

1 **A simplified coronary model for diagnosis of ischemia-causing coronary stenosis**

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3 Yili Feng<sup>a</sup>, Bao Li<sup>a</sup>, Ruisen Fu<sup>a</sup>, Yaodong Hao<sup>a</sup>, Tongna Wang<sup>a</sup>, Huanmei Guo<sup>a</sup>, Junling Ma<sup>a</sup>,  
4 **Gerold Baier<sup>b</sup>**, Haisheng Yang<sup>a</sup>, Quansheng Feng<sup>c</sup>, Liyuan Zhang<sup>a,\*</sup>, Youjun Liu<sup>a,\*</sup>

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6 <sup>a</sup> **Department of Biomedical Engineering**, Faculty of Environment and Life, Beijing University  
7 of Technology, No. 100 Pingleyuan, Chaoyang District, Beijing 100124, China.

8 <sup>b</sup> **Cell and Developmental Biology, University College London, London, WC1E 6BT, UK**

9 <sup>c</sup> Department of Cardiology, the First People's Hospital of Guangshui, Guangshui Hubei  
10 432700, China.

11  
12 **\* Corresponding Author:**

13 Youjun Liu, Email: lyjlma@bjut.edu.cn.

14 Liyuan Zhang, Email: LiyuanZhang@bjut.edu.cn.

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18 **Abstract**

19 **Background and Objective:** The functional assessment of the severity of coronary stenosis  
20 from coronary computed tomography angiography (CCTA)-derived fractional flow reserve  
21 (FFR) has recently attracted interest. However, existing algorithms run at high computational  
22 cost. Therefore, this study proposes a fast calculation method of FFR for the diagnosis of  
23 ischemia-causing coronary stenosis.

24 **Methods:** We combined CCTA and machine learning to develop a simplified single-vessel  
25 coronary model for rapid calculation of FFR. First, a zero-dimensional model of single-vessel  
26 coronary was established based on CCTA, and microcirculation resistance was determined  
27 through the relationship between coronary pressure and flow. In addition, a coronary stenosis  
28 model based on machine learning was introduced to determine stenosis resistance.  
29 Computational FFR (cFFR) was then obtained by combining the zero-dimensional model and  
30 the stenosis model with inlet boundary conditions for resting (cFFR<sub>r</sub>) and hyperemic (cFFR<sub>h</sub>)  
31 aortic pressure, respectively. We retrospectively analyzed 75 patients who underwent clinically  
32 invasive FFR (iFFR), and verified the model accuracy by comparison of cFFR with iFFR.

33 **Results:** The average computing time of cFFR was less than 2 seconds. The correlations  
34 between cFFR<sub>r</sub> and cFFR<sub>h</sub> with iFFR were  $r = 0.89$  ( $p < 0.001$ ) and  $r = 0.90$  ( $p < 0.001$ ),  
35 respectively. Diagnostic accuracy, sensitivity, specificity, positive predictive value, negative  
36 predictive value, positive likelihood ratio, negative likelihood ratio for cFFR<sub>r</sub> and cFFR<sub>h</sub> were  
37 90.7%, 95.0%, 89.1%, 76.0%, 98.0%, 8.7, 0.1 and 92.0%, 95.0%, 90.9%, 79.2%, 98.0%, 10.5,  
38 0.1, respectively.

39 **Conclusions:** The proposed model enables rapid prediction of cFFR and exhibits high  
40 diagnostic performance in selected patient cohorts. The model thus provides an accurate and  
41 time-efficient computational tool to detect ischemia-causing stenosis and assist with clinical  
42 decision-making.

43  
44 **Keywords:** fractional flow reserve, coronary computed tomography angiography, coronary  
45 zero-dimensional model, machine learning, coronary stenosis model

46  
47 **Abbreviations**

48 CCTA: coronary computed tomography angiography; FFR: fractional flow reserve; CFD:  
49 computational fluid dynamics; CT-FFR: fractional flow reserve derived from coronary  
50 computed tomography angiography; TAG: transluminal attenuation gradient; iFFR: invasive  
51 fractional flow reserve; ML: machine learning; P<sub>a-res</sub>: resting aortic pressure; P<sub>a-hyp</sub>: hyperemic  
52 aortic pressure; cFFR: computational fractional flow reserve; ICA: invasive coronary  
53 angiography; R<sub>m-res</sub>: resting microcirculation resistance; MAP: mean arterial pressure; SBP:  
54 systolic blood pressure; DBP: diastolic blood pressure; R<sub>m-hyp</sub>: hyperemic microcirculation  
55 resistance; MAP<sub>res</sub>: resting mean arterial pressure; MAP<sub>hyp</sub>: hyperemic mean arterial pressure;  
56 P<sub>a</sub>: aortic pressure; BPNN: back-propagation neural network; P<sub>d</sub>: distal coronary pressure;  
57 cFFR<sub>r</sub>: cFFR with resting aortic pressure as inlet boundary conditions; cFFR<sub>h</sub>, cFFR with  
58 hyperemic aortic pressure as inlet boundary conditions; SD: standard deviation; ROC: receiver  
59 operating characteristic; AUC: area under the receiver operating characteristic curve; PPV:

60 positive predictive value; NPV: negative predictive value; LR+: positive likelihood ratio; LR-:  
61 negative likelihood ratio; CI: confidence interval.

## 62 **1. Introduction**

63 It was found that the relationship between coronary stenosis and myocardial ischemia is  
64 complex [1]. The results showed that 35% of patients with a stenosis of 50-70% are ischemic;  
65 while 20% of patients with a stenosis of 71-90% are not ischemic [2]. Thus, it is particularly  
66 important to quantify the relationship between coronary stenosis and myocardial ischemia.  
67 Coronary computed tomography angiography (CCTA) can identify the anatomical severity of  
68 stenosis, but cannot evaluate the functional significance of stenosis, that is, whether the stenosis  
69 is causing ischemia [1]. Currently, the gold standard for functional assessment of myocardial  
70 ischemia is fractional flow reserve (FFR) [3], which is defined as the ratio of the maximum  
71 hyperemic flow through a stenotic artery to the maximum hyperemic flow under the  
72 assumption that the artery is normal [4]. Studies have manifested that FFR is of great  
73 significance in the diagnosis and treatment of functional stenosis, improving outcomes and  
74 reducing major adverse cardiac events [5–7]. Nevertheless, the measurement of FFR requires  
75 pressure wire and intravenous adenosine, prolonging the operation time and increasing the  
76 short-term cost, which limits its widespread clinical utility [8].

77 In recent years, the application of computational fluid dynamics (CFD) has made the  
78 noninvasive computation of FFR derived from CCTA (CT-FFR) possible [4,9,10]. The  
79 recognized CT-FFR (Heartflow) adopted a mathematical model, which combines a coronary  
80 anatomical model with a coronary physiological model, and uses CFD to solve the governing  
81 equation (a three-dimensional Navier-Stokes equation) for coronary pressure and flow during  
82 hyperemia [9,11]. The diagnostic performance of CT-FFR has been verified in three

83 prospective multicenter controlled trials [12–14]. Studies have suggested that CT-FFR has good  
84 diagnostic accuracy (73%-87.4%) with invasive FFR as the reference standard [12–14].  
85 Compared with CCTA alone, CT-FFR exhibits higher diagnostic accuracy in distinguishing  
86 ischemic and non-ischemic stenosis (84.3% vs 58.5%) [12]. Yet, the Navier-Stokes equation  
87 describing the blood flow in CT-FFR computation is a system of nonlinear partial differential  
88 equations, which is very time-consuming to solve. It usually takes several hours (1-6 h) to  
89 analyze CT-FFR on a parallel supercomputer [12–14]. Therefore, alternative CT-FFR  
90 techniques that allow rapid execution are needed.

91 Many studies have been carried out on the fast computing of CT-FFR, mainly including  
92 Siemens [15,16], Toshiba [17], United-Imaging [18] and so on. Siemens Healthcare used a  
93 hybrid approach to model blood flow, and enabled fast computation of CT-FFR by coupling  
94 reduced-order and full-order models [19]. This simplified calculation method has a good  
95 diagnostic accuracy [15]. In addition, Toshiba Medical developed a method using 4D-CT image  
96 tracking and structural and fluid analysis to quickly estimate CT-FFR [20,21]. The results  
97 demonstrated that the proposed 4D-CT-FFR analysis approach has the potential to evaluate the  
98 effect of coronary stenosis on blood flow [17]. Furthermore, Siemens Healthcare presented a  
99 machine-learning-based model for predicting CT-FFR as an alternative to physics-based  
100 approaches [22]. There was an excellent correlation between the machine-learning and the  
101 physics-based predictions [16]. Recently, United-Imaging Healthcare proposed a CT-FFR  
102 approach using the transluminal attenuation gradient (TAG) of each coronary to obtain the  
103 outlet boundary conditions, which also manifested good diagnostic performance in detecting

104 ischemia-causing stenosis [18]. Although compared with Heartflow CT-FFR, these fast  
105 calculation methods have greatly reduced the computational cost. Nevertheless, apart from the  
106 time spent on coronary segmentation, the computing time of FFR still requires 5-15 min [15–  
107 18], which may still not meet the clinical timeliness requirements.

