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## Title

Examining geographical generalisation of machine learning models in urban analytics through street frontage classification and house price regression

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Examining geographical generalisation of machine
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#### 14 — Abstract

The use of machine learning models (ML) in spatial statistics and urban analytics is increasing. 15 However, research studying the generalisability of ML models from a geographical perspective had 16 been sparse, specifically on whether a model trained in one context can be used in another. The 17 aim of this research is to explore the extent to which standard models such as convolutional neural 18 networks being applied on urban images can generalise across different geographies, through two 19 tasks. First, on the classification of street frontages and second, on the prediction of real estate 20 values. In particular, we find in both experiments that the models do not generalise well. More 21 interestingly, there are also differences in terms of generalisability within the first case study which 22 23 needs further exploration. To summarise, our results suggest that in urban analytics there is a need to systematically test out-of-geography results for this type of geographical image-based models. 24

### <sup>28</sup> 1 Introduction

Machine learning (ML) methods such as convolutional neural networks (CNN) have achieved 29 human-level accuracy in many computer vision tasks such as scene recognition, object 30 detection and image segmentation [1, 16]. This level of computer intelligence has led to 31 advances in intelligent transportation, medical imaging, robotics and in our case urban 32 analytics. For example, these methods have been used to estimate socio-economic profiles 33 [3], predict the perceived safety of streets [12, 20], classify street frontage quality [10] and to 34 estimate property prices [9]. A key limitation is the lack of research on how machine learning 35 methods on urban scenes generalise geographically. If a model trained in one context can be 36 successfully used in another then there is less data annotations and thus more generalisable 37 and spatially reproducible models[7]. To address this concern, this exploratory research 38 aims to study whether standard machine learning models (CNN) on urban images can 39 generalise over vastly different geographical context on two common tasks in ML, namely an 40 image-based classification task and a regression task. 41

#### <sup>42</sup> 1.1 Related work on the analysis of urban imagery

<sup>43</sup> Diving deeper into the analysis of urban imagery, Salesses et al. [18] collected data on the <sup>44</sup> perception of safety from street image, using a crowd-sourced survey to study the number <sup>45</sup> of homicides in US cities. Naik et al. [12] expanded on this by fitting a regression model <sup>46</sup> [20] to predict perceived safety and liveliness. Recently, Law et al. [10] have constructed a <sup>47</sup> CNN model to infer whether the street has active frontages or not. While, Law et al. [9], <sup>48</sup> used both street level and aerial images to estimate house price directly using a CNN-based <sup>49</sup> hedonic price model for the Greater London area.

Despite the increase in research using urban imagery, studying how these models generalise 50 geographically has been limited. Naik et al. [12] found that their urban computer vision 51 models generalise poorly between the East and the West Coast in the United States. In an 52 attempt to obtain a global model, [2] extended the Place Pulse dataset to 56 cities around the 53 world. Using this dataset, Dubey et al. [2] trained a CNN model that can predict pairwise 54 perceived safety from a pair of input StreetView images. Subsequently, they used this global 55 model to make a similar prediction for six additional cities and found the prediction score 56 conforms well through visual inspections. Our research main novelty is to study the concept 57 of ML model generalisation from a geographical perspective; through a classification task 58 (street frontage classification) and a regression task (real estate value prediction). For brevity, 59 we term these case study 1 and case study 2. 60

#### <sup>61</sup> **2** Method and Materials

#### 62 2.1 Case study 1: Street Frontage Classification

The quality of street frontages is an important factor in urban design, as it contributes to the safety and liveliness of the public space [5]. In this study, active street frontage is defined as having windows and doors on the ground floor of the building frontage, as opposed to blank walls [14]. In case study 1, we investigate the extent to which a street frontage classification model which classifies a Google StreetView image into four frontage categories; blank frontage, single-side active frontage, both-sides active frontage and non-urban frontage can generalise to different geographical contexts.

Front-facing street images were firstly collected using Google StreetView API [4] following similar procedures to [10]. In total we downloaded 109,419 front-facing StreetView images in London, 5972 images in Kyoto, 2157 images in Hong Kong, 6012 images in Tokyo, 2746 images in Barcelona, 4157 images in San Francisco, 3143 images in NYC and 4434 images in Paris. In London, 10,000 images were manually labelled in order to train the initial model, and in each of the seven cities, 350 images were labelled.

