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Changes in the term neonatal electroencephalogram with general anesthesia –a systematic review with narrative synthesis

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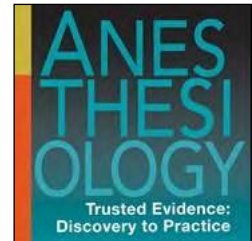
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**Changes in the term neonatal electroencephalogram with general anesthesia – a systematic review with narrative synthesis**

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## **Registry**

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Electroencephalography may provide some insight into the effects of general anesthesia in the human neonatal brain. Although evidence is scant, both increasing sevoflurane concentration and decreasing temperature are associated with increasing discontinuity. (32 words)

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SJC is the founder and CEO of pre-revenue medical device company developing a novel paediatric EEG sensor, for which they are the inventor.

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## Abstract

While effects of general anesthesia on neuronal activity in the human neonatal brain are incompletely understood, electroencephalography (EEG) provides some insight and may identify age-dependent differences. A systematic search (MEDLINE, Embase, PUBMED, Cochrane Library to November 2023) retrieved English language publications reporting EEG during general anesthesia for cardiac or non-cardiac surgery in term neonates (37 to 44 weeks post-menstrual age). Data were extracted and risk of bias (ROBINS-I Cochrane tool) and quality of evidence (GRADE checklist) assessed. From 1155 abstracts, nine publications (157 neonates; 55.7% male) fulfilled eligibility criteria. Data were limited and study quality was very low. The occurrence of discontinuity, a characteristic pattern of alternating higher and lower amplitude EEG segments, was reported with general anesthesia (94 of 119 neonates, six publications) and with hypothermia (23 of 23 neonates, two publications). Decreased power in the delta (0.5-4Hz) frequency range was also reported with increasing anesthetic dose (39 neonates; three publications). While evidence gaps were identified, both increasing sevoflurane concentration and decreasing temperature are associated with increasing discontinuity.

## Introduction

Surface electroencephalography (EEG) non-invasively measures cortical brain electrical activity by the spatial summation of synchronous post-synaptic potentials from millions of aligned cortical neurons<sup>1-3</sup>. Components of the EEG can be used as biomarkers of brain activity or state, including amplitude, frequency, and pathological features. Regional and global changes in brain activity can be identified by placing multiple electrodes across the scalp. An EEG output can consist of an unprocessed (raw) form consisting of voltage changes over time, or a processed form that uses computer algorithms to generate an output from the raw EEG. Processed EEG monitors have been developed (e.g. Bispectral Index (BIS; Aspect Medical Systems, USA), Narcotrend (MonitorTechnik, Germany), SEDline (Masimo, USA), and amplitude-integrated EEG (aEEG)) to generate outputs that correspond moderately to anesthetic dose and unconsciousness<sup>3-6</sup>. However, direct correlation between anesthesia-induced changes in EEG and the clinical effects of anesthesia measured with minimum alveolar concentration (MAC) is yet to be shown. Processed outputs include spectrograms (e.g. SEDline)<sup>4,7</sup>, unitless integers (e.g. BIS is 0 – 100)<sup>8</sup>, and categorical read-outs (e.g. aEEG)<sup>9</sup>. Automated EEG decision-support tools are also becoming available (e.g. seizure surveillance)<sup>10-13</sup>.

Brain monitoring with EEG in anesthetized adults has been used to understand dose titration, perioperative outcomes, and the neurophysiologic basis of anesthesia<sup>4,7,14-16</sup>. In adults, typical EEG changes with inhalational anesthetics and propofol include global increases in amplitude with gradual slowing of oscillations during anesthesia induction, followed by frontal alpha (8 to 12 Hz) predominance during anesthesia maintenance. With further increasing dose, burst suppression – a profound form of discontinuity – develops<sup>17-20</sup>. Burst suppression is more likely in neurologically vulnerable adults such as those requiring surgery for epilepsy treatment<sup>21</sup>, those with neurodevelopmental disorders<sup>22</sup>, and the aging<sup>23</sup>.

EEG changes during general anesthesia have been reported throughout childhood<sup>8,24</sup>.

Conclusions about specific age-related changes, particularly for neonates, are limited by broad age ranges reported<sup>25-28</sup>. With general anesthesia, alpha oscillations emerge around 3-months of age and become increasingly concentrated in the frontal cortex by seven months of age<sup>29,30</sup>. Total frontal EEG power increases with age and anesthetic depth between four months and six-to-eight years of age, thereafter, decreasing with increasing age<sup>31-33</sup>. Other reproducible changes seen in EEG with general anesthesia (e.g. alpha oscillation coherence) are not seen under one year of age<sup>31-33</sup>. Development of a discontinuous trace with general anesthesia is more likely at younger ages, especially aged under one year<sup>24,34-36</sup>.