108       On the premise of ensuring the relative accuracy of the three-dimensional reconstruction,  
109 this study proposed a fast CT-FFR calculation method with a single-vessel coronary as the  
110 region of interest, which has high accuracy compared with clinically measured invasive FFR  
111 (iFFR). We simplified the coronary three-dimensional model derived from CCTA to a single-  
112 vessel coronary zero-dimensional model (circuit model), and took the stenotic single-vessel  
113 coronary artery as the region of interest to reduce the computational cost. The zero-dimensional  
114 model has been widely used in the modeling of cardiovascular mechanics [23–28]. However,  
115 the simplified zero-dimensional model will lose the local geometric features of the three-  
116 dimensional model. Machine learning (ML) algorithms allow a wealth of information to be  
117 extracted from data that can be transformed into knowledge about the underlying fluid  
118 mechanics [29,30]. Hence, we trained and learned the geometric features of a large number of  
119 three-dimensional models through ML, and established a coronary stenosis model that can  
120 represent the geometric characteristics of blood vessels. Finally, the stenosis model was  
121 embedded into the simplified zero-dimensional model to ensure the accuracy of the model. In  
122 addition, we considered and compared the effects of resting aortic pressure ( $P_{a-res}$ ) and  
123 hyperemic aortic pressure ( $P_{a-hyp}$ ) as inlet boundary conditions on the accuracy of the model.  
124 The accuracy of the simplified model was validated by comparison the computational FFR

125 (cFFR) simulated in this study with the iFFR. This simplified model presented for rapid  
126 prediction of FFR may be suitable for clinical timeliness requirements, which has potential  
127 application value for the diagnosis of ischemia-causing coronary stenosis.

## 128 **2. Methods**

### 129 **2.1 Study Population**

130 This was a single-center, retrospective study conducted at the Peking University People's  
131 Hospital. A total of 75 stable patients with suspected or known coronary artery disease who  
132 had undergone CCTA, invasive coronary angiography (ICA) and iFFR measurements between  
133 March 2019 and May 2021 were enrolled. All patients were low to intermediate risk patients  
134 with visual stenosis ranging from 30% to 90%, and the diameter of vessels was  $\geq 2$  mm.  
135 Patients were excluded if they had a history of contraindication to adenosine, prior  
136 percutaneous coronary intervention, coronary artery bypass grafting surgery, or inability to  
137 adhere to study procedures. This study was in line with the principles of the Declaration of  
138 Helsinki and approved by the Medical Ethics Committee of Peking University People's  
139 Hospital. Informed written consent was received from all patients participating in this study.

### 140 **2.2 Clinical Experiments**

141 CCTA was performed using a 256-row detector CT system. The scan parameters were:  
142 collimation  $256 \times 0.625$  mm, gantry rotation time 280 ms, tube voltage 100 or 120 kV, and tube  
143 current 300 to 500 mA. The matrix size was  $512 \times 512$  pixels and the pixel size within each  
144 slice was  $0.5 \text{ mm} \times 0.5 \text{ mm}$ . Images were reconstructed with a slice thickness of 0.625 mm  
145 under the guidance of clinical cardiologists. ICA and iFFR measurements were carried out in

146 accordance with standard practice. The pressure wire was positioned a minimum of 20 mm  
147 distal to the stenosis [18]. Maximal hyperemia was induced by intravenous administration of  
148 adenosine (140  $\mu\text{g}/\text{kg}/\text{min}$ ) [4]. FFR was calculated by dividing the mean distal coronary  
149 pressure by the mean aortic pressure during maximal hyperemia [31,32], and an FFR of  $< 0.80$   
150 was considered hemodynamically significant.

## 151 **2.3 cFFR Computation**

152 Computation of cFFR requires reconstruction of a coronary anatomical model to extract  
153 geometric information; establishment of a coronary physiological model to derive boundary  
154 conditions representing cardiac output, aortic pressure, and microcirculation resistance; and  
155 application of CFD to solve the governing equations. This combination of anatomy, physiology,  
156 and CFD makes it possible to compute coronary pressure and flow.

157 In the current study, we adopted a coronary zero-dimensional model to simulate normal,  
158 healthy coronary arteries. In addition, the coronary stenosis model based on ML we developed  
159 previously was introduced to simulate the stenosis resistance produced by the stenotic segment.  
160 Such a simplified approach enables rapid and accurate prediction of pressure and flow in  
161 patient-specific coronary models.

### 162 *2.3.1 Model Preprocessing*

#### 163 2.3.1.1 Coronary Anatomy Model

164 The reconstruction and segmentation of coronary anatomical model (including the  
165 measurement of geometric parameters) was carried out using Mimics Research version 20.0  
166 under the guidance of clinical cardiologists, which ensures the accuracy of the three-



167 **dimensional model.** First, the patient-specific coronary anatomical model (**Fig. 1A**) was  
168 reconstructed from CCTA images. The topology of the coronary tree was then extracted from  
169 the anatomical model, and the geometric parameters of the coronary artery were manually  
170 measured, including vessel length, vessel diameter and vessel cross-sectional area. Moreover,  
171 the coronary artery in which the stenosis was located was identified and segmented, and the  
172 geometric parameters related to the stenotic coronary artery were measured, including stenosis  
173 entrance length, stenosis exit length, stenosis minimum length, stenosis entrance area and  
174 stenosis minimum area (**Fig. 1B**). In the current study, geometric parameters such as the length,  
175 diameter, and cross-sectional area of normal and healthy coronary arteries were used to  
176 establish a personalized coronary zero-dimensional model, while the geometric parameters  
177 related to stenotic coronary arteries were used to establish a personalized coronary stenosis  
178 model.

#### 179 2.3.1.2 Coronary Physiological Model

180 The coronary physiological model (**Fig. 2**) was derived from patient-specific data with 3  
181 main principles: 1) resting coronary flow is proportional to cardiac output; 2) microcirculation  
182 resistance is inversely proportional to vessel diameter; and 3) microcirculation resistance is  
183 reduced to simulate maximal hyperemia [4].

184 **Principle 1: Resting coronary flow is proportional to cardiac output.**

185 Total coronary flow was estimated from clinically measured cardiac output [23]:

$$186 \quad Q_{\text{cor}} = \text{CO} \cdot 4\% \quad (1)$$

187 where  $Q_{\text{cor}}$  represents total coronary flow and CO represents cardiac output. At rest, the flow

188 rate of left and right coronary artery accounted for 60% and 40% of total coronary flow,  
189 respectively [4]. According to the allometric scaling law we took  $Q \propto d^3$  [9] to determine the  
190 flow rate of each coronary artery, where  $Q$  represents coronary flow and  $d$  represents vessel  
191 diameter.

192 **Principle 2: Microcirculation resistance is inversely proportional to vessel diameter.**

193 The quantification of resting microcirculation resistance ( $R_{m-res}$ ) mainly includes the  
194 following 5 steps.

195 1) Resistance, current and voltage simulate flow resistance, flow rate and blood pressure,  
196 respectively. Assuming that the coronary artery was healthy and normal, the coronary  
197 resistance was computed according to the measured geometric parameters:

$$198 \quad R = \frac{8\pi\mu L}{A^2} \quad (2)$$

199 where  $R$  is the coronary resistance,  $\mu$  is the dynamic viscosity with a value of 0.0035 (Pa s),  $L$   
200 is the vessel length, and  $A$  is the vessel cross-sectional area.

201 2) Aortic pressure was estimated by mean arterial pressure (MAP), which was obtained  
202 from clinically measured resting systolic blood pressure (SBP) and diastolic blood pressure  
203 (DBP) [33]:

$$204 \quad MAP = \frac{SBP + 2 \cdot DBP}{3} \quad (3)$$

205 3) The coronary nodal pressure was calculated by coronary resistance and coronary flow:

$$206 \quad P_{down} = P_{up} - R \cdot Q \quad (4)$$

207 where  $P_{down}$  is the next node pressure of the coronary artery, and  $P_{up}$  is the previous node  
208 pressure of the coronary artery. For example, for the coronary artery between Node 1 and Node

209 2 (**Fig. 3**),  $P_{\text{down}}$  represents the pressure at Node 2 ( $P_2$ ), and  $P_{\text{up}}$  represents the pressure at Node  
210 1 ( $P_1$ ).