Following [10], we train a Street-Frontage-Net classifier  $SFN(\cdot)$  that takes Streetview 76 image S as input and returns a probability vector for each frontage class k. SFN uses a 77 pretrained VGG16 architecture [19] from Imagenet as a feature extractor. These features 78 then get pushed through a pair of fully-connected layers where a Softmax activation function 79 is used in the final layer to estimate the probability of the four frontage class for an input 80 image. We then split the dataset and use 60% for training, 20% for validation and 20% for 81 testing and train the SFN using stochastic gradient descent (lr=0.001). We minimise the 82 categorical cross entropy loss function;  $H(y, \hat{y}) = -\sum_{k=1}^{M} y_k \log(\hat{y}_k)$  where  $\hat{y}_k$  is the predicted 83 probability for class k with M classes, and  $y_k$  is the true probability for the same class. For 84 more details of the data collection process and architecture, please see Law et al. [10]. 85

For case study 1, we study the extent to which the SFN model trained in London can

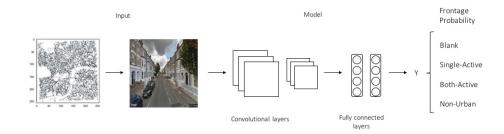


Figure 1 Case Study 1: Street frontage classification model [10]

generalise across the seven other cities. We report the classification accuracy, or the number 87 of times the prediction of the frontage class matches the four observed frontage classes. Fig 88

2 shows example of the streetview images. 89

#### 2.2 Case study 2: Real estate value prediction 90

In case study 2, we study the extent to which an urban image-based real estate value 91 regression model can generalise between London and Kyoto. We adopt an existing end-to-end 92 methodology akin to [9] that estimates the real estate value from both its location attributes 93 and visual attributes from urban images. To ensure that the cases are more comparable, we 94 construct a parsimonious hedonic price model to predict the real estate value (price per sqm) 95 based on location and visual attributes at the street segment level. 96



Figure 2 Examples of Google Street images from left to right, London, Kyoto, Paris and Tokyo.

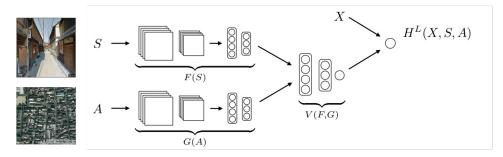
In terms of the property attributes, we use the UK Land Registry Price Paid dataset [15], 97 coupled with detail attributes from Nationwide Housing Society [13] to form the house price 98 data in London. For Kyoto, we used the Rosenka dataset, which is a road valuation dataset 99 from 2012 which gives the mean land price per sqm for each street [17]. We calculate the 100 mean house price sqm at the street-level from the London data in order to match with the 101 Kyoto data. In terms of the location attributes, we calculate two street network accessibility 102 measures which are commonly included in house price models [9]. Specifically, we calculate 103 closeness centrality, which measures the inverse average distance to all other streets in the 104 network as a proxy for capturing geographic accessibility, and *betweenness centrality*, which 105 measures the number of shortest paths overlap from all streets to all streets as a proxy for 106 street hierarchy and congestion of a city [6]. 107

In terms of the visual attributes, we used the same front-facing streetview images from 108 case study 1 for London. Following [9], we have also collected aerial images using Microsoft 109 Bing Maps API [11] for both London and Kyoto. In total, the dataset consists of 39,346 110 aerial image samples in London and 7,040 in Kyoto. The output variable, price per sqm, 111 is log transformed, which is a standard procedure in the literature [9], while all the input 112

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attributes are normalised to have a mean of 0 and a standard deviation of 1.

Following [9], we train a model  $H(\cdot)$  with the streetview and aerial images while controlling 114 for the contribution of the housing attributes. To extract visual features from the StreetView 115 images S and aerial images A, we define two functions F(S) and G(A) which extract features 116 as additional inputs into a hedonic price model. Both networks adopt a VGG-like [19] CNN 117 architecture, where we take the value at the final flattened convolutional layer followed by 118 a pair of fully-connected layers. We then concatenate the output of these two networks 119 followed by two additional fully-connected layers in compressing the feature vectors output 120 of F(S) and G(A) to a visual summary scalar response. 121



**Figure 3** Case study 2: Hedonic price model architecture [9]

This visual response can then be included as an additional independent variable in an 122 OLS model where we can compare a standard linear model;  $H^L(X) = \beta_0 + \sum \beta X + \epsilon$ , which 123 only uses the housing attributes X, to an extended model  $H^{L}(X, S, A)$  that includes the 124 visual summary response as  $H^{L}(X, S, A) = \beta_0 + \sum \beta X + \gamma V(F(S), G(A)) + \epsilon$ , where  $\beta$  are 125 the OLS regression weights for the location attributes, and  $\gamma$  as the weights for the visual 126 summary response. We then split the dataset and use 70% for training, 15% for validation 127 and 15% for testing and train the model using ADAM [8](learning rate=0.001) minimising 128 the mean squared error loss function. For more details of the data collection process and 129 architecture, please see Law et al. [9]. 130

