# The social determinants of mental health and disorder: evidence, prevention and recommendations

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People exposed to more unfavourable social circumstances are more vulnerable to poor mental health over their life course, in ways that are often determined by structural factors which generate and perpetuate intergenerational cycles of disadvantage and poor health. Addressing these challenges has become an imperative matter of social justice. In this paper we provide a roadmap to address the social determinants that cause mental ill health. Relying as far as possible on high-quality evidence, we first map out the literature that supports a causal link between social determinants and later mental health outcomes. Given the breadth of this topic, we focus on the most pervasive social determinants across the life course, and those that are common across major mental disorders. We draw primarily on the available evidence from the Global North, acknowledging that other global contexts will face both similar and unique sets of social determinants that will require equitable attention. Much of our evidence focuses on mental health in groups who are marginalized, and thus often exposed to a multitude of intersecting social risk factors. These groups include refugees, asylum seekers and displaced persons, as well as ethnoracial minoritized groups; lesbian, gay, bisexual, transgender and queer (LGBTQ+) groups; and those living in poverty. We then introduce a preventive framework for conceptualizing the link between social determinants and mental health and disorder, which can guide much needed primary prevention strategies capable of reducing inequalities and improving population mental health. Following this, we provide a review of the evidence that has tested candidate preventive strategies to intervene on social determinants of mental health. These interventions fall broadly within the scope of universal, selected and indicated primary prevention strategies, but we also briefly review important secondary and tertiary strategies to promote recovery in those with existing mental disorders. Finally, we provide seven key recommendations, framed around social justice, which constitute a roadmap for action in research, policy and public health. Adoption of these recommendations would provide an opportunity to advance efforts to intervene on modifiable social determinants that affect population mental health.

**Key words:** Mental health, mental disorder, social determinants, social risk factors, prevention, marginalized groups, population mental health, social justice

Social determinants of health represent the most modifiable set of targets for intervention currently available to prevent the onset of mental health problems and disorders, and to promote positive mental health in our populations. Social determinants of mental health encompass the set of structural conditions to which people are exposed across the life course, from conception to death, which affect individual mental health outcomes, and contribute to mental health disparities within and between populations. These structural conditions include factors such as income, employment, socioeconomic status, education, food security, housing, social support, discrimination, childhood adversity, as well as the neighbourhood social and physical conditions in which people live, and the ability to access acceptable and affordable health care. Importantly, our chances of being exposed to protective or harmful social determinants of (mental) health are "shaped by the distribution of money, power and resources at global, national and local levels, which are themselves influenced by policy choices"1. Such determinants are therefore not randomly or benignly distributed within or between populations, but are manifested by systems and institutions of power that often produce and reproduce intergenerational inequities in people's opportunities to realize safe, secure, prosperous and healthy lives.

There is now compelling evidence that the risk of developing any mental health condition is inextricably linked to our life circumstances<sup>2</sup>, meaning that a higher burden of population-level psychiatric morbidity is disproportionately experienced by those closer to the margins of society. Since poor mental health can be the invisible hand that suppresses life chances, including both how long we live<sup>3</sup> and the quality of years lived<sup>4</sup>, improving population mental health by designing effective prevention strategies that intervene on modifiable social risk factors should be seen as a central issue of social justice<sup>5</sup>.

We stand at a threshold moment not only in understanding the potential causal role of modifiable social determinants in the onset (or exacerbation) of mental health problems, but also in defining our response to them through effective prevention strategies that reduce inequities in the burden of psychiatric morbidity experienced between and within different populations. Arguably, the last two decades have brought about some progress in our biomedical understanding of psychiatric disorders, while investigating the importance of psychosocial factors in causing mental disorder has remained a peripheral focus for scientific discovery and clinical psychiatry. We have expanded our knowledge about the immutable, overlapping (pleiotropic) and polygenic bases of psychiatric disorders that can help explain why some individuals are more at risk of a diverse array of psychopathologies than others<sup>6</sup>. We have also achieved a better understanding of how complex the neurobiology of different psychiatric conditions is likely to be<sup>7</sup>, including depression, psychosis and bipolar disorder. This progress has, however, simultaneously exposed limitations in our ability to translate the acquired knowledge into effective clinical targets to prevent or alleviate symptoms of mental

distress. The promise of personalized prediction and treatment remains out of reach in routine clinical practice<sup>8</sup>. Frontline pharmacological treatments for depression, anxiety, psychosis and bipolar disorder have remained largely unchanged since they were first developed in the 20th century<sup>9</sup>; treatment resistance affects 20-60% of our patients<sup>10</sup>; and the pharmaceutical industry has largely withdrawn from psychiatric drug discovery in the last 20 years<sup>11</sup>.

These last two decades have simultaneously witnessed at least two seismic transformations in the mental health landscape. First, unprecedented increases in public awareness and advocacy about mental health, well-being and illness, albeit concentrated in the Global North, have raised political pressure on institutions and governments to act to address the global burden of psychiatric morbidity<sup>2</sup>. Such has been the transformation that promoting mental health and well-being is now identified as a specific outcome in the United Nations (UN) Sustainable Development Goals<sup>12</sup>, alongside targets to tackle various social determinants of health, including poverty, inequality, gender equality, and social justice by 2030. The World Health Organization (WHO) also recognizes the urgent need to address how our environments affect mental health. In the recent *World Mental Health Report*<sup>2</sup>, T. Ghebreyesus, the WHO Director-General, reaffirmed the Organization's commitment in "transforming the environments that influence our mental health" to promote mental well-being and prevent mental disorder.

Second, longitudinal declines in public stigma and more positive attitudes towards major psychiatric conditions such as depression – particularly in so-called Millennial and Gen Z generations<sup>13,14</sup> – have been paralleled by sustained increases in the number of people seeking help for mental health issues over the last 20 years. In some contexts, this has placed overwhelming pressure on clinical services tasked with providing primary, secondary and tertiary treatment for mental health conditions, with evidence globally that economic investment in mental health service provision continues to fall far short of need for care<sup>2</sup>. For example, in England, a 54% increase in referrals to public mental health services from 2016 to 2022 was accompanied by a mere 10.9% real-terms increase in service funding<sup>15,16</sup>, highlighting the growing treatment gap in population mental health. This gap has been reported globally for depression<sup>17</sup> and psychosis<sup>2</sup>, and is particularly high in low- and middle-income countries (LMICs)<sup>18</sup>.

The increased need for mental health care over the last two decades is not randomly distributed within populations, but follows clearly the social, demographic and economic lines along which experiences of poor mental health and receipt of mental health care are inequitably distributed<sup>2</sup>.

Nowhere is this more evident than in the case of children and young people. Given that adolescence represents a critical period of neural, psychological, behavioural and social development, it is perhaps no surprise that so many mental health problems emerge for the

first time during this period. A recent systematic review of the pre-pandemic literature estimated that the onset of around one third, half and two thirds of any mental disorder will have already occurred by ages 14, 18 and 25, respectively<sup>19</sup>. In the US, the proportion of university students – typically aged 18-22 years – who reported having been treated for mental health problems has risen from 19% in 2007 to 34% in 2017<sup>20</sup>. A rapid increase in self-reported depressive symptoms amongst younger adolescents in the US since 2012 has also been reported, peaking in 2018 (the last date of available survey data)<sup>21</sup>. These are not isolated findings. Further research from the US<sup>22</sup>, Canada<sup>23</sup>, Europe<sup>24</sup>, France<sup>25</sup>, Iceland<sup>26</sup> and Australia<sup>27,28</sup> all suggest that rates of depression, anxiety, self-harm, eating disorders, attention-deficit/hyperactivity disorder (ADHD) and suicide have risen rapidly amongst teenagers since 2010<sup>29</sup>, particularly in females<sup>26,27,30,31</sup>. By contrast, there is some evidence that the prevalence of alcohol and drug use disorders<sup>24,32</sup> and behaviours<sup>33</sup> has decreased over this period.

Observed changes in the prevalence of mental health problems in children and young people have been attributed to both period<sup>21</sup> and cohort<sup>22</sup> effects. While COVID-19 – a textbook period effect – appears to have had only minimal impact on long-term mental health in the general population<sup>34,35</sup>, impacts on children and young people, who have often borne the brunt of restrictive lockdown policies, are more pronounced<sup>25,34,35</sup>. For example, in England, the number of people less than 18 years old accessing public mental health services in the previous 12 months increased by 20.4% between the start of the pandemic and July 2022<sup>15</sup>. These patterns have been observed in several different countries<sup>34,35</sup>, and extend to suicidal outcomes, particularly amongst girls<sup>34</sup>. Inequalities in poor mental health following COVID-19 have also been reported for women<sup>36-38</sup>, low-income households<sup>36</sup>, and several groups minoritized by race and ethnicity<sup>38</sup>, gender identity and sexual orientation<sup>39</sup>, or migrant status<sup>40</sup>.

Other shocks (i.e., food, energy and economic crises, global conflicts, racial injustice), in addition to ongoing climate change, also contribute to the inequitable distribution of mental health and disorder in our populations. These shocks affect people's freedom of movement, social connectedness, and levels of isolation and loneliness. They influence people's economic precarity through impacts on employment, income, education, food and housing security. They affect people's agency and autonomy by threat to life, livelihood and civic liberties, whether via experiences of interpersonal, institutional or systematic racism, or displacement through conflict and violence, political instability, or climate-related events. Most inescapably, these acute shocks belie a more chronic, pervasive exposure to negative social determinants which erode people's opportunities to sustain good mental health, recover from poor mental health, and prevent illness in the future. Repeated exposure to these determinants can create cycles of intergenerational disadvantage, which affect individual, familial and area-

level inequalities in mental health<sup>2,41</sup>.

At this critical juncture, we argue for the need to fully integrate a social determinants perspective into the biopsychosocial model of mental health and illness. This requires confirming the extent to which various social determinants are causally implicated in preventing poor mental health, promoting well-being, and generating inequalities in risk for mental disorders. It also involves understanding the mechanisms and pathways through which these outcomes arise. Armed with this knowledge, we can be in a stronger position to fund, develop, test and implement evidence-based prevention strategies tackling the social determinants of mental health that shift the population-level expression of mental disorders. In turn, this can reduce gross inequities in the mental, physical and social outcomes that arise as a result of poor mental health. Such public mental health strategies should sit alongside existing evidence-based strategies in clinical psychiatry that have proved effective in treating individuals.

In this paper, we provide a roadmap towards this ambitious but necessary revolution. We first review the evidence that exists to support a causal association between key social determinants and mental health and disorder. We focus on those determinants which may have broadly ubiquitous effects on several major mental disorders globally, and/or which may be highly prevalent in society, and thus have the potential to offer the biggest gains for public mental health prevention. These include social determinants that occur at the individual or family level (including socioeconomic disadvantage, discrimination, isolation and loneliness, early life adversities, childhood traumas), and those in the wider social environment (including neighbourhood disadvantage, social capital, the physical environment, and climate change). Our review pays special attention to inequalities experienced by women; lesbian, gay, bisexual, transgender and queer (LGBTQ+) people; migrants and ethnoracial minoritized groups. Throughout, we cite the strongest quantitative evidence, where available, and acknowledge any gaps in knowledge. One limitation of this approach is that the majority of the evidence we draw from - though by no means all<sup>e.g.,42</sup> - comes from high-income countries (HICs) in the Global North. Redressing the inequitable production of knowledge in this field is beyond the scope of our review, but provides a direct challenge to make global progress on the UN Sustainable Development Goal for mental health 12,43. Where available, we highlight evidence collected in the Global South, but recognize that different contexts will also face unique social determinants of mental health that require dedicated attention.

We then introduce a preventive framework for conceptualizing how such social determinants affect the expression of mental health and disorder at the population level, and how this understanding can ground and guide prevention strategies to improve public mental health. In this framework, we introduce the fundamental idea of treating whole populations, which should sit alongside prevailing models of individual clinical care in psychiatry.

Treatments here, broadly defined, may include universal, selective or indicated primary prevention strategies that intervene on social determinants of health aiming to affect the population-level expression of mental health and illness, as well as secondary and tertiary prevention strategies to help those with existing mental health problems. Using this framework, we then review the current strength of evidence on the efficacy and effectiveness of a (non-exhaustive) set of universal, selective and indicated strategies that intervene on social determinants for the prevention and alleviation of mental distress. In the final section of the paper, drawing together current evidence, we provide a set of seven recommendations for action, as a roadmap for improving population mental health and reducing inequities in mental health and disorder.

# SOCIAL DETERMINANTS THAT IMPACT MENTAL HEALTH AND DISORDER: THE EVIDENCE

# Social determinants at the individual level

## Socioeconomic disadvantage

Socioeconomic disadvantage is a fundamental determinant of mental health outcomes over the life course<sup>44-46</sup>. Strong socioeconomic gradients have been observed for an array of mental health outcomes in HIC<sup>45</sup> and LMIC settings<sup>42</sup>. Socioeconomic disadvantage can be operationalized in several ways, and is a multifaceted construct encompassing different dimensions, including education<sup>47,48</sup>, finance<sup>49,50</sup>, occupation<sup>51-53</sup>, and living standards<sup>54,55</sup>. All these dimensions have been associated with mental health and disorder, and social inequalities in mental health may arise from a series of interrelated structural and cultural processes operating in society.

According to structural explanations, social stratification creates unequal access to resources – such as wealth and knowledge – that help individuals avoid exposure to harmful stressors<sup>46</sup>. Higher levels of wealth and income enable access to key determinants of positive mental health, including adequate and safe housing<sup>55</sup>, sufficient food security<sup>54</sup>, and effective health care. Income losses appear to have a far greater impact on mental health than income gains<sup>49</sup>, with further financial stressors such as income volatility, perceived job insecurity and moving into debt all linked to worsening mental health<sup>50,56,57</sup>. Poor mental health itself can also impact earnings and contribute to financial stress, meaning that the relationship between socioeconomic disadvantage and mental health is likely to be bi-directional<sup>58</sup>. Indeed, while there is a long-standing debate about the so-called "social causation" and "social drift" theories

of mental disorders<sup>46</sup>, recognizing the bi-directional and cyclical relationship between socioeconomic disadvantage and mental health is likely to be vital for promoting prevention strategies that interrupt the intergenerational transmission of environmental risks for mental disorders<sup>2</sup>. Since socioeconomic disadvantage is both a risk factor for, and a consequence of, mental disorders, establishing key periods over the life course to intervene is a critical step towards effective prevention. We note here the need for stronger causal inference methods to address these challenges in observational studies.

