Hallmark discoveries in the biology of Wilms tumour

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3	Daniela Perotti <sup>1*‡</sup> , Richard D. Williams <sup>2,3*</sup> , Jenny Wegert <sup>4*</sup> , Jack Brzezinski <sup>5,6*</sup> ,
4	Mariana Maschietto <sup>7*</sup> , Sara Ciceri <sup>1*</sup> , David Gisselsson <sup>8,9</sup> , Samantha Gadd <sup>10</sup> , Amy
5	L. Walz <sup>11</sup> , Rhoikos Furtwangler <sup>12</sup> , Jarno Drost <sup>13,14</sup> , Reem Al-Saadi <sup>2,15</sup> , Nicholas
6	Evageliou <sup>16</sup> , Saskia L. Gooskens <sup>13</sup> , Andrew L. Hong <sup>17</sup> , Andrew J Murphy <sup>18</sup> ,
7	Michael V Ortiz <sup>19</sup> , Maureen J. O'Sullivan <sup>20</sup> , Elizabeth A. Mullen <sup>21</sup> , Marry M. van
8	den Heuvel-Eibrink <sup>13</sup> , Conrad V Fernandez <sup>22</sup> , Norbert Graf <sup>12</sup> , Paul E. Grundy <sup>23</sup> ,
9	James I. Geller <sup>24</sup> , Jeffrey S. Dome <sup>25</sup> , Elizabeth J. Perlman <sup>10*</sup> , Manfred Gessler <sup>4,26*</sup>
10	, Vicki Huff <sup>27*</sup> , Kathy Pritchard-Jones <sup>2*</sup>
11	
12	<sup>1</sup> Molecular Bases of Genetic Risk and Genetic Testing Unit, Department of
13	Experimental Oncology, Fondazione IRCCS Istituto Nazionale dei Tumori, Milan,
14	Italy
15	<sup>2</sup> Developmental Biology and Cancer Research and Teaching Department,
16	UCL Great Ormond Street Institute of Child Health, University College London,
17	London, UK
18	<sup>3</sup> Section of Genetics and Genomics, Faculty of Medicine, Imperial College London,
19	UK
20	<sup>4</sup> Theodor-Boveri-Institute/Biocenter, Developmental Biochemistry, Wuerzburg
21	University, 97074, Wuerzburg, Germany.
22	<sup>5</sup> Division of haematology/oncology, Hospital for Sick Children, Toronto, Canada
23	<sup>6</sup> Department of Paediatrics, University of Toronto, Canada
24	<sup>7</sup> Research Center, Boldrini Children's Hospital, Campinas, SP, Brazil.

- <sup>25</sup> <sup>8</sup>Cancer Cell Evolution Unit, Division of Clinical Genetics, Department of Laboratory
- Medicine, Lund University, BMC C13, SE 221 84 Lund, Sweden
- <sup>27</sup> <sup>9</sup>Clinical Genetics and Pathology, Laboratory Medicine, Skåne University Hospital,
- SE 221 85 Lund, Sweden
- <sup>10</sup>Department of Pathology, Ann & Robert H. Lurie Children's Hospital of Chicago,
- <sup>30</sup> Chicago, Illinois, USA.
- <sup>11</sup>Division of Hematology, Oncology, Neuro-Oncology, and Stem Cell Transplant, Ann
- <sup>32</sup> & Robert H. Lurie Children's Hospital of Chicago, Chicago, Illinois, USA
- <sup>12</sup>Department of Pediatric Oncology and Hematology, Saarland University Hospital,
- <sup>34</sup> 66421, Homburg, Saar, Germany.
- <sup>13</sup>Princess Máxima Center for Pediatric Oncology, Heidelberglaan 25, 3584 CS
- <sup>36</sup> Utrecht, The Netherlands
- <sup>37</sup> <sup>14</sup>Oncode Institute, Heidelberglaan 25, 3584 CS Utrecht, The Netherlands
- <sup>15</sup>Department of Histopathology, Great Ormond Street Hospital for Children, London,
- 39 UK.
- <sup>40</sup> <sup>16</sup>Divisions of Hematology and Oncology, Children's Hospital of Philadelphia, CHOP
- 41 Specialty Care Center, Vorhees, NJ, USA
- <sup>42</sup> <sup>17</sup> Aflac Cancer and Blood Disorders Center, Emory University and Children's
- 43 Healthcare of Atlanta, Atlanta, GA, USA
- <sup>44</sup> <sup>18</sup>Department of Surgery, St. Jude Children's Research Hospital, Memphis, TN, USA
- <sup>45</sup> <sup>19</sup>Department of Pediatrics, Memorial Sloan Kettering Cancer Center, New York, New
- 46 York, USA
- <sup>47</sup> <sup>20</sup>Histology Laboratory, Children's Health Ireland at Crumlin, Dublin, Ireland
- <sup>48</sup> <sup>21</sup>Dana-Farber/Boston Children's Cancer and Blood Disorders Center, Boston,
- 49 Massachusetts, USA

- <sup>50</sup> <sup>22</sup>Division of Pediatric Hematology Oncology, IWK Health Centre and Dalhousie
- 51 University, Halifax, Nova Scotia, Canada
- <sup>52</sup> <sup>23</sup>Department of Pediatrics Faculty of Medicine and Dentistr, University of Alberta,
- 53 Edmonton, Alberta, Canada
- <sup>54</sup> <sup>24</sup> Division of Oncology, Cincinnati Children's Hospital Medical Center, University of
- 55 Cincinnati, Cincinnati, OH, USA
- <sup>56</sup> <sup>25</sup>Division of Oncology, Center for Cancer and Blood Disorders, Children's National
- <sup>57</sup> Hospital and the Department of Pediatrics, George Washington University School of
- 58 Medicine and Health Sciences, Washington, DC
- <sup>59</sup> <sup>26</sup>Comprehensive Cancer Center Mainfranken, 97080 Wuerzburg
- <sup>60</sup> <sup>27</sup>Department of Genetics, The University of Texas MD Anderson Cancer Center,
- 61 Houston, Texas, USA
- <sup>62</sup> \* These authors contributed equally
- <sup>63</sup> <sup>‡</sup> Email: Daniela.perotti@istitutotumori.mi.it

#### 64 Abstract

[Au: I have edited the first sentence of your abstract so the focus is Wilms 65 tumour.] The modern study of Wilms tumour [Au: we only abbreviate specific two-66 word terms, Wilms tumour is not one, so I have edited accordingly throughout.] 67 was prompted nearly 50 years ago, when Alfred Knudson proposed the 'two-hit' model 68 of tumour development. Since then, the efforts of researchers worldwide have 69 substantially expanded our knowledge of Wilms tumour biology including [Au: We do 70 not signpost what will be discussed in an article within the abstract, instead we 71 actually briefly describe it, so I have edited the following accordingly.] major 72 advances in genetics — from cloning the first Wilms tumour gene to high-throughput 73 studies that have revealed the genetic landscapes of this tumour. These discoveries 74 improve understanding of the embryonal origin of Wilms tumour, familial occurrences, 75 and associated syndromic conditions. Many efforts have been made to find and 76 clinically apply prognostic biomarkers to Wilms tumour, for which outcomes are 77 generally favourable but treatment of some affected individuals remains challenging. 78 Challenges are also posed by the intratumoural heterogeneity of biomarkers. 79 Furthermore, preclinical models of Wilms tumour, from cell lines to organoid cultures, 80 have evolved. Despite these many achievements, much still remains to be discovered: 81 further molecular understanding of relapse in Wilms tumour and of the multiple origins 82 of bilateral Wilms tumour are two examples of areas under active investigation. 83 International collaboration, especially when large tumour series are required to obtain 84 robust data, will help to answer some of the remaining unresolved questions. 85

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#### [H1] Introduction [Au: H1, H2 etc. refer to the heading level and are needed for

#### <sup>89</sup> **Production, please don't delete.]**

Wilms tumour, described for the first time in 1899 by Max Wilms<sup>1</sup>, is the most common 90 paediatric renal tumour: it affects approximately 1 in 10,000 children with a peak 91 incidence at 3 years of age. Wilms tumour represents a paradigm for success in 92 multidisciplinary paediatric oncology, with an overall survival (OS) of ~90% when 93 treated under international protocols<sup>2</sup>. Worldwide, two main treatment strategies are 94 in use: pre-operative chemotherapy followed by histological subtype, tumour stage 95 and volume-based risk-stratified postoperative chemotherapy (and radiotherapy in 96 selected subgroups) in International Society of Paediatric Oncology Renal Tumour 97 Study Group (SIOP-RTSG) protocols and immediate surgery with postoperative risk-98 stratified treatment based on patient age, tumour stage and histology and molecular 99 markers according to Children's Oncology Group Renal Tumor Committee (COG-100 RTC) protocols. These risk-based treatment strategies optimize event-free survival 101 (EFS) whilst reducing risks of treatment-related early and late toxic effects whenever 102 possible. This aim has largely been achieved, as following either strategy, OS is 103 excellent for the majority of patients with Wilms tumour <sup>3,4</sup>. 104

Biological research on Wilms tumour began almost 50 years ago; thus, telling the story of how the many discoveries in this field were made and tracing the timeline of the key scientific events is timely (FIG.1).

In this Review, we describe the history of key advances in the biology — and particularly the genetics — of Wilms tumour, from Knudson's description of the 'twohit' model to the most current findings. We outline the scientific and historical importance of these findings, which will serve as starting points for new research opportunities.

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#### 114

#### [H1] Wilms tumour genes

Before the discovery of any of the genes mutated in Wilms tumour, these tumours 116 were proposed to arise from fetal kidney elements with dysregulated embryogenesis 117 <sup>5</sup>. [Au: Please reference this statement.] Firstly, the histology of Wilms tumour bears 118 striking similarity to embryonic kidney with a mixture of epithelial cells forming 119 prototubules, primitive blastemal cells, and interspersed stromal elements <sup>6</sup>. 120 Furthermore, Wilms tumours frequently arise within or in association with nephrogenic 121 rests, which are islands of remnant embryonic renal elements within otherwise mature 122 kidney (FIG.2) <sup>5</sup>. [Au: A histological image of a nephrogenic rest might be useful 123 to the reader here, if you can provide a previously unpublished high-resolution 124 one.] Hence, the discovery of genes associated with Wilms tumour has occurred 125 alongside insights into the development controls of normal nephrogenesis. 126

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#### [H2] The Knudson model of tumour suppressor genes

In 1972, Knudson proposed that his 'two-hit' mutation model, which had been 130 formulated originally for retinoblastoma <sup>7</sup>[Au: Please reference this statement.], 131 could also be applied to Wilms tumour<sup>8</sup>. Based on mathematical modelling of 132 epidemiological data of familial and bilateral tumours versus unilateral and unselected 133 tumours, the hypothesis is in modern terms interpreted to mean that two recessive, 134 loss-of-function mutations in the same so-called 'tumour suppressor' gene are 135 necessary for tumour development. The first mutation is presumed to be germline in 136 children with familial and bilateral Wilms tumour, consistent with an earlier age of onset 137

than sporadic tumours, in which both mutations must happen by chance in the same
cell during early kidney development. The model led to the use of molecular
techniques to detect chromosomal regions showing loss of heterozygosity (LOH, also
known as 'allele loss') to map Wilms tumour-associated gene loci.

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Even before the first Wilms tumour-associated gene, WT1 on chromosome 11p13, 143 was identified in 1990 <sup>9,10</sup>[Au: Please reference this statement.], allele loss and 144 genetic linkage studies provided evidence for the existence of several different Wilms 145 tumour-associated genes underlying familial, syndromic and sporadic Wilms tumours 146 <sup>11,12</sup>. The preferential loss of the maternal allele also implicated genomic imprinting 147 [Au: brief explanation of genomic imprinting to answer PR2s comment at the 148 first mention OK? Please add a reference.] at 11p15 in the pathogenesis of Wilms 149 tumour <sup>13</sup>. Genomic imprinting is an epigenetic process that causes only one copy of 150 a gene in an individual (either from their mother or their father) to be expressed, 151 whereas the other copy is suppressed <sup>14</sup>. Furthermore, the bimodal distribution of age-152 at-onset of bilateral Wilms tumour suggested underlying genetic heterogeneity <sup>15</sup>. 153 Results of subsequent studies involving single-cell sequencing have shown that 154 bilateral Wilms tumour can arise from early somatic mutations and epigenetic changes 155 arising before divergence of the left and right kidney primordia <sup>16</sup>. Whole-exome 156 sequencing of sporadic and familial Wilms tumours has now revealed a plethora of 157 mutational drivers. Despite this genetic complexity, Knudson's two-hit model of 158 inactivation of a tumour suppressor gene can still hold true for a considerable minority 159 of Wilms tumours <sup>17-19</sup>. 160

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#### [H2] Identification of the Wilms tumour suppressor gene *WT1*

The identification of WT1 relied on a combination of classical syndromology, somatic 164 cell genetics and reverse genetics approaches. Key early findings were cytogenetic 165 deletions encompassing 11p13 in individuals with WAGR syndrome — a contiguous 166 gene syndrome that includes [Au: we do not add emphasis using underlining, 167 bolding, italicizing etc. so I have edited accordingly.] Wilms tumour, aniridia, 168 genitourinary anomalies and a range of developmental delays <sup>20</sup>. Together with the 169 two-hit hypothesis <sup>8</sup>, this observation led to the suggestion of a predisposing Wilms 170 tumour suppressor gene on chromosome 11p13. Separation of del11p13 alleles in 171 somatic cell hybrids facilitated mapping of many anonymous markers and construction 172 of megabase-scale restriction maps to characterize the crucial region <sup>21-23</sup>. 173 Evolutionarily conserved genomic sequences were used to pinpoint candidate genes. 174 A single, but complex, transcription unit was found in the smallest region of deletion 175 overlap that encoded WT1, a C2H2-type zinc finger protein <sup>9,10</sup>. A strong indicator of 176 its likely involvement in Wilms tumorigenesis was the finding that WT1 expression is 177 restricted to key cell types in the kidney and other tissues undergoing mesenchymal-178 epithelial transitions during embryogenesis <sup>24</sup>. Most WT1 mutations were loss of 179 functions and homozygous in somatic tumour cells; however, a minority were 180 heterozygous <sup>25</sup>[Au: Please reference this statement.], suggesting the potential for 181 dominant-negative effects of the mutated protein <sup>26</sup>. The prevalence of somatic WT1 182 mutations in patients with sporadic Wilms tumour unselected for clinical outcome or 183 high-risk features (such as blastemal type Wilms tumour in SIOP protocol, or diffuse 184 anaplastic Wilms tumour <sup>3</sup>) [Au: such as? Please add and reference here] ranges 185 from 6% to 20% <sup>19,27-31</sup>. WT1 has since been shown to act in transcriptional regulation 186 and RNA metabolism and to affect a broad range of biological processes, making it 187

# an essential factor for tissue homeostasis and disease in multiple organs <sup>32</sup>. [Au: why is this relevant? Please explain.]

