[®]MyPathway Human Epidermal Growth Factor Receptor 2 Basket Study: Pertuzumab + Trastuzumab Treatment of a Tissue-Agnostic Cohort of Patients With Human Epidermal Growth Factor Receptor 2–Altered Advanced Solid Tumors

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ABSTRACT

Clinical trials frequently include multiple end points that mature at different times. The initial report, typically based on the primary end point, may be published when key planned co-primary or secondary analyses are not yet available. Clinical Trial Updates provide an opportunity to disseminate additional results from studies, published in JCO or elsewhere, for which the primary end point has already been reported.

The MyPathway multiple-basket study (ClinicalTrials.gov identifier: NCT02091141) is evaluating targeted therapies in nonindicated tumors with relevant molecular alterations. We assessed pertuzumab + trastuzumab in a tissue-agnostic cohort of adult patients with human epidermal growth factor receptor 2 (HER2)-amplified and/or -overexpressed and/or -mutated solid tumors. The primary end point was objective response rate (ORR); secondary end points included survival and safety. At data cutoff (March 2022), 346 patients with HER2 amplification and/or overexpression with/without HER2 mutations (n = 263), or HER2 mutations alone (n = 83) had been treated. Patients with HER2 amplification and/or overexpression had an ORR of 25.9% (68/263, 95% CI, 20.7 to 31.6), including five complete responses (urothelial [n = 2], salivary gland [n = 2], and colon [n = 1] cancers). Activity was higher in those with wild-type (ORR, 28.1%) versus mutated KRAS (ORR, 7.1%). Among patients with HER2 amplification, ORR was numerically higher in patients with immunohistochemistry (IHC) 3+ (41.0%; 32/78) or 2+ (21.9%; 7/32), versus 1+ (8.3%; 1/12) or no expression (0%; 0/20). In patients with HER2 mutations alone, ORR was 6.0% (5/83, 95% CI, 2.0 to 13.5). Pertuzumab + trastuzumab showed activity in various HER2-amplified and/or -overexpressed tumors with wild-type KRAS, with the range of activity dependent on tumor type, but had limited activity in the context of KRAS mutations, HER2 mutations alone, or 0-1+ HER2 expression.

ACCOMPANYING CONTENT

Data Supplement Protocol

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INTRODUCTION

Human epidermal growth factor receptor 2 (HER2/ERBB2) amplification and/or overexpression is observed in 2%–3% of all solid tumors.^{1,2} HER2-targeted therapies are approved for HER2-positive metastatic breast, gastric, gastroesophageal, and colorectal cancers (CRC),³⁻⁶ but have also shown benefit in HER2-mutant non–small-cell lung cancer (NSCLC).⁷⁻⁹

The MyPathway multiple-basket study is evaluating established targeted therapies in patients with advanced solid tumors and potentially actionable mutations. Previous data suggested the chemotherapy-free combination of pertuzumab + trastuzumab (P + T) has activity in multiple cancer types not indicated for HER2-targeted treatment,¹⁰⁻¹³

and led to updated NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines) for HER2–positive colon, salivary, and biliary cancers.¹⁴⁻¹⁶ However, activating mutations in genes associated with resistance to EGFR–targeted therapy (eg, *KRAS* and *PIK3CA*)¹⁷ may influence HER2 as a driver,^{18–20} meaning analyses in larger populations and other tumor types are needed.

Here, we report the efficacy and safety of P + T in the overall MyPathway HER2 basket.

METHODS

MyPathway (ClinicalTrials.gov identifier: NCT02091141) is an open-label, nonrandomized, multicenter, multiple-basket,

US-based, tumor-agnostic phase IIa study (Data Supplement, Fig S1 [online only]). Patients in the HER2 basket were age 18 years and older, and had tumors with HER2 amplification and/or overexpression and/or activating mutations. In cases of discordant local versus central results for HER2 amplification, overexpression, or mutation status, local results took precedence (Data Supplement, Table S1). Additional methods are provided in the Data Supplement.

RESULTS

Patients

Enrollment completed between April 8, 2014, and June 3, 2019, for 346 patients, including 263 patients with HER2 amplification and/or overexpression (with or without *HER2* mutations) and 83 with their sole *HER2* alteration being mutation (Data Supplement, Fig S2). Baseline characteristics are provided in Table 1. Median time on treatment at data cutoff (March 24, 2022) for all patients was 2.14 months (range, 0–67.2).