Early life exposure to socioeconomic disadvantage may be particularly harmful for later mental health. For example, in a systematic review of evidence in children and adolescents<sup>59</sup>, 52 of 55 studies (mostly from HICs), including 25 longitudinal ones, reported an inverse association of mental health problems with socioeconomic position. Children growing up in socioeconomic disadvantage were 2-3 times more likely to experience mental health problems than their non-disadvantaged peers, with risk associated with both duration and severity of exposure. A systematic review reported similar associations with respect to ADHD<sup>60</sup>. An inverse relationship between parental income during child's upbringing and later schizophrenia risk has been also found in Denmark<sup>61,62</sup>, independent of parental mental health and education. Birth cohort evidence from the UK also suggests that children growing up or transitioning into poverty are more likely to experience mental health problems by age 11, independent of maternal mental health<sup>63</sup>. Finally, there is also systematic review evidence from LMICs that supports (mostly cross-sectional, but extending to longitudinal) associations between poverty and depression in adulthood<sup>42</sup>.

If causal, early life exposure to socioeconomic disadvantage may increase risk of mental health problems through several different mechanisms, based on potential biological, psychological and social pathways<sup>64</sup>. In LMIC settings, a systematic review concluded that education, food insecurity, socioeconomic position and financial stress had more consistent effects on risk for common mental disorders than income and employment<sup>42</sup>. Families lacking financial resources are less likely to have their basic needs met, including adequate nutrition which, prenatally, has been shown to increase the risk of some psychiatric disorders, including schizophrenia, later in life (see below)<sup>65</sup>. Ongoing familial socioeconomic disadvantage is also likely to contribute to chronic stress for parents, which may affect parenting behaviours and the stability of family environments, and may also result in fewer longer-term educational and employment opportunities for children. Mental health inequalities according to education level have been seen across the lifespan. Leaving school at a younger age, fewer years in formal education, and having a lower level of education are each associated with poorer future mental health and increased risk of suicide<sup>48,66</sup>. Education is likely to impact mental health through a variety of means, such as determining one's future social status and income, although these associations are likely to be partially driven by confounding by early-life factors such as

childhood adversity<sup>67</sup>.

## Early life adversity

There is strong evidence that several early life (defined here as prenatal and perinatal) adversities – including maternal stress, obstetric complications, and malnutrition – can have profound effects on mental health and disorder decades later<sup>68</sup>. These events do not affect all people equally, making them strongly socially determined risk factors for offspring mental health. For example, parental socioeconomic status and experiences of income inequality are associated with adverse birth outcomes<sup>69</sup>. Furthermore, in the US, there is consistent evidence of racial/ethnic disparities in adverse maternal and neonatal outcomes (including preterm birth, low birthweight and infant mortality) and receipt of prenatal care<sup>70</sup>, all of which are higher for Black, Hispanic and Indigenous groups than non-Hispanic White and Asian groups. These disparities are hypothesized to arise through structural racism that operates on a number of levels to affect "a woman's knowledge of prenatal care (individual); the amount of support she receives from her family, friends, and community (social); experiences with racism and other social and environmental stressors (social); the way she is treated by her care provider (institutional); and the policies and practices of her insurer (systemic)"<sup>70, p.124</sup>.

There is good evidence that exposure to prenatal maternal stressors – including financial stress and relationship difficulties – is associated with increased risk of many (though not all) offspring behavioural and mental health outcomes, including neurocognitive development<sup>71</sup>, negative affectivity<sup>71</sup>, externalizing and internalizing problems in childhood<sup>71</sup>, autistic traits<sup>71</sup>, borderline personality disorder<sup>71</sup>, anxiety<sup>71</sup>, depression<sup>71,72</sup>, and psychosis<sup>68</sup>. Nevertheless, this association has not been universally observed. For example, a systematic review on ADHD and autism spectrum disorder found that evidence was limited to low-quality case-control studies, raising doubts about the likelihood of a causal association<sup>73</sup>.

Prenatal malnutrition following famine exposure has also been strongly associated with risk of psychotic disorders<sup>65</sup>, notwithstanding similar issues around causality. A systematic review also found evidence to support a protective effect of prenatal multivitamin supplementation on autism spectrum disorder<sup>74</sup>, but this was restricted to high-quality studies. Surprisingly few studies have examined the association between prenatal nutrition and common mental disorders, with no systematic review available, although some longitudinal evidence exists for childhood mood and behavioural outcomes<sup>75-77</sup>, with associations persisting after adjustment for maternal perinatal mental health, prenatal smoking and alcohol use. Early life vitamin D deficiency has also been proposed as an explanation for higher risk of various psychiatric disorders<sup>78</sup>, but recent causally-informed evidence does not support this for depression<sup>79-81</sup>, schizophrenia<sup>82</sup> and Alzheimer's disease<sup>81</sup>.

Understanding the causal mechanisms through which any prenatal exposure may affect offspring mental health remains a critical objective for psychiatric epidemiology. These associations may be particularly vulnerable to unobserved confounding and selection effects, most importantly by maternal mental health and behaviour. Cyclical relationships between poor perinatal mental health, social adversity, maternal stress, maternal behaviour (including alcohol and substance use), maternal care and prenatal nutrition<sup>83</sup> may lead to a sociodevelopmental cascade that increases exposure to adverse child outcomes (all of which have been associated with risk of mental disorders), including early life infections (with a stronger relationship between some infections and psychosis<sup>68</sup> rather than depression<sup>84</sup>), obstetric complications<sup>68,85</sup>, altered neurodevelopment<sup>86</sup>, childhood adversities<sup>87</sup>, and behavioural and mental health difficulties<sup>88</sup>. If proven, this would warrant public mental health strategies focused on improving prenatal maternal, parental and familial conditions as an intervention strategy that could benefit multiple parent-child outcomes.

## Childhood adversity

Childhood adversity is an especially well-characterized social determinant of mental ill health. Whilst no consensus definition exists, McLaughlin defines these adversities as "experiences that are likely to require significant adaptation by an average child and that represent a deviation from the expectable environment" Po. 363. To date, much research has focused on a "core set" of adversities that includes child maltreatment (i.e., physical, sexual or emotional abuse; neglect; exposure to domestic violence) and household dysfunction (e.g., substance use, mental ill health, or incarceration of a parent or other household member; parental separation or divorce). In a seminal study on these adverse childhood experiences, they were found to be associated with a 4- to 12-fold increased risk of depression, suicide attempt and substance abuse. Increasingly, the conceptualization of childhood adversity has expanded to include interpersonal adversities occurring outside of the home environment (e.g., bullying victimization)<sup>91</sup>.

Experience of childhood adversity is unfortunately common<sup>89,92,93</sup>. For example, the World Mental Health Surveys estimate that around two in five individuals have experienced at least one form of childhood adversity<sup>94</sup>. These experiences are clustered in patterns that are unequally distributed throughout the population<sup>95</sup>. In particular, greater socioeconomic disadvantage, which can place increased stress on parents and families<sup>96</sup>, is one of the clearest and strongest determinants of exposure to childhood adversities<sup>95,97</sup>; recent evidence suggests that this may be mediated by effects on parental mental health<sup>97</sup>. Children who grow up experiencing more family discord<sup>98,99</sup>, who are born to adolescent mothers<sup>95</sup>, and who grow up in single-parent households<sup>99</sup> are more likely to experience multiple childhood adversities.

Moreover, given systemic inequalities in socioeconomic disadvantage, there is also strong evidence that women, people from ethnoracially minoritized backgrounds and Indigenous populations are more likely to experience multiple childhood adversities<sup>100,101</sup>.

Clear and consistent evidence has demonstrated associations between childhood adversity (both prospectively- and retrospectively-measured) and several poor mental health outcomes in childhood, adolescence and adulthood, including general psychopathology, depression, anxiety, self-harm, psychosis and suicide<sup>95,102-105</sup>. If causal, the population-attributable risk proportions (the percentage of disorder that could hypothetically be prevented via removal of the exposure) for childhood adversity are substantial, calculated at 28.2% of all psychiatric disorders amongst children and adolescents<sup>92</sup>, and 29.8% amongst adults<sup>94</sup>.

This epidemiological evidence strongly suggests that approaches to reduce childhood adversities and their impact are promising routes for reducing the incidence of mental disorders in the population<sup>96</sup>. Importantly, however, there is still much to learn about the complex relationship between childhood adversity and mental disorders. Recent findings from studies pertaining to measurement<sup>91,106</sup> and prediction modelling<sup>107,108</sup> offer important opportunities to support the development and evaluation of policies and interventions to address this widespread societal problem.

## Migration

Migrants are exposed to a complex set of social determinants of mental health. This has resulted in a disproportionate burden of some mental health problems, in particular psychotic disorders. Elevated rates of psychotic disorders in migrants were first noted in 1932 by Ødergaard amongst Norwegian migrants to the US<sup>109</sup>, and subsequent research has highlighted the consistency of this phenomenon amongst many migrant groups and their descendants<sup>110</sup>, including both economic migrants<sup>111</sup> and refugees<sup>112,113</sup>. There is also consistent evidence of a high prevalence of post-traumatic stress disorders (PTSD) amongst refugees and asylum seekers<sup>114</sup>.

Whether other psychiatric disorders – including depression, anxiety, non-psychotic bipolar disorder, and substance use disorders – and suicide are elevated amongst migrant groups is less clear, with some evidence suggesting that the rates of these conditions may even be lower among migrants than in the non-migrant majority population<sup>111,115-117</sup>. Most studies specifically concerned with common mental disorders in refugees, asylum seekers or forced migrants generally lack a comparator, but available evidence suggests that the prevalence of depression and anxiety may be higher in these displaced groups than in the general population<sup>114,118</sup>.

Several explanations for these potentially divergent results exist. These include the

possibility of selection effects, so that people with pre-existing mental health problems do not migrate. These effects are much less likely to exist amongst displaced persons. Elevated psychosis rates amongst both economic and refugee migrants may – *prima facie* – challenge these explanations, but younger age-at-migration has been associated with greater psychosis risk<sup>119</sup>, meaning that the influence of positive selection would be weaker amongst those who emigrate at earlier ages.

Other explanations for elevated rates of psychotic disorders in migrants and their descendants, and of several psychiatric disorders in refugees and asylum seekers, include chronic exposure to socioeconomic disadvantage and social adversities before, during and after index migration 120,121. For example, migrant groups may be exposed to many social, economic, political and environmental conditions that serve as push factors prior to migration and increase risk of mental health problems. These may include poverty, lack of employment opportunities, food insecurity, conflict, violence, and natural disasters 122,123. The act of migrating also involves displacement and dislocation, which may be traumatic, compromise personal safety, create uncertainty and stress, and involve prolonged separation from family 124–126, and high levels of risk to life or personal safety 124. For example, between 40 and 90% of asylum-seekers report traumatic experiences during migration 118,122,127, including violence, exploitation, and detainment during the asylum-seeking process 128. Finally, adapting to life in a host country can introduce challenges for migrants and refugees, including high levels of acculturative stress, exclusion from labour markets, precarious employment, housing insecurity, and socioeconomic deprivation 129,130.

There is strong evidence that the post-migratory environment is causally related to mental health problems amongst migrants and their descendants<sup>131</sup>. While lower rates of mood and anxiety disorders have been noted in migrants compared with the host population<sup>132</sup>, rates in children of migrants are similar or even elevated compared with the majority population<sup>132,133</sup>. Risk of psychosis also remains elevated in children of migrants, and may persevere into the grandchildren generation<sup>134</sup>. Post-migratory experiences include exposure to discrimination and structural racism<sup>135-139</sup>, and high levels of social isolation and exclusion<sup>135,140,141</sup>. It has been theorized that such experiences lead to psychosocial disempowerment<sup>142,143</sup>, and there is recent evidence that this pathway may explain inequities in psychosis risk experienced by both migrants and ethnoracial minoritized groups<sup>144</sup>. Most people also migrate with the expectation of finding better opportunities in the host country<sup>145,146</sup>, which may potentially affect mental health if they are not met<sup>147</sup>. Migrants also face barriers to high-quality, timely and culturally appropriate psychiatric care<sup>148-150</sup>, affecting recovery from and long-term consequences of experiencing mental disorder.

#### Ethnoracial discrimination

Ethnoracial disparities across various mental disorders have been documented for decades, independent of migrant status, especially in HICs<sup>110</sup>. The patterns of disparities across racial and ethnic categories are complex, with levels of psychological distress and symptoms of common mental disorders higher in minoritized groups than White groups<sup>151</sup>, but lower prevalence/incidence of diagnosed depression, anxiety, or substance use disorders in many ethnoracially minoritized groups<sup>152,153</sup>. In contrast, there is more consistent evidence of increased rates of psychotic symptoms *and* disorders in ethnoracial minoritized groups, particularly amongst groups perceived as more socioculturally distant from the racial or ethnic majority population in HICs<sup>144,152</sup>. For those with diagnosed mental disorders, there is strong evidence that many ethnoracial minoritized groups – and particularly people of Black ethnicities – experience more negative pathways into care and psychiatric treatment<sup>154-156</sup>, resulting in higher levels of morbidity<sup>157</sup>.

Many of these ethnoracial differences in the incidence, course and treatment of mental disorders have been linked with increased exposure to racial discrimination and structural racism among minoritized groups<sup>144</sup>. Socioenvironmental risk factors are thought to be driven by structural racism – i.e., by interconnected, racially inequitable systems (e.g., housing, education, employment, health care, the legal system) that reinforce each other<sup>158</sup> to stigmatize, discriminate and disempower those marginalized people<sup>159</sup>.