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The consequences of WT1 mutations are fascinating and complex: haploinsufficiency 191 in patients with WAGR leads to male genitourinary anomalies with hypospadias, 192 cryptorchidism and elevated risk of developing Wilms tumour <sup>33</sup>. Loss of WT1 in kidney 193 precursors can induce tumour formation, accounting for a minority [Au: by 'a fraction' 194 do you mean 'a minority of'?] of Wilms tumours, with preferential [Au:OK? Is this 195 what you meant? If not, please clarify.] stromal predominant histology <sup>25</sup>. Complete 196 loss seems to be lethal as knock-out mice die prenatally owing to a lack of kidneys 197 and multiple other organ defects <sup>34</sup>. Dominant-negative mutations disrupting the zinc 198 fingers underlie Denys-Drash syndrome (DDS), characterized by disorder of sex 199 development, diffuse mesangial sclerosis with early kidney failure and one of the 200 highest risks of developing Wilms tumour <sup>35</sup>[Au:OK? To address peer reviewer 2's 201 **comment.**]. Subtle heterozygous point mutations of the exon 9 alternative splice site 202 increase production [Au: expression level? Please specify.] of the short -KTS 203 isoform, leading to Frasier syndrome with male pseudohermaphroditism, focal 204 segmental glomerulosclerosis and a risk of gonadoblastoma in streak gonads <sup>36,37</sup>. 205 These alterations underscore the broad involvement of WT1 in development and 206 homeostasis throughout adult life of many tissues and organs <sup>32</sup>. 207

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#### [H2] The 11p15 Locus

The presence of a second Wilms tumour-associated gene distinct from *WT1* was first inferred from the observation that LOH at chromosome 11p in Wilms tumour is often limited to 11p15, excluding the *WT1* locus at 11p13 <sup>38</sup>. The 11p15 locus, initially

referred to as 'WT2', was also linked to Beckwith Wiedemann syndrome (BWS), an 213 overgrowth disorder associated with macroglossia, umbilical hernia, hemihypertrophy, 214 neonatal hypoglycemia, and predisposition to Wilms tumour and other embryonal 215 cancers (Table 2) [Au:OK?] <sup>39</sup>. The 11p15 locus contains a series of genomically 216 imprinted genes clustered into two domains <sup>40</sup>. Imprinting centre 1 (IC1) includes *IGF*2 217 and H19. IGF2 encodes a growth factor that is fundamental to embryonal growth and 218 development, and H19 encodes a biologically active untranslated RNA that might act 219 as a tumour suppressor <sup>41</sup>. In [Au:OK?] cells of individuals not affected by BWS, the 220 paternal IC1 allele is methylated, leading to expression of *IGF2* and silencing of *H19*. 221 Imprinting centre 2 (IC2) contains several genes including KCNQ1, KCNQ10T1, and 222 the tumour suppressor gene CDKN1C [Au: what do these refer to and what does 223 the solidus denote? Are they alternative names? Please clarify.]. In [Au:OK?] 224 cells of individuals not affected by BWS, the maternal allele of IC2 is methylated, 225 resulting in expression of KCNQ1 and CDKN1C and repression of KCNQ10T1. BWS 226 most commonly arises from gain of methylation of IC1 (and resultant gain of 227 expression of the growth promotor IGF2), loss of methylation of IC2 (and resultant loss 228 of expression of the tumour suppressor CDKN1C), or uniparental disomy of the 229 paternal allele (resulting in both gain of methylation at IC1 and loss of methylation of 230 IC2). All three mechanisms predispose to Wilms tumour, but the molecular alterations 231 leading to IGF2 overexpression are associated with the greatest risk of Wilms tumour 232 42-44 233

In addition to its implication in Wilms tumour risk in the setting of BWS, *IGF2* overexpression has been observed in up to 70% of sporadic Wilms tumours, with 3% of patients presenting this alteration constitutionally <sup>45</sup>, providing further evidence of the importance of this locus in Wilms tumour biology <sup>19,46,47</sup>. *IGF2* is also

overexpressed in nephrogenic rests, considered the embryonic precursors of Wilms
tumour,<sup>48</sup> as well as in multiple samples from the same tumour <sup>49</sup>. In contrast to Wilms
tumour arising as a result of *WT1* mutations, where stromal predominant histology is
often observed, Wilms tumour secondary to 11p15 alterations typically has a mixture
of blastemal and epithelial cells. [Au: what is the importance of tumours presenting
a predominance of blastemal or epithelial cells in this context? Please explain
here.] <sup>47</sup>.

Genetically engineered mouse models suggest that *Igf2* overexpression by itself is insufficient for Wilms tumorigenesis and that cooperating mutations in genes such as in *Wt1* are required <sup>50</sup>.

The high prevalence of *IGF2* overexpression in Wilms tumour makes it an attractive 248 therapeutic target, in theory. However, results from preclinical models and a phase 2 249 study suggested that blockade of the insulin-like growth factor receptor 1 (IGF-1R), 250 the receptor to which IGF2 binds, has not been effective for Wilms tumour or other 251 paediatric solid tumours <sup>51,52</sup>. The lack of effect might be explained by bypass 252 mechanisms such as activating mutations in the PI3K—AKT pathway, downstream of 253 IGF signalling. Moreover, as IGF2 overexpression acts in conjunction with other 254 genetic alterations to promote Wilms tumorigenesis, targeting more than one pathway 255 could yield greater anti-tumour effects. Further investigation of IGF inhibitors, alone or 256 in combination with other agents, is warranted before abandoning this therapeutic 257 target. 258

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[H2] TP53 mutation

TP53 was discovered in 1979, 53,54 with the first indications that mutations were 261 involved with cell transformation being reported in the 1990s <sup>55</sup>. TP53 has a tumour 262 suppressor role, with TP53 presenting a short protein half-life resulting in low levels in 263 nonmalignant cells and tissues where it coordinates DNA replication fidelity and 264 genomic stability <sup>56</sup>. Nearly 73% of *TP53* mutations are missense, the majority of 265 mutations stabilize the protein resulting in its accumulation in the nucleus <sup>57</sup> [Au: 266 **Please reference this statement.]**. The process of TP53 accumulation is not fully 267 understood, but in rare instances mutant TP53 protein might not only lose the tumour 268 suppressive function but also gain new tumorigenic activity <sup>56</sup>. 269

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In Wilms tumours, most mutations occur in the DNA binding, oligomerization and 271 transcriptional regulation domains <sup>58-60</sup>. Historically, *TP53* mutations have been linked 272 to anaplastic Wilms tumour. Diffuse anaplasia is found in 5–10% of Wilms tumours 273 and is defined morphologically as cells with marked nuclear enlargement with 274 abnormal, multipolar mitotic figures (FIG.3)[Au: a histological image of this would 275 be useful to the reader if you can provide a previously unpublished high-276 resolution one.] . Anaplasia can also be focal in a further 2% of cases. Diffuse 277 anaplasia is universally considered a high-risk subtype of Wilms tumour. The first 278 study in which various histologies were evaluated showed that eight of eleven 279 anaplastic Wilms tumours carried a TP53 mutation. However, the authors raised the 280 possibility that other histologies might also harbour TP53 mutations in a small number 281 of cells below the threshold of the method used for detection (PCR-single strand 282 conformation polymorphism [Au: please define 'SSCP'] ) <sup>61</sup>. With the advent of high-283 throughput sequencing technologies, large studies have reported that TP53 is mutated 284

in 50 to 60% of diffuse anaplastic Wilms tumours (DAWT) <sup>58,59</sup>, as well as in some
 Wilms tumours with non-anaplastic histologies with lower frequency <sup>30,60,62</sup>.

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TP53 protein expression, detected by immunohistochemistry and used as a surrogate 288 for *TP53* mutation, is usually associated with anaplasia and poor prognosis <sup>63-65</sup>. The 289 correlation between TP53 mutations and immunopositivity might be dependent on the 290 type of mutation. Wilms tumours with R175H, G245S/D, R273C, R342P, which are the 291 most common amino acid changes, as well as other deleterious missense mutations, 292 result in TP53 accumulation, whereas tumours with G105V, L194R and G226S, similar 293 to neutral mutations (P309L and S362N), contained almost no TP53-positive cells <sup>60</sup>. 294 In anaplastic Wilms tumours, TP53-mutated tumours have higher burdens of copy 295 number aberrations than TP53 wild-type tumours with the same histology, indicating a 296 higher level of genomic instability <sup>58</sup>. Accordingly, when comparing gene expression 297 profiles from anaplastic Wilms tumours with mutant and wild-type TP53, the 298 differentially expressed genes were enriched for cell cycle, apoptosis and DNA repair, 299 among other biological processes <sup>58,59</sup>. 300

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#### 302 [H2] CTNNB1 mutation

<sup>303</sup> *CTNNB1*, located on chromosome 3p22.1, codes for a protein that is an integral part <sup>304</sup> of the canonical WNT signalling pathway. The role of the *CTNNB1* proto-oncogene in <sup>305</sup> the development of Wilms tumour was first reported in 1999 <sup>66</sup>, when mutational <sup>306</sup> activation of this gene was shown to be a recurrent event in Wilms tumour. The β-<sup>307</sup> catenin protein was identified as a downstream target of WNT4 signalling, and <sup>308</sup> dysregulation of mesenchymal–epithelial transition that occurs during normal nephron <sup>309</sup> development by mutated forms of β-catenin might lead to development of Wilms

tumour. The WNT pathway regulates essential cellular functions, including 310 proliferation, differentiation, migration, apoptosis, and stem cell renewal, and has a 311 central role in kidney development <sup>67</sup>. Aberrant activation of WNT–β-catenin signalling 312 has been found in many human cancers <sup>68</sup> and in Wilms tumours of mesenchymal 313 lineage, which represent 15% to 20% of all Wilms tumours <sup>69</sup>. Genes from the 314 canonical WNT signalling pathway expressed early in kidney development are over-315 expressed in Wilms tumours, indicating that the pathway is disrupted at least in part 316 of the tumours <sup>69</sup>. In the WNT pathway, β-catenin serves as a transcriptional co-317 activator to promote target gene transcription <sup>70</sup>. Activating mutations of CTNNB1 have 318 been identified in about 15% of Wilms tumours <sup>27,66</sup>. Most *CTNNB1* mutations (~65%) 319 affect exon 3 and result in the loss of crucial phosphorylation sites. This loss [Au:OK?] 320 precludes ubiquitination and degradation of the protein, which then is stabilized, 321 accumulates, and leads to constitutional activation of the WNT- $\beta$ -catenin signalling 322 pathway as well as to aberrant myogenesis <sup>71</sup>. Approximately one-third of CTNNB1-323 mutant Wilms tumours show alterations affecting exons 7-9 (Armadillo repeats, 324 characteristic repetitive amino acid sequences ~40 residues in length that form a 325 conserved 3D structure <sup>72</sup>). The mechanism of mutations in this region is not well 326 characterized and they might act in a different way <sup>29,30,73</sup>. 327

A highly significant (p-value =  $3.6 \times 10(-13)$ ) [Au: statistically significant? If so please add the p value, if clinically significant please specify, if neither, please change to 'important' or similar.] association between *WT1* and *CTNNB1* mutations was observed in a study of 153 Wilms tumours <sup>27</sup>, with 19 out of 20 *CTNNB1* mutant tumours also harbouring *WT1* mutations. The development of tegavivint, a  $\beta$ -catenin inhibitor now in phase 1/2 studies in children (NCT04851119) <sup>74</sup>[Au: please add this trial to your reference list in the format 'ClinicalTrials.gov. US National Library of Medicine. https://ClinicalTrials.gov/show/NCTXXXXXX (20XX)'.], might result
 in additional therapeutic options for a subgroup of patients with Wilms tumour,
 particularly those with aberrant WNT–β-catenin signalling.

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## 1.6 AMER1 [Au: we use the UniProt names for genes so I have changed to AMER1 throughout this section] mutation

AMER1 was first described as WTX and was found to be involved in Wilms 341 tumorigenesis in 2007<sup>75</sup>. Somatic deletions targeting the chromosomal region Xg11.1 342 were found by genome-wide array comparative genomic hybridization (CGH) [Au: 343 please define 'CGH'] of primary Wilms tumour samples [Au:OK?]. The minimal area 344 of overlap implicated a single, previously uncharacterized gene initially named Wilms 345 Tumor gene on the X-chromosome (WTX) and subsequently given the official gene 346 name APC Membrane Recruitment Protein 1 (AMER1). Besides deletions, frameshift 347 and non-sense mutations were detected, resulting in AMER1 [Au: gene OK?] 348 inactivation in 30% of tumours. 349

Additional screening of >500 samples revealed *AMER1* alterations in 7–18% of Wilms tumours  $^{29,76,77}$  and demonstrated intratumour heterogeneity, suggesting that *AMER1* alterations occur late in tumorigenesis rather than as an initiating event. This hypothesis is supported by germline *AMER1* deletion or [Au:OK?] truncating mutations that cause osteopathia striata with cranial sclerosis, a severe bone malformation syndrome with male lethality, but that does not predispose to tumorigenesis  $^{78}$ .

AMER1 [Au: gene OK or was it protein expression?] expression was detected in
 the condensing metanephric mesenchyme and in early epithelial structures during

mice [Au: mouse or rat? Please specify] kidney development. Subsequent 359 functional studies revealed that AMER1 is part of the  $\beta$ -catenin-destruction complex, 360 binds to AXIN1,  $\beta$ -TrCP (BTRC) and APC and antagonizes WNT– $\beta$ -catenin signalling 361 by promoting  $\beta$ -catenin ubiquitination <sup>79</sup>. Initially *AMER1* and *CTNNB1* mutations were 362 described as mutually exclusive, already suggesting impairment of the same pathway. 363 In subsequent analyses AMER1 alterations were also found concurrently with 364 CTNNB1 mutations in Wilms tumour <sup>29,73,77</sup>[Au: Please reference this statement.], 365 but patient outcomes have not been reported [Au: Added from your rebuttal to 366 answer the peer reviewer's query.]. Wtx deletion in mice causes neonatal lethality, 367 somatic overgrowth and malformation of multiple mesenchyme-derived tissues <sup>80</sup>. In 368 this mouse study, Wtx regulated mesenchymal progenitor cell fate specification and 369 had an important role in embryonic development and organ differentiation [Au: what 370 are the implications of these observations clinically? Please comment here.]. 371

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#### 373 [H2] MYCN gain or mutation

MYCN, which is mapped to 2p24.3, encodes a proto-oncogenic MYC family 374 transcription factor that is involved in the control of important processes during 375 embryonal development<sup>81</sup>. MYCN has been shown to be gained or amplified in 376 several embryonal childhood cancers, and in some adult cancers<sup>81-84</sup>. Individual 377 cases of MYCN gain in Wilms tumour have been reported since the late 1980s 378 [Au:OK?]<sup>85-87</sup>, and the analysis of large series with copy number arrays revealed that 379 this aberration is relatively frequent, typically being a focal low copy number event <sup>88-</sup> 380 <sup>90</sup>. Germline copy number gain in a patient with bilateral Wilms tumour, suggestive of 381 a possible role for MYCN in Wilms tumour predisposition, has also been described <sup>90</sup>. 382 MYCN is less frequently affected by somatic mutations that give rise to a recurrent 383

amino acid change, P44L, which is postulated to act as a gain of function alteration, 384 potentiating the effect on downstream targets relative to the wild-type protein [Au: 385 comparator OK?], 62,90,91. In tumours from patients treated according to SIOP 386 protocols, MYCN gain is associated with anaplastic histology, and with poor outcome 387 even in the absence of anaplasia <sup>88,90,92</sup>. MYCN overexpression is also associated with 388 adverse outcome <sup>90,93</sup> and with specific DNA hypomethylation events at five loci 389 mapped within the *MYCN* gene <sup>90</sup>. Other somatic changes might have an effect on the 390 oncogenic activity of MYCN, including loss or mutation of FBXW7 (which degrades 391 MYCN) or MAX (a MYCN heterodimerization partner) 62,88. 392

