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26 Measuring levels of family stress and engagement in child protection social work: an 27 explorative analysis of parental stress and social worker practice skills 28 29 Abstract 30 **Purpose.** Effectively engaging parents under stress is a key component of skilful child welfare practice. 31 We investigated how social workers practice with parents with either high or normal levels of stress. 32 **Design/Methodology/Approach.** The study used a mixed methods design including observations and 33 audio recordings of meetings between families and social workers, interviews with parents/carers, and 34 questionnaires with social workers. Validated instruments, including the general health questionnaire 35 (GHQ) for stress levels and the Working Alliance Inventory (WAI) were used to explore how levels of 36 stress influence practice. 37 Findings. Data from 366 families found twice as many parents had clinically elevated GHQ scores 38 compared to the general population (40% to about 20%). Stressed parents reported significantly less 39 good working relationships with social workers (WAI, for the less stressed parents/carers M = 65.3 vs 40 highly stressed M =60.6, t(324)=2.407, p=.017, d=0.28). When quality of practice was directly 41 measured workers showed higher level of relationship skills with the less stressed group (t(291)=1.71, 42 p=0.44, *d*=0.205). 43 Originality. This study explored the interaction between practitioner skills and family factors 44 influencing engagement, such as stress and anxiety. Engagement with stressed families may be 45 particularly challenging making it all the more important to understand how social workers engage such 46 families to provide effective help and support. A better understanding of the parent-worker relationship 47 under stress can lead to the development of training and practice strategies aimed at overcoming barriers 48 in family engagement. This study also highlights the need for practice to re-focus on the relational 49 elements and the emotional wellbeing of families. 50 51 Keywords: social work practice, social worker skill, family stress, engagement, working 52 alliance, child protection 53 54

### Introduction

A core element of statutory social work is engaging parents. Without engagement, in-depth assessment and effective help are difficult to achieve. The Munro report (2011) underlined the importance of family engagement for assessment and to achieve behavioural change "...skills in forming relationships are fundamental to obtaining the information that helps social workers understand what problems a family has and to engaging the child and family and working *with* them to promote change." (Munro, 2010, p.88).

In the past, engagement within child welfare services was mainly characterised in terms of either compliance (e.g., Steffenson & Colker, 1982) or resistance (e.g., Stanton & Todd, 1981). This view has been challenged in more recent literature, which has emphasised the potential of the context of child protection or the approach of the worker to create resistance and make engagement challenging (Featherstone et al., 2014; Munro, 2011; Forrester, 2008; Forrester et al., 2018; 2019).

Building on these insights, the research focus thus far has focused on factors associated with parents or factors within social work practice that influence engagement. Factors associated with parents that impact engagement include substance abuse, the multifaceted disadvantages of living in poverty and associated poor quality of life and mental health. Distressed families usually experience disruption and disorganisation in their lives. These emotions interact with perceptions about family functions and behavioural interventions leading to different views between families and their social workers. This, in turn, can impact families' openness to communication and engagement with Childrens' services (Tembo & Studsrød, 2019). Thus, using a more complex/holistic approach considering how physical and social stressors influence families can lead to a better understanding of the blockers to parental engagement and allows social workers to develop strategies to address these challenges. Approaches that focus on the difference that social work skills and practices make include the

use and evaluation of practice methods or frameworks aimed at improving worker skills in engagement (Spratt & Callan, 2004; Yatchmenoff, 2005; Horwitz& Marshall, 2015). Featherstone and colleagues (Featherstone at al., 2014) advocated for a family-minded humane practice recognising parents' needs and strengths and encouraging practitioners to prioritise the well-being of those they serve, and there has been an increased recognition of the need to involve families in social work decision making fostering a more collaborative and empowering approach to social work interventions (Roose et al., 2013; Gallagher et al., 2011). However, to date, research that considers the interaction between parental factors and social work practice and their impact on engagement has been limited, for instance exploring varying ways that workers communicate with families depending on parent- or family-level factors. A recent qualitative study by Ferguson and colleagues (2020) explored the interaction between families and practitioners' skill - particularly their ability to empathise - and its impact on their relationship in the presence of difficult emotions such as avoidance and hostility. One of the insights from this study that it is probably simplistic to talk about social work skills as absolutes, because the best responses are shaped by their context. It might be necessary to be more empathic in some circumstances and more authoritative in others.