Racial discrimination involves major events such as experiencing interpersonal racism, exclusion from labour markets, and police harassment<sup>159,160</sup>. These experiences extend to racial microaggressions, which are more subtle everyday expressions of discrimination through being slighted, made to feel inferior, stereotyped, and/or invalidated due to race or ethnicity<sup>161,162</sup>. Racial discrimination has been prospectively associated with poorer mental health and distress<sup>163</sup>, common mental disorders<sup>164,165</sup>, psychotic disorders<sup>166</sup>, and risk for conversion to psychosis among those at high risk<sup>167</sup>. Racial discrimination is also identified as a reason why, even among non-poor upwardly mobile Black Americans, the risk of negative health outcomes is higher than for their poor White American counterparts<sup>168</sup>.

Structural racism can also increase exposure to other risk factors for mental disorders at the individual level. For example, recent research from the Adolescent Brain Cognitive Development (ABCD) study in the US<sup>169</sup> found that Black children were more likely to be exposed to traumatic events, family conflict and material hardship compared with White children. Black children also had lower brain volumes in key areas associated with mental health problems, including the amygdala, the hippocampus and prefrontal cortex. These race-related disparities were attenuated after adjustment for exposure to childhood adversities. Data from the same study indicated that Black and Hispanic children are more likely to report

psychotic-like experiences than White children, and that this is partially accounted for by experiences of racial discrimination<sup>170</sup>. This supports further research from Europe and Brazil showing that elevated rates of psychotic disorders in several ethnoracially minoritized groups are attenuated to the null after accounting for experiences of structural inequalities (socioeconomic disadvantage, poor education, childhood adversity) and psychosocial disempowerment (discrimination, social exclusion)<sup>144</sup>. Further research is now required to identify the biopsychosocial pathways through which stressors associated with experiences of minoritization and discrimination shape mental health outcomes<sup>171</sup>.

## Inequalities experienced by the LGBTQ+ community

Interest in the social determinants of health and mental health in LGBTQ+ people has surged in recent years. Acceptance and social inclusion of these people have improved consistently over recent decades, rising steadily from the late 1970s to the early 2010s<sup>172</sup>, and show signs of increasing further during the current decade<sup>173</sup>. Nonetheless, LGBTQ+ people continue to be exposed to acts of marginalization and moral panics<sup>51,174-176</sup>, which can have harmful effects on mental health<sup>51,177,178</sup>. Marginalization occurs through discrimination, stigma, anti-queer and anti-trans policies, bullying/harassment, and other violence occurring at both micro-levels (e.g., microaggressions) and macro-levels (e.g., denial of human rights and health service access)<sup>177,179-183</sup>, placing these people at greater risk of social exclusion and loneliness<sup>182</sup>. Minority stress following exposure to these experiences is thought to be a key process in determining mental health outcomes amongst LGBTQ+ people<sup>184-188</sup>.

There is substantial evidence to suggest that experiences of prejudice, stigma, discrimination, violence, and assumptions of cis-heteronormativity (i.e., the implicit and explicit assumption and building of society which views everyone as cisgender and heterosexual) hold substantial associations with poor mental health and well-being in LGBTQ+ people across the lifespan<sup>178,189-191</sup>. Parental and peer support, the formation of romantic relationships, and navigating the coming out process, appear to affect some of the initial mental health outcomes in LGBTQ+ youth<sup>192,193</sup>. For those who are supported in these processes, there is evidence of higher self-esteem and lower depressive symptomology, compared with people who do not receive such support<sup>193,194</sup>. Similarly, in recent research, navigating homophobia, biphobia and transphobia, as well as feeling unable to talk about their experiences and navigating cis-heteronormativity, all increase the risk of poor mental health, specifically depression, anxiety and suicidality<sup>192,195,196</sup>. There is some evidence that mental health outcomes are worse for LGBTQ+ people who experience poverty, or who are from ethnoracial minoritized backgrounds, highlighting the intersectional ways in which social inequalities affect mental health<sup>187</sup>.

### Sex-based inequalities

The incidence and prevalence of many psychiatric disorders differ by biological sex. For example, depression and anxiety are approximately twice as common in women than men<sup>197</sup>, a pattern that appears reversed for non-affective psychotic disorders (although this is most pronounced for first onset in early adulthood)<sup>198</sup>. Bipolar disorder occurs with more uniformity<sup>198</sup>. The lifetime prevalence of externalizing and substance use disorders is higher in males<sup>197</sup>, who are also more likely to die by suicide throughout the world regions<sup>199</sup>. The extent to which these differences are biologically and/or socially determined remains unclear for some conditions, as discussed below.

Several potential drivers for sex differences in the incidence/prevalence of common mental disorders have been proposed, including ascertainment biases, family environment, social norms, social support, hormones and neurotransmitters<sup>200</sup>. Although available research is limited, there is some evidence challenging the notion that these differences are solely biologically determined<sup>200</sup>. First, the magnitude of sex differences in common mental disorders varies substantially between countries<sup>201</sup>, which would not be predicted on the basis of biological determinism alone. Second, there is accumulating evidence for the causal role of certain gendered social risk factors<sup>202</sup>. For example, the contexts in which children grow up and are socialized, alongside differences in social and cultural norms and behaviours, are important considerations when trying to understand sex differences in mental health and disorder. Some risk factors are strongly gendered (i.e., intimate partner violence is more commonly experienced by women), and preventive efforts to tackle their causes are required in education, law and wider society<sup>203</sup>.

Other conditions, including eating disorders and autism spectrum disorder, have traditionally exhibited more dramatic sex differences in their occurrence, with systematic review evidence that the prevalence of eating disorders is up to four times greater in biological females than males<sup>204</sup>, a ratio reversed for autism spectrum disorder<sup>205</sup>. Recent research on this latter condition has investigated the extent to which these sex differences arise from biases in case ascertainment and detection<sup>205-207</sup>. Some evidence suggests that part of the gap could be due to the validity of diagnostic criteria and instruments used to diagnose the disorder, which prioritize symptoms labelled as male-typic (e.g., overt restricted interests) over symptoms labelled as female-typic (e.g., internalizing problems and emotional difficulties)<sup>207,208</sup>. Likewise, some authors have questioned whether eating disorders are likely to be underdiagnosed in biological males<sup>206</sup>, partly as a result of gendered social determinants including stigmatization, trauma and perceptions of masculinity.

An important consideration in understanding how inequalities contribute to sex and gender differences in mental health is that most societies are structured in ways that generally

privilege cismen over all other genders, with even legal equality being achieved only in a few countries worldwide<sup>209</sup>. Nonetheless, the relationship between gender equality and gendered differences in mental health problems is complex. For example, wider gender gaps in depression have been observed in countries with higher levels of gender equality amongst both adults and adolescents<sup>201,210</sup>. Various theories have been proposed to explain this evidence. For example, women may experience a mismatch between expectations of equality and reality<sup>211</sup>, and/or face the burden of multiple roles as their involvement in the labour market increases in ways that are not matched by compensatory increases in men's involvement in domestic, childrearing and other domains<sup>212</sup>. Indeed, in countries with a dual-earner model, where employment, wage earning, and domestic and childcare tasks are shared more equitably between men and women, gender inequality in mental health risks appears to be smaller<sup>213</sup>.

#### Loneliness and social isolation

Interest in loneliness<sup>214,215</sup> and social isolation<sup>43,215</sup> as social determinants of mental health and disorder has burgeoned since 2020. The distinction between these conditions is important, and has implications for causal pathways, which have not yet been well described, as well as for targeted intervention.

While social isolation is an objective measure of the number of social connections, quantified in terms of social network size and number of meaningful ties<sup>216</sup>, loneliness describes the subjective and distressing mismatch between a person's desired and perceived quantity and/or quality of social relationships<sup>217</sup>. It is therefore possible to have a large number of social contacts but still experience feelings of loneliness, or vice versa. Transient experiences of social isolation or loneliness are common after moving house, migration or bereavement, serving as a prompt to form friendships, such that loneliness could be viewed as an evolutionary advantage in this context<sup>218</sup>. However, where chronic loneliness sets in, as indicated by consistent problems with fostering meaningful relationships<sup>219</sup>, this is more likely to adversely impact mental health. Estimates of the prevalence of loneliness internationally range from 9 to 14% in adolescents, falling to 3-10% in middle age, and rising again to 5-21% in older adults<sup>220</sup>. Prevalence estimates for social isolation (around 25%) tend to relate to older adults, and derive from low-quality evidence<sup>221</sup>.

The majority of studies investigating longitudinal associations between loneliness or social isolation and mental health have focused on depression, reporting a longitudinal (and bidirectional<sup>222-224</sup>) association of loneliness with depression onset<sup>214</sup>, severity<sup>225</sup> and recovery<sup>226</sup>. Such research estimates that 11-18% of cases could potentially be prevented if loneliness were eliminated<sup>225</sup>, predicated on causality. There is also evidence that loneliness

is longitudinally (and bidirectionally<sup>227</sup>) associated with anxiety<sup>214</sup>, as well as with suicide attempt<sup>228</sup>. Both social isolation and loneliness are also associated with suicide among men<sup>229</sup>. In children, whose mental health and well-being were a particular concern in periods of social restriction during the COVID-19 pandemic, both loneliness and social isolation are also associated with depression onset<sup>230</sup>. A mediation analysis has found support for a pathway from social isolation to loneliness and subsequent depression and anxiety symptoms<sup>223</sup>, though again bidirectionality was observed. Depression itself may also be a mediator of the association between loneliness and suicide attempt<sup>228</sup>.

For other mental health outcomes, longitudinal evidence is just emerging. Cross-sectional research has found associations between loneliness and dementia, paranoia and psychotic symptoms<sup>231</sup>, but these tell us little about causal pathways. Recent longitudinal evidence is often based on selected and/or small samples, though providing some evidence that loneliness in young adults is longitudinally associated with psychotic-like symptoms (but not vice versa)<sup>232</sup>. For dementia, a systematic review of mostly longitudinal studies reported stronger associations with measures of social engagement and isolation than of loneliness<sup>233</sup>.

Such is the interest in addressing loneliness to prevent and reduce the severity of mental health problems<sup>234</sup> that the UK government has issued an international review of evidence gaps with a call for researchers to address them<sup>234</sup>. Particular priorities in relation to mental health are understanding mechanisms, investigating the impact of loneliness and social isolation in marginalized groups, and addressing the lack of rigorous trials of psychological and social interventions to address these key risk factors. Additional gaps related to this field are estimates of the prevalence and correlates of social isolation in groups other than older adults.

## Social determinants in the wider social environment

## Neighbourhood socioeconomic disadvantage and inequality

Some of the earliest studies in psychiatric epidemiology investigated whether neighbourhood social determinants were associated with the incidence and prevalence of mental disorders<sup>235</sup>. Early cross-sectional studies in high-income settings identified particularly high incidence rates of some severe mental illnesses – particularly schizophrenia and non-affective psychotic disorders more generally<sup>235-237</sup> – in more urban and socioeconomically disadvantaged neighbourhoods<sup>235,236</sup>. As with individual socioeconomic status (see above), these studies generated considerable debate about the relative contributions of social selection (i.e., downward drift of vulnerable individuals into socially disadvantaged environments) and social causation. This debate continues to date. While there is now

consistent evidence that people who are born and raised in more urban and socially disadvantaged neighbourhoods in HICs are at greater risk of non-affective psychotic disorders<sup>238-241</sup>, even after adjustment for individual-level measures of socioeconomic status<sup>239-242</sup>, other research has suggested that this may be due to intergenerational selection<sup>243</sup>, whereby families with greater genetic liability to severe mental illnesses are more likely to remain or drift into more disadvantaged neighbourhoods over time.

In the last decade, epidemiological studies that attempt to leverage genetic information to strengthen causal inference from observational data have been published on this issue, with equivocal results. For example, a nationwide longitudinal study of population density and neighbourhood deprivation at age 15 and risk for later schizophrenia (and depression) found that associations were progressively attenuated to the null in analyses restricted to first-degree cousins and siblings<sup>243</sup>, who shared, on average, 12.5% and 50% of genes respectively, implying that such associations in unrestricted population samples are due to unmeasured familial confounding. Some additional studies, based on polygenic risk scores (PRS) for schizophrenia, have also found that increased genetic liability predicts living in more densely populated<sup>244</sup>, urban<sup>245,246</sup> and disordered<sup>245</sup> areas in adulthood<sup>244</sup> and adolescence<sup>245,246</sup>. By contrast, two studies have found no relationship between PRS for schizophrenia and population density at birth<sup>246,247</sup>. One further study found no evidence that PRS for schizophrenia predicted deprivation in adolescence<sup>245</sup>, although another study has shown such a relationship at birth<sup>247</sup>. Of these studies, three went on to test whether genetic liability confounded longitudinal associations of neighbourhood deprivation<sup>245,247</sup> and population density<sup>246</sup>/urbanicity<sup>245</sup> with psychosis risk; all found that these associations persisted after adjustment for measures of genetic liability.

Studies of other mental disorders, including depression, anxiety and bipolar disorder, have generally found less consistent gradients with neighbourhood social disadvantage and urban-rural status<sup>248,249</sup>. Most evidence has been cross-sectional, remains equivocal and is largely based in high-income settings<sup>248,249</sup>. Longitudinal studies of incidence are sparse, and those that have been conducted have shown mixed results. Studies based on treated depression diagnosed in secondary care support an association with urban birth and upbringing<sup>243,250</sup>, while no such pattern has been observed in comparable studies of bipolar disorder<sup>251</sup>, or in longitudinal population-based samples of depression and anxiety<sup>252,253</sup>. For suicide, there is consistent evidence that risk is elevated in more disadvantaged, socially fragmented rural rather than urban communities<sup>249</sup>.

Neighbourhood socioeconomic disadvantage is, of course, a multidimensional construct. Interestingly, a recent systematic review found that one aspect of neighbourhood disadvantage – i.e., perceived or objective levels of crime – was associated with several mental health outcomes, including depression, psychological distress, anxiety and

psychosis<sup>254</sup>, suggesting that specific aspects of neighbourhood disadvantage may represent putative targets for prevention. Nonetheless, the causal nature of this effect remains to be clarified, since the effects of crime were diminished after adjustment for socioeconomic deprivation, and samples where perceived crime and mental health are measured in the same respondents may be prone to both same-source bias and reverse causality.