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1.8 SIX1 and SIX2 [Au:OK? I understand 'SIX1/2' is common in the literature, but 394 our Style is to refer to two separate entities individually. You also refer to a 395 single one individually in your discussions below.] homeodomain mutation 396 Recurrent SIX1 and SIX2 (Q177R) homeodomain mutations were first reported in 397 Wilms tumours in 2015 <sup>62,94</sup>. To define the genetics of high-risk, blastemal type, pre-398 operative chemotherapy-treated Wilms tumours [Au: does pre-operative 399 chemotherapy result cause any changes in gene expression? Please comment 400 to address peer reviewer 2's comment.], a discovery set of 58 tumours was 401 investigated, with a larger replication cohort of unselected Wilms tumours. Recurrent 402 SIX1 and SIX2 Q177R mutations were identified in 18.1% of blastemal cases (and in 403 4.3% of all cases) <sup>62</sup>. In addition, as a component of the National Cancer Institute's 404 therapeutically applicable research to generate effective treatments (TARGET) 405 initiative, comprehensive categorization of a discovery set of 117 high-risk Wilms 406 tumours, defined as favourable-histology Wilms tumour (FHWT) that relapsed or 407 DAWT was performed, followed by evaluation of a validation set of 651 Wilms tumours 408

(533 FHWT and 118 DAWT) treated in the National Wilms Tumor Study-5 clinical trial 409 <sup>30</sup>. In this cohort, *SIX1* and *SIX2* Q177R mutations were identified in 7% of FHWTs. 410 The SIX1 and SIX2 Q177R mutation is located within the highly conserved SIX 411 homeodomain, which is responsible for DNA binding and protein interaction <sup>95</sup>. These 412 mutations likely result in gain of function compared to wild-type protein [Au: than loss] 413 of function? Please add the comparator here.], as these tumours have a distinct 414 gene expression profile from that of SIX1 and SIX2 wild-type tumours <sup>94</sup>. In pre-415 operative chemotherapy-treated Wilms tumours, SIX1 and SIX2 mutations are 416 detected more frequently in blastemal than in regressive and necrotic tumours, 417 pointing to a role in chemotherapy resistance <sup>62</sup>. SIX1-mutated and SIX2-mutated 418 tumours exhibit over-expression of cell cycle and kidney development genes and 419 down-regulation of cell adhesion, extracellular matrix secretion, inflammatory 420 response and chemotaxis <sup>62</sup>. 421

Homologous *SIX1* and *SIX2* are known to have a crucial role in renal development <sup>95,96</sup>. In fact, *Six1*-knockout mice exhibit renal hypoplasia or kidney agenesis <sup>97</sup>. Mechanistically, mutations in *SIX1* and *SIX2* perpetuate the progenitor state, potentially leading to Wilms tumour development <sup>30</sup>.

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#### [H2] microRNA processing gene mutation

Recurrent hotspot microRNA processing gene (miRNAPG) mutations in *DROSHA* (RNAse III domains) and *DGCR8* (E518K) were reported in Wilms tumours in 2014 and 2015 <sup>62,91,94,98</sup>. During the investigation of the discovery set of 58 high-risk, blastemal type, pre-operative-chemotherapy treated Wilms tumours and replication cohort, mutations in the *DROSHA* and/or *[Au: what does the solidus denote here?* '*and*', 'or' or 'and/or'? Please specify] *DGCR8* gene were noted in 18.2% of

blastemal cases (and in 8.1% of all the cases)<sup>62</sup>. During the TARGET initiative, 434 recurrent DROSHA RNAse III domain mutations were identified in 10% of patients with 435 FHWT, with DGCR8 E518K mutations occurring in 4.5% <sup>30,94</sup>. Given the many cellular 436 pathways microRNAs (miRNAs) are known to affect combined with their complex 437 interactions and feedback loops, the range of effects associated with miRNAPG 438 mutations is heterogeneous and complex <sup>99</sup>. Mutations in different genes result in 439 different miRNA profiles, suggesting additional functions of these genes <sup>30,91</sup>. Impaired 440 miRNA synthesis has been shown to accelerate oncogenic transformation by 441 deregulating target oncogenes <sup>100</sup>. miRNAPG-mutant tumours were shown to have 442 reduced expression of crucial miRNAs <sup>62,94</sup>. Mechanistically, mutations in miRNAPGs 443 also perpetuate the progenitor state, potentially leading to Wilms tumour development 444 <sup>30</sup>. Rarely, mutations in the SIX1 and SIX2 pathway and in DROSHA or [Au: what 445 does the solidus denote here? 'and', 'or' or 'and/or'? Please specify] DGCR8 446 have been shown to occur in combination and result in poor outcomes <sup>94</sup>. In addition, 447 in evaluations of small cohorts of paired primary and relapsed Wilms tumours, 448 investigators have noted that mutations of SIX1 and DROSHA were more frequent in 449 tumour recurrence <sup>101,102</sup>. Functionally, both pathways are active during early renal 450 development, with mutations in both the SIX1 and SIX2 and the miRNAPG pathway 451 leading to continued perpetuation of the progenitor state, which can potentially result 452 in Wilms tumour development <sup>30</sup>. Whether this combination of driver mutations can 453 serve as prognostic markers in the future remains to be determined. 454

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#### 457 [H2] Genes from the TARGET initiative

In addition to genes previously reported to harbour mutations in Wilms tumours (WT1, 458 CTNNB1, WTX, DROSHA, DGCR8, XPO5, DICER1, SIX1, SIX2, MYCN, and TP53), 459 the TARGET study identified mutations in genes that had not previously been 460 associated with Wilms tumour (Table 1) [Au: Reference to table formatted to 461 journal style.]. The most frequent novel recurrently mutated genes in TARGET and 462 the percentage of patients harbouring these mutations were BCORL1 (3.8%), 463 COL6A3 (3.2%), MLLT1 (3%), NF1 (2.9%), BCOR (2.6%), NONO (2%), ARID1A 464 (1.8%), MAX (1.7%), MAP3K4 (1.7%), and ASXL1 (1.7%). Germline mutations were 465 identified in PALB2 (1.2%) and CHEK2 (1.2%). Unsupervised hierarchical clustering 466 of 76 FHWT TARGET discovery set samples based on gene expression showed that 467 samples with DROSHA, DGCR8, SIX1, or SIX2 mutations and samples with MLLT1, 468 WT1, CTNNB1, or WTX mutations resided in distinctly different clusters, revealing 469 differential gene expression cluster membership according to common recurrently 470 mutated genes<sup>30</sup>. However, positive enrichment of genes associated with the pre-471 induction metanephric mesenchyme and low expression of genes associated with 472 post-induction were found in the majority of samples, regardless of candidate driver 473 gene mutation, suggesting that the numerous genetic changes found in Wilms tumour 474 result in a similar outcome, namely disrupted early renal development. 475

Overall, the data indicate that Wilms tumours do not share a small number of common driver mutations, but rather arise from numerous candidate driver genes, most of which individually account for  $\leq$ 5% of Wilms tumours. However, many of the recurrently mutated genes in Wilms tumour share common functional pathways, with involvement in either epigenetic pathways such as transcriptional elongation and/or [Au: what does the solidus denote here? 'and', 'or' or 'and/or'? Please specify] chromatin modification (*MLLT1*, *BCOR*, [Au: what does the solidus denote here?

'and', 'or' or 'and/or'? Please specify]BCORL1, BRD7, CREBBP, ARID1A, and 483 EP300<sup>30,103</sup>) and miRNA processing (DROSHA, DGCR8, DICER1, and XP05 484 <sup>62,91,94,98</sup>) or early renal development (SIX1 and SIX2 <sup>62,94</sup>). Because epigenetic 485 pathways have key roles in progenitor cell preservation and differentiation, mutations 486 in genes in these pathways could interrupt standard development. Several of these 487 genes have been shown to have a role in early renal development. SIX1 and SIX2 488 have well-established roles in renal development <sup>95-97,104</sup>[Au: we do not refer back, 489 so please add a relevant reference here.]. Crebbp and Ep300 are essential for Wt1-490 associated activation of Wnt4, which is required for the mesenchymal-to-epithelial 491 transition in mouse embryonic kidney mesenchymal cells <sup>105</sup>, and *Dicer* ablation in the 492 metanephric mesenchyme results in increased apoptosis and renal dysgenesis in 493 mice <sup>106</sup>. Additionally, the non-frameshift *MLLT1* mutations found in a subset of Wilms 494 tumours were subsequently shown to affect cell fate in human and mouse cells and to 495 result in undifferentiated structures in mouse nephrogenesis assays <sup>107</sup>. These 496 observations suggest that different genomic abnormalities in Wilms tumour converge 497 upon disruption of early renal development, and that Wilms tumour therapies targeting 498 epigenetic or developmental pathways might be more efficient than targeting the 499 individual candidate driver genes. 500

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## [H1] Associated syndromic conditions [Au: heading edited for length requirements.]

Several genetic syndromes have long been known to be associated with an increased
risk of Wilms tumour development (Table 2) [Au: Reference to table formatted to
journal style.]. The most well-known examples are WAGR and BWS, although many
other syndromes have also been reported in smaller numbers. Published guidelines

agree that children with increased risk of developing Wilms tumour should undergo a
 surveillance protocol with abdominal ultrasonography until 7–8 years of age. However,
 debate is ongoing as to the optimal risk threshold at which surveillance should be
 recommended <sup>108-111</sup>.

The description of the molecular basis of WAGR syndrome — a micro-deletion of chromosome 11p13  $^{112}$  — was one of the first for a cancer predisposition syndrome. The key genes in the deleted region are *WT1*, which is related to genitourinary development and Wilms tumour risk, and *PAX6*, which is related to aniridia and neurodevelopmental issues  $^{113}$ . Missense, nonsense, and splice site variants of *WT1* are also associated with Wilms tumour development, genitourinary malformations, and renal dysfunction with a spectrum of severity of renal disease and tumour risk  $^{114,115}$ .

Children with BWS are at risk of Wilms tumour development, but are also at risk of 519 other childhood tumours — particularly hepatoblastoma <sup>116</sup>. BWS is driven by genetic 520 and epigenetic alterations at the imprinted 11p15.5 locus and the clinical features and 521 tumour risk vary depending on the particular alteration <sup>117</sup>. However, an elevated risk 522 of Wilms tumour remains even in the lowest risk molecular category <sup>118</sup>. Children with 523 BWS can exhibit somatic mosaicism leading to subtle features — up to 3% of children 524 [Au: by 'non-syndromic children' do you mean children with no syndrome? 525 Please clarify. We try not to describe people in this manner, so could we change 526 to 'children with Wilms tumour but no syndrome'?] with Wilms tumour but without 527 other phenotypic features of BWS have been found to harbour pathogenic alterations 528 at 11p15.5 in blood <sup>45</sup>. An even higher proportion than 3% of children without classic 529 phenotypic features of Wilms tumour predisposition syndromes [Au: children with no 530 syndrome?] could harbour 11p15 variants in some cell types but, owing to tissue 531 mosaicism, these variants might be below [Au: what might be below specifically? 532

At the moment it reads as if it is the children themselves, but I'm not sure this is what you mean, do you mean the level of variant expression?] the level of detection by standard clinical testing methods. The clinical implications of these latter findings have yet to be elucidated <sup>119</sup>.

Analyses of families with multiple Wilms tumours have uncovered other predisposition genes <sup>18,120,121</sup>. No consistent syndromic features are associated with variants in these genes, but these descriptions are expected to evolve along with the literature. In total, ~10% of Wilms tumours are associated with a known predisposing syndrome or constitutional genetic variant.

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#### 543 [H1] Familial loci and genes

Only 1–2% of Wilms tumours cluster within families, and the genetic causes underlying 544 these rare pedigrees are heterogeneous. The majority of Wilms tumour pedigrees are 545 consistent with an autosomal-dominant mode of inheritance with incomplete 546 penetrance. Some families with Wilms tumour [Au:OK?] have been associated with 547 syndromic conditions <sup>122</sup>. WT1 germline abnormalities without syndromic signs have 548 been reported in four families <sup>122</sup>. Segregation analyses involving different families 549 identified two main familial Wilms tumour loci: FWT1, mapped to chromosome 17q12-550 q21, and *FWT*2 mapped to chromosome 19q13.5<sup>123-125</sup>. Wilms tumours in familial 551 *FWT1*-linked pedigrees tend to be diagnosed at a later age than sporadic cases, the 552 penetrance of *FWT1* mutation is ~30%, and tumours do not show loss of the wild-type 553 allele, indicating that FWT1 does not behave as a classic tumour suppressor gene 554 <sup>123,124</sup>. Wilms tumours in familial *FWT2*-linked pedigrees do not show loss of the wild-555 type allele, whereas 19q loss was observed in Wilms tumours in two families in which 556 predisposition was not due to FWT2, suggesting a two-locus mutational model for the 557

ethiology of familial Wilms tumours <sup>125</sup>. [Au: what does this observation suggest
 and what implications does it have? Please comment here.]

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Whole-exome sequencing studies have disclosed new familial Wilms tumour genes, 561 CTR9, REST and TRIM28, all of which showed an incomplete penetrance of the 562 germline mutation for the development of Wilms tumour <sup>17,18,120,121,126,127</sup>. Biallelic 563 truncating mutations in NYNRIN (for which very little concerning its function is known), 564 inherited from heterozygous parents, were also identified <sup>18</sup>. Mutations in CTR9, a 565 gene coding for a component of PAF1c complex, have been identified in four families 566 with Wilms tumours. All investigated CTR9-mutated Wilms tumours showed a second-567 hit possibly inactivating the wild-type allele <sup>121,126</sup>. *REST* codes for a transcriptional 568 repressor with a crucial role during embryonic development and neurogenesis <sup>120</sup> [Au: 569 **Please reference this statement.]**. In total, eleven different inactivating mutations 570 have been identified in 16 individuals from four familial WT pedigrees <sup>120</sup>. TRIM28 571 codes for a transcriptional co-repressor, located at 19q13.4 in the proximity of the 572 putative familial *FWT2* locus <sup>127</sup> [Au: Please reference this statement.] . Alterations 573 in TRIM28 in familial Wilms tumour pedigrees have been identified in three studies 574 <sup>17,18,127</sup>[Au: Please reference this statement.]. TRIM28 mutations showed a strong 575 parent-of-origin effect, being maternally transmitted in all informative cases. 576 Furthermore, all investigated TRIM28-mutated Wilms tumours also showed loss of the 577 wild-type allele and a predominantly epithelial histology <sup>17,18,127</sup>. However, in 60–70% 578 of families with Wilms tumours, no causative molecular variant has been uncovered, 579 suggesting that the number of children with Wilms tumour caused in part by 580 constitutional genetic changes is even higher than reported <sup>18</sup>. 581

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#### [H1] Links with kidney development [Au:OK?]

