributed to shape variations. It must be
265 acknowledged that since aortic arch shape variations are associated with different cardiovascular
266 functional outcomes, the boundary conditions, such as blood pressure and cardiac output and aortic
267 wall properties, that define each model could not be identical. However, as the scope of study is
268 to uncover mechanistic effects of the aortic arch shape, this study is unable to account for all

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3 269 patient-specific adaptations, including variations in the aortic wall stiffness and compliance. For
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5 270 similar reasons, we did not adopt a multi-scale approach with closed-loop simulation including
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7 271 linkage with a lumped parameter network model. Such an approach would not provide additional
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9 272 information that is gleaned from the granular details in the CFD models.
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13 273 **Conclusion**

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15 274 The present study builds upon our early work on 3D aortic arch shape to advance an insight into
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17 275 the variable flow dynamics that underpins the association of aortic arch shapes with worse
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19 276 cardiovascular outcomes late after successful aortic coarctation repair. Even in the absence of
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21 277 residual obstruction or clinically important pressure gradients, higher viscous energy losses persist
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23 278 in those aortic arch shapes associated with lower left ventricular ejection fraction and higher end-
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25 279 diastolic volume, left ventricular mass, and resting blood pressure. Future work needs to further
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27 280 the understanding of the mechanism by which the insidious dissipation of viscous energy in aortic
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29 281 arch flow results in cardiovascular maladaptation, and whether mitigating strategies can be
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31 282 discovered to modify this unrelenting liability.
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Table 1.

368 Overview of patient characteristics (BSA = body surface area; TAV = tricuspid aortic valve; BAV
 369 = bicuspid aortic valve; fBAV = functionally bicuspid aortic valve; E-E = end-to-end anastomosis;
 370 ExtE-E = extended end-to-end anastomosis; LVEF = left ventricular ejection fraction; iLVEDV =
 371 indexed left ventricular end-diastolic volume; iLVM = indexed left ventricular mass; BP = systolic
 372 resting blood pressure). Lower case *i* indicates parameters indexed to patient BSA.

<i>Variables</i>	<i>Mean±Standard Deviation</i>
Number of Patients	53
Age at time of CMR [Years]	22.3±5.6
Height [cm]	170.5±9.5
BSA [m ²]	1.83±0.21
Aortic Valve Morphology (TAV/BAV/fBAV)	(21/26/6)
Type of Initial Repair (E-E/ExtE-E/Flap/Patch/Balloon)	(42/1/6/3/1)
LVEF [%]	64.1±7.3
iLVEDV [ml/m ²]	78.5±14.6
iLVM [g/m ²]	64.1±14.7
BP [mmHg]	130.0±17.1

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Table 2.

377 Maximum pressure gradient (ΔP) between the ascending and descending aorta, and maximum and
 378 average viscous energy loss (E'_L), derived from CFD. Green denotes values associated with
 379 favorable clinical parameters, red unfavorable. Percentage difference, relative to the unfavorable
 380 shape mode is shown. In each geometry the CFD and SSM rankings agree. %Diff = Percentage
 381 Difference.

SSM		ΔP at Peak Systolic Flow [mmHg]	Peak E'_L [mW]	Ave E'_L [mW]
LVEF	Low	6.5	86.8	14.9
	High	5.0	72.8	11.3
iLVEDV	Low	3.7	74.9	11.5
	High	6.8	82.5	13.9
iLVM	Low	4.7	68.0	10.9
	High	4.9	91.0	15.4
BP	Low	4.2	67.0	11.6
	High	7.6	91.6	13.6

382

383 **Figure Legends**

384 Figure 1: Arch geometries of a cohort of 53 patients following CoA repair and the mean template.
385 Bottom panel represents the 8 extracted 3D shapes corresponding to high (+2SD) and low (-2SD)
386 values of the 4 clinical outcomes. LVEF = Left Ventricular Ejection Fraction, iLVEDV = indexed
387 Left Ventricular End Diastolic Volume, iLVM = indexed Left Ventricular Mass and BP = Systemic
388 Blood Pressure.

389 Figure 2: An example of geometry process showing shape modes contain information of the arch
390 wall as well as the location and size of the head and neck vessels labelled with 'A'. The processed
391 arch geometry showing the flow extension addition and the imposed inlet volumetric flow rate
392 profile for CFD simulations. The planes used to calculate the pressure gradient are visualised and
393 labelled as *i* and *ii*.

