

Giant Enhancement of SHG via Doubly Symmetry Protected Bound States in the Continuum of PhC Slabs

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Outline

□ Motivation

- ✓ Synergy between topological photonics and nonlinear optics can lead to new physics
- ✓ Impact at fundamental level and device applications

□ Bound-states in the continuum (BICs) – a brief review

- ✓ BIC properties
- ✓ Relation to system topology and symmetry properties

□ Optical structures with doubly-resonant BICs

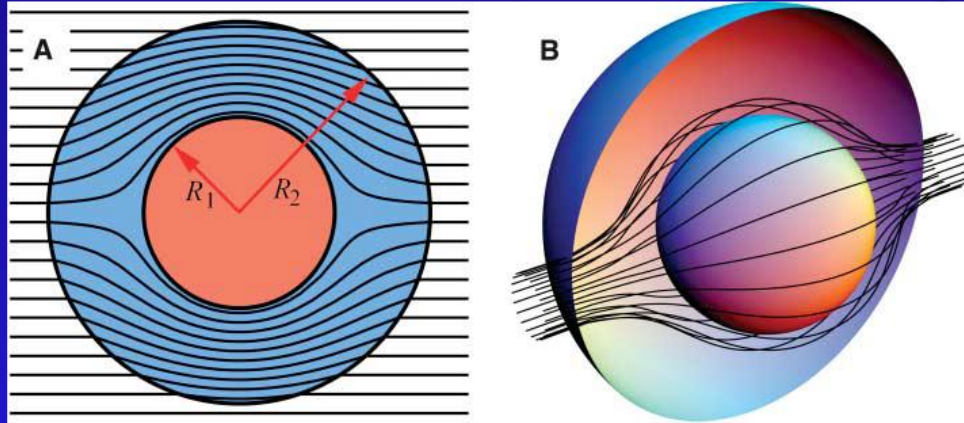
□ SHG in optical systems with doubly-resonant BICs

- ✓ System design
- ✓ SHG enhancement

□ Conclusions

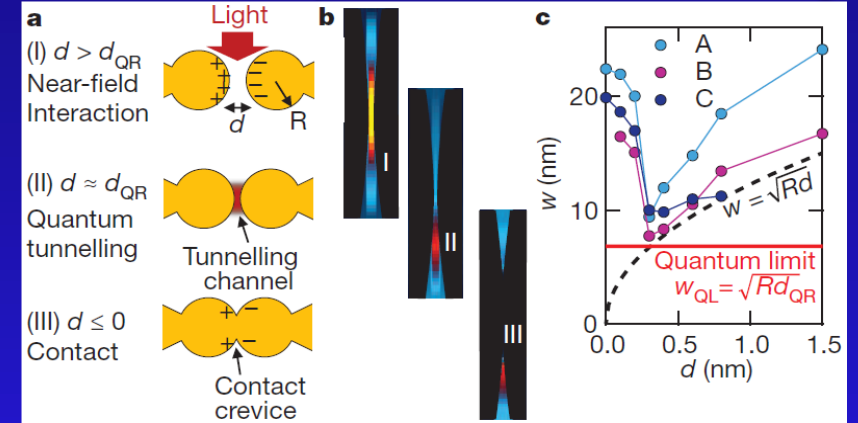
Light-matter interaction at the nanoscale

Transformation optics – Cloaking



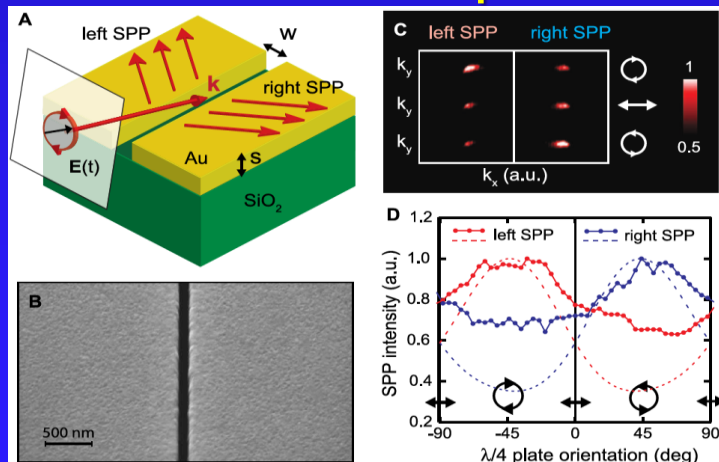
J.B. Pendy, et al., *Science* **312**, 1780 (2006).

Quantum plasmon tunnelling



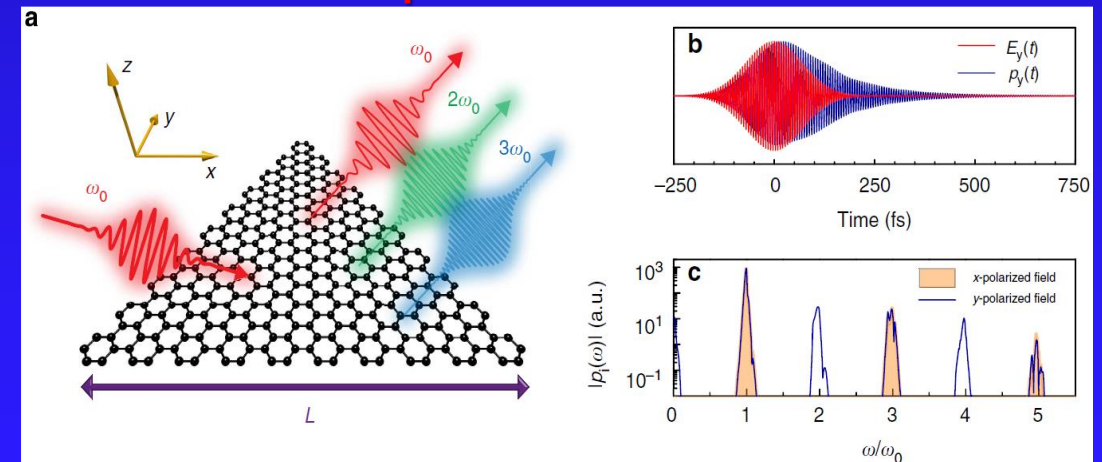
K.J. Savage et al., *Nature* **491**, 574 (2012).

Near-field manipulation



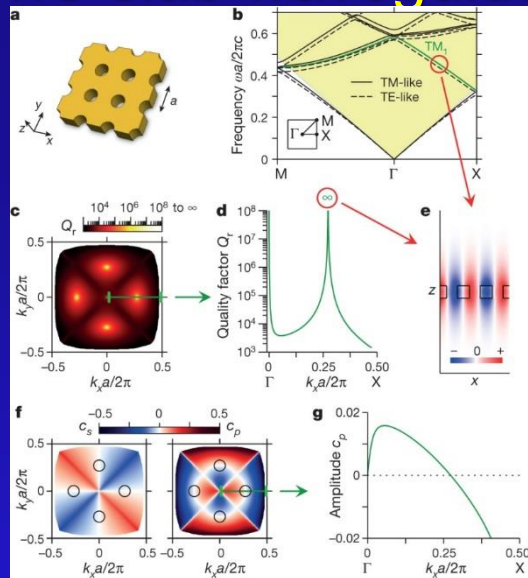
F. Rodriguez et al., *Science* **340**, 329 (2013).

Nonlinear optics in nanostructures



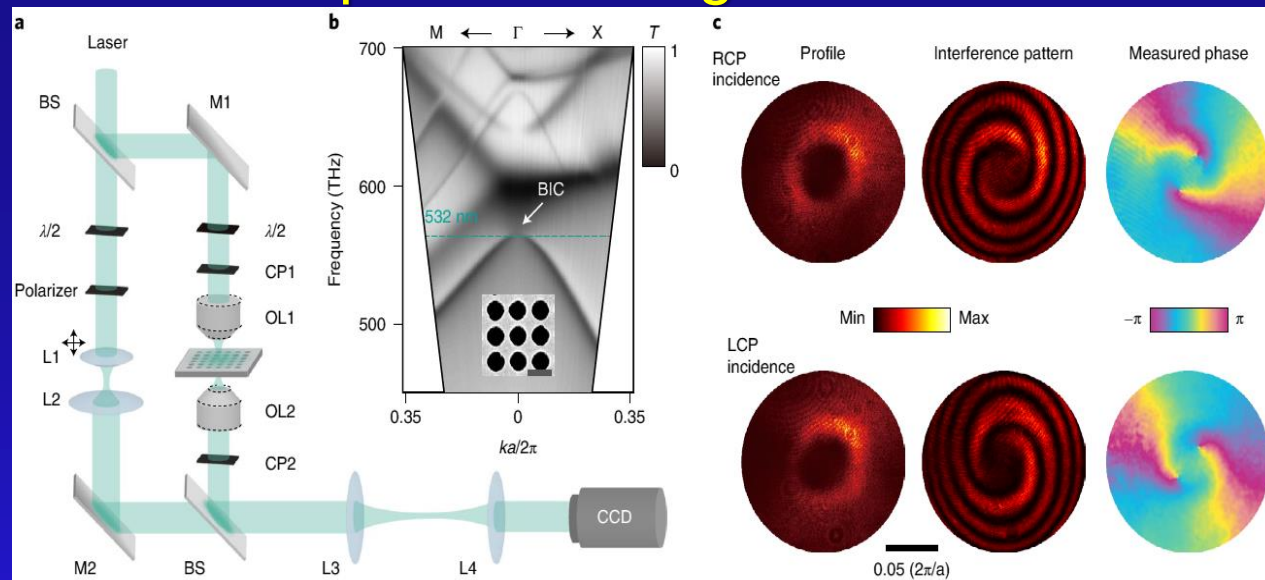
J. D. Cox & F. J. G. de Abajo, *Nature Commun.* **5**, 5725 (2014).

PhC slab waveguides



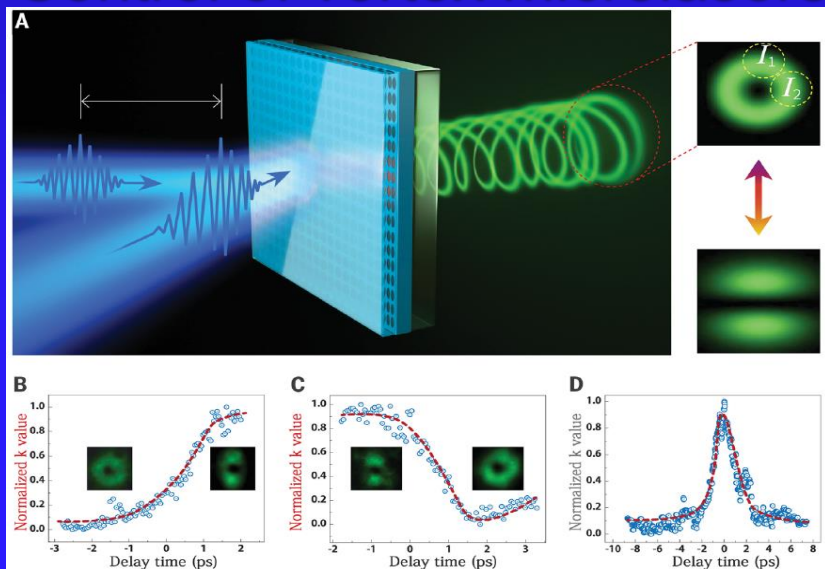
C.W. Hsu et al., Nature 499, 188 (2013).

Optical vortex generation



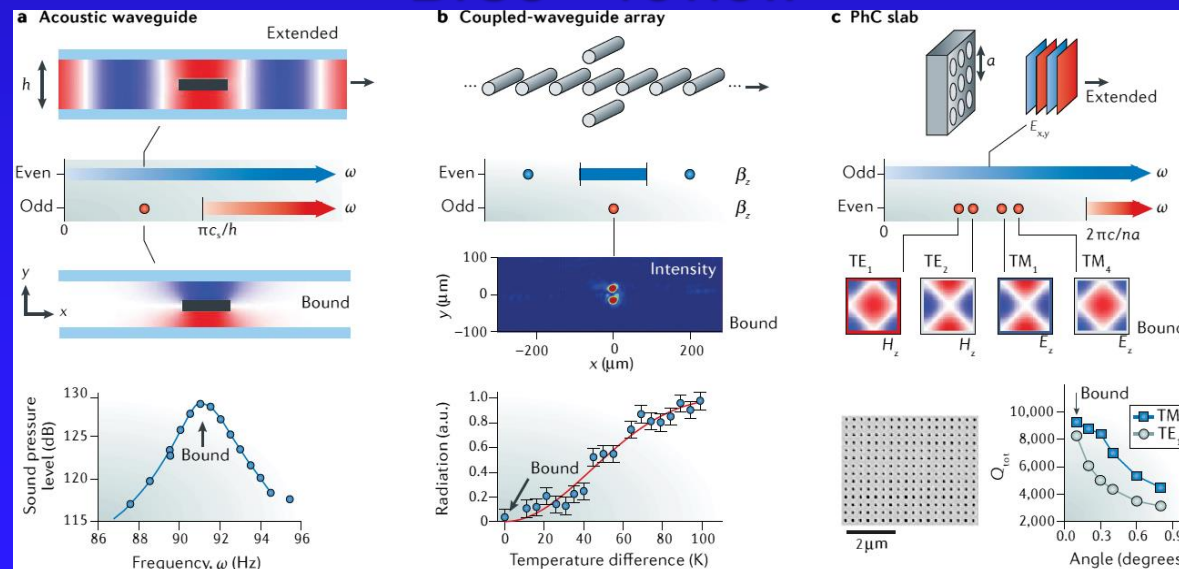
B. Wang, et al., Nat. Photon. 14, 623 (2020).