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This research tries to explore one interaction between a parent related factors (level of stress and anxiety) and worker skills. Do workers change the way they work with parents experiencing – in this case – high levels of self-reported stress, and if they do what difference does it make? Many of the parents that social workers seek to engage are likely experiencing stress, and the involvement of social workers will itself be a stressor for many parents (Spratt & Callan, 2004; Studsrød, Willumsen, & Ellingsen, 2014). Understanding how workers respond to parents experiencing such stresses is therefore important.

Although experiencing some degree of stress and anxiety is normal for any parent, acute stress undermines parenting effectiveness and coping skills (Fang et al., 2022; Raphael, Zhang, Liu,

& Giardino, 2010) and has negative cognitive and emotional consequences for the individual and all other members in the family system leading to possible family crisis (family stress theories, e.g., Hill's model, Boss 2002). Families involved with social services are usually in crisis, which often results in disruptiveness and instability, and therefore, these families may find it difficult to manage new demands and initiate changes required by child and family social work risk assessments and child protection plans. However, skilful practice, utilisation of positive communication skills and provision of emotional or concrete support are critical to engagement for families in crisis (Schreiber et al., 2013; (Fang, Boelens, Windhorst, Raat, & van Grieken, 2021).

Research examining parental stress in the area of disability and social work involvement has found that elevated parental stress and social isolation were barriers to social work engagement for families with disabled children (Bonis, 2016; Hsiao, 2017; Winkworth, McArthur, Layton, Thomson, & Wilson, 2010). Not surprisingly, families who experienced high levels of stress reported greater psychological distress and lower life satisfaction, felt more frustrated with their quality of life, and needed increased support from their workers than families with low levels of stress (Lundy, 2011). Similarly, families involved with child protection (CP) services experience various stresses including CP involvement itself. Stress is recognised as an important factor in the literature for the families with disabled children involved with Children's Services, yet the impact of parental stress on engagement with social workers has not been much investigated within child in need and child protection research.

## Study aims

- 128 In this context, the aims of the current study were:
- 129 (1) to establish levels of stress in parents involved with Children's Services and examine the
- social worker-family relationship, as assessed by families;

131 (2) to collect information about the initial social worker's risk assessment of the family

(3) to examine the social worker-family relationship as assessed by an observer of the family-

social worker interaction

(4) to understand whether parental stress level is associated with different social work practices

by examining the relationship between level of stress in parents and the quality and nature of

social work practice.

### Methods

Data in the current study were previously collected during multiple studies carried out in Children's Services based in UK Local Authorities (LA) between 2012–16. The studies were conducted in the following LAs: one study in northeast England, one in east Midlands, two in the Southeast of England, and three in inner-London LAs (one in one London LA and two in another London LA, with first study conducted in 2012-2013 and second study conducted in 2014-16). The structure of the social work teams and the process of family allocation differed among Las, but data were collected from families and their allocated social workers once the process of allocation was completed and families had an allocated social worker. All observers of the meetings were trained researchers who collected the data as part of the same research team using the same interview schedule.

**Design.** This mixed-methods study used secondary analysis of data from previously conducted

studies on family engagement and children's outcomes in Children's Services.