Characterization of neonatal EEG with general anesthesia may improve our understanding of the effect of anesthesia on the developing brain. Neonatal surgeries are often gastrointestinal (61.8%) or cardiac (8.4%), and urgent or emergency cases (48%)<sup>37,38</sup>. Newborns are all at least ASA status III. When they are born prematurely (37%), they are more likely to require surgery and require intensive preoperative support (48.1%). Consequently, studying neonatal EEG during general anesthesia is logistically challenging, which results in small sample sizes or grouping with older children. This systematic review aims to summarize current literature reporting patterns of EEG during general anesthesia in term neonates aged 37-44 weeks post-menstrual age.

## **Materials and Methods**

### **Search Strategy and Information Sources**

This review was registered at the PROSPERO international register of systematic reviews, registration number CRD42021290387, by Sebastian J Corlette on 10 December 2021 (available from [https://www.crd.york.ac.uk/prospero/display\\_record.php?ID=CRD42021290387](https://www.crd.york.ac.uk/prospero/display_record.php?ID=CRD42021290387)). We searched MEDLINE, Embase, PUBMED and the Cochrane Library on 22 February 2022, and



repeated on 17 November 2023 to capture any recent publications, using a pre-defined search strategy (see search terms, Supplemental Digital Content 1, <https://links.lww.com/ALN/D582>). The additional search on 17 November 2023 identified no additional eligible publications. We also searched PROSPERO for existing systematic reviews and published protocols, and online trials registries for ongoing clinical trials or unpublished studies.

### **Data Extraction**

Two reviewers (SC, and CB or SW) independently screened titles and abstracts. No disagreements or uncertainties regarding screening criteria arose that required a third adjudicator. One reviewer (SC) then screened full-text manuscripts. Data from the review of full-text manuscripts was compiled using a template with specific criteria such as dependent and independent variables, the number of eligible patients for which data were reported, and descriptive findings. The data extraction template is included as Supplemental Digital Content 2 (<https://links.lww.com/ALN/D583>). We used the Prisma Extension checklist for reporting<sup>39</sup>.

### **Study Selection Criteria**

We included randomized controlled trials, analytical cross-sectional studies, case control series, cohort studies, case series, and prospectively controlled single case studies that reported EEG in term neonates (defined as having post-menstrual age between 37 and 44 weeks) during general anesthesia administered by an anesthesiologist for surgery, procedural intervention, or investigation. Manuscripts were excluded if they did not separately report data regarding term neonates. Data in included publications that were not obtained from neonates were excluded.

Where multiple publications reported data related to the same patients, the patients were included in analysis only once.

## **Outcomes: EEG Features**

Reported EEG features including amplitude, frequency, continuity, and seizures were extracted, including changes over time during general anesthesia with varying dose. When reported, comparisons were made relating to the type and dose of anesthesia. Eligible EEG modalities included: unprocessed EEG, processed EEG and derived indices, and modalities measured with any type of electrode, with any number of electrodes and with any electrode montage.

## **Data Quality**

Risk of bias was assessed for each study using the ROBINS-I tool from Cochrane Handbook for Systematic Reviews of Interventions<sup>40</sup>. The ROBINS-I tool systematically covers seven distinct domains through which bias might be introduced (i.e. participant selection, missing data, measurement of outcomes) through comparison with a hypothetical randomized controlled trial that would produce similar results. The categories for risk of bias judgements are “low risk”, “moderate risk”, “serious risk” and “critical risk” of bias for each domain. Findings were summarized in tables and then collated for outcomes across the literature using the GRADE (Grading of Recommendations Assessment, Development, and Evaluation) approach to determine the degree of certainty for each finding<sup>41</sup>. The level of certainty was rated as “very low”, “low”, “moderate”, and “high”. For example, evidence that includes observational data starts at low quality and thereafter is systematically upgraded to “moderate” or “high” or downgraded to “very low” depending on the following criteria: within-study risk of bias, indirectness, inconsistency, imprecision, and publication bias.