211 4) The coronary outlet pressure was determined from coronary nodal pressure, coronary  
212 resistance and coronary flow:

$$213 \quad P_{\text{out}} = P_{\text{up}} - R \cdot Q \quad (5)$$

214 where  $P_{\text{out}}$  is the coronary outlet pressure. For example, for the coronary artery between Node  
215 1 and Outlet 1a (**Fig. 3**),  $P_{\text{out}}$  is the pressure at Outlet 1a ( $P_{1a}$ ), and  $P_{\text{up}}$  is the pressure at Node 1  
216 ( $P_1$ ).

217 5) The resting microcirculation resistance was estimated according to the coronary outlet  
218 pressure and coronary flow [34].

$$219 \quad R_{\text{m-res}} = \frac{P_{\text{out}}}{Q} \quad (6)$$

220 Therefore,  $Q \propto d^3$  implies that  $R_{\text{m-res}} \propto d^{-3}$ , or that the microcirculation resistance is inversely  
221 proportional to the vessel diameter [9].

### 222 **Principle 3: Microcirculation resistance is reduced to simulate maximal hyperemia.**

223 The resting microcirculation resistance was reduced by 0.24 times to simulate the  
224 hyperemic microcirculation resistance ( $R_{\text{m-hyp}}$ ) [4].

$$225 \quad R_{\text{m-hyp}} = R_{\text{m-res}} \cdot 0.24 \quad (7)$$

#### 226 2.3.1.3 Boundary Condition

227 Previous studies used aortic pressure estimated by MAP as the inlet boundary condition  
228 [9,35]. Since the result obtained by formula (3) is the resting MAP ( $\text{MAP}_{\text{res}}$ ), the estimated  
229 aortic pressure here is  $P_{\text{a-res}}$ . Nevertheless, the measurement of FFR was carried out in the

230 hyperemic state, so two inlet boundary conditions,  $P_{a-res}$  and  $P_{a-hyp}$ , were considered in this study.  
 231 Considering that the  $P_{a-hyp}$  was unable to be obtained directly, we counted the  $MAP_{res}$  and  
 232 hyperemic MAP ( $MAP_{hyp}$ ) obtained by invasive measurement in 89 patients. It was found that  
 233  $MAP_{hyp}$  ( $82.19 \pm 11.80$  mmHg) was approximately 0.81 times of the  $MAP_{res}$  ( $100.98 \pm 13.50$   
 234 mmHg). This was consistent with the estimate of  $P_{a-hyp}$  by 0.8 times of  $P_{a-res}$  described in the  
 235 literature [18]. Accordingly, we simulated  $P_{a-hyp}$  by 0.81 times of  $MAP_{res}$ .

### 236 2.3.2 Coronary Zero-Dimensional Model

237 The model parameters obtained in *Section 2.3.1* were used to establish the personalized  
 238 coronary zero-dimensional model. The stenotic single-vessel coronary artery was taken as the  
 239 region of interest (**Fig. 4A**).  $P_{a-res}$  and  $P_{a-hyp}$  were respectively set as the inlet boundary  
 240 conditions, and the  $R_{m-hyp}$  was set as the outlet boundary condition. Thus, the personalized  
 241 coronary zero-dimensional model for simulating hyperemia is (**Fig. 4B**):

$$242 \quad Q_s = \frac{P_a}{R_{m-hyp} + R_s} \quad (8)$$

243 where  $Q_s$  is hyperemic coronary flow,  $P_a$  is aortic pressure, and  $R_s$  is stenosis resistance. For  
 244 the personalized coronary zero-dimensional model,  $P_a$  and  $R_{m-hyp}$  are constant, while hyperemic  
 245 coronary flow changes with the change of stenosis resistance. Hence, the coronary zero-  
 246 dimensional model can be expressed as:

$$247 \quad Q_s = f(R_s) \quad (9)$$

### 248 2.3.3 Coronary Stenosis Model

249 The previously established coronary stenosis model was adopted to simulate the resistance  
 250 generated by the stenotic coronary artery (**Fig. 4C-D**) [36]. In order to model a stenosis

251 resistance similar to that of the three-dimensional CFD, we first computed the stenosis  
252 resistance of 3028 ideal stenosis models using the three-dimensional CFD approach, which was  
253 divided into training, validation and test sets with approximate ratios 8:1:1 [36]. Then, we  
254 adopted a back-propagation neural network (BPNN) architecture to describe complex  
255 nonlinear relationships between input and output variables. **The input characteristic parameters**  
256 **of the model were six stenotic geometric parameters (including stenosis degree, stenosis**  
257 **entrance length, stenosis exit length, stenosis minimum length, stenosis entrance area and**  
258 **stenosis minimum area, Fig. 4C) and hyperemic coronary flow, and the output characteristic**  
259 **parameter was stenosis resistance (Fig. 4D).** Six stenotic geometric parameters, hyperemic  
260 coronary flow and stenosis resistance calculated by three-dimensional CFD were used for  
261 training. Mean squared error was used as the loss function to evaluate the error between the  
262 predicted values from the network and the actual output data during the training process. **Finally,**  
263 **the BPNN (i.e., coronary stenosis model, Fig. 4D) was established instead of three-dimensional**  
264 **CFD to predict stenosis resistance, and the accuracy of the BPNN had been verified by 30**  
265 **personalized models [36]. The developed BPNN architecture consisted of one input layer, six**  
266 **hidden layers and one output layer, which was determined after hyperparameter adjustment**  
267 **[36].** For the personalized coronary stenosis model, the geometric parameters are constant,  
268 while stenosis resistance changes with the change of hyperemic coronary flow. Hence, the  
269 coronary stenosis model allowed to be expressed as:

$$270 \quad R_s = f(Q_s) \quad (10)$$

#### 271 *2.3.4 Numerical Simulation*

272 Coupling of coronary zero-dimensional model and coronary stenosis model enabled the  
273 determination of individualized stenosis resistance and hyperemic coronary flow. As  
274 mentioned above, the coronary zero-dimensional model was able to be expressed as  $Q_s=f(R_s)$ ,  
275 while the coronary stenosis model was able to be represented as  $R_s=f(Q_s)$ . For the two models,  
276 the coronary zero-dimensional model provided hyperemic coronary flow for the coronary  
277 stenosis model, while the coronary stenosis model provided stenosis resistance for the coronary  
278 zero-dimensional model. Hence, the two models were iteratively calculated, and convergence  
279 was reached when  $|Q_s'-Q_s| \leq 0.0001$  ml/s, where  $Q_s'$  is the new hyperemic coronary flow and  
280  $Q_s$  is the previous hyperemic coronary flow. This allowed simultaneous determination of  
281 individualized stenosis resistance and hyperemic coronary flow to simulate patient-specific  
282 coronary pressure and flow.

283 Further, the distal coronary pressure ( $P_d$ ) was computed according to hyperemic  
284 microcirculation resistance and hyperemic coronary flow.

$$285 \quad P_d = Q_s \cdot R_{m-hyp} \quad (11)$$

286 Finally, cFFR was calculated by distal coronary pressure and aortic pressure:

$$287 \quad cFFR = \frac{P_d}{P_a} \quad (12)$$

288 The cFFR calculated with  $P_{a-res}$  and  $P_{a-hyp}$  as inlet boundary conditions were denoted as  $cFFR_r$   
289 and  $cFFR_h$ , respectively. The reconstruction and segmentation of coronary anatomical model  
290 (including the measurement of geometric parameters) was carried out using Mimics Research  
291 version 20.0 under the guidance of clinical cardiologists. The coronary stenosis model was

292 implemented in Python 3.7, using Keras and Tensorflow libraries. Other modeling and  
293 simulation were performed using Matlab version R2018b.

## 294 **2.4 Statistical Analysis**

295 All statistical analyses were carried out using IBM SPSS Statistics version 25.0 and  
296 MedCalc version 19.4.0. Normal distribution was tested using the Shapiro-Wilk test.  
297 Categorical variables are represented as frequencies and percentages, with continuous variables  
298 as mean  $\pm$  standard deviation (SD). Pearson correlation coefficient was used to analyze and  
299 evaluate the relationship between cFFR and iFFR. Bland-Altman analysis and 95% limits of  
300 agreement were adopted to assess the agreement of cFFR and iFFR. The receiver operating  
301 characteristic (ROC) curves were compared using iFFR  $< 0.80$  as the reference standard. The  
302 area under the receiver operating characteristic curve (AUC) was computed using the DeLong  
303 method to evaluate the diagnostic performance of cFFR and CCTA. Diagnostic accuracy,  
304 sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV),  
305 positive likelihood ratio (LR+), and negative likelihood ratio (LR-) with 95% confidence  
306 interval (CI) were calculated for cFFR  $< 0.80$  and CCTA stenosis degree  $\geq 70\%$ .

