

# **Geophysical Research Letters**<sup>\*</sup>

## **RESEARCH LETTER**

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Gordon N. Inglis and Rehemat Bhatia contributed equally to this work.

#### **Key Points:**

- Long-term (~4°C) decline in North Atlantic sea surface temperatures (SSTs) between the early (~53–49 Ma) and middle (~44–41 Ma) Eocene
- This indicates that CO<sub>2</sub> was likely responsible for the onset of long-term Eocene cooling
- However, east-west temperature gradients in the North Atlantic are decoupled, possibly due to additional non-CO<sub>2</sub> forcing mechanisms

#### Supporting Information:

Supporting Information may be found in the online version of this article.

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# Surface Ocean Cooling in the Eocene North Atlantic Coincides With Declining Atmospheric CO<sub>2</sub>

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**Abstract** The Eocene (56–34 million years ago) is characterized by declining sea surface temperatures (SSTs) in the low latitudes (~4°C) and high southern latitudes (~8–11°C), in accord with decreasing CO<sub>2</sub> estimates. However, in the mid-to-high northern latitudes there is no evidence for surface water cooling, suggesting thermal decoupling between northern and southern hemispheres and additional non-CO<sub>2</sub> controls. To explore this further, we present a multi-proxy (Mg/Ca,  $\delta^{18}$ O, TEX<sub>86</sub>) SST record from Bass River in the western North Atlantic. Our compiled multi-proxy SST record confirms a net decline in SSTs (~4°C) between the early Eocene Climatic Optimum (53.3–49.1 Ma) and mid-Eocene (~44–41 Ma), supporting declining atmospheric CO<sub>2</sub> as the primary mechanism of Eocene cooling. However, from the mid-Eocene onwards, eastwest North Atlantic temperature gradients exhibit different trends, which we attribute to incursion of warmer waters into the eastern North Atlantic and inception of Northern Component Water across the early-middle Eocene transition.

**Plain Language Summary** Over the past 541 million years, the Earth has oscillated between warm (greenhouse) and cold (icehouse) climates. The most recent transition between a greenhouse and icehouse climate state occurred during the Eocene (56–34 million years ago). This transition shows a gradual cooling, previously suggested to be driven by a decline in atmospheric carbon dioxide ( $CO_2$ ). However, we know little about this transition in the North Atlantic Ocean. Previous studies show limited cooling of surface waters in this region. This suggests that changes in North Atlantic temperatures are not driven by  $CO_2$ . To understand how sea surface temperature changes in the western North Atlantic, we analyzed the chemistry of microscopic marine fossils in sediments. Our results show a 4°C decline in temperature from the early (~53 Ma) to the middle Eocene (~42 Ma). This matches computer simulations of Eocene climate and confirms  $CO_2$  was responsible for the transition. The lack of cooling observed in previous work is probably due to the development of an ancient water mass known as Northern Component Water (observed today as North Atlantic Deep Water) and changes in how the Eocene ocean transported heat.

## 1. Introduction

The early Eocene Climatic Optimum (EECO; 53.3 to 49.1 million years ago; Ma) (Hollis et al., 2019; Zachos et al., 2001) is characterized by a long-term maximum in atmospheric  $CO_2$  (~1,470 ppm) (Anagnostou et al., 2020), followed by a gradual decline in atmospheric  $CO_2$  during the middle Eocene (47.8–38.0 Ma) to ~800 ppm (Anagnostou et al., 2020). This is consistent with declining SSTs in the tropics (ca. 4°C) (Cramwinckel et al., 2018; Evans et al., 2018) and the mid-to-high southern latitudes (ca. 8–11°C; Bijl et al., 2009; Hollis et al., 2009, 2012). However, SST estimates from the eastern North Atlantic suggest relatively muted surface water cooling (~1°C) between the EECO and middle Eocene (~40 Ma) (Bornemann et al., 2016). Temperature asymmetry between the northern and southern hemisphere would not be expected from a long-term decline in atmospheric  $CO_2$  alone (Liu et al., 2018) and suggests that other non- $CO_2$  driving mechanisms (e.g., gateway reorganization and/or changes in ocean circulation) may influence regional SST patterns.