Another important neighbourhood social determinant, related to absolute socioeconomic deprivation, is socioeconomic inequality. The aforementioned studies typically estimated associations between average levels of neighbourhood socioeconomic disadvantage and mental health. In contrast, studies concerned with inequality seek to understand whether the unequal distribution of resources (typically based on income) within a population, community or neighbourhood is associated with health. Across HICs, there is robust correlational evidence that countries with higher levels of income inequality experience worse population mental health<sup>255</sup>. A recent systematic review on within-country income inequality also found that two thirds of included studies observed statistically significant associations, with the majority (55%) supportive of a relationship between higher inequality and worse mental health (the so-called "income inequality hypothesis")<sup>256</sup>. A further 12% of studies found evidence that higher income inequality was associated with better mental health (supportive of the so-called "mixed neighbourhood hypothesis", which purports that the presence of people with higher income levels in a neighbourhood results in universal improvements in living standards, access to resources and health). Studies supportive of the income inequality hypothesis were more common for all outcomes studied, including depression, psychosis and general mental health, and were conducted in both HICs and LMICs<sup>256</sup>: Their findings persisted after control for absolute levels of socioeconomic deprivation.

Although different theories exist on how higher levels of inequality may lead to worse mental health<sup>256</sup>, one possible explanation is that highly unequal neighbourhoods erode levels of trust, weaken social ties, and reduce positive reciprocity, leading to greater exposure to stressogenic environments that negatively affect mental health. This raises the possibility that neighbourhood social capital and other related constructs may be important social determinants of mental health, as reviewed in the next section.

### Social capital, fragmentation and ethnic density

Social capital encapsulates the nature and stock of shared social resources, relationships and networks available for groups to achieve common goals or outcomes. It encompasses concepts of trust, reciprocity, norms of behaviour, rules for cooperation, collective attitudes, shared language, and the size and structure of informal and formal networks. As such, it is a complex, multidimensional construct, theorized to: operate at different levels (i.e., individual,

school, workplace, neighbourhood, regional, national); be a property of individuals or groups; and have different conceptual dimensions (e.g., structural/cognitive/relational, bonding/bridging/linking<sup>257</sup>). Given such complexities, it would be surprising if there was a universal effect of social capital on health. Rather, particular dimensions of social capital could be either protective or harmful, dependent on the dimension, level and/or group exposed.

Despite this challenge, a recent umbrella review concluded that higher levels of social capital were generally associated with better mental health outcomes<sup>258</sup>, based on a set of systematic reviews that included psychological distress, depression and anxiety, and behavioural problems and well-being in children. Two reviews from that paper found evidence of a stronger effect of *cognitive* (shared language, values and codes) than *structural* (networks, rules, roles) social capital on common mental disorders<sup>258</sup>.

To our knowledge, systematic review evidence on social capital and suicidal outcomes is missing. Most studies in this space are ecological<sup>259-263</sup>, with several reporting national<sup>263</sup>, regional<sup>261,262</sup> or neighbourhood-level<sup>259</sup> associations between higher levels of social capital (particularly trust) and lower suicide rates. Nonetheless, effect sizes for suicidal outcomes appear modest, and are often limited to – or stronger in – various subgroups, including White men and women<sup>261</sup>, non-Hispanic Black groups<sup>262</sup>, men alone<sup>262</sup>, younger groups<sup>259</sup> or unmarried people<sup>259</sup>, or are sometimes not found at all<sup>260</sup>. One of the few longitudinal studies conducted to date reported that higher structural social capital was associated with lower suicide rates in South Korea<sup>264</sup>, but further high-quality evidence is required.

A recent scoping review of social capital and psychosis found mixed evidence of an association<sup>257</sup>, with considerable heterogeneity in study design, definitions of social capital, assessment instruments, setting, control for confounders, and findings. As with other mental health outcomes, longitudinal evidence is generally missing. Of nine studies, four reported an overall protective effect of higher social capital on psychosis risk, two found null results, and three reported subgroup or nonlinear effects; here, protective effects were restricted to women<sup>265</sup>, those with a family history of psychosis<sup>266</sup>, or people living in areas with either the lowest or highest levels of social capital<sup>267</sup>, especially among ethnoracially minoritized groups.

These subgroup and curvilinear effects may provide important opportunities to triangulate evidence about how exposure to contextual factors in the social environment generates inequalities in mental health between different groups. In the example above, from the ÆSOP study of first-episode psychosis in Southeast London<sup>267</sup>, rates of schizophrenia were higher for people living in low or high social capital neighbourhoods, compared with moderate levels. Social capital was estimated in a random sample of residents via a separate cross-sectional survey. Importantly, response bias meant that White residents were over-represented in the survey, biasing estimates of social capital towards those perceived by this group. In areas with high social capital – as disproportionately perceived by White respondents – psychosis rates

were only substantially elevated amongst ethnoracial minoritized residents, who may have been excluded from accessing this social capital. Interestingly, this has recently been replicated in longitudinal research from Sweden amongst people with a migrant heritage<sup>268</sup>, and similar findings have been observed in other contexts<sup>269</sup>.

These findings may provide a mechanistic explanation for observations from a related literature that higher levels of ethnic density – the degree to which one's ethnoracial group is represented in a neighbourhood – are associated with lower levels of psychosis<sup>270</sup>. Such findings also extend to migrants<sup>271</sup>. Ethnic density is theorized to have a protective effect on mental health via increased social capital (particularly bonding social capital) amongst people who share more similar language, norms, codes, customs and cultural backgrounds. These resources may help buffer against social stressors<sup>144,272</sup>. Relatedly, higher rates of psychosis are observed in more socially fragmented neighbourhoods<sup>273</sup>, an effect that appears to persist at school level for young people<sup>274</sup>. A systematic review<sup>275</sup> has demonstrated that evidence for a protective ethnic density effect is strongest for psychosis<sup>270,276</sup>, and extends to suicide<sup>277-279</sup>, but is less consistent or strong for anxiety and depressive disorders. Recent systematic review evidence also suggests that the protective effect of high ethnic density on psychosis risk is more consistent for Black and Latino populations, with mixed findings for Asian ones<sup>270</sup>.

Ethnic density and social capital may be particularly important during childhood. For example, one study found evidence that low ethnic density during childhood was associated with later increased psychosis risk<sup>276</sup>. This may be linked to greater social and cultural isolation, or increased exposure to other risk factors for mental health problems, such as bullying<sup>280</sup>. There is also longitudinal evidence that social capital in childhood buffers the impact of earlier childhood adversity on adolescent mental health problems<sup>281</sup>. Recent cross-sectional data from the National Comorbidity Survey (Adolescent Supplement) in the US also suggest that both school-level bonding and perceived neighbourhood social capital are associated with lower risk of mood and anxiety disorders in young people<sup>282</sup>.

As with social capital, the relationship between ethnic density and mental health outcomes may be nonlinear<sup>283</sup>. Very high levels of ethnic density (>80%) are indicative of racial segregation<sup>283</sup>, and may be related to poorer mental health for Black Americans and Asian Americans in the US<sup>283</sup>, as well as for some South Asian groups in the UK<sup>284</sup>. In this latter country, mental well-being was found to be poorest for people living in the most segregated communities, an effect larger for Black participants and independent of ethnic density<sup>285</sup>. In highly segregated neighbourhoods, the buffering effect of high ethnic density may be eroded as exposure to a range of other risk factors for mental health problems increase, including social exclusion, deprivation, discrimination, violence and crime. These social determinants tend to arise as downstream effects of interpersonal, institutional and structural processes and policies that govern patterns of residential organization<sup>286</sup>.

## Physical environment

Physical environment encompasses the built environment (housing quality, density and type; urban design), exposure to pollution (particularly air and noise pollution), access to green and blue space, and climate change. We consider physical environment as a potential social determinant of mental health because exposure to protective or harmful physical environments is rarely randomly distributed within or between populations. Rather, exposure is influenced by many factors already described in this paper, including socioeconomic position, minoritization and structural discrimination in policies, institutions and systems that govern (in)equitable access to housing, education, employment and income<sup>287</sup>. Given the high correlation between physical and social environmental adversities, teasing out their causal mechanisms remains a challenge, which has led two systematic reviews conducted in 2007<sup>288</sup> and 2018<sup>289</sup> to conclude there was a lack of robust research on the role of physical environment in mental health, with a particular paucity of high-quality longitudinal research.

Nonetheless, some evidence supports an association between mental health and specific aspects of the physical environment. For example, longitudinal research suggests that housing regeneration programs are associated with improvements in depression, anxiety and general mental health outcomes<sup>55,288</sup>. Housing disadvantage is also associated with worse mental health in longitudinal research<sup>55</sup>, and may lead to increased residential mobility during childhood, which itself has been longitudinally associated with more emotional and behavioural problems<sup>290</sup>, depression<sup>290</sup> and psychosis<sup>291</sup> later in life, independent of material disadvantage, education and social adversities. In further longitudinal research, children growing up in poorer built environments experienced more emotional symptoms and conduct problems at age 3 years<sup>292</sup>.

Exposure to some air pollutants has been associated with mental health and disorder, including in case-only study designs (i.e., self-controlled case series, case-crossover designs) that control for short-term time invariant confounders<sup>293</sup>. A systematic review of the effects of particulate matter (PM<sub>2.5</sub> or PM<sub>10</sub>, i.e. finer than 2.5 or 10 microns in diameter) reported consistent evidence that short- and long-term exposure to PM<sub>2.5</sub> was associated with increased risk of depression and anxiety, while short-term exposure to PM<sub>10</sub> was associated with suicide risk<sup>293</sup>. The depression association has since been confirmed in a subsequent review<sup>294</sup>, and may extend to other air pollutants, including ozone (O<sub>3</sub>) and nitrogen dioxide (NO<sub>2</sub>). However, limitations remain, including publication bias, failure to consider multiple pollutants simultaneously, and a predominantly Global North focus (although with exceptions<sup>295</sup>). It also remains unclear whether observed associations are mediated by effects of pollution on physical health, particularly on early life neurodevelopment<sup>296,297</sup>; systematic review evidence supports a link between prenatal/perinatal exposure to PM<sub>2.5</sub> and risk of

autism spectrum disorder in offspring<sup>298</sup>. Findings for other mental health outcomes remain sparse, although there is emerging evidence of a relationship between nitrogen oxides and psychosis<sup>299,300</sup>.

Evidence on the association of green and blue space with mental health is predominantly based on heterogeneous measures, unrepresentative samples, and cross-sectional study designs, resulting in mixed findings<sup>301-304</sup>. Overall, there are currently insufficient high-quality data to support this association.

Interest is growing in the role that climate change may have on mental health. Various mechanisms may be involved, from increased anxiety or depression arising from existential concerns for the future, to exposure to social adversities arising as a result of climate change, including job loss, housing insecurity, displacement, food insecurity and conflict. While high-quality direct evidence of an impact of climate change on mental health remains missing, our review highlights how social adversities that may occur following climate change could exacerbate mental health inequalities.

### A PREVENTIVE FRAMEWORK FOR POPULATION MENTAL HEALTH

Preventive approaches are paramount to enable meaningful progress in reducing the prevalence and impact of social determinants that negatively affect population mental health. Prevention in psychiatry encompasses the mitigation or removal of risk modifying factors and the enhancement of protective factors linked to mental disorders<sup>305</sup>. Here, the goal is to lower the incidence, prevalence and recurrence of mental disorders, and the burden placed upon individuals, their families and wider society<sup>306</sup>. Given the huge direct and indirect costs of mental disorders to individuals and to society<sup>307</sup>, there are strong ethical and economic cases for prevention in psychiatry<sup>308</sup>. However, there are also costs to prevention, some of them paradoxical, which we consider below.

Prevention strategies are best grounded in a thorough understanding of the epidemiological characteristics of the relevant condition, and a working – although not necessarily perfect – model of causation<sup>309</sup>. We recognize that screening, early detection, and diagnostic testing are essential aspects of an effective prevention strategy for mental health<sup>310</sup>. While other reviews have considered these clinical tools in great detail<sup>311,312</sup>, we restrict our review of such tools to those that explicitly aim to intervene on social determinants of mental ill health.

## Frameworks for prevention

The WHO recognizes three levels of prevention: primary, secondary and tertiary (see Table 1). Whilst the latter two prevention levels are critical for reducing the burden of mental disorders through early intervention (secondary prevention) and ongoing management (tertiary prevention), action regarding social determinants falls mainly within the domain of primary prevention. Therefore, although we briefly overview evidence from all three levels in the following section, we devote most of our attention to primary prevention.

Primary prevention focuses on preventing the onset of mental disorders. This level of prevention includes universal, selective and indicated strategies, with interventions classified on the basis of the risk of individuals or sub-populations to develop a mental disorder.

Universal prevention strategies focus on entire populations, agnostic to risk status. Classic examples include fluoridation of drinking water to prevent dental caries, or folic acid fortification in flour to reduce neural tube defects during embryogenesis<sup>313</sup>. In a mental health context, examples may include teaching school children about emotions and mental health, or the introduction of a universal basic income, which aim to prevent mental disorders in addition to potentially bringing wider benefits to society. However, the potential benefits of any population-centred approach need to be tempered by the fact that modifiable risk factors are usually distributed unequally. Some people are at high risk, whereas most have a lower baseline risk of developing a disorder. In other terms, most of the burden of mental disorder in the population comes not from the small proportion of people at the highest risk, but rather from the far larger proportion of people with moderate or slightly above-average risk. The use of universal preventive interventions, therefore, has unequal costs and benefits in different individuals.

G. Rose, a British epidemiologist, considered the implications of this<sup>309</sup>. He noted that, when we study disease incidence in a single population, we see determinants – genetic or environmental – of the position of individuals within the risk distribution. However, this can leave us blind to huge differences in risk and disease incidence that may exist between populations, even though the individual determinants may be similar in both. These differences between populations, summarized by the population mean of a normally distributed risk factor, can be due to factors that are distinct from those that determine individual risk within those populations; individual risk can be understood only within that wider context. The crux of Rose's argument is that more cases of a disorder may be prevented by focusing on shifting the population mean (or other measure of central tendency) to make the whole distribution of the sicker population's risk profile look more like the healthier's one, rather than by targeting the minority at very high risk in the population (Figure 1). The "prevention paradox" is the potential downside of this strategy; while the prevention may come with some costs for all –

even if only a matter of inconvenience – most individuals will receive little to no benefit from the intervention, even though the benefits for the population as a whole may be large<sup>314</sup>.