394 Figure 3: Plots of the viscous power loss in the aorta over a single cardiac cycle for both the 'low'
395 and 'high' shape modes of each case, marked respectively by a blue-solid or orange-dashed line.
396 Higher energy loss values are considered unfavorable. Low LVEF, high iLVM, high BP and high
397 iLVEDV all showed higher peak and average energy losses.

398 Figure 4: Velocity streamlines at maximum inlet flow rate for each geometry showing the
399 influence of the geometry on the flow field distribution in the different volumes.

400 Figure 5: Contour plots at the time of maximum viscous power loss (E'_L) illustrating the interaction
401 between arch shape and blood flow impacts how and where the E'_L field establishes. In aortas
402 associated with good cardiac function, E'_L establishes more regularly on the inner curvature of the
403 descending aorta instead of propagating losses towards the centre of the lumen.

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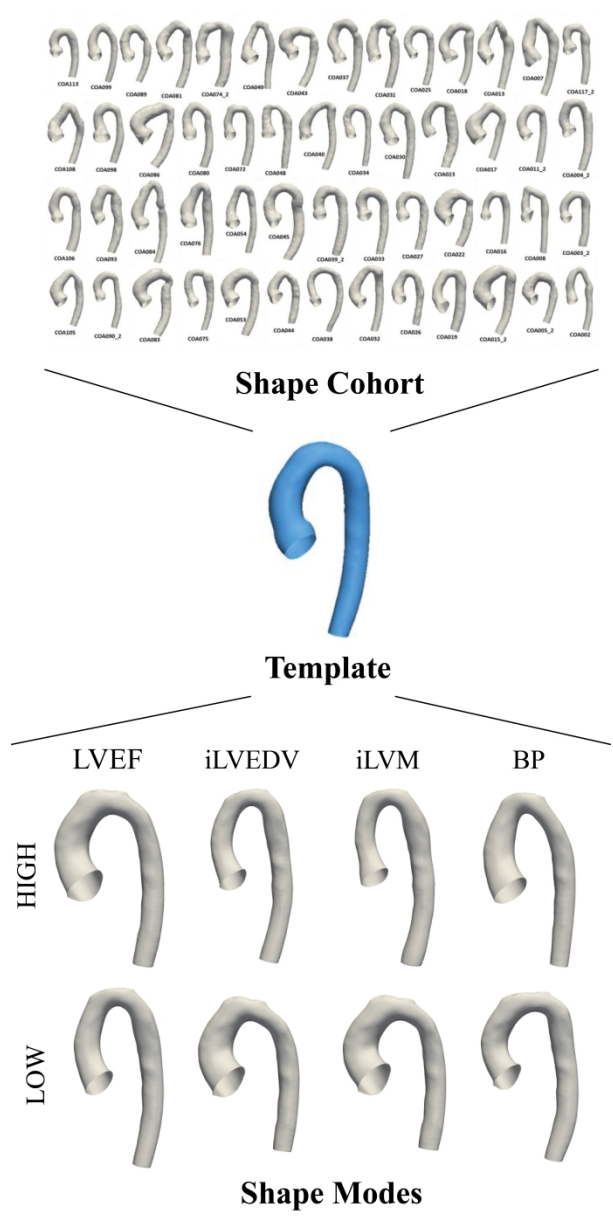


Figure 1: Arch geometries of a cohort of 53 patients following CoA repair and the mean template. Bottom panel represents the 8 extracted 3D shapes corresponding to high (+2SD) and low (-2SD) values of the 4 clinical outcomes. LVEF = Left Ventricular Ejection Fraction, iLVEDV = indexed Left Ventricular End Diastolic Volume, iLVM = indexed Left Ventricular Mass and BP = Systemic Blood Pressure.

