



30 **Abstract**

31 The new concept of functional foods has emerged varieties in the production of foods that  
32 deliver not only basic nutrition but can also warrant good health and longevity. Yogurt has  
33 become one of the prevalent choices and considered as healthy food since it provides  
34 excellent sources of essential nutrients. As the popularity of yogurt continues to grow,  
35 manufacturers and scientists are continuously investigating on the value adding ingredients  
36 such as probiotics, prebiotics and different kinds of plant extracts to produce functional  
37 yogurt that consists of extra beneficial properties than the conventional yogurt. This review  
38 summarizes the current knowledge on functional yogurt, applications and roles of probiotic,  
39 prebiotic and synbiotic in yogurt as well as the effects of phytochemicals that are added in  
40 innovative yogurt products. Their important properties are also focused on significance  
41 influences on quality and sensory attributes of yogurt products and associated health aspects.

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43 **Keywords:** yogurt; functional food; probiotic; prebiotic; synbiotic; phytochemical

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## 56 1. Introduction

57 Yogurt or yoghurt is a long time known, appreciated dairy food product and is  
58 available in a variety of textures (i.e., liquid, set, smooth), fat contents (luxury, low-fat,  
59 virtually fat-free) and flavours (natural, fruit, cereal) (Shah, 2003; McKinley, 2005). It is  
60 made traditionally from the spontaneous or induced lactic acid fermentation of milk  
61 (Widyastuti et al., 2014). Basically, yogurt can be classified into two groups which are  
62 standard culture yogurt and bio-yogurt or probiotic yogurt (Pandey et al., 2017). Standard  
63 yogurt is typically manufactured from the conventional starter culture strains, *Lactobacillus*  
64 *delbrueckii* subsp. *bulgaricus* and *Streptococcus thermophilus* (Arena et al., 2015).  
65 Meanwhile bio-yogurt or probiotic yogurt is supplemented with probiotic strains such as  
66 *Bifidobacterium* and *Lactobacillus acidophilus* that are claimed to have numerous health  
67 benefits and should remain live at adequate numbers (Lourens-Hattingh & Viljoen, 2001;  
68 Weerathilake et al., 2014; Baltova & Dimitrov, 2014; Chen et al., 2017). For instant, National  
69 Yogurt Association (NYA) of the United States specifies bio-yogurt product must contain 10<sup>8</sup>  
70 CFU/g lactic acid bacteria (LAB) at the time of manufacture to using “Live and Active  
71 Culture” logo while The Australian Food Standards Code regulations require that the LAB  
72 used in yogurt fermentation must be present in a viable form in the final product nonetheless  
73 numbers of CFU/g are not specified (Pandey et al., 2017). Yogurt is considered as the most  
74 popular vehicle for the delivery of probiotics for the consumer (Lourens-Hattingh & Viljoen,  
75 2001). The most commonly consumed yogurts are set type yogurt and strains yogurt but  
76 nowadays frozen and drinking yogurts are also part of yogurt’s commercial varieties and  
77 become increasingly popular.

78 Organoleptic, rheological, texture and microstructure properties of yogurt depend on  
79 several factors such as fermentation process, type of milk, starter cultures and probiotic  
80 strains, packaging and storage conditions. As depicted in Fig 1, the conventional processing  
81 for manufacturing of yogurt involved several steps: initial treatment of milk (an optional step  
82 for using a higher quality of raw milk (i.e., grade A or grade B milk as defined under the US  
83 Pasteurized Milk Ordinance, Food and Drug Administration (FDA) (Murphy et al., 2016) in  
84 yogurt production), standardization of milk, homogenization, heat treatment, fermentation  
85 process, cooling and ending with the packing of the final yogurt product (Sfakianakis &  
86 Tzia, 2014). Yogurt can be manufactured with or without the supplementation of natural  
87 derivative of milk (i.e., skim milk powder, caseinates or cream, whey concentrates), the  
88 addition of sugars (i.e., sucrose, fructose) and stabilizers (i.e., pectin, starch, gelatine,

89 alginate) and increasing the solids in milk by adding fat and proteins to alter the texture and  
90 flavour (Lee & Lucey, 2010). For instant, protein and fat are commonly added to combat the  
91 defects in texture, physical properties and mouthfeel of low fat yogurt (Laiho et al., 2017).  
92 Meanwhile hydrocolloids stabilizer such as carrageenan, gelatin, xanthan gum and modified  
93 starch are often added to milk base in an attempt to improve texture, appearance, viscosity,  
94 consistency, mouthfeel as well as to prevent whey separation in yogurt (Nguyen et al., 2017).

95 In general, the health benefits of fermented food products can be classified into two  
96 groups which are nutritional function and physiological function (Bell et al., 2017). The  
97 nutritional effect is related to the food function in supplying sufficient nutrients whilst  
98 physiological function concerns on the prophylactic and therapeutic benefits (Marco et al.,  
99 2017) such as reduction in risk of diabetes (i.e consumption of fermented kimchi decreased  
100 insulin resistance and increased insulin sensitivity (An et al., 2013) and reduced muscle  
101 soreness from the consumption of fermented milk by *Lactobacillus helveticus* (Iwasa et al.,  
102 2013). In response to the consumer awareness of these two imperative benefits,  
103 manufacturers are exploiting the demand by producing varieties of fermented food products  
104 with additional functional properties (Siro' et al., 2008). Functional foods are currently part  
105 of a new market niche and the industry is kept on expanding with natural ingredients as the  
106 most influential driver (Balthazar et al., 2017; da Silva et al., 2016; Granato et al., 2017). In  
107 particular, innovative processing of functional yogurt products include the addition of  
108 probiotics, prebiotics or their combination which is term as synbiotic and incorporation of  
109 various bioactive components from natural sources to improve nutritional values, sensory  
110 profile, physiochemical and rheological characteristics as well as to provide therapeutic  
111 properties.

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## 113 **2. Varieties and health benefits of yogurt**

114 The microbiology of lactic-producing bacteria and the fermentation biochemistry and  
115 technology of yoghurt are well documented (Apostu & Barzoi, 2002). In general, the  
116 nutritional composition of yogurt can be varied depending on several aspects including the  
117 strains used as the starter culture, type of milk used (whole, semi or skimmed milk), species  
118 of that milk is obtained (i.e., cow, goat, sheep, buffalo, ewe, camel, yak, non-dairy milk), type  
119 of milk solids, solid non-fat, conditions of fermentation process as well as other components  
120 added such as sweeteners and flavour (Weerathilake et al., 2014). Yogurt is considered to  
121 have more nutritional benefits than milk as it is nutritionally rich in protein, calcium,

122 riboflavin, vitamin B6 and vitamin B12 (Ashraf & Shah, 2011). Moreover, it can also aid in  
123 digestion process, boost immunity, ease diarrhea and protect against cancer (Hassan &  
124 Amjad, 2010; Davoodi et al., 2013; Prasanna et al., 2014; McFarland, 2015). Yogurt diet is  
125 also favourable towards weight management. A study revealed that high (at least 7 servings  
126 per week) consumption of yogurt was associated with lower incidence of obesity as  
127 compared to low (1 to 2 servings per week) consumption (Martinez-Gonzalez et al., 2014).  
128 Furthermore, yogurt is also associated with reduction of weight gain when consistently in diet  
129 for years (i.e., over a 4-years period of consumption) (Winzenberg et al., 2007). The high  
130 dairy intake from the yogurt product increased the dairy calcium intake on energy balance  
131 that resulted in lower body weight or body fat mass (Zemel et al., 2000).

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## 133 **2.1 Type of yogurt**

### 134 **2.1.1 Yogurt from cow milk**

135 Approximately 85% of the world milk production is derived from cattle (FAO, 2015)  
136 and is the most commonly milk for yogurt production (Ranasinghe & Perera, 2016). Yogurts  
137 from cow milk composed of ca. 80% caseins ( $\alpha$ 1-,  $\alpha$ 2-caseins,  $\beta$ -casein and k-casein) and  
138 ca. 20% whey protein formed by the four major soluble proteins:  $\beta$ -lactoglobulin ( $\beta$ -LG),  $\alpha$ -  
139 lactalbumin ( $\alpha$ -LA), blood serum protein (BSA) and immunoglobulins (Igs) (Jovanovic et al.,  
140 2007; Ruprichová et al., 2012). These proteins represent 50%, 20%, 10% and 10% of the  
141 whey proteins fraction, respectively. The whey proteins can bind with many kinds of  
142 endogenous and exogenous agents such as dietary polyphenols (Xiao et al., 2011). Whey  
143 proteins when exposed to high temperatures ( $>65^{\circ}\text{C}$ ) irreversibly denature and coagulate, as  
144 opposed to caseins, which do not coagulate when subjected to a high heat treatment  
145 (Jovanovic et al., 2007). Caseins micelles aggregate through isoelectric precipitation brought  
146 about by the action of LAB or organic acids. The casein strands can be broken and the size of  
147 the aggregates decreased. The rearrangement and syneresis of the acid induced casein  
148 network in yoghurt occur during storage (Everett & McLeod, 2005).

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### 150 **2.1.2 Yogurt from other animal's milk**

151 Apart from cow's milk, yogurts are also being derived from the milk of other animal  
152 species. For instant, yogurt derived from goat's, sheep's or buffalo's milk that composed of  
153 high fat content often resulted in a more creamy texture than yogurt made of milk with lower  
154 fat content (Sfakianakis & Tzia, 2014). While goat milk is not very popular in the Western  
155 world, nevertheless it is one of the most widely consumed milk in the rest of the world

156 mainly attributed to its nutrition properties and associated health benefits. In recent years, the  
157 production of goat milk worldwide has increased due to increasing demand for raw goat milk  
158 and its value added products such as goat milk yogurt (Ribeiro & Ribeiro, 2010).  
159 Furthermore, goat milk and its derived product is a good alternative for people suffer from  
160 lactose intolerance as the milk has better digestibility and lower allergenicity (Yangilar,  
161 2013). Sumarmono et al., (2015) reported that the predominant saturated fatty acids in goat  
162 milk yogurt was comparable to the components found in most traditional Greek yogurt  
163 (Serafeimidou et al., 2012) which were myristic acid (C14:0), palmitic acid (C16:0) and  
164 stearic acid (C18:0). Yogurt from goat milk was reported to compose of higher CLA (0.47 –  
165 0.76 g CLA/ 100g fat) than that in cow milk (0.24 – 0.45 gCLA/100g fat) (Serafeimidou et  
166 al., 2013). Free fatty acids were also found to significantly increase during the goat milk  
167 yogurt fermentation process as compared to fresh goat milk (Güler, 2007). Frequent  
168 consumer complaint on the rancid, goaty off flavour and odor has stimulated into novel  
169 formulations of goat milk yogurts that are supplemented with various fruit juices to add a  
170 pleasant taste and aroma. For instant, Damunupola et al., (2014) evaluated the quality  
171 characteristics of goat milk yogurt fortified with beetroot juice. The inclusion of beetroot  
172 juice increased the moisture content and lowered the total solid content as observed during 21  
173 days storage. Sensory evaluation revealed that 98% of the panellists preferred beetroot-goat  
174 milk yogurt as compared to plain goat milk yogurt. Beetroot juice managed to mask the goaty  
175 flavour and goaty odor of the goat milk yogurt and thus enhanced the consumer preference.

176 Although sheep milk is rarely consumed in nature, but the milk is quite common in  
177 the yogurt making (Balthazar et al., 2017). Sheep milk yogurt possesses high gel strength  
178 with minimal syneresis yogurts and tends to have a slightly grainy body and texture due to  
179 higher titratable acidity and calcium content as compared to cow's and goat's milk yogurts  
180 (Wendorff, 2005). Oleic acid (C18: 1n9) is the most predominant fatty acids in sheep milk  
181 yogurt followed by palmitic acid (C16: 0) and myristic acid (C14: 0) (Balthazar et al., 2016).  
182 Hence the consumption of sheep milk yogurt may be health beneficial as studies showed that  
183 diets high in oleic acid could decrease the level of low-density lipoprotein (LDL) cholesterol  
184 without affecting the levels of high-density lipoprotein (HDL) cholestrol (Molkentin, 2000).  
185 Sheep milk Greek yogurt was also reported to have high content of conjugated linoleic acid  
186 (CLA) (between 0.405 to 1.250 g CLA/100 g fat) that may exhibit immunoregulatory effect  
187 and activity as anti-obesity, anticarcinogenic, antioxidant as well as anti-diabetic (Wang &  
188 Lee, 2015, Yuan et al., 2014). Greek sheep milk yogurt is described as a good source for

189 angiotensin-converting enzyme (ACE) inhibitory peptides that advantages for those with  
190 hypertension and congenitive heart failure (Politis & Theodoru, 2016).

191 Buffalo milk has higher concentration of protein, fat, calcium, phosphorus and total  
192 solid that other animal's milks (Nguyen et al., 2013; Bilgin & Kaptan, 2016). Consequently,  
193 buffalo milk yogurt tends to have contents of higher fat and non-fat dry matters that provide  
194 unique texture and sensorial properties. In addition, the high total solid content and high  
195 viscosity of buffalo milk lead to an increase of gel firmness and decrease of whey production.  
196 From the textural and sensory property perspectives, yogurt made from buffalo milk alone  
197 presented distinct characteristics and higher values than mixed milks of cow and ewe's  
198 yogurt (Yilmaz-Erzan et al., 2017).

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### 200 **2.1.3 Non-dairy probiotic products**

201 Nowadays, production of yogurt from non-animal based milk such as soy milk,  
202 coconut milk, rice milk, sunflower silk milk and cashew milk are also increasing influenced  
203 by several factors especially health awareness and changing in consumer demands (Masamba  
204 & Ali, 2013). For instant, soy yogurt is becoming popular due to its beneficial advantages in  
205 term of nutrition and health as the product contains high protein and absence of cholesterol or  
206 lactose and only a small amount of saturated fatty acids (Kolapo & Olubamiwa, 2012).  
207 Furthermore, soy milk yogurt is considerably cheap as the soy raw material can be obtained  
208 at much cheaper cost than the cow's milk. Makanjuola, (2012) previously reported on the  
209 formulation of soy-corn yoghurt as a substitute for milk based yogurt with high content in  
210 protein and well balance amino acid composition. Soy milk used for yogurt preparation has  
211 low acidification rate and slow growth of probiotic bacteria and prolongs fermentation time  
212 due to the low concentration of soluble carbohydrates in soy milk (Donker et al., 2007).  
213 Bioyogurt formulated with mixtures of 25% of soy milk and 75% of cow's or buffalo's milk  
214 received high scores for sensory evaluation and the optimum combination of milks helped to  
215 enhance the viable cells of probiotic bacteria (Ghoneem et al., 2017). Bernat et al., (2015)  
216 formulated a non-dairy yogurt-like product from the fermentation of almond milk by a  
217 combination of probiotic strains, *Lactabacillus reuteri* and *S. thermophilus*. The viability of  
218 both probiotic strains in almond milk yogurt was found to be decreasing throughout the 28  
219 days of cold storage. Nevertheless, the cell count of probiotic *L. reuteri* was above the  
220 minimum level recommended for probiotic products which was retained at  $\sim 10^7$  CFU/mL.  
221 Meanwhile, corn milk is another alternative for vegetable based yogurt products bearing  
222 balance nutritional content with sweet taste and nice aroma (Yasni & Maulidya, 2013).

223 Sensory analysis showed that the yogurt formulated with corn extract from corn kernels  
224 mixed with 5 % full cream milk powder and 10% sugar obtained the highest score. During 4  
225 weeks of cold storage, the cells counts of probiotics (*L. delbruekii*, *Streptococcus salivarius*  
226 and *Lactobacillus casei*) in the yogurt sample retained at  $1.5 \times 10^9$  CFU/mL which was above  
227 the number for probiotics critical threshold.

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#### 229 **2.1.4 Fruit yogurt**

230 Besides potential health benefits, consumers are tends to choose flavours as the key  
231 factor for food criterion for acceptance and thus addition of different fruits in yogurts to  
232 improve its flavour has been attempted progressively (Ndabikunze et al., 2017). Various  
233 studies demonstrated that adding some materials particularly fruits can increase the appealing  
234 taste of yogurt and improved the quality of yogurt particularly its nutritional properties  
235 (Hossain et al., 2012; Çakmakçı et al., 2012; Mahmood et al., 2008). Organoleptic evaluation  
236 has shown a marked preference for fruity yogurt as fruit yogurt has more taste and pleasing  
237 flavour (Amal et al., 2016). In the meantime, the utilization of persimmon marmalade in  
238 yogurt production has improved the taste, odour, appearance, perceived sweetness and fruits  
239 taste, acidic taste, structure and overall acceptability scores (Arshlan & Bayrakci, 2016).  
240 Common fruits that are frequently used in formulating a functional yogurt production are  
241 peaches, orange, strawberry, pineapple, cherries, apricots, and blueberries (Arslan & Özel,  
242 2012; Chandan et al., 1993). In general, fruits may be added to yoghurt formulae as single or  
243 blends in the form of refrigerated, frozen, canned fruit, juice or syrup (Cinbas & Yazici,  
244 2008).

245

### 246 **3. Roles of Probiotic organisms in yogurt**

#### 247 **3.1 Probiotic**

248 Probiotic can be defined as a live microbial food supplement that gives benefit to  
249 health through its effects in the intestinal tract (Corliss et al., 2013; Aurelia et al., 2011;  
250 FAO/WHO, 2002). Most probiotics fall into the group of organisms' known as lactic acid-  
251 producing bacteria and are normally consumed in the form of yogurt, fermented milks or  
252 other fermented foods (Handa et al., 2016). Various species of *Lactobacilli* and  
253 *Bifidobacteria* are formulated in more than 90 % of probiotic products and popular among  
254 health conscious consumers (Shah, 2000; Ranadheera et al., 2014). These bacteria also are

255 Generally Recognized as Safe (GRAS) (Goldin, 1992; Oakey, 1995). Table 1 shows the  
256 genera of bacteria that are commonly used as a probiotics in fermented dairy product.

257 In dairy fermentation, probiotic taking a role to assist in the preservation of the milk  
258 by the generation of lactic acid (Ming et al., 2016; Othman et al., 2017a; Othman et al.,  
259 2017b) and possibly antimicrobial compounds (Goudarzi et al., 2017; Halder et al., 2017),  
260 production of desirable flavour compounds (i.e., acetaldehyde, diacetyl in yogurt) (Ott et al.,  
261 2000; Pinto et al., 2009) and other metabolites. These properties will give a product with  
262 organoleptic properties desired by the costumer, improve nutritional value of food and  
263 provision of special therapeutic or prophylactic properties as cancer (Davoodi et al., 2013)  
264 and control of serum cholesterol levels (Ngongang et al., 2016). For example, *Lactobacillus*  
265 isolated from a fermented vegetable called Makdoos, was demonstrated to be able to inhibit  
266 the growth of several pathogens and highly effective against *Bacillus cereus*, *Salmonella*  
267 *typhimurium* and methicillin-resistant *Staphylococcus aureus* (MRSA) isolate (Adel et al.,  
268 2017). Moreover, the strains also comprise of antibiotic resistance that was pronounced  
269 against tetracycline, streptomycin kanamycin, and trimethoprim. In the meantime,  
270 *Lactobacillus animalis* LMEM6, *Lactobacillus plantarum* LMEM7, *L. acidophilus* LMEM8  
271 and *Lactobacillus rhamnosus* LMEM9 that were isolated from curd also showed antibiotic  
272 like activity against bacterial infection to humans (Halder et al., 2017). The potential benefits  
273 may result from the growth and action of the bacteria during the manufacture of cultured  
274 foods (Chen et al., 2017).

275 Additionally, foods that contain viable probiotic microorganisms show several health  
276 benefits, such as reduction and prevention of diarrhea, improving the intestinal microbiota  
277 balance through antimicrobial effects, decreasing lactose intolerance symptoms and food  
278 allergy, improving immune potency, anti-tumorigenic activities and reduction of the risk of  
279 colon cancers (McFarland, 2006; Vasudha & Mishra, 2013; Prasanna et al., 2014; Granato,  
280 Nazzaro et al., 2018). Probiotics also play roles as immune modulators, anti-hypertensive  
281 agent, hypocholesterolemics and perimenopausal treatments (Liong, 2007). The mechanisms  
282 by which probiotics exert their effects are largely unknown, but may involve modifying gut  
283 pH, antagonizing pathogens through production of antimicrobial compounds, competing for  
284 pathogen binding and receptor sites as well as for available nutrients and growth factors,  
285 stimulating immunomodulatory cells, and producing lactase (Bengmark 2000; Benchimol &  
286 Mack 2004). As depicted in Fig. 2, there may be four difference mechanisms in which  
287 probiotic may defend against pathogen (Bermudez-Brito et al., 2012).

288

## 289 3.2 Probiotic yogurt

290 Probiotic products must contain an adequate numbers of viable cells, at least  $10^6$  to  
291  $10^7$  CFU/mL at the time of consumption to certify the beneficial effects (Sohail et al., 2013).  
292 Conventional yogurt starter culture strains, *L. delbrueckii* subsp. *bulgaricus* and *S.*  
293 *thermophilus* are lack in the ability to survive passage through the intestinal tract (Mater et  
294 al., 2005). These starter culture strains may not play a significant role as probiotics in the  
295 human gut due to their incapability of colonizing the human intestine (McFarland, 2015).  
296 Therefore, the current trend is to add other probiotic strains during yogurt fermentation along  
297 with the starter culture bacteria to induce the probiotic effect. Basically, the manufacture of  
298 probiotic yogurt involves several steps starting from milk supplementation with dairy  
299 ingredients to increase protein concentration, homogenization of the fortified milk, heated at  
300  $90^\circ\text{C}$  for 10 min, cooling down to  $42^\circ\text{C}$  prior to inoculation with yogurt starter culture and  
301 selected probiotic bacteria (Marafon et al., 2010). In general, probiotic strains are selected on  
302 the basis of their safety, nutritive value and health promoting properties besides other  
303 valuable properties that may influence the shelf life, texture and appearance of the probiotic  
304 yogurt. Furthermore, selection criteria of probiotic strains must also take into account the  
305 possible interactions among the strains and dairy product and starter culture bacteria to  
306 optimize their performance and survival during storage (Casarotti et al., 2014). It is quite a  
307 common practise to combine these probiotic strains with the yogurt starter culture bacteria to  
308 reduce fermentation time (Damin et al., 2008). Nevertheless some probiotic bacteria grow  
309 slowly in milk due to lack of essential proteolytic activity and their acidifying characteristic  
310 may affect the product texture (Lucas et al., 2004). In comparison to yogurt starter culture,  
311 probiotic bacteria are often having a poor acidification performance in milk (Almeida et al.,  
312 2008). Addition of probiotic culture will reduce the acid accumulation during storage period  
313 (Kailasapathy, 2006). Furthermore, post exopolysaccharides was observed in yogurts  
314 supplemented with probiotic cultures compared to yogurt without probiotics. High  
315 exopolysaccharides may provide a better texture for yogurt (Han et al., 2016). It is known  
316 that microbial exopolysaccharides may improve body and texture of fermented products as  
317 they serve as emulsifying or gelling agents, thickening and also stabilizing agents. Among  
318 various LAB, *Bifidobacterium* and *Lactobacillus* are the commonly selected genera to be  
319 added in the probiotic yogurt product (Chen et al., 2017). Generally, the efficiency of added  
320 probiotic bacteria in yogurt is dependent on dose level and their viability must be maintained  
321 throughout storage, and they must survive the gut environment (Aryana et al., 2007). The  
322 combination of probiotic bacterium *Bifidobacterium animalis* spp. *lactis* BL 04 with *S.*

323 *thermophilus* produces rheological characteristics similar to yogurt and hence suitable to be  
324 used in the production of probiotic fermented milk (Damin et al., 2008). *Lactobacillus*  
325 *gasseri* 4/13 was successfully applied as an adjunct culture to yogurt starters (*L. delbrueckii*  
326 subsp. *bulgaricus* and *S. thermophilus* in combination with a commercial Direct Vat Set  
327 (DVS) yogurt starter cultures (LBB 41-8 or LBB 5-54V or LBB 435)) producing yogurt with  
328 well-accepted taste and concentration of viable *L. gasseri* 4/13 that remained above the  
329 critical threshold of  $10^6$  CFU/mL during 21 days storage period (Baltova & Dimitrov, 2014).  
330 Human origin probiotic strain, *L. gasseri* 4/13 is an attractive adjunct monoculture in the  
331 production of functional foods as the strains was demonstrated to have high rate of adhesion  
332 to Caco-2 human epithelial cells, good ability in reducing cholesterol and also capable to  
333 induce the production of interferon gamma. *L. rhamnosus* GR-1 and RC-14 are other  
334 probiotic strain that have the ability to be delivered in a yogurt form with good survival rate  
335 and resulted in palatable taste and texture (Hekmat & Reid, 2006). A study on the effect of  
336 short term (1 month) consumption of yogurt supplemented with probiotic strains, *L.*  
337 *rhamnosus* GR-1 and RC-14 demonstrated the product promote the formation of a desirable  
338 anti-inflammatory environment in the peripheral blood of inflammatory bowel disease  
339 patients without any harmful side effects (Baroja et al., 2007).

340

### 341 **3.3 Application of encapsulated probiotic bacteria in yogurt**

342 Despite the benefits offered by the incorporation of probiotic bacteria in dairy product  
343 especially yogurt, the main challenge is to maintain the viability rate of the bacteria to above  
344 the critical threshold of  $10^6$  CFU/mL throughout the product shelf life (Lourens-Hattingh &  
345 Vilijoen, 2001; Shah, 2000). Furthermore, upon consumption, the probiotic bacteria must be  
346 resistance to low pH, bile acids and digestive enzymes to remain viable during their passage  
347 through the gastrointestinal tract (Halim et al., 2017). Several brands of probiotic yogurt  
348 available in the market were analyzed to have inadequate presence of viable cells of probiotic  
349 strains such as *L. acidophilus* and *Bifidobacteria* (Shah, 2000; Iwana et al., 1993). This  
350 inspection has led to a new trend of application of encapsulated bacterial cells in functional  
351 food products such as yogurt aiming to increase viability of probiotic bacteria during shelf  
352 life. Several commonly used methods for encapsulation of probiotic strains include extrusion  
353 (Halim et al., 2017), emulsion (Kumar & Kumar, 2016), spray drying (Hernandez-Carranza  
354 et al., 2014) and phase separation (Borza et al., 2010). Alginate (Kumar and Kumar, 2016),  
355 gelatine (Mathews, 2017), gellan gum (Totosaus et al., 2013), carrageenan (Cheow &  
356 Hadinoto, 2013) and starch (Donthidi et al., 2010), are among the widely used materials for

357 coating probiotic cells for the encapsulation process. Coating materials must be selected  
358 based on their attributes in preventing cell release and increases mechanical and chemical  
359 stability of the bead produced. Microencapsulated probiotic strains may be added either  
360 before or after yogurt fermentation (Krasaekoopt et al., 2004). It was reported that the  
361 addition of spray dried-microencapsulated *Bifidobacterium breve* R070 and *Bifidobacterium*  
362 *longum* R023 in whey protein polymers have increased the survival and viability of the  
363 probiotic strains in yogurt during 28 days storage at 4°C (Picot and Lacroix, 2004). The  
364 advantage of supplementation of encapsulated probiotic cells in yogurt was also presented by  
365 Iyer & Kailasapathy (2005). In the study, probiotic strains *L. acidophilus* CSCC 2400 and *L.*  
366 *acidophilus* CSCC 2409 were coated with different coating polymers (alginate, chitosan and  
367 poly-L-lysine) by immersion technique. During a 6 weeks storage period, it was observed  
368 that the viable cell counts of yogurts in the presence of encapsulated and co-encapsulated  
369 (chitosan coated) of probiotic beads were only 2-log and 1-log cycle decrease, respectively,  
370 compared to yogurt with non-coated probiotic cells that recorded a 4-log drop in cell  
371 numbers. Meanwhile, yogurt supplemented with alginate microencapsulated *L. rhamnosus*  
372 was more stable in term of viability in comparison to carrageenan microencapsulated and free  
373 culture probiotic yogurts (Kumar & Kumar, 2016). In a food product application, besides the  
374 number of probiotic viable cells that mostly influenced by the encapsulation method and  
375 coating materials, the size of probiotic bead produced must also be considered. The presence  
376 of microencapsulated probiotics should not affect the sensory attributes of the products. An  
377 assessment study conducted by Krasaekoopt & Tandhandkul (2008), found that the  
378 consumer acceptances for plain and fruit yogurt containing probiotic beads were as high as  
379 82.3% and 94.9%, respectively. Probiotic cells can also be incorporated in yogurt via  
380 immobilization in natural supports such as fruits and grains. For instant, yogurt supplemented  
381 with immobilized *L. casei* on fresh apple pieces, wheat grains or dried raisins showed  
382 improved cells viability (7 log CFU/g) after 60 days of storage at 4°C than that obtained in  
383 yogurt with free probiotic cells (Bosnea et al., 2017). In particular, raisins and wheat grains  
384 were the most promising supports for *L. casei* as their matrix seem to protect the cells from  
385 acidic environment and also presented less syneresis (appearance of liquid on the milk gel  
386 surfaces and gel shrinkage) due to their water holding capacity.

387

#### 388 **4. Roles of Prebiotics in Yogurt**

389           Prebiotics fall into a category of functional food and can be defined as the non-  
390 digestible food ingredients that beneficially affect the host by selectively stimulating the  
391 growth and/or activity of one or a limited number of bacteria in the colon thus improve host  
392 health (Csutak, 2010; Tomasik, Tomasik, 2006, Corliss et al., 2013). The most prevalent  
393 forms of prebiotics are classed as soluble fibre and traditional dietary sources of prebiotics  
394 include soybeans, inulin sources (such as Jerusalem artichoke, jicama, and chicory root), raw  
395 oats, unripe wheat, unripe barley, onion, banana, asparagus and yacon (Ozcan & Kurtuldu,  
396 2014; Manning & Gibson, 2004; Oliveira et al., 2009). Nevertheless, the levels of prebiotics  
397 in these food sources are generally too low to exhibit any significant effect on the  
398 composition of intestinal microflora. Thus, prebiotics are commercially extracted and  
399 concentrated from fruits and vegetables through the hydrolysis of polysaccharides from  
400 dietary fibres or starch, or through enzymatic generation. Prebiotics are mixtures of  
401 indigestible oligosaccharides, except for inulin which is a mixture of fructooligo- and  
402 polysaccharides (Manning & Gibson, 2004; Gibson et al., 2000). Nowadays, prebiotic  
403 oligosaccharides are increasingly added to foods because of their health benefits. Some  
404 oligosaccharides that are used in this manner are fructooligosaccharides (FOS),  
405 xylooligosaccharides (XOS), polydextrose and galactooligosaccharides (GOS) (Csutak,  
406 2010). Table 2 shows several studies that had been conducted to explore the prebiotic  
407 potential of foods and their influences on LAB.

408           In yogurt, prebiotic act as a substrate for the growth of probiotic bacteria and  
409 consequently enhance the gastrointestinal functions and immune system. Prebiotics can also  
410 increase the absorption of calcium and magnesium, influence blood glucose levels and  
411 improve plasma lipids (Csutak, 2010). Prebiotics may also provide a positive influence on  
412 probiotic bacteria multiplication (Younis et al., 2015). Kumari (2015) observed the increase  
413 of cell count of *Bifidobacterium* in yogurt that was incorporated with rice as compared to the  
414 plain yogurt due to the prebiotic effect. Likewise, Amarakoon et al., (2013) also  
415 demonstrated that cooked rice can facilitate the growth and survival of probiotic bacteria such  
416 as *Bifidobacteria*. A natural polymer, guar gum that is obtained from the seeds of *Cyamopsis*  
417 *tetragonolobus* is another prebiotic compound that may help to stimulate the growth of  
418 probiotic bacteria or native gut microflora (Mudgil et al., 2018). Previously, Mudgil et al.,  
419 (2016) studied on the supplementation of partially hydrolyzed guar gum to act as soluble  
420 fiber enrichment while formulating a functional yogurt. Prior to application in yogurt, guar  
421 gum was first subjected to enzymatic hydrolysis by cellulase from *Aspergillus niger* and  
422 freeze dried to powder form. Guar gum was observed to have prominent effects on several

423 characteristics of yogurt. In comparison to control yogurt, guar gum fortified yogurt showing  
424 an increase in pH, viscosity, water holding capacity but lower in titratable acidity and in  
425 general was well acceptable in term of functional and sensory quality. In contrast, Hassan et  
426 al., (2015) reported that the addition of 2.5% guar gum or 0.5 % cress seed mucilage did not  
427 affect the pH, fermentation time and proteolysis extent of set-yogurt throughout the 15 days  
428 storage period at  $5\pm 2^{\circ}\text{C}$ . Nevertheless, the present of these potential prebiotic compounds  
429 improved the quality of set-yogurt as compared to the polysaccharide free yogurt. Yogurts  
430 with good organoleptic (in term of flavour, appearance, body and texture) acceptance were  
431 previously formulated with several polysaccharides extracted from taro corm (*Arum*  
432 *colocasia*), mature okra fruit (*Hibiscus esculents*), whole plant Jew's-mallow (*Corchorus*  
433 *olitorius*) (Hussein et al., 2011). A further study on the effect of these plant polysaccharides  
434 on the growth of probiotic bacteria in yogurt will determine their potential as prebiotic  
435 compounds.

436

## 437 **5. The importance of synbiotic concept in yogurt**

438

439 Synbiotics is a combination of probiotic and prebiotics that will affect host  
440 beneficially by improving the survival and implantation of selected live microbial strains in  
441 gastrointestinal tract (Khurana & Kanawjia., 2007). Synbiotics have great benefits to health  
442 such as antimicrobial, anticancer, anti-allergic and immune-stimulating properties (Buterikis  
443 et al., 2008). The combination of probiotic bacteria with prebiotic compound can cause the  
444 release of antibacterial substances such as bacteriocin which can retard the growth of  
445 pathogenic bacteria. A study by Kleniewska et al., (2016) has proven that the administration  
446 of synbiotics containing  $4 \times 10^8$  CFU/mL *L. casei* and 400 mg of inulin have positive  
447 influences on human plasma antioxidant capacity and antioxidant enzymes activities. In  
448 addition, synbiotics can improve the absorption of minerals, prevent diarrhoea and optimize  
449 assimilation of nutrients (Buterikis et al., 2008).

450 Among the commonly used probiotic strains for synbiotic product formulations are  
451 *Lactobacilli*, *Bifidobacteria* spp, *Saccharomyces boulardii* and *Bacillus coagulans* whilst the  
452 major prebiotics used include oligosaccharides such as fructooligosaccharide (FOS),  
453 galactooligosaccharides (GOS), xyloseoligosaccharides (XOS), inulin and prebiotic from  
454 natural sources like yacon roots and chicory (Kavita et al., 2015). The formulation of  
455 synbiotic soy yogurt using probiotic strains of *L. acidophilus* NCDC11, *S. salivarius* subsp.  
456 *thermophilus* NCDC118 as well as fructooligosaccharide as prebiotic was previously

457 optimized using response surface methodology (RSM) (Pandey & Mishra, 2015). The  
458 mathematical modelling and optimization tool were employed to evaluate several parameters  
459 (combined effects of FOS, fermentation temperature and time, inoculum level of probiotic  
460 strain, whey separation, yogurt texture and sensory attributes) that aimed for improved  
461 product characteristics and consumer acceptability. In particular, the synbiotic soy yogurt  
462 produced was satisfactory in term of textual and sensory characteristics with good nutritional  
463 properties. Mishra & Mishra (2013), also demonstrated an attempt to reduce the after-taste of  
464 soymilk yogurt, and improve acidification rates and growth of probiotics via the addition of  
465 FOS. The presence of 2% (w/v) FOS as recommended in dairy products provides sweetness  
466 that improves the sensory profile of soy yogurt. In the meantime, the supplementation of total  
467 dietary fibres from apple and banana in probiotic yogurt also increased the shelf life of  
468 probiotic strains, *L. acidophilus* and *B. animalis* subsp. *lactis* (do Espirito Santo et al., 2012).  
469 The effects can be associated to the high contents of pectin and fructooligosaccharides in both  
470 fruits (Emaga et al., 2008). The addition of passion fruit rinds that are rich in pectin in yogurt  
471 containing the similar probiotic strains (*L. acidophilus* and *B. animalis* subsp. *lactis*)  
472 exhibited a higher viscosity in comparison to control yogurt (Espirito-Santo et al., 2013). In  
473 term of sensory analysis, the probiotic yogurt that enriched with passion fruit fibre received a  
474 good score for appearance, colour and odour but the intensity of the flavour was considered  
475 weak. A few other studies on synbiotic yogurts and their important findings are summarized  
476 in Table 3.

477

## 478 **6. Role of Phytochemicals in yogurt**

### 479 **6.1 Bioactive phytochemicals**

480 Phytochemical comes from Greek word phyto, which means plant. It is biologically  
481 active, naturally occurring chemical compounds found in plants that impart health benefits  
482 for humans that beyond their use as macronutrients and micronutrients (Bloch, 2003).  
483 Generally, it is the plant chemicals that help to protect plant cells from environmental hazards  
484 or threats such as drought, UV exposure, pollution, stress and pathogenic attack (Gibson,  
485 1998; Mathai, 2000). Phytochemicals that are recognized for their health potentials include  
486 phenolic compounds (i.e., flavonoids, phenolic, phytoestrogens), carotenoids, phytosterols  
487 and phytostanols, organosulfur and nondigestible carbohydrate compounds (Rodriguez et al.,  
488 2006; Saxena et al., 2013). The health related properties of bioactive phytochemicals such as

489 carotenoids and phenolic are believed to be due to their antioxidant activity (Prior & Cao,  
490 2000). Antioxidant activity inhibits the oxidation of molecules caused by free radicals and  
491 hence important for dairy food for the shelf life of the product and to provide protection for  
492 human body from oxidative damage upon consumption (Alenisan et al., 2017).  
493 Phytochemicals can be isolated and characterized from fruits, vegetables, grains, legumes,  
494 spices, beverages such as green tea and red wine and numerous other sources (Doughari &  
495 Obidah, 2008; Doughari et al., 2009).

496

## 497 **6.2 Applications of bioactive phytochemicals in yogurt**

498 Owing to consumer's preferences and demands for functional foods, bioactive  
499 phytochemicals from various sources are progressively being applied as an ingredient to  
500 improve quality traits, nutritional and therapeutic properties (Alenisan et al., 2017; Granato,  
501 Santos et al., 2018). Phytochemicals can be introduced in yogurt in the form of essential oil  
502 or plant extract. The present findings by Azizkhani and Tooryan (2016), suggested that  
503 adding zataria, basil, or peppermint essential oil into probiotic yogurt formulation could  
504 improve the potential functionality of the product and also provide an inhibitory effect  
505 against *Listeria monocytogenes* and *Escherichia coli*. The additions of lemongrass leaves and  
506 stem into yogurt have improved the physicochemical properties as well as sensory  
507 characteristics of the yogurt (Shaaban, 2010). Apart from that, they also play role for  
508 decontamination from mycotoxigenic fungi and mycotoxins formation in yogurt. Some *in*  
509 *vitro* studies also showed that phytochemicals in spices significantly enhanced the growth of  
510 probiotics while inhibiting pathogens in yogurt (Be et al., 2009; Sutherland et al., 2009).  
511 Guava (*Psidium guajava*) leaf extract was supplemented in functional yogurt made from a  
512 skimmed buffalo's milk as a source of phenolic compounds and natural antioxidant (Ziena &  
513 Abd-Elhamid, 2009). The water extract of guava leaf showed changes in titratable acidity and  
514 pH during 5 days of cold storage but did not influence any deterioration effect in the  
515 organoleptic properties and the storage ability. Sun-Waterhouse et al., (2013) developed a  
516 drinking yogurt with supplementation of blackcurrant berry as a source for polyphenols (i.e.,  
517 flavonols, flavanols, anthocyanins, proanthocyanidins, hydroxybenzoic acids and  
518 hydroxycinnamic acids). Polyphenols hold potential health promoting properties such as  
519 antioxidant, reducing muscle fatigue and increasing peripheral blood flow. Blackcurrant berry  
520 can be incorporated into drinking yogurt to add flavour and provide antioxidant properties in  
521 form of juice or an extract (higher polyphenol content) during pre- or post-fermentation.  
522 Blackcurrant polyphenols added during pre-fermentation of yogurt resulted in polyphenolic

523 metabolism to small phenolic molecules and 3.5-9.5 times the total extractable polyphenol  
524 content value of drinking yogurt was obtained when blackcurrant polyphenols was added  
525 during post-fermentation. The presence of polyphenols also influenced the appearance,  
526 growth and survival rate of *Streptococcus* and *Lactobacillus* yogurt starter cultures.  
527 Meanwhile, yogurt fortified with *Azadirachta indica* (neem) showed higher antioxidant effect  
528 with higher total titratable acid and lower pH than that observed for the plain yogurt during  
529 28 days of cold storage period (Shori & Baba, 2013). *A. indica* yogurt also showed  
530 considerably high inhibitions for  $\alpha$ -amylase,  $\alpha$ -glucosidase and angiotensin-1 converting  
531 enzyme and hence has a great potential to be further developed as a functional yogurt  
532 targeted for consumers with diabetes and hypertension. Table 4 summarized several other  
533 plants that were applied for formulation of functional yogurt rich of various phytochemical  
534 components.

535

### 536 **6.3 Bioactive phytochemicals form fruit waste and its application in yogurt**

537 Formulation of functional foods is also directed towards the use of fruit processing  
538 wastes as they are rich in bioactive compounds and dietary fibres besides could serve as  
539 practical and economic sources of antioxidants (Reddy et al., 2007). High antioxidant activity  
540 in yogurt may be favourable in term of reducing lipid oxidation process that might be  
541 responsible for unwanted chemical compounds and formation of undesired flavour (Berset et  
542 al., 1994). Pomegranate peel extracts was used in the formulation of functional stirred yogurt  
543 owing to its therapeutic properties for treating of various illness such as fever, diarrhea,  
544 malaria, bronchitis, urinary tract infection and vaginitis (El-Said et al., 2014). The addition of  
545 pomegranate peel extract in the yogurt prior to inoculation with yogurt starter cultures  
546 resulted in higher antioxidant activity than that measured in yogurt added with pomegranate  
547 peel extracts after the inoculation step of starter cultures. Pomegranate peel extracts had no  
548 significant effects on the flavour, appearance, body and texture but decreased the viscosity of  
549 yogurt when added at concentration of above 25%. Recently, pineapple waste was formulated  
550 into a functional yogurt aimed to establish prebiotic potential, antioxidant as well as  
551 antimutagenic properties (Sah et al., 2016). The inclusion of oven and freeze dried peel and  
552 pomace of pineapple powder increased the cell counts of three probiotic strains (*L.*  
553 *acidophilus*, *L. casei* and *Lactobacillus* spp. *paracasei*) by 0.3 to 1.4 log cycle. The soluble  
554 peptide extracts of yogurt samples showed high antioxidant activity via *in-vitro* assays and  
555 exhibited antimutagenic activity when tested against mutagenicity effect of sodium azide on  
556 *S. typhimurium*. Meanwhile, Marchiani et al., (2016) utilized grape skin flour from grape

557 pomace as a source for polyphenolic compounds in yogurt that prepared by UHT whole milk  
558 and YO-MIX 401 starter culture (a mixture of *S. thermophiles* and *L. delbruckii* subsp.  
559 *bulgaricus*). Yogurt fortified with grape skin contained higher phenolic content (+55%),  
560 antioxidant activity (+80%), acidity (+25%) but lower pH, syneresis (-10%) and fat (-20%)  
561 than that obtained in the control yogurt. Sensory analysis revealed that the yogurt fortified  
562 with grape skin showed a loss of textural quality.

563

## 564 **7. Conclusions and future aspects**

565 Yogurt has always been one of the vital players in the spectrum of fermented food products  
566 that transform science and technology into health and wellness through diet. The science to  
567 develop functional yogurt with specific quality and potential benefits must recognize the  
568 complex biology underlying four main features which are milk, bacteria, functional  
569 components and consumers. As probiotics and prebiotic industries are flourishing, consumers  
570 are more likely to invest on products with the highest quality and benefits. The growing  
571 interest and undeniable roles played by both probiotic and prebiotic in improving  
572 functionality of the products, enhancing sensory characteristics and extending the shelf life  
573 by inhibiting pathogens have nourished their combination as synbiotic yogurt. Moreover, the  
574 relationship of food and well-being is further enhanced with the incorporation of bioactive  
575 phytochemicals in yogurt varieties to act as functional components for health maintenance.  
576 Taking into consideration of the fast evolution of functional yogurts either at research stage  
577 or marketplace, further development would demand an accurate measure of the quality, safety  
578 and efficacy to meet consumer's expectations on quality and claimable health benefits. The  
579 confirmation of health promoting properties and efficacy would involve a broader range of  
580 research from *in vitro* experiments to *in vivo* and clinical studies.

581