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Alice Van Haefen ; Ceridwen Ash ; Graham Worth  



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# Propagating multi-dimensional density operators using the multi-layer- $\rho$ multi-configurational time-dependent Hartree method

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Alice Van Haeften,<sup>a)</sup>  Ceridwen Ash,<sup>b)</sup>  and Graham Worth<sup>c)</sup> 

## AFFILIATIONS

Department of Chemistry, University College London, London WC1H 0AJ, UK

<sup>a)</sup>Electronic mail: [alice.haeften.17@ucl.ac.uk](mailto:alice.haeften.17@ucl.ac.uk)

<sup>b)</sup>Electronic mail: [ceridwen.ash.16@alumni.ucl.ac.uk](mailto:ceridwen.ash.16@alumni.ucl.ac.uk)

<sup>c)</sup>Author to whom correspondence should be addressed: [g.a.worth@ucl.ac.uk](mailto:g.a.worth@ucl.ac.uk)

## ABSTRACT

Solving the Liouville–von-Neumann equation using a density operator provides a more complete picture of dynamical quantum phenomena than by using a wavepacket and solving the Schrödinger equation. As density operators are not restricted to the description of pure states, they can treat both thermalized and open systems. In practice, however, they are rarely used to study molecular systems as the computational resources required are even more prohibitive than those needed for wavepacket dynamics. In this paper, we demonstrate the potential utility of a scheme based on the powerful multi-layer multi-configurational time-dependent Hartree algorithm for propagating multi-dimensional density operators. Studies of two systems using this method are presented at a range of temperatures and including up to 13 degrees of freedom. The first case is single proton transfer in salicylalimine, while the second is double proton transfer in porphycene. A comparison is also made with the approach of using stochastic wavepackets.

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## I. INTRODUCTION

Quantum dynamics simulations, which solve the time-dependent Schrödinger equation (TDSE), have become standard computational technique to understand the quantum behavior of molecular systems. In particular, they are used to great effect in describing the time-evolution of a system after photo-excitation and in helping to unravel the signal provided by time-resolved spectroscopic experiments. They also have utility in describing the fundamental reactivity of atoms and molecules and in other situations, such as proton and electron transfer where quantum effects dominate the dynamics.

Solving the TDSE yields the system wavefunction as an evolving superposition of states, known as a wavepacket. A wavepacket, however, is restricted to the description of *pure* states in which the superposition of states is coherent. While this is a complete description for closed-system dynamics at 0 K, what happens when the system is open (i.e., interacts with an environment) or is at finite

temperature? Accurately modeling and predicting the dynamics of thermalized open systems are key to the understanding of almost all chemical and biological processes as no process occurring in nature is truly ever a closed system at 0 K.

Recent studies have shown that temperature effects can play an important role in the quantum dynamics in a range of systems, such as nanoparticles,<sup>1</sup> surface adsorption,<sup>2</sup> and liquid water.<sup>3</sup> They are also important to correctly describe the electron transfer process in solar cells, which clearly do not have working temperatures of 0 K. There has even been evidence that dye sensitized solar cells, unlike first generation silicon based solar cells, actually increase in efficiency with temperature.<sup>4,5</sup> Therefore, investigating these systems at working temperatures is critically important for the goal of creating more efficient, renewable energy sources.

The TDSE is no longer sufficient to simulate these types of systems, which must be described by an incoherent superposition of states. A density operator representation is, therefore, required for a full treatment, and the Liouville–von-Neumann (LvN)

equation must be solved in place of the TDSE. The advantage of a density matrix approach, however, is that not only thermalized systems can be described but also open systems can be treated with an environment described by a dissipative operator.<sup>6–9</sup>

The disadvantage, however, is that the computational effort required to solve the LvN scales quadratically with system size compared to the TDSE. Given that the latter is a hard computational problem with an underlying exponential scaling with system size, density operators have not seen much use in recent years as they are restricted to very small systems.

For propagating wavepackets, multi-configuration time-dependent Hartree (MCTDH) has proved itself to be one of the most general and powerful algorithms available, although there are alternatives such as time-dependent coupled cluster methods and methods based on the time-dependent density matrix renormalization group.<sup>10–12</sup> By providing a time-dependent tensor contraction scheme for the underlying primitive basis used in quantum dynamics simulations, it is able to treat much larger systems than conventional numerical solutions to the TDSE, which require huge grids. In the multi-layer (ML-MCTDH) form,<sup>13–15</sup> the TDSE for systems with over 1000 degrees of freedom has been treated.<sup>16</sup> Density operators have also been previously propagated with the MCTDH approach, termed the  $\rho$ MCTDH method, with some success.<sup>17–19</sup> Here, we revisit this direction of research using an ML-MCTDH expansion to show how multi-dimensional density matrices may be propagated.