Control of vortex microlasers



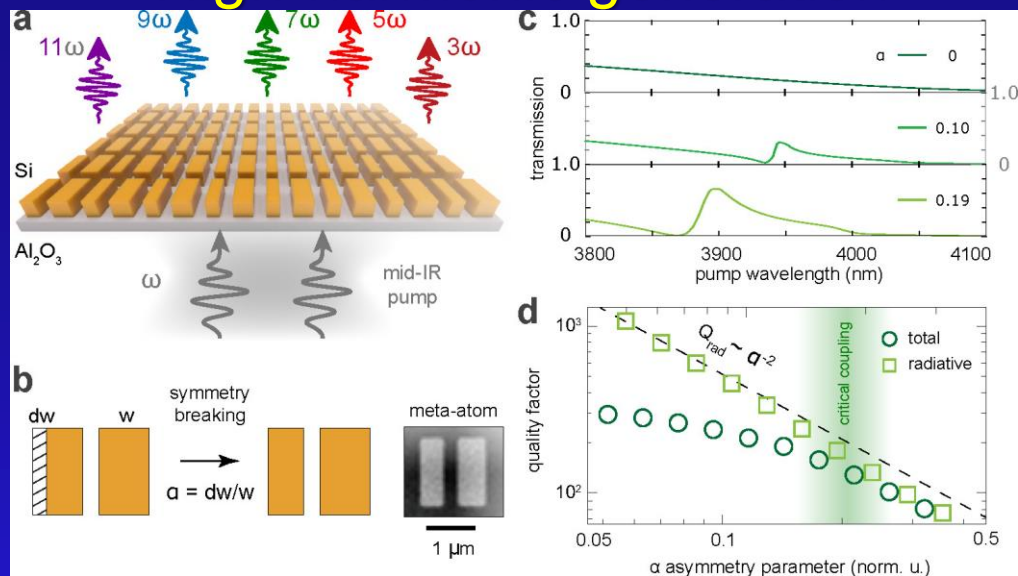
C. Huang, et al., Science 367, 1018 (2020).

BICs – review



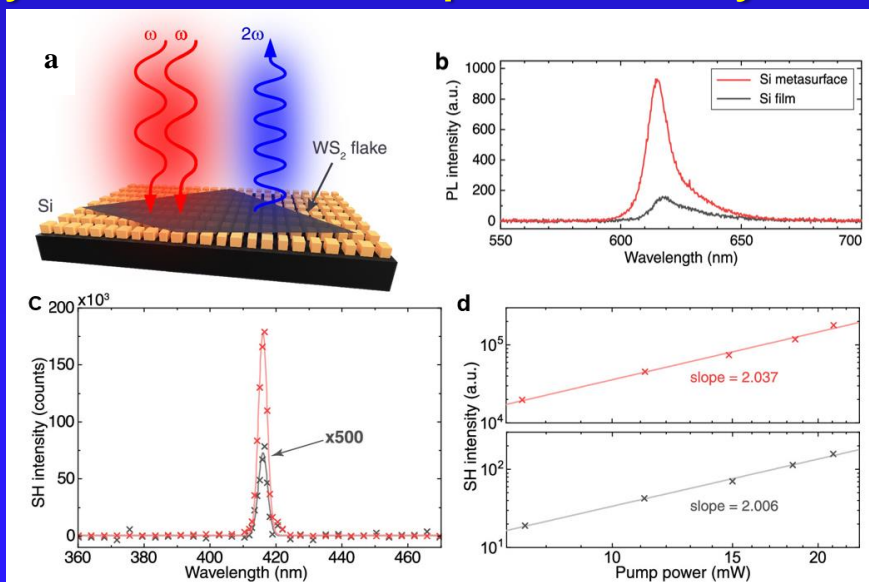
C. W. Hsu, et al., Nat. Rev. Mater. 1, 16048 (2016).

High-harmonic generation



G. Zograf Hsu et al., *ACS Photonics* **9**, 567 (2022).

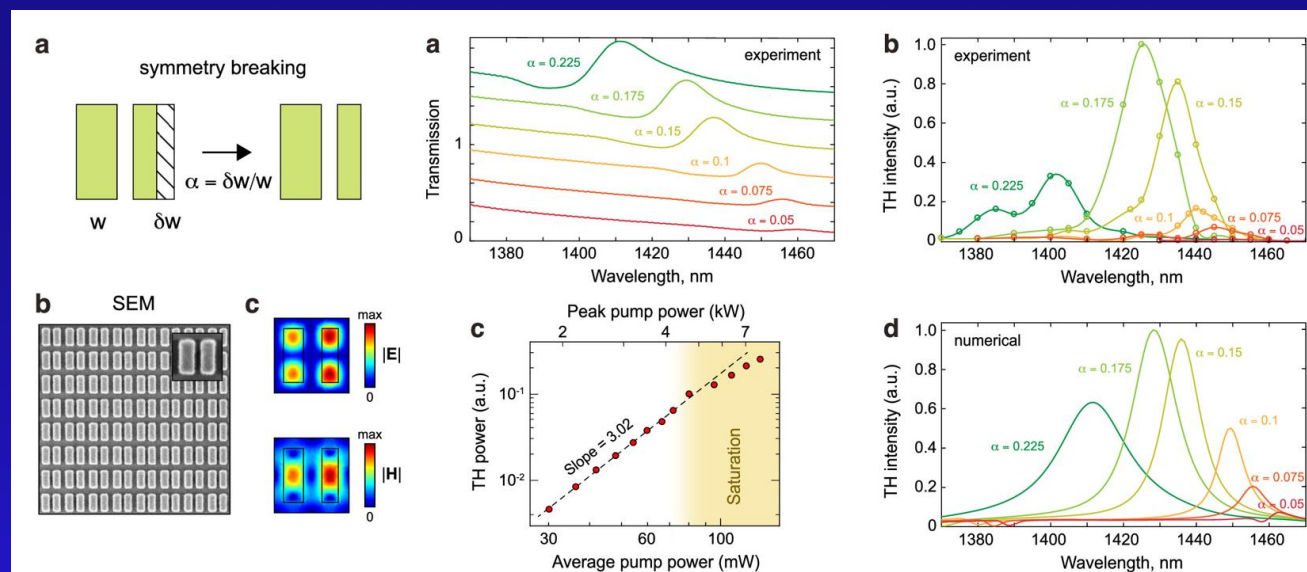
Hybrid nonlinear photonic system



N. Bernhardt, et al., *Nano Lett.* **20**, 5309 (2020).

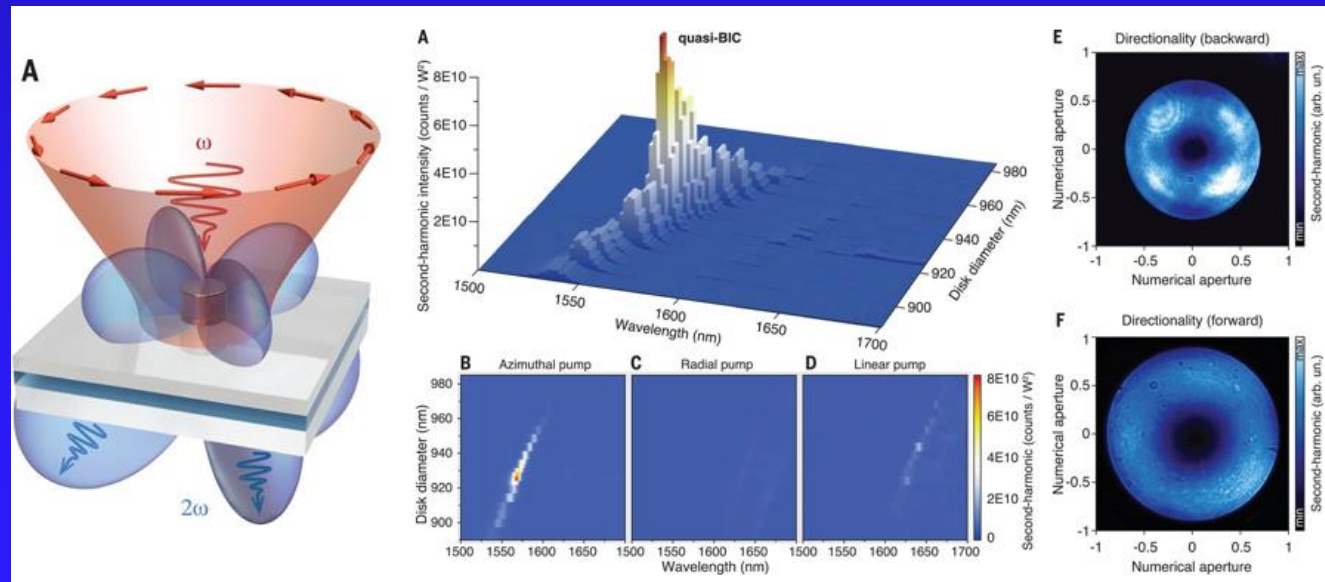
NLO'23, July 2023

All-dielectric nonlinear metasurface



K. Koshelev, et al., *ACS Photonics* **6**, 1639 (2019).

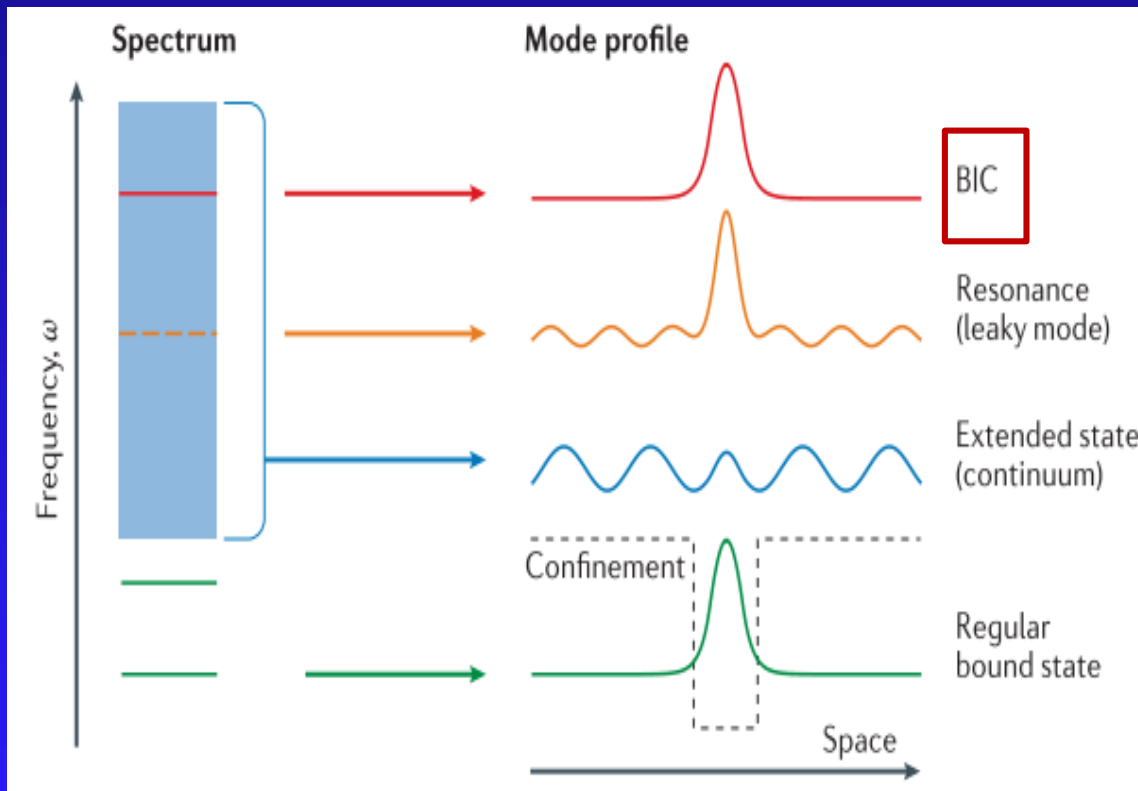
Nonlinear isolated dielectric resonators