<sup>585</sup> Wilms tumours variably display a unique histology consisting of cells similar to <sup>586</sup> undifferentiated metanephric mesenchyme (blastema) and also more differentiated <sup>587</sup> cells (stroma and tubular epithelial cells normally derived from metanephric <sup>588</sup> mesenchyme), suggestive that tumours arise as a result of aberrant kidney <sup>589</sup> development <sup>128,129</sup>. Genetic, gene expression, methylation and mouse model studies <sup>590</sup> support this model of tumorigensis <sup>16,47,48,50</sup>. [Au: We do not stack headings one on

top of the other, so please provide a brief introductory statement to this section,

#### avoiding phrases such as 'below' and 'in this section' as we do not signpost.]

#### [H2] Embryonal precursors of Wilms tumour

Modern molecular findings support the embryonic renal origins of Wilms tumours. 594 Many of the recurrently mutated genes within Wilms tumours have crucial roles in renal 595 development <sup>30,62,94,130</sup>. Additionally, several independent groups have described RNA 596 expression profiles in Wilms tumours that reflect various stages of renal development 597 including the uninduced metanephric mesenchyme, cap mesenchyme, and epithelium 598 after the mesenchymal-epithelial transition <sup>47,131</sup>. Genes overexpressed in embryonic 599 kidney and Wilms tumours include OSR1, SIX1, HOXA11, and WT1. In keeping with 600 this RNA expression profile, immunostaining patterns in Wilms tumours suggest an 601 embryonic renal origin — particularly the combined CD56<sup>+</sup> and [Au: what does the 602 solidus denote here? Please clarify.] WT1<sup>+</sup> pattern <sup>132</sup>. 603

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A detailed investigation into the RNA expression landscape of Wilms tumours on a single-cell basis has been published <sup>133</sup>. Tumours were investigated along with a set of adult, paediatric, and fetal non-neoplastic kidneys. Malignant cells within Wilms

tumours clustered into four major groups: one cluster was consistent with
differentiated fibroblast-like cells, whereas the other three clustered with fetal kidney
elements; two of these clusters reflected specific embryonal renal elements — the
ureteric bud and primitive vesicle. This embryonal pattern of gene expression was
unique to Wilms tumours compared with other renal tumour types including renal cell
carcinomas, rhabdoid tumours, and congenital mesoblastic nephromas.

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Results of a second landmark study supported the early embryonal origins of Wilms 615 tumours, showing that ~60% of Wilms tumours arise within a background of clonal 616 nephrogenesis - non-neoplastic kidney cells expanded from a clone that is not 617 present in other tissues <sup>16</sup>. Kidneys exhibiting clonal nephrogenesis often had gains of 618 methylation at the H19 imprinting control region that leads to overexpression of the 619 growth factor IGF2 [Au: why is this important in context? Please explain. What 620 implications does it have? Please comment.] <sup>134</sup>. Evidence of clonal nephrogenesis 621 was found in all four instances of bilateral Wilms tumours in this study [What 622 implications does it have? Please comment.]. These findings support the role of 623 clonal nephrogenesis in tumour development as it is associated with over expression 624 of a known Wilms tumour oncogene (IGF2) and is associated with the specific 625 phenotype of bilateral disease. 626

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In summary, findings in the past decade have supported the long-held supposition that
 the origins of Wilms tumour are embryonal rather than mature renal tissues.

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[H2] Clinically Relevant Tumour Subsets and a Revised Ontogenic Model [Au:
 heading edited for length.]

Gene expression analyses of a population-based set of 224 FHWT [Au: do you mean 633 FHWT here? If not please define 'FH'] treated with immediate surgery (65 of which 634 were from patients who subsequently experienced relapse) delineated five subsets 635 with characteristic gene expression patterns <sup>47</sup>. These subsets were further 636 characterized by tumour histology; frequency of WT1, CTNNB1 exon 3, and WTX 637 mutations and 11p15 LOH and/or [Au: What does the solidus denote here, 'and', 638 'or', or 'and/or'? Please clarify.] loss of imprinting (LOI) [Au: please define 'LOI'] ; 639 frequency of associated nephrogenic rests; age at tumour diagnosis; and frequency 640 of relapse. Subset 1 (S1) tumours were a distinct set, distinguished by a decreased 641 expression of genes usually expressed during kidney development and an increased 642 expression of genes expressed at late stages of renal epithelial differentiation. These 643 tumours were diagnosed at an early median age (14 months), but were not associated 644 with nephrogenic rests. WT1, CTNNB1, and WTX mutations were not detected, and 645 11p15 LOH and/or [Au: What does the solidus denote here, 'and', 'or', or 'and/or'? 646 Please clarify.]LOI was rare (9%). No relapses were observed in this subset of 647 patients. Tumours from subsets 2, 3 and 4 (S2, S3, and S4, respectively) all had a 648 mixed histology. S2 tumours displayed increased expression of genes expressed very 649 early in kidney differentiation (intermediate mesoderm and metanephric mesenchyme) 650 [Au: what was the clinical outcome for patients with this tumour subset? Please 651 add.]. Over half displayed WT1 and CTNNB1 mutations (54% and 55%, respectively) 652 and 11p15 LOH and/or [Au: What does the solidus denote here, 'and', 'or', or 653 'and/or'? Please clarify.]LOI (68%) and were associated with intralobar nephrogenic 654 rests (ILNR, 74%). S3 tumours were very similar to S2 with respect to WT1 mutation 655 and ILNR frequency, but did not display an increased expression of intermediate 656 mesenchyme genes, and had a lower frequency of 11p15 LOH and/or [Au: What 657

does the solidus denote here, 'and', 'or', or 'and/or'? Please clarify.]LOI and 658 CTNNB1 mutations than S2 tumours [Au: what was the clinical outcome for 659 patients with this tumour subset? Please add.]. The S4 tumours displayed an 660 increased frequency of 11p15 LOH and/or [Au: What does the solidus denote here, 661 'and', 'or', or 'and/or'? Please clarify.]LOI (80%) and a reduced frequency of WT1 662 and CTNNB1 mutations [Au: what was the clinical outcome for patients with this 663 tumour subset? Please add.]. S5 tumours, comprising the largest subset, also 664 expressed genes characteristic of metanephric mesenchyme, but had a more variable 665 histology and a higher frequency of 11p15 LOH and/or [Au: What does the solidus 666 denote here, 'and', 'or', or 'and/or'? Please clarify.]LOI than S2 and S3 tumours 667 and a lower frequency of associated ILNRs than subsets S2, S3, and S4. Interestingly, 668 perilobar nephrogenic rests (PLNR) were uniquely observed in S5 tumours (25%). 669 From these data, S2 tumours were suggested to arise early in kidney development in 670 the intermediate mesoderm and S3, S4 and S5 tumours to arise at a slightly later stage 671 (in the metanephric mesenchyme), whereas S1 tumours were suggested to arise from 672 post-induction epithelial precursors. Subsequent work identified mutations in genes 673 (such as SIX1 and SIX2, DGCR8, DROSHA and DICER1) that have important roles in 674 the regulation of self-renewal and differentiation of the nephrogenic zone 675 <sup>62,91,94,98,135,136</sup> [Au: Please reference this statement.]. Many of the S5 tumours were 676 subsequently found to carry mutations in these genes. Tumours with these mutations 677 were associated with PLNR, blastemal-predominant histology, and a poor clinical 678 outcome [Au: please add the p values]. While in this study the relapse rates in the 679 five subsets showed clear differences, they did not achieve statistical significance <sup>47</sup>. 680 [Au: Please draw a conclusion from these results. What are their implications? 681 **Please comment.**] 682

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#### [H2] DNA methylation and developmental pathways

DNA methylation is a powerful and accessible marker reflecting cell of origin and 685 developmental pathways <sup>137</sup>. An increase in DNA methylation at the *H19* locus is also 686 the most common recurrent molecular change found in Wilms tumours <sup>19</sup>. This 687 background spurred several independent groups to look at genome-wide DNA 688 methylation profiles in Wilms tumours to describe subgroups. Considerable 689 differences exist between the approaches and subgroups presented in each paper, 690 but all described a subset of Wilms tumours with similar features to non-neoplastic 691 tissue <sup>48,138,139</sup>. Importantly, this finding was consistent between SIOP and COG 692 groups, indicating that these features are not influenced by chemotherapy exposure. 693

DNA methylation subgroups hold promise for future clinical use as biomarkers of 694 tumour behaviour. The subgroup with non-neoplastic molecular features has unique 695 clinical characteristics, including a high frequency of tumours from patients with 696 bilateral disease <sup>48,138</sup> and, in one study, a trend towards a reduced risk of relapse <sup>138</sup>. 697 Interestingly, the one large study investigating DNA methylation profiles that did not 698 describe a non-neoplastic-like subgroup only included cases from children whose 699 tumours eventually recurred or had diffuse anaplasia <sup>30</sup>. The absence of this non-700 neoplastic-like subgroup only within a cohort of patients with poor outcomes supports 701 the hypothesis that this subgroup represents tumours with low relapse potential. 702

The results of these studies suggest that a differentiation process that is associated with a reduced risk of relapse might be occurring in some Wilms tumours. These tumours might eventually be candidates for a trial of therapy reduction — an approach that could be especially important for children with bilateral disease who are at increased risk of renal failure <sup>140</sup>. Further studies of this subgroup of tumours —

- particularly the mechanisms by which they differentiate might result in discovery of
   a pathway that can be targeted by a novel therapeutic approach.
- 710

#### 711 [H2] Endogenous Mouse Models

The first genetically engineered mouse model relevant for Wilms tumour was the Wt1<sup>-</sup> 712 mouse (strain *Wt1<sup>tm1Jae</sup>*) carrying a large intragenic *Wt1* deletion <sup>34</sup>. Mice heterozygous 713 for this deletion (*Wt1+/-*)[Au: It is currently unclear whether you are referring to the 714 same or a different model to the one mentioned in the previous sentence. Please 715 clarify. Please be consistent with terminology where relevant to aid reader 716 understanding.] disappointingly do not develop Wilms tumours in the same way 717 children heterozygous for a germline WT1 inactivating allele do <sup>20,34</sup>[Au: Please 718 reference this statement.]. Nevertheless, the *Wt1tm1Jae* [Au: Is this the same starin 719 as in the previous sentence, please clarify.] strain has provided invaluable insight 720 regarding the role of *Wt1* in kidney development, notably that *Wt1* is essential for the 721 survival of intermediate mesoderm and that its loss affects kidney development at all 722 developmental stages <sup>34,141</sup>. The generation of a conditional *Wt1*-null strain, *Wt1<sup>tm2Vih</sup>*, 723 resulted in the first endogenous mouse model for Wilms tumour <sup>50</sup>. This model was 724 created by combining somatic ablation of Wt1 at early stages of kidney development 725 with loss of *IGF*2 imprinting, two genetic alterations observed in some human Wilms 726 tumours, including those developing in children with WAGR <sup>50</sup>. The tumours arising in 727 the mice display the triphasic histology typically (but not universally) observed in 728 patients with Wilms tumour, consistent with the induced loss of Wt1 early in 729 metanephric mesenchyme development. Subsequently, targeting of Wt1 loss to 730 committed renal epithelial progenitors at different stages of nephric differentiation and 731 pairing this loss with either *lgf2* loss [Au:OK?] of imprinting or *Ctnnb1* activation 732

(observed in a subset of human Wilms tumours) resulted in mouse tumours with 733 increased epithelial histology, consistent with the targeting of increasingly 734 differentiated epithelial progenitors <sup>142</sup>. Notably, targeting of these mutations to murine 735 [Au: mouse?] stromal progenitors did not result in tumours, despite stromal elements 736 frequently being histologically observed in human Wilms tumours. These data suggest 737 that such elements arise from malignant mesenchyme that subsequently differentiates 738 along a stromal pathway. In a subsequent mouse model, *Ctnnb1* activation paired with 739 an activated Kras allele resulted in primitive renal epithelial tumours similar to the 740 epithelial components of human Wilms tumours <sup>143</sup>. These data suggest a synergy 741 between *Ctnnb1* and *Kras* for mouse tumour development, although *KRAS* mutations 742 are rare (<1%) in human Wilms tumours and are not observed in association with 743 CTNNB1 alterations <sup>30</sup>. 744

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The initial observation of DROSHA mutations in human tumours <sup>98</sup> implicated the 746 miRNA processing pathway in both kidney development and Wilms tumour. 747 Overexpression of Lin28B, a component of this pathway, in intermediate mesoderm 748 was found to result in triphasic tumours and also continued proliferation of cap 749 mesenchyme in adult animals. Targeting Lin28B overexpression to increasingly 750 differentiated cell populations was not tumorigenic <sup>136</sup>. These data are consistent with 751 the role of DICER, critical for the biogenesis of miRNAs, in regulating cap 752 mesenchyme progenitor self-renewal and differentiation <sup>144</sup>[Au: Please reference 753 this statement.]. 754

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In summary, the various Wilms tumour mouse models have greatly increased our
 understanding of the genes and cellular pathways that are crucial for kidney

development and how perturbations of these pathways can result in malignancy. Many
of the genes most frequently altered in human tumours are known to influence murine
[Au: mouse?] kidney development and, in some cases, result in tumours. These data
suggest that the mouse will continue to be a relevant model for understanding the
mechanism by which other mutations identified in human tumours dysregulate kidney
development and result in malignancy.

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### [H1] The history of prognostic markers

The current treatment strategies focus on optimizing EFS and reducing the risk of treatment-related toxicities, wherever possible <sup>3,4</sup>. Biomarker studies aim to improve outcomes of treatment by identifying patients at higher risk of relapse (and thus also avoiding the overtreatment of patients at lower risk of relapse), to monitor them during treatment in order to detect recurrences, and to develop biomarkers evaluable by liquid biopsies.

introductory statement to this section, avoiding phrases such as 'below' and 'in

- this section' as we do not signpost.]
- [H2] LOH of chromosome arms 1p and 16q

In 1989, the discovery was made that the gene predisposing to familial Wilms tumour did not map to the expected locus at 11p13 in two large families <sup>11,145</sup> as predicted by the region of deletion in patients with the Wilms and aniridia syndrome. Subsequently, somatic LOH in Wilms tumours genomes were postulated to point to the location of

<sup>[</sup>Au: We do not stack headings one on top of the other, so please provide a brief

another Wilms tumour suppressor gene in familial, and perhaps some sporadic Wilms tumours. An analysis of an unselected series of tumours, using Southern blot analysis of genetic polymorphisms near the telomeres of each chromosomal arm revealed LOH in 20% of cases at 16q, and at 1p in 12% in addition to the expected 40% rate at 11p with none or fewer than 5% losses observed at loci near the telomeres of other chromosomes <sup>146</sup>. These isolated instances of LOH were considered random events and were too infrequent to be predictive of any tumour-specific characteristic.