79x158mm (1200 x 1200 DPI)

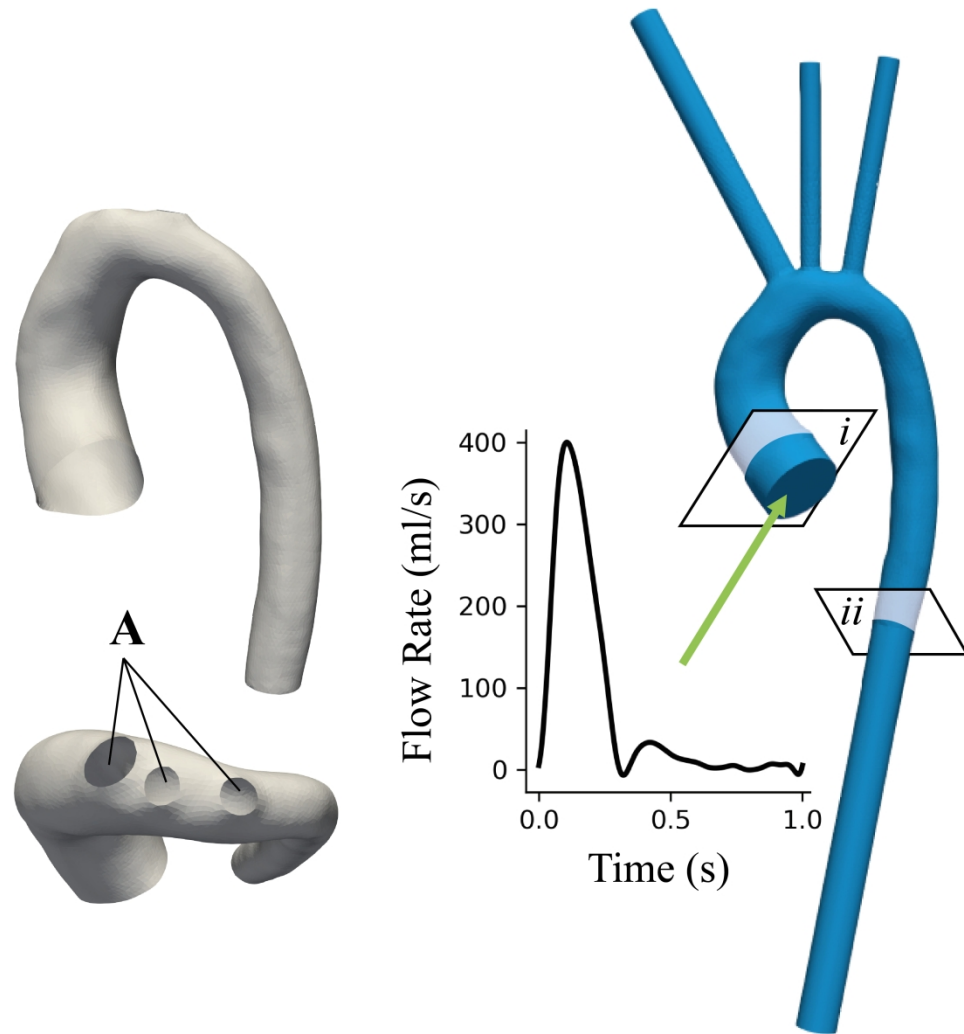


Figure 2: An example of geometry process showing shape modes contain information of the arch wall as well as the location and size of the head and neck vessels labelled with 'A'. The processed arch geometry showing the flow extension addition and the imposed inlet volumetric flow rate profile for CFD simulations. The planes used to calculate the pressure gradient are visualised and labelled as i and ii.

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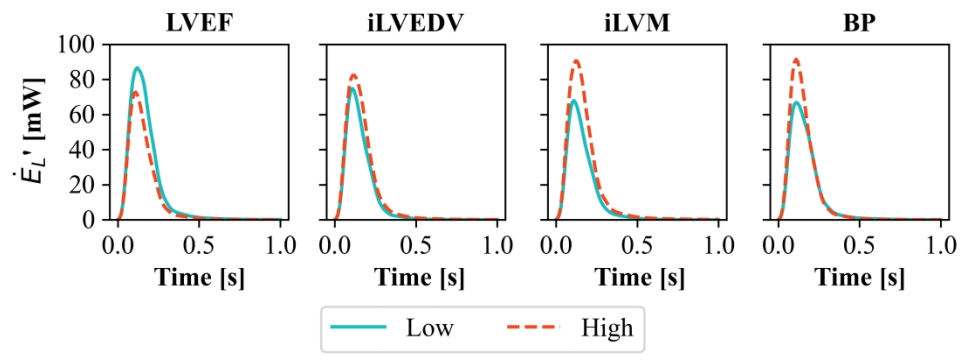


Figure 3: Plots of the viscous power loss in the aorta over a single cardiac cycle for both the 'low' and 'high' shape modes of each case, marked respectively by a blue-solid or orange-dashed line. Higher energy loss values are considered unfavorable. Low LVEF, high iLVM, high BP and high iLVEDV all showed higher peak and average energy losses.