**Sample.** The total sample comprised 366 families who participated in studies across 7 Local

152 Authorities.

### **Procedure**

155	Data were collected through an observed and recorded meeting between a social worker and
156	the family and a research interview with parents shortly after this observation - approximately
157	two to four weeks after allocation. The procedure of conducting family interviews, all measures
158	and list of outcomes measured has been described in detail elsewhere [details omitted for peer
159	review]
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161	Ethics
162	All studies included in this study were reviewed and approved by the Ethics Committee of
163	University [details omitted for peer review].
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165	Measures
166	1. Family Interview
167	1.1 parent/carer's assessment of the working relationship with their social worker and
168	personal situation
169	The Family interview section included the following four measures: 1) Parent/carer's
170	assessment of the most recent meeting with their social worker 2) their working relationship
171	and 3) parents/carers' self-reported assessment of their life situation and 4) their levels of stress
172	and anxiety.
173	1.2 Parent's most recent meeting with your worker: This section assessed parent/carer's
174	experience of the most recent meeting with their worker on a 7-point Likert scale. This was a
175	bespoke measure, i.e. a tailor-made measure for our study's purposes, and was first developed
176	for use in a prior study [details omitted for peer review], and it has also been used for other
177	similar projects [details omitted for peer review].
178	1.3 Relationship between parents and their worker: Working Alliance Inventory (WAI)
179	(Horvath & Greenberg, 1989) was used to measure the relationship between parent and worker.

The WAI is a standardised and well-validated self-report instrument for measuring the quality of the working relationship between client and therapist on 3 dimensions (bonds, goals, and tasks) and originated from the clinical therapy setting. The short-form of WAI (WAI-12) has been adapted to serve the needs of social work enquiry (WAI-S-12) including an observer version. The WAI-S-12 has been used in other studies (Forrester et al., 2018; Forrester et al., 2019) and has been validated with child welfare workers (Killian, Forrester, Westlake, & Antonopoulou, 2015). A bespoke question about being honest with their allocated social worker was also added. 1.4 General Health Questionnaire (GHQ-12, short form) (Goldberg and Williams, 1988 based on the original GHQ questionnaire as developed by Goldberg, 1978) -Psychological distress and anxiety: The GHQ-12 is an extensively used screening instrument for common mental health disorders, such as anxiety and stress, in the general population and a measure of general well-being. This 12-item self-report assesses the respondent's current state in comparison to their usual state. The shorter GHQ-12 version was used was used across all studies included here for analyses (e.g., Jackson, 2007). Items were measured on a fourpoint Likert scale with higher scores indicating increased level of anxiety. Response options include the following: "Better than usual", "Same as usual", "Worse than usual' and "Much worse than usual". A total score was calculated with a clinical threshold of 3/4 based on the

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### 2. Social worker questionnaire

The social worker's professional assessment of risk for the allocated family section included the following measure:

GHQ-12 scoring. The internal reliability score for the GHQ-12 used in a social work setting

was found to be excellent with a Cronbach alpha of  $\alpha = .951$  (Killian et al., 2015).

**2.1 Level of concern**: Social workers were asked to indicate the initial level of perceived risk to the child of the family. This measure included the worker's professional judgment for the overall level of concern on a 4-point scale (0 = no concern, 1 = low, 2 = medium, 3 = high level of concern).

### 3. Observer questionnaire

- The third section of the measures aimed to measure observed alliance between family and their social worker and was completed by researchers observing the meeting:
- 3.1 Relationship between parent/carer and worker: The Working Alliance Inventory (WAI-S-12 observer short-form) was used to triangulate data with the other 2 versions (parent and worker WAI scores).

# 4. Social workers' practice skills

Meetings between families and their allocated social worker were observed and audio recorded by researchers (observers). Coding of social workers communication skills during the observed meetings was based on the Motivational Interviewing (MI) framework adjusted for social work (Miller & Rollnick, 2013; Whittaker et al., 2016). For further information on this framework, see [details withheld for peer review]. In brief, the adapted practice skills framework has 3 key categories measuring relevant dimensions of skilled communication in child and family social work and it has been shown that they can be reliably coded (Whittaker et al., 2016). These categories are: 1) evocation skills, 2) relationship skills (comprising the sub-categories of empathy, collaboration and autonomy) and 3) good authority skills (comprising the sub-categories of purposefulness, clarity of concerns and child focus). These types of skill were coded by trained coders on a 5-point scale.