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Supporting evidence for this finding was sought by analysing an increased number of 791 tumours and to determine whether any clinical correlates to LOH of the putative 792 underlying tumour suppressor genes exist. Such a correlation would add to the 793 evidence that the LOH was clinically [Au:OK?] significant, rather than being a random 794 event, and could possibly provide a marker to guide clinical management. An analysis 795 of 232 Wilms tumours collected through the Pediatric Oncology Group using PCR-796 based polymorphic loci confirmed the non random losses of 16q and 1p. No 797 correlations between LOH and histology or stage were found, but an at least a three-798 fold increase in relapse and deaths in those with LOH was observed <sup>147</sup>. The reduced 799 incidence of 1p loss limited the statistical power to demonstrate associations. 800

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The fifth National Wilms tumour Study (NWTS-5) tested the hypothesis that LOH 16q and/or 1p was associated with an inferior EFS and/or OS. An analysis of >1,700 patients with FHWT accrued over 5 years examined the effect of LOH among patients grouped as stage I/II favourable-histology [Au:OK?] disease (who were treated similarly with a two-drug chemotherapy regimen of vincristine and actinomycin D, EE4A). The risks of relapse and death were increased for LOH 1p, and for combined

1p and 16q LOH, in comparison with patients with no LOH at either locus [Au:OK?]. 808 Patients with LOH 16g alone had an inferior EFS but not OS. The risks of relapse and 809 death for patients with Stage III or IV favourable-histology tumours (also treated 810 similarly but with the addition of a third drug, doxorubicin, and usually radiotherapy) 811 were increased only with LOH for both regions. Subsets of patients whose tumours 812 have LOH 1p and/or 16q are at increased risk of relapse and/or death. The association 813 is particularly marked for patients with tumours with combined LOH 1p and 16q, when 814 examined by clinico-pathological groupings of stage I/II and stage III/IV<sup>148</sup>. Results of 815 an investigation of 426 FHWT treated according to the UKW1-3 clinical trials 816 supported that 16q LOH is an adverse risk factor <sup>149</sup>, and the results of analysis of 125 817 FHWT enrolled in the Italian Association of Pediatric Hematology and Oncology 818 (AIEOP)-TW-2003 protocol supported that 1p LOH is a marker of poor prognosis <sup>150</sup>. 819 While rare combined LOH 1p and 16q was not analyzed in the SIOP cohorts, 820 simultaneous copy number loss at both chromosome arms was infrequent and not 821 prognostically significant, perhaps due to limitations in cohort size <sup>92</sup>. 822

823

Whether the outcome for patients with tumours with LOH 1p and 16q could be 824 improved by augmenting therapy was then investigated. Treatment was augmented 825 for low-stage (I, II) tumours by adding doxorubicin to vincristine and actinomycin 826 (regimen DD4a), and for high-stage (III, IV) by adding cyclophosphamide and 827 etoposide to the previous standard therapy (regimen M)<sup>151</sup>. The results of these 828 studies by COG demonstrated that the 4-year EFS for patients with stage I or II 829 disease was improved to 87.3% from 68.8% and for patients with stage III or IV 830 disease to 90.2% from 61.3%. [Au: Peer reviewer 1 asked about SIOP data 831 regarding LOH at 1p and/or 16q to which you replied "data were negative and 832

published by Chagtai T. et al. J Clin Oncol 2016. It wasn't quite LOH but it was
copy number loss, which accounts for 90% of the cases with 1p and 16q LOH"
but you don't seem to mention this in this section. For completeness and reader
understanding I think it important to add this a discuss it the context of the other
results. Please do here.]

838

Thus, LOH at 1p and 16q provides a useful marker of adverse prognosis that can be 839 demonstrably overcome by augmentation of therapy. In addition, an analysis of 840 patients with stage III FHWT enrolled in AREN0532 demonstrated that LOH 1p or 16q 841 in the presence of positive lymph node involvement by Wilms tumour resulted in an 842 inferior EFS to those without <sup>152</sup>. This finding will be used in the upcoming COG FHWT 843 risk stratification schema. However, the greatest limitation of these prognostic factors 844 is they identify only a minority of patients at increased risk of relapse, which has driven 845 the search for more effective markers. 846

847

#### 848 [H2] 1q gain

Gain of 1g is a recurrent chromosomal aberration in Wilms tumour. Results of 849 cytogenic studies in the 1980s and 1990s showed relatively frequent full or partial 1q 850 trisomy in Wilms tumour series, most commonly the result of unbalanced 851 translocations [Au: Please provide the primary references for these studies.] <sup>153-</sup> 852 <sup>155</sup>. Results of a metaphase comparative genomic hybridisation (CGH) analysis of 853 tumours from 46 relapsing and 21 non-relapsing Wilms tumours <sup>156</sup> showed that 1q 854 gain was significantly associated with relapse (p-value = 0.019) [Au: please add the 855 **p** value] and was detectable in specimens sampled at the time of initial diagnosis, 856 suggesting that this aberration might be a biomarker of prognostic relevance. Partial 857

gain of 1q overlapping with the 1q21-25 region, was found in several samples, but
most tumours with 1q gain had whole-arm gain, rather than focal events that might
implicate a specific driver gene <sup>156</sup>. [Au: Please reference this statement.] . [Au:
what do these observations suggest, what implications do they have? Please
comment.]

Early analyses of gene expression in Wilms tumour also highlighted the potential 864 clinical significance of the 1g region in tumour recurrence. In a small series analysed 865 using comparative expressed sequence hybridisation (CESH) on metaphase spreads 866 <sup>157</sup>, overexpression of 1g seemed to be associated with relapse. A specific association 867 between relapse and 1q expression in general did not emerge in a subsequent 868 expression array study <sup>158</sup>, but classifiers that distinguished between tumours with and 869 without 1g gain were enriched in genes that mapped to the 1g region, suggesting that 870 the increased copy number was able to drive overexpression. 871

872

Analyses with high resolution array CGH platforms supported and extended the 873 metaphase CGH results. In a genome-wide bacterial artificial chromosomes (BAC) 874 [Au: please define 'BAC'] array analysis of 76 Wilms tumours, including 37 that 875 subsequently relapsed, 1q gain was found to be one of the most significant events 876 [Au: please add the p value] associated with recurrence (p-value < 0.001)<sup>159</sup>. 877 Similarly, in a single-nucleotide polymorphism (SNP) array study of 77 Wilms tumours 878 including 17 relapsing cases, 1q gain in the broad 1q21.1-1q31.3 region was 879 significantly associated with relapse (Q-bound=0.006 for the 1g region with the highest 880 level of association with relapsing patients, where Q-bound is the p-value corrected 881 for multiple testing) [Au: please add the p value] <sup>160</sup>. These results were consistent 882

<sup>863</sup>
with a large nationwide cytogenetic analysis of 331 Wilms tumours <sup>161</sup>, in which 1q 883 gain was found to be independently associated with poor EFS and OS in multivariable 884 analyses that took account of age at diagnosis, tumour stage, anaplasia and other 885 common cytogenetic abnormalities. The results of this study also supported that 1q 886 gain in Wilms tumour is predominantly the result of unbalanced chromosomal 887 translocations, with 16q the most frequent partner, and isochromosome 1q. These 888 events are not necessarily clonal aberrations found throughout the tumour. In a SNP 889 array analysis of tumours sampled at multiple spatial positions <sup>162</sup>, intra-tumour 890 heterogeneity of 1g gain was detected in the majority of cases, which has considerable 891 implications for tumour evolution (as it suggests that 1q gain is not one of the earliest 892 events in tumorigenesis) and sampling (as biomarker studies might require at least 893 three samples per tumour to be reasonably certain of detecting gain when it is 894 heterogeneous). 895

896

As 1q gain is a relatively common aberration, associated with poor outcome but not 897 restricted to tumours with high-risk histology, it is potentially an attractive biomarker 898 for treatment stratification, which is still largely dependent on histopathological and 899 clinical staging criteria. Several studies have now been conducted to rigorously test 900 the prognostic value of 1q gain. Using multiplex-ligation dependent probe amplification 901 (MLPA) assays, the COG RTC and the SIOP-RTSG have analysed large panels of 902 samples from patients treated predominantly with immediate nephrectomy (COG) or 903 pre-operative chemotherapy (SIOP-RTSG). In an initial COG study including 212 904 patients with FHWT<sup>163</sup> and a follow-up study of 1,114 patients <sup>164</sup>, 1g gain was 905 significantly associated with poorer EFS (p-value < 0.001 in the larger cohort) and OS 906 (p-value < 0.001 in the larger cohort) in patients who received immediate nephrectomy 907

[Au: please add the p value], and significance was retained for EFS in a 908 multivariable analysis (p-value < 0.001 in the larger cohort) [Au: please add the p 909 value]. In the SIOP-RTSG study involving 586 patients, univariable analyses showed 910 a significant association between 1q gain and poor EFS (p-value < 0.001) and OS (p-911 value = 0.01) in patients who had received neoadjuvant chemotherapy [Au: please 912 add the p value], and significance was retained for EFS in subsets with SIOP 913 intermediate-risk localized disease (p-value = 0.004) [Au: please add the p value] or 914 non-anaplastic localized disease (p-value = 0.001) [Au: please add the p value], 915 and in a multivariable model that included stage, histology, sex, age and loss of 1p or 916 16q (p-value = 0.002) <sup>92</sup>. [Au: Peer reviewer 1 asked about SIOP data regarding 917 gain of 1p to which you replied "This is in the addendum of the paper by Chagtai 918 T. et al. J Clin Oncol 2016 (only raw data, but it was not significant, likely due to 919 small sample size)" but you don't seem to mention this in this section. For 920 completeness and reader understanding I think it important to add this a 921 discuss it the context of the other results. Please do here.] Subgroup analysis for 922 clinical stages was not informative in this cohort <sup>92</sup>. 923

924

The utility of 1q gain as a clinical biomarker is becoming clearer, but the fundamental mechanism of the influence of this aberration on disease outcome remains unknown. To date, no compelling 1q candidate gene has been identified as a specific driver of poor outcome, and multiple genes in this large chromosomal region, modulated by increased copy number, could have a role in the phenotype.

930

# [H2] 1p and 16q LOH and 1q gain in clinical protocols

Patients with LOH of 1p and 16q were found to have a poor prognosis in multiple 932 studies. Importantly, this adverse prognosis can be overcome with intensified therapy, 933 as shown in COG AREN0532<sup>151</sup>[Au: please add the trial as a numbered reference 934 to your reference list and cite here.] (for patients with stage I/II disease) and COG 935 AREN0533 (for patients with stage III/IV disease) <sup>151</sup>[Au: please add the trial as a 936 numbered reference to your reference list and cite here.] . However, patients with 937 combined LOH of 1p and 16q only account for ~6% of all Wilms tumours. By contrast, 938 chromosome 1q gain is considerably more prevalent than LOH of 1p and 16q. 939 Depending on disease stage, 1q gain is present in 20-40% of patients with Wilms 940 tumour <sup>92,161,163,164</sup>. Also, prevalence of 1q gain increases with tumour stage <sup>163</sup>, 941 making this marker particularly desirable for stratification. The currently open SIOP-942 RTSG UMBRELLA 2016 protocol will validate the importance of 1q gain <sup>165</sup>. In the 943 next COG favourable-histology protocol, 1g gain will be used to stratify treatment. 944 Patients with 1q gain will receive augmented therapy, whereas those without 1q gain 945 will be candidates in some situations for less intensive therapy. If successful, this 946 strategy will improve survival for patients with high-risk disease, and reduce the risk of 947 toxic or late effects for patient whose disease has a more favourable risk. 948 Early evidence suggests that these adverse biomarkers (LOH 1p, 16q and 1q gain) 949 can be detected as circulating tumour DNA (ctDNA) in blood and urine [Au: please 950 add the details of this evidence to more fully address the peer reviewer 951 comment. What did the study show specifically?] <sup>166</sup>. Current studies both in the 952 COG and SIOP context are still exploratory: validation of ctDNA in future studies 953 might overcome challenges posed by tumour molecular heterogeneity and enable 954 this technology to be used in future risk stratification. 955

956

## [H2] TP53 mutation and prognosis

In one of the first studies in which the TP53 status was evaluated in Wilms tumour, 957 matched anaplastic and non-anaplastic areas of seven Wilms tumours were analysed: 958 six had TP53 mutations, with five having mutations identified only in the anaplastic 959 area <sup>167</sup>, suggesting an association between *TP53* mutation and anaplastic cells. In 960 Wilms tumours, anaplasia is associated with poor prognosis <sup>3,168</sup>[Au: Please 961 reference this statement.], so whether the presence of TP53 alterations is an 962 additional adverse prognostic factor was investigated. Initially, a cohort of 40 Wilms 963 tumours in which the anaplastic area was evaluated suggested that DAWTs with wild-964 type TP53 had a better outcome than DAWT with TP53 alterations <sup>58</sup>. Similarly, in 965 tumours treated in the SIOP 2001 trial, an exploratory analysis of TP53 (17p) by MLPA 966 showed an association between TP53 loss and inferior EFS and OS in a series of 586 967 Wilms tumours with various histologies, and in subsets excluding high-risk histologies 968 or all anaplastic tumours <sup>92</sup>. Furthermore, an analysis of 118 tumours suggested that 969 in addition to TP53 alterations, stage should be considered for patient stratification. 970 Patients with stage III or IV DAWTs containing TP53 abnormalities experienced 971 relapse and death at higher rate (61%) than those with stage III and IV DAWT without 972 detectable TP53 abnormalities (13%), whereas in patients with stage I and II disease 973 no difference was observed in outcome based on *TP53* status <sup>59</sup>. In another study, 974 molecular characterization of Wilms tumours of any histology from patients who died 975 indicated that 90% of anaplastic tumours and 26% of non-anaplastic tumours 976 harboured *TP53* mutations <sup>60</sup>. In a cohort of 344 patients enrolled in the Japan Wilms 977 tumour study group, < 5% (17) were diagnosed with anaplastic Wilms tumour and only 978 two had TP53 mutation, both with diffuse anaplasia. The 4-year EFS and OS rates 979

were 90.9% and 86.7%, respectively. The good outcome [Au: which was what? 980 **Please add the data that supports this statement**] of patients with anaplastic Wilms 981 tumours in this cohort is probably related to most cases being classified in the early 982 stages of disease [Au: of disease? What stage were they? Please add this 983 information for reader understanding.] rather than genetic alterations <sup>169</sup>. Thus, in 984 Wilms tumour, the effect of anaplasia on outcome is clear, but the question of whether 985 TP53 mutation provides additional information is not and, therefore, its use in risk 986 stratification remains exploratory. [Au: Added from your rebuttal to more 987 completely address the peer reviewer comment in text.] Intratumoral somatic 988 heterogeneity of TP53 mutation can potentially be overcome by the use of liquid 989 biopsies, as suggested by the detection in ctDNA of children with anaplastic Wilms 990 tumours of mutant TP53 even in presence of intratumour heterogeneity [Au: how? 991 **Please explain for reader understanding.]** <sup>170</sup>. Identification of therapies that target 992 p53 remains a priority for advancing the care of children with anaplastic Wilms tumour. 993 As yet, no definable therapies taking advantage of this biological finding have proven 994 beneficial. [Au: Added from your rebuttal to more completely address the peer 995 reviewer comment in text.] 996

