

1 A Randomised Phase II trial to examine Modified Vaccinia
2 Ankara-5T4 Vaccine in Patients with Relapsed Asymptomatic
3 Ovarian Cancer (TRIOC)
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69 **ABSTRACT**

70 Immunotherapy directed at 5T4 tumour antigen may delay the need for further
71 chemotherapy. An attenuated Modified Vaccinia Ankara Virus containing the gene
72 encoding for 5T4 (MVA-5T4) was studied in asymptomatic relapsed ovarian cancer.

73 **Objectives:** to assess the effectiveness and safety of MVA-5T4 as treatment for
74 asymptomatic relapsed ovarian cancer.

75 **Methods:** TRIOC was a phase II randomized (1:1), placebo-controlled double-blind
76 multicentre study. The primary aims: to assess the effectiveness and safety of MVA-
77 5T4 as a treatment for asymptomatic relapsed ovarian cancer patients. Eligible
78 patients had FIGO (International Federation of Gynaecology and Obstetrics) stage
79 IC1-III or IVA epithelial ovarian, fallopian tube or primary peritoneal carcinoma,
80 Eastern Cooperative Oncology Group (ECOG) 0-1, with relapse as defined by CA-
81 125 rise to ≥ 2 x upper limit of normal (ULN) or low volume disease on CT scan. The
82 primary endpoint was disease progression (including deaths from ovarian cancer) at
83 25 weeks (PR-25). Following a brief trial suspension, the trial restarted as a single
84 arm study. The revised single arm design required 45 evaluable patients treated with
85 MVA-5T4 to detect a 25-week progression rate of 50%, assuming an expected 70%
86 rate without MVA-5T4 ; 85% power with 1-sided 5% significance¹.

87 **Results:** 94 eligible patients were recruited, median age was 65 years (range 42 to
88 82), median follow up 34 months (2 to 46). 59 patients received MVA-5T4 and 35
89 placebo. The median number of MVA-5T4 injections received was 7 (range 0-9),
90 compared to a median of 6 (1-12) for patients receiving placebo. Median progression
91 free survival (PFS) was the same in both arms (3.0 months). The 25-week
92 progression rate (primary outcome) was similar in both arms: 80.0% for patients
93 treated with MVA-5T4 and 85.7% for those on placebo (risk difference -5.7%, 95%
94 CI -21.4 to 10.0) Median time to clinical intervention was improved with MVA-5T4:
95 7.6 (6.7-9.5) vs 5.6 (4.9-7.6), p=0.17.

96 **Conclusion:** MVA-5T4 vaccination in patients with asymptomatic relapse was well-
97 tolerated but did not improve the progression rate at 25 weeks, patients who
98 received MVA-5T4 tended to receive clinical intervention later than those on placebo.

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100 Trial Registration: Clinicaltrials.gov no: NCT01556841

101 EUDRACT no: 2011-001836-44

102 [What is already known on this topic](#)

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104 Immunotherapy in ovarian cancer has limited role despite the evidence of immune
105 activation. Many patients relapse with an asymptomatic slowly progressive disease.
106 Those who relapse after a long disease-free interval with a low bulk of cancer or
107 those who have CA-125-only relapse are ideally suited for evaluation of immune-
108 based strategies. 5T4 is an oncofoetal antigen that is expressed on the cell surface
109 and has been identified as a target for immunotherapy. This study was the first study
110 targeting 5T4 in patients with low volume, asymptomatic relapsed ovarian cancer.

111 [What this study adds](#)

112 This is a first clinical trial of MVA-5T4 vaccine that consists of a highly attenuated
113 vaccinia virus containing the gene encoding for the human tumour associated
114 antigen 5T4. The trial was designed for patients with relapsed asymptomatic ovarian
115 cancer with CA125 relapse and/or low volume on imaging. Although it shows limited
116 activity of the vaccine, patients in the MVA-5T4 arm tended to receive clinical
117 intervention later than those on placebo.

118 [How this study might affect research, practice, or policy](#)

119 The results of the study will encourage trials of a combination immunotherapy as
120 vaccines alone are unlikely to have an effect on progression free and overall
121 survival.