Much of Rose's work considered physical health, particularly cardiovascular disease, but he believed that the same principles would apply to mental disorders. As an example, Polek et al<sup>315</sup> showed the implications of a normally distributed risk factor (e.g., mental distress) for the occurrence of suicidal thoughts and non-suicidal self-injury in a sample of adolescents and young adults. While those with very high distress values (three standard deviations above the mean) are at highest relative risk, the majority of these outcomes occur in those at medium risk – one or two standard deviations above the mean. If the whole population distribution could be shifted to the left, then more occurrences of suicidal thoughts and non-suicidal self-injury would be prevented than using a strategy focused on the few at highest risk<sup>315</sup>. The full implications of this approach are yet to be explored throughout preventive psychiatry, but there is clear evidence that this is likely to be a fruitful area for important public mental health concerns, including common mental disorders<sup>316,317</sup> and suicidality<sup>315,318</sup>. The implications are increasingly discussed<sup>310,319</sup>, but may only be fully appreciated when large-scale prevention studies focusing on common risk factors for multiple outcomes include measures of mental health routinely.

Although a strong proponent of universal approaches, Rose acknowledged that an effective prevention strategy should also encompass selective and indicated approaches<sup>320</sup>. Selective prevention strategies target individuals or sub-populations who have higher risk than the general population for onset of mental disorder. This risk may be assessed using a biopsychosocial model, through the evaluation of biological, psychological or social risk factors for mental ill health in individuals or sub-groups of the population. Intervening in this way, particularly if early in development, may serve to interrupt some of the pathways that lead from risk factors to mental disorder. Indicated prevention refers to interventions designed for high-risk populations who are already identified as having symptomatology of mental disorder, but whose symptoms are sub-threshold for diagnosis.

Importantly, different levels of prevention may be additive, such that an individual may at once be the target of multiple levels of prevention strategies. This is perhaps demonstrated most clearly in schools, where so-called "multi-tiered systems of support" offer a gradated approach to student mental health, whereby all students receive universal interventions, and a smaller proportion are offered selective and/or indicated interventions, depending on risk status<sup>321</sup>. Such approaches can be adapted depending on context<sup>322</sup>.

### **Prioritizing primary prevention**

As we argue throughout this paper, social determinants represent some of the most

modifiable intervention targets in a field where the development of new treatments for established disorders has largely stagnated. In contrast to other areas of medicine in which preventive approaches have established strong roots, approaches to prevention in psychiatry are inequitably prioritized, with the majority of available resources devoted to secondary (and tertiary) treatment of existing mental disorders (and their consequences), rather than preventing the onset of new disorders<sup>323</sup>. The dearth of action on primary prevention in mental health has been recast as one of the grand challenges in global mental health<sup>324</sup>, and very likely hinders progress in reducing the incidence, prevalence and burden of mental disorders that afflict society<sup>319</sup>.

# PREVENTION STRATEGIES THAT ADDRESS SOCIAL DETERMINANTS: THE EVIDENCE

In this section, we use the preventive framework introduced above to review evidence for the efficacy of prevention strategies that target some of the major social determinants of mental health outlined earlier. We principally focus on primary prevention strategies, including universal, selective and indicated approaches. We also briefly review important secondary and tertiary prevention strategies that aim to promote recovery in those with established conditions. We focus on prevention strategies where we believe evidence is strongest (summarized in Figure 2), based on systematic reviews, randomized controlled trials (RCTs) or quasi-experimental evidence, where available. Additionally, we highlight areas where the evidence base may be weaker, equivocal or absent. We also draw the readers' attention to reviews and reports of prevention strategies that aim to promote mental health and reduce mental distress and disorder<sup>312,325-327</sup>.

We believe that the strategies that are particularly crucial for effective public mental health promotion and prevention are those which target social determinants in the early life course, beginning prenatally and extending into infancy, childhood and adolescence. There are several reasons to support this: a) 50% of all mental health conditions begin by age 18<sup>19</sup>; b) many of the antecedents of mental disorders begin early in life; c) preventing the onset of these problems earlier provides the best opportunity to interrupt intergenerational transmission of cyclical relationships between social determinants and mental health problems; d) the incidence and prevalence of mental health problems and disorders amongst children and young people is increasing, making this an imperative matter of social justice.

## Universal prevention strategies

## Parenting interventions

Parents play a crucial role in the emotional and behavioural development of a child. Consequently, many programs have been developed to enhance positive aspects of the parents' influence. Proactive and positive parenting techniques increase parent-child attachment and build self-esteem and confidence, which reduce behavioural problems<sup>328,329</sup>. The most common parenting programs are group-based, which may be a cost-effective method of reaching their goals, and last 8-12 weeks, with 1-2 hour sessions weekly<sup>328,329</sup>.

Evidence consistently supports the efficacy of these programs in improving child mental health. For example, a systematic review of 24 intervention trials of short-term group-based parenting programs for children under 4 years old found that the programs had beneficial effects on overall child mental health and behaviour, as well as on parent-child interaction<sup>329</sup>. There is further systematic review evidence that two of the most common parenting interventions – the *Triple P* program<sup>330</sup> and the *Incredible Years* program<sup>331</sup> – reduce disruptive behaviour in this age group. The effects of parenting interventions may be more pronounced for externalizing than internalizing symptoms<sup>329</sup>, although there is also strong systematic review evidence from RCTs supporting beneficial effects for the latter<sup>332</sup>. A remarkable finding from one review was that the estimated number needed to prevent one case of adolescent anxiety was only 10, a number which is much smaller than that for many common medical interventions<sup>332</sup>. With that in mind, it is perhaps not surprising that cost-benefit analyses of common parenting programs demonstrate cost savings<sup>330</sup>.

A recent trial described a short (four 90 min sessions) perinatal parenting intervention that focused on sharing and understanding parenting roles in a co-parenting model<sup>333</sup>. The intervention aimed to reduce parenting stress to improve child outcomes. When the child was aged 1 year, parents in the intervention arm rated their offspring as having lower negative emotionality and lower externalizing symptoms, although these effects did not extend to age 2 years, 20 months after the program conclusion.

There is also evidence from a review of 48 trials that parenting interventions lead to benefits for parents as well as children, including reductions in parental depression, anxiety, stress, anger and guilt, and increases in confidence and relationship satisfaction<sup>328</sup>. Perhaps as a consequence, studies of the *Triple P* parenting program have also shown that participation is associated with reductions in child abuse and maltreatment<sup>330</sup>. From a global perspective, it is reassuring to see that the benefits of parenting programs implemented in HICs have similar positive outcomes in lower-income settings such as sub-Saharan Africa<sup>334</sup>.

Several key questions remain about optimizing parenting interventions, including whether

effects persist in the absence of the intervention over the long term (observed by one<sup>332</sup> but not other reviews<sup>328,335</sup>), the ideal age to intervene (with evidence of beneficial effects associated with interventions in both childhood<sup>331</sup> and adolescence<sup>332,336</sup>), and whether they should be deployed universally or to selective populations (bigger effect size of parenting interventions have been found for high-risk families<sup>332</sup>). Another set of related early-life interventions – home visits during pregnancy – have been deployed as more selective prevention strategies, reviewed later.

### School-based mental health programs

Schools are potentially optimal settings for public health practitioners to provide universal mental health promotion and prevention. Numerous such programs have been designed for school children, and may be adapted to offer nested selective and indicated interventions.

Many school-based programs focus on mental health literacy, with the aim of educating youth about mental health, reducing stigma related to mental disorders, and encouraging help-seeking behaviour<sup>337</sup>. A recent systematic review of RCTs showed that these programs increase mental health literacy and reduce stigma, although there is a lack of evidence on whether these effects persist over the long-term<sup>337</sup>. Whether they increase help-seeking behaviour remains unclear<sup>338</sup>.

School-based interventions that focus on reducing disruptive behaviour have existed for many decades. A 2011 umbrella review concluded that these programs are effective in reducing externalizing problems<sup>339</sup>. The *Good Behaviour Game*, for example, was developed in 1969, and is a team-based activity designed to reward children for pro-social behaviour and discourage disruptive behaviour<sup>340</sup>. RCTs have shown that the *Good Behaviour Game* is effective in reducing conduct problems in children<sup>340</sup>. Although the primary focus is on behavioural regulation, the program also supports emotional regulation. A recent Australian trial showed that the *Good Behaviour Game* also decreased internalizing symptoms<sup>341</sup>. Remarkably, one study followed up students at age 21/22 who had participated in the *Good Behaviour Game* in school when aged 6 years, and found that participants were less likely to report suicidal thoughts and attempts compared with controls<sup>342</sup>.

There are several school-based programs that specifically focus on prevention of depression and anxiety. A 2017 systematic review (updated in 2021) summarized evidence from 90 intervention studies<sup>343,344</sup>. The majority of interventions were based on 8-12 sessions of 45-90 min of cognitive behavioural therapy (CBT), modified for the classroom<sup>344</sup>. The review clearly showed that these programs were effective in reducing symptoms of depression and anxiety, though effect sizes were generally small<sup>343,344</sup>. Although such programs are often delivered universally, effect sizes for depression were larger for trials that targeted higher-risk

student populations (i.e., selective and indicated approaches)<sup>343,344</sup>. Notably, while effect sizes for preventing depression and anxiety were relatively small, they persisted in long-term follow-up<sup>343,344</sup>. Furthermore, the authors of the 2017 review point out that even small effects can have big impacts on prevention from a population perspective<sup>344</sup>, aligning with Rose's argument. Relevantly, a 2016 review estimated that universal prevention programs of depression and anxiety delivered in schools (mostly CBT-based) prevented 50% of cases of a diagnosable internalizing disorder in the following 6 to 9 months<sup>345</sup>.

Recently, several mindfulness-based programs have been developed and trialled for school-aged children<sup>346</sup>. Mindfulness approaches encourage people to intensely focus on the present moment, in order to calm physiological responses and reduce stress. A 2022 systematic review of 66 RCTs found that mindfulness programs for children are successful in reducing anxiety/stress (analyzed as a combined outcome) and depressive symptoms<sup>346</sup>, although effect sizes tended to be small and were limited to selective rather than universal samples. Trials in universal samples found no evidence of improvements in mental health, despite small improvements in behavioural outcomes, executive function and attention. Further, there were no positive effects in studies that included follow-up beyond program conclusion<sup>346</sup>.

Another group of school-based mental health programs focus specifically on suicide prevention. These programs tend to take three forms: a) awareness and education initiatives, which seek to inform students about suicidal behaviour to reduce stigma and increase likelihood of help-seeking behaviour; b) gatekeeper training, which seeks to teach students or teachers to identify signs of suicidality, and refer students to appropriate services; and c) screening programs, which seek to identify risk factors for suicide or suicidal thoughts, with the aim of referring people who screen positive for further assessment and/or treatment<sup>347,348</sup>. Several reviews have concluded that these programs are successful in reducing suicidal thoughts, including 12 months after program completion<sup>347,349</sup>. The most recent review concluded that similar effects are seen for suicide attempts, with some evidence that these effects may last for up to 20 years<sup>348</sup>.

As with many school-based interventions, suicide prevention programs are most successful when they are multi-faceted<sup>347</sup>. One excellent example is the *Saving and Empowering Young Lives in Europe* (SEYLE) program, a suicide prevention RCT implemented in 168 schools across 10 countries<sup>350</sup>. The intervention included training teachers and school staff to be gatekeepers, delivering a mental health and suicide literacy program for students, and screening for high-risk students. At 12-month follow-up, participants in intervention schools were 50% less likely to have experienced suicidal thoughts and suicide attempts in the previous two weeks compared with students from control schools<sup>350</sup>.

Several reviews have highlighted that little evidence exists on cost-effectiveness of

school-based programs in prevention of mental health problems<sup>337,344</sup>. One review on prevention of depression and anxiety in schools estimated that the number needed to prevent one case per 100 children was 70 students<sup>345</sup>, while the authors of the SEYLE trial concluded that the program could prevent one suicide attempt for every 167 students who participated in the program<sup>350</sup>. Depending on the resources required for these programs, these prevented outcomes could represent important cost savings. Nevertheless, rigorous economic evaluations are needed, particularly those that take a long-term perspective. An additional limitation of research on school-based interventions is that few studies have included functional assessment; a recent commentary argued that measuring function may better reflect the success, or lack thereof, of programs whose aim is to allow children to flourish<sup>351</sup>.

Finally, it should be noted that the overwhelming majority of studies in this area are from HICs, although available evidence suggests that schools are also a suitable setting to deliver mental health promotion interventions in LMICs<sup>322</sup>. On the other hand, rates of school enrolment vary dramatically between countries, and it cannot be excluded that school-based programs inadvertently exacerbate mental health inequalities for those unable to access basic education. Moreover, recent concern has been raised that some aspects of school-based mental health interventions could increase levels of distress amongst some young people<sup>352</sup>. This requires further investigation so that safety can be fully balanced alongside demonstration of efficacy.

#### Interventions that address loneliness

The evidence base is weak for preventive interventions that address loneliness, in order to prevent onset of mental health problems, or to reduce severity or improve prognosis of pre-existing mental disorders. Such interventions might be best situated among universal approaches, given that the stigma of loneliness dissuades uptake of targeted interventions, but in reality they may need to straddle universal, selective and indicated approaches. Built environment interventions to address loneliness and mental health, whilst showing promise in terms of acceptability, have no evidence of effectiveness<sup>353</sup>. Systematic reviews of trials of interventions addressing loneliness do not include mental health impacts. Consequently, we need investment in evaluations that encompass both physical and mental health<sup>354</sup>.