## Results

### Characteristics of Included Studies

Nine publications fulfilled the inclusion criteria (Figure 1) and reported results for 157 patients (55.7% male)<sup>35,42-49</sup>. The included publications were separated into non-cardiac (two publications, Table 1) and cardiac surgery (seven publications, Table 2), as the latter included EEG effects associated with cardiac bypass, and deep hypothermic cardiac arrest. Two of the included studies were non-randomized experimental studies and seven were prospective cohort studies. No randomized controlled trials met the inclusion criteria. The risk of bias was moderate to serious for all publications (summarized in Table 3, full details in Supplemental Digital Content 3, <https://links.lww.com/ALN/D584>). Since all included publications were either non-randomized trials or prospective cohorts, all were initially rated as 'low' quality of evidence and then adjusted accordingly using the GRADE method. Sample size ranged from one to 75 patients (See Tables 1 and 2). Sex distribution ranged from 40% to 72% male. Four publications included either one or two term neonates only<sup>35,42,44,49</sup>, and two reported on the same patients with different analyses<sup>43,47</sup>. Twenty-three patients undergoing cardiac surgery were reported across two publications<sup>48,49</sup> and 134 patients undergoing non-cardiac surgery across seven publications<sup>35,42-47</sup>.

### EEG methodology

One publication (non-cardiac) reported results from amplitude integrated EEG (aEEG)<sup>45</sup> in 75 patients, and the remainder reported unprocessed EEG<sup>35,42-44,46-49</sup> in 82 patients. Most publications used 6 or fewer electrodes. Bipolar electrode pairs positioned at C3-P3 and C4-P4 were used in three publications (112 patients)<sup>43,45,47</sup>. One publication (2 patients) reported the use of electrode positions F3, F4, CP3 and CP4, with a reference electrode on the nose<sup>42</sup>. In one publication (18 patients) a single electrode was positioned at FP1 with left ear used as

reference<sup>46</sup>, and two publications (3 patients) the electrode positions were unspecified<sup>44,49</sup>. One publication (one patient) reported using 34 electrodes<sup>35</sup>, and another publication (21 patients) reported using 16 electrodes<sup>48</sup>, both with a modified international 10/20 electrode placement system. The reference position was Fz in the former and unspecified in the latter.

Electrode types were silver/silver-chloride cup electrodes in three publications (21 patients)<sup>35,42,46</sup>, gold plated cup electrodes in one publication (21 patients)<sup>48</sup>, and subdermal needle electrodes in one publication (one patient)<sup>44</sup>. Electrode type was not specified in the remaining four publications (114 patients)<sup>43,45,47,49</sup>.

### **General anesthesia**

EEG changes during inhalational anesthesia with sevoflurane, isoflurane or halothane in 156 patients were reported across eight publications<sup>35,42-48</sup>. In 138 patients (88%) anesthesia was maintained with sevoflurane and data were insufficient to make comparison with other agents. One publication (two patients) reported one patient receiving fentanyl-based general anesthesia without added isoflurane, the other receiving both fentanyl and isoflurane<sup>49</sup>. The details of propofol administered in addition to inhalational anesthesia were not reported (dose, intermittent bolus versus continuous infusion, timing, or duration). Nitrous oxide use was permitted but not reported in one study of two cardiac patients<sup>49</sup>. In all other cases, nitrous oxide was not used during periods of anesthesia for which EEG was analyzed<sup>35,42-48</sup>.

Neuromuscular blocking agents were used in both cardiac surgery (23 patients)<sup>48,49</sup>, and non-cardiac surgery cases (59 patients)<sup>35,42-44,46,47</sup>. The remaining publication reported use of neuromuscular blocking agents in 123 of 129 total patients (95%) but was not separately reported for the 75 term neonatal patients included in this review<sup>45</sup>.

## EEG properties

### Discontinuity

Discontinuity was reported in four publications (71 of 96 patients) during non-cardiac surgery<sup>35,42,45,46</sup> and in two publication (23 of 23 patients) during cardiac<sup>48,49</sup>.

During non-cardiac surgery, one publication (75 patients) reported discontinuity in four term neonates before anesthesia and in 69 term neonates during sevoflurane anesthesia<sup>45</sup>. Concomitant propofol administration was associated with most cases of profound discontinuity<sup>45</sup>. In another study (18 patients), there was no difference in burst suppression ratio between end-tidal sevoflurane concentrations of 0.5% and 2%<sup>46</sup>. One publication (two patients) reported intermittent periods of low-frequency oscillations (0.5-2Hz) with amplitudes between 25-100 $\mu$ V that merged to become continuous oscillations during washout from mean end-tidal concentration of sevoflurane of 2.3% (SD 0.5, range 1.5-3.5). This is suggestive of discontinuity albeit not explicitly defined by the authors<sup>42</sup>. In a fourth publication (one patient) the incidence of discontinuity with general anesthesia was the primary outcome measure and it was reported to not have occurred<sup>35</sup>.