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123 INTRODUCTION

124

125 Ovarian cancer is the second most common gynaecological malignancy in the
126 Western world.

127 There is evidence that ovarian cancer is under immune surveillance ². A study
128 reported by Zhang et al in 2003 showed that 5-year overall survival correlates with
129 the presence or absence of tumour infiltrating lymphocytes (TILs) in favour of
130 patients who show an immune response (38% versus 4.5%, respectively, $p < 0.001$) ²
131 The Cancer Genome Atlas Network reported the presence of an immunoreactive
132 molecular subtype of ovarian tumours, which displayed an enrichment of genes and
133 signalling pathways associated with immune cells and longer overall survival (OS) ³.
134 Despite the signs of immune activation, immunotherapy in ovarian cancer has a
135 limited role due to many immunosuppressive mechanisms. It is well recognized that
136 with cancer progression, the tumour microenvironment changes and becomes
137 increasingly immunosuppressive due to development of immune tolerance,
138 propagation of immunosuppressive regulatory T cells, secretion of
139 immunosuppressive cytokines and, exhaustion of proinflammatory T cells (review in
140 ⁴). Welters et al demonstrate that the success of vaccination in vulvar cancer
141 correlates with the frequency of specific regulatory cells residing in the tumour, and
142 that these suppressive cells were more prevalent in larger lesions than smaller
143 tumours, resulting in a worse response to vaccination ⁵.

144 Patients with ovarian cancer, who relapse after a long disease-free interval with a
145 low bulk of cancer, who are not suitable for secondary cytoreductive surgery or those
146 who have CA-125-only relapse, may be ideal candidates for evaluation of immune-
147 based strategies.

148 5T4 is an oncofetal antigen that is expressed on the cell surface and has been
149 identified as a target for immunotherapy. 5T4 is expressed on human trophoblast
150 cells and most human tumors, including ovarian cancer ^{6 7}. 5T4 is absent in most
151 normal tissues. 5T4 is expressed in ovarian cancer and its expression correlates with
152 advanced stage of disease (FIGO stages III and IV) ($P = 0.033$)⁸. To date, MVA-5T4
153 has been tested a total of 580 patients in 11 phase I/II and II clinical trials and 1
154 phase III clinical trial in colorectal, renal, and prostate cancer subjects, the safety
155 profile was consistent and there was evidence of strong immunological response to
156 MVA-5T4 ⁹⁻¹⁷.

157 We conducted a clinical trial of MVA-5T4 (TroVax®), a vaccine that consists of an
158 attenuated vaccinia virus (MVA) containing the gene encoding for the human tumor

159 antigen (5T4) under transcriptional control of a modified VV promoter, mH5. The trial
160 was designed for patients with relapsed asymptomatic ovarian cancer with CA125
161 relapse and/or low volume on imaging to assess the clinical efficacy and
162 immunological responses to MVA-5T4. The vaccine was designed to induce T cell
163 specific response as well as antibody response to 5T4 antigen and MVA.

164 Methods

165 Trials Design

166

167 TRIOC was originally designed as a phase II randomized (1:1), placebo-controlled
168 double-blind multicenter study. The primary aims were to assess the effectiveness
169 and safety of MVA-5T4 as a treatment for asymptomatic relapsed ovarian cancer
170 patients. The study was sponsored by University College London. Ethical
171 (GTAC182) and Regulatory Clinical Trials Agreement (CTA:2011-001836-44)
172 approvals were obtained and all participants gave written informed consent.

173 Patients were randomized (1:1) to receive MVA-5T4 at a dose of 1×10^9 TCID₅₀/mL
174 in 1mL or matching placebo by intramuscular injection. Injections were scheduled
175 for the weeks 1, 2, 4, 7, 10, 13, 19 and 25. The schedule was based on previously
176 published clinical trials of 5T4 -based vaccination^{12 14 15} No dose modifications were
177 permitted. Treatment was discontinued in the event of confirmed progression or a
178 grade ≥ 3 toxicity thought to be related to treatment.

179 Patients were followed up for a maximum of 2 years. A CT or MRI scan of the
180 abdomen and pelvis and CT scan of the chest was performed at weeks 13 and 25
181 and then at 2 months after treatment completion, and thereafter 3-monthly until 1
182 year after the end of treatment. All scans were assessed using RECIST (Response
183 Evaluation Criteria in Solid Tumours) 1.1 and immune-related response criteria
184 (irRC)¹⁸. Progressive disease by RECIST 1.1 was confirmed by a repeat scan 8
185 weeks after to assess response by irRC.

186 Quality of life was assessed by EORTC (Response Evaluation Criteria in Solid
187 Tumours) quality of life (QLQ) C-30 and OV-28 at baseline, at each vaccination
188 visit, at the first post-treatment visit and at 5 and 11month visits.

189 Change of Design

190 Recruitment started in December 2013. However, the trial was suspended in August
191 2016 due to an issue over the quality control of two MVA-5T4 vaccine vials
192 containing tiny particles. The patient was unblinded and no further patients received
193 this batch of vaccine. In total 11 patients who had already started MVA-5T4 did not
194 receive a further dose of it while the manufacturing process was investigated. 18
195 patients (including 7 patients on placebo) were withdrawn during the trial
196 suspension, but outcome data (including safety data) was still collected from them. A
197 full investigation confirmed that the product was safe. However, due to limited
198 allocated drug supply, and a fixed expiration date, the trial was redesigned as a
199 single-arm open-label study, to maximize the number of patients given MVA-5T4.
200 Recruitment re-started in May 2017. All patients randomized before the suspension
201 remained blinded, except the single patient whose treatment triggered the
202 investigation.