Of particular relevance is the growing evidence for Northern Component Water (NCW) initiation in the North Atlantic during the early-middle Eocene (~49 and 47 Ma) (Boyle et al., 2017; Hohbein et al., 2012; Norris et al., 2001). The onset of NCW has been attributed to gateway reorganisation, specifically deepening of the



Methodology: Gordon N. Inglis, Rehemat Bhatia, David Evans, Wolfgang Müller, David Mattey Project Administration: Gordon N. Inglis, Rehemat Bhatia Software: Jiang Zhu, Richard G. Stockey Supervision: David J. R. Thornalley, Bridget S. Wade Visualization: Gordon N. Inglis, Rehemat Bhatia Writing - original draft: Gordon N. Inglis, Rehemat Bhatia, David Evans, Bridget S. Wade Writing - review & editing: Gordon N. Inglis, Rehemat Bhatia, David Evans, Jiang Zhu, Wolfgang Müller, David Mattey, David J. R. Thornalley, Richard G. Stockey, Bridget S. Wade

Greenland-Scotland Ridge (GSR) (Boyle et al., 2017; Hohbein et al., 2012; Vahlenkamp et al., 2018), although other mechanisms have been proposed such as isolation of the Arctic Ocean (Z. Zhang et al., 2011) or restriction of the Tethys Ocean (Roberts et al., 2009). The onset of NCW is followed by a period of weaker overturning (~42–38 Ma) (Witkowski et al., 2021), before re-invigoration of NCW during the late Eocene (~38 Ma) (Coxall et al., 2018) or Eocene-Oligocene transition (EOT; ~34 Ma) (Hutchinson et al., 2019). The establishment of NCW can transport additional heat into the eastern North Atlantic (Vahlenkamp et al., 2018), potentially muting any long-term cooling trend in this region and has been invoked to explain stable temperatures in the eastern North Atlantic during the middle Eocene (Bornemann et al., 2016). However, our understanding of long-term North Atlantic temperature change is based on a single proxy record (planktonic foraminiferal  $\delta^{18}$ O) from a single site (DSDP Site 401; Bornemann et al., 2016) and may not be regionally representative.

To test whether the wider North Atlantic region exhibits stable temperatures during the Eocene, we use a multi-proxy approach ( $\delta^{18}$ O, Mg/Ca, TEX<sub>86</sub>) to reconstruct SST in the western North Atlantic (Bass River; ODP Leg 174AX; ~36°N paleolatitude) during the early-to-middle Eocene (53.7–42.0 Ma). We compare our new data set with climate model simulations spanning a wide range of CO<sub>2</sub> values to explore (a) temporal and spatial patterns of cooling in the North Atlantic during the Eocene and (b) whether there is thermal decoupling between the northern and southern hemisphere during the Eocene. This allows us to test whether declining CO<sub>2</sub> is the primary driver of long-term Eocene cooling or whether regional forcing mechanisms are also important.

#### 2. Methods

#### 2.1. Site Description

The Bass River section (ODP Leg 174AX; 39°36'N, 74°26'W) consists of calcareous marls and glauconitic silty clays deposited in middle to outer neritic paleodepths between 30 and 150 m (Fung et al., 2019; Miller et al., 1998, 2003). The biostratigraphic age model was developed using planktonic foraminifera and nannofossils (following Fung et al., 2019) with datums converted to the GTS2012 (Vandenberghe et al., 2012). Sediments span the early to middle Eocene (53.7–42.0 Ma) and encompass the EECO (~340–291 m). However, there are a series of hiatuses between ~49 and 44 Ma.