## 307 **3. Results**

### 308 **3.1 Patient Characteristics**

309 The study population consisted of 75 patients (75 vessels). Baseline characteristics of  
310 patients and lesions are summarized in **Table 1**. The average age of patients was  $61.6 \pm 10.1$   
311 years old, including 46 males and 29 females. Among the 75 vessels, 62 (82.7%) lesions were  
312 located in left anterior descending arteries, 3 (4.0%) were in left circumflex arteries, and 10

313 (13.3%) were in right coronary arteries. Among the 75 lesions, 16 (21.3%) coronary stenosis  
314 was caused by non-calcified plaques, 30 (40.0%) coronary stenosis was caused by calcified  
315 plaques, and the rest were caused by mixed plaques. CCTA stenosis degree  $\geq 70\%$  was mostly  
316 caused by non-calcified plaques and mixed plaques, which may be because stable plaques  
317 (calcified plaques) generally less susceptible to increasing stenosis, while unstable plaques  
318 (non-calcified plaques and mixed plaques) are more likely to lead to acute stenosis and even  
319 vessel occlusion. Excluding the time spent on reconstruction and segmentation of the coronary  
320 anatomical model (about half an hour), the average calculation time of cFFR was less than 2  
321 seconds.

### 322 **3.2 Correlation and Agreement of cFFR to iFFR**

323 Four representative examples are displayed in **Fig. 5**, and details of the four cases are  
324 shown in **Table 2**. As shown in **Fig. 5**, Cases 1-3 demonstrate the success of prediction, where  
325 the clinical measurement results are consistent with the simulation results (both either show  
326 ischemia, or both show no ischemia). Case 4 shows the failure of prediction, where the clinical  
327 measurement results (iFFR  $> 0.8$ , no ischemia) are inconsistent with the simulation calculation  
328 results (cFFR  $< 0.8$ , ischemia).

329 The scatterplot reveals a good correlation between cFFR and iFFR (**Fig. 6**). Pearson  
330 correlation coefficients of cFFR<sub>r</sub> and cFFR<sub>h</sub> with iFFR were  $r = 0.89$  ( $p < 0.001$ ) and  $r = 0.90$   
331 ( $p < 0.001$ ), respectively. The Bland-Altman analysis demonstrates a slight systematic  
332 difference between cFFR and iFFR (**Fig. 7**). The mean differences between cFFR<sub>r</sub> and cFFR<sub>h</sub>  
333 with iFFR were 0.003 (95% limits of agreement: -0.21 to 0.14) and -0.009 (95% limits of



334 agreement: -0.25 to 0.17), respectively.

### 335 **3.3 Diagnostic Performance of cFFR versus CCTA for Diagnosis of Ischemia-Producing** 336 **Lesions**

337 **Fig. 8** illustrates the ROC curve of cFFR and CCTA in the diagnosis of ischemic coronary  
338 stenosis. The results demonstrated that the AUC of cFFR<sub>r</sub>, cFFR<sub>h</sub> and CCTA were 0.960 (95%  
339 CI: 0.888 to 0.992, P < 0.001), 0.960 (95% CI: 0.888 to 0.992, P < 0.001) and 0.889 (95% CI:  
340 0.795 to 0.950, P < 0.001), respectively. **Figs. 8B-D** show that in selected samples, the proposed  
341 **simplified model exhibited better diagnostic performance for coronary stenosis caused by non-**  
342 **calcified plaques and mixed plaques. Table 3** lists the diagnostic characteristics of cFFR < 0.80  
343 and CCTA stenosis degree  $\geq 70\%$  for detecting significant stenosis. The diagnostic accuracy,  
344 sensitivity, specificity, PPV, NPV, LR (+), LR (-) of cFFR<sub>r</sub> and cFFR<sub>h</sub> were 90.7%, 95.0%,  
345 89.1%, 76.0%, 98.0%, 8.7, 0.1 and 92.0%, 95.0%, 90.9%, 79.2%, 98.0%, 10.5, 0.1, respectively.  
346 The performances of cFFR<sub>r</sub> and cFFR<sub>h</sub> were superior to CCTA for diagnosing ischemic lesions,  
347 the latter of which demonstrated an accuracy, sensitivity, specificity, PPV, NPV, LR (+), LR (-)  
348 of 78.7%, 80.0%, 78.2%, 57.1%, 91.5%, 3.7, 0.3, respectively.

### 349 **4. Discussion**

350 We successfully developed a simplified model to rapidly predict FFR and verified the  
351 accuracy of the model. The novelty of the model mainly lies in taking the stenotic coronary  
352 artery as the region of interest, simplifying the process of model analysis, and reducing the  
353 computational cost by simplifying the three-dimensional model to a zero-dimensional model.  
354 In addition, considering that ML technology is capable of extracting rich information from data,

355 we combine a zero-dimensional model with the stenosis model based on ML to ensure the  
356 accuracy of the model. The average computation time is less than 2 seconds, which is feasible  
357 in a clinical environment. It is suitable for individualized patients with a stenosis of 30%-90%.  
358 The proposed simplified model thus has potential in clinical application for the detection of  
359 ischemic stenosis.

## 360 **4.1 Model Analysis**

### 361 *4.1.1 Boundary Conditions*

362 In the current study, two inlet boundary conditions,  $P_{a-res}$  and  $P_{a-hyp}$ , were considered, and  
363 the outlet boundary condition adopted  $R_{m-hyp}$ , which is commonly used in the literature [9,23].  
364 To ensure the accuracy of the model, the actual measured pulsatile aortic pressure should be  
365 used as the inlet boundary condition. Previous studies have naturally produced pulsatile aortic  
366 pressure through the interaction between heart model and systemic circulation model [9,23,24].  
367 However, the pulsatile aortic pressure cannot be obtained non-invasively. Therefore, this study  
368 only used the stable aortic pressure for simulation. It is feasible to use the aortic pressure  
369 estimated by MAP (i.e.,  $P_{a-res}$ ) as an inlet boundary condition, which has been verified by  
370 previous studies [9,35]. Yet, the measurement of clinical FFR is performed under hyperemic  
371 conditions [37]. To be physiologically realistic, the  $P_{a-hyp}$  was also considered as the inlet  
372 boundary condition in this study.

373 In theory, there is a pressure drop in the coronary segment from the aorta to the inlet of  
374 the stenosis, meaning that the pressure at the inlet of the stenosis is actually lower than the  
375 aortic pressure. In this study, the aortic pressure was set as the inlet boundary condition, which

376 may lead to a higher simulated distal pressure of the stenosis, resulting in a higher simulated  
377 FFR. Nevertheless, compared with the pressure drop caused by the stenosis, the pressure drop  
378 caused by the coronary artery from the aorta to the inlet of the stenosis can be considered  
379 negligible. Our results also showed that the effect of this part of the pressure drop is negligible.

380 We compared the diagnostic performance of two stable inlet boundary conditions,  $P_{a-res}$   
381 and  $P_{a-hyp}$ . Results manifested that the accuracy, specificity and PPV of  $P_{a-hyp}$  were slightly  
382 higher than those of  $P_{a-res}$  in the selected patient cohort (accuracy: 92.0% vs 90.7%; specificity:  
383 90.9% vs 89.1%; PPV: 79.2% vs 76.0%). Although using a stable aortic pressure boundary  
384 condition may reduce the accuracy of the model compared to the actual pulsatile aortic pressure  
385 waveform, the stable blood flow model still guarantees the accuracy of the cFFR calculation  
386 as shown by our results.

#### 387 *4.1.2 Coronary Zero-Dimensional Model*

388 The zero-dimensional model has been widely used in the modeling of cardiovascular  
389 mechanics, which allows simulation of coronary flow and pressure [23–28]. In the present  
390 study, we took the stenotic single-vessel coronary artery as the region of interest, assuming that  
391 the coronary artery was healthy and normal, and adopted a coronary zero-dimensional model  
392 to describe the healthy coronary artery. Flow resistance is simulated by resistance, flow rate is  
393 simulated by current and blood pressure is simulated by voltage. Furthermore, the coronary  
394 resistance computation and flow distribution adopted the methods proposed by Taylor et al. [9].  
395 The coronary three-dimensional model was simplified to coronary zero-dimensional model,  
396 which avoided the solution of the three-dimensional flow field and greatly reduced the time

397 required for analysis.