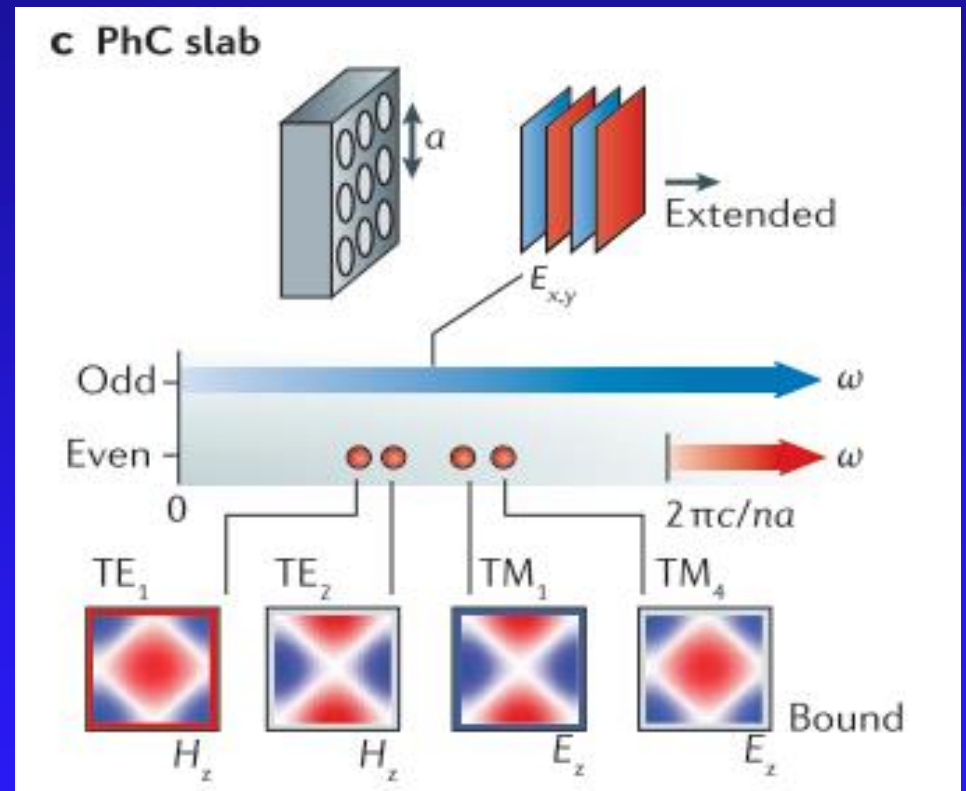
K. Koshelev, et al., *Science* **367**, 288 (2020).

Background

Illustration of a BIC



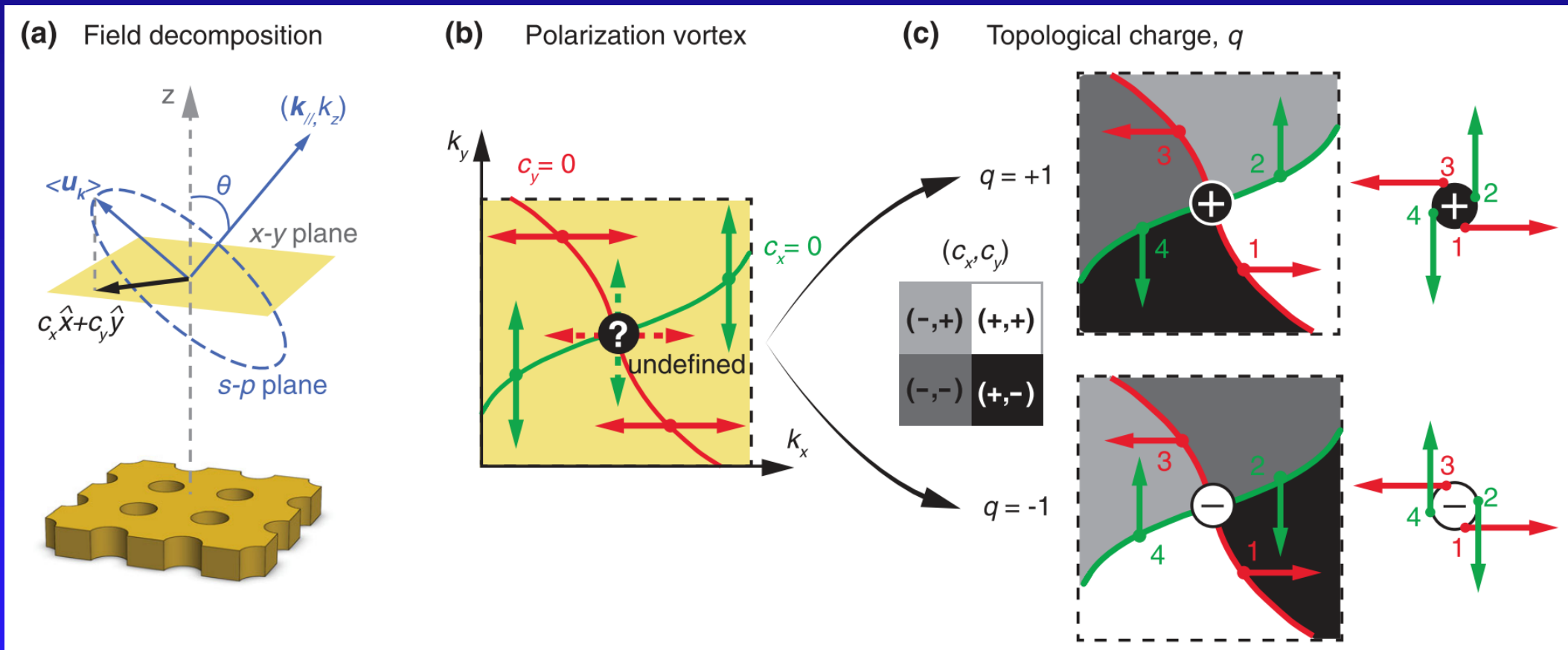
Symmetry-protected BICs



C.W. Hsu, et al., *Nature Rev. Mater.* 1, 16048 (2016).

- ✓ Symmetry-protected BICs
- ✓ Separable BICs
- ✓ Fabry-Pérot BICs

Topological nature of BICs



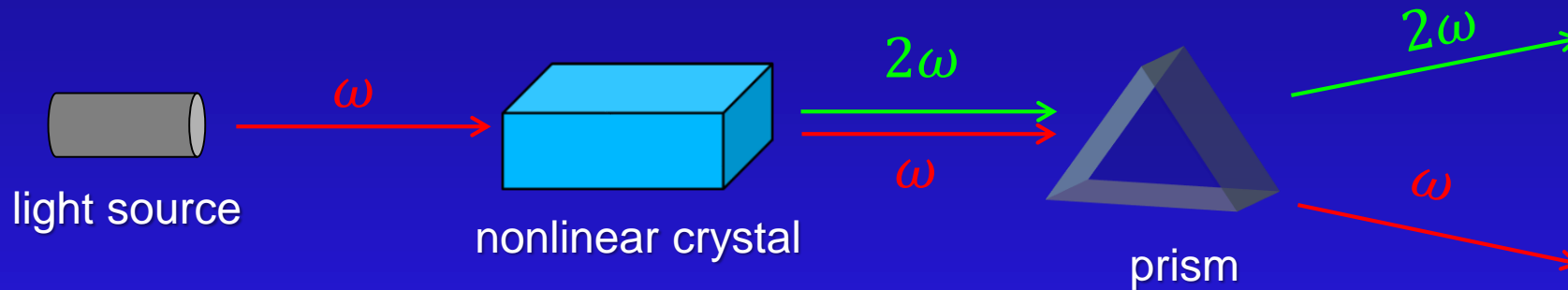
B. Zhen, et al., *Phys. Rev. Lett.* 113, 257401 (2014).

$$q = \frac{1}{2\pi} \oint d\mathbf{k} \cdot \nabla_{\mathbf{k}} \phi(\mathbf{k})$$

topological
charge

Nonlinear Optical Processes

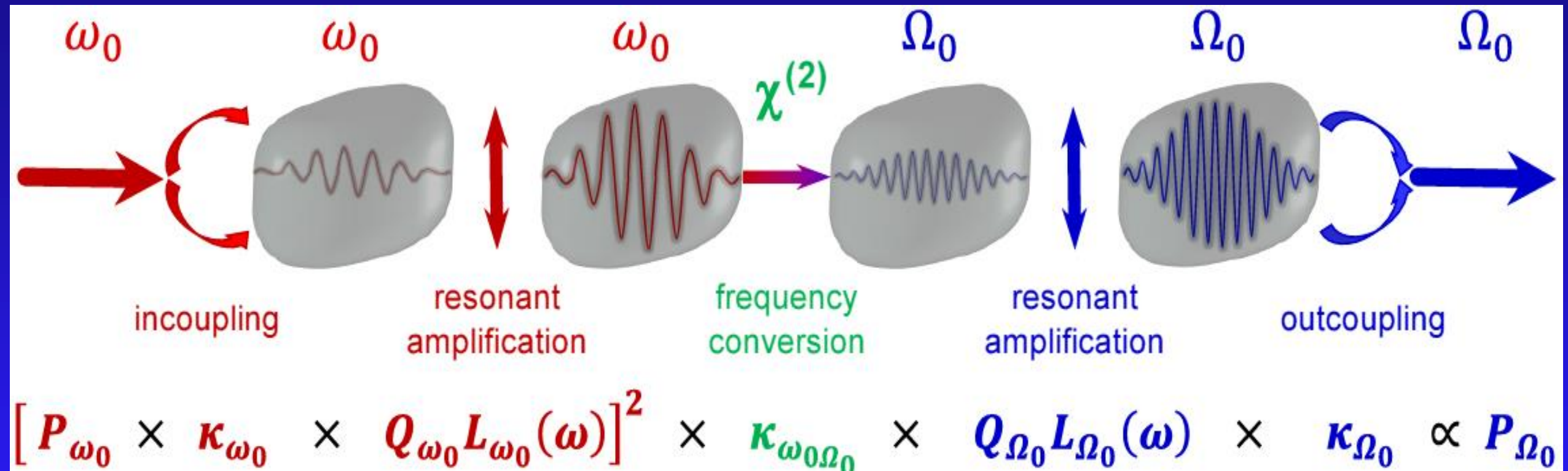
- **Nonlinear optics key for many applications:** wavelength conversion, signal processing, optical microscopy



□ Challenges:

- ✓ **Practical:** nonlinear optical response is generally weak
 - ✓ need for local field enhancement
 - ✓ periodic structures are ideal for engineering optical near and far-fields
- ✓ **Theoretical:** complex dependency between excitation and optical response
 - ✓ efficient numerical tools for nonlinear periodic structures essential

SHG enhancement via double-resonant states



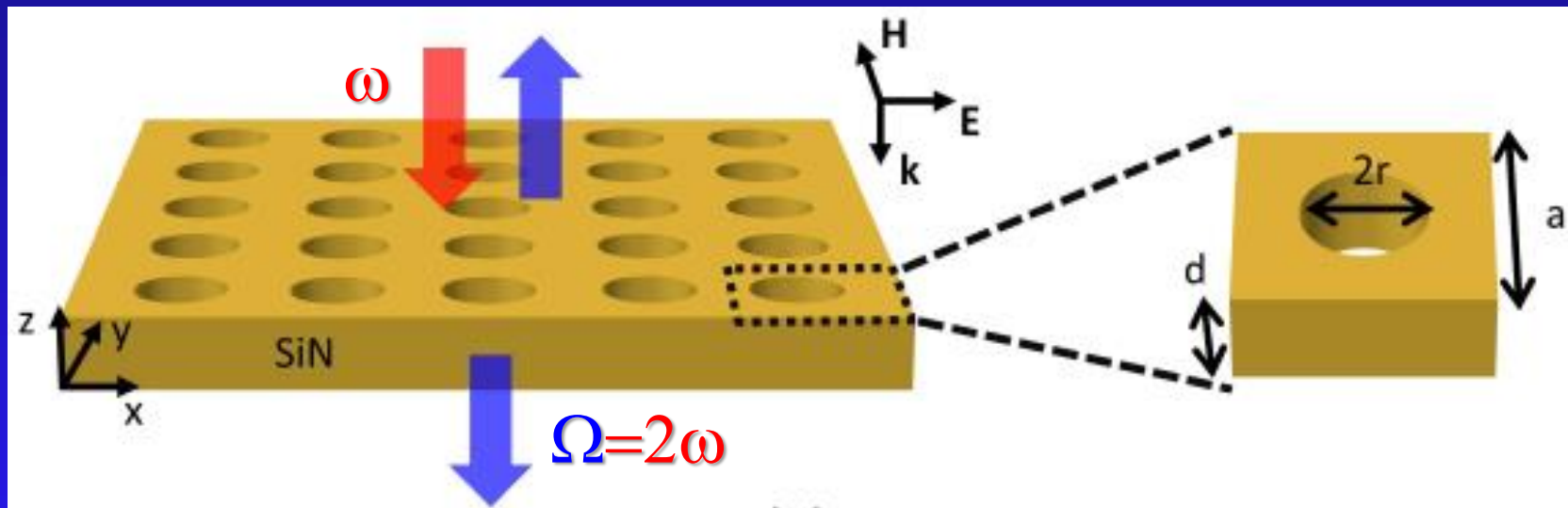
K. Koshelev et al., *Science* **367**, 288 (2020).