997

## [H2] *MYCN* gain and prognosis

<sup>999</sup> SNP array copy number profiling of Wilms tumours from patients treated under SIOP <sup>1000</sup> protocols suggested an association between *MYCN* status and anaplasia, with copy <sup>1001</sup> number gain detected in nearly a third of high-risk diffuse anaplastic tumours <sup>88</sup>. This <sup>1002</sup> finding was subsequently supported by results of a large-scale copy number <sup>1003</sup> biomarker study using MLPA, in which 40% of diffuse anaplastic tumours analysed <sup>1004</sup> had 2p gain, three-quarters [Au:OK?] of which had gain restricted to a narrower region

of 2p that included the MYCN-specific probe on 2p24 but not a DYSF probe on 2p13.2 1005 [Au: than what? Please add the comparator here for clarity.] <sup>92</sup>. MYCN gain as an 1006 independent prognostic factor for outcome was also investigated in this study<sup>92</sup>. MYCN 1007 gain was significantly associated with poor EFS (p-value = 0.01 for 2p gain in general; 1008 p-value = 0.002 for the narrower region) [Au: please add the p value] and OS (p-1009 value = 0.04 for 2p gain in general; p-value = 0.003 for the narrower region) [Au: 1010 please add the p value] in the complete series of 586 tumours of all subtypes, as well 1011 as in subsets including only SIOP intermediate-risk or non-anaplastic tumours. These 1012 results, together with reports suggesting an association between MYCN expression 1013 levels and adverse outcome in series including fewer samples <sup>90,93</sup> indicate that MYCN 1014 status is a promising prognostic biomarker for Wilms tumour, as it is in several other 1015 paediatric tumours <sup>81-84</sup>, and that the MYCN pathway is potentially a target for 1016 therapeutic interventions. 1017

1018

## 1019 5.6. Blastemal volume

Approximately 40% of Wilms tumours show blastemal predominance after primary 1020 surgery, whereas after preoperative chemotherapy only 10% of Wilms tumours belong 1021 to the blastemal subtype <sup>171</sup>[Au: Please reference this statement.] . Neither 1022 pathology nor imaging studies are, at the moment, helpful to distinguish between 1023 blastema that will or will not respond to chemotherapy. In SIOP-9 (the 5<sup>th</sup> clinical trial 1024 run by the SIOP-RTSG), the influence of histological subtypes after pre-operative 1025 chemotherapy in non-anaplastic nephroblastoma was shown retrospectively for the 1026 first time <sup>171</sup>. Viable blastemal content correlates with a worse outcome <sup>172</sup>. Blastema 1027 itself is characterized as a tumour component composed of primitive undifferentiated 1028 cells showing no specific differentiation pattern (FIG.4) [Au: have you got a 1029

previously unpublished histological image of this we could add a figure? it 1030 would be a useful tool for the reader.] <sup>173</sup>. A comparison of data from the 6<sup>th</sup> trial 1031 (SIOP 93-01) and the 7<sup>th</sup> trial (SIOP 2001), in which, for the first time, the blastemal 1032 subtype being treated as a high-risk tumour with intensified chemotherapy showed 1033 improved outcomes for these patients in SIOP 2001 [Au:OK?]. The 5-year EFS in 1034 SIOP 2001 was 80% compared with 67% in SIOP 93-01 (p-value = 0.006) <sup>174</sup>. Such 1035 an improvement based on a histological subtype highlights the need for improved 1036 classification of treatment-resistant blastema. According to the revised SIOP 2001 1037 working classification of renal tumours, blastemal type Wilms tumour is based on 1038 relative volume measures, of which >66% of the viable tumour component consists of 1039 blastema in a tumour with more than one-third viability <sup>175</sup>. This classification neglects 1040 the absolute volume of viable blastema, where the same blastemal volume can end 1041 up in different histological risk groups resulting in more or less intensive treatment for 1042 patients (for example, volume after preoperative chemotherapy of 200 ml in two 1043 different tumours, one tumour with 70% necrosis and 90% surviving blastema and the 1044 other one with 60% necrosis and 70% blastema: the blastemal volume in these 1045 tumours is 54 ml vs 56 ml nearly the same, but the one with 70% necrosis is per 1046 definition a regressive type and the other one a blastemal type high risk tumour) [Au: 1047 meaning what? What implications does this have? Please comment.]. In a 1048 retrospective analysis of SIOP 2001, a threshold of ~20 ml residual blastemal volume 1049 in localized nephroblastoma was suggested as a poor prognostic marker <sup>176</sup>. In the 1050 ongoing SIOP-RTSG UMBRELLA 2016 protocol <sup>165,173</sup>[Au: Please reference this 1051 **statement.**], such a prognostic threshold will be prospectively analyzed. Besides 1052 volume aspects, correlations of blastema with specific molecular findings are currently 1053 under investigation. 1054

1055

#### [H2] Prognostic factors in very specific risk groups

Different studies provide a biological explanation for the clinical and pathological 1057 heterogeneity seen within Wilms tumour and support a model of Wilms tumour 1058 ontogeny in which both the type of initiating genetic event and the developmental stage 1059 in which it occurs are important determinants. One novel subset included epithelial 1060 Wilms tumours in infancy that lacked nephrogenic rests and did not recur; these 1061 displayed a gene expression pattern similar to [Au:OK?] the post-induction nephron 1062 <sup>47,177</sup>. This subset has now been shown to be characterized by recurrent mutations in 1063 TRIM28<sup>178</sup>. This subset is, in part, responsible for the excellent outcome of infantile, 1064 stage I Wilms tumour, as well as those with epithelial predominance <sup>179,180</sup>. A cluster 1065 of three Wilms tumour subsets are characterized by low WT1 expression (often 1066 accompanied by WT1 mutation) and ILNR<sup>47</sup>. Recurrent mutations in MLLT1 and WTX 1067 have been identified within these subsets, pointing toward interference in 1068 mesenchymal-epithelial transition as an underlying mechanism for Wilms tumour 1069 development <sup>30</sup>. A final Wilms tumour subset comprises over 70% of Wilms tumours 1070 and is characterized by biallelic methylation of IC1 on 11p15, and by a pre-induction 1071 gene expression profile <sup>47</sup>[Au: Please reference this statement.] . Subsequent 1072 studies have demonstrated recurrent gain of LIN28, or recurrent mutations in 1073 DROSHA, SIX1, SIX2, and DGCR8 within Wilms tumours showing biallelic 1074 methylation of IC1 [Au: how many?]. These genetic changes point toward the 1075 important role of microRNAs in preserving the undifferentiated state, resulting in Wilms 1076 tumour development. 1077

In other studies potential predictors of relapse based on gene expression were also 1079 assessed <sup>181</sup>. Prediction was successful only in patients with stage III disease, and a 1080 set of genes was not proposed as a specific predictor. However, several of the genes 1081 for which increased expression most reliably predicted relapse in patents with stage 1082 III disease were located on chromosome 1q [Au: with what implications? Please 1083 comment here.]. Furthermore, although *IGF*2 overexpression does not have adverse 1084 prognostic importance in Wilms tumours treated with chemotherapy <sup>181</sup>, evidence 1085 suggests that 11p15 LOH or LOI are associated with tumour relapse in young patients 1086 (<2 years old) with small (tumour weight < 550 grams) stage I FHWT whose disease 1087 was classified as very-low risk (VLR) and not treated with chemotherapy <sup>177,180,182</sup>. In 1088 the COG study AREN0532, relapse was observed in only 3% of patients with VLR 1089 disease with retention of imprinting of 11p15, but was observed in 20% of those with 1090 LOH and 25% of those with LOI <sup>180</sup>. 1091

## [Au: please add a brief summary statement for this section.]

1093

#### **[H2] Urine Tumour Markers**

Given the notable differences in the initial management of renal tumours using the 1095 SIOP or COG approach, considerable efforts have been made to identify non-invasive 1096 biomarkers at diagnosis. Early studies investigating the prognostic utility of urine 1097 biomarkers nominated basic fibroblast growth factor and hyaluronidase, as these were 1098 both elevated at diagnosis in patients with Wilms tumours and correlated with disease 1099 stage <sup>183-185</sup>. Ultimately, neither urine biomarker was incorporated into the current risk 1100 stratification paradigm owing to inadequate sensitivity and specificity. Subsequent 1101 urine proteomic studies leveraged high accuracy mass spectrometry to reveal that 1102 elevated diagnostic urine prohibitin (PHB) was a prognostic marker of relapse, 1103

particularly local relapse, in patients with FHWT<sup>186</sup>. PHB was shown to be highly 1104 expressed in the mitochondria of Wilms tumours <sup>186</sup>. Using functional genomic 1105 techniques, investigators found that this excess mitochondrial PHB impairs Opa1-1106 mediated mitochondrial apoptosis, leading to chemoresistance and ultimately 1107 treatment failure <sup>186</sup>. Additional pilot studies have demonstrated that the cell-free 1108 portion of urine specimens may be valuable in the detection of somatic Wilms tumour 1109 mutations beyond blood testing alone and may help identify patients at risk for 1110 developing Wilms tumour by virtue of nephroblatomatosis identification in patients with 1111 PIK3CA-related overgrowth spectrum [Au: how? What is in this portion that is 1112 valuable in this context? Please expand briefly.] <sup>187,188</sup>. Indeed, beyond aiding in 1113 initial diagnosis and identification of high-risk biomarkers, cell-free DNA (both urine 1114 and blood) might ultimately prove valuable as an early biomarker of minimal residual 1115 disease <sup>166,170,188</sup>. [Au: following text added from your rebuttal to more completely 1116 address peer reviewer 2's comments. Please reference accordingly.] Trending 1117 these biomarkers prospectively during therapy could be valuable to determine if, and 1118 if so, how, they can be used as evidence of minimal residual disease. Indeed, different 1119 biomarker assays could have specific utility for different aims. For example, assessing 1120 cell-free DNA using a broad Next Generation Sequencing panel that also includes 1121 shallow whole-genome sequencing to pick up 1q at diagnosis could help with initial 1122 histology identification and risk stratification <sup>166</sup>[Au: Please add the reference 1123 number here] whereas perhaps a focused digital droplet PCR aimed at one or a few 1124 canonical drivers would be better for picking up minimal residual disease <sup>170</sup>. [Au: 1125 Please add the reference number here] 1126

1127

1128 [H2] microRNAs

miRNAs are a class of small, single-stranded RNAs originally identified in *C. elegans* in 1993 and, subsequently, in higher vertebrates including humans, which have a pivotal role in regulating gene expression on a post-transcriptional level by inhibiting protein translation of target genes <sup>189,190</sup>. Deregulation of miRNA expression can lead to a variety of diseases, including cancer <sup>191</sup>. Moreover, cells can release miRNAs into their surroundings and the bloodstream as a means of intercellular communication, where they can be exploited as biomarkers <sup>192</sup>.

1136

The first evidence of deregulated miRNA expression in Wilms tumours was found in 1137 2008, upregulation of the Onco-miR-1 cluster was reported <sup>193</sup>. Subsequently, a 1138 number of deregulated miRNAs in Wilms tumour have been identified, some of which, 1139 most notably miR483-3p and let7b-5p, [Au: please add some examples here] might 1140 have prognostic potential as indicators of chemoresponsiveness, particularly of the 1141 Wilms tumour aggressive blastemal component <sup>194</sup>. A reasonable explanation for the 1142 disrupted miRNA expression patterns, at least in a subset of Wilms tumours, might be 1143 germline and somatic mutations in components of the microprocessor complex 1144 necessary for miRNA maturation, such as DROSHA and DGCR8<sup>62,98,195</sup>. In addition, 1145 several studies showed differential expression of some miRNAs in blood or serum of 1146 patients with Wilms tumour, emphasising the possible use of miRNA as minimally 1147 invasive biomarkers for Wilms tumour in blood, tumour, and urine samples in the future 1148 196-198 1149

1150

# [H1] Evolution and intratumour heterogeneity [Au: heading edited for length]

<sup>1152</sup> Most Wilms tumours commence with somatic mutations already obtained in fetal life <sup>1153</sup> <sup>16,199</sup>[Au: Please reference this statement.] . This process either manifests as

microscopically visible nephrogenic remnants <sup>199</sup>, or as clonal expansions in 1154 morphologically nonmaligant kidney tissue <sup>16</sup>. Like most cancers, a Wilms tumour 1155 emerges when a somatic cell lineage branches off from the germline genome to form 1156 a premalignant lesion. When such lesions transit into irreversible clonal expansion to 1157 manifest as Wilms tumour, this branching continues and causes genetic intratumour 1158 heterogeneity <sup>162,200</sup>. In such a branching evolutionary process, the distribution of a 1159 given mutation across the anatomic space of a Wilms tumour will depend on when in 1160 tumour evolution it occurs (FIG. 5A). For example, when LOH of the IGF2 and [Au: 1161 what does the solidus denote here, 'and', 'or', or 'and/or', please clarify.] H19 1162 gene cluster in 11p is detected it is usually found in all Wilms tumour cells, reflecting 1163 its occurrence in precursor lesions <sup>201</sup>. In contrast, gain of 1q shows variability across 1164 tumour regions in ~50% of tumours in which it is ascertained <sup>162,201</sup>, indicating that 1q 1165 gain in these cases does not emerge until tumour growth is well underway. TP53 1166 mutations are almost invariably regional, usually confined to anaplastic regions, and 1167 linked to the emergence of complex chromosomal rearrangements <sup>60,201</sup>. This 1168 observation indicates that they are late steps in tumour evolution. TP53 mutations, 1169 17p LOH, and anaplastic features can occur multifocally in a tumour, in a pattern 1170 mimicking convergent or parallel evolution <sup>201</sup>. However, whether these foci are, in 1171 fact, continuous in 3D is an open question in need of further elucidation. Chromosomal 1172 rearrangements within anaplastic regions in turn vary extensively, translating into a 1173 high frequency of local phylogenetic branching that can result in highly diverse 1174 genome profiles in different parts of Wilms tumours with diffuse anaplasia. 1175

1176

Branching evolution can give rise to a broad range of genetic variants among tumour cells, but the frequencies of these variants will vary across the tumour parenchyma as

a function of Darwinian selection and genetic drift (FIG. 5B). If most mutations are 1179 neutral, the genetic variation in a tumour will be in proportion with the mutation rate. 1180 Selection, on the other hand, will narrow down genetic variation by enrichment of the 1181 fittest genetic variant or variants. That such enrichment occurs during Wilms tumour 1182 evolution is supported by the presence of regional clonal sweeps, which are situations 1183 in which a daughter clone outgrows its mother population and rises to dominate a 1184 geographic region <sup>202</sup>[Au: Please reference this statement.]. That regional clonal 1185 sweeps can be caused by selection in Wilms tumour is supported by their association 1186 to mutations in driver genes such as MYCN and SIX1<sup>201</sup>. 1187

1188

Variability of biomarkers owing to evolutionary branching can confound clinical 1189 treatment decisions. Sampling procedures in clinical protocols must take this variability 1190 [Au:OK?] into account. Indeed, in the SIOP-RTSG UMBRELLA 2016 protocol for 1191 renal tumours, multiregional sampling to cover genetic variation is recommended 1192 <sup>173</sup>[Au: Please reference this statement.] . However, how to use genomic 1193 information obtained across multiple samples remains unclear. [Au: we do not have 1194 questions in text, therefore, I have edited to remove them.] Whether a high-risk 1195 marker detected in just one region is sufficient to influence treatment is not certain, 1196 and if it is not sufficient, then the number or proportion of regions that will be enough 1197 needs to be determined. One way to solve this dilemma is to use evolutionary patterns 1198 for clinical risk assessment. This approach might have some promise, as a high 1199 degree of phylogenetic branching correlates with high-risk histology in Wilms tumours 1200 and to inferior relapse-free survival in solid paediatric cancers overall <sup>203</sup>. 1201

1202

# 1203 [H1] Wilms tumour models

To facilitate development of future Wilms tumour studies, effective preclinical models for functional analysis of genetic drivers and for testing of new treatment options are much needed. Establishement of cancer cell lines is difficult and immortalized cell lines undergo strong clonal selection <sup>204,205</sup>[Au: Please reference this statement.] . Consequently, cancer cell lines are typically very poor representatives of native tumour tissues <sup>205</sup>.In vitro cell cultures, organoid models, and patient-derived xenografts represent complementary models currently available in this pathology.