406x152mm (236 x 236 DPI)

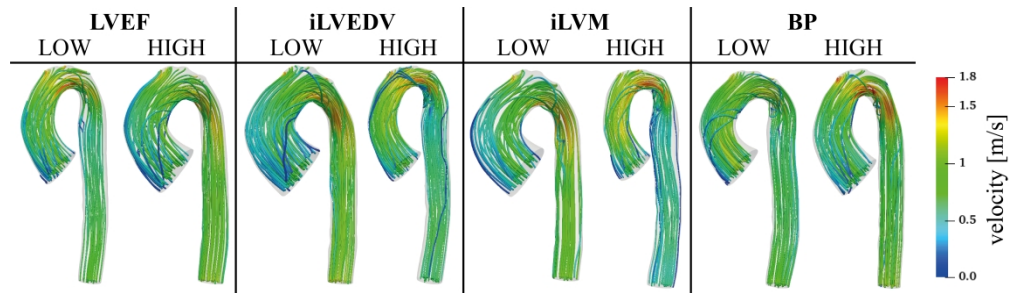


Figure 4: Velocity streamlines at maximum inlet flow rate for each geometry showing the influence of the geometry on the flow field distribution in the different volumes.

458x131mm (472 x 472 DPI)

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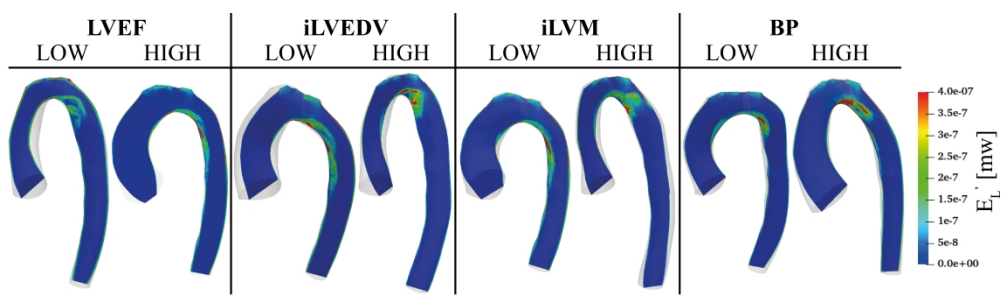


Figure 5: Contour plots at the time of maximum viscous power loss (E_L) illustrating the interaction between arch shape and blood flow impacts how and where the E_L field establishes. In aortas associated with good cardiac function, E_L establishes more regularly on the inner curvature of the descending aorta instead of propagating losses towards the centre of the lumen.

464x131mm (472 x 472 DPI)

Supplementary Material

S.1. Mesh Independence Study

Meshing

A mesh independence study was conducted on the iLVM High geometry shown in Supplemental Figure 1 A due to the challenging haemodynamic environment – as compared to other geometries – created by its narrow ascending aorta, short transverse arch, and the dilation in the descending aorta. As a result, the mesh settings which achieved mesh independence for this geometry would suffice, if not be conservative, for the rest of the geometries. To this end, six meshes comprising 120k to 6.2M cells were generated where each consecutively refined mesh approximately doubled the number of cells.

The commercial ANSYS (v20.0) ICEM CFD mesh generator was used. The domain was discretised using a combination of tetrahedral and prism type cells. The cell size of the boundary layer (BL) prisms was based on the global maximum cell size defining each level of refinement and did not utilise any local, curvature or proximity-based refinement strategies. The parameters defining the layers of this region (highlighted in Supplemental Figure 1 A) was chosen, through iterations, so that the maximum y^+ value at the wall was approximately 1. The required parameters were:

Boundary Layer Height: 1mm

Number of Layers: 10

Growth Ratio: 1.2

22 Mesh Independence Metrics

23 Mesh independence was defined as a change of <2% in the metric measured between the mesh
24 being considered (m_x) and the finest mesh (m_{ref}) which was considered as the reference. The
25 metrics used were:

- 26 1. The average difference between centreline pressures on m_x and m_{ref} compared to the
27 maximum value of pressure on the m_{ref} centreline (eq. 1). Pressures along the
28 centreline were calculated as the area-weighted average across 54 cross-sections of the
29 aorta spanning the region between planes i and ii in Supplemental Figure 1 (B). The
30 calculation did not include any of the flow extensions since these would not be
31 considered in the analysis. The resulting planes are shown in Figure 1 C.

$$32 \quad \text{eq 1.} \quad \%P_{CL} = 100 \times \left| \frac{\frac{1}{54} \sum_{i=1}^{54} (P_x^i - P_{ref}^i)}{P_{ref}^{max}} \right|$$

- 33 2. The percentage change in volumetric flow rate at plane ii seen in Figure 1 (B) relative
34 to that of m_{ref} , as calculated in eq 2.

$$35 \quad \text{eq 2.} \quad \%Q_{DAo} = 100 \times \left| \frac{Q_x^{DAo} - Q_{ref}^{DAo}}{Q_{ref}^{DAo}} \right|$$

- 36 3. The percentage change in volume averaged velocity magnitude across the entire fluid
37 domain, including flow extensions, relative to that of m_{ref} , as calculated through eq.
38 3.

$$39 \quad \text{eq 3.} \quad \% \bar{v} = 100 \times \left| \frac{\bar{v}_x - \bar{v}_{ref}}{\bar{v}_{ref}} \right|$$

41 CFD Modelling Method for Mesh Independence:

42 Peak systolic flow conditions were modelled using a steady-state solver. Fluid modelling
43 parameters and boundary conditions are listed below:

44	Inlet Velocity Boundary Condition:	Parabolic velocity profile with $v_{max} =$
45		1.08996 m/s to correspond to a volumetric flow rate of
46		400 ml/s
47	Outlet Boundary Condition:	Zero-pressure
48	Turbulence Model:	k ω -SST with 5% turbulence intensity at the inlet
49	Blood Density:	1050.0 kg/m ³
50	Blood Viscosity:	0.0036 Pa·s with a Newtonian Fluid Assumption
51	Solver Algorithm:	SIMPLEC
52	Discretisation Schemes:	Second Order for Momentum and Pressure; First Order
53		for Turbulent Kinetic Energy and Specific Dissipation
54		Rate
55	Convergence Criteria:	1e-4 for residuals of volume average velocity and
56		pressure and 1e-3 for velocity vector components.

57 **Results:**

58 The area-weighted average pressure on 54 cross-sectional slices along the centreline spanning
59 the region of interest, shown in Supplemental Figure 1 C, is plotted for each mesh in
60 Supplemental Figure 2. The average difference along the entire centreline, compared to the
61 maximum pressure value on m_{ref} mesh was calculated and found to be <2% at the fourth level
62 of refinement where the cell count was approximately 1.9M cells. This is seen by it being lower
63 than the threshold – indicated as a dashed grey line – in Supplemental Figure 3.

64 The analysis of the additional metrics of volume averaged velocity and descending aorta (DAo)
65 volumetric flow rate both showed convergence at the second and third level of refinement,
66 respectively, as seen in Supplemental Figure 3.

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3 67 Considering all three metrics together, the numerical results were found to be adequately
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5 68 independent at the fourth level of refinement where the cell count reached ~1.9M cells. The
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8 69 meshing parameters of this mesh were then used to generate meshes of the remaining
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10 70 geometries.

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13 71 The final meshing parameters used were:

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16 72 **Global Maximum Cell Size:** 1.16 mm

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19 73 **Cell Types:** Tetrahedral for lumen region; triangular prisms in BLs adjacent
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21 74 to the wall

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24 75 **Local Refinement Strategy:** Uniform Meshing

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27 76 **Boundary Layer Height:** 1 mm

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33 78 **Growth Ratio:** 1.2

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80 S.2. Boundary Layer E'_L Study