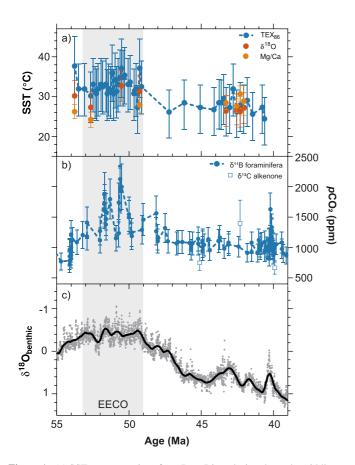
#### 2.2. Analytical Methods

Lipid biomarker analysis was performed on 47 sediment samples. Approximately 5-10 g of sediment was extracted with an Ethos Ex microwave extraction system using 15 ml of dichloromethane (DCM) and methanol (MeOH) (9:1, v/v). The total lipid extract was separated over silica into apolar and polar fractions using hexane:dichloromethane (9:1, v/v) and dichloromethane:methanol (1:2, v/v), respectively. The polar fraction (containing isoGDGTs) was dissolved in hexane: isopropanol (99:1, v/v), passed through 0.45  $\mu$ m PTFE filters and analyzed by HPLC/APCI-MS following Hopmans et al. (2016). Trace element and stable oxygen isotope ( $\delta^{18}$ O) planktonic for a multiple depth intervals (n = 8) spanning the early-to-middle Eocene. Foraminiferal preservation is excellent, appearing transparent or translucent under the light microscope, with no signs of diagenetic alteration observed under SEM (Figure S1 and Table S1 in Supporting Information S1). Analysis was performed on various surface-dwelling species (Acarinina praetopilensis, Morozovella formosa, Morozovelloides crassatus, and Pseudohastigerina wilcoxensis) and deeper, thermocline-dwelling species (Parasubbotina hagni, Parasubbotina inaequispira). Single-specimen Mg/Ca analysis was performed via slow depth-profiling by laser ablation-inductively coupled plasma mass spectrometry (LA-ICPMS) (see Müller et al., 2009; Table S2 in Supporting Information S1). Mg/Ca values were determined in multiple chambers  $(\sim 3-5)$  within a single specimen and averaged. The same specimens were subsequently analyzed for  $\delta^{18}$ O using a Multiprep-Isoprime 100 dual inlet system optimized for analysis of single specimens (Supporting Information S1).

#### 2.3. Temperature Calibrations

TEX<sub>86</sub> data was screened using established indices for non-Thaumarchaeota inputs (Figure S5 in Supporting Information S1) and converted to SST using a Bayesian linear calibration (prior mean = 25, prior standard deviation = 10, n = 2,000) (Tierney & Tingley, 2014). Planktonic foraminiferal  $\delta^{18}$ O values were converted to SST using the bayfox Bayesian calibration (prior mean = 25, prior standard deviation = 20, n = 2,000). Seawater





**Figure 1.** (a) SST reconstructions from Bass River during the early-middle Eocene inferred via TEX<sub>86</sub> (blue), planktonic foraminifera  $\delta^{18}$ O (dark orange) and Mg/Ca (light orange). Error bars represent the 95% confidence intervals. (b) Atmospheric CO<sub>2</sub> reconstructions inferred via planktonic foraminifera  $\delta^{11}$ B (blue circles) and alkenone  $\delta^{13}$ C (blue squares). Error bars represent ±1 standard deviation (Rae et al., 2021). (c) benthic foraminifera  $\delta^{18}$ O values (Westerhold et al., 2020).

 $\delta^{18}O(\delta^{18}O_{sw})$  values were obtained via the isotope-enabled Community Earth System Model version 1.2 (iCESM1.2; see below). Mg/Ca values were converted into SST using a modified version of MgCaRB (Gray and Evans, 2019) (Supporting Information S1). We report pH-corrected Mg/Ca temperatures as the majority of modern foraminifer species are characterized by Mg/Ca-pH sensitivity (Gray and Evans, 2019). Planktonic foraminifera were rare and thus for Mg/Ca and  $\delta^{18}$ O, we report the "average" SST estimates for a given time slice (n = 8 for  $\delta^{18}$ O, n = 7 for Mg/Ca) by combining (a) multiple-specimens from multiple size fractions and (b) all surface-dwelling species within multiple genera (i.e., Acarinina praetopilensis, Acarinina pseudotopilensis, Morozovella formosa, Morozovelloides crassatus, Pseudohastigerina wilcoxensis) into a single estimate, following DeepMIP protocols (Hollis et al., 2019; Supporting Information S1). Average "SST" estimates comprise a minimum of two samples from a single depth horizon (see Data S4 and S5 in Supporting Information S1). When SSTs are calculated using individual species (Figure S2 in Supporting Information S1) and size segregating species (Figures S2 and S3 in Supporting Information S1), similar patterns in long-term trends are observed.