203 Patients were enrolled at hospital sites and randomized using a third-party
204 interactive web response system with a minimization approach stratified by
205 radiological disease, relapse status (1st vs 2nd), histological subtype and hospital site.
206 Investigators and patients were blinded to the assignment interventions.

207 Participants

208

209 Eligible patients had stage IC1-III or IVA epithelial ovarian, fallopian tube or primary
210 peritoneal carcinoma (high grade serous, endometrioid and mucinous histology).
211 They were ECOG 0-1, had asymptomatic platinum-sensitive relapse as defined by
212 CA-125 rise to $\geq 2 \times$ ULN or low volume disease on CT scan (low volume radiological
213 disease was defined as radiologically visible disease excluding intra-hepatic,
214 parenchymal liver or splenic metastases, ascites or pleural effusion thought to
215 require drainage within the next 2 months). All patients had cytoreductive surgery as
216 part of their first line treatment and ≥ 6 -month period between completion of last
217 platinum-based chemotherapy and relapse. Maintenance treatment was permitted
218 but discontinued upon entry to the trial.

219 Primary Endpoints

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221 The primary endpoint was disease progression (including deaths from ovarian
222 cancer) at 25 weeks. The date of progression was taken as the earliest occurrence
223 of the following: i) progression by RECIST 1.1 with confirmatory irRC 8 weeks later,
224 ii) progression by irRC with confirmatory irRC 8 weeks later or iii) clinical intervention
225 required for symptoms of progression.

226 Secondary endpoints were irRC response at 25 weeks, progression-free survival,
227 time to clinical intervention, incidence of clinical intervention at 25 weeks, CA-125
228 doubling time, overall survival and quality of life.

229 Immunological endpoints included antibody and cellular responses against both 5T4
230 and the MVA viral vector.

231 Statistical methods

232

233 The initial trial was designed as a two-arm study, to directly compare endpoints
234 between the MVA-5T4 and placebo groups. At 25 weeks, 70% were expected to
235 progress or die from ovarian cancer (RECIST-defined progression) in the Placebo
236 group¹⁹. We aimed to detect a progression rate of 50% in the MVA-5T4 group.
237 Based on a direct comparison of proportions (using 70 vs. 50%), 80% power and
238 one-sided test of statistical significance of 15%, we required 42 patients per group.
239 To allow for non-evaluable patients and loss to follow-up, 50 women needed to be
240 recruited per group (i.e. 100 in total)

241 Following the trial suspension, the primary design was changed to a single-arm trial.
242 For the revised single-arm design, we required 45 evaluable patients treated with
243 MVA-5T4 to detect a progression rate of 50%, assuming an expected 70% rate
244 without MVA-5T4 (A'Hern design: 85% power with 1-sided 5% significance¹). As well
245 as analysing all patients treated, additional analyses were performed on the subset
246 of patients whose treatment was not impacted by the trial suspension, and on the
247 subset treated as per the final protocol. Analyses comparing the outcomes of
248 randomized patients to those recruited to the single-arm study were also performed.

249 PFS, OS and time to clinical intervention were assessed using Kaplan-Meier
250 methods, measured from registration until protocol-defined progression (PFS),
251 clinical intervention (time to clinical intervention) or death from any cause (PFS, OS-
252 Overall survival). Patients who did not experience an event of interest were censored
253 at the date last seen. Health-related quality of life was analysed using repeated
254 measures regression, with adjustment for baseline scores. To account for multiple
255 scales being tested, only p-values <0.01 were considered statistically significant in
256 quality of life analyses. Analyses comparing the outcomes of randomized patients to
257 those recruited to the single arm study were performed.

258 Immunological endpoints included antibody and cellular responses against both 5T4
259 and the MVA viral vector. The IFN- γ ELISPOT was used to monitor T cell responses,
260 as previously described^{11 13}. Flow cytometry was performed using a FACSCalibur
261 flow cytometer. T cell function was tested by mixed lymphocyte proliferation assay,
262 as previously described^{2 11 17}. MVA- and 5T4-specific antibody titers were
263 determined by ELISA as described previously¹⁷
264 Further exploratory analyses investigated potential pre-treatment predictors of
265 treatment benefit: haemoglobin, haematocrit, Mean Corpuscular Haemoglobin
266 Concentration (MCHC), baseline 5T4 antibody, serum C-reactive protein (CRP),
267 platelets, neutrophils, lymphocytes, 5T4 immune response surrogate and neutrophil
268 to lymphocytes ratio, as well as Glasgow prognostic index (GPS).