### 5. Data Analysis

We first present descriptive statistics and correlations among variables of interest. To investigate differences in parent stress and anxiety, we have created two groups the stressed

and non-stressed group based on the GHQ cut-off point for abnormal levels of stress (Killian et al., 2015; Forrester et al., 2019). Independent t-tests were conducted to explored differences between the two groups on variables of interest and associated effect sizes are reported.

Analyses used SPSS 28.0, and all significance testing used  $\alpha = .05$ .

#### Results

## **Participants**

Our final sample included 366 participants, as presented in Table 1.

### [insert Table 1 here]

### 1.Overall level of stress

The analysis of the results for overall level of reported stress based on the cut-off point for subclinical scores which indicate normal levels of stress (henceforth referred to as "non-stressed" participants) versus clinically elevated scores which indicate high levels of stress (henceforth referred to as "stressed" participants) indicated that our total sample (N=366) included 223 (60.9%) non-stressed families and 143 (39.1%) families with elevated stress levels.

## 2. Quality of working relationship (WAI scores):

Results indicated that stressed parents/carers reported overall significantly lower levels of alliance with their social workers than less stressed parents/carers, as measured with the WAI total score (M = 60.6 (SD = 17.21) vs M = 65.3 (SD = 17.38), d = 0.28, p = .017). Significant differences were also found for all the WAI measure subcategories, as shown in Table 2. Participants' responses to the added bespoke question (i.e., if they were open with their social worker) did not show any significant difference (p = 0.58) between stressed (M = 6.46, SD = 1.13,) and non-stressed parents/carers (M = 6.53, SD = 1.04).

256 257 Similarly, observers reported significantly lower levels of alliance (t[315] = 2.007, p = .046, d = 0.23) between stressed (M = 59.34, SD = 14.53) and non-stressed parents/carers (M = 62.71, 258 259 SD = 14.46) for the overall WAI. Significantly lower levels of alliance were also found for 260 WAI-observer goals (t[321] = 2.49, p = .013, d = 0.29). No significant differences were found 261 for the other WAI-observer subcategories (i.e., bond and task, p>.05). Comparative results on

all WAI-observer categories are shown in Table 2.

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# 3. Satisfaction with meeting with social worker:

268 On a 7-point scale, non-stressed families rated their meeting with their worker as better (M =

269 6.05, SD=1.17) than stressed families (M = 5.68, SD=1.41), a statistically significant difference

(t[360] = 2.764, p = .006, d = 0.298).270

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### 4. Social workers' reported level of concern for their allocated families:

273 In relation to type of concern, social workers' rating for level of overall concern for the non-

stressed families and the stressed families was not significantly different between non-stressed

families and highly stressed families. No other significant differences were found.

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#### **Practice skills scores**

The mean scores for all practice skills categories are presented in Table 3. Overall, the means across all categories were higher for the non-stressed than for the stressed families indicating

a slightly higher level of skill when workers were talking to non-stressed families. Significant

differences were found only for the relationship skills with a medium effect size (t[291] = 1.714, p = 0.044, d = 0.205).

# [insert Table 3 here]

### Discussion

The results of the current study showed that a considerable proportion of the parents in families involved with children's services are stressed and anxious, specifically 39%. This is nearly twice the normal levels of stress in the general population which range between 17-20% (Goodwin et al., 2013). This is in itself perhaps unsurprising. Families in such circumstances are often experiencing substantial difficulties and in addition the experience of social work involvement can in itself often be stressful. Nonetheless, this provides a key context for practice: workers are dealing with a population of parents experiencing high levels of stress and anxiety.