A number of other methods have been developed, which allow for the simulation of multi-dimensional dynamics at finite temperatures. Some are based on the MCTDH method for solving the time-dependent Schrödinger equation,<sup>20–23</sup> such as statistical MCTDH approaches<sup>24,25</sup> and thermofield MCTDH.<sup>26</sup> Statistical approaches take an average over randomly sampled thermalized wavepackets to build up the density matrix, thus keeping the scaling as for solving the TDSE, although many runs may be required for a converged result. The Thermofield approach maps the density matrix dynamics onto a set of states, including an effective set of bath mode, turning the problem into propagating a wavefunction with a doubling of the number of vibrational modes. Other approaches are based on tensor trains<sup>27</sup> and the density matrix renormalization group (DMRG).<sup>28,29</sup> These methods use tensor contraction schemes to break the natural exponential scaling of solving the TDSE. They can be related to the ML-MCTDH method, which uses a more general tensor contraction scheme.<sup>30</sup>

Proton transfer (PT) is a temperature sensitive process and is a common rate limiting step in enzymes and other biological systems.<sup>31,32</sup> The light mass of the proton means tunneling will be important at temperatures below the reaction barrier, and so PT needs to be modeled using quantum dynamics simulations for a correct description.

In this paper, after an overview of the theory, two systems will be treated. The first is salicylalimine, which is a rigid molecule with an asymmetric PT between a nitrogen and oxygen atom. It has been studied previously using wavepacket dynamics,<sup>33</sup> and the same simple model potentials will be used to show the scaling behavior of different density matrix approaches with up to 13 DOFs included in largest simulations. The temperature behavior as a function of system size will also be investigated. A second system based on porphycene, again using a Hamiltonian from previous wavepacket

dynamics,<sup>34</sup> will then be investigated. This includes a double PT, and the behavior with the temperature including up to 10 degrees of freedom will be presented.

## II. THEORY

### A. Solving the TDSE

If a system can be described by a pure state with wavefunction  $\Psi(t)$ , its time-evolution is given by the TDSE,

$$i\frac{\partial\Psi(t)}{\partial t} = \hat{H}\Psi(t), \quad (1)$$

where  $\hat{H}$  is the Hamiltonian of the system. To numerically solve the TDSE, one approach is to expand the multi-dimensional wavefunction into a time-independent “grid” basis and use the Dirac–Frenkel variational principle,<sup>35</sup>

$$\left\langle \partial\Psi|H - i\frac{\partial}{\partial t}|\Psi \right\rangle = 0. \quad (2)$$

Arguably, the most efficient of these methods to emerge is the MCTDH method.<sup>20,21</sup>

In the following, the MCTDH method is described along with its multi-layer (ML-MCTDH) extension, before moving on to the density matrix variants,  $\rho$ MCTDH and ML- $\rho$ MCTDH. In the MCTDH formalism, there is a gauge constraint required to ensure that the time-dependent basis functions remain orthonormal.<sup>21</sup> In the following, the standard gauge in which the constraint vanishes is chosen.

### 1. MCTDH

The MCTDH approach expands the wavefunction into a direct-product of some chosen number  $p$  sets of time-dependent basis functions,  $\varphi^{(\kappa)}$ , known as single-particle functions (SPFs),

$$\Psi(Q_1 \dots Q_p, t) = \sum_{j_1=1}^{n_1} \dots \sum_{j_p=1}^{n_p} A_{j_1 \dots j_p}(t) \prod_{\kappa=1}^p \varphi_{j_\kappa}^\kappa(Q_\kappa, t), \quad (3)$$

where  $A_{j_1 \dots j_p}(t)$  are the time-dependent expansion coefficients and  $\kappa$  is the mode number in the system with coordinate  $Q_\kappa$ . These mode coordinates are typically a set of system coordinates  $Q_\kappa = \{q_1, q_2, \dots\}$ . The SPFs themselves are further expanded into a linear combination of static primitive basis functions, with time-independent coefficients. These static basis functions usually take the form of a discrete variable representation (DVR),<sup>21,36</sup>