269 To assess 5T4 expression in archival tissue we followed a previously published
270 protocol using deparaffinization, horse serum and an Avidin Biotin block before
271 incubation with an anti-TPBG (5T4) antibody produced in rabbit (HPA010554;
272 Sigma-Aldrich). Detection of the primary antibody was performed using Vectastain
273 Elite ABC-HRP Kit, Peroxidase (Vector Laboratories) with a diaminobenzidine
274 reaction and hematoxylin counterstaining. For 5T4 IHC expression, human placenta
275 and kidney FFPE tissue with and without primary antibody were used as positive and
276 negative controls.

277 For the antibody response and potential predictors of treatment benefit, the
278 association between pre-treatment variables and maximum absolute responses were
279 reported as Spearman's correlation coefficients (with corresponding p-values).
280 Associations between pre-treatment variables and responder categories were

281 reported as odds ratios (with corresponding 95% confidence intervals) from logistic
282 regression models.

283 RESULTS

284 94 patients were recruited from 12 centers in the UK between December 2013 and
285 October 2017, 59 received MVA-5T4 and 35 placebo. 69 were randomized prior to
286 the change in the design of the study and an additional 25 were enrolled in the single
287 arm study. Median age was 65 (range 42 to 82), and median time since prior
288 chemotherapy was 18 months (range 7 to 86). The characteristics of the patients
289 given MVA-5T4 as part of the randomized phase were similar to those in the single
290 arm study (Table 1). The median follow up was 34 months (range 2 to 46).

291 The median number of MVA-5T4 injections received was 7 (range 0-9), compared to
292 a median of 6 (1-12) for patients receiving placebo. The most common reason for
293 stopping treatment before the 8th injection was disease progression (40.7% MVA-
294 5T4; 54.3% placebo), followed by trial suspension (13.6%; 14.3%) and unacceptable
295 toxicity (8.5%; 2.9%). Toxicity that resulted in discontinuation of treatment was due to
296 adverse and serious adverse events such as fatigue, vomiting, arthralgia, myalgia,
297 dizziness and headache (Supplementary Table 1).

298 The 25-week progression rate (primary outcome) was similar in both arms: 80.0% for
299 patients treated with MVA-5T4 and 85.7% for those on placebo (risk difference -
300 5.7%, 95% CI -21.4 to 10.0). Excluding those whose MVA-5T4 treatment was
301 impacted by the trial suspension gave a risk difference of -0.9% (-16.5 to 14.6), while
302 in the pre-specified per protocol analysis (patients who had ≥ 5 treatment injections,
303 unaffected by trial suspension) it was -12.1% (-30.5% to 6.3%). Median PFS was 3.0
304 months in both treatment arms.

305 Clinical intervention was defined by symptoms that required systemic treatment:
306 ascites, pleural effusion, malignant bowel obstruction or other symptoms related to
307 progressive disease demonstrated on imaging (Table 2). There was a lower
308 proportion of patients requiring clinical intervention by week 25 in the MVA-5T4 arm
309 (29% vs 51% placebo, $p=0.03$), however this did not translate to a significant
310 improvement in time to clinical intervention (HR 0.74, 95% CI 0.47-1.15, $p=0.17$);
311 Figure 1.

312 A total of 44 deaths were reported during follow up, all attributed to disease
313 progression. The median overall survival was 30.6 months in the MVA-5T4 arm
314 versus 39.7 months with placebo (HR 1.58, 95% CI 0.84-2.95, p=0.15); Figure 2.

315 The safety population included all patients who received at least one injection.
316 Treatment with MVA-5T4 was well tolerated (Supplementary Table 2). The most
317 reported grade 3/4 toxicities were gastrointestinal disorders (5.2% in MVA-5T4 vs
318 11.4% in placebo) such as abdominal pain, bloating, constipation, diarrhoea,
319 dyspepsia, flatulence and nausea as well as nervous system disorders (8.6% vs
320 2.9%) such as lethargy, headache, dizziness, peripheral sensory neuropathy,
321 dysgeusia, and amnesia. The incidence of any grade 3/4 toxicity in the MVA-5T4
322 arm was 27.6% compared to 22.9% in the placebo arm. Quality of life was generally
323 similar between the two treatment arms with no significant differences between
324 treatment arms for the QLQ C30 functional scales or symptom scales and no
325 significant differences in the QLQ OV28 symptom scales over the duration of the
326 study.

327 94 patients were assessed for immune response. 72 archival tumor blocks were
328 available for analysis of which 54 were evaluable (those with $\leq 10\%$ tumor content
329 were excluded). Out of the 54 tumors, 11 (20%) did not show any 5T4 expression
330 and of the blocks that showed 5T4 staining, 21 (39%) showed weak expression of
331 5T4.