❑ Needed ingredients

- ✓ Resonance at ω_0 **and** a resonance at $\Omega_0 = 2\omega_0$
- ✓ Efficient coupling between modes at ω_0 and Ω_0

Optical System

PhC slab made of SiN

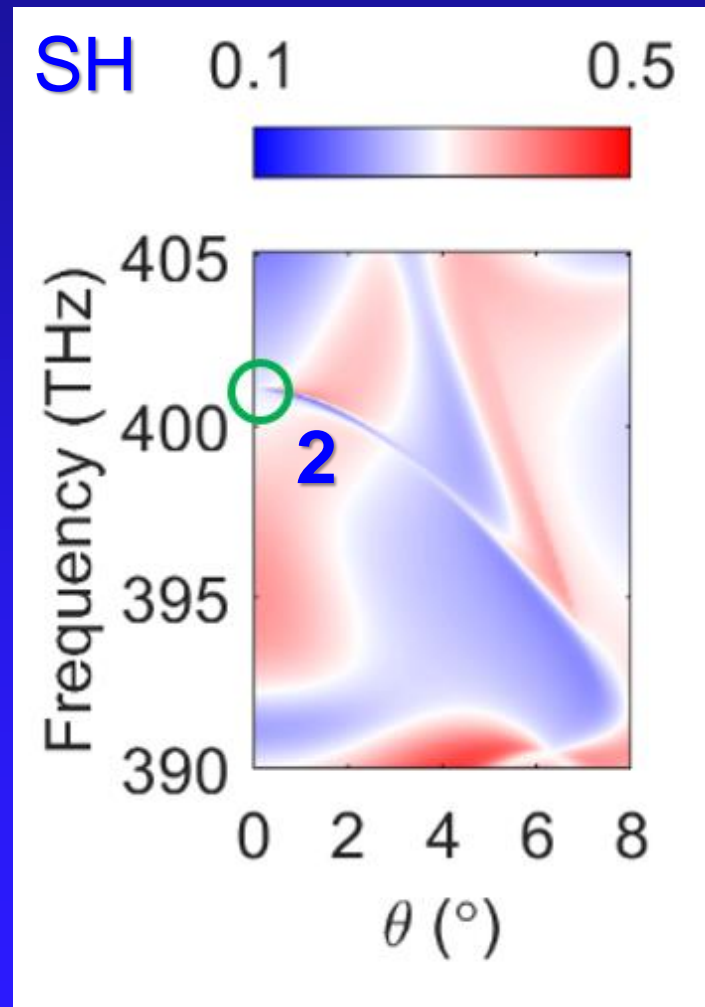
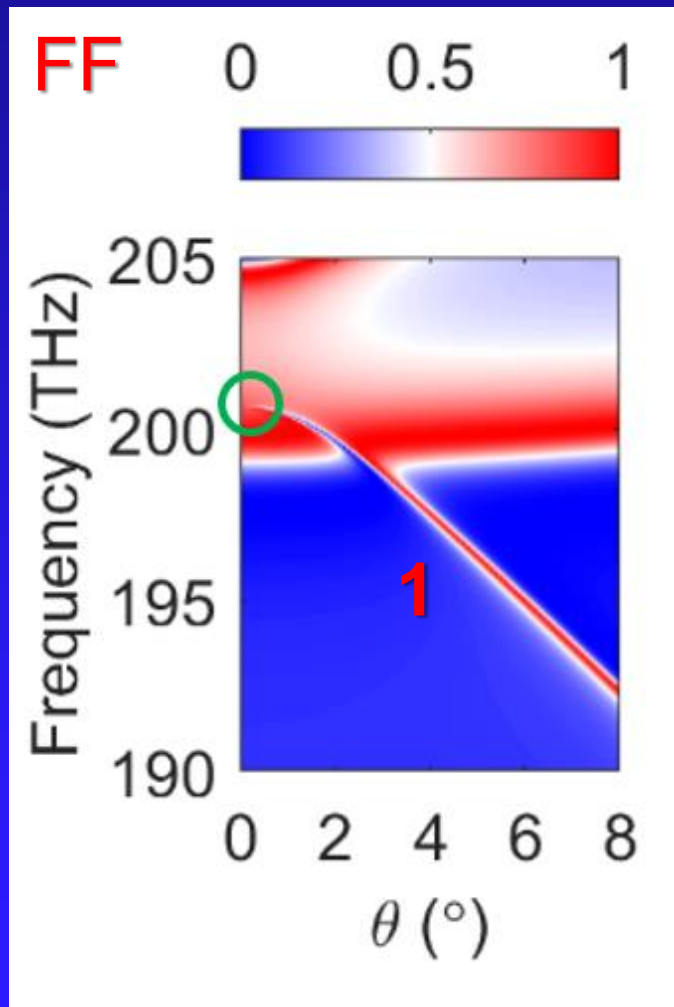


- ✓ PhC slab with square lattice of holes
- ✓ Period a , hole radius r , and thickness t
- ✓ Susceptibility: $\chi_{xxz}^{(2)} = \chi_{xzx}^{(2)} = \chi_{yyz}^{(2)} = \chi_{zyy}^{(2)} = 0.4 \text{ pV/m}$
 $\chi_{zxx}^{(2)} = \chi_{xxz}^{(2)} = 0.34 \text{ pV/m}$
 $\chi_{zzz}^{(2)} = 1.1 \text{ pV/m}$

At- Γ BICs

Linear optical response (FF)

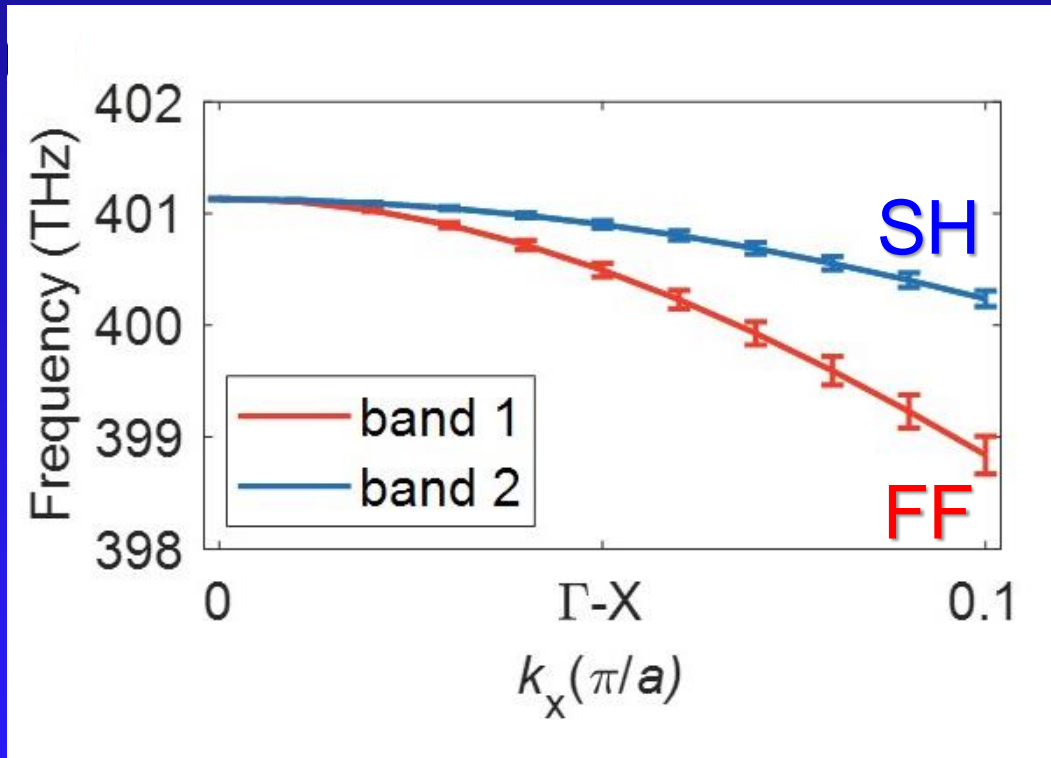
Reflectivity maps



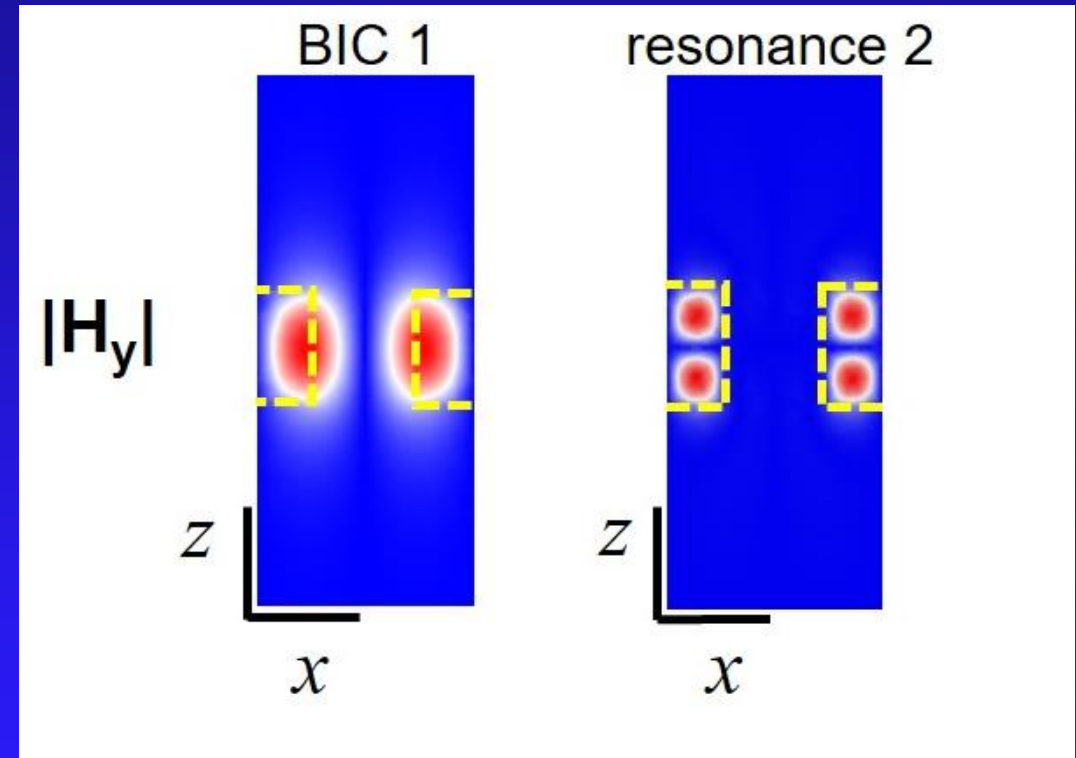
- ✓ BIC exists at FF
- ✓ BIC-like mode exists at SH
- ✓ At- Γ : **double-resonance effect**