Outcomes Overall and by Biomarker Status

In the entire *HER2* patient cohort, objective response rate (ORR) was 21.1% and disease control rate was 42.2% (including five complete responses [CRs], 62 partial responses [PRs], 79 stable disease >4 months; Table 2). Median progression-free survival (PFS) and overall survival (OS) were 2.8 and 10.1 months, respectively (Data Supplement, Fig S3). Concordance between *HER2* testing methodologies for the HER2 amplification and/or overexpression cohort (n = 263) is provided in the Data Supplement (Table S2). There was a gradient of response among patients with *HER2* amplification; ORR was numerically higher in patients with immunohistochemistry (IHC) 3+(41.0%) or 2+ expression (21.9%), versus 1+(8.3%) or no expression (0%; Table 2).

Among patients with HER2 amplification and/or overexpression, P + T produced an ORR of 25.9% (Table 2), including five CRs (urothelial, n = 2; salivary gland, n = 2; and colon, n = 1). Median PFS and OS were 2.8 and 11.2 months, respectively (Data Supplement, Fig S3). Within this group, 28 patients also had *HER2* mutations, and had similar outcomes to the other 235 patients in the group (Data Supplement [Fig S4 and Table S3]). By contrast, patients with *HER2* mutations without known HER2 amplification or overexpression had an ORR of 6.0% (all PRs; Table 2). P + T activity in patients with amplification/overexpression versus *HER2* mutation alone is contrasted in Table 2; PFS and OS are compared in the Data Supplement (Fig S3).

HER2 overexpression (IHC 3+) correlated with higher *HER2* copy number (Data Supplement, Fig S5A). We observed a significant association between increasing *HER2* copy-number cutoff and ORR (Data Supplement, Fig S5B). ORR in all patients with IHC 3+ was 41.0% (32/78; 95% CI, 30.0 to

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52.7) and 26.1% (65/249; 95% CI, 20.8 to 32.0) in all patients with *HER2* amplification. ORR was low (12.5%) in the 64 patients who had amplification with no or equivocal over-expression (Table 2).

Among patients with HER2 amplification and/or overexpression, 203 had KRAS wild-type, 28 had KRAS mutations, and 32 had unknown KRAS status (Data Supplement, Table S4). P + T activity was higher in patients with wild-type (ORR 28.1%) versus mutated (ORR 7.1%) KRAS (Fig 1A; Data Supplement [Table S5]); PFS and OS were also longer in KRAS wild-type tumors (Figs 1B and 1C). In patients with HER2 mutations only, 73/83 had wild-type KRAS (Data Supplement, Table S4). Of the 27 patients with disease control in the HER2-mutated group, none had KRAS-mutated tumors (Data Supplement, Table S5). There was no clinically significant difference between the ORRs of patients with HER2 amplification and/or overexpression with (21.4%) versus without (27.2%) PI3K pathway alterations (Data Supplement [Fig S6 and Table S6]). Clinical outcomes by PI3K/PIK3CA status are provided in the Data Supplement.

Responses were observed in all tumor groups of patients with HER2 amplification and/or overexpression (Fig 1D). Among the 203 patients with *KRAS* wild-type tumors, ORR was 63.6% (7/11) for salivary cancer (including two CRs; one ongoing at data cutoff); 31.9% (22/69) for CRC, including one CR; 30.6% (11/36) for biliary cancer; 22.7% (5/22) for NSCLC; and 21.1% (4/19) for urothelial cancer (Fig 1E; Data Supplement [Table S7]). Of three patients with pancreatic cancer, one had a PR. Of 28 patients with HER2 amplification and/or overexpression and *KRAS* mutations, responses were observed only in patients with CRC (Data Supplement, Table S8).

Safety

Among all 346 patients, 325 (93.9%) experienced treatmentemergent adverse events (TEAEs), with treatment-related adverse events (TRAEs) reported in 251 (72.5%), mostly diarrhea (Data Supplement, Table S9). Serious TRAEs were observed in 17 (4.9%) patients and grade \geq 3 TRAEs in 42 (12.1%). Fourteen (4.0%) patients died due to TEAEs, of which two events were related to treatment (pneumonitis and sepsis). No new safety signals were observed.