#### 2.4. Climate Model Simulations

We use the water isotope-enabled Community Earth System Model version 1.2 (iCESM1.2) (Zhu et al., 2019, 2020) to compare with our proxy reconstruction and to provide an independent estimate of  $\delta^{18}O_{sw}$ . iCESM1.2 is able to closely replicate large-scale features of early Eocene climate, including: (a) enhanced global mean surface temperature estimates (Lunt et al., 2021; Zhu et al., 2019), (b) reduced meridional temperature gradients (Lunt et al., 2021), (c) changes in the hydrological cycle (Cramwinckel et al., 2023), and (d) the values and distribution of planktonic foraminifera  $\delta^{18}$ O values (Zhu et al., 2020). It is also the only DeepMIP model that has water isotopes enabled (Zhu et al., 2020). The iCESM1.2 simulations were performed following the Deep-time Model Intercomparison Project protocols (Lunt et al., 2017) with early Eocene paleogeography and vegetation (56.0–47.8 Ma) (Herold et al., 2014) and atmospheric CO<sub>2</sub> levels of 1×, 3×, 6×, and 9× preindustrial values (284.7 ppmv). Seawater  $\delta^{18}$ O in the simulations was initialized from

a constant value of -1.0% to account for the absence of ice sheets in a hothouse climate (Hollis et al., 2019; Shackleton & Kennett, 1975). Previous studies at Bass River have suggested sea level changes through the middle Eocene on the order of 20–30 m, that have been attributed to changes in Antarctic ice volume (Fung et al., 2019). We do not adjust  $\delta^{18}O_{sw}$  in this study for middle Eocene ice volume fluctuations, as the timing and magnitude of these ephemeral glaciations are currently poorly constrained and our planktonic  $\delta^{18}O$  data from Bass River are from intervals where water depth was greatest (i.e., ice volume was minimal). Our model results indicate only minor changes in  $\delta^{18}O_{sw}$  at the Bass River location through the early-middle Eocene (~0.2‰ change between 1× and 9× CO<sub>2</sub> simulations using iCESM1.2; Table S3 in Supporting Information S1). As such, we use the average  $\delta^{18}O_{sw}$  value (-0.54‰) to calculate planktonic foraminiferal  $\delta^{18}O$ -derived SST estimates. See Zhu et al. (2019, 2020) and Y. Zhang et al. (2022) for further details of the experimental setup and equilibration state.

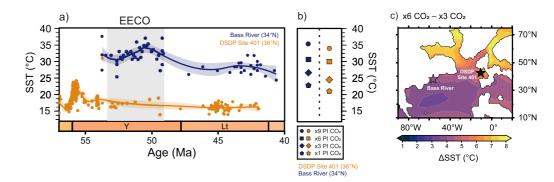
### 3. Results

During the EECO (53.3–49.1 Ma), TEX<sub>86</sub> SST estimates average  $\sim$ 33°C (Figure 1a). Between the EECO and the middle Eocene (44–41 Ma), TEX<sub>86</sub> SST estimates decline by  $\sim$ 5°C (Figure 1a). Oxygen isotope SST estimates during the EECO from surface-dwelling planktonic foraminifera average  $\sim$ 32°C (Figure 1a). Surface-dwelling species yield higher temperatures (up to  $\sim$ 5°C higher) than thermocline-dwelling species but exhibit a similar magnitude of cooling ( $\sim$ 4°C) between the EECO and the middle Eocene (44–41 Ma). During the early Eocene, Mg/Ca SST estimates (calculated using the *G. ruber* calibration) average  $\sim$ 27°C (Figure 1a). These values are

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**Figure 2.** Divergent zonal temperature gradients in the North Atlantic during the early-to-middle Eocene. (a) proxy-derived sea surface temperature (SST) reconstructions for Bass River (*this study*; blue symbols) and DSDP Site 401 ( $\delta^{18}$ O only; orange symbols) (Bornemann et al., 2016) fitted with a LOESS regression.  $\delta^{18}$ O values from DSDP Site 401 re-calculated for surface-dwelling foraminiferal genera (*Acarinina and Morozovella* spp.) using the bayfox Bayesian calibration ( $\delta^{18}O_{sw} = -0.81$ , prior mean = 25, prior standard deviation = 20, n = 2,000).  $\delta^{18}O_{sw}$  values obtained via iCESM1.2 (Table S3 in Supporting Information S1). Error bars represent the 95% confidence intervals. (b) iCESM1.2-derived SST estimates for Bass River (blue symbols) and DSDP Site 401 (orange symbols) under different CO<sub>2</sub> concentrations, (c) iCESM1.2-derived  $\Delta$ SST estimates (x6 PI CO<sub>2</sub> - x3 PI CO<sub>2</sub>) with proxy-derived cooling between the early- to middle Eocene shown for each site.

lower than  $\delta^{18}$ O and TEX<sub>86</sub> SST estimates by ~5°C and ~6°C, respectively; Figure 1a but agree within the propagated calibration uncertainties. Mg/Ca SST estimates increase by ~3°C between the EECO and middle Eocene (44–41 Ma; Figure 1a). However, the absolute values (~30°C) are comparable to middle Eocene-aged TEX<sub>86</sub> and  $\delta^{18}$ O SST estimates (28°C and 29°C, respectively) and agree within the propagated calibration uncertainties.