During cardiac surgery, in one publication (21 patients) both the number of patients developing discontinuity and the degree of discontinuity progressively increased in response to decreasing temperature both prior to, and during, cardiac bypass<sup>48</sup>. In this study, the EEG became isoelectric in all patients cooled below 32°C<sup>48</sup>, while in another (two patients), all patients were cooled to below 20°C and isoelectric EEG only developed after additional administration of thiopental<sup>49</sup>. These data suggest an association between lower body temperature and the development of discontinuity during cardiac surgery, however anesthetic management during these periods were not reported in detail<sup>48,49</sup>.

### Power spectrum and EEG-derived indices

Four publications (57 patients) with non-cardiac surgery reported details of the power spectrum or EEG-derived indices. Two publications (37 patients) reported a decrease in spectral power in the frequency range 0.5-4Hz during volatile anesthesia compared with 3-6 hours pre-anesthesia and 3-6 hours post-anesthesia, although data were not adequately detailed to show a graded dose-response relationship<sup>43,47</sup>. One publication (two patients) reported no change in spectral power in the frequency range 5-20Hz<sup>42</sup> and another (37 patients) showed no change in the frequency range 30-100Hz with washout of volatile anesthesia<sup>43</sup>. Spectral power in the frequency range 20-30Hz was not reported. In 18 patients, 90% spectral edge frequency, relative beta ratio and approximate entropy showed little change between end-tidal sevoflurane concentrations of 0.5% and 2%<sup>46</sup>.

### Seizures

In a study of 111 neonates (36 preterm and 75 full-term) requiring non-cardiac surgery, none were known to have seizures preoperatively, but 11 had electrographic seizure activity identified by aEEG in the perioperative period. Intraoperative electrographic seizure activity occurred in four patients (two single occurrences, two repetitive occurrences), with onset during induction (end tidal sevoflurane concentration 2.5-5%) in one case<sup>45</sup>. In the first 24 postoperative hours, electrographic seizure activity was identified in eight neonates (six single seizures, two repetitive seizures) and one had electroclinical seizures<sup>45</sup>. Data relating intraoperative electrographic seizure activity to postoperative seizures, preterm or full-term birth, or suspected genetic syndromes (in four patients) were not reported.

## Discussion

Despite many publications meeting the search criteria, only nine publications including a total of 157 patients addressed the review question. Sample sizes were small and there was significant heterogeneity in the types of surgeries, electrode montages and EEG analysis methods. The quality of the evidence was very low when assessed using the GRADE system. Four publications reported data from just one or two patients and there was significant heterogeneity of outcomes. While the predominance of observational study designs introduces risk of bias, it is consistent with the ethical imperative to provide general anesthesia to neonates only when clinically necessary, and to always provide standard-of-care anesthesia when doing so.

Many publications did not report the post-menstrual ages of individual subjects. Despite reaching out to investigators directly, these data had either not been collected or could not be retrospectively accessed. Knowledge about this population could be improved through standardized reporting of post-menstrual age in clinical studies and better public availability of data.

Most studies included in this review used six or fewer electrodes and scalp positions were heterogeneous. As a result, the evidence does not support any interpretation of spatial patterns of activity. Since the neuroanatomical associations between anesthesia and EEG are still uncertain<sup>25,28</sup>, and the neonatal cortex is still developing<sup>50</sup>, there is much to be gained from exploring the spatial patterns. If loss of consciousness with general anesthesia is indeed a direct drug effect on the cortex<sup>51</sup>, then a more nuanced understanding might consider where, as well as what, changes are best measured in the term neonatal EEG.

Although not observed in all patients, some form of discontinuity was reported in 94 out of 119 neonates across both cardiac<sup>48,49</sup> and non-cardiac<sup>35,42,45,46</sup> groups. Discontinuity increased with increasing dose of anesthesia, however there was heterogeneity in the definitions for

discontinuity and these were not clearly referenced. Cornelissen et al defined discontinuity as a period of greater than two seconds with amplitude  $<25\mu\text{V}$  across most electrodes<sup>35</sup>. Seltzer et al defined discontinuity as burst suppression graded according to duration of the inter-burst intervals (0s,  $<30\text{s}$ , 31-179s and  $>180\text{s}$ ), without any amplitude criteria<sup>48</sup>. Sury et al described “regular transients that later merged to become continuous oscillations” with wash-out of sevoflurane, which suggests discontinuity albeit not systematically defined<sup>42</sup>.