332 Patients were more likely to mount a positive antibody response if they received the
333 active treatment compared to patients who received placebo; 5T4 (53/57 [93%]
334 MVA-5T4 vs 12/34 [35%] placebo, $p < 0.001$) and MVA (54/57 [95%] MVA-5T4 vs
335 1/34 [3%] placebo, $p < 0.001$).

336 There was no evidence that MVA-5T4-treated patients were more likely to mount a
337 positive 5T4 cellular response than placebo (6/57 [11%] MVA-5T4 vs 2/34 [6%]
338 placebo, $p = 0.5$). There was also no evidence that antibody response to either 5T4 or
339 MVA was associated with a significant benefit in terms of PFS or time to clinical
340 intervention. There was, however, evidence to suggest that patients who achieved
341 higher maximum MVA cellular responses, had significantly better time to clinical
342 intervention (HR for 100 unit increase in maximum cellular response 0.92, 95% CI

343 0.88-0.97, $p < 0.01$) (Figure 3). 5T4 cellular response was not associated with clinical
344 benefit. Figure 3 shows the time to clinical intervention in patients who developed a
345 high MVA cellular response as compared to patients who had a low response.

346 Of the pre-treatment factors analysed, only GPS and 5T4 immune response
347 surrogate had a predictive value in terms of clinical benefit²⁰. Patients with a high
348 GPS (defined as ≥ 1) had worse PFS in the MVA-5T4 arm (hazard ratio of 2.66 (CI
349 1.18-6.01), $p = 0.02$), but not in the placebo arm (HR 0.71 (CI 0.27-1.91) $p = 0.5$)
350 (interaction $p = 0.04$). A similar pattern was seen for time to clinical intervention; for
351 patients in the MVA-5T4 arm the HR was 2.58 (CI 1.13-5.89, $p = 0.02$), compared to
352 a HR of 0.6 (CI-0.23-1.59, $p = 0.3$) in the placebo arm (interaction $p = 0.03$).

353 The 5T4 immune response surrogate predicted patients who derive benefit from
354 MVA-5T4 in terms of time to symptomatic progression (HR 0.99 (CI 0.98-1.00)
355 $p = 0.05$), but not PFS.

356 DISCUSSION

357

358 Summary of Main Results

359 The results of immunotherapy trials in ovarian cancer have so far been
360 disappointing. Some studies looking at the immune environment show promising
361 results with evidence of immune activation, but with low rates of clinical response²¹
362 ²².

363 Based on preclinical and clinical results demonstrating a good immunological
364 response to a 5T4 antigen^{9-11 14 15}, expressed in many solid tumors, we conducted a
365 trial in ovarian cancer using a genetically modified vaccinia Ankara virus containing
366 the human tumor associated antigen, 5T4. Only patients who had no symptoms
367 relating to their relapsing cancer were eligible for the study. This study captured
368 patients who did not immediately require chemotherapy or surgery and could
369 potentially benefit from an immunological treatment approach.

370 Disease progression at 25 weeks did not differ between the two arms and there was
371 no evidence of an overall survival benefit to the vaccine. However, there was a trend
372 towards improvement in time to clinical intervention with MVA-5T4 treatment (HR-

373 hazard ratio- 0.74), with fewer patients requiring clinical intervention by week 25 than
374 those given placebo (29% vs 51%). Vaccination with MVA-5T4 resulted in
375 measurable immunological responses with increased levels of antibodies against
376 5T4 and MVA. Interestingly the cellular responses were mainly directed at MVA and
377 there was no evidence that MVA-5T4 patients were more likely to mount a positive
378 5T4 cellular response (p=0.5).

379 Results in the Context of Published Literature
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381 These results can potentially be explained by the low immunogenicity of 5T4 and
382 high immunogenicity of the MVA vector in patients with ovarian cancer. Interestingly
383 several trials that looked at MVA 5T4 vaccination in other tumor types reported a
384 better cellular response to MVA 5T4 vaccination^{9 11-13 15 23}. A trial of vaccination of
385 colorectal cancer patients showed that most patients developed 5T4 -specific cellular
386 responses and there was a positive association between the development of a 5T4
387 (but not MVA) antibody response and patient survival or time to disease progression
388 ¹³. Similarly, in a trial of patients with renal cancer, many patients developed a high
389 5T4 antibody response, which was associated with longer survival within the MVA-
390 5T4-treated group ¹⁵. The nature of immune response development in ovarian
391 cancer is perhaps more complex and evaluation of tumour tissue prior to
392 immunotherapy trial enrolment may be the key to a better clinical response. The
393 inclusion of only women with asymptomatic recurrence did not permit taking biopsies
394 at the time of the trial enrolment.

395 There are several clinical trials that combine immunotherapy agents with small
396 molecule drugs, for example bevacizumab, atezolizumab and chemotherapy or
397 PARP inhibitors and the response rates are encouraging with some women
398 achieving CR and high rates of clinical benefit (up to 95% in platinum sensitive
399 relapse) (reviewed in ²⁴).