DISCUSSION

P + T showed activity in various *KRAS* wild-type HER2-amplified and/or -overexpressed advanced solid tumors, ranging from 5.9% in uterine cancer to 63.6% in salivary gland tumors, suggesting that tumor origin is important. However, P + T had limited activity in patients with HER2-amplified and/or -overexpressed tumors carrying *KRAS* mutations and patients with *HER2*-activating mutations without HER2 amplification/ overexpression. Safety was consistent with previously reported profiles for pertuzumab and trastuzumab.

TABLE 1. Baseline Demographics and Clinical Characteristics

| Characteristic | HER2-Amplified and/or -Overexpressed (n = 263) | <i>HER2</i> -Mutated Alone (n = 83) | All <i>HER2</i> -Altered (N = 346) | | |
|---|---|-------------------------------------|---------------------------------------|--|--|
| Age, years, median (range) | 63 (23-87) | 61 (36-89) | 62 (23-89) | | |
| Sex, No. (%) | | | | | |
| Female | 130 (49.4) | 44 (53.0) | 174 (50.3) | | |
| Male | 133 (50.6) | 39 (47.0) | 172 (49.7) | | |
| Race, No. (%) | n = 262 | | n = 345 | | |
| White | 215 (82.1) | 65 (78.3) | 280 (81.2) | | |
| Black/African American | 19 (7.3) | 8 (9.6) | 27 (7.8) | | |
| Asian | 14 (5.3) | 7 (8.4) | 21 (6.1) | | |
| American Indian/Alaska Native | 3 (1.1) | 0 | 3 (0.9) | | |
| Other | 11 (4.2) | 3 (3.6) | 14 (4.1) | | |
| Ethnicity, No. (%) | | | | | |
| Not Hispanic or Latino | 234 (89.0) | 76 (91.6) | 310 (89.6) | | |
| Hispanic or Latino | 12 (4.6) | 6 (7.2) | 18 (5.2) | | |
| Not reported/unknown | 17 (6.5) | 1 (1.2) | 18 (5.2) | | |
| ECOG performance status, No. (%) | n = 262 | n = 81 | n = 343 | | |
| 0 | 89 (34.0) | 21 (25.9) | 110 (32.1) | | |
| 1 | 157 (59.9) | 52 (64.2) | 209 (60.9) | | |
| 2 | 16 (6.1) | 8 (9.9) | 24 (7.0) | | |
| Previous lines of therapy, median (range) | 2 (0-7) | 2 (0-8) | 2 (0-8) | | |
| Previous lines of therapy, No. (%) | n = 246 | n = 80 | n = 326 | | |
| 1 | 68 (27.6) | 29 (36.3) | 97 (29.8) | | |
| 2 | 58 (24.0) | 17 (21.3) | 76 (23.3) | | |
| 3 | 60 (24.4) | 17 (21.3) | 77 (23.6) | | |
| 4 | 50 (20.3) | 10 (12.5) | 60 (18.4) | | |
| 5+ | 9 (3.7) | 7 (8.8) | 16 (4.9) | | |
| Tumor type, No. (%) | | | | | |
| Biliary | 42 (16.0) | 9 (10.8) | 51 (14.7) | | |
| Colorectal | 86 (32.7) | 9 (10.8) | 95 (27.5) | | |
| Gynecologic | 38 (14.4) | 6 (7.2) | 44 (12.7) | | |
| NSCLC | 28 (10.6) | 32 (38.6) | 60 (17.3) | | |
| Other | 19 (7.2) | 15 (18.1) | 34 (9.8) | | |
| Pancreas | 10 (3.8) | 1 (1.2) | 11 (3.2) | | |
| Salivary | 18 (6.8) | 1 (1.2) | 19 (5.5) | | |
| Urothelial | 22 (8.4) | 10 (12.0) | 32 (9.2) | | |
| | | | | | |

Abbreviations: ECOG, Eastern Cooperative Oncology Group; HER2, human epidermal growth factor receptor 2; NSCLC, non-small-cell lung cancer.

In patients with *HER2* amplification, ORRs were higher among those with confirmed HER2 overexpression (39.1%) versus no or equivocal HER2 overexpression (12.5%). Higher *HER2* copy number was associated with higher likelihood of HER2 overexpression, and response rate significantly increased with higher copy-number cutoff. Thus, it may be informative to perform IHC testing even in patients with confirmed amplifications on next-generation sequencing.