Interestingly, definitions of burst suppression are also heterogenous across the entire neonatal literature<sup>52</sup>. This is despite discontinuity being typical in the developing brain<sup>50</sup>, and burst suppression being a key feature used to grade severity of neonatal encephalopathies and guide clinical treatment<sup>53</sup>. Neonatal burst suppression is considered an ominous sign, yet discontinuity with neonatal general anesthesia is reversible and has no known associated harm<sup>54</sup>. It remains unclear if the discontinuity observed in term neonates with general anesthesia is the same phenomenon as burst suppression seen with general anesthesia in older patients.

EEG-derived depth of anesthesia indices, which often incorporate discontinuity detection in their algorithms, perform poorly in children under five years, particularly in those under one year<sup>25,55-58</sup>. It is unclear if this represents a fundamental difference in general anesthetic effects on the developing brain or age-related changes in pharmacodynamic potencies. In other words, are the mechanisms of anesthesia effect fundamentally different in neonates, or are the unique effects that anesthesia has on neonatal EEG independent of the effect on clinical stage of anesthesia? This question presupposes the possibility that the EEG does not directly measure anesthetic state<sup>28</sup>.

Amplitude-integrated EEG (aEEG) was reported for 75 patients (48%). It classifies filtered and compressed EEG by relatively simple pattern recognition of background activity<sup>59</sup>. aEEG was originally developed to enhance EEG monitoring in adult patients after cardiopulmonary



resuscitation<sup>60</sup>. In neonates, aEEG is used to grade the degree of discontinuity and screen for seizures<sup>61,62</sup>.

The aEEG algorithm defines burst suppression within a continuum of discontinuity, a point when background activity has low amplitude and no variability (0 to 1 $\mu$ V) and bursts have amplitude >25 $\mu$ V. It is quantified by the density of bursts per hour<sup>59</sup>. In contrast, consensus guidelines define burst suppression as atypically composed EEG bursts separated by prolonged and atypically low voltage interburst periods (<5 $\mu$ V), with no spontaneous variability or reactivity to external noxious stimulation. Burst suppression is distinguished from excess discontinuity by the absence of typical patterns within the bursts<sup>19</sup>.

The potential association between body temperature and discontinuity may be a significant confounder for the interpretation of EEG as a biomarker of anesthetic state. In adults undergoing controlled hypothermia during cardiac surgery, the degree of burst suppression systematically depends on the degree of hypothermia. In the setting of 1% isoflurane administration, the average interburst interval increases with decreasing temperature and returns toward baseline with rewarming<sup>63</sup>. This is relevant because hypothermia is likely in neonates undergoing general anesthesia, both therapeutic during cardiac bypass and iatrogenic. Therapeutic hypothermia is also routinely used in neonatal encephalopathy<sup>64</sup>. Although there are no data reporting the effects of mild hypothermia on neonatal EEG, the direct effect of temperature has potential to make EEG-guided therapeutic decisions more difficult<sup>65</sup>. One study (14 patients) of children aged less than two years during deep hypothermic arrest for cardiac surgery reported decreased EEG voltages without spectral change with decreasing temperature alone, however there were internal inconsistencies, and the anesthesia data were not reported in detail. This suggests further targeted investigation may be worthwhile<sup>66</sup>.

With increasing interburst interval, a neonatal EEG contains fewer low-frequency oscillations in any given data window being analyzed. This is mathematically consistent with a power spectrum containing less absolute power in these lower frequencies. In turn, neonatal EEG is dominated by the frequency range 0.5-4Hz, the spectral power of which is observed to decrease with increasing volatile anesthesia<sup>43,47</sup>. Detailed characteristics of discontinuity associated with hypothermia are not reported. Thus, it is plausible that a common underlying mechanism (or family of mechanisms) is being observed, relating both increasing anesthesia dose and decreasing body temperature with increasing discontinuity in a dose-related way. One might speculate receptor-mediated mechanisms that are dependent on the rate of adenosine triphosphate production. This is presented visually in Figure 2.

Whilst the quality of evidence is very low, the results herein may suggest two divergent interpretations regarding the measurement of hypnosis with general anesthesia. We set aside the conundrum of defining consciousness itself, let alone consciousness in a neonate, other than to acknowledge that the lack of a clear definition makes it a difficult phenomenon to measure. Nonetheless, if we assume that inhalational anesthetics do have a hypnotic effect in neonates then the challenge lies in measuring the effect size using EEG. Based on the evidence above, doing so by quantifying changes in EEG activity in the 5-100Hz frequency band is unlikely to be successful. This is consistent with familiar EEG-based indices being unreliable in these patients. However, examining patterns that might occur in activity below 5Hz, or patterns observed with discontinuity, may hold promise. Unfortunately, low frequency signals are notoriously vulnerable to artifact.