1211

[Au: We do not stack headings one on top of the other, so please provide a brief
 introductory statement to this section, avoiding phrases such as 'below' and 'in
 this section' as we do not signpost.]

1215 [H2] Cell culture models

*In vitro* cell culture systems are cost-efficient and time-efficient models. Representation of the phenotypic and genetic heterogeneity of Wilms tumour *in vitro* requires a diverse collection of primary cultures derived from patient tumour material and it might be difficult, or even impossible, to find universal culture conditions that support proliferation of all different Wilms tumour cell types equally [Au: the same **extent as what? Please clarify.]**.

1222

Results of early studies showed the possibility of short-term culture from primary Wilms tumour material or mouse xenografts <sup>206-208</sup>, but these models were either shortlived or difficult to handle. Over the years, a few spontaneously immortalized Wilms tumour cell lines have been established from anaplastic tumours, but they represent only the rare and specific subtype with p53 alteration [Au: what are the benefits and limitations of these models? Please comment.] <sup>209-211</sup>. In addition, primary stromal

cells, often derived from *WT1*-mutant tumour samples, and epithelial cells can be
 cultivated as classic adherent cultures but with restricted life-span [Au: what are the
 benefits and limitations of these models? Please comment.] <sup>212-215</sup>. The
 challenging blastemal subtype could not be propagated under these conditions. This
 finding is in agreement with the observation that blastemal tumours lose their nude
 mouse engrafting capacity even upon short-term cultivation <sup>216</sup>.

1235

3D growth of tumour cells overcomes some limitations of 2D cultures on plastic surface 1236 as they intrinsically provide more physiological interactions. Blastemal Wilms tumour 1237 cells can be grown in suspension culture as spheroids in medium containing ROCK 1238 inhibitor (Y-27632) to avoid anoikis <sup>217</sup>. These 3D spheroid cultures represent the 1239 blastemal Wilms tumour component with respect to phenotype and marker expression 1240 <sup>217</sup>[Au: Please reference this statement.] . In addition, certain epithelial and 1241 immature stromal Wilms tumour elements can be propagated as spheroids and they 1242 maintain features of the initial tumour after long-term cultivation <sup>217</sup>[Au: Please 1243 reference this statement.] . 2D and 3D Wilms tumour cultures are amenable to 1244 genetic manipulation by viral transduction and/or transfection <sup>217</sup>[Au: Please 1245 reference this statement.] and, therefore, enable functional studies of candidate 1246 genes as well as high-throughput compound screening in multi-well formats. [Au: 1247 what are the limitations of these models? Please comment.] 1248

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## [H2] Organoid models

Innovations in 3D culture technology, such as organoids, have revolutionized cancer
 research. Organoid technology enables efficient generation of *in vitro* culture models

from patient material, which can be propagated long term while retaining crucial 1254 characteristics of the tumour tissue from which they were derived <sup>218</sup>. [Au: this 1255 highlighted section should be specifically mentioned in the above section on 1256 cell culture models. Please add there.] Protocols have been developed for culturing 1257 organoids from a wide spectrum of different adult tumours, including colon <sup>219</sup>, breast 1258 <sup>220</sup>, ovarian <sup>221</sup>, pancreas <sup>222</sup> and liver <sup>223</sup>. Results of several studies have 1259 demonstrated that patient-derived tumour organoids recapitulate patient drug 1260 responses <sup>224-227</sup>, suggesting that organoids can be used for the development of 1261 individualized therapies. 1262

1263

In two recent studies, organoid models of paediatric renal tumours, including Wilms 1264 tumours were developed <sup>228,229</sup>. Wilms tumour organoid cultures were demonstrated 1265 to capture the genetic and cellular heterogeneity of Wilms tumour tissue to a large 1266 extent, including blastemal, stromal and epithelial cells, over multiple passages. Yet 1267 different Wilms tumour cell types will probably still favour different culture conditions 1268 for their optimal growth to be fully representative of the predominant subtypes found 1269 in the original tumour. Moreover, Wilms tumour organoids can be cryopreserved, 1270 enabling the generation of large collections of pre-clinical models in so-called 'living 1271 biobanks', which can be used for drug screens, and are amenable to genetic 1272 modification. This technology is still in its infancy, but the use of organoid technology 1273 to study Wilms tumour biology so far seems promising and might pave the way for 1274 development of new therapeutic strategies. [Au: what are the limitations of these 1275 models? Please comment.] Further development of organoid technology by 1276 including components of the tumour microenvironment (for example immune cells) will 1277

<sup>1278</sup> be critical to make these in vitro models resemble patient tumours even better and <sup>1279</sup> suitable for testing, among others, immunotherapy approaches.

1280

#### [H2] Patient-derived xenografts

Patient-derived xenografts have been crucial for Wilms tumour research, owing to the 1282 paucity of available in vitro cell lines. In 1985, human Wilms tumours 1283 heterotransplanted into the flanks of nude mice were shown to closely resemble the 1284 surgically resected human tumours from which they were derived <sup>230,231</sup>. Tumour cells 1285 injected in the flank resulted in blastemal predominant xenografts, whereas 1286 intraperitoneal injections of tumour cells from the same source demonstrated tubular 1287 epithelial differentiation <sup>231</sup>. In 1987, serially passaged Wilms tumour xenografts 1288 showed accumulation of blastema <sup>232</sup>. The accumulation of blastema in serially 1289 passaged heterotopic Wilms tumour xenografts was associated with accelerated 1290 cellular proliferation, upregulation of cell cycle genes, loss of imprinting for a multitude 1291 of paternally expressed genes, and [Au: what does the solidus denote here, 'and', 1292 'or', or 'and/or'? please specify.] increased expression of genes associated with 1293 differentiation blockade and maintenance of cellular self-renewal <sup>233</sup>. Serial passaging 1294 of Wilms tumour xenografts was subsequently demonstrated to be associated with 1295 WT1, PAX2, and SALL1 promoter hypomethylation and upregulated expression of 1296 these renal progenitor genes <sup>234</sup>. This enrichment of nephron progenitor genes was 1297 exploited to expand and isolate Wilms tumour cancer initiating cells [Au: what does 1298 the solidus denote here, 'and', 'or', or 'and/or'? please specify.] (also known as 1299 cancer stem cells) characterized by the expression of the NCAM1 gene [Au: please 1300 define 'NCAM'], and later, more specifically, combined expression of the genes 1301 NCAM1 and ALDH1 [Au: please define 'ALDH1'] <sup>216,235</sup>. A panel of four Wilms tumour 1302

heterotopic xenografts investigated in the Pediatric Preclinical Testing Program have 1303 been used to successfully guide prioritization for phase 1 clinical trials that include 1304 patients with Wilms tumour with relapsed or refractory disease <sup>236</sup>. Orthotopic Wilms 1305 tumour xenografts derived from the WiT49 anaplastic Wilms tumour cell line implanted 1306 in the kidney subcapsule, but not heterotopic subcutaneous xenografts, demonstrated 1307 a single-agent response to IGF1R inhibition, demonstrating the potential importance 1308 of the tumour microenvironment in xenograft biology and even therapeutic response 1309 <sup>237</sup>. A panel of orthotopic Wilms tumour xenografts was established and is freely 1310 available from the Childhood Solid Tumor Network <sup>238</sup>. A direct comparison of genetics 1311 and transcriptomics between orthotopic and heterotopic Wilms tumour patient-derived 1312 xenografts has not yet been performed. In 2019, a comprehensive genomic 1313 characterization of 45 Wilms tumour patient-derived xenografts [Au: than what? 1314 Please add the comparator here.] was performed to capture the clinical and 1315 biological heterogeneity of this disease more completely than previous xenograft 1316 libraries. Patient-derived xenografts from this effort included models from patients with 1317 unfavourable histology Wilms tumour, bilateral disease, and from those who went on 1318 to develop disease relapse <sup>239</sup>. These heterotopic xenografts maintained genetic 1319 variants of the original patient primary tumours, phenocopied predicted chemotherapy 1320 response according to histology and were enriched for gene expression characteristic 1321 of Wilms tumour blastema and the cap mesenchyme in kidney development <sup>239</sup>. 1322 Overall, Wilms tumour patient-derived xenografts have provided a critical resource for 1323 preclinical treatment studies; however, these models are all established in 1324 immunodeficient mice and therefore lack the ability to appropriately test many types 1325 of cancer immunotherapies. Furthermore, the models are difficult to genetically modify 1326 and therefore have limited ability to provide functional insights into Wilms tumour 1327

related genes using controlled experiments. [Au: what are the benefits and
 limitations of these models? Please comment.]

1330

[Au: Please add a brief, overarching summary statement concerning Wilms
 tumour models here.]

Cell cultures, organoid models, and patient-derived xenografts are complementary model systems in the study of Wilms tumour biology and treatment. When used together, these approaches can allow for functional genetic insights, screening of novel therapeutic compounds, and formal preclinical treatment studies.

1337

# [H1] Progression and resistance

1339 **a** 

Half of patients who experience relapse develop resistance to therapies and die <sup>2</sup>.
Thus, understanding of the molecular features underlying tumour resistance and
recurrence is urgently needed.

1343

#### [H2] Cancer Stem Cells

<sup>1345</sup> Classic Wilms tumours exhibit a triphasic histology consisting of undifferentiated <sup>1346</sup> mesenchyme, stroma, and renal tubular epithelia <sup>128</sup>[Au: Please reference this <sup>1347</sup> statement.] . However, histology varies widely and can also include differentiated <sup>1348</sup> cells such as muscle and cartilage that also derive from mesenchymal precursors <sup>129</sup>[Au: Please reference this statement.] . This fascinating histology has led to the <sup>1360</sup> well-accepted idea that Wilms tumour arises from undifferentiated renal mesenchyme <sup>1361</sup> <sup>128</sup>. Studies in mice in which triphasic histology tumours develop following the introduction of genetic alterations in fetal metanephric mesenchyme support this idea
 <sup>50</sup>.

1354

This aetiological model of Wilms tumour is consistent with the continued presence of 1355 aberrant mesenchymal cells that act as cancer stem cells (CSCs) or tumour initiating 1356 cells (TICs) <sup>129</sup>[Au: Please reference this statement.] within tumours. In studies in 1357 which human primary Wilms tumours were serially passaged in NOD–SCID mice, cells 1358 were identified that were increasingly able to initiate xenografts that histologically 1359 displayed the blastemal, epithelial, and stromal elements commonly observed in 1360 Wilms tumours. The proportion of blastemal elements in the xenografts increased with 1361 passage, suggesting that they were CSCs <sup>216</sup>. 1362

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Whether this work has enabled identification of a CSC unique to tumours serially 1364 passaged in immune-compromised mice or a CSC operative in human tumours in vivo 1365 is still an open question. The identity of such a CSC is certainly consistent with long-1366 held models of the cellular aetiology of Wilms tumours. However, mutations in primary 1367 Wilms tumours have been identified in several genes with diverse functions at various 1368 stages of kidney development <sup>30,62,66,90,91,94,98</sup> [Au: Please reference this statement.] 1369 . Additionally, tumours bearing these different mutations are associated with differing 1370 clinical features and outcomes. This observation suggests that, if Wilms tumours are 1371 propagated from CSCs, those CSCs differ between different genetic and clinical 1372 subsets of tumours. 1373

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## 1375 [H2] Relapsed Wilms tumour

In both COG and SIOP treatment algorithms, treatment intensity is based on a 1376 patient's risk classification which is further defined by known prognostic variables, the 1377 most common being patient tumour stage and histology (COG: favourable vs 1378 unfavourable; SIOP: low, intermediate vs high risk), and increasingly molecular 1379 biology. Commonly stage I and II and some stage III patients with favourable or low 1380 and intermediate risk histology Wilms tumours are treated with 2-drugs only, whereas 1381 most other patients are treated with 3-5 chemotherapy agents, pending risk 1382 characterization <sup>3,240</sup>. With such a risk stratified approach, relapse rates continue to 1383 vary based on stage and histology (favourable vs anaplastic or post-treatment 1384 blastemal type), as well as other prognostic factors such as biology, with relapse 1385 lowest for stage I with favourable or low and intermediate risk histology treated with 2-1386 drugs (<10%) and highest for stage IV (~ 20% for favourable histology and 50-60% for 1387 anaplastic or post-treatment blastemal histology) despite more intensive treatments 1388 <sup>3,241</sup>. Treatment of patients with relapsed Wilms tumour is based on initial histology 1389 and first-line treatment (choice of chemotherapy and radiotherapy yes or no) in SIOP, 1390 and the ongoing COG protocol has a similar definition of relapse risk groups according 1391 to upfront drug treatment [Au: Peer reviewer 2 also asked if there are any 1392 differences between stages and recurrence rates based on therapies received, 1393 could you please add if this information is available with appropriate 1394 references.]<sup>2</sup>. 1395

Genetic anomalies in recurrent Wilms tumours, and the events leading the primary tumour to relapse, have been poorly investigated, mainly owing to the difficulty in obtaining new sample material at relapse to match with the corresponding primary sample. A study of 10 such paired samples revealed gains at chromosomes 5p, 8p12, 15q, 16p and 20q, and losses of 11q and 17p as events acquired in two recurrent

tumours <sup>242</sup>. Analysis of eight paired primary and relapsed tumours showed 1401 chromosomal anomalies at 1q, 3, and 16q in Wilms tumour recurrences, and the co-1402 occurrence of SIX1 and DROSHA mutations in the recurrence in three patients <sup>101</sup>. 1403 Targeted sequencing of SIX1 and SIX2 and miRNAPGs of a further 19 paired tumour 1404 cases in which multiple samples were investigated for each tumour, showed co-1405 occurring SIX1 and DROSHA mutations in one case and miRNAPGs mutations in five 1406 cases <sup>102</sup>. Intriguingly, in all cases in which mutations were observed in the primary 1407 Wilms tumour, although not necessarily in all the blocks, and not necessarily 1408 heterozygously (not in all the cells of the sample), the same mutations were invariably 1409 present in the matched relapsed disease, being present in all examined blocks and in 1410 all tumour cells, indicating that they were positively selected during tumour 1411 progression. In particular, among the four patients with co-occurring SIX1 and 1412 DROSHA mutations in the relapse, two displayed positive selection of the primary 1413 tumour cell clones bearing both events, whereas in the other two, the SIX1 mutation 1414 was not detected in the primary disease <sup>101,102</sup>. These data suggest that SIX1 and 1415 miRNAPGs mutations might provide an advantage during the progression to 1416 recurrence and can represent oncogenic drivers in Wilms tumour. 1417

A study of FHWT investigating 45 trios of samples (germline, primary and relapsed 1418 tumour), 6 germline-relapsed samples and 31 relapse samples showed that >40% of 1419 relapse samples displayed mutations in SIX1 or genes of the MYCN network. 1420 Intriguingly, in some cases, SIX1 and MYCN hot-spot mutations were present in the 1421 relapse, but not in the primary tumour sample, suggesting their involvement in tumour 1422 progression [Au: why is this intriguing? Please expand and explain.]. Other 1423 mutations not previously found in primary Wilms tumours affected DIS3 and TERT. 1424 Furthermore, 75% of relapse samples had 1q gain <sup>243</sup>. Results of these studies 1425

suggest that combinations of mutations or structural changes, rather than the temporal
 order of their acquisition, might be important for tumour progression. The co occurrence of mutations in genes that support continued progenitor proliferation with
 those preventing differentiation might be crucial. In addition, the evidence of
 occurrence of 1q gain in 75% of relapses strongly supports the importance of this
 biomarker <sup>243,244</sup>.