400 We have found that some previously described clinical indicators of immune
401 response can offer valuable predictive information. Patients with a high Glasgow
402 Prognostic Index had a worse PFS in the MVA-5T4 arm and those patients
403 developed symptoms sooner than patients who had a low Glasgow Prognostic

404 Index. This correlation was previously published, and it should be utilized in future
405 clinical trials and in clinical practice ²⁵

406 Strengths and Weaknesses 407

408 This study explored an immune treatment approach in a group of women who are
409 not normally offered clinical trials of novel agents. The population of women in the
410 study did not have cancer related symptoms, and some had no measurable disease
411 but a CA-125 progression only. Patients in this clinical scenario may be better suited
412 for an immunotherapy-based approach and this is a strength of the study.

413 The weaknesses of the study include a change of the design to a single arm study,
414 discontinuation of treatment for patients during trial suspension as well as lack of
415 fresh tumour tissue, which is a frequent hurdle in clinical trials, and it often limits our
416 understanding of tumour environment and how it evolves with cancer progression.

417 Implications for Practice and Future Research 418

419 The change in clinical practice over the last few years and the use of PARP inhibitors
420 in the maintenance setting has altered the clinical picture and future immunotherapy
421 trials in ovarian cancer. We are unlikely to have many women with asymptomatic
422 relapse who are not on treatment, as most of those women will be on a maintenance
423 therapy. Combining immunotherapy agents might offer more effective treatment
424 options in recurrent ovarian cancer. The molecular and immune heterogeneity of
425 ovarian cancer indicates that the combination of immunotherapy treatment together
426 with targeted agents such as PARP inhibitors, as well as drugs that target the
427 immune suppressors, like TGF- β or IL-10 may be the way forward. The idea of
428 immune stimulation in a “low volume” relapsed disease setting might be explored
429 further with new approaches and combinations of targeted therapy and
430 immunotherapy. Recent studies with vaccines in the adjuvant setting, targeting
431 tumour antigens such as NY-ESO-1 have suggested a survival benefit ²¹ but these
432 may well be overtaken by check-point inhibitors in combination with targeted agents
433 such as PARP inhibitors. Studies such as KEYNOTE-162 (niraparib/pembrolizumab)
434 and MEDIOLA (Olaparib/durvalumab) combining PARP inhibitors and check-point
435 inhibitors show promising results, with the latter demonstrating overall response rate

436 of 74.2% in the non-BRCA patients and over 90% in patients with BRCA mutation ²⁶
437 ²⁷ . Targeting early relapsed disease in patients on treatment with PARP inhibitors by
438 adding immunomodulating agents may be an attractive option to explore.

439 Access to fresh tissue to evaluate the immune microenvironment and better ways to
440 assess immune activation using peripheral blood will help us to understand the
441 dynamic changes that occur during immune modulation.

442 Conclusion

443

444 In summary, our trial did not demonstrate an improvement in cancer progression at
445 25 weeks but MVA-5T4 was a safe and well tolerated treatment that showed some
446 evidence of immune activation that might influence the development of clinical
447 symptoms. Therefore, there may still be a role for using anti-cancer vaccines in
448 advanced ovarian cancer.