#### 4. Discussion

#### 4.1. Long-Term Cooling in the Western North Atlantic During the Eocene

The use of multiple proxies provides more robust long-term temperature records than a single proxy. The consistency between Mg/Ca, oxygen isotopes and TEX<sub>86</sub> values in the EECO and late Eocene is encouraging and indicates that each proxy is recording the same environmental signal (i.e., SST). TEX<sub>86</sub> and  $\delta^{18}$ O values indicate very high SSTs at Bass River during the EECO (~32–33°C). These values are in agreement with existing low-resolution TEX<sub>86</sub> estimates generated at Bass River (de Bar et al., 2019) and nearby South Dover Bridge (~34°C; Inglis et al., 2015). Mg/Ca SST estimated are also relatively high (~27°C; Figure 1) but are lower than TEX<sub>86</sub> and  $\delta^{18}$ O-derived SST estimates by ~5–6°C. Between the EECO and middle Eocene (44–41 Ma), TEX<sub>86</sub> and  $\delta^{18}$ O values indicate gradual surface water cooling (5 and 4°C, respectively; Figure 1a), coherent with declining TEX<sub>86</sub> SSTs (~7°C) at South Dover Bridge between the EECO and middle Eocene (~42 Ma). Evidence of cooling in two independent proxies (TEX<sub>86</sub>,  $\delta^{18}$ O) and locations provides the first compelling evidence for surface ocean cooling in the (western) North Atlantic between the early and middle Eocene, which is in parallel with the inferred deep-ocean cooling in benthic foraminifera  $\delta^{18}$ O record (Figure 1b; Westerhold et al., 2020).

In contrast, our new Mg/Ca SSTs increase by ~3°C between the EECO and middle Eocene. Although middle Eocene (44–41 Ma) SST estimates are in excellent agreement with TEX<sub>86</sub> and  $\delta^{18}$ O values (Figure 1) and alkenone-derived SST estimates (~29–30°C; Liu et al., 2018) from nearby site IODP Site 1404, the temporal trends are inconsistent with regional observations (*this paper*) (de Bar et al., 2019; Inglis et al., 2015) and declining global bottom water temperature estimates inferred via changes in benthic foraminiferal  $\delta^{18}$ O values (Figure 1b) (Westerhold et al., 2020). To explore this mismatch further, we compared our proxy-derived temperature estimates (TEX<sub>86</sub>, Mg/Ca,  $\delta^{18}$ O) from the EECO (53.3–49.1 Ma; Hollis et al., 2019) and middle Eocene (44–41 Ma) alongside iCESM1.2 simulations with different CO<sub>2</sub> scenarios (1× to 9× pre-industrial CO<sub>2</sub>) (Figure S6 in Supporting Information S1). These two intervals are chosen as they contain SST estimates from multiple proxies (Mg/Ca,  $\delta^{18}$ O, and TEX<sub>86</sub>) and exhibit a similar sampling density. iCESM1.2 simulated SSTs at the Bass River are 31°C and 27°C in the 6× and 3× PI CO<sub>2</sub> simulations, respectively (Figure 2b), which overlaps with proxy reconstructions (Figure 2a; Figure S6 in Supporting Information S1). For a two-fold decrease in atmospheric CO<sub>2</sub> (i.e., from 6× to 3× PI CO<sub>2</sub>), the model predicted decrease in SST of ~4°C is comparable to

the magnitude of cooling captured by TEX<sub>86</sub> and  $\delta^{18}$ O (5 and 4°C, respectively; Figure S6 in Supporting Information S1) between the EECO and middle Eocene, but is inconsistent with warming observed in Mg/Ca values. Given that proxy-derived CO<sub>2</sub> estimates decline from ~1,470 ppm (~5× PI CO<sub>2</sub>) to ~800 ppm (~3× PI CO<sub>2</sub>) during this interval (Anagnostou et al., 2020), this implies additional non-thermal controls on Mg/Ca values at this site.