The aims of case study 2 are two-fold. First, to test whether the method works in a 131 vastly different context, in this case Kyoto. Second, to test the extent to which the image 132 features trained with the London data can be used and generalised to Kyoto and vice versa. 133 To address both of these aims, we estimated six linear regression models on the testset, each 134 of which are different combinations of housing attributes, and visual attributes of the two 135 cities. Hedonic price models M1 to M3 deliver predictions for London, while models M4 136 to M6 for Kyoto. Model M1 is the baseline hedonic price model for London that includes 137 the housing attributes only. Model M2 is the same as the London-baseline but includes 138 both housing attributes and visual response retrieved from the London-trained-CNN model 139 on London images. Model M3 includes both the housing attributes and visual response 140 retrieved from the Kyoto-trained-CNN model on London images. Model M4 is the baseline 141 hedonic price model for Kyoto that includes the housing attributes only. Model M5 is the 142 same as the Kyoto-baseline but includes both the housing attributes and the visual response 143 retrieved from the Kyoto-trained-CNN model on Kyoto images. Model M6 includes both 144 the housing attributes and the visual response retrieved from London-trained-CNN model on 145 Kyoto images. For each model, we report the adjusted R-squared measures, as a general 146 goodness of fit metric (Table 1). 147

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### **3** Results and Conclusion

Presenting the results of case study 1, Table 1 shows the accuracy of 87.5% for the baseline
London model which were used to make inference for the seven other cities namely; Paris at
77.26%, New York at 73.30%, Barcelona at 70.48%, San Francisco at 69.43%, Hong Kong at
67.78%, Kyoto at 56.25% and Tokyo at 52.20%. These results confirm a naive assumption
that architecturally more similar cities can achieve a higher accuracy.

#### **Table 1** Case study 1 results

Cities	Accuracy	
London	87.50%	
Paris	77.26%	
NYC	73.30%	
Barca	70.48%	
SFO	69.43%	
HKG	67.78%	
Kyoto	56.25%	
Tokyo	52.20%	

#### **Table 2** Case study 2 results

Location	Model	adjR2
London	M1 (noVis)	63.90%
London	M2 (LonVis)	71.6%
London	M3 (KyoVis)	63.90%
Kyoto	M4 (noVis)	29.30%
Kyoto	M5 (KyoVis)	42.40%
Kyoto	M6 (LonVis)	29.90%

Table 2 shows the goodness of fit (*adjR2*) results for case study 2, comparing the six regression models. The results show that the goodness of fit improved from 63.9% (**M1** London baseline) to 71.6% for London (**M2**) and from 29.3% (**M4** Kyoto baseline) to 42.4% for Kyoto (**M5**) when including its own visual response. However, there is no improvement when using the Kyoto visual response in the London hedonic price model (**M3**) and a negligible improvement when using the London visual response in the Kyoto model (**M6**).

To summarise, this exploratory research studied whether a standard (ML) model such as 160 CNN can generalise well geographically for two tasks, classification of street frontages and 161 prediction of real estate values. For both tasks, we have found poor model generalisability 162 across different geographical contexts, albeit we also noticed differences in generalisability. 163 For example in case study 1, we found that the street frontage classification model trained 164 using only the London StreetView images generalises better to cities that are architecturally 165 more similar to London, such as Paris (eg. western style, bricks, stones), and poorer for cities 166 that are architecturally dissimilar, such as Kyoto (eg. eastern style, wood, concrete). In case 167 study 2, we confirm that response extracted from urban images can improve existing real 168 estate value predictions for both London and Kyoto. However, we also found that the visual 169 response learnt from one context cannot be easily generalised to another context, echoing 170 the result of previous research [12]. A number of limitations remain, including the lack of 171 samples and the lack of cross cities analysis. For example, whether a model trained in other 172 cites can generalise to London and whether a model trained in a subset or all of the cities 173 can generalise better (eg. Dubey et al. 2016 [2]). There were also a lack of case studies 174 in the house price prediction tasks due to the difficulty in collecting comparable data in 175 different cities. From a geographical perspective, future research could also consider how 176 spatial dependence differs across different geographies for this type of model. To end, these 177 results suggest that there is a need to systematically test ML models in different geographies 178 as well as the need for human evaluation experiments to study these differences in detail for 179 future research. Even though the results are not conclusive, it serves as an initial exploration 180 on *ML* models generalisation from a geographical perspectives. 181

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