$$\varphi_{j_\kappa}^\kappa(Q_\kappa, t) = \sum_\alpha c_{\alpha j_\kappa}(t) \chi_\alpha(Q_\kappa), \quad (4)$$

where  $\chi_\alpha(Q_\kappa)$  are the time-independent DVR functions. Expanding the wavefunction in this way allows the results to be converged, from the fast, but inaccurate, limiting case of Time-Dependent Hartree (TDH) with  $n_\kappa = 1$ , up to the complete solution with  $n_\kappa = N_\kappa$ .<sup>21</sup> The latter is a numerically exact solution of the TDSE within the limits of accuracy given by the basis set and integration schemes used.

We now introduce the compact notation for the wavefunction expansion,

$$\Psi(\mathbf{Q}, t) = \sum_J A_J(t) \Phi_J(\mathbf{Q}, t), \quad (5)$$

where  $\Phi_J$  is an  $n$ -dimensional Hartree product configuration,  $A_J$  are the expansion coefficients,  $J$  is a multi-index subscript  $J = j_1, \dots, j_p$ , and  $\mathbf{Q} = \{Q_1, \dots, Q_p\}$ .

By applying the Dirac–Frenkel variational principle to the MCTDH wavefunction ansatz, two coupled equations of motion (EOM) can be derived. The first represents the evolving coefficients,

$$i\dot{A}_J = \sum_L \langle \Phi_J | H | \Phi_L \rangle A_L, \quad (6)$$

where  $\Phi_J$  is a  $p$ -dimensional Hartree product of the SPFs (a configuration) and  $A_J$  are the expansion coefficients.

The second EOM, derived for the SPFs, is

$$i\dot{\phi}_j^\kappa = (1 - P^\kappa) \sum_{m,l} (\rho_{j,m}^\kappa)^{-1} \langle \hat{H} \rangle_{m,l}^\kappa \phi_l^\kappa, \quad (7)$$

where  $P^\kappa$  is a projection operator defined as

$$P^\kappa = \sum_{j=1}^{n_\kappa} |\phi_j^\kappa\rangle \langle \phi_j^\kappa| \quad (8)$$

and  $\rho_{j,l}^\kappa$  is a density matrix defined as

$$\rho_{j,l}^\kappa = \langle \Psi_j^\kappa | \Psi_l^\kappa \rangle, \quad (9)$$

where  $\Psi_l^\kappa$  is a “single-hole” wavefunction, which excludes the DOF  $\kappa$ ,

$$\Psi_l^\kappa = \sum_{j_1=1}^{n_1} \dots \sum_{j_{\kappa-1}=1}^{n_{\kappa-1}} \sum_{j_{\kappa+1}=1}^{n_{\kappa+1}} \dots \sum_{j_p=1}^{n_p} A_{j_1 \dots j_{\kappa-1} j_{\kappa+1} \dots j_p} \phi_{j_1}^1 \dots \phi_{j_{\kappa-1}}^{\kappa-1} \phi_{j_{\kappa+1}}^{\kappa+1} \dots \phi_{j_p}^p. \quad (10)$$

Therefore, by using this single-hole notation, summing over all  $l$  gives the full wavefunction,

$$\Psi = \sum_{l=1}^{n_\kappa} \psi_l^\kappa \Psi_l^\kappa. \quad (11)$$

Thus,  $\langle \Psi_j^\kappa | \Psi_l^\kappa \rangle$  results in the integration over all the DOF except the  $\kappa$ th. Here,  $\langle \hat{H} \rangle$  is the mean-field operator acting on the SPFs,

$$\langle \hat{H} \rangle_{jl}^\kappa = \langle \Psi_j^\kappa | \hat{H} | \Psi_l^\kappa \rangle. \quad (12)$$

## 2. ML-MCTDH

Although the MCTDH approach is able to treat larger systems compared to the standard approach, which expresses the wavefunction directly on the time-independent grid, it still has limitations with regard to memory requirements and computational efficiency.<sup>22</sup> To improve its utility, we move to a multi-layer version, termed ML-MCTDH. The key to this method is to expand each SPF recursively in an “MCTDH-like” form to create “layers.”<sup>13,16</sup> In what follows, the nomenclature developed by Manthe<sup>13</sup> is used.