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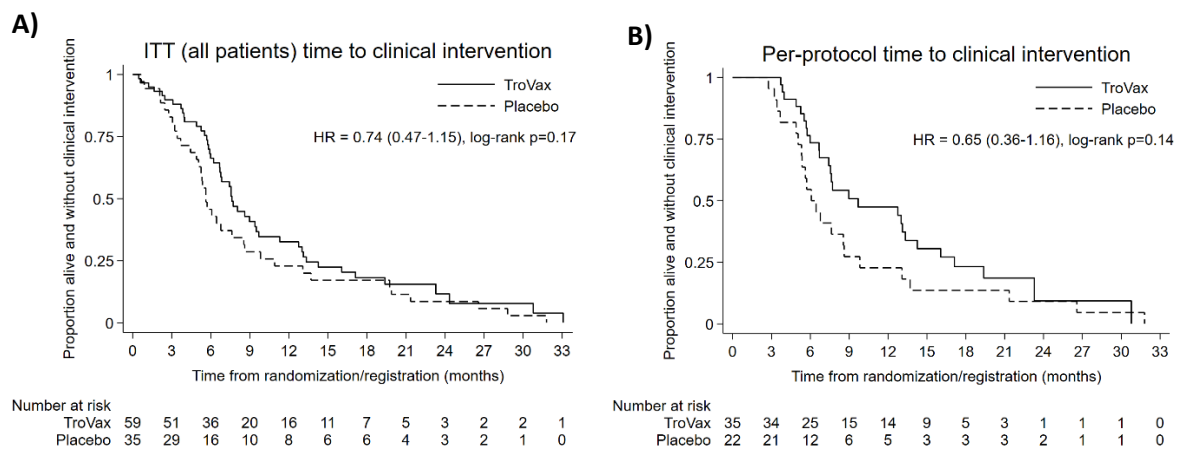
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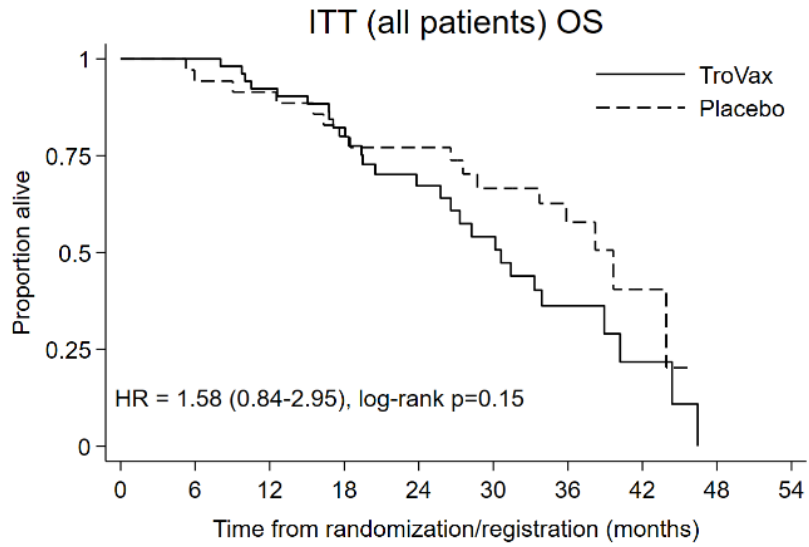
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Figure 1:
 Time to clinical intervention by treatment arm for A) all patients and B) per protocol population (≥ 5 injections and unaffected by trial suspension)



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581 Figure 2:
 582 Overall survival for all patients by treatment arm
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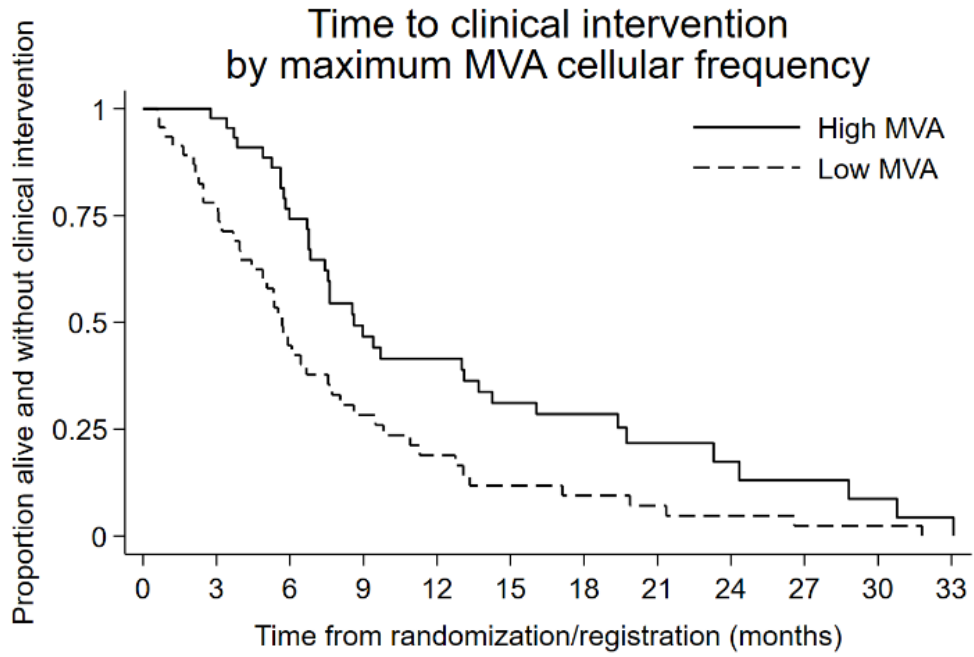


Number at risk		0	6	12	18	24	30	36	42	48	54
TroVax	59	55	48	35	23	16	6	2	0	0	0
Placebo	35	33	32	28	25	18	12	2	0	0	0

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588 Figure 3.
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 590 Time to clinical intervention split by median maximum MVA cellular frequency
 591 (Low < 376.7, High ≥376.7)
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Number at risk		0	3	6	9	12	15	18	21	24	27	30	33
High MVA	45	43	31	18	16	12	9	6	4	3	2	1	
Low MVA	46	35	20	12	8	5	4	3	2	1	1	0	

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Table 1:
Baseline characteristics of eligible patients (N=94)