Alternatively, one might consider that the typical changes observed in EEG with inhalational anesthesia represent a direct neurological correlate of hypnosis. In this case, their absence in neonates might suggest the confronting idea that when neonates go limp and unresponsive on

administered inhalational agents, it is not due to hypnosis at all. It could simply reflect a direct drug effect at spinal cord level. An exploration of pharmacological plausibility for anesthesia-induced immobility mediated primarily at the spinal cord without hypnosis mediated in the brain follows<sup>67,68</sup>.

Inhalational agents act in the brain to inhibit synaptic transmission, albeit with limited receptor selectivity. They are active at GABA<sub>A</sub>, glutamate, glycine, and nicotinic receptors as well as nitric oxide pathways<sup>69-71</sup>. Although it remains unclear how their actions translate into clinical effects, it is thought that augmentation of GABA<sub>A</sub>-mediated post-synaptic hyperpolarization predominates<sup>3,4,17,70</sup>.

In the neonatal brain, higher post-synaptic intracellular chloride concentrations mean that when GABA<sub>A</sub> receptors are activated and open chloride channels, post-synaptic membranes depolarize rather than hyperpolarize. This leads to excitatory rather than inhibitory signaling<sup>4,72-76</sup>. In the neonatal brain, the role of GABA<sub>A</sub> receptors is thought to be primarily involved in signaling for neuronal proliferation<sup>77,78</sup>. The neonatal brain also undergoes massive proliferation of astrocytes, which reuptake and recycle GABA from the synaptic cleft, further modifying the synaptic environment<sup>79</sup>.

In contrast, in the neonatal spinal cord, GABA<sub>A</sub>-mediated signaling does not provide excitatory drive<sup>80</sup>. A balance between excitation and inhibition is preserved due to concurrent increases in GABAergic and glutamatergic pathways, and immature descending inhibitory signaling<sup>81,82</sup>. Therefore, it may be that anesthesia-induced GABAergic signaling remains inhibitory in the spinal cord but not in the brain.

If this is true, and if one considers it plausible that anesthesia-induced hypnosis might not occur at all in neonates, alternative measurement strategies are needed that better reflect the clinical goals of anesthesia. Such strategies might include characterizing changes that occur with general anesthesia to evoked response potentials from noxious stimuli.

In conclusion, both increasing sevoflurane concentration and decreasing temperature appear to be associated with increased discontinuity measure in neonatal EEG and there is scope for more detailed characterization of these relationships.

### **Supplemental Digital Content**

Supplement 1: Literature search terms, <https://links.lww.com/ALN/D582>

Supplement 2: Data extraction template, <https://links.lww.com/ALN/D583>

Supplement 3: Extracted data tables, <https://links.lww.com/ALN/D584>

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## Legend of figures

Figure 1 – PRISMA flow diagram

Figure 2 – A possible common underlying mechanism. With increasing sevoflurane concentration and/or with decreasing body temperature, the low-frequency oscillations of neonatal EEG become increasingly discontinuous, and vice versa.

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**Table 1 – Summary of findings table for non-cardiac surgery using GRADE method**