81 Background

82 Earlier studies by Schafer et al. and Barker et al. calculated viscous power loss (E'_L) from phase-
 83 contrast cardiac MRI flow maps of patients with tetralogy of Fallot and aortic valve
 84 stenosis.[1,2] The study by Barker et al. specifically excluded E'_L in the near wall voxels so
 85 that internal flow features, which were of primary interest, were characterised.[2] The resulting
 86 values of E'_L from these pieces of literature, which included healthy and diseased patients,
 87 reached ~30 mW, in individual cases, although the cohort average in Schafer et al. was found
 88 to be lower at about 4 mW.[1] By ignoring the E'_L in the near wall region, where the viscous
 89 sublayer of flow exists, the method in the above pieces of literature likely underestimates E'_L .
 90 However, it cannot be said to what extent pathological E'_L differs from a healthy patient, due to
 91 the current lack of a definitive baseline for healthy E'_L .

92 The calculation of E'_L , using eq 4, relies solely on the velocity gradients within the flow field
 93 which are expected to be high near the wall due to the zero slip flow condition applied at the
 94 wall.[3] Furthermore, the resolution to which these gradients are resolved is critical and it may
 95 be that the coarse resolution of MRI underestimates the E'_L in the domain. This highlights a
 96 benefit of CFD analysis which may complement the MRI studies through its arbitrarily high
 97 resolution to capture full E'_L .

$$98 \quad \text{eq 4.} \quad E'_L = \mu \sum_{m=1}^n \phi_v V_m$$

99 where:

- 100 • $n = \text{number of voxels or cells}$
- 101 • $\phi_v = \frac{1}{2} \sum_i \sum_j \left[\left(\frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right) - \frac{2}{3} (\nabla \cdot v) \delta_{ij} \right]^2$ (for each voxel)
- 102 • $\delta_{ij} = 1$ for $i = j$ and 0 otherwise

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3 103 In this study the contribution of the boundary layer (BL) E'_L became evident when the CFD
4
5 104 derived E'_L values were significantly higher than the range expected from Schafer et al. ¹ and
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8 105 Barker et al. ². In our study the peak E'_L values reached as high as 90 mW. Upon further
9
10 106 inspection, the flow domain showed E'_L to be dominant in the BL region. To quantify this, E'_L
11
12 107 was summed over the domain, first including the BL cells and then excluding them and were
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15 108 plotted over the cardiac cycle. The percentage contribution by E'_L in the BL cells was then
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17 109 calculated and reported in Supplemental Table 1.

110 **Results and Discussion**

111 Supplemental Figure 4 qualitatively presents E'_L at various cross-sections of the domain and
112 highlights a predominance of E'_L in the BL. The contribution is significant and can be seen in
113 Supplemental Figure 5 by the difference between the solid and dashed lines which represent
114 the calculations with BLs (W/BL) and without (WO/BL), respectively. The peak of the E'_L ,
115 which excluded the BL region, reached values of order of magnitude of 10 mW which aligns
116 closer to the results of Schafer et al. and Barker et al.[1,2] The quantitative analysis presented
117 in Supplemental Table 1 found that, in general, circa 90% of E'_L was attributed to the near-wall
118 region of flow both at peak power loss and on average over the cycle. Once excluded, the values
119 in the domain reduced to what was more in line with results reported by previous literature.

120 Although there is this significant reduction in E'_L when excluding the BL region, the overall
121 ranking of the geometries does not change, as compared to the results reported in the main
122 manuscript. This emphasises the comparative nature of this work and affirms the results of the
123 main study.

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3 125 **Supplemental References**
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For Peer Review

132 Table 1: Tabulated calculations of E'_L in the boundary layers alone and a comparison of the
 133 proportion of total E'_L in the domain. It was found that the E'_L in the boundary layers generally
 134 comprised ~90% of the total E'_L in the domain both at the peak E'_L and on average.

MAX EL DIFFERENCE				
SSM		Total E'_L (Maximum) [mW]	BL Contribution [mW]	%Diff
EF	Low	86.8	78.6	90.6
	High	72.8	67.7	93.0
iLVM	Low	68.0	62.8	92.4
	High	90.6	81.9	90.3
BP	Low	66.9	60.7	90.8
	High	91.6	83.8	91.5
iLVEDV	Low	74.9	69.5	92.8
	High	82.5	74.8	90.8
AVERAGE EL DIFFERENCE				
SSM		Total E'_L (Average) [mW]	BL Contribution [mW]	%Diff
EF	Low	14.9	13.3	89.3
	High	11.3	10.2	90.2
iLVM	Low	10.9	9.7	89.3
	High	15.4	13.6	88.6
BP	Low	11.6	10.2	88.5
	High	13.6	12.2	89.2
iLVEDV	Low	11.5	10.4	89.9
	High	13.9	12.4	88.8