## Selective prevention strategies

#### Direct economic interventions

Given the demonstrably strong links between poverty, socioeconomic disadvantage and

poor mental health reviewed earlier, selective interventions that improve people's socioeconomic position could be crucial policy levers to improve population mental health. Although economic inequality primarily affects the health of the poorest, it is also linked to worse mental health of the whole population<sup>256,355</sup>. This suggests that interventions that reduce inequality by targeting selective or indicated groups could even have universal mental health benefits. There is already evidence that policies driven by progressive welfare economics are associated with fewer mental health inequalities according to socioeconomic circumstances<sup>356,357</sup>. A recent systematic review of 136 studies found that increases in individual and household income improved mental health and well-being, while decreases had the opposite effect<sup>49</sup>. These effects were strongest when individuals were lifted out of poverty.

This evidence has added to debate on whether guaranteed incomes or cash transfers have beneficial effects on mental health. From 1974 to 1979, a guaranteed annual income experiment in rural Manitoba, Canada, ensured that families met at least 60% of what Statistics Canada considered the cut-off to be designated as a low-income family. Evaluations later showed a statistically significant reduction in hospitalizations during the program, primarily related to mental health, and this effect persisted for at least 6 years after program completion<sup>358</sup>.

Much of the research on the potential benefits of cash transfer programs have focused on child and adolescent mental health. For example, a recent systematic review found causal evidence that adolescent mental health (specifically, internalizing problems) improved when their families were lifted from poverty<sup>359</sup>, while a review of child benefit programs introduced in Canada since 1945 showed that they had positive effects on child mental health and behaviour<sup>360</sup>.

It should be noted, however, that the success of cash transfer programs may vary according to economic context, gender, implementation of program, and local culture<sup>361</sup>. For example, the aforementioned systematic review on changes in income and mental health found stronger effects of poverty alleviation programs on mental health in LMICs<sup>49</sup>, and other reviews have found similar positive effects for cash transfer programs in these contexts in adults<sup>362</sup> and children<sup>359,361</sup>. These effects may be long-lasting. For example, a cash transfer program in Kenya showed that, 4 years after program implementation, youth whose families participated in the program had significantly fewer depressive symptoms<sup>363</sup>. Similar findings may also extend to low-income settings in HICs. For example, a natural experiment in the US investigated the role of income supplementation on child mental health following the opening of a casino on American Indian reserve land<sup>364</sup>. It demonstrated that children who were lifted out of poverty had statistically significant reductions in symptoms of conduct and oppositional defiant disorders compared with those who remained in poverty, falling to levels seen amongst children never exposed to poverty in the same region<sup>364</sup>.

Some cash transfer programs include mandatory conditions for recipients. *Oportunidades*, one of the first conditional cash transfer programs, was implemented in Mexico, and supplemented participants' income by 20-30% on the conditions that children were enrolled in school, and that family members took part in preventive medicine programs and attended health-related presentations. For families who enrolled when their child was less than 2 years old, children had fewer behavioural problems when aged 8-10 years compared with children who were enrolled in the program 18 months later<sup>365</sup>.

Critics of conditional cash transfer programs have pointed out that they are highly paternalistic in nature, exacerbate gender-based inequalities, and do not solve structural problems that lead to long-term poverty<sup>366</sup>. Indeed, one systematic review found that placing conditions on monetary interventions may have detrimental effects on adolescent mental health in some sub-populations, in particular girls, for whom conditional cash transfers may add to existing pressures including household duties and caring responsibilities<sup>359</sup>.

## Early-life home visit programs

As evidence has accumulated supporting the effects of perinatal stress on brain development <sup>367</sup>, public health practitioners have focused more attention on supporting healthy development early in life. Home visitation programs for pregnant or post-partum mothers, their partners, and their children have often been delivered to selected populations at risk of experiencing considerable social disadvantage, adversity and negative health outcomes. These groups have often included low-income families, and mothers who are young, unmarried, socially isolated or from ethnoracial minoritized backgrounds<sup>368</sup>.

Home visitation programs vary in delivered activities, but the general aim is to improve the home environment for the new child. These programs often include aspects of social support for new parents, education about child development, informal training about positive parenting techniques (and avoidance of negative parenting behaviours), and facilitation of mother-child interaction. This is important because different parenting practices have been consistently associated with levels of child aggression, delinquency and socioemotional functioning, with authoritarian (e.g., harsh) parenting styles leading to poorer child outcomes than authoritative (e.g., affection balanced with discipline) approaches<sup>369</sup>. A systematic review of 34 RCTs and quasi-experimental studies that investigated the effect of home visitation programs found that they resulted in improvements in the home environment, particularly in studies that used robust measures of parenting behaviours<sup>368</sup>.

Some notable RCTs in the US have examined perinatal monthly home visit interventions by nurses. For example, in a trial conducted in Memphis, TN, women received nurse visits during pregnancy, immediately post-partum, and several times until the child's second

birthday, while the control group received usual perinatal care<sup>370</sup>. At age 6 years, children of mothers who received the nurse visits had fewer behavioural problems and were less likely to be aggressive. In another trial in rural New York state, women at higher risk of mental health difficulties due to their social position were randomized to receive nurse home visits until the child's second birthday or treatment as usual<sup>371</sup>. At age 15 years, children of mothers who received nurse visits drank less alcohol and were less likely to be involved in criminal activity compared with children in the control arm; this intervention was also highly cost-effective, with a return on investment realized by the time the child reached age 4 years. This intervention continued to exhibit marked dividends into adolescence, through reduced welfare and justice system involvement<sup>372</sup>. A similar intervention study in Australia, that also included monthly nurse home visits for the first two years of the child's life, showed that children of mothers who received nurse visits had overall lower scores on the Strengths and Difficulties Questionnaire, indicating fewer emotional and behavioural problems<sup>373</sup>. Interestingly, the same study showed positive outcomes for parents across a wide range of domains, including less hostility, less parent-child conflict, higher well-being and quality of life, and increased self-efficacy. Whether such interventions would show the same effect if implemented universally remains unclear.

## Neighbourhood interventions

The neighbourhood may offer an effective level at which to prevent mental disorders and promote mental health. Nonetheless, designing, testing and implementing interventions which seek to modify social or physical environments in order to improve public health is notoriously difficult. For this reason, most research to date remains observational<sup>353,374</sup>.

The classic example of an RCT to lift people out of neighbourhood poverty is *Moving To Opportunity,* conducted in five US cities, in which families in high-poverty neighbourhoods were randomized to receive housing vouchers to move to low-poverty neighbourhoods<sup>375</sup>. At 3-year follow-up, there was evidence of reduced distress/anxiety symptoms amongst parents in the intervention arm, and reduced depressive/anxiety symptoms in children, though these results were restricted to boys and younger children (8-13 years)<sup>375</sup>. Nonetheless, later follow-ups have found differential effects on adolescent mental health, including higher risk of conduct disorder, PTSD and depression in boys, and lower risk of conduct disorder in girls in the intervention arm<sup>376-378</sup>. The reasons for this are likely to be multifaceted, but may include sex-specific differences in interactions with new social environments, including the social skills required to navigate more affluent environments, or the consequences of increased residential and school moves on social integration and support<sup>378</sup>. Such issues further highlight the potential unintended harms that may result from some forms of intervention that attempt to lift people out of poverty.

Neighbourhood regeneration programs<sup>379</sup> have been rarely tested. One exception is a cluster randomized trial in Philadelphia<sup>380</sup>, which reported lower depressive symptoms and improved self-worth amongst residents in intervention settings where a greening initiative focused on improving the physical quality of the built environment by planting trees, removing litter, and landscaping vacant land in urban settings. A recent review of interventions to promote housing affordability and stability found no evidence of improved mental health outcomes in selective populations (particularly homeless and Veteran groups)<sup>381</sup>.

The paucity of evidence for neighbourhood interventions reflects the complexity of delivering such interventions and their possible unintended consequences, despite evidence that neighbourhood social disadvantage, fragmentation and social capital are strongly associated with mental health.

## Public mental health interventions for specific populations

Several minoritized groups are at increased risk of developing mental health problems and disorders, so selective interventions in these groups may be particularly effective in reducing mental health inequalities at the population level. One clear example is providing interventions to refugee groups who are vulnerable to worse mental health. There is systematic review evidence from RCTs that providing psychosocial interventions to refugees is effective in reducing PTSD symptoms<sup>382</sup>. Encouragingly, brief individual<sup>383</sup> or group-based<sup>384,385</sup> psychological and behavioural interventions appear to reduce depressive and internalizing symptoms in refugees, including children<sup>386</sup> and adolescents<sup>384</sup>, though these many not be sustained in the long-term post-intervention<sup>385</sup>, and some evidence is of low quality<sup>386</sup>. A recent systematic review also found evidence that community-based interventions which provided refugees with greater bridging and linking social capital (i.e., building ties with others in the community, helping them navigate new structures, systems and institutions) may be most effective in reducing mental health symptoms in this population<sup>387</sup>. Nonetheless, the variable quality and small number of studies included in these reviews requires this promising evidence base to be strengthened.

Selective interventions in ethnoracial minoritized groups have also been investigated. In many contexts, the intersectionality with socioeconomic disadvantage means that interventions targeted at low-income parents, families or neighbourhoods are sometimes implicitly selected on a high proportion of people from ethnoracial minoritized backgrounds<sup>371</sup>. Generally, evidence suggests that these interventions are effective in benefiting mental health across different ethnic groups, including the aforementioned *Incredible Years* parenting intervention in both European<sup>388</sup> and North American<sup>389</sup> settings. While these studies lend some support to the effectiveness of culturally-agnostic interventions, there is also evidence

that culturally-adapted mental health interventions offer more benefits in some ethnoracial minoritized groups over non-adapted treatments or treatment-as-usual<sup>390,391</sup>. Further, given that experiences of discrimination and stigma operate at various levels as barriers to mental health help-seeking, understanding how cultural and structural factors intersect to produce mental health inequalities in ethnoracial minoritized groups remains a critical prerequisite to developing effective selective interventions that reduce these experiences and promote mental health<sup>392</sup>.

There is also emerging evidence that selective interventions for sexual and gender minority groups can be effective in improving mental health outcomes<sup>393</sup>. These include policy-level interventions, family interventions, and provision of coordinated mental health services, with evidence of beneficial effects on mental health, substance use and bullying victimization amongst minoritized youth<sup>393</sup>. Others have highlighted the importance of building up cultural competence amongst health care professionals as a vital intervention to reducing mental health inequities for LGBTQ+ people<sup>187</sup>. Nonetheless, as for other minoritized groups, barriers around mistrust of health care providers represent a further obstacle (and target) for improving timely access to preventive mental health care and support.

## **Indicated prevention strategies**

Indicated strategies to prevent the onset of mental disorders typically seek to identify highrisk individuals on the basis of emerging sub-threshold psychopathology or family history of psychiatric illness with an associated decline in functioning. The delivery of indicated prevention has principally focused on youth-oriented mental health care provision to prevent transition to disorder. These range from specialist secondary care (e.g., early detection services for psychosis) through to disorder-agnostic youth mental services that adopt clinical staging models to provide care according to illness stage. Most recently, these models are being repositioned as broad-spectrum integrated primary care services for youth mental health that deliver indicated prevention in a variety of innovative ways, and in a variety of contexts, including digitally, in educational settings, workplaces, the community, and clinical spaces<sup>311</sup>. They offer various interventions to indicated populations, ranging from clinical therapy to peer advocacy and psychosocial interventions to promote resilience, improve mental health literacy or improve social support. Only some of these interventions aim to explicitly tackle social determinants of mental health (social support, loneliness, bullying), usually as part of a multidisciplinary intervention.

Just as the pattern of risk for mental disorders is socially inequitable, so too is the likelihood of receiving clinical care that is delivered in a timely, appropriate and proportionate manner according to need<sup>187,396</sup>. This is a global challenge driven by various issues in different

settings, including stigma, health literacy, cultural norms, system capacity and economic development. Because indicated prevention strategies predominantly originated from clinical systems of care, identification and inclusion of high-risk populations is subject to similar barriers and inequities. For example, there is evidence that people from socioeconomically disadvantaged, migrant and ethnoracial minoritized backgrounds are under-represented in early detection for psychosis services<sup>397-399</sup>, as well as child and adolescent mental health services<sup>400</sup>. These biases may be compounded by the instruments used to identify high-risk individuals, which are often developed<sup>394,401</sup> and tested<sup>402</sup> in unrepresentative, help-seeking samples. These inequalities mean that those already exposed to substantial disadvantage are least likely to receive indicated prevention, and less likely to take part in research that informs us about what works for whom, making this an imperative matter of social justice<sup>403</sup>.

Furthermore, as currently configured, indicated prevention strategies are unlikely to substantially reduce the incidence and prevalence of mental disorders, because they currently lack sufficient population coverage to do so. For example, studies in England<sup>398</sup> and Australia<sup>399</sup> have shown that only 4-22% of people diagnosed with first-episode psychosis in services for early intervention in psychosis had prior contact with early detection services before illness onset. This calls for broader-based transdiagnostic indicated prevention solutions which could be integrated into community and school settings, as recently evidenced and advocated by McGorry et al<sup>311</sup>, explicitly addressing social determinants of mental health.

## Secondary and tertiary prevention strategies

In this section, we present a brief overview of existing social interventions that aim to optimize various aspects of recovery in people with established mental disorders.

### Social prescribing

Social prescribing, primarily adopted by primary care physicians, connects individuals with established mental disorders to sources of social support within local communities<sup>404</sup>. Examples include volunteering, befriending, and hobby groups<sup>405</sup>. Despite its popularity, the evidence base lags behind practice, with studies currently lacking methodological rigour<sup>406,407</sup>. Although positive effects on various mental health outcomes have been observed in systematic reviews<sup>404,408-410</sup>, the quality of evidence is generally low<sup>408-411</sup>, and restricted to uncontrolled samples<sup>408,409,411</sup> or selective subgroups<sup>410</sup>. There is also initial evidence that minoritized groups are under-represented in social prescribing<sup>412</sup>; factors such as finance, language and cultural barriers may pose issues around access and engagement.