To form the top layer, the wavefunction is expanded as before in MCTDH into configurations,  $\phi_j$ , that are products of SPFs,

$$\begin{aligned} \Psi(q_1 \dots q_f, t) &= \sum_J A_J^1(t) \phi_J^1(q_1 \dots q_f, t) \\ &= \sum_{j_1 \dots j_{d_1}} A_{j_1 \dots j_{d_1}}^1(t) \phi_{j_1}^{1:1}(q^{1:1}, t) \dots \phi_{j_{d_1}}^{1:d_1}(q^{1:d_1}, t), \end{aligned} \quad (13)$$

where superscript 1 denotes the first layer,  $d_1$  is the dimensionality of this layer (number of sets of SPFs), and  $q^{1:\kappa} = (q_1 \dots q_{d_1^\kappa})$  is the set of coordinates for the  $\kappa$ th mode (set of SPFs).

In the next layer, each SPF is expanded into a further set of lower-dimensional SPFs, denoted by superscript 2,

$$\begin{aligned} \phi_j^{1:\kappa} &= \sum_k A_{j;k}^{2:\kappa} \phi_k^{2:\kappa} \\ &= \sum_{k_1 \dots k_{d_\kappa}} A_{j;k_1 \dots k_{d_\kappa}}^{2:\kappa}(t) \phi_{k_1}^{2:\kappa 1}(q^{2:\kappa 1}, t) \dots \phi_{k_{d_\kappa}}^{2:\kappa d_\kappa}(q^{2:\kappa d_\kappa}, t). \end{aligned} \quad (14)$$

The superscript 2 :  $\kappa$  denotes functions (and coefficients) in the second layer coming from the  $\kappa$ th mode from the top layer. These functions can, in turn, be expanded as

$$\begin{aligned} \phi_j^{2:\kappa \mu} &= \sum_k A_{j;k}^{3:\kappa \mu} \phi_k^{3:\kappa \mu} \\ &= \sum_{k_1 \dots k_{d_{\kappa \mu}}} A_{j;k_1 \dots k_{d_{\kappa \mu}}}^{3:\kappa \mu}(t) \phi_{k_1}^{3:\kappa \mu 1}(q^{3:\kappa \mu 1}, t) \dots \phi_{k_{d_{\kappa \mu}}}^{3:\kappa \mu d_{\kappa \mu}}(q^{3:\kappa \mu d_{\kappa \mu}}, t). \end{aligned} \quad (15)$$

Further layers take the same form as this with respect to the layer above. Note that the superscript keeps track of where the function comes from, running down the expansion tree from the top layer ( $\kappa \mu$ ) in addition to the layer number [3 in Eq. (15)] and function number in that layer (e.g.,  $k_1$ ). Therefore, this can be simplified notationally into a single index so that (15) can be written as

$$\phi_j^{2:\kappa} = \sum_{k_1 \dots k_{d_\kappa}} A_{j;k_1 \dots k_{d_\kappa}}^{3:\kappa}(t) \phi_{k_1}^{3:\kappa 1}(q^{3:\kappa 1}, t) \dots \phi_{k_{d_\kappa}}^{3:\kappa d_\kappa}(q^{3:\kappa d_\kappa}, t). \quad (16)$$

Note also that coefficients require a subscript,  $j$ , to denote which function in the layer above they relate to, or in general,

$$\begin{aligned} \phi_j^{m:\kappa}(q^{m:\kappa}, t) &= \sum_{k_1 \dots k_d} A_{j;k_1 \dots k_d}^{m+1:\kappa}(t) \phi_{k_1}^{m+1:\kappa 1}(q^{m+1:\kappa 1}, t) \dots \phi_{k_d}^{m+1:\kappa d}(q^{m+1:\kappa d}, t) \\ &= \sum_K A_{j;K}^{m+1:\kappa}(t) \phi_K^{m+1:\kappa}(q^{m:\kappa}, t). \end{aligned} \quad (17)$$