398 In a previous study, in order to simulate various parts of physiologically realistic  
399 circulatory system, a complete lumped parameter model of the coronary artery and  
400 cardiovascular system was established, which permitted simulating physiologically realistic  
401 pressure and flow of the coronary artery [24]. In this study, on the one hand, we considered that  
402 the topology of the coronary artery is parallel, which means that the parallel branches do not  
403 affect each other, and the downstream coronary arteries have almost no effect on the upstream  
404 coronary arteries. On the other hand, the blood vessel of interest is the stenotic coronary artery.  
405 Therefore, it is feasible to take the stenotic single-vessel coronary artery as the region of interest.  
406 Only the stenotic coronary artery is analyzed, avoiding calculation of the solution of other  
407 branches, thus greatly reducing the simulation time.

#### 408 *4.1.3 Coronary Stenosis Model*

409 Since the zero-dimensional model cannot describe the geometric characteristics of the  
410 three-dimensional coronary artery, we additionally evaluated the stenosis resistance generated  
411 by the stenotic coronary artery to accurately simulate the coronary flow. In a previous study,  
412 we employed an experimentally validated analytical model related to stenotic geometric  
413 parameters and flow rate [38]. In this analytical model, the stenosis resistance was estimated  
414 by a theoretical formula. In it the geometric parameters of stenosis were obtained by manual  
415 measurement of the stenotic coronary artery from a three-dimensional reconstruction. To  
416 simulate the stenotic resistance consistent with the three-dimensional CFD to ensure the  
417 accuracy of the calculation model, this study adopted a BPNN (i.e., coronary stenosis model)

418 to simulate the hemodynamics of stenotic coronary arteries [36]. This BPNN was trained on a  
419 large number of stenotic geometric parameters, hyperemic coronary flow and stenosis  
420 resistance predicted by the three-dimensional CFD, and allowed simulation of the stenosis  
421 resistance similar to the three-dimensional CFD, which had been verified by 30 personalized  
422 models [36]. Accordingly, the coronary stenosis model allows prediction of the stenosis  
423 resistance instead of the three-dimensional CFD, thereby ensuring a physiologically realistic  
424 simulation of the coronary flow.

#### 425 **4.2 Model Comparison**

426 Previous studies of fast computed CT-FFR exhibited good diagnostic performance.  
427 Siemens Healthcare used a hybrid reduced-order CFD model to quickly calculate CT-FFR  
428 (cFFR, Siemens) from CCTA images [19]. The cFFR demonstrated a moderate correlation with  
429 iFFR, with the Pearson correlation coefficient ranging from 0.59 to 0.74, and the range of AUC  
430 for detected ischemia-causing stenosis was 0.83 to 0.91 [15,39–42]. The analysis time of cFFR  
431 varied from 30 to 120 min [15,39–42]. Subsequently, Toshiba Medical proposed a CT-FFR  
432 (CT-FFR, Toshiba) technology using a reduced-order model for structure and fluid analysis  
433 with a non-Newtonian fluid model [20,21]. The correlation between CT-FFR and iFFR was  
434 0.57, the accuracy of CT-FFR was 83.9%, and AUC was 0.88 [17]. The mean analysis time  
435 was  $27.07 \pm 7.54$  min [17]. In addition, Siemens Healthcare presented a new version of CT-  
436 FFR (cFFR, Siemens) based on ML [22]. These studies showed that the accuracy of ML-based  
437 cFFR ranged from 78% to 93%, and the correlation between ML-based cFFR and iFFR ranged  
438 from 0.62 to 0.85. The AUC of ML-based cFFR ranged from 0.84 to 0.94. The operating time

439 of ML-based cFFR varied from 10 to 50 min [16,43,44]. Moreover, United-Imaging Healthcare  
440 developed a CT-FFR (uCT-FFR, United-Imaging) method using TAG to define the outlet  
441 boundary conditions [18]. The diagnostic accuracy, sensitivity, specificity, PPV and NPV of  
442 uCT-FFR were 91%, 89%, 91%, 86% and 94%, respectively. The mean operation time of uCT-  
443 FFR was  $11.0 \pm 2.8$  min [18].

444         Similar to these previous methods, our approach provides a non-invasive evaluation of  
445 FFR based on conventional CCTA images. Excluding the time spent on the generation of  
446 coronary anatomical model in the preprocessing stage, the previous Heartflow CT-FFR  
447 required several hours of simulation on a supercomputer to obtain the FFR, with diagnostic  
448 accuracy between 73% and 87.4%, and AUC between 0.81 and 0.92 [12–14]. The previous fast  
449 algorithm used a standard desktop computer with a computing time of 5-15 min, AUC of 0.83  
450 to 0.94, and diagnostic accuracy of 74.6% to 93% [15–18]. Our method only needed a  
451 simulation on an ordinary computer (Intel Core i7-7700 CPU at 3.6 GHz) and obtained the  
452 FFR in less than 2 seconds. This may be due to the reduction of the region of interest to include  
453 only the stenotic coronary artery and the simplification of the model to a zero-dimensional  
454 circuit structure for analysis, thus greatly reducing the computational cost. Compared with  
455 previous methods, our approach is computationally efficient in terms of execution speed and  
456 hardware requirements, which may be suitable for clinical timeliness requirements. In addition,  
457 the diagnostic accuracy of our algorithm (90.7% for cFFR<sub>r</sub> and 92.0% for cFFR<sub>h</sub>) is in the same  
458 range as that of the previously published results. This may be because we used a coronary  
459 stenosis model based on ML to simulate the resistance generated by stenotic segments. The

460 existing results prove that our method not only meets the clinical timeliness requirements  
461 (calculation speed), but also ensures the accuracy of FFR prediction (calculation accuracy),  
462 which means that this study has potential application value for clinical non-invasive diagnosis  
463 of ischemia-causing coronary stenosis.

#### 464 **4.3 Limitations and Perspectives**

465 This study has several limitations. First, this study was a single-center retrospective study  
466 with a limited sample size for model validation. **Moreover, since it has not been formally used**  
467 **in clinical practice, this study lacks follow-up data regarding the use of efficient CT-FFR to**  
468 **guide patient treatment.** Multicenter prospective studies are needed to verify the feasibility of  
469 the model for application in large-scale experiments. In addition, the geometric features of the  
470 three-dimensional model were obtained by manual measurement, which may produce errors  
471 and lead to the reduction of the accuracy of the model. Furthermore, statistical assumptions  
472 were used in the determination of coronary model parameters, such as application of allometric  
473 scaling law (flow rate is proportional to the cubic of diameter) and quantification of  $R_{m-hyp}$   
474 (resistance is reduced to 0.24 times of  $R_{m-res}$ ). These parameters vary across patients. **Finally,**  
475 **the current method of segmentation is still time consuming, thus, it is necessary to develop an**  
476 **automatic model segmentation, avoid errors caused by manual intervention, and enable fast**  
477 **segmentation while ensuring model accuracy.**

#### 478 **5. Conclusions**

479 We have proposed a simplified model for the calculation of FFR, which improves the  
480 calculation speed by simplifying the coronary model and ensures the model accuracy by

481 applying ML to predict stenosis resistance. The feasibility and accuracy of the simplified model  
482 were validated by comparison with invasive clinical measurements. The results demonstrate  
483 that the model not only guarantees the accuracy of FFR calculation, but also produces the fast  
484 prediction of FFR. This has potential application value in the diagnosis of clinical myocardial  
485 ischemia, and may be used to assist the detection of stenotic coronary artery with hemodynamic  
486 significance in the future.

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#### 497 **Competing Interests**

498 The authors have reported that they have no relationships relevant to the contents of this  
499 paper to disclose.

#### 500 **Data Availability**

501 The data underlying this article will be shared on reasonable request to the corresponding



502 author.