#### Vocational interventions

Given the cyclical relationship between socioeconomic disadvantage and mental health, secondary and tertiary interventions that help people return to work or education should be considered an important component of public mental health policies. One such example is Individual Placement and Support (IPS), where an employment specialist supports an individual with mental health problems to seek competitive employment. IPS has been consistently demonstrated to be superior over other forms of vocational interventions to help individuals with severe mental illnesses obtain and maintain competitive employment<sup>413-415</sup>. These findings hold across geographical locations and across high- and low-resource settings<sup>413</sup>, though success and uptake may depend on motivation and self-efficacy in job seeking, which may introduce additional barriers for those already exposed to greater structural and systemic disadvantage<sup>416-418</sup>. While these interventions may benefit people with other mental health outcomes, they appear most effective for severe mental illnesses<sup>419</sup>.

### Family interventions

It is well known that family interventions can help reduce risk of relapse for people with psychosis<sup>420,421</sup>. They also appear to reduce depression and suicidal ideation in young people<sup>422,423</sup>, though these effects could be restricted to older adolescents and may be affected by risk of bias concerns<sup>424</sup>. Secondary and tertiary family interventions can also lead to reductions in parental stress and depression, and improvements in parenting behaviours<sup>422,425</sup>, which may be particularly relevant to interrupting intergenerational transmission of familial risks for mental health problems<sup>424</sup>. These effects also extend to LMICs, with 65% of interventions being delivered by non-specialist workers<sup>425,426</sup>.

# **Trauma-informed interventions**

Traumatic events contribute substantially to mental health inequalities, as we highlighted earlier. Given this, models of trauma-informed care have gained traction in secondary prevention, and may be particularly pertinent to recovery for specific groups, including victims of intimate partner violence, ethnoracial minoritized groups, and refugees and asylum seekers with established mental disorders. To date, the most commonly adopted and evaluated approaches include eye movement desensitization and reprocessing (EMDR) and traumafocused CBT<sup>427,428</sup>. Despite this, a recent systematic review<sup>429</sup>, which largely focused on interpersonal traumas in women, found inconsistent evidence that trauma-informed interventions improve a range of psychological outcomes, including symptoms of PTSD,

anxiety and depression. The authors attributed this to inadequate study designs, also observed by other reviews<sup>430,431</sup>, and called for broader trauma types and outcomes to be rigorously evaluated. For children and young people exposed to trauma, systematic reviews show moderate effects for EMDR and trauma-focused CBT – but not conventional CBT<sup>428</sup> – in the treatment of PTSD<sup>428,432</sup>. Meta-analytic evidence also demonstrates moderate effectiveness of trauma interventions in reducing symptoms of PTSD, depression and anxiety for displaced persons in HICs<sup>382</sup> and LMICs<sup>433</sup>. Greatest effects were found for trauma-focused CBT, particularly with extensive cultural adaptations<sup>434</sup>.

### RECOMMENDATIONS FOR ACTION

In this paper we have highlighted the social gradients in the incidence and prevalence of psychological distress and mental disorders within and between populations. This evidence consistently shows that those exposed to adverse social determinants of health – whether through poverty, discrimination, trauma or exclusion – are most likely to experience poor mental health over their lifetime, as well as downstream physical health, social and economic sequalae that can perpetuate cycles of intergenerational inequality in health and social outcomes. We have also shown how these inequalities arise through a broader set of structural processes and policies that disadvantage minoritized and marginalized individuals and communities through experiences of interpersonal, institutional and systemic discrimination. These experiences prevent equitable access to adequate education, employment, housing, social support and health care, which subsequently increase exposure to stressful life events and risk of poor mental health.

What then, can and should be done? We argue that primary prevention should be prioritized to address and remove social inequities to prevent the onset of mental disorder and lower the burden of psychiatric morbidity in the population. There are at least three compelling reasons for this case. First, equality is central to human rights<sup>435</sup>, and so efforts to reduce social inequities that affect population mental health are a matter of social justice. Second, since many psychiatric disorders exhibit such social gradients, universal, selective or indicated primary prevention strategies would not only promote more equitable mental health, but also achieve substantial gains in improving the mental health of whole populations. Finally, while recognizing the vitality of secondary and tertiary prevention in treatment, recovery and relapse prevention for people with existing mental disorders, primary prevention needs to be integrated into equitable and accessible whole-population care systems. Here, parity of investment in effective primary prevention would represent a win-win-win for individuals, populations and health care systems, both in LMIC contexts, where secondary and tertiary mental health care

services are often extremely limited, and in HIC contexts, where need for care has outstripped capacity<sup>41</sup>.

In this concluding section, we identify seven recommendations for action (see Table 2), which provide a roadmap for mental health professionals, policy makers and researchers to improve population mental health and reduce inequities in mental health problems by prioritizing intervention on social determinants.

# 1. Make social justice central to all public mental health interventions

Social justice is concerned with the fair (equitable) distribution of wealth, power, opportunities and privileges within society. No society is perfectly just. To a greater or lesser extent, different societies will have differing levels of fairness in access to the economic, social and political means that allow individuals or groups to determine and realize their preferred goals and outcomes. The equitable (fair, just) distribution of resources is closely related, but not always identical to the equal (balanced, proportionate) distribution of resources. For example, on average, older adults (of working age) tend to have higher incomes than younger adults, holding all other variables constant, as a result of accumulated knowledge and experience; income is thus surely unequally distributed by age, but we may choose not to consider this inequitable.

Accordingly, not all differences in mental health are, *per se*, inequitable. Men are more prone to develop schizophrenia than women<sup>198</sup>, potentially due to biological differences<sup>436</sup>, but this difference is likely not to be a matter for social justice. By contrast, while the elevated prevalence of depression in women may also be partly biologically determined<sup>437</sup>, there is strong evidence that it may also result from greater exposure to interpersonal violence, childhood trauma or other gendered social or psychological factors<sup>200,437</sup>, making interventions to prevent these inequitable experiences a remedial matter of social justice.

We consider that most social differences in the onset and maintenance of mental health problems arise from inequitable exposure to structural disadvantage, thus requiring the principles of social justice to be embedded at the heart of all public mental health policy efforts to prevent mental disorders. It has been argued that "the job of justice in its most pressing role demands a permanent vigilance and attention to social and economic determinants that compound and reinforce insufficiencies in a number of dimensions of well-being"<sup>5, p.78</sup>. Logically, then, this requires public mental health, and public policy more broadly, to ensure that all prevention strategies explicitly redress social, economic, political and environmental insufficiencies that both increase the risk of mental disorders and inhibit people's recovery from them. Prevention strategies and policies that embed social justice theory from their conception are most likely to be effective in reducing social inequities in mental disorders, and

in shifting the entire population distribution of risk. This approach requires careful theoretical and empirical consideration of various issues, including what sufficient conditions would look like, and which social determinants should be prioritized from the perspective of social justice. These issues will vary over time and between different contexts. For example, while poverty alleviation is a global goal likely to improve mental health universally<sup>359</sup>, it may be a more imperative matter of social justice in LMICs, where a much higher proportion of the population live in poverty.

Finally, the need for social justice applies not only to the strategies and policies to address social determinants of health, but also to the research that supports them. Our review has focused on the disproportionate body of evidence from HICs in the Global North. While we have highlighted evidence from LMICs where we have identified it, and while many determinants are likely to be similar, others may be different<sup>2</sup>. Social justice requires both accelerated investment into further high-quality research on the most effective prevention strategies for social determinants in LMICs, and strategies to counteract the inequitable reproduction of knowledge concentrated on the Global North that reviews unavoidably perpetuate.

## 2. Invest in interventions that pay off in multiple domains

Most, if not all, of the social determinants discussed in this paper are associated with adverse outcomes that extend beyond the realm of mental health. As an example, experience of childhood adversity – a risk factor strongly associated with a range of negative mental health outcomes – is also associated with a host of poor physical health<sup>103</sup>, social<sup>438</sup>, and educational/occupational<sup>439</sup> outcomes. In a second example, whole communities are often exposed to highly intersectional, cyclical patterns of social disadvantage<sup>2,138,374</sup>, meaning that successive generations of families may face limited choices in navigating social determinants of health, including socioeconomic disadvantage, social exclusion, discrimination, trauma, and hostile environments, which simultaneously contribute to poor physical health, mental health, and social outcomes<sup>41,45</sup>. However, despite substantial evidence supporting such multi-finality, progress in addressing social determinants and their associated consequences has been slow, due in part to the pervasive siloed thinking amongst researchers, practitioners and policy makers.

Greater cross-sector collaboration and more inclusive outcome measures may help advance prevention efforts, particularly where these include approaches aimed at whole populations. At present, many promising interventions that target social determinants are not assessed in terms of mental health effects, which represents a lost opportunity to learn about their potential individual-, community-, and society-level impacts<sup>440</sup>. For example, there are a

wide range of innovative approaches being implemented within the education, social care and criminal justice sectors that may be beneficial for mental health but are not currently recognized as such due to an absence of formalized measurement of mental health outcomes. One exemplar approach is that of the Uptown Hub in New York<sup>441</sup>, which provides a community-based service for youth at risk of involvement with the judicial system. The service offers a range of support to young people between the ages of 14 and 24 years, to encourage engagement and retention in work or education, recreational involvement, peer and psychological support to foster resilience, as well as other well-being activities to promote good mental and physical health. Evaluation of such programs is now required to carefully quantify and measure the range of direct and indirect outcomes that they could achieve.

In light of these considerations, we recommend that mental health be measured as a standard outcome in the evaluation of any policy, programme or intervention targeting social determinants. Although this requires additional data collection in the context of evaluations that may have quite separate aims, including mental health alongside other outcomes is becoming increasingly feasible with innovations such as computerized adaptive testing<sup>442</sup>, passive sensing technology, and administrative record linkage<sup>443</sup>. Furthermore, the value of such information would greatly enhance our understanding of which approaches are most effective for addressing social determinants, and which could facilitate real progress in improving population health in parallel with other social outcomes (e.g., crime, education, employment, welfare).

# 3. Invest in interventions that target critical windows of the life course to interrupt intergenerational transmission of mental health inequalities

Although the majority of mental disorders manifest during adolescence<sup>19</sup>, they are often rooted much earlier in development. A life course perspective can help us understand how exposure to various social determinants – that operate from before birth throughout life – affect one's chances of experiencing poor (or good) mental health<sup>444</sup>, or how it may perpetuate these outcomes through intergenerational transmission within families or communities<sup>445</sup>. By taking a life course approach, we can potentially develop effective interventions that interrupt the intergenerational transmission of accumulated adversities during critical windows of vulnerability<sup>446</sup>.

Given the importance of the prenatal period in shaping mental, physical and cognitive trajectories, providing good-quality and accessible parental and familial support early in life is essential to affect this process<sup>367</sup>. Earlier, we presented evidence of positive outcomes following early-life home visitation programs for pregnant and post-partum mothers, with benefits extending into childhood and adolescence, and huge cost-savings<sup>371,373</sup>. These

interventions are particularly effective in selective groups. Ensuring that young families also have sufficient financial support to alleviate stress and meet their needs, including adequate food and housing security, also warrants targeting direct economic interventions at selective groups during critical periods of child development. Stable, secure relationships, particularly in the early years of life, appear fundamental to buffer against life stressors, meaning that family-based interventions hold enormous potential for mental health prevention and breaking intergeneration cycles of disadvantage.

Interventions that support stable, secure and cohesive communities in the wider social environment may also help buffer children from the impact of social adversity on mental health<sup>281</sup>. For young people, educational settings are likely to be particularly relevant environments in which to implement interventions that promote life-long mental health. For example, schools can nurture socioemotional, academic and cognitive skills, which can bolster against future disadvantages (e.g., unemployment). This could lead to improved educational attainment and increased socioeconomic status to disrupt intergenerational cycles of exposure to some social adversities that increase risk of mental health problems. Further, the onset of many mental health problems occurs during the transition from adolescence to adulthood, a point at which the stakes are high for achieving socio-developmental milestones. Preventing onset in this period could have a profound impact on future social and economic trajectories<sup>447</sup>.

We have also seen how some neighbourhood environments can act as reservoirs for structural racism and discrimination that increases the likelihood of exposure to individual-level stressors<sup>448</sup>. Systemic underinvestment, disenfranchisement and lack of opportunities in such neighbourhoods restrict upward social mobility, and so these experiences – including deleterious mental health outcomes – become highly intractable, intergenerational and systemic forms of disadvantage and oppression. Effective public mental health interventions must create opportunities to break these cycles of exposure within our communities, with evidence that this may be particularly important early in life<sup>449</sup>.

# 4. Prioritize interventions that focus on poverty alleviation

Any comprehensive public health approach to reducing the burden of poor mental health must include a focus on poverty alleviation. Poverty is inextricably linked to most social determinants of mental health, and could be considered a root cause. It is incumbent on all stakeholders in the public health sphere to advocate for poverty alleviation in order to mitigate its deleterious, multi-final effects. In addition to improving population mental health, reducing poverty would make major contributions towards improving population physical health, reducing societal inequalities, and reducing barriers to social justice, so connecting with other

recommendations we outline here.

Poverty has particularly pernicious effects early in life, with consequences that stretch across the life course. Children who grow up in poverty tend to live dramatically different lives compared with those do not. This begins with their immediate environment, as children in poverty are more likely to be living in crowded and/or poor-quality housing, and to be exposed to food insecurity and pollution<sup>450</sup>. Poverty also has strong effects on their parents, as the stress of living in poverty affects parental well-being, and introduces conflicts that negatively influence parenting behaviours and the strength of the parent-child relationship<sup>450,451</sup>. Worse, poverty is strongly and consistently linked with child maltreatment and neglect<sup>451</sup>. Children living in poverty are more likely to be exposed to violence, either in their homes or in the communities where they live<sup>450</sup>.

The adversity faced by children in poverty leaves them less prepared for school, as they rate lower on numerous aspects of readiness at school entry age, including social and behavioural skills, language development, and cognitive abilities<sup>452,453</sup>. This results in a socio-developmental cascade with long-lasting impacts, as children who grow up in low-income families are less likely to achieve academically through all levels of schooling, and are more likely to leave school early, or with lower qualifications<sup>452,454</sup>. Although they are more likely to enter the labour market early, they have lower incomes throughout adulthood<sup>454</sup>. Beyond educational and economic outcomes, living in poverty also influences the social lives of those experiencing it. Low income also limits individuals' capacity to engage in social, leisure and civic activities, leaving them less able to mitigate stressful experiences via larger social networks and increased social support and capital<sup>455</sup>.