The choice of Mg/Ca calibration remains uncertain when working with extinct species. However, the discrepancy between Mg/Ca-derived SSTs and other proxy data is insensitive to the choice of Mg/Ca calibration approach (Supporting Information S1). This is because seawater pH was substantially lower than modern throughout the Eocene (Anagnostou et al., 2020), such that choosing a G. ruber or T. sacculifer-like calibration has a minor effect on the long-term Mg/Ca-derived trend in our data set (Figure S3 in Supporting Information S1). Seawater Mg/Ca is also well-constrained for the Eocene (Evans et al., 2018; Gothmann et al., 2015) and is broadly invariant across this interval, such that it is very unlikely that unidentified changes mask cooling. Given that this site was targeted for its exceptional foraminiferal preservation and diverse assemblages (Figure S1 in Supporting Information S1), this potentially points toward either an evolutionary control on Eocene planktonic foraminifera Mg incorporation, or a shift in seawater carbonate chemistry at this site that substantially differs from the existing pH records (Anagnostou et al., 2020; Rae et al., 2021; see Supporting Information S1 for more discussion). Resolving this issue and exploring any other additional controls (e.g., local hydrographic variability; cf. Thornalley et al., 2011) will require further data and is beyond the scope of this study. We continue to include the Mg/Ca SST estimates in our assessment of the thermal evolution of Bass River (Figure 2a) and note that mismatches in  $\delta^{18}$ O and Mg/ Ca derived SSTs are not unique to deep-time species. Furthermore, this discrepancy in inorganic geochemical temperature reconstructions may ultimately stem from a small number of Mg/Ca analyses in the early Eocene, highlighting the benefit of working with a larger numbers of specimens, where possible.

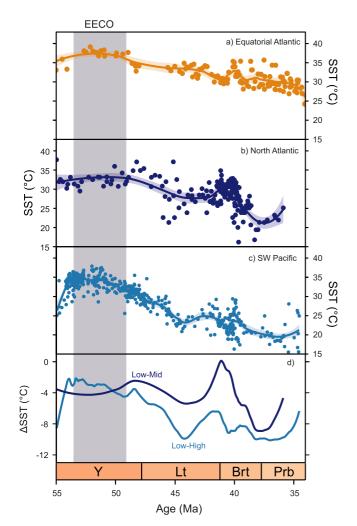
#### 4.2. Divergent Zonal Temperature Gradients in the North Atlantic During the Early-To-Middle Eocene

To determine the long-term mean SST evolution at Bass River, we fit LOESS regressions to our multi-proxy data set (TEX<sub>86</sub>, Mg/Ca,  $\delta^{18}$ O) (Supporting Information S1). This approach indicates net cooling (~4°C) in the western North Atlantic between the EECO and middle Eocene (Figure 2a). Our data from the western North Atlantic contrasts with existing planktonic foraminifera  $\delta^{18}$ O-derived SST estimates from the eastern North Atlantic (~37°N; DSDP Site 401; Bornemann et al., 2016) that indicate minimal (<1°C) or no cooling between the EECO and late middle Eocene (ca. 42–40 Ma) (Figure 2a). CESM1.2 model simulations show that the magnitude of cooling at Bass River inferred via proxies is consistent with a halving of CO<sub>2</sub> (Figure 2c) but that the magnitude of proxy-inferred cooling at DSDP Site 401 is much lower than expected (Figures 2b and 2c). The east-west zonal mean temperature gradient inferred via proxy estimates (~15–20°C) is also larger than inferred via model simulations (~3°C; Figure 2b). As the model simulations are identical with the exception of changes in CO<sub>2</sub>, this implies that non-CO<sub>2</sub> controls influence SSTs in the eastern North Atlantic (DSDP Site 401; Bornemann et al., 2016) during the Eocene.