Conflicting data have been reported concerning the predictive value of *HER2*-activating mutations.^{8,19,21-27} In our data set, patients with HER2 amplification or overexpression

and concomitant *HER2* mutations had similar ORR to the overall HER2 amplification and/or overexpression group (35.7% v 25.9%). Although *HER2* mutations alone were not associated with response in the overall population, the therapeutic relevance of the few mutations associated with response should be further investigated.

We observed meaningful objective responses in patients with a variety of refractory solid tumors (Data Supplement, Table S7). Earlier published ORRs for the salivary (60%; 9/15), colorectal (32%; 18/57), and biliary cancer (23%; 9/39) subgroups were confirmed in this updated analysis.¹¹⁻¹³ The NSCLC and urothelial cancer cohorts had ORRs of 22.7% and 21.1%,

TABLE 2. Clinical Outcomes by HER2 Alteration Group (N = 346)

| HER2 Alteration Group | ORRª | | DCR | DOR | | PFS | | OS | | |
|--|-----------|--------------|------------|--------------|-------------------|-------------|-------------------|------------|-------------------|--------------|
| | No. (%) | 95% CI | No. (%) | 95% CI | Median, Months | 95% CI | Median, Months | 95% CI | Median, Months | 95% CI |
| All HER2-altered (N = 346) | 73 (21.1) | 16.9 to 25.8 | 146 (42.2) | 36.9 to 47.6 | 7.4 | 5.9 to 9.2 | 2.8 | 2.7 to 3.5 | 10.1 | 8.6 to 12.1 |
| Patients with HER2 amplification and/or overexpression (n = 263) | 68 (25.9) | 20.7 to 31.6 | 119 (45.2) | 39.1 to 51.5 | 7.4 | 5.9 to 9.2 | 2.8 | 2.7 to 4.0 | 11.2 | 9.4 to 14.2 |
| Patients with HER2 amplification and overexpression, and/or mutation (n = 78) | 32 (41.0) | 30.0 to 52.7 | 47 (60.3) | 48.5 to 17.2 | 6.9 | 4.2 to 9.2 | 5.6 | 3.9 to 6.9 | 17.2 | 10.9 to 23.6 |
| Patients with HER2 amplification and overexpression $(n = 69)$ | 27 (39.1) | 27.6 to 51.6 | 40 (58.0) | 45.5 to 69.8 | 7.3 | 4.4 to 11.3 | 5.3 | 3.5 to 7.1 | 17.2 | 10.4 to 24.1 |
| Patients with HER2 amplification, overexpression, and mutation $(n = 9)$ | 5 (55.6) | 21.2 to 86.3 | 7 (77.8) | 40.0 to 97.2 | 4.2 | 2.8 to NE | 5.9 | 1.0 to 9.7 | 20.9 | 2.3 to 26.2 |
| Patients with HER2 amplification and no/equivocal expression (n = 64) | 8 (12.5) | 5.6 to 23.2 | 19 (29.7) | 18.9 to 42.4 | 6.6 | 0.7 to 11.3 | 2.0 | 1.5 to 2.7 | 8.1 | 5.0 to 12.5 |
| IHC 2+ $(n = 32)$ | 7 (21.9) | 9.3 to 40.0 | 12 (37.5) | 21.1 to 56.3 | 6.2 | 0.7 to 9.3 | 1.8 | 1.4 to 5.4 | 7.3 | 4.2 to 14.7 |
| IHC 1+ $(n = 12)$ | 1 (8.3) | 0.2 to 38.5 | 3 (25.0) | 5.5 to 75.2 | 11.3 | NE to NE | 2.3 | 1.2 to 7.1 | 10.3 | 3.8 to 15.7 |
| IHC 0 or $0 + (n = 20)$ | 0 | 0 | 4 (20.0) | 5.7 to 43.7 | _ | _ | 2.7 | 1.4 to 2.8 | 10.3 | 3.8 to 15.7 |
| Patients with HER2 amplification and unknown overexpression status ($n = 107$) | 25 (23.4) | 15.7 to 32.5 | 44 (44.1) | 31.7 to 51.0 | 8.5 | 5.8 to 15.4 | 2.7 | 2.5 to 4.0 | 10.7 | 7.7 to 14.2 |
| Patients with HER2 overexpression and no amplification $(n = 3)$ | 1 (33.3) | 0.8 to 90.6 | 2 (66.7) | 9.4 to 99.2 | 25.9 | NE to NE | 19.7 | 1.4 to NE | 37.9 | 1.5 to NE |
| Patients with HER2 overexpression and unknown amplification status ($n = 11$) | 2 (18.2) | 2.3 to 51.8 | 7 (63.6) | 30.8 to 89.1 | 5.4 | 2.5 to NE | 4.2 | 1.2 to 8.3 | 10.1 | 2.2 to 23.5 |
| Patients with <i>HER2</i> mutation only $(n = 83)$ | 5 (6.0) | 2.0 to 13.5 | 27 (32.5) | 22.6 to 43.7 | 7.4 | 1.4 to NE | 2.8 | 1.7 to 3.5 | 7.7 | 5.1 to 9.4 |
| Patients with HER2 IHC 1+ or 2+ $(n = 7)$ | 0 | 0 | 2 (28.6) | 3.7 to 71.0 | NE | NE | 2.7 | 1.3 to 4.1 | 6.9 | 1.4 to 12.1 |