503 **Author Contributions**

504 All authors made a substantial contribution either to the conception or design of the study  
505 (YF, BL, LZ, YL), the acquisition of data (YF, YH, TW, HG, JM), the analysis and  
506 interpretation of data (YF, RF, QF), the drafting of the manuscript (YF), or critical revision of  
507 the manuscript for important intellectual content (BL, RF, LZ, HY, GB, YL). All authors  
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705

706 **Figure Legends**

707 **Fig. 1 Coronary anatomical model**

708 **A** Coronary artery tree; **B** Geometric model describing the anatomical features of stenosis

709 **Fig. 2 Coronary physiological model**

710  $Q_{cor}$  indicates total coronary flow; CO, cardiac output;  $R_m$ , coronary microvascular resistance;

711 and  $d$ , coronary vessel diameter

712 **Fig. 3 Schematic diagram of coronary artery structure**

713 **A** represents the inlet of coronary branch, 1 and 2 represent the nodes of coronary branches,

714 and 1a, 2a, 2b represent the outlets of coronary branches

715 **Fig. 4 Computational model of cFFR**

716 **A** Schematic diagram of three-dimensional stenotic coronary artery; **B** Coronary zero-

717 dimensional model (electrical analog model); **C** Geometric model describing the anatomical

718 features of stenosis; **D** Coronary stenosis model (BPNN). **The input features of the BPNN are:**

719 **stenosis degree, stenosis entrance length, stenosis exit length, stenosis minimum length,**

720 **stenosis entrance area and stenosis minimum area and hyperemic coronary flow, and the output**

721 **feature is stenosis resistance.**

722  $P_a$  indicates aortic pressure;  $P_d$ , distal coronary pressure;  $P_v$ , venous pressure;  $Q_s$ , hyperemic

723 coronary flow;  $R_s$ , stenosis resistance;  $R_m$ , coronary microvascular resistance;  $P_{a-res}$ , resting

724 aortic pressure;  $P_{a-hyp}$ , hyperemic aortic pressure;  $R_{m-hyp}$ , hyperemic coronary microvascular

725 resistance; and BPNN, back-propagation neural network model

726 **Fig. 5 Representative cases of cFFR simulation**

727 cFFR indicates computational fractional flow reserve; iFFR, invasive fractional flow reserve;  
728 cFFR<sub>r</sub>, cFFR with resting aortic pressure as inlet boundary conditions; and cFFR<sub>h</sub>, cFFR with  
729 hyperemic aortic pressure as inlet boundary conditions

730 **Fig. 6 Scatter plots show correlation between cFFR and iFFR**

731 Pearson correlation coefficient of cFFR and iFFR with **A** resting aortic pressure and **B**  
732 hyperemic aortic pressure as inlet boundary conditions. Abbreviations as in **Fig. 5**

733 **Fig. 7 Bland-Altman plots of cFFR and iFFR**

734 Agreement between cFFR and iFFR with **A** resting aortic pressure and **B** hyperemic aortic  
735 pressure as inlet boundary conditions. Abbreviations as in **Fig. 5**

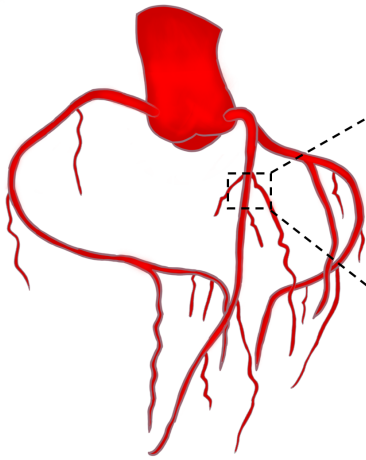
736 **Fig. 8 ROC curves of cFFR and CCTA**

737 The AUC of cFFR<sub>r</sub>, cFFR<sub>h</sub>, and CCTA for discrimination of ischemic coronary stenosis (iFFR  
738 < 0.80). **A All lesions; B Non-calcified plaque; C Calcified plaque; D Mixed plaque.**

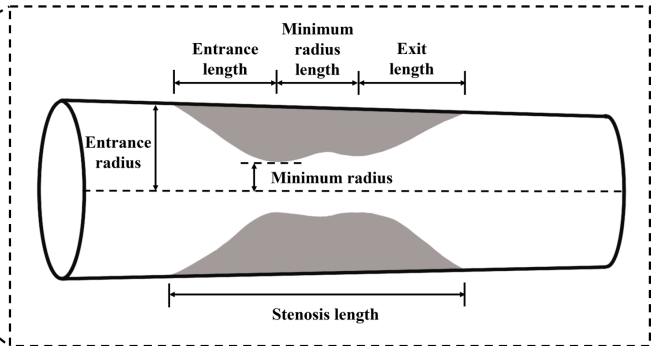
739 ROC indicates receiver operating characteristic; CCTA, coronary computed tomography  
740 angiography; AUC, area under the receiver-operating characteristic curve; other abbreviations  
741 as in **Fig. 5**