Population: 37-44wk PMA humans    Setting: Non-cardiac surgery    Intervention: Anesthesia condition    Comparator: Anesthesia condition				
Outcomes	Studies	Neonates	Main findings	Quality
<b>Discontinuity</b>	4	96	(i) EEG was classified as discontinuous prior to anesthesia in 6%, and in 98% during surgery. Discontinuity during surgery was burst suppression in 51% of patients and the grade of discontinuity classification regressed by two classes compared to preoperatively in 49% of patients. Concomitant propofol administration was associated with most cases of profound changes in discontinuity classification (n=75 of 111) <sup>45</sup> . (ii) Discontinuity did not occur during anesthesia (n=1 of 68) <sup>35</sup> . (iii) Low-frequency oscillations (0.5-2Hz) resembling regular transients [spontaneous activity transients (SATs)] observed to gradually merge to become continuous oscillations in neonates during early phase of wash-out from sevoflurane anesthesia (n=2 of 20) <sup>42</sup> . (iv) Burst suppression ratio (BSR) showed little anesthesia-dependent change under sevoflurane concentrations between 0.5% and 2% (n=18 of 62) <sup>46</sup> .	⊕○○○ VERY LOW
<b>Power spectrum</b>	3	39	(i) Absolute EEG power in (0.5-2Hz), (2-4Hz) and (30-100Hz) bands were compared. MEDIAN power decreased from baseline in (0.5-2) and (2-4Hz) in sevoflurane group, analyzed across whole intraoperative period (n=37 of 37) <sup>43</sup> . (ii) Averaged EEG power in 0.5-4Hz (delta) band was reduced during sevoflurane anesthesia (n=36 of 36) <sup>47</sup> . (iii) Infants <52 weeks PMA demonstrates little change in P5–20 Hz and P8-13 (alpha) with anesthesia. Total P5–20 Hz and P8-13 (alpha) is under 100microV <sup>2</sup> and is monotonically (maybe linearly) related to age in infants below 52 weeks PMA (n=2 of 20) <sup>42</sup> .	⊕○○○ VERY LOW
<b>EEG-derived indices</b>	1	18	Calculated 90% spectral edge frequency (SEF90), relative beta ratio (RBR) and approximate entropy (ApEn) showed little anesthesia-dependent change under sevoflurane concentrations between 0.5% and 2% (n=18 of 62) <sup>46</sup> .	⊕○○○ VERY LOW
<b>Time-series analysis</b>	2	3	(i) During maintenance halothane anesthesia, neonatal EEG "...consisted of a mixture of irregular delta, theta and alpha waves which were different from those of older patients who had waves of 10-12Hz" (n=1 of 62) <sup>44</sup> . (ii) Low-frequency oscillations (0.5-2Hz) resembling regular transients [spontaneous activity transients (SATs)] observed to gradually merge to become continuous oscillations in neonates during early phase of wash-out from sevoflurane anesthesia (n=2 of 20) <sup>42</sup> .	⊕○○○ VERY LOW
<b>Seizures</b>	1	75	Seizures may occur in up to 4% neonates during surgery, however distribution between term (n=75 of 111) and preterm (n=36 of 111) not reported <sup>45</sup> .	⊕○○○ VERY LOW
<b>aEEG</b>	1	75	Propofol during sevoflurane anesthesia associated with most cases of profound changes in aEEG patterns (n=75 of 111) <sup>45</sup> .	⊕○○○ VERY LOW

**Table 2 – Summary of findings table for cardiac surgery using GRADE method**

Population: 37-44wk PMA humans    Setting: Cardiac surgery    Intervention: Anaesthesia condition    Comparator: Anaesthesia condition				
Outcomes	Total studies	Total neonates	Findings	Quality (GRADE)
<b>Discontinuity</b>	2	23	(i) Moderate burst suppression occurred in all neonates during cardiopulmonary bypass. In neonates with CPB but not DHCA, moderate burst suppression (IBI under 30 seconds) was maximum effect. All neonates who cooled to <32 C developed severe burst and subsequent isoelectric EEG (n=21 of 21) <sup>48</sup> . (ii) Neonates with profound hypothermia (17.9 +/- 1.6 deg C) in addition to fentanyl / NMB anaesthesia exhibit ongoing EEG activity. Thiopental bolus of 8mg/kg in addition to hypothermia, prior to deep hypothermic circulatory arrest (DHCA) rendered EEG isoelectric during DHCA (n=2 of 15) <sup>49</sup> .	⊕○○○ VERY LOW
<b>Time-series analysis</b>	1	21	With the induction of general anaesthesia, neonatal EEG changed from typical for age to slow and continuous (n=21 of 21) <sup>48</sup> .	⊕○○○ VERY LOW
<b>Seizures</b>	1	2	During DHCA, there were no patterns consistent with seizure activity, focal ischemia or global hypoperfusion (n=2 of 15) <sup>49</sup> .	⊕○○○ VERY LOW



**Table 3 – Risk of bias summary determined using the ROBINS-I tool**

Study ID	Bias due to confounding	Bias in selection of participants	Bias in classification of interventions	Bias due to deviations from intended interventions	Bias due to missing data	Bias in measurement of outcomes	Bias in selection of reported result	Overall bias
Stolwijk 2017	Serious risk	Low risk	Serious risk	Serious risk	Low risk	Low risk	Moderate risk	Serious risk
Costerus 2021*	Serious risk	Low risk	Low risk	Low risk	Serious risk	Low risk	Serious risk	Serious risk
Hendriks 2021*	Serious risk	Low risk	Low risk	Low risk	Serious risk	Low risk	Serious risk	Serious risk
Seltzer 2016	Moderate risk	Moderate risk	Low risk	Low risk	Moderate risk	Low risk	Moderate risk	Moderate risk
Hayashi 2012	Serious risk	Low risk	Low risk	Low risk	Low risk	Low risk	Moderate risk	Serious risk
Rung 1991	Moderate risk	Low risk	Low risk	Moderate risk	Low risk	Low risk	Low risk	Moderate risk
Sury 2014	Moderate risk	Low risk	Low risk	Low risk	Low risk	Low risk	Low risk	Moderate risk
Cornelissen 2017	Moderate risk	Low risk	Low risk	Low risk	Low risk	Low risk	Moderate risk	Moderate risk
Oshima 1981	Serious risk	Low risk	Low risk	Low risk	Low risk	Low risk	Low risk	Serious risk