[Au: Please add a summary statement regarding Wilms tumour progressionand relapse.]

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- 1435

## 1436 [H1] HARMONICA

The task force harmonization and collaboration for paediatric renal tumours (HARMONICA) was established in 2015, (Chairs: Prof. dr. J. Geller and Prof. dr. MM van den Heuvel-Eibrink) representing efforts of the COG-RTC and SIOP–RTSG. The combined multidisciplinary intellectual resources and organizational structure of the two committees brings together the world's clinical and research experts in paediatric renal tumours inclusive of all disease disciplines.

1443

Building on such expertise and historic cooperative group renal tumour studies and trials with defined and harmonized data variables and end points, further collaboration in the form of various research efforts has been facilitated. For example, performing biological studies and systematic reviews has guided targeted treatment development for adverse prognostic subgroups and evidence-based international treatment and surveillance consensus guidelines, respectively <sup>4</sup>[Au: Please reference this statement.]. Collaborative formal prioritization of therapeutic targets of interest for pre-clinical investigation in Wilms tumour, harmonized risk stratification for relapsed Wilms tumour, and harmonization of definition of pulmonary metastases are three of many unpublished work products affecting current research and clinical care resulting from the task force <sup>4</sup>[Au: we still need this statement to be attributed to an author or authors. Please add an attribute and provide permission to use it from the relevant authors, an email to me will be sufficient.].

1457

An important guiding principle behind HARMONICA is that investing in future 1458 developments in the field will benefit from programmes focused on collaborative 1459 training, mentoring and transatlantic exchange of junior clinicians and investigators 1460 (SIOPe and COG Young investigator initiatives). A second driving principle guiding 1461 the strategy of HARMONICA's collaborative efforts is that it aims to advance research 1462 that cannot be successfully achieved by either cooperative group separately 1463 (replication, validation, and power issues). So far, several studies on harmonization of 1464 standard-of-care approaches, reviews and biological studies have been published 1465 <sup>2,3,59,245-251</sup>. The present paper is also the result of a HARMONICA effort. 1466

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#### 1468 [H1] Conclusions

Our collective understanding of Wilms tumour biology has evolved considerably over the past five decades. From early studies using techniques such as chromosome mapping to those exploiting cutting-edge techniques including single-cell sequencing and organoid models, collaboration has been a key element in all aspects of this body of work. Through continued global collaboration and building on previous work, we expect that the next five decades will be as fruitful for understanding this disease. Most importantly, we believe that approaches that build on this growing body of research, such as improved stratification of therapy according to prognostic biomarkers, or
targeted therapy based on emerging molecular targets, will further improve care for
our patients.

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2231		
2232	Com	peting interests
2233	The a	authors declare no competing interests
2234		
2235	Key F	Points
2236	•	The genetic landscape of Wilms tumours has been deeply investigated, but
2237		their relationship to intratumoral heterogeneity and how abnormal
2238		nephrogenesis relates to malignant transformation to Wilms tumour are still
2239		being explored.
2240	•	Genetics of the classical syndromic conditions associated with Wilms tumour
2241		development have been described in detail, but whole-exome sequencing is
2242		revealing a wide range of constitutional mutations in children lacking any clear
2243		phenotype.
2244	•	Prognostic biomarkers are starting to be used in clinical practice and for risk
2245		stratification in trials, but more need to be identified and validated.
2246	•	Many complementary preclinical Wilms tumour models are now available.
2247	•	Efforts to explore the events leading to aggressive and/or recurrent disease are
2248		needed.

• Worldwide international collaborations among experts in the field have been established.

2251

Hugo	Chromosome	osome Number of patients with Number of patients		Percent of
Symbol	location	FHWT with mutations with DAWT with		total (n = 651)
		(n = 533)	mutations (n = 118)	
CTNNB1	3p22	86	2	13.52
DROSHA	5p13	61	5	10.14
TP53	17p13	9	56	9.98
WT1	11p13	40	1	6.30
FAM123B	Xq11	34	4	5.84
DGCR8	22q11	22	7	4.45
SIX1	14q23	23	2	3.84
BCORL1	Xq26	22	3	3.84
MYCN	2p24	22	2	3.69
COL6A3	2q37	19	2	3.23
MLLT1	19p13	19	1	3.07
NF1	17q11	17	2	2.92
SIX2	2p21	17	1	2.76
BCOR	Xp11	14	3	2.61
DICER1	14q32	12	4	2.46
NONO	Xq13	12	1	2.00
ARID1A	1p36.11	11	1	1.84
MAX	14q23	11	0	1.69
MAP3K4	6q26	9	2	1.69
ASXL1	20q11	8	3	1.69
BRD7	16q12	8	2	1.54
XPO5	6p21	8	2	1.54
FGFR1	8p11	6	3	1.38
CHD4	12p13	6	2	1.23
HDAC4	2q37	6	2	1.23
PALB2	16p12	3	5	1.23
CHEK2	22q12	6	2	1.23
ACTB	7p22	3	4	1.08
CREBBP	16p13	6	0	0.92
EP300	22q13	4	2	0.92
RLIM	Xq13	3	2	0.77
NF2	22q12	2	1	0.46
KRAS	12p12	2	1	0.46
SALL1	16q12	1	1	0.31
TERT	5p15	1	1	0.31

Table 1: Recurrent Wilms tumour mutations

2253

Data are from Gadd et al.<sup>30</sup> Whole-exome or whole-genome sequencing was performed in a
discovery set of 117 high-risk Wilms tumours. High-risk Wilms-tumours were defined as
favourable-histology Wilms tumours (FHWT, n = 78) that relapsed and diffuse anaplastic
Wilms tumours (DAWT, n = 39). High-quality variants were selected for target capture in a
validation set of 533 FHWT and 118 DAWT samples, and recurrent variants are provided in
the table.

- Table2: Syndromes and Non-syndromic constitutional genetic and epigenetic alterations
- 2 associated with Wilms tumour
- 3

Syndrome	Inheritan	Gene and/or	Associated	Wilms Risk	Referen
	ce	[Au:OK?] Locus	Features		ce
WAGR Syndrome	Sporadic	11p13 deletion including WT1 and PAX6	<ul> <li>Aniridia</li> <li>Genitourinary malformation</li> <li>s</li> <li>Development al delay</li> <li>Renal Failure</li> </ul>	~50%	112,113,252
Denys-Drash Syndrome	Sporadic	WT1 (mostly exons 8 or 9)	<ul> <li>Renal Failure</li> <li>Genitourinary malformation s</li> </ul>	~30% (missense) ~80% (truncating)	114,253
Frasier Syndrome	Sporadic	WT1 (intron 9 donor splice site)	<ul> <li>Genitourinary malformation s</li> <li>Gonadoblasto ma</li> </ul>	~5%	36
Beckwith- Wiedemann Syndrome	Sporadic (with exception s)	<ol> <li>Loss of imprinting at 11p15.5</li> <li>Uniparental disomy of 11p15.5</li> <li><i>CDKN1C</i> point mutations</li> <li>Microdeleti ons or duplications at 11p15.5</li> </ol>	<ul> <li>Overgrowth</li> <li>Hemihyperpla sia</li> <li>Macrosomia</li> <li>Organomegal y</li> <li>Abdominal wall defects</li> <li>Macroglossia</li> <li>Neonatal hypoglycemia</li> <li>Hemangiomas</li> <li>Hepatoblasto ma</li> <li>Other childhood tumours</li> </ul>	5% - 30%	116,254
Isolated Hemihyperpla	Sporadic	25% have loss of imprinting	- Hemihyperpla sia	10%	111,255
Perlman Syndrome	Sporadic	DIS3L2	<ul> <li>Overgrowth</li> <li>Organomegal</li> <li>y</li> <li>Development</li> <li>al delay</li> </ul>	~60% of infants surviving past	256,257

			-	Perinatal	neonatal	
				demise	period	
Simpson-	X-linked	GPC3	-	Overgrowth	~5%	258
Golabi-			-	Macroglossia		
Behmel			-	Organomegal		
Syndrome				V U		
,			-	, Embrvonal		
				tumours		
			-	Variable		
				development		
				al delav		
РІКЗСА-	Sporadic	РІКЗСА	-	Lipomatous	3%	259
related				overgrowth		
overgrowth			_	Vascular		
syndromes				malformation		
				S		
			_	Enidermal		
				nevi		
			_	Skeletal		
				abnormalities		
Sotos	Sporadic		-	Overgrowth	1% - 7%	260
Syndrome	Sporadic	NSDI	_	Macrocenhaly	1/0 2/0	
Synaronic				Development		
				al delay		
Li-Eraumoni	Autocom	TD52	_	Multiplo	( <u>)</u>	261
Sundromo	Autosom	1755	-	tumours	Case	
Synuronne	Dominant			tumours	Reports	
	Autocom			Danautanania		262
FANCDZ	Autosom	FANCDZ	-	Short stature		
Anomia	Bococcivo		-	Padial		
Anenna	Recessive		-	anomalias		
				Multiplo		
			-	tumours		
Plaam	Autocom			Low birth	Casa	263.264
Sundromo		DLIVI	-	LOW DITUT	Boporto	,
Synurome	di Dococciuc			Weigin	Reports	
	Recessive		-			
			-	nypogonadis		
			-	tumouro		
	Autoacit				Lligh wish	265-267
IVIOSAIC	Autosom	TRIP13, BUB1B	-	Development		200 207
variegated	dl Decession			ai Delay	unciear	
Aneupioidy	Recessive		-		exact risk	
				Aneupiolay		
			-			
	1		-	ivlicrocephaly		

			- Other		
			tumours		
DICER1	Autosom	DICER1	- Multiple ^	~1%	268
Syndrome	al		tumours		
	Dominant				
Constitutional	Autosom	MSH6, MLH1,	- Multiple C	Case Report	269
Mismatch	al	PMS2, MSH2	tumours		
Repair	Recessive		- Café-au-lait		
Deficiency			macules		
Trisomy 18	Sporadic	Trisomy 18	- Multiple ^	~1%	270
9q22.3	Sporadic	9q22.3	- Macrosomia C	Case	271
Microdeletion		Microdeletion	- Craniosynosto R	Reports	
			sis		
			- Basal Cell		
			Carcinoma		
			- Odontogenic		
			Cysts		
REST-	Autosom	REST	- Non- ^	~2% of	120
associated	al		syndromic P	Patients	
Wilms tumour	Dominant		v	without	
	with		p	ohenotypic	
	Incomple		f	features of	
	te		a	a Wilms	
	Penetran		t	tumour	
	се		þ	oredispositi	
			c	on	
			s	syndrome	
			[	[Au:	
			p	patients	
			v	with no	
			s	syndrome?]	
			v	with Wilms	
			t	tumour	
CTR9-	Autosom	CTR9	- Non- 3	3 families	121
associated	al		syndromic r	reported	
Wilms tumour	Dominant				
TRIM28-	Autosom	TRIM28	- Non- L	Unknown	17,18,127
associated	al		syndromic		
Wilms tumour	Dominant		- Epithelial-		
			predominant		
			tumours		
FBXW7-	Autosom	FBXW7	- Non- L	Unknown	18
associated	al		syndromic		
Wilms tumour	Dominant				
NYNRIN-	Autosom	NYNRIN	- Non- L	Unknown	18
associated	al		syndromic		
Wilms tumour	Dominant				

- 4 WAGR, Wilms tumour, aniridia, genitourinary anomalies and a range of
- 5 developmental delays

6

## Legends to figures

2

## FIGURE 1. Key discoveries in Wilms tumour biology 3 Timeline describing the key discoveries in Wilms tumour biology research. [Au: 4 Please provide a brief description of the timeline here.] 5 Blue circles: genetic findings; red circles: putative prognostic and prognostic 6 biomarkers; red and dark red circles: a biomarker enters the clinical practice; green 7 circles: kidney development and Wilms tumour; yellow circles: Wilms tumour models; 8 PDX: patient-derived xenografts; the temporal arrow scale is only representative. 9 10 **FIGURE 2. Nephrogenic rest** 11 Illustrated is a small nephrogenic rest (arrows), which is an island of undifferentiated 12 and poorly differentiated embryonic renal elements. (Original magnification 20X). 13 14 FIGURE 3. Diffuse anaplasia in Wilms tumour 15 Montage of representative histology of diffuse anaplasia in Wilms tumour showing 16 giant hyperchromatic nuclei (green arrows) and a giant multipolar cell division (red 17 arrow). It is provided with courtesy by Dr. Kaname Uno. 18 19 **FIGURE 4. Blastemal Wilms tumour** 20 The image shows blastema after preoperative chemotherapy with no chemotherapy-21 induced changes. It is provided with courtesy by Prof. Dr. Gordan Vujanic, chair of 22

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the pathology panel of SIOP-RTSG.

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## <sup>26</sup> FIGURE 5. Evolutionary trajectories in Wilms tumour

(A) Hypothetical cancer cell phylogeny of Wilms tumour. Stem mutations, exemplified 27 by loss of heterozygosity in chromosome arm 11p, initiate a first clonal expansion of a 28 mother cell population (M) that evolves further through branching evolution into a set 29 of daughter clones (D1-D3) with a set of private mutations, exemplified by 1q gain. 30 Hemizygous mutations in TP53 (p53+/-) in a daughter clone can initiate further 31 evolution, often leading to parallel loss of the second allele (p53-/-) in distinct 32 populations with anaplastic features (A1, A2). Loss of p53 is in turn coupled to the 33 local emergence of complex chromosomal rearrangements (a type of saltatory 34 evolution), giving rise to a broad repertoire of minor clones (A1a-d, A2a-d). (B) The 35 process described in part A can manifest as a distinct set of patterns in the geographic 36 distribution of clones across the anatomical regions of the primary tumour. Subclonal 37 variation implies that different subclones are found in different regions, whereas in 38 clonal coexistence the same daughter clone coexists with a mother or sister clone 39 across different regions. Occasionally, daughter clones can completely overtake an 40 anatomical compartment, manifesting as regional clonal sweeps. Anaplastic regions 41 display a myriad of private clones, a phenomenon referred to as regional evolutionary 42 explosions. 43

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- 46

## 47 Short summary

We describe the history of the efforts to expand knowledge of Wilms tumour biology, genetics, embryonal origin and associated syndromic and familial conditions, and to clinically apply prognostic biomarkers and development of preclinical models.