Abbreviations: DCR, disease control rate; DOR, duration of response; HER2, human epidermal growth factor receptor 2; NE, not estimable; ORR, objective response rate; OS, overall survival; PFS, progression-free survival.

^aResponse was assessed by the investigator using RECIST v1.1.





FIG 1. (A) ORR,^a (B) PFS,^b and (C) OS in patients with HER2-amplified and/or -overexpressed tumors by *KRAS* status. (D) Best percentage change in sum of target lesions in patients with HER2 amplification and/ or overexpression by tumor group (n = 263); the horizontal line represents (continued on following page)



FIG 1. (Continued). the 30% decrease in the sum of diameters of target lesions, from baseline. (E) ORR in patients with HER2-amplified and/or -overexpressed + *KRAS* wild-type tumors by tumor group (n = 202). "Response was assessed by the investigator using RECIST v1.1. ^bData for patients without disease progression or death were censored at the date of the last tumor assessment (or, if no tumor assessments were performed, after the baseline visit, at the date of first treatment). Kaplan-Meier curves are for descriptive purposes only. Bar graph whiskers represent 95% CI. A/O, amplified and/or overexpressed; GYN, gynecologic; HER2, human epidermal growth factor receptor 2; HR, hazard ratio; KRAS, Kirsten rat sarcoma viral oncogene homolog; NE, not estimable; NSCLC, non-small-cell lung cancer; ORR, objective response rate; OS, overall survival; PFS, progression-free survival; TL, target lesion; UNK, unknown; WT, wild-type.

respectively. Responses were also seen in patients with pancreatic, cervical, and unknown primary tumors, but the numbers in these cohorts were too small to allow an estimate of the response rates.

Limitations include potential bias toward recruiting patients with tumor types known to respond to P + T, as well as risk in aggregating tumor response rates across different tumor types. Furthermore, as many of these

analyses were not prespecified, and as this was a single-arm study, the results are purely exploratory and hypothesis-generating.

HER2-targeted therapy may have utility in a variety of *KRAS* wild-type, HER2-amplified and -overexpressed solid tumors. Substantial activity was seen in patients with refractory salivary gland, colorectal, biliary, NSCLC, and urothelial cancers.

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PRIOR PRESENTATION

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CLINICAL TRIAL INFORMATION

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AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

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DATA SHARING STATEMENT

Qualified researchers may request access to individual patient-level data through the clinical study data request platform (https://vivli.org/). Further details on Roche's criteria for eligible studies are available here (https://vivli.org/members/ourmembers/). For further details on Roche's Global Policy on the Sharing of Clinical Information and how to request access to related clinical study documents, see here (https://www.roche.com/research_and_development/who_we_are_how_we_work/clinical_trials/our_commitment_to_data_sharing.htm).

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Provision of study materials or patients: All authors Collection and assembly of data: All authors Data analysis and interpretation: All authors Manuscript writing: All authors Final approval of manuscript: All authors Accountable for all aspects of the work: All authors

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MyPathway Human Epidermal Growth Factor Receptor 2 Basket Study: Pertuzumab + Trastuzumab Treatment of a Tissue-Agnostic Cohort of Patients With Human Epidermal Growth Factor Receptor 2–Altered Advanced Solid Tumors

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