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Figure 1

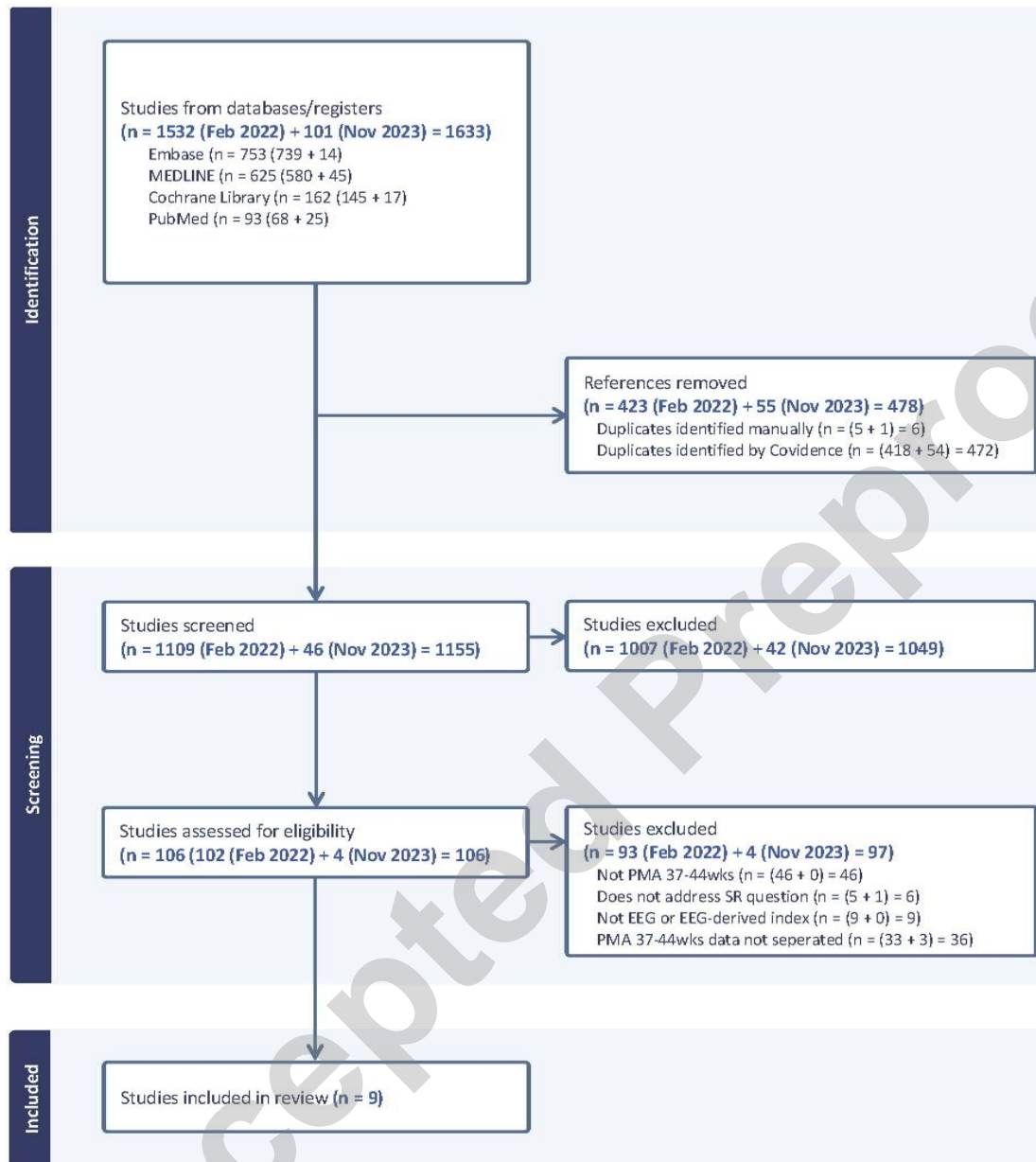


Figure 2