742 **Fig. 1**

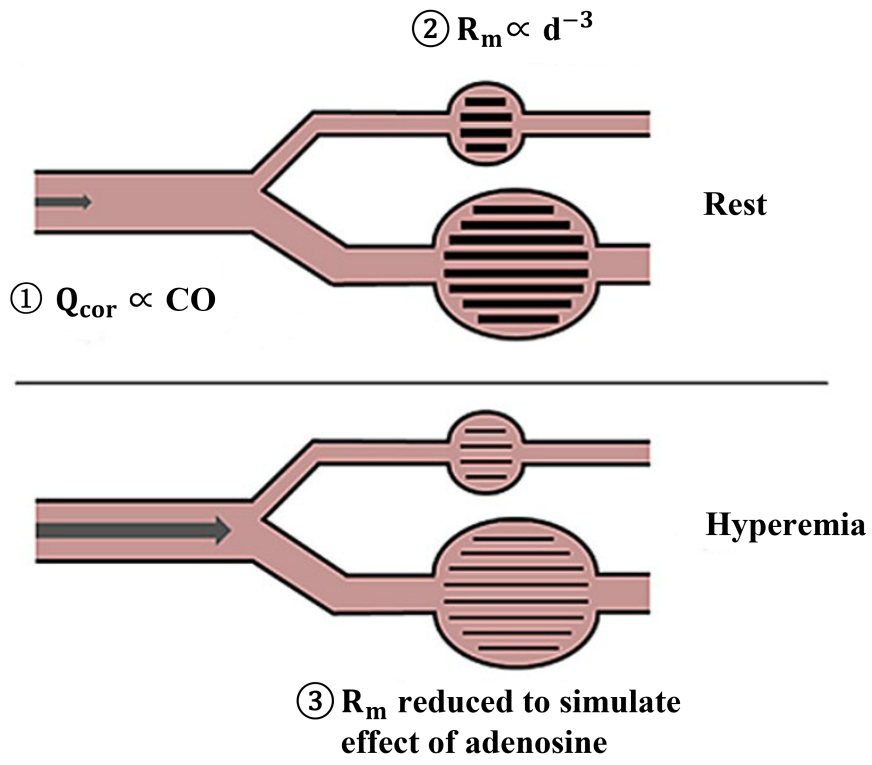
**A**

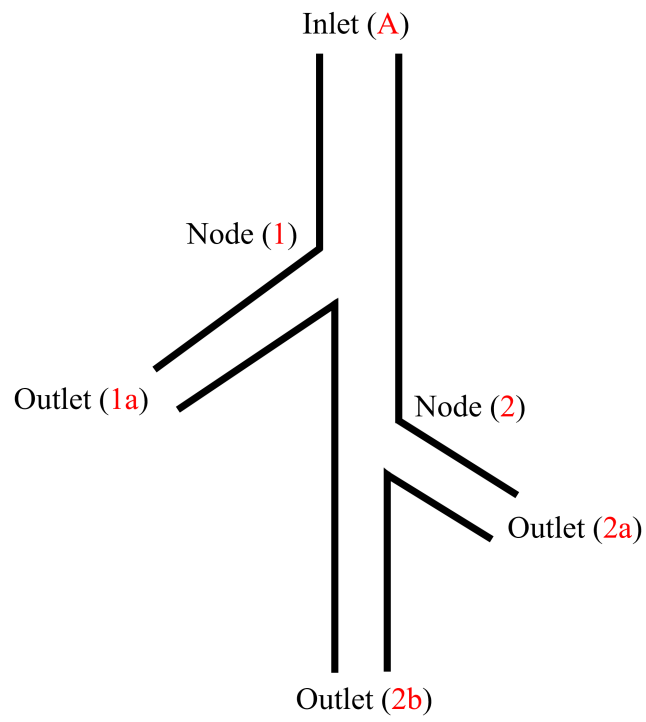


**B**



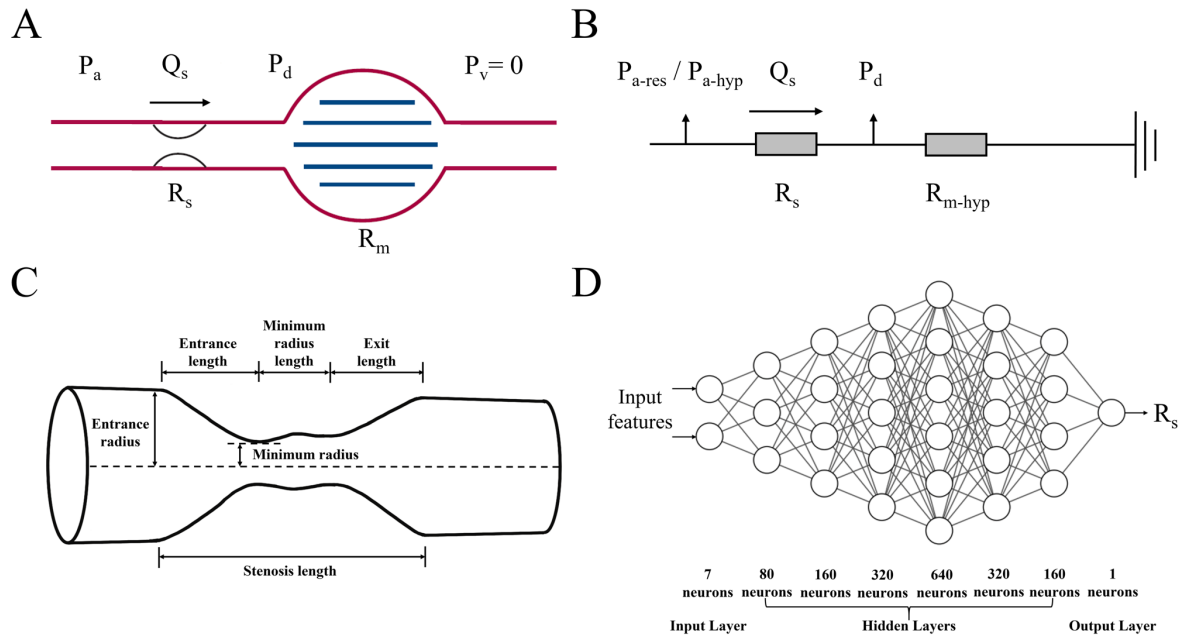
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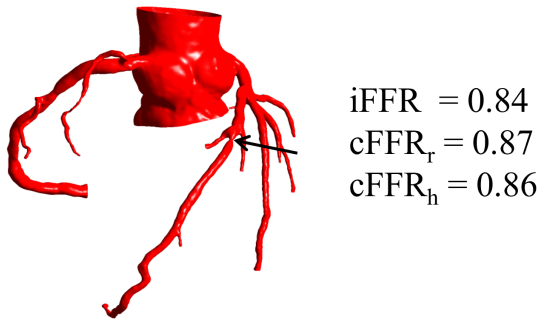




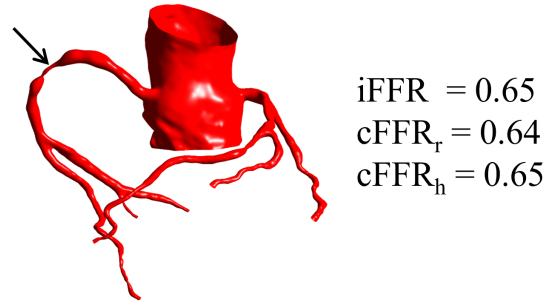
748 **Fig. 4**



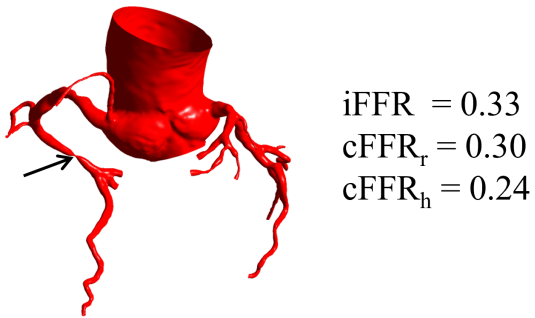
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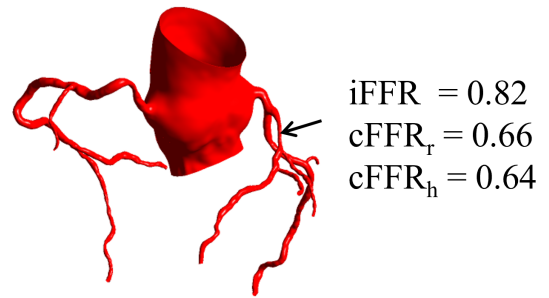
Case 1