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Supplemental Figure Legends

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2 Supplemental Figure 1: A: Visualisation of the geometry after flow extensions along with a view
3 of an example of the boundary layers and mesh. B: planes i and ii show the limits of the geometry
4 before flow extensions were added. The vessel centreline between these planes was generated and
5 54 cross-sectional planes were generated along the centreline between these planes. C: The cross-
6 sectional slices generated to determine mesh independence.

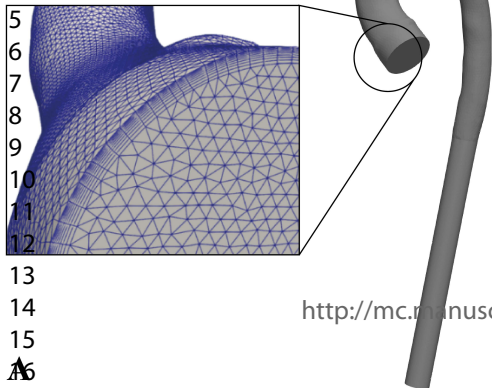
7 Supplemental Figure 2: Area-weighted average static pressure at 54 cross-sections, along the
8 centreline of the aortic arch. The coarsest mesh shows the largest differences with the finest mesh
9 and as the mesh refinement increases the pressure results converged to the same result.

10 Supplemental Figure 3: Mesh independence was measured on three metrics namely: the flow rate
11 through the descending aorta, the volume averaged velocity and the pressure measured along the
12 centreline of the aorta. The metrics were measured relative to the finest mesh and when the
13 difference fell below 2%, the domain was considered sufficiently discretised. This was found at
14 the fourth level of refinement.

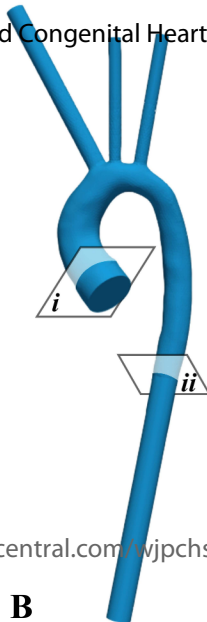
15 Supplemental Figure 4: Peak E_L field highlighting the predominance of E_L in the boundary
16 layer region adjacent to the wall.

17 Supplemental Figure 5: Plots showing the cumulative viscous energy loss in the aortic arch, over
18 the cardiac cycle, in the different cell zones of each geometry. Solid lines indicate the E_L in
19 both the tetrahedral and prism boundary layers (W/BL = with boundary layer) whereas the dotted
20 lines show the E_L in just the tetrahedral cells (WO/BL = without boundary layer). The
21 difference between the lines indicates the E_L in the boundary layer cells alone.

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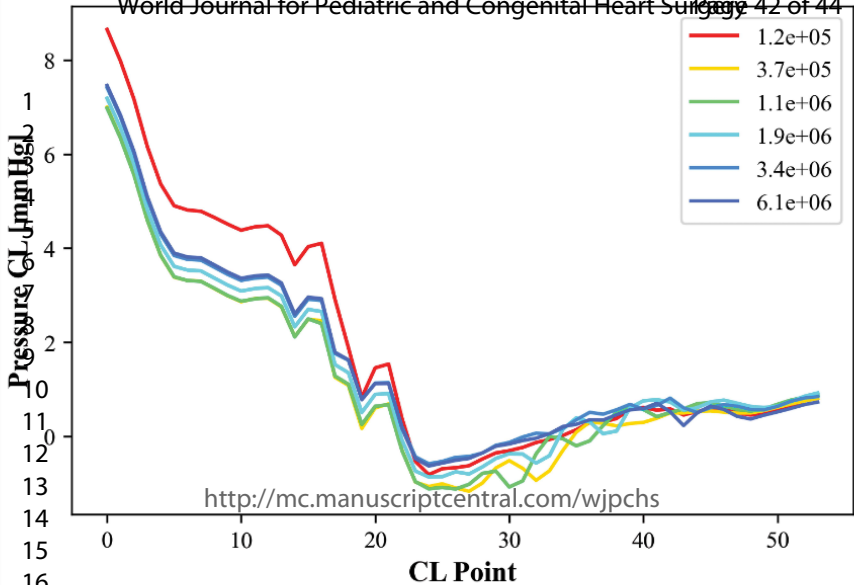