Note that the relationship to the layer above on the left-hand side  $\phi_j^{m:\kappa}$  is implicit—this is simply the  $j$ th function of the  $\kappa$ th mode of the  $m$ th layer with dimension  $d$ . The history on the right-hand side,  $\phi_{k_\lambda}^{m+1:\kappa \lambda}$ , only explicitly goes up one layer—this is the  $k_\lambda$ th function of the mode  $\kappa \lambda$  in layer  $m+1$ , where  $\kappa \lambda$  means the  $\lambda$ th set of functions used to expand the  $\kappa$ th mode of the layer above. The functions  $\{\phi_k^{\kappa \lambda}\}$  span the set of coordinates  $q^{m+1:\kappa \lambda}$ , which are a subset of  $q^{m:\kappa}$ . Equation (17) also defines layer configurations,  $\phi_K^{m:\kappa}$ . As a final note on the structure of the ML-MCTDH wavefunction, it should be mentioned that the lowest level of the expansion is usually a time-independent DVR, as with standard MCTDH, but it could also be a Gaussian wavepacket (GWP) basis.<sup>37</sup>

It is useful to define layer *single-hole functions* (SHFs). As for MCTDH, the top layer wavefunction can be written as

$$\Psi(q_1 \dots q_f, t) = \sum_j \psi_j^{1:\kappa} \phi_j^{1:\kappa}, \quad (18)$$

with the SHF  $\psi_j^{1:\kappa}$  being the wavefunction excluding the SPFs for mode  $\kappa$ . Expanding  $\phi_j^{1:\kappa}$  using (17) gives

$$\Psi = \sum_j \psi_j^{1:\kappa} \sum_{k_1 \dots k_d} A_{j:k_1 \dots k_d}^{2:\kappa} \phi_{k_1}^{2:\kappa 1} \dots \phi_{k_1}^{2:\kappa \lambda} \dots \phi_{k_d}^{2:\kappa d} \quad (19)$$

$$= \sum_k \psi_k^{2:\kappa \lambda} \phi_k^{2:\kappa \lambda}, \quad (20)$$

where (19) and (20) define the relationship between SHFs on different layers. The generalization of (20) is

$$\Psi = \sum_k \psi_k^{m:\kappa \lambda} \phi_k^{m:\kappa \lambda} \quad (21)$$

$$= \sum_k \psi_k^{m:\kappa \lambda} \sum_j A_{k:j}^{m+1:\lambda} \phi_j^{m+1:\lambda}. \quad (22)$$

The equations of motion are obtained as usual using the Dirac–Frenkel variational principle. First, the top layer coefficients  $\delta A_j^1$  is varied, and taking the common MCTDH gauge constraint  $\langle \phi_j | \phi_\kappa \rangle = 0$  to retain orthonormality of the SPFs, we obtain the usual MCTDH equations of motion,

$$i\dot{A}_j^1 = \sum_K \langle \phi_j^1 | H | \phi_K^1 \rangle A_K^1. \quad (23)$$

Varying the basis functions is equivalent to varying all the expansion coefficients on all the layers—these are the remaining “parameters” that define the wavefunction. These can be found by using the SHF of (22).

Analogously to MCTDH, it is useful to define the *layer density matrices*,

$$\rho_{jj'}^{m:\lambda} = \langle \psi_j^{m-1:\kappa \lambda} | \psi_{j'}^{m-1:\kappa \lambda} \rangle, \quad (24)$$

the *layer mean-field matrices*,

$$\mathcal{H}_{jj'}^{m:\lambda} = \langle \psi_j^{m-1:\kappa \lambda} | H | \psi_{j'}^{m-1:\kappa \lambda} \rangle, \quad (25)$$

and finally the *layer SPF projector*,

$$P^{m:\lambda} = \sum_{j'} |\phi_{j'}^{m:\lambda} \rangle \langle \phi_{j'}^{m:\lambda} | \quad (26)$$

$$= \sum_{j'KK'} |\phi_{j'K}^{m:\lambda} \rangle A_{j'K}^{m:\lambda} A_{j'K'}^{m:\lambda*} \langle \phi_{K'}^{m:\lambda} |. \quad (27)$$

Using these, we can write the general form of the EOMs for the expansion coefficients for some arbitrary  $m$ th layer (including the lowest layer) as

$$i\dot{A}_{\ell K}^{m:\lambda} = (\rho_{\ell j}^{m:\lambda})^{-1} \sum_{j'K'} \langle \phi_K^{m:\lambda} | (1 - P^{m:\lambda}) \mathcal{H}_{jj'}^{m:\lambda} | \phi_{K'}^{m:\lambda} \rangle A_{j'K'}^{m:\lambda}. \quad (28)$$