Planktonic foraminifera at Bass River exhibit excellent preservation (Supporting Information S1) and tests are translucent and "glassy" (Figure S1 in Supporting Information S1) whereas Hollis et al. (2019) classified post-PETM planktonic foraminifera at DSDP Site 401 as "recrystallized." However, post-PETM foraminifera at DSDP Site 401 exhibit good preservation (Bornemann et al., 2016) and show limited evidence for recrystallization. If planktonic foraminifera had been subject to significant post-depositional alteration, they would be "reset" toward deep-sea temperatures and would track changes in benthic foraminiferal  $\delta^{18}$ O values (Pearson et al., 2007). However, planktonic foraminiferal  $\delta^{18}$ O values at DSDP Site 401 do not co-vary with benthic  $\delta^{18}$ O values, either at this site (Bornemann et al., 2016) or elsewhere (Westerhold et al., 2020). Therefore, this is unlikely to explain the observed trends (Figure 2a). However, additional SST records from the North Atlantic are required to explore regional variations further.

Alternatively, changes in ocean circulation could have modulated regional temperature patterns in the eastern North Atlantic during the middle-to-late Eocene, specifically the onset of NCW formation. None of the Deep-MIP models (including CESM1.2) show deep overturning circulation (>2,000 m) in the North Atlantic during the early Eocene (Y. Zhang et al., 2022), consistent with proxy evidence (e.g., benthic foraminifera  $\delta^{13}$ C and fish teeth  $\epsilon_{Nd}$  values; see Y. Zhang et al. (2022)). Instead, most of the DeepMIP models (and CESM simulations with 1× to 3× CO<sub>2</sub>) suggests that deep water formation is likely to form in the Southern Ocean, which also broadly agrees with proxy-based evidence from the early Eocene (Y. Zhang et al., 2022). CESM does simulate a North Atlantic deep/intermediate water formation at  $1 \times PI CO_2$ , suggesting that NCW formation represents a delicate balance between multiple factors such as global or regional cooling, widening of the Atlantic basin, closure of the Arctic-Atlantic gateway (Hutchinson et al., 2019) and/or deepening/opening of the GSR (Straume et al., 2022; Vahlenkamp et al., 2018). The lack of deep water formation in iCESM1.2 at high CO<sub>2</sub> concentrations (i.e.,  $6 \times CO_2$ ) is likely related to the initial condition and short integration length (see Y. Zhang et al., 2022 for further discussion). We speculate that this limitation in the iCESM1.2 simulation at  $6 \times CO_2$  would have a minor impact on the surface ocean of the North Atlantic, where regional ocean-atmosphere coupling and wind driven circulation are more important in determining the SSTs.

Idealized modeling experiments show that deepening of the GSR and/or closure of the Arctic-Atlantic gateway (Hutchinson et al., 2019) can initiate NCW formation in the North Atlantic and increase SST in the eastern



**Figure 3.** Long-term evolution of surface ocean temperatures during the Eocene inferred via  $\text{TEX}_{86}$  in the (a) equatorial Atlantic (Cramwinckel et al., 2018; Inglis et al., 2015; Zhang et al., 2013), (b) North Atlantic (this study; Inglis et al., 2015; de Bar et al., 2019), and (c) the southwest Pacific (Bijl et al., 2009, 2013; Crouch et al., 2020; Hollis et al., 2009; Inglis et al., 2015). Panel (d) shows the sea surface temperature (SST) gradient between the equatorial Atlantic and the North Atlantic (dark blue line) and southwest Pacific (light blue line). To determine the long-term mean SST evolution for the low-, mid-, and high-latitudes, nonparametric LOESS regressions were fitted using the fANCOVA software package (http://www.R-project.org/).

North Atlantic by up to 7 °C (Vahlenkamp et al., 2018), thus muting any long-term CO<sub>2</sub>-driven cooling at DSDP Site 401. Importantly, deepening of the GSR has only a minimal influence (<1°C) on SSTs in the western North Atlantic (i.e., where Bass River is located) (Vahlenkamp et al., 2018). There is growing geochemical and sedimentological evidence placing the initial onset of NCW between ~49 and 47 Ma, coincident with changes in zonal temperature gradients between the eastern and western North Atlantic. Evidence for onset of NCW between ~49 and 47 Ma includes development of contourite drifts in the western North Atlantic (Boyle et al., 2017), changes in biosiliceous sedimentation (Witkowski et al., 2021) and a collapse in  $\delta^{13}$ C gradients between the North and South Atlantic (Hohbein et al., 2012). These changes would also influence local hydrography within the eastern North Atlantic and could exert an additional control on  $\delta^{18}O_{sw}$ values at DSDP Site 401.