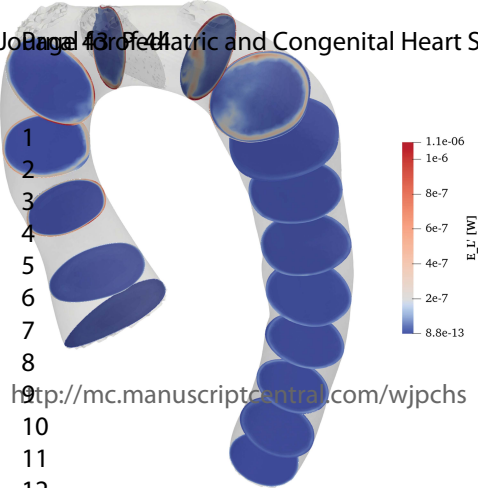
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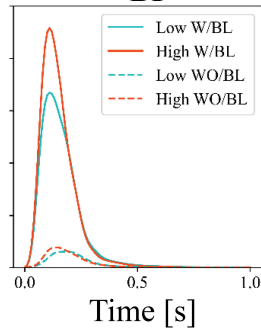
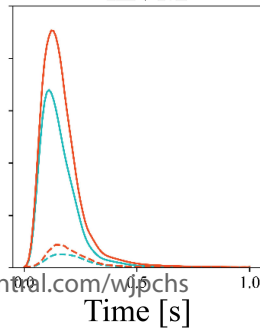
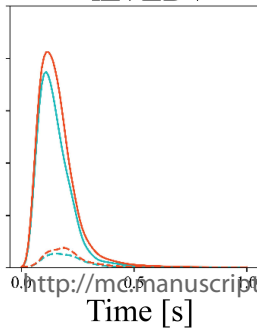
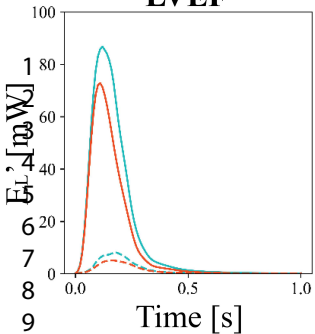


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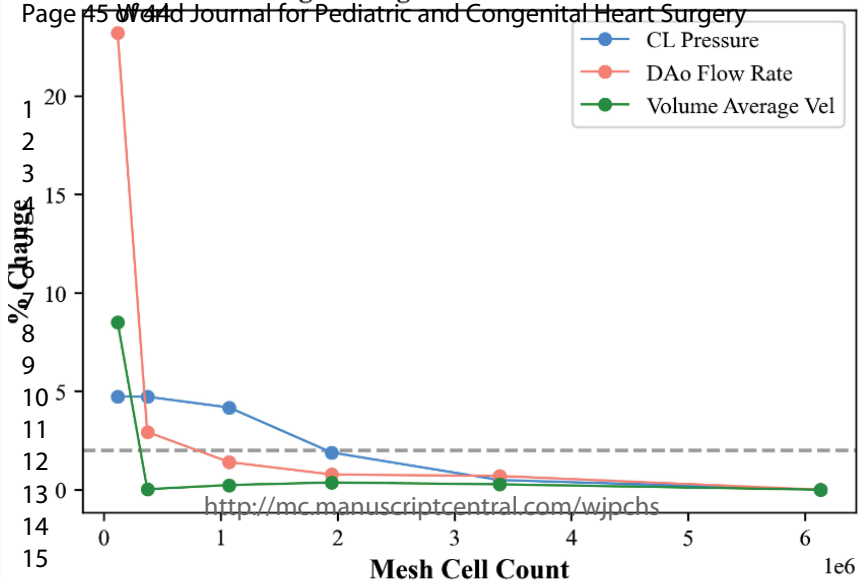
Average Pressure Along Aorta Centreline





LVEF**iLVEDV****iLVM****BP**

Percentage Changes For Different Cell Counts



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