Note that (28) is identical to the usual MCTDH equations of motion for the SPFs except that a layer dependent basis representation is being used. In fact, standard MCTDH can be thought of the special case where the ML tree consists of just one layer. Equations (23) and (28) are the ML-MCTDH EOMs, which can be implemented using the recursive structure of Manthe.<sup>13</sup>

This recursive expansion can be represented as a tree (e.g., see Fig. 1 in the supplementary material), and each lower layer set of

SPFs is represented by further “nodes.” Each node is connected to nodes in the lower layer, representing the expansion into a lower-dimensional set of SPFs, and at the bottom layer by the standard primitive basis expansion of the SPFs. Expressing the nuclear wavefunction in this layered way is very flexible and can vary in how many layers are required.<sup>14</sup>

The ML-MCTDH approach is more complicated than standard MCTDH, and for smaller systems, it is much less efficient.<sup>14</sup> However, as the system size increases, ML-MCTDH combines modes into smaller groups, now with a new layer of more manageable coefficients. Further reading on the specifics of the EOM for the ML-MCTDH method is widely available in the literature, for instance, Wang *et al.*<sup>16,38,39</sup> and Meyer *et al.*<sup>14,40–42</sup> presented particularly thorough derivations.

## B. Solving the LvN equation

If a system is not in a pure state, one can no longer express the system as a wavefunction and it can only be fully described by a density operator,<sup>43</sup>

$$\rho = \sum_i P_i |\psi_i\rangle \langle \psi_i|, \quad (29)$$

where  $P_i$  is the probability of being in the pure state  $\psi_j$ . Representing the system using probabilities offers a way of describing an incoherent superposition of states. These are the outcome of the thermalization of a system interacting with an environment.<sup>44</sup> Instead of solving the Schrödinger equation as one would for a pure state propagation, the time-evolution of the density matrix is given by the Liouville–von-Neumann equation,

$$\dot{\rho} = -i[H\rho - \rho H] = \mathcal{L}(\rho), \quad (30)$$

where  $\mathcal{L}$  is the Liouvillian superoperator.

A variational principle analogous to the Dirac–Frenkel principle has been used to solve the LvN within the MCTDH formalism.<sup>44</sup> The  $\rho$ MCTDH method, therefore, operates in a Liouville space rather than in a Hilbert space and uses the Hilbert–Schmidt norm in place of the usual scalar product. For two matrices (operators),  $A$  and  $B$ , this norm is defined by the trace of the product

$$\langle\langle A|B \rangle\rangle = \text{Tr}(A^\dagger B). \quad (31)$$

Two formalisms for  $\rho$ MCTDH have been developed, which are distinguished by the type of basic functions used. These are called types I and II, and their mathematical and numerical properties were examined by Raab and Meyer.<sup>18,19</sup>

### 1. $\rho$ MCTDH(I)

Type I density operators are analogous to the MCTDH scheme for wavefunction expansion, as in Eq. (3), but instead, the density operator is expanded into single-particle density operators (SPDOs),

$$\rho(Q_1, \dots, Q_f, Q'_1, \dots, Q'_f, t) = \sum_{\tau_1=1}^{n_1} \dots \sum_{\tau_f=1}^{n_f} B_{\tau_1 \dots \tau_f}(t) \times \prod_{\kappa=1}^f \sigma_{\tau_\kappa}^{(\kappa)}(Q_\kappa, Q'_\kappa, t), \quad (32)$$

where  $B_{\tau_1, \dots, \tau_f}$  are the expansion coefficients and  $\sigma_{\tau_\kappa}^{(\kappa)}$  are the SPDOs.

As a density operator is Hermitian, the B coefficients must be real and the SPDOs are Hermitian. These properties are conserved throughout the propagation.<sup>22</sup> As with the standard wavefunction MCTDH, this representation is not unique and constraints are necessary to ensure orthonormality. The following quantities in  $\rho$ -MCTDH are defined analogously to (8), (9), and (12). First, the new  $\rho$ MCTDH density matrix is defined as

$$\mathcal{D}_{\mu\nu}^\kappa = \sum_\tau B_{\tau_\mu}^* B_{\tau_\nu}^\kappa. \quad (33)$$

The projector operator is

$$P^\kappa = \sum_{v=1}^{n_\kappa} |\sigma_v^\kappa\rangle\langle\sigma_v^\kappa|, \quad (34)$$

and finally, the mean-field Liouvillian superoperator is

$$\langle\mathcal{L}\rangle_{\mu\nu}^\kappa = \langle\langle\Pi_\mu^\kappa(\mathcal{L})\Pi_\nu^\kappa\rangle\rangle, \quad (35)$$

where  $\Pi_\mu^\kappa$  is a single-hole density matrix, similar to the MCTDH single-hole function. The double brackets in the above equations indicate the Hilbert–Schmidt norm. The operation takes place in Liouville space, rather than in the Hilbert space, taking the trace of the product of matrices rather than a vector product.