Case 2

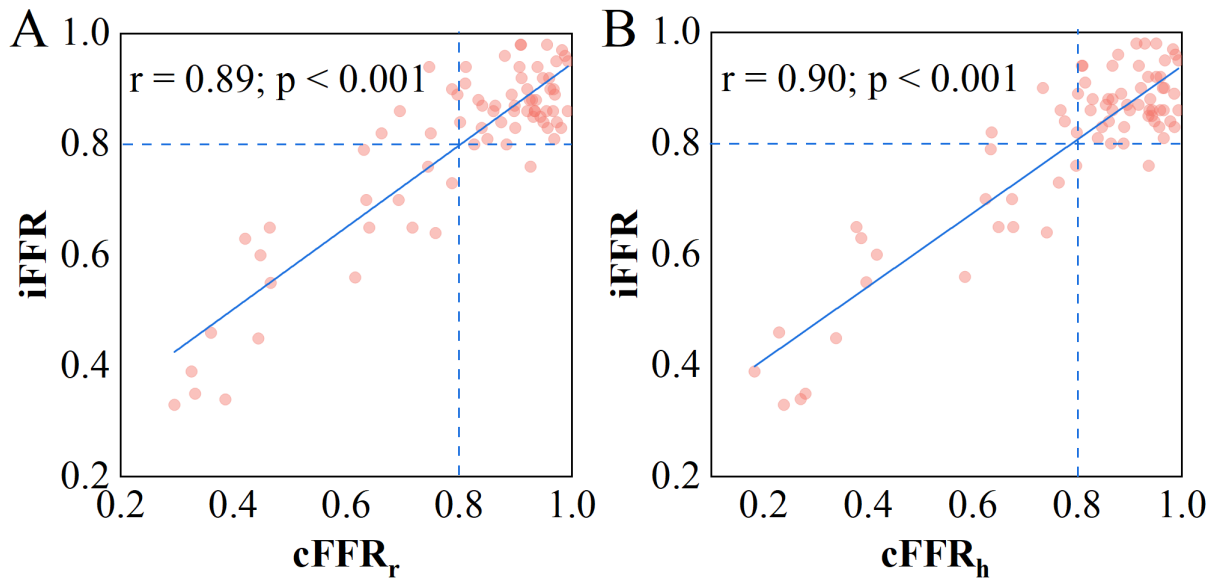


Case 3



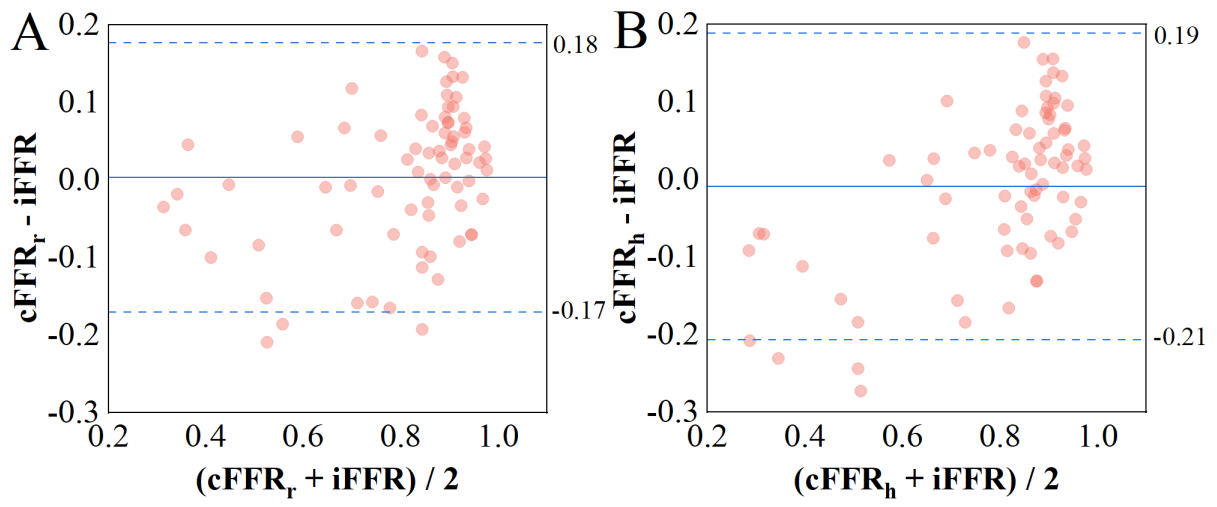
Case 4

752 **Fig. 6**



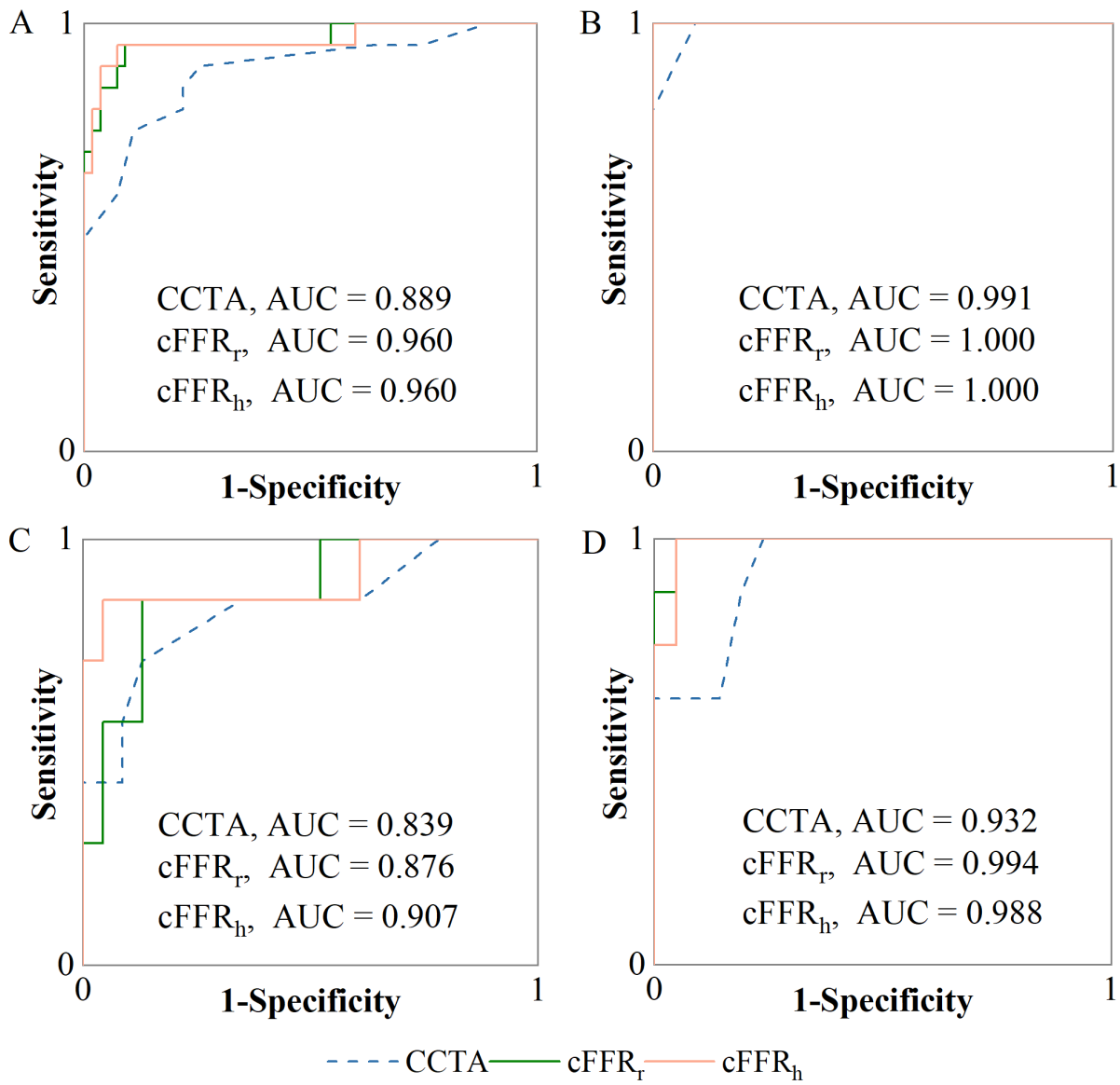
753

754 **Fig. 7**



755

756 Fig. 8



757

758 **Tables**759 **Table 1 Baseline characteristics**

Parameter	All patients/lesions (N = 75)	Non-calcified plaque (N = 16)	Calcified plaque (N = 30)	Mixed plaque (N = 29)
Age (years)	61.6 ± 10.1	56.1 ± 13.0	62.5 ± 8.2	63.8 ± 9.3
Female	29 (38.7)	6 (37.5)	14 (46.7)	9 (31.0)
SBP (mmHg)	132.4 ± 15.5	130.4 ± 15.5	132.2 ± 15.5	133.7 ± 15.9
DBP (mmHg)	78.4 ± 11.6	77.4 ± 6.9	81.0 ± 12.8	76.2 ± 12.1
HR (beats/min)	72.2 ± 12.8	77.1 ± 13.3	69.6 ± 12.0	72.3 ± 13.0
CO (L/min)	4.6 ± 1.1	4.6 ± 1.2	4.7 ± 1.1	4.4 ± 1.1
Lesion location				
LAD	62 (82.7)	13 (81.3)	26 (86.7)	23 (79.3)
LCX	3 (4.0)	1 (6.3)	1 (3.3)	1 (3.4)
RCA	10 (13.3)	2 (12.5)	3 (10.0)	5 (17.2)
CCTA stenosis degree ≥ 70%	28 (37.3)	10 (62.5)	5 (16.7)	13 (44.8)
iFFR < 0.80	20 (26.7)	5 (31.3)	7 (23.3)	8 (27.6)

760 Values are mean  $\pm$  SD or n (%).

761 SBP indicates systolic blood pressure; DBP, diastolic blood pressure; HR, heart rate; CO, cardiac output; LAD, left anterior descending artery;

762 LCX, left circumflex artery; RCA, right coronary artery; CCTA, coronary computed tomography angiography; and iFFR, invasive fractional flow

763 reserve

764 **Table 2 Physiological parameters and simulation results of representative examples**

Patient	Gender	Age (years)	HR (beats/min)	SBP (mmHg)	DBP (mmHg)	CO (L/min)	Lesion location	CCTA stenosis degree	iFFR	cFFR <sub>r</sub>	cFFR <sub>h</sub>
Case 1	Male	64	66	106	66	3.43	LAD	0.6	0.84	0.87	0.86
Case 2	Female	64	88	137	77	3.90	RCA	0.9	0.65	0.64	0.65
Case 3	Male	75	70	115	71	4.21	RCA	0.9	0.33	0.30	0.24
Case 4	Male	61	68	123	77	3.81	LAD	0.75	0.82	0.66	0.64

765 cFFR indicates computational fractional flow reserve; cFFR<sub>r</sub>, cFFR with resting aortic pressure as inlet boundary conditions; cFFR<sub>h</sub>, cFFR with  
 766 hyperemic aortic pressure as inlet boundary conditions; other abbreviations as in **Table 1**

767



768 **Table 3 Diagnostic characteristics of cFFR and CCTA compared with iFFR**

	cFFR <sub>r</sub> < 0.80	cFFR <sub>h</sub> < 0.80	CCTA Stenosis Degree ≥ 70%
TP	19	19	16
FP	6	5	12
TN	49	50	43
FN	1	1	4
Accuracy (%)	90.7 (81.7-96.2)	92.0 (83.4-97.0)	78.7 (67.7-87.3)
Sensitivity (%)	95.0 (75.1-99.9)	95.0 (75.1-99.9)	80.0 (56.3-94.3)
Specificity (%)	89.1 (77.8-95.9)	90.9 (80.0-97.0)	78.2 (65.0-88.2)
PPV (%)	76.0 (59.6-87.2)	79.2 (62.1-89.8)	57.1 (43.6-69.7)
NPV (%)	98.0 (87.9-99.7)	98.0 (88.1-99.7)	91.5 (81.6-96.3)
LR (+)	8.7 (4.1-18.7)	10.5 (4.5-24.2)	3.7 (2.1-6.3)
LR (-)	0.1 (0.0-0.4)	0.1 (0.0-0.4)	0.3 (0.1-0.6)

769 Values in parentheses are 95% confidence interval.

770 TP indicates true positive; FP, false positive; TN, true negative; FN, false negative; PPV, positive predictive value; NPV, negative predictive value;

771 LR (+), positive likelihood ratio; and LR (-), negative likelihood ratio; other abbreviations as in **Tables 1 and 2**