Proxy-based reconstructions during the Middle Eocene Climatic Optimum have argued that northward expansion of the North Atlantic subtropical gyre could also act as a mechanism to increase SSTs within the North Atlantic (Van Der Ploeg et al., 2023). However, details of the gyre heat transport and the impact of this large-scale process on regional SSTs (especially near coastlines) requires further investigation. Thus, although diverging zonal temperature gradients in the North Atlantic are consistent with the initial early onset of NCW during the early-middle Eocene, additional proxy data and isotope-enabled model simulations are required to test this further. From a model-based perspective, simulations with higher resolution and longer simulation length are required to explore the equilibrium state of the modeled ocean circulation and any possible regional features that may be missed by the relatively coarse ( $\sim 1-2^\circ$ ) resolution model.

# **4.3.** Synchronous Surface Water Cooling in the Northern and Southern Hemispheres During the Eocene

To explore whether long-term cooling is globally synchronous, we compiled TEX<sub>86</sub>-derived SST estimates that span the early (55 Ma) to late (34 Ma) Eocene. To avoid relying on single proxy records, we focus on regions with two or more TEX<sub>86</sub> records. Our data compilation spans three regions: (a) the equatorial Atlantic (0–30°N/S) (Cramwinckel et al., 2018; Inglis et al., 2015; Liu et al., 2009; Y. G. Zhang et al., 2013), (b) the northwest Atlantic (30–50°N) (*this study*; Cramwinckel, Coxall, et al., 2020; Inglis et al., 2015; Keating-Bitonti et al., 2011; van der Ploeg et al., 2023) and (c) the southwest Pacific (>50°S) (Bijl et al., 2009, 2013; Cramwinckel, Woelders, et al., 2020; Crouch et al., 2020; Hollis et al., 2009; Inglis et al., 2015; Liu et al., 2020; (Figure 3; Supporting Information S1).

Our results suggest that the onset of long-term cooling occurs ~49 to 48 million years ago in the North Atlantic and southwest Pacific (i.e., following the termination of the EECO; Figures 3a-3c) and coincides with an increase in the latitudinal SST gradient from 49 to 44 Ma (Figure 3d). Our study indicates that the onset of Eocene cooling is a global feature and thus consistent with a decline in atmospheric CO<sub>2</sub> as a forcing mechanism for cooling. However, there is a relative lack of data in the North Atlantic from ~49 to 48 Ma, such that additional records are required to determine the exact onset of long-term cooling. Proxy records have also suggested that ocean gateways may have played an important role at this time (e.g., Bijl et al., 2009, 2013; Hohbein et al., 2012). Previous work argues that the Tasman Gateway would initiate regional surface water cooling (Sijp et al., 2011, 2016) and may account for declining SSTs in the SW Pacific between the termination of the EECO and middle Eocene (~44 Ma). However, as surface ocean cooling occurs in multiple basins (Figures 3a-3c) at a comparable time (~49–48 Ma), it suggests that CO<sub>2</sub> was likely responsible for the majority of long-term Eocene cooling.

#### 5. Conclusions

Here we present the first multi-proxy (Mg/Ca,  $\delta^{18}$ O, TEX<sub>86</sub>) SST record from the western North Atlantic spanning the early-to-middle Eocene. Our results indicate very high SSTs during the early Eocene Climatic Optimum (~27–33°C), in agreement with high atmospheric CO<sub>2</sub> concentrations. Our compiled data set reveal a net decline (~4°C) in SSTs between the early Eocene Climatic Optimum (53.3–49.1 Ma) and the middle Eocene (44–41 Ma), consistent with long-term decrease in atmospheric CO<sub>2</sub>. However, east-west zonal temperature gradients in the North Atlantic are likely decoupled during the early-to-middle Eocene. This may be related to inception of NCW at the early-middle Eocene transition and incursion of warmer waters into the eastern North Atlantic, but additional data sets are required to test this further. We also demonstrate that the onset of long-term Eocene cooling in the western North Atlantic (~49–48 Ma) occurs synchronously in other ocean basins (e.g., N. Atlantic vs. S. Pacific) and across different latitudinal bands, implying that CO<sub>2</sub> was likely responsible for the onset of long-term Eocene cooling.

### **Data Availability Statement**

Inorganic and organic geochemical data and associated sea surface temperature estimates are available at OSF (G. N. Inglis, 2023). The transformation of measured Mg/Ca into temperature was calculated using MgCaRB (Evans, 2023). Loess regressions were calculated using R (http://www.R-project.org).

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