Given these definitions, the EOM for the coefficients and the SPDOs of the type I density matrix operator from Ref. 44 are

$$i\dot{B}_\tau = \sum_{\tau'} \langle\langle\Omega_\tau|\mathcal{L}\Omega_{\tau'}\rangle\rangle B_{\tau'}, \quad (36)$$

$$i\dot{\sigma}^\kappa = (1 - P^\kappa)(\mathcal{D}^\kappa)^{-1} \langle\mathcal{L}\rangle^\kappa \sigma^\kappa, \quad (37)$$

where  $\Omega_\tau$  is a Hartree product of SPDOs.

## 2. $\rho$ MCTDH(II)

The SPDOs of  $\rho$ MCTDH(I) can further be expanded using the SPFs of the MCTDH method for wavefunctions  $\sigma_{\tau_\kappa}^{(\kappa)} = |\varphi_{j_\kappa}^{(\kappa)}(Q_\kappa)\rangle\langle\varphi_{l_\kappa}^{(\kappa)}(Q'_\kappa)|$  with  $\tau_\kappa = (j_\kappa, l_\kappa)$ , and SPFs  $\{\varphi_j\}$ , leading to the ansatz

$$\begin{aligned} \rho(Q_1, \dots, Q_f, Q'_1, \dots, Q'_f, t) &= \sum_{j_1, l_1=1}^{n_1} \dots \sum_{j_f, l_f=1}^{n_f} B_{j_1, \dots, j_f, l_1, \dots, l_f}(t) \\ &\times \prod_{\kappa=1}^f |\psi_{j_\kappa}^{(\kappa)}(Q_\kappa, t)\rangle\langle\psi_{l_\kappa}^{(\kappa)}(Q'_\kappa, t)|. \end{aligned} \quad (38)$$

This is known as the type II density operator. The EOM for the coefficients for the type II density matrices from Ref. 44 is

$$i\dot{B}_{j,L} = \langle\Phi_j|\mathcal{L}|\Phi_L\rangle, \quad (39)$$

and the EOM for the SPFs for  $\rho$ MCTDH(II) is

$$i\dot{\psi}^k = (1 - P^k) \text{Tr}\{\mathcal{L}(\rho)\}_k (\mathcal{D}^{2,k})^{-1} \varphi^k, \quad (40)$$

where  $\mathcal{D}$  is the single-particle reduced density matrix,

$$\mathcal{D}_{jj'}^{2,k} = \sum_l \mathcal{D}_{jj'l}^k = \sum_{L,J} B_{jk'l}^* B_{L,Jkj}, \quad (41)$$

where the aforementioned multi-index notation is used.

The extra effort of  $\rho$ MCTDH(I) compared to wavepacket MCTDH is due to the extra dimension of the SPDOs compared to SPFs, and the bottleneck to this method lies in this high dimensionality. In contrast, as the type II density operator is expanded into SPFs, the bottleneck now lies in the expansion coefficients of these SPFs.  $\rho$ MCTDH(I) will, therefore, be more efficient at high temperatures as the thermalization is automatically incorporated into the SPDOs rather than needing many configurations and coefficients as in  $\rho$ MCTDH(II).

As a consequence of adhering to the Dirac–Frenkel variational principle, the MCTDH wavefunction conserves both total probability and energy. However, while this is still the case for  $\rho$ MCTDH(II), for  $\rho$ MCTDH(I),  $\text{Tr}(\rho^2)$  and  $\text{Tr}(\rho^2 H)$  are conserved rather than  $\text{Tr}(\rho)$  and  $\text{Tr}(\rho H)$ .<sup>18</sup> The conservation of  $\text{Tr}(\rho)$  will be achieved in a converged calculation as an accurate description of the exact density matrix is obtained.