Interestingly, practitioners did not indicate significantly different overall level of concern about children in families where the parent was stressed/anxious. Stressed families rated the quality of working alliance between practitioners and families significantly lower than the non-stressed families and were less positive about the observed meeting. This was also true for researchers who observed the family visits and independently rated the relationship. This is important as previous research has found that parents' satisfaction or dissatisfaction with the service received tends to relate to the perceived helpfulness of the services (Fuller, Paceley, & Schreiber, 2015; Tembo & Studsrød, 2019). Perceived helpfulness and satisfaction with services, in turn, can affect attitudes towards seeking professional help and perceived need for support and service use (e.g. Man & Kangas, 2019).

At its simplest this suggests that a high proportion of parents working with social workers in the context of child protection are anxious and stressed, but that workers find it particularly difficult to form helpful working relationships with such parents, as seen in the significant differences in WAI family ratings and relationship practice skill scores across these two types of families. Evocation was not found statistically significant despite the larger effect size due to the fewer instances of this worker skill occurring during interactions with families. In some other similar studies, evocation was removed from multivariate models for this reason (Forrester, et al., 2020). This has conceptual and practical implications. Conceptually, these results raise questions concerning how we think about social work skills and practices. The findings suggest that workers were generally skilled at engaging parents through evocation and use of authority but were less effective when developing a relationship with stressed and anxious parents. Stressed and anxious parents may be more difficult to engage, but alternatively, the skills workers used with most parents may be less effective with those families experiencing greater stress and depression. This may also help explain the finding that WAI observer ratings were statistically different only on goals (and not on tasks or bonds) for parents reporting high stress indicating that goal setting may be particularly challenging with stressed service users (e.g. Jacob et al., 2022), as it necessitates positive self-efficacy beliefs and a sense of agency and control—attributes that may be challenging for families under stress. However, this finding warrants further investigation. Future research is needed to examine the different skills and approaches workers tend to use with families exhibiting significant stress and psychosocial challenges. The answer has implications for how we train and support workers.

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# **Implications for practice**

Families reported differences in engagement which may have serious practical implications. One is that workers perhaps need better skills for engaging parents who are stressed and anxious. Forrester et al. (2019) found correlations between motivational interviewing skills and successful engagement in child and family work. Families reported increased engagement when workers appropriately used "good authority" which consisted of purposeful practice, focussed on the child and with clarity about concerns. Skills such as these might increase the engagement of stressed and anxious parents. Workers certainly need to be supported to understand the high levels of stress and anxiety experienced by these parents involved in services, recognise the practice implications of this stress, and to be provided with the skills needed to increased family engagement in services.

While empathic communication with service users is of unquestionable importance (e.g. (Ferguson, 2016), a key question is, does the quality of communication make a difference for those families whom social workers seek to help and is it linked to better outcomes? Although this relationship is not well-established in the social work field, evidence from other fields, e.g. the medical field for example, suggests that healthcare professional-patient communication could influence patient health outcomes via indirect pathways. These pathways involve first affecting proximal outcomes such as patients' trust in the healthcare professional's ability to help them, their motivation and involvement, which in turn can affect intermediate outcomes such as commitment to treatment, perceptions of self-efficacy and adherence to healthcare plans, and emotional well-being ( Jiang, 2017; Street, Makoul, Arora, & Epstein, 2009; Tavakoly Sany, Behzhad, Ferns, & Peyman, 2020; ). Similarly, social worker good communication skills have been linked to higher service user satisfaction and experience with children's services (Wilkins & Forrester, 2021).

Parental engagement is key to achieving positive behavioural changes (Forrester et al., 2019) but given that the involvement itself can be a traumatic or anxiety-provoking experience,

particularly for families with serious concerns, this may impact the willingness to engage. A recent review of the parents' emotional experiences with child welfare services across five countries (including the UK) found that positive emotions may facilitate adequate interactions between parents and professionals, while failure to understand or deal with parents' emotions may hinder adequate help and support, as well as effective child protection (Tembo & Studsrød, 2019) and our results are in line with this finding.