Band engineering

Band diagram



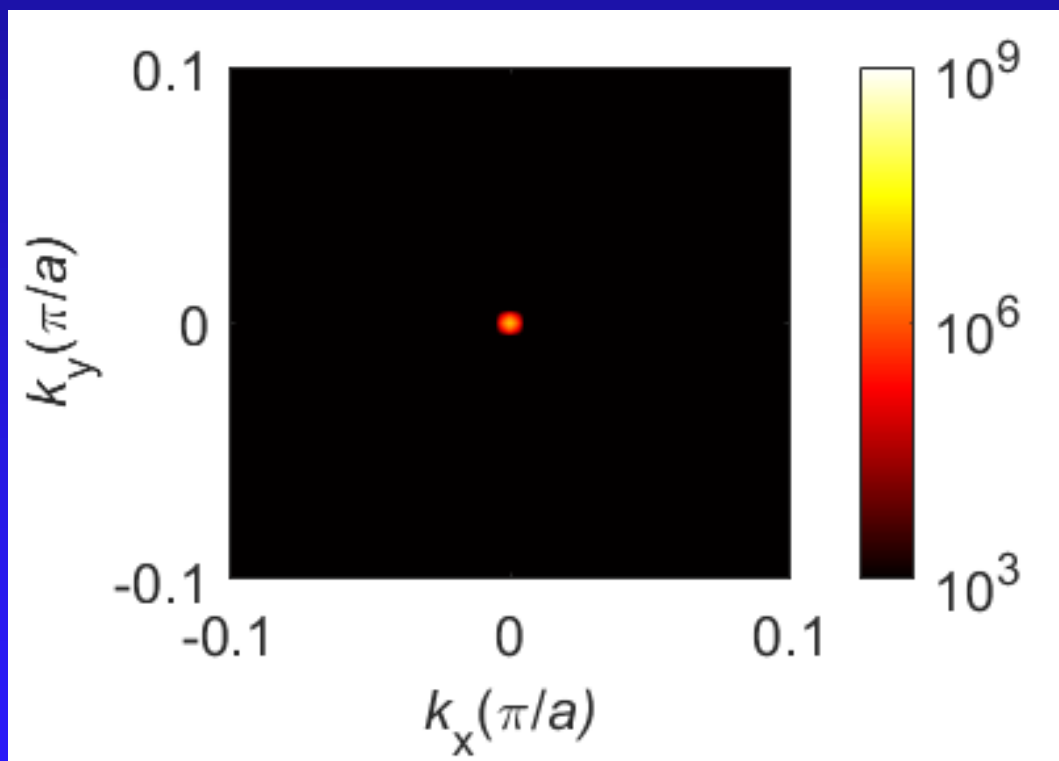
Field profiles



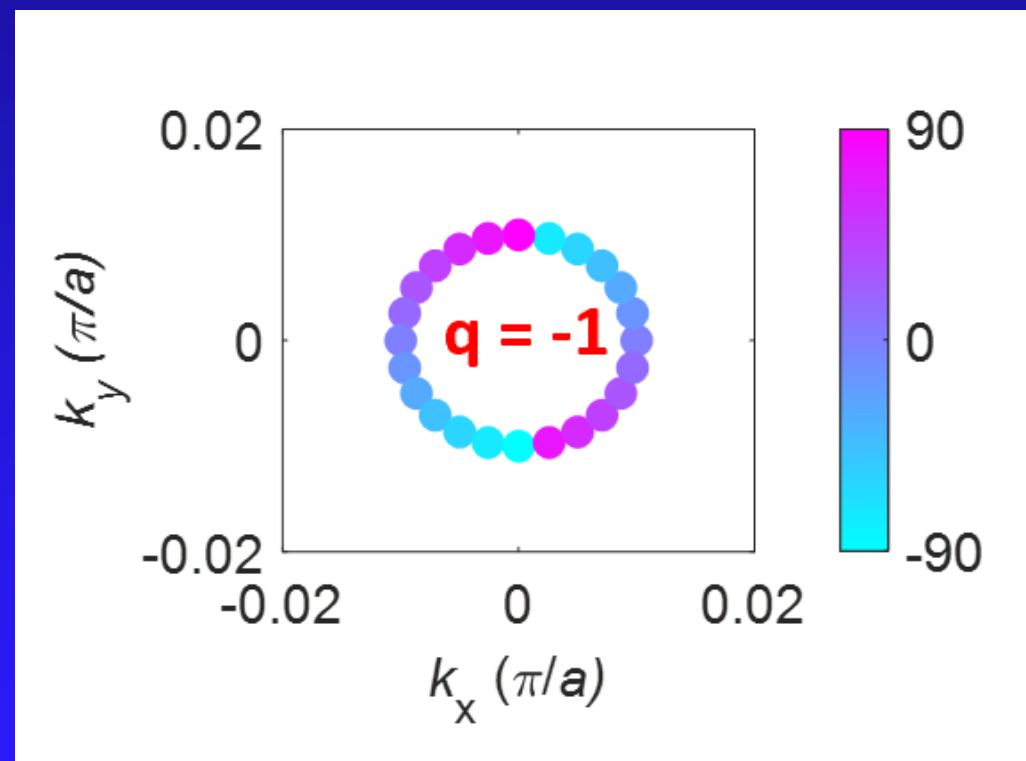
- ✓ Away from the Γ -point Q-factor decreases
- ✓ Effective overlap between the optical modes at the FF and SH

Topological properties of BIC 1 (FF)

Q map

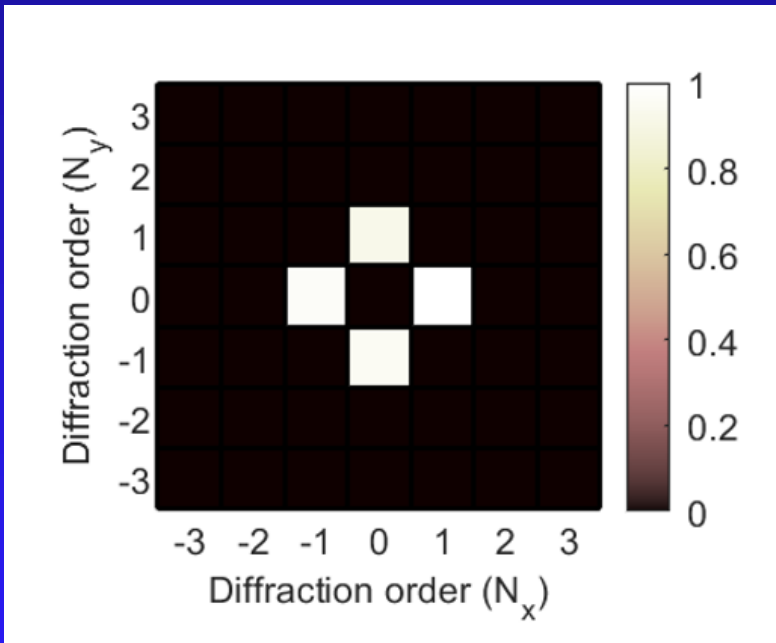


Topological charge

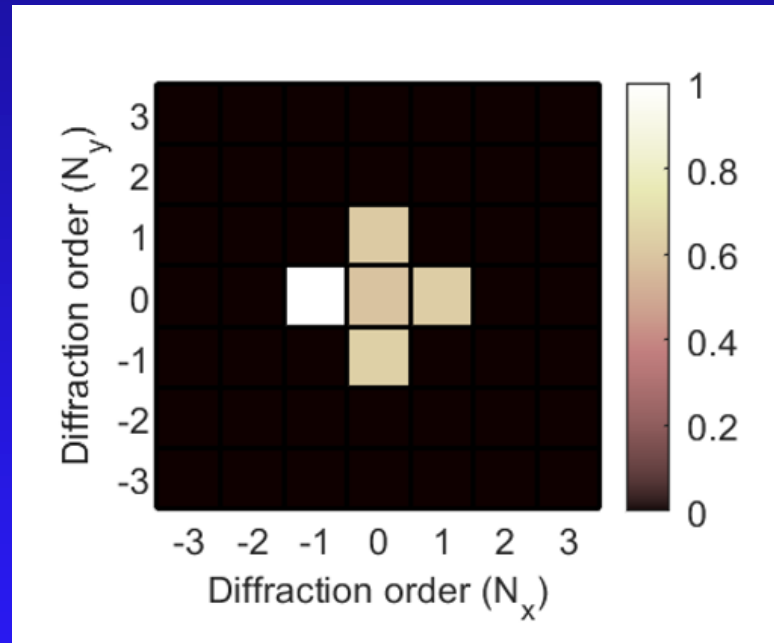


Fourier analysis of Resonance 2 (SH)

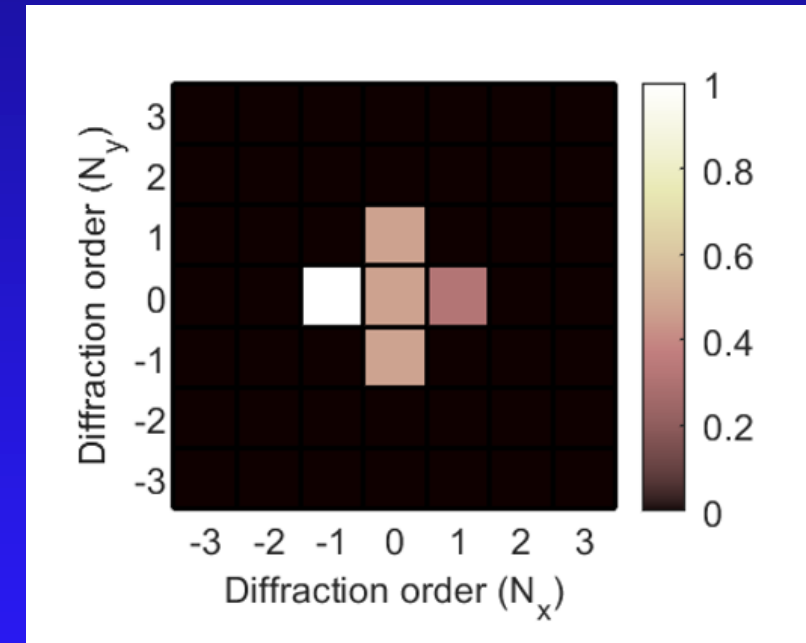
$$k_x=0, k_y=0$$



$$k_x=0.005 \pi/a, k_y=0$$



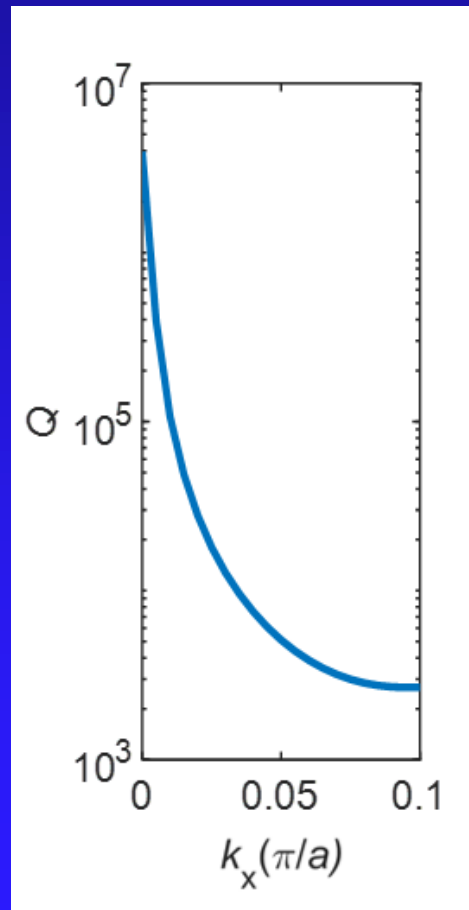
$$k_x=0.05 \pi/a, k_y=0$$



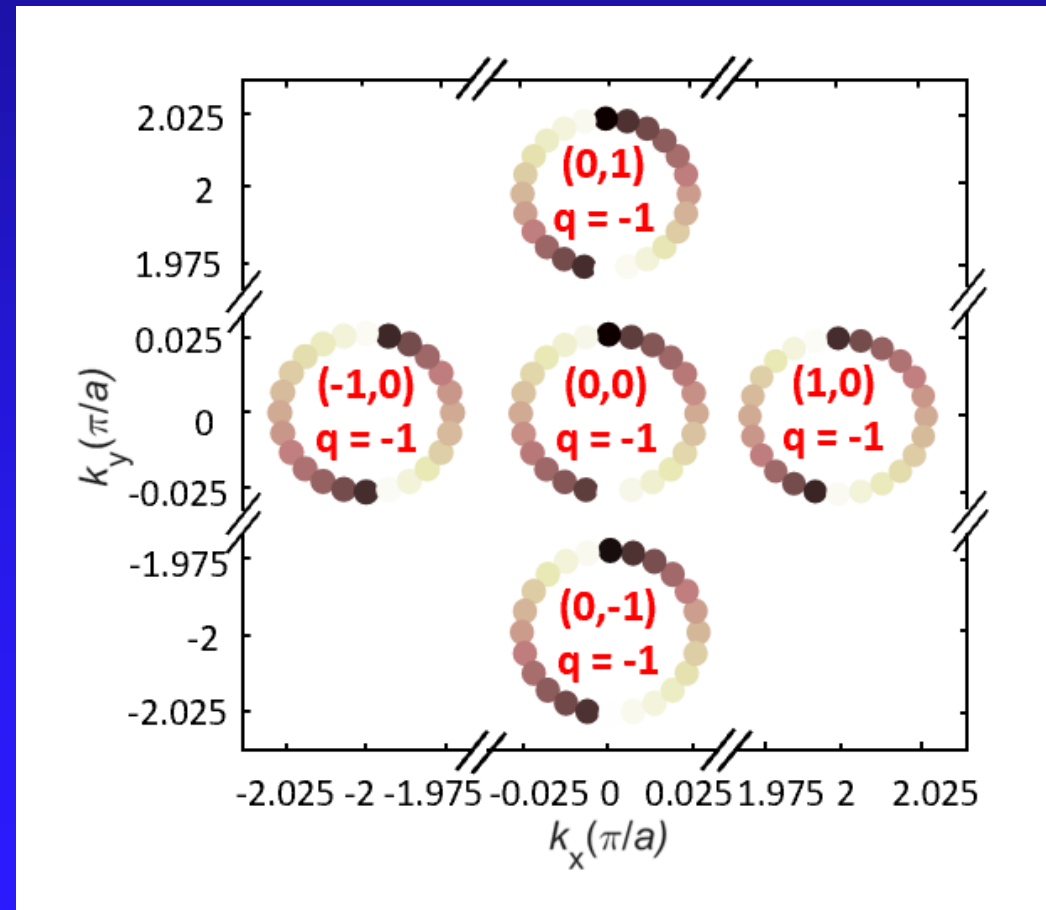
- ✓ Suppressed emission from $(0,0)$ diffraction order (Γ -point)
- ✓ Four first-order diffraction channels exist above diffraction limit

Topological properties of Resonance 2 (SH)