Given the numerous pathways through which poverty influences social determinants of mental health, only some of which are mentioned here, efforts to alleviate poverty should result in mental health benefits. Any public health campaign to improve population mental health that does not address poverty will be unlikely to meet its goal.

# 5. Strengthen causal inference in research on social determinants of mental health and primary prevention

We have sought to identify the strongest evidence regarding those social factors that contribute most substantially to population-level mental health and disorder, and identify which public health interventions are most likely to prevent adverse mental health. While high-quality RCT and/or longitudinal evidence is available in some domains, there is still much to learn about the causal pathways between social determinants and mental health.

One common and emergent theme in our review is the extent to which these associations arise from non-causal mechanisms such as genetic selection or unobserved confounding.

Effective prevention strategies that target social determinants will only improve population mental health if those determinants induce a change in the outcome under study (i.e., they have a causal effect on the outcome). Proponents of biological determinism argue that nearly all socially-constructed "exposures" result from the selection of people with greater genetic vulnerabilities to mental disorders into more adverse social environments<sup>456</sup>. Thus, under this paradigm, social adversities are - like mental ill health - seen as just another consequence of genetic influences. However, while genetic selection may contribute to social patterns of disease occurrence<sup>457</sup>, neither genetic nor environmental factors alone will be sufficient or necessary in the aetiology of mental disorders. More research is required to understand the myriad of causal sets that lead to psychiatric disorder, and their relative impacts at the population level. Here, we propose that modern causal inference methods<sup>458</sup> should become de rigueur when using observational data to investigate the social determinants of mental health. Further, these methods are only as strong as the underlying measures, samples and assumptions upon which they are predicated, so accelerating the use of longitudinal, wellcharacterized and epidemiological representative samples - and synthesizing expertise and data from across academia, psychiatry and industry – should be a priority to make substantial progress in identifying the social causes on which to intervene.

Our review also raises the need to avoid social reductionism. Many social factors – operating from proximal to distal ranges – are likely to contribute to cyclical disadvantage, structural discrimination and mental health. We may worry less about which specific cause (e.g., which type of abuse or neglect, which domain of deprivation or inequality) is *the* determinant of risk, but rather focus on identifying the causal structure through which risk manifests itself, and across which holistic interventions are required. Adopting a causal architecture framework<sup>459</sup> and grounding our research in theoretical models of causation would accelerate understanding of how, where and when to intervene effectively.

Finally, many systematic reviews of interventions in this paper were caveated by observations around low quality, small samples and heterogeneous methodologies, while very few RCTs of complex social interventions have been attempted. Arguably, the funding landscape around these issues needs transformation. Many small, low-quality observational studies hamper the synthesis of reliable evidence on what works for whom<sup>460</sup>. Larger, ambitious, interdisciplinary and multisectoral collaborations that attempt to tackle a big idea through the triangulation of high-quality evidence, including experimental paradigms – although more difficult, costly and risky – could help transform our understanding of primary prevention strategies that improve population health across multiple domains.

## 6. Establish inclusive longitudinal population mental health monitoring

Psychiatry has a long-held fascination with the determinants of mental health across disorders and dimensions that still rely upon phenomenological interpretation. This is true for both clinical psychiatry and psychiatric epidemiology. But the cornerstone of both approaches is the need to count. Accurately monitoring the incidence and prevalence of mental disorders, as well as the distribution of underlying symptomatology, in the population over time, serves at least two crucial purposes. First, it establishes the basic need for clinical treatment in a population, upon which appropriate resourcing can be set for secondary and tertiary prevention. Second, it allows empirical quantification of the potential gains in population mental health that could be achieved through the effective deployment of universal, selective and indicated primary prevention strategies.

Many countries struggle with basic monitoring of the burden of psychiatric morbidities in their populations<sup>461</sup>, inhibiting both clinical and public mental health provision. In LMIC settings, the reasons for this may be self-evident, since limited resources may mean political prioritization of other vital issues. Recent reviews have highlighted the evidence gap in incidence and prevalence estimates of psychiatric disorders between HIC and LMIC settings<sup>462</sup>. In HIC settings, the lack of routine data on psychiatric morbidity in the population is sometimes surprising. In England, for example, while the National Health System collects routine mental health service contact data for planning purposes, it is difficult to obtain reliable estimates of incidence and prevalence from help-seeking samples that often lack validated assessment data about psychopathology. Even in countries with well-established disease registries, such as Denmark, Sweden or Finland, incidence is based on contact with secondary mental health care services, and may therefore be less useful for some psychiatric conditions including depression and anxiety. Prevalence estimates from survey data, while more population-based, are often drawn from smaller samples, which limits inferences that can be made about psychiatric morbidity in different subgroups. Finally, all methods of population mental health monitoring will suffer to a greater or lesser extent from unrepresentative sampling, whether due to biases in case detection or help-seeking.

In order to respond effectively across primary, secondary and tertiary levels of prevention, modern paradigms for reliable, inclusive and precise longitudinal monitoring of population mental health at scale are needed. In the context of social determinants, it is particularly vital that these include representative and well-powered samples from socially disadvantaged and minoritized backgrounds. In many contexts this could be achieved by better routine recording of mental health data and the use of harmonized data management platforms that harness technological advances in data security and linkage with clinical and population health data, of which some examples already exist<sup>463</sup>.

### 7. Ensure parity between primary, secondary and tertiary prevention in mental health

The need for primary prevention in mental health should be examined closely by policy makers worldwide. The advantages of this prevention are evident in terms of improving quality of life, social functioning and workforce participation, and reducing suicides. Such approaches have been outlined in this paper, and encompass creating environments where people (particularly members of marginalized groups) know where to access early support after an adverse life event or when facing chronic difficulties, have opportunities for social connectedness, and are supported to function optimally in their work, family and social roles. As an overarching principle, it is also important to address the reduced uptake of interventions among socially disadvantaged groups<sup>464</sup>. Beyond this, the ultimate societal ambition is to achieve primordial prevention, i.e. to prevent the emergence of risk factors for mental disorders and suicidality. Responsibility for this lies outside the remit of public health, and relies on societal systems that engender the socioeconomic and cultural conditions that promote mental health and well-being in a population.

There are also strong reasons why investment in primary prevention of mental ill health should have parity with that in secondary and tertiary prevention. The social determinants we have outlined above generally contribute to the onset, severity and prognosis of mental disorders. Therefore, any efforts to arrest the progression of mental disorders (implemented as secondary and tertiary prevention) will falter where the conditions needed for primary prevention do not exist.

## CONCLUSIONS

In this review, we have highlighted the major social determinants that generate and sustain intergeneration inequalities in risk and maintenance of mental health problems and disorders. Although stronger causal evidence is required for some determinants, we have shown that a variety of primary prevention strategies to alleviate social inequalities, which often have their origins in early life, can be effective in reducing the population burden of potentially life-long mental health problems that will typically emerge in adolescence.

Various forms of discrimination and minoritization, including structural racism, are likely to exacerbate intergenerational social inequalities in mental health. We have outlined seven recommendations aligned around social justice that policy makers, practitioners and clinicians are invited to adopt to advance efforts to intervene on modifiable social determinants that place populations in peril of poor mental health.

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**Table 1** World Health Organization's classification of preventive approaches for mental disorders (adapted from Fusar-Poli et al<sup>312</sup>)

### **Public health framework**

### **US Institute of Medicine**

**Primary prevention** aims at preventing the new onset (incidence) of one or more mental disorders, or of suicidal ideation.

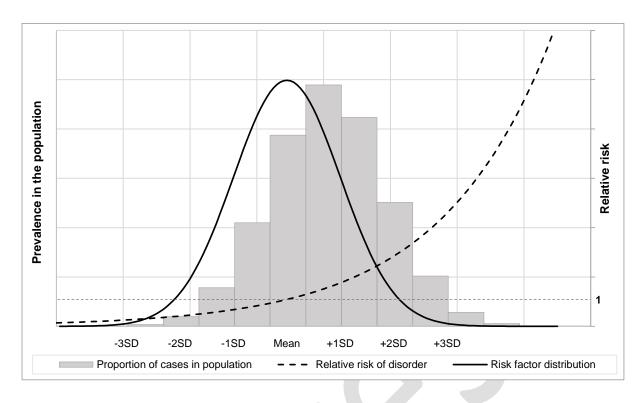
**Universal prevention** targets the general public, or a whole population that has not been identified on the basis of increased risk.

**Selective prevention** targets individuals or subgroups of the population whose risk of developing a mental disorder is significantly higher than average, as evidenced by biological, psychological or social risk factors.

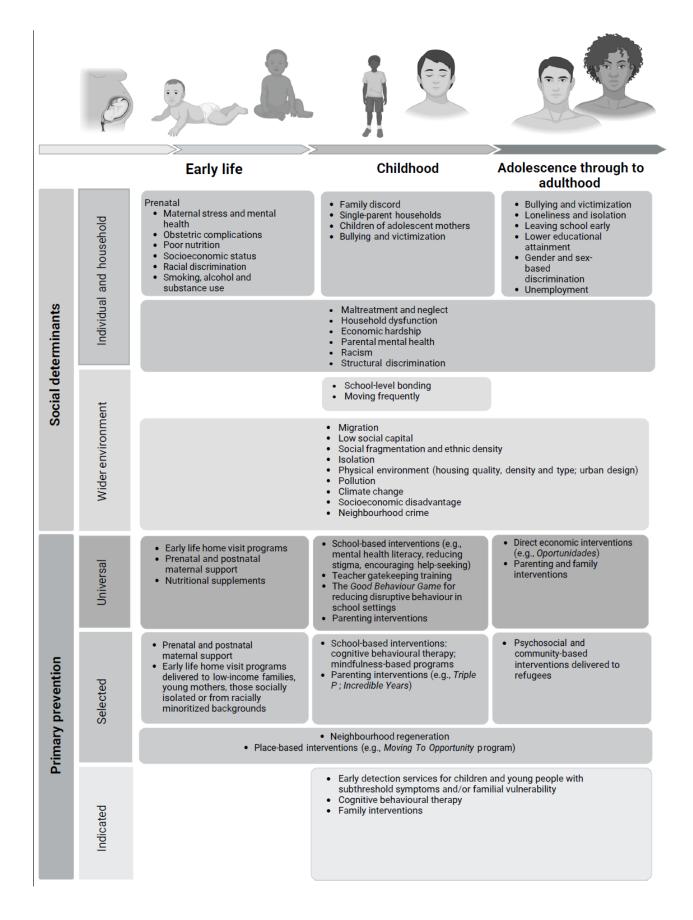
Indicated prevention targets high-risk people who are identified as having minimal but detectable signs or symptoms foreshadowing mental disorder, or biological markers indicating predisposition for mental disorders, but who do not meet diagnostic criteria for disorder at that time.

**Secondary prevention** aims to lower the prevalence of established cases of the disorder or illness in the population (prevalence) through early identification and treatment of diagnosable diseases.

**Tertiary prevention** includes interventions that reduce disability, enhance rehabilitation and prevent relapses or recurrences of the illness.



**Figure 1** Hypothetical relationship between a normally distributed risk factor, relative risk of mental disorder and the proportion of cases in the general population. A risk factor for mental disorder is normally distributed in the population with a hypothetical mean and standard deviation, SD (bell curve indicated by solid black line). That risk factor is associated with a hypothetical relative risk of mental disorder, indicated by the dashed black exponential curve. For convenience, we set the relative risk (RR) to be 1 (grey dashed horizontal line) at the mean level of exposure to that risk factor. The hypothetical proportion of cases that arise in the population are indicated by the grey bars. Under these assumptions, most cases of disorder in the population will occur for those only exposed to moderate levels of the risk factor (from the mean to +2 SD above the mean). Fewer cases will be generated by the small proportion of the population at +3 SD above the mean, even though they are at substantially greater relative risk. Thus, following G. Rose's argument<sup>309</sup>, more cases of disorder in a population may be prevented by intervening at lower levels of exposure in the general population than by targeting high-risk groups. This hypothetical argument has been confirmed in psychiatry (see, for example, Polek et al<sup>315</sup>).



**Figure 2** Summary of the social determinants of mental health and disorder and of the main primary prevention strategies

**Table 2** Overview of recommendations for action to intervene on social determinants to improve population mental health and reduce inequities in mental health problems

- 1. Make social justice central to all public mental health interventions. Mental health problems are inequitably distributed between and within populations, principally arising from systemic structural inequalities. Making social justice core to all public mental health interventions and policies would reduce these inequities.
- 2. Invest in interventions that pay off in multiple domains. Few social determinants solely affect mental health. Investing in interventions that target key social determinants will improve physical, mental and social outcomes for individuals and communities. Intervention programs should routinely measure mental health alongside these other outcomes.
- 3. Invest in interventions that target critical windows of the life course to interrupt intergenerational transmission of mental health inequalities. Providing good-quality and accessible parental and familial support early in life can interrupt the intergenerational transmission of mental health inequalities within families or communities.
- **4. Prioritize interventions that focus on poverty alleviation.** Any comprehensive public health approach to reduce the burden of poor mental health must include efforts to reduce poverty. Poverty is inextricably linked to most social determinants of mental health, and could be considered a root cause.
- 5. Strengthen causal inference in research on social determinants of mental health and primary prevention. Most research on social determinants of mental health is observational, often subject to selection and confounding bias. Stronger causal inference methods are needed, as well as larger, interdisciplinary observational and experimental studies in representative and adequately powered studies to accelerate progress of knowledge and develop effective primary interventions.
- 6. Establish inclusive longitudinal population mental health monitoring. Many countries struggle to accurately estimate psychiatric morbidity in their populations, inhibiting both clinical and public mental health provision. Samples are often unrepresentative. Reliable, inclusive and precise longitudinal monitoring of population mental health is the essential basis for effective prevention.
- 7. Ensure parity between primary, secondary and tertiary prevention in mental health. Investing sufficiently in primary prevention to stop the onset of mental disorders prevents suffering, improves quality of life and societal outcomes, and reduces demand for secondary and tertiary prevention.