# Limitations and strengths of the study

The reported level of stress and anxiety in parents reflects their wellbeing as measured at a particular point in time due to the cross-sectional design of this study which may only reflect a snapshot of their mental state. We also acknowledge that the effect sizes for the statistically significant differences identified are relatively small, warranting caution in result interpretation. Furthermore, one other imitation may be that this study may not have considered all potential confounding factors affecting the relationship between parental stress and social worker practice skills. This includes not capturing the complete spectrum of social worker practices and potential influences from frontline workers' managers or organisational practices in various local authorities, as indicated by other research (e.g. Wilkins et al., 2018; Antonopoulou et al., 2017).

A strength of this study lies in the extensive data collected in real practice across seven local authorities which includes a big enough sample of families with differing levels of social work involvement and concerns. In addition, the use of a mixed methods design, combining observations and family and social worker questionnaires, allows for a triangulation of the information collected on the interaction between social workers and families.

#### Conclusion

Understanding, supporting and providing children and families with a safe environment is the key aim of child welfare services (Gilbert et al. 2009; Gilbert, Parton, and Skivenes 2011). Although the key priority for children's services are the children, these are delivered through the parents, hence parents have a central position as service users and their emotional experiences, and stress and anxiety in particular, are decisive to successful family outcomes (Gilbert, Parton, and Skivenes 2011). Our findings have implications for child and family social work practice, including enhancing practitioners' relationship-building and engagement skills through adequate training and importantly, allowing practitioners adequate time to develop supportive relationships with parents which could possibly set a context for behavioural change that leads to better family outcomes.

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### **Informed consent statement**

Informed consent was obtained from all subjects involved in the study.

# Data availability statement

The data presented in this study are available upon reasonable request from the corresponding author.

409	Disclosure statement
410	The authors declare no conflict of interest.
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602	<u>Tables</u>
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Table 1. Participants' relationship to child

Participants	Frequency	Percentage (%)
Mother	333	90.9
Father	27	7.4
Grandmother	4	1.1
Grandfather	1	0.3
Foster Carer	1	0.3
Total	366	100%

Table 2. Mean scores for WAI categories as reported by parents/carers and by observers

WAI categories	Normal levels of stress	Clinically elevated stress levels Mean (SD)	T-test, $t(df)$	Effect Size, Cohen's d	Effect size Hedges' correction
Family	Mean (SD)				
Goals	22.68 (5.48)	20.53 (6.05)	t(337) = 3.376***	d = 0.377	0.376
Task	21.07 (5.93)	19.00 (6.43)	t(338) = 3.012**	d = 0.337	0.336
Bond	22.53 (7.45)	20.38 (6.49)	t(333) = 2.675**	d = 0.302	0.301
Total score	65.37 (17.38)	60.59 (17.21)	t(324) = 2.407*	d = 0.276	0.276
Observer					
Goals	21.41 (5.34)	19.92 (5.10)	t(321) = 2.49*	d = 0.285	0.284
Task	20.35 (5.04)	19.24 (5.15)	$t(320) = 1.92^{+}$	d = 0.219	0.219
Bond	20.95 (5.02)	19.89 (5.09)	$t(326) = 1.84^{+}$	d = 0.208	0.208
<b>Total score</b>	62.71 (14.46)	59.34 (14.53)	t(315) = 2.007*	d = 0.232	0.231

 $612 \qquad \overline{*~p < .05, **p < .01, ***p < .001, ^+p < .10}$ 

Table 3. Mean scores for practice skills categories and comparisons between scores for non-stressed and stressed families

Practice skills	Non-stressed	Stressed	T-test, $t(df)$	Effect Size, Cohen's	
categories	families	families		d (Hedges	
				correction)	
	Mean (SD)	Mean (SD)			
Evocation	2.83 (.98)	2.57 (.96)	t(165) = 1.64	d = 0.262 (0.261)	
Relationship	2.78 (.93)	2.60 (.92)	t(291) = 1.71*	$d = 0.205 \; (0.205)$	
Good Authority	2.88 (.72)	2.80 (.72)	t(290) = 0.95	d = 0.113 (0.113)	

\* p < .05, \*\*p < .01, \*\*\* p < .001, \* p < .10