Characteristic	MVA-5T4 (N=59)	Placebo (N=35)
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Age, median years (range)	64 (42 - 82)	66 (43 - 80)
BMI, median kg/m² (range)	26.8 (14.8 - 45.4)	28.2 (18.6 - 51.6)
Time since completing platinum-based chemotherapy, median months (range)	17.8 (9.0 - 86.1)	17.3 (7.3 - 53.2)
ECOG, N (%)		
1	7 (11.9%)	3 (8.6%)
0	52 (88.1%)	32 (91.4%)
Stage at original diagnosis, N (%)		
IIA	1 (1.7%)	1 (2.9%)
IIB	1 (1.7%)	1 (2.9%)
IIC	2 (3.4%)	0
IIIA	4 (6.8%)	3 (8.6%)
IIIB	6 (10.2%)	1 (2.9%)
IIIC	40 (67.8%)	25 (71.4%)
IV	2 (3.4%)	4 (11.4%)
IV/IVA	3 (5.1%)	0
Histological subtype, N (%)		
Clear Cell	2 (3.4%)	3 (8.6%)
Endometrioid	2 (3.4%)	0
High Grade Serous	55 (93.2%)	32 (91.4%)
Measurable lesions by RECIST1.1, N (%)		
Yes	41 (69.5%)	20 (57.1%)
<i>Sum of longest diameter, median mm (range)</i>	<i>48.0 (9.0 - 112.6)</i>	<i>33.0 (15.0 - 68.8)</i>
No	18 (30.5%)	15 (42.9%)

612 Society of Gynaecologic Oncology – FIGO ovarian cancer staging guidelines (effective 01 Feb 2014),
613 <<https://www.sgo.org/clinical-practice/guidelines/new-figo-ovarian-cancer-staging-guidelines/>>
614 accessed 18 March 2016

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624 Table 2:
 625 Clinical intervention at 25 weeks by treatment arm (N=90)
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Clinical intervention	MVA-5T4	Placebo	P-value
ITT (no trial suspensions)	N=46	N=35	
Ascites or pleural drainage	3 (6.5%)	1 (2.9%)	
Surgery	1 (2.2%)	1 (2.9%)	
Chemotherapy	13 (28.3%)	15 (42.9%)	
Radiotherapy	3 (6.5%)	0	
Biological therapy	3 (6.5%)	4 (11.4%)	
Any clinical intervention	15 (32.6%)	18 (51.4%)	0.04
ITT (all patients)	N=55	N=35	
Ascites or pleural drainage	3 (5.5%)	1 (2.9%)	
Surgery	1 (1.8%)	1 (2.9%)	
Chemotherapy	14 (25.5%)	15 (42.9%)	
Radiotherapy	3 (5.5%)	0	
Biological therapy	3 (5.5%)	4 (11.4%)	
Any clinical intervention	16 (29.1%)	18 (51.4%)	0.03
Per-protocol	N=34	N=22	
Ascites or pleural drainage	1 (2.9%)	1 (4.5%)	
Surgery	0	0	
Chemotherapy	7 (20.6%)	8 (36.4%)	
Radiotherapy	0	0	
Biological therapy	2 (5.9%)	2 (9.1%)	
Any clinical intervention	8 (23.5%)	10 (45.5%)	0.09

627 Note: 4 patients were lost to follow up before 25 weeks with no reported clinical intervention and so
 628 have been excluded from the above analysis.

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642 **Additional Information:**

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663 **Authors' contributions**

664 Agnieszka Michael -Chief Investigator for the study, overall study responsibility,
665 study design and grant submission, oversight of recruitment, data analysis,
666 manuscript writing,

667 William Wilson and Alan Hackshaw-statistical study design, data analysis ,
668 manuscript writing , data oversight

669 Sunny Sunshine, Nicola Annels and Hardev Pandha – immunological and
670 translational analysis and writing support.

671 Richard Harrop, Daniel Blount-Oxford Biomedica study development support,
672 immunological and translational analysis of samples

673 Rosemary Lord, Shibani Nicum, Stephen Gwyther, Ian McNeish-patient
674 recruitment , study design support, data analysis support, manuscript writing
675 support.

676 Yen Ngai-project manager, patients' recruitment oversight, study conduct
677 support, data analysis support, manuscript writing support.

678 Jonathan Ledermann -overall study design and conduct oversight on behalf of
679 the study sponsor

680 **Ethics approval and consent to participate** : Ethical (GTAC182) and
681 Regulatory (CTA:2011-001836-44) approvals were obtained and all participants
682 gave written informed consent. The study was conducted in accordance with the
683 Declaration of Helsinki

684 **Consent for publication**- All participants consented for publication of the results
685 of the trial

686 **Data availability**- The data generated in this study are available within the article
687 and its supplementary data files.

688 **Competing interests**: There are no conflict of interest to declare

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