Q-factor



Topological charge



SHG: symmetry considerations

□ Nonlinear polarization

$$\mathbf{P}^{(2)}(\Omega) = \epsilon_0 \chi^{(2)}(\mathbf{r}) : \mathbf{E}(\omega) \mathbf{E}(\omega)$$

$$\mathbf{E}(\omega) = \mathbf{E}_{in}(\omega) + \mathbf{E}_{BIC}(\omega) + \mathbf{E}_{bg}(\omega)$$

$$\mathbf{E}(\Omega) = \mathbf{E}_{BIC}(\Omega) + \mathbf{E}_{bg}(\Omega)$$

□ Nonlinear mode coupling

$$\kappa = \int_V \mathbf{E}(\mathbf{r}; \Omega) \cdot \mathbf{P}^{(2)}(\mathbf{r}; \Omega) d\mathbf{r}$$

$$\epsilon_0 \int_V \mathbf{E}_{BIC}(\mathbf{r}; \Omega) \cdot \chi^{(2)}(\mathbf{r}) : \mathbf{E}_{BIC}(\mathbf{r}; \omega) \mathbf{E}_{BIC}(\mathbf{r}; \omega) d\mathbf{r}$$

SHG: symmetry considerations (ctd)

Mode symmetry properties

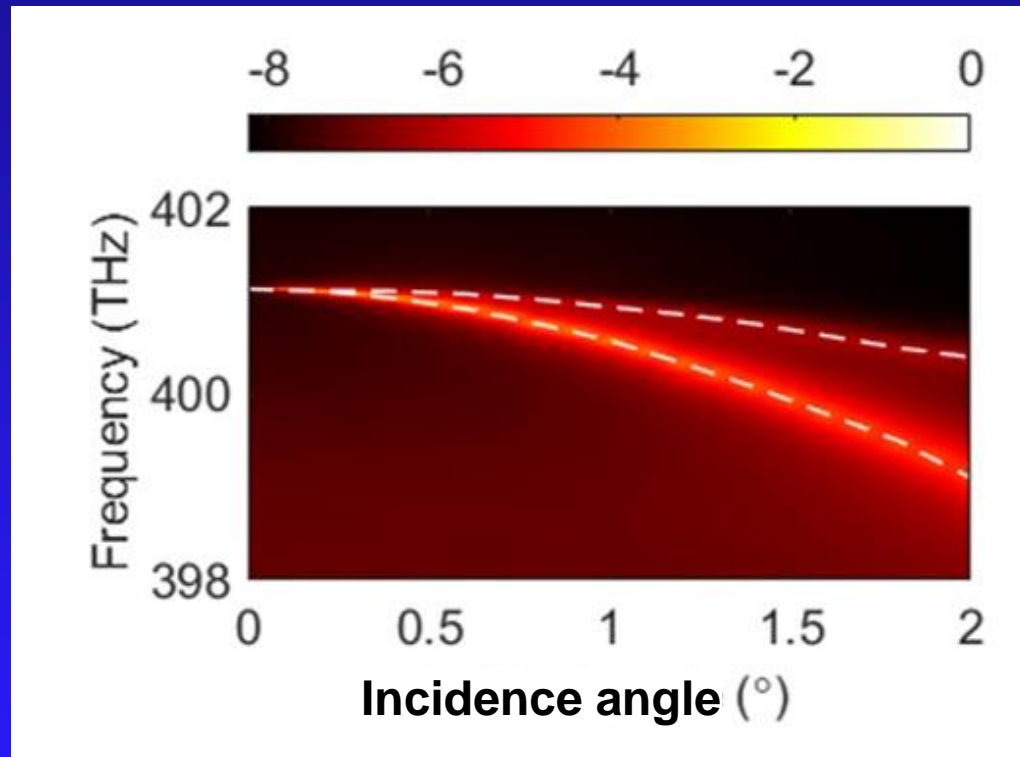
	\hat{i}	$\hat{\sigma}_x$	$\hat{\sigma}_y$	$\hat{\sigma}_z$
BIC 1	-1	1	1	-1
BIC 3	1	-1	1	-1
Resonance 2	1	1	1	1
Resonance 4	-1	-1	-1	-1

Nonlinear polarization: symmetry properties

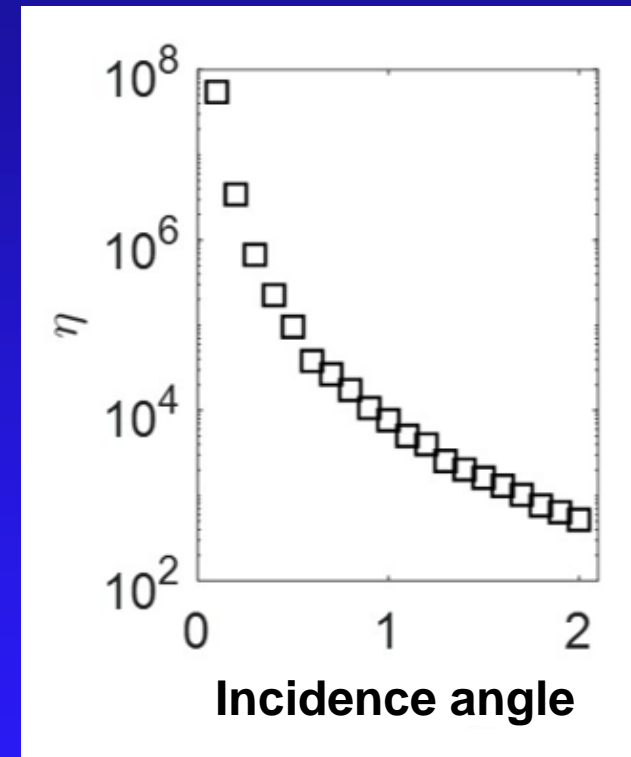
	\hat{i}	$\hat{\sigma}_x$	$\hat{\sigma}_y$	$\hat{\sigma}_z$
$P^{(2)}(\Omega)$: BIC 1	-1	1	1	-1
$P^{(2)}(\Omega)$: BIC 3	-1	1	1	-1
$P^{(2)}(\Omega)$: BIC 3 (QPM)	1	1	-1	-1

Nonlinear optical response (SH)

SHG simulation



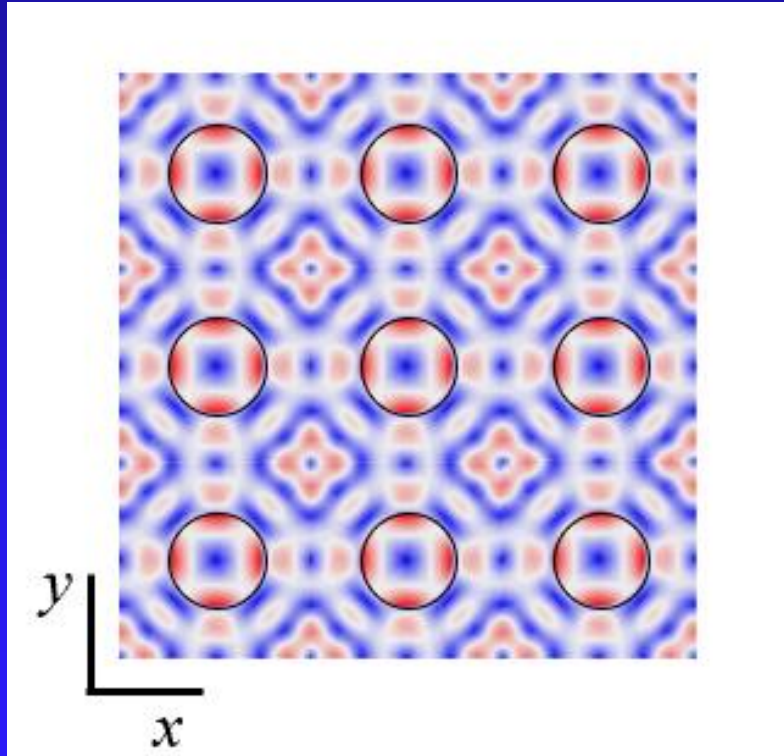
SHG enhancement



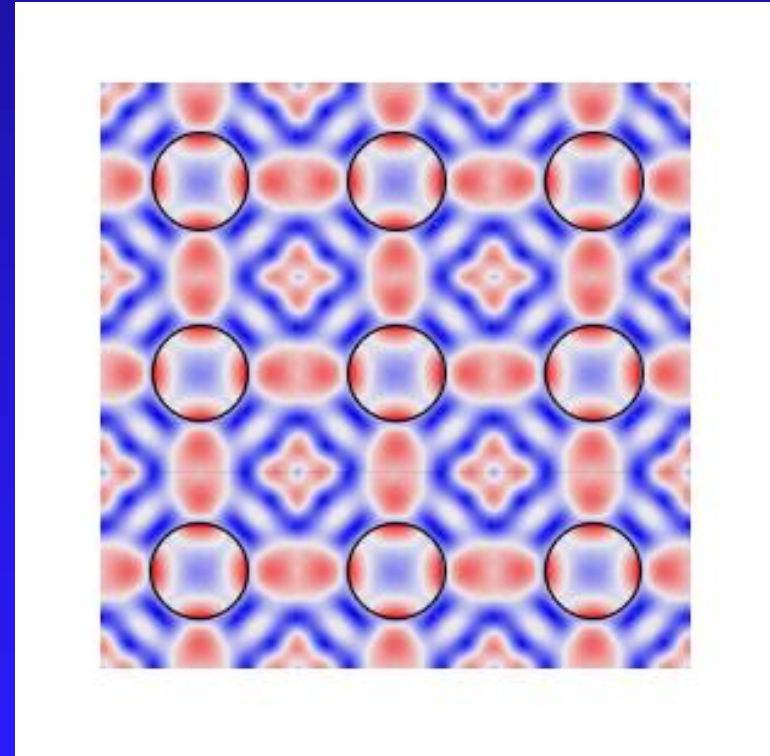
- ✓ Two peaks in the map of normalized SHG intensity
- ✓ Giant SHG enhancement of 10^8 due to double-resonance effect

Nonlinear optical response (SH)