## 3. ML- $\rho$ MCTDH

A natural way to improve the scaling of  $\rho$ MCTDH is to use the ML-MCTDH formalism for density matrices to enable larger calculations to be made. The ML- $\rho$ MCTDH method relates to  $\rho$ MCTDH in the same way that ML-MCTDH relates to MCTDH. In essence, the SPDOs used to expand the full density matrix in the  $\rho$ MCTDH equation [Eq. (32)] can be further expanded through the ML-algorithm. This new construction has analogous EOMs to the ML-MCTDH SPFs and coefficients, where the Hamiltonian operator is replaced with the Liouvillian operator. This novel technique can open the door to treating larger systems in a complete and accurate way, while also including temperature and solvent effects.

The derivation of the ML- $\rho$ MCTDH EOMS follows the same steps as ML-MCTDH. The  $\rho$ MCTDH(I) density matrix is written in terms of SPDOs,

$$\rho(q_1 \dots q_f, q'_1 \dots q'_f, t) = \sum_{j_1, \dots, j_p} A_{j_1, \dots, j_p} \sigma_{j_1}^{(1)} \dots \sigma_{j_p}^{(p)}.$$

By using SPDOs on the top layer and each subsequent layer, the structure of the full ML expansion is thus the same as the standard ML formalism, but using a basis of density matrices rather than SPFs. Hence, using the Hilbert–Schmidt norm of  $\rho$ MCTDH, the variational derivation above is valid with the following substitutions:

$$\begin{aligned} \langle \delta \Psi | \left( H - i \frac{\partial}{\partial t} \right) \Psi \rangle = 0 &\mapsto \langle \langle \delta \rho | \left( \hat{\mathcal{L}} - i \frac{\partial}{\partial t} \right) \rho \rangle \rangle = 0, \\ \Psi = \sum_j A_j^1 \phi_j^1 &\mapsto \rho = \sum_j A_j^1 \Omega_j^1 \quad \text{top layer,} \\ \phi_j^{m:\kappa} = \varphi_{j_1}^{m:\kappa} \cdots \varphi_{j_{d_\kappa}}^{m:\kappa} &\mapsto \Omega_j^{m:\kappa} = \sigma_{j_1}^{m:\kappa} \cdots \sigma_{j_{d_\kappa}}^{m:\kappa} \quad \text{layer configurations,} \\ \phi_j^{m:\kappa} = \sum_j A_j^{m+1:\kappa} \phi_j^{m+1:\kappa} &\mapsto \sigma_j^{m:\kappa} = \sum_j A_j^{m+1:\kappa} \sigma_j^{m+1:\kappa} \quad \text{SPDO expansion,} \\ \Psi = \sum_j \psi_j^{m:\kappa\lambda} \phi_j^{m:\kappa\lambda} &\mapsto \rho = \sum_j \Pi_j^{m:\kappa\lambda} \sigma_j^{m:\kappa\lambda} \quad \text{single-hole SPDOs.} \end{aligned}$$

Thus, it follows that the top layer equation [similar to (23)] is

$$i\dot{A}_j^1 = \sum_K \langle \langle \Omega_j^1 | \mathcal{L}(\Omega_K^1) \rangle \rangle A_K^1, \quad (42)$$

and the lower layer coefficients [as (28) for ML-MCTDH]

$$i\dot{A}_{\ell K}^{m:\lambda} = (D_{\ell j}^{m:\lambda})^{-1} \sum_{j'K'} \langle \langle \sigma_K^{m:\lambda} | (1 - P^{m:\lambda}) \mathcal{L} \rangle \rangle_{jj'}^{m:\lambda} \sigma_{j'}^{m:\lambda} \rangle A_{j'K'}^{m:\lambda}, \quad (43)$$

where we have defined the following quantities for the layers:

$$D_{\ell j}^{m:\lambda} = \langle \langle \Pi_{\ell}^{m:\lambda} | \Pi_j^{m:\lambda} \rangle \rangle \text{ 'reduced density matrices',} \quad (44)$$

$$\langle \mathcal{L} \rangle_{jj'}^{m:\lambda} = \langle \langle \Pi_j^{m:\lambda} | \mathcal{L}(\Pi_{j'}^{m:\lambda}) \rangle \rangle \text{ 'mean-field Liouvillian',} \quad (45)$$

and the projector

$$P^{m:\lambda} = \sum_k |\sigma_k^{m:\lambda}\rangle \langle \langle \sigma_k^{m:\lambda} |. \quad (46)$$

For type II, the density matrix is written in terms of SPFs rather than SPDOs,

$$\rho = \