$|E|$: Resonance 2

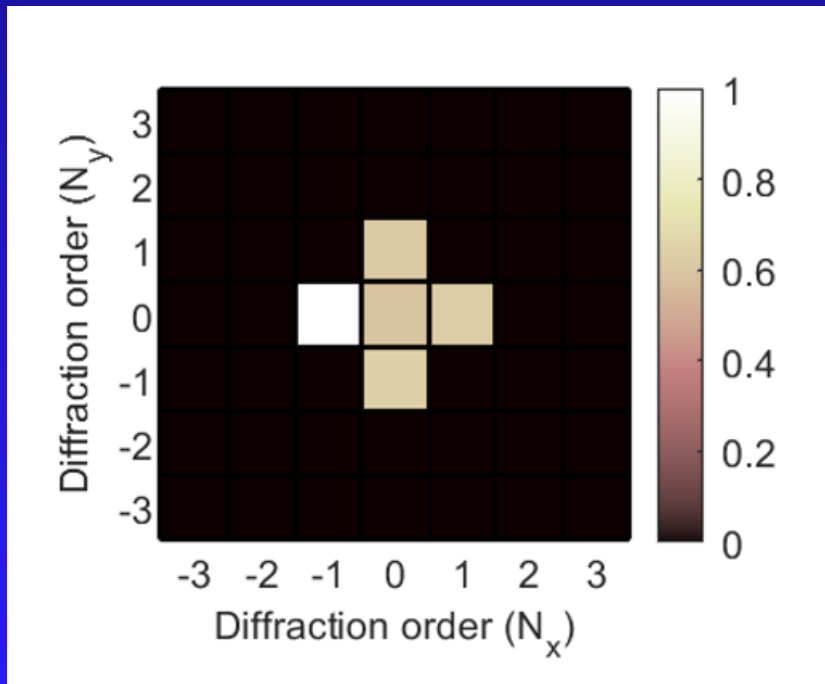


$|E_{SH}|$

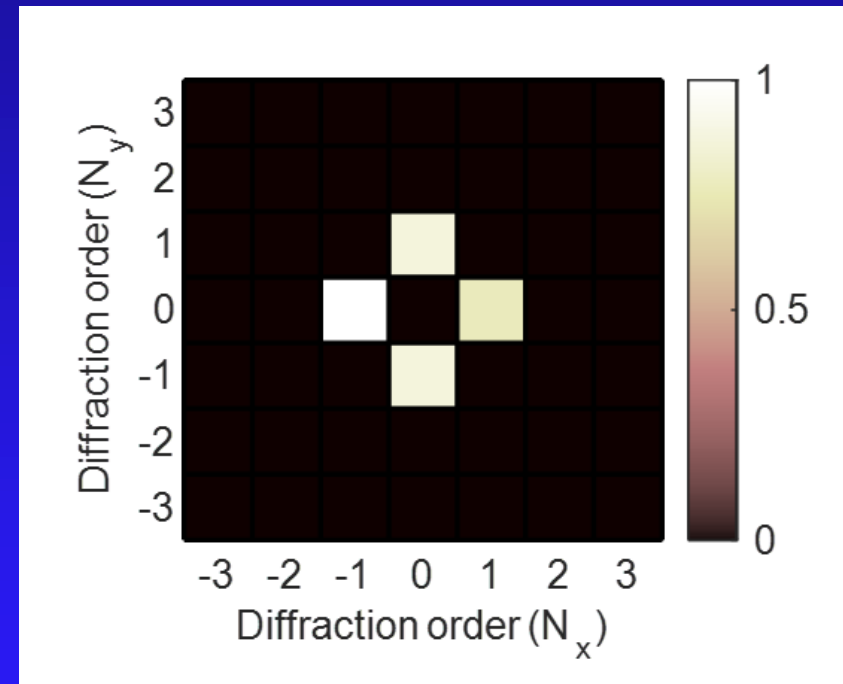


Diffraction analysis of SHG

$$k_x = 0.005 \pi/a, k_y = 0$$

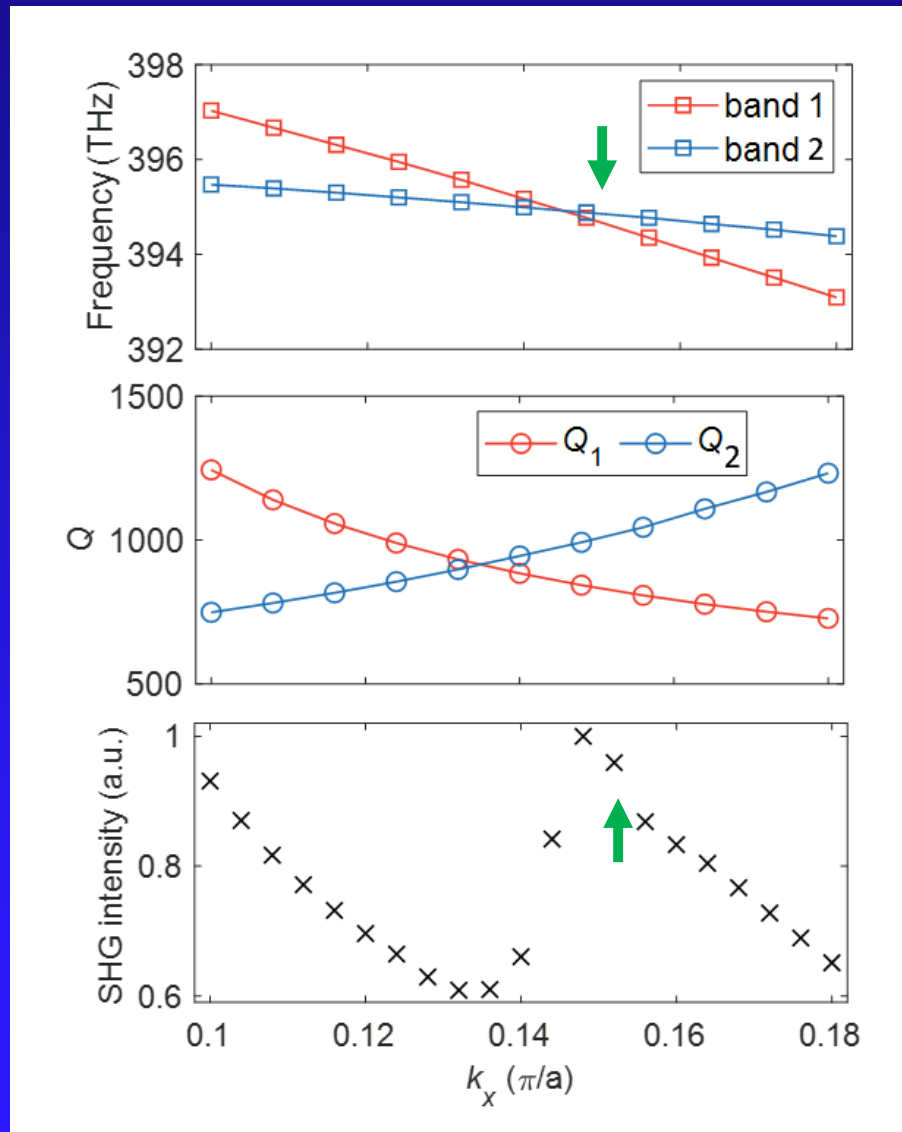


$$k_x = 0.005 \pi/a, k_y = 0$$



- ✓ Four diffraction channels exist in eigenmode analysis and nonlinear calculation
- ✓ Suppressed SH emission in $(0,0)$ order due to structure symmetry

Coupling effect between BIC 1 and Resonance 2



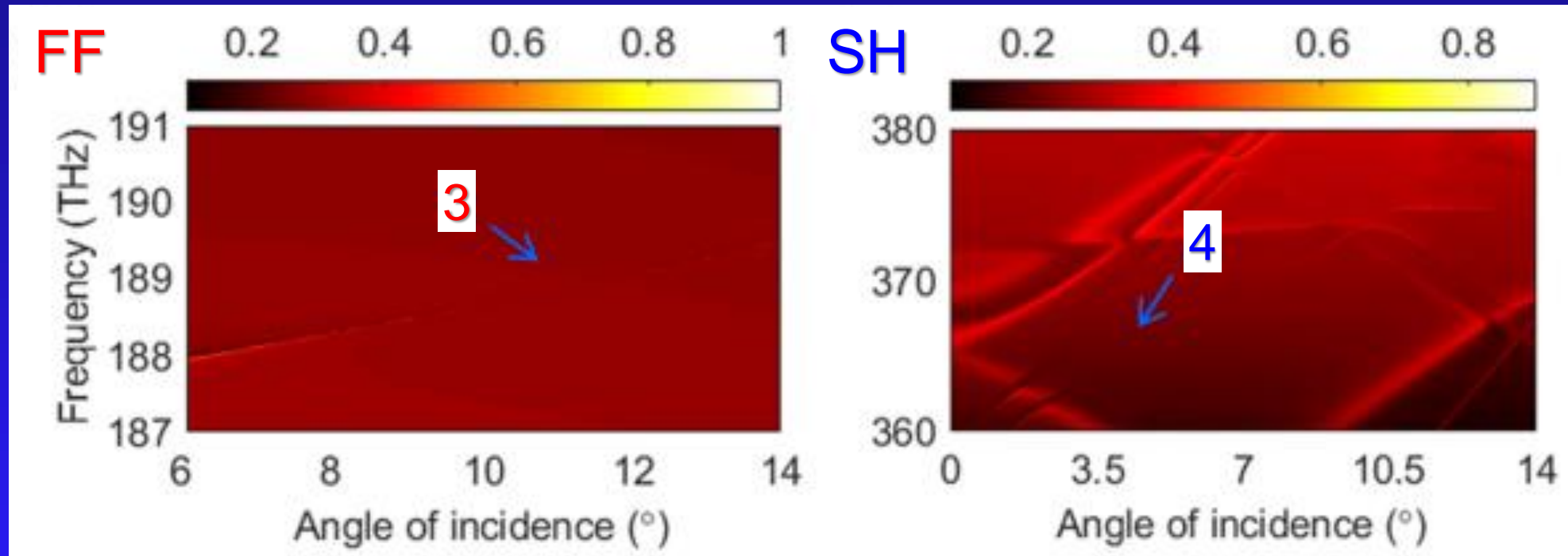
Tune radius of hole (to 220 nm)

- ✓ Double-resonance phenomenon also exists at off- Γ point
- ✓ $Q_1^2 Q_2$ decreases with respect to k_x
- ✓ Local peak of SHG intensity arising from doubly resonant effect

Off- Γ BICs

Linear optical response (FF)

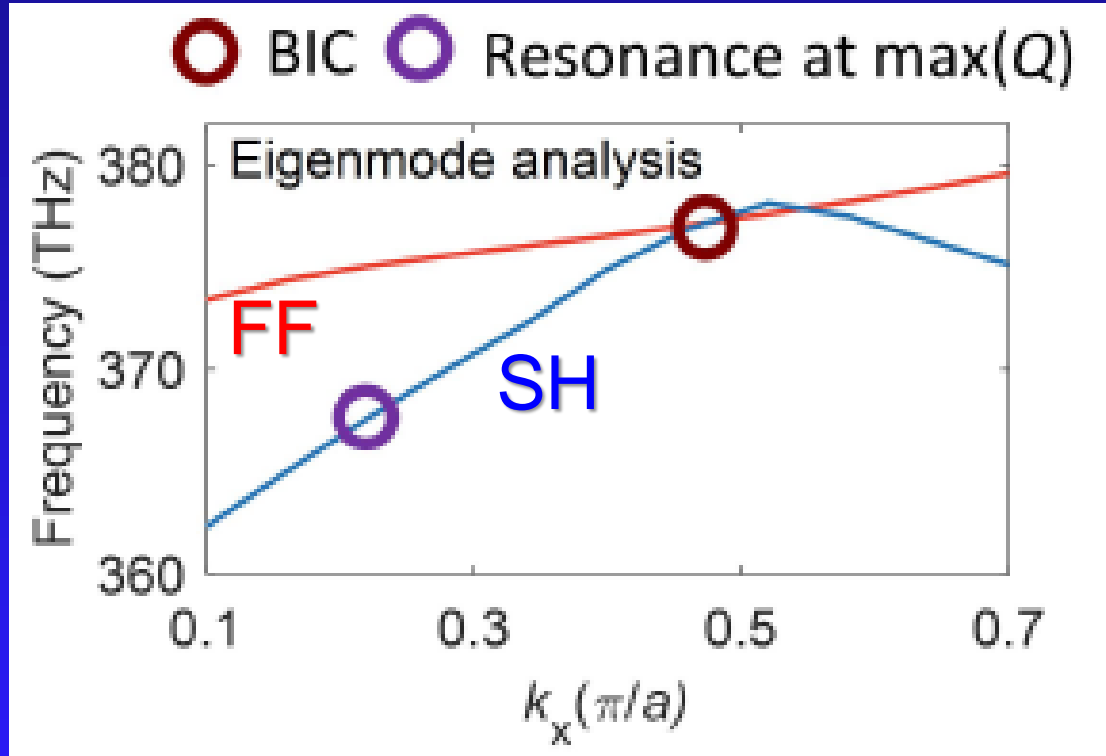
Reflectivity maps



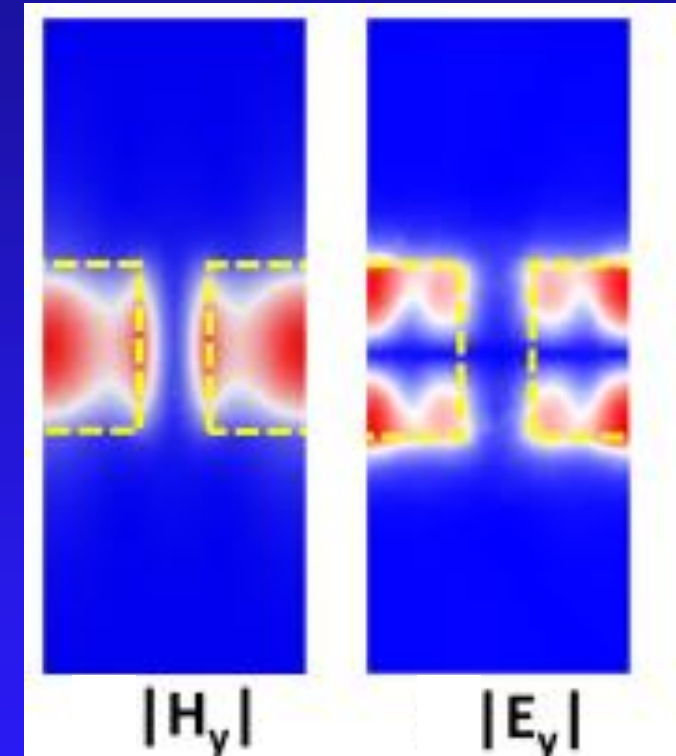
- ✓ BIC exists at FF
- ✓ BIC-like mode exists at SH
- ✓ Off- Γ : double-resonance effect

Band engineering

Band diagram



Field profiles

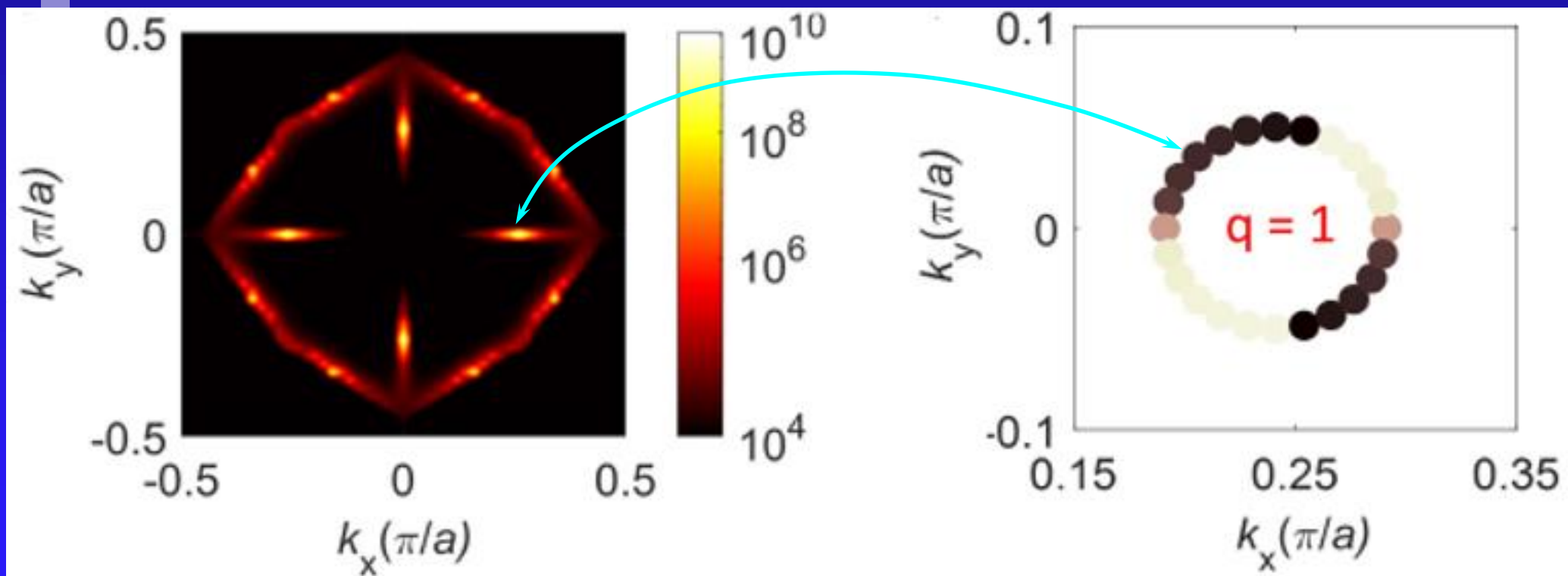


- ✓ FF and SH bands cross at the BICs
- ✓ Effective overlap between the optical modes at the FF and SH

Topological properties of BIC 3 (FF)

Q map

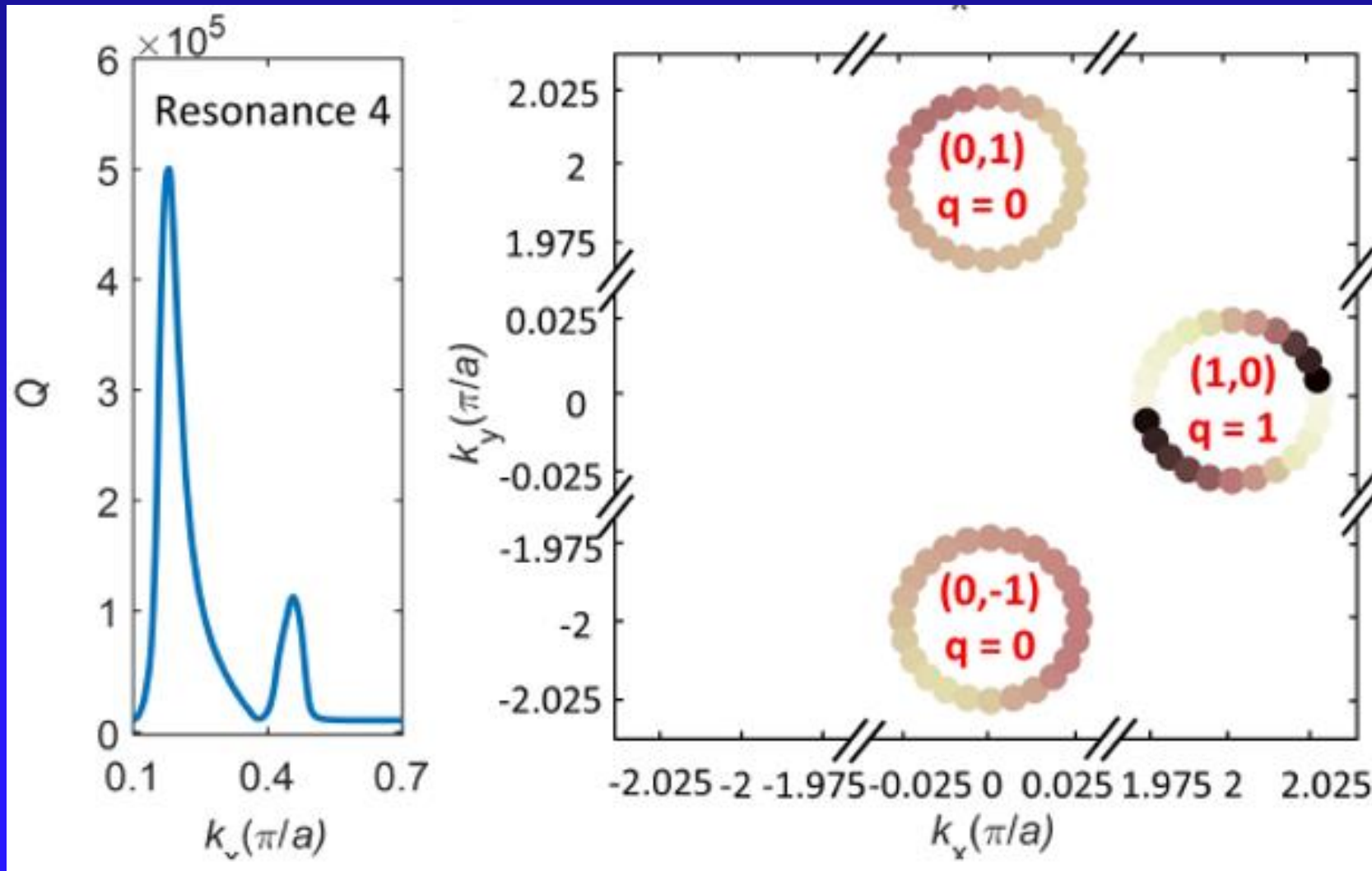
Topological charge



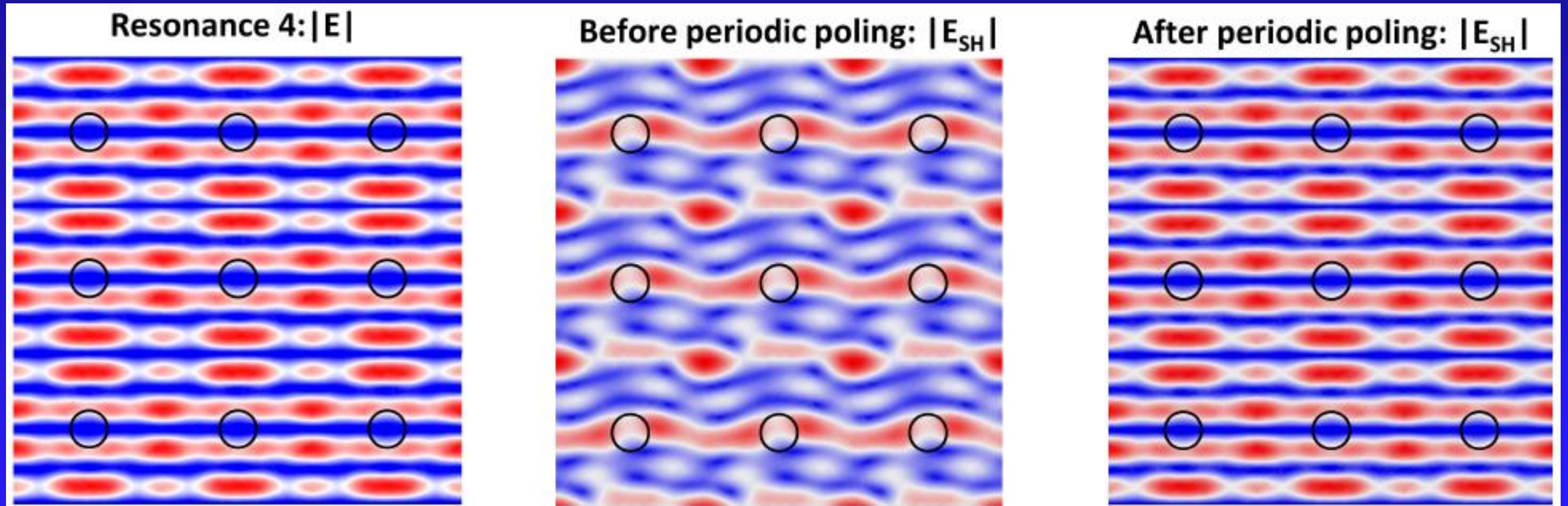
Topological properties of Resonance 4 (SH)

Q-factor

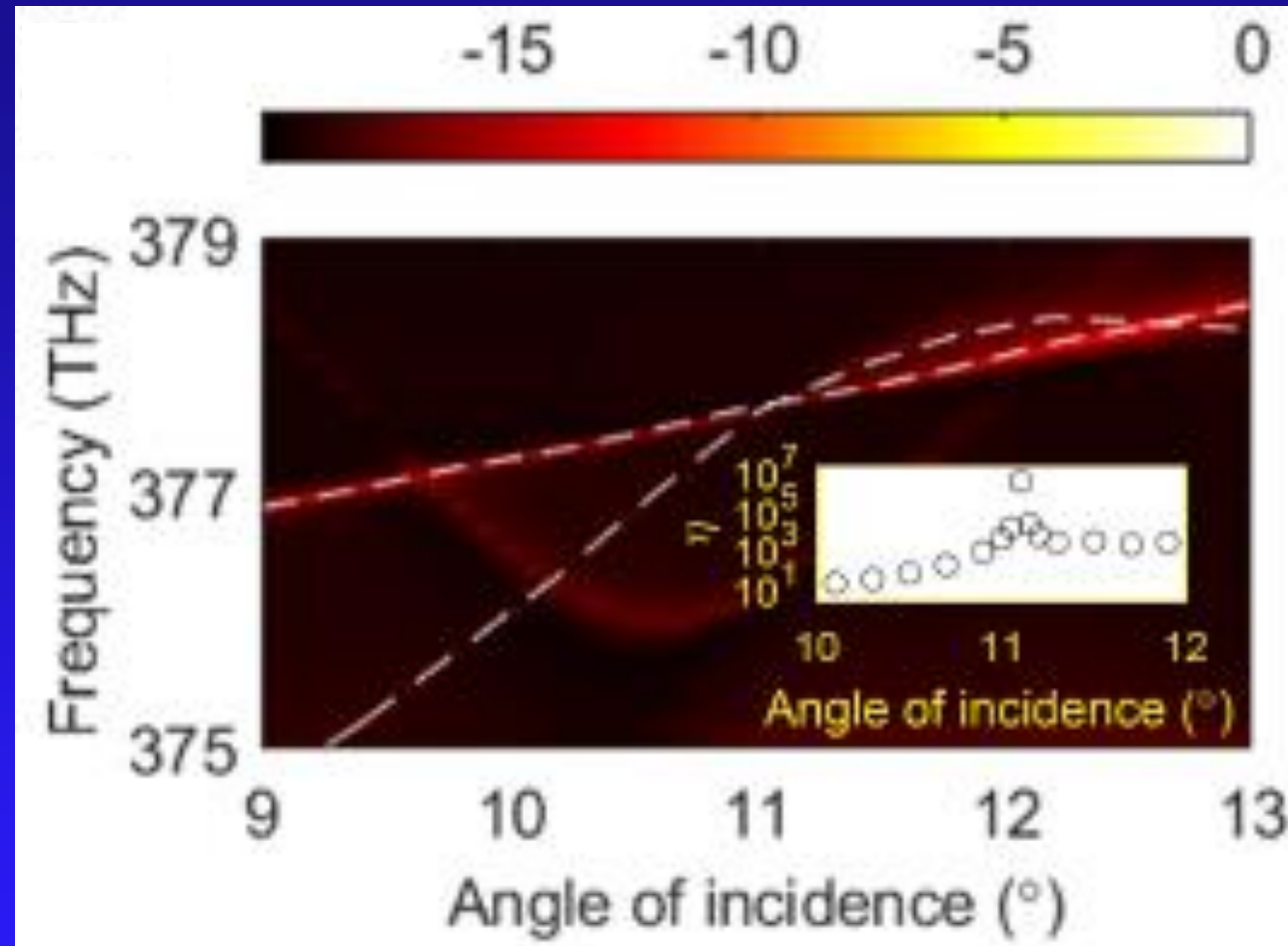
Topological charge



Nonlinear optical response (SH)



Coupling effect between BIC 3 and Resonance 4



- ✓ Double-resonance phenomenon also exists at off- Γ point
- ✓ Local peak of SHG intensity arising from maximum of $Q_1^2 Q_2$

Conclusions

- ❑ We investigated SHG in systems that possess BICs at FF and SH.
- ❑ SHG enhancement depends strongly on the symmetry properties of the interacting BICs
- ❑ Strong SHG enhancement is achieved when the frequencies of the BICs are engineered to have the required ratio.
- ❑ Possible applications to new optical sources, quantum optics, and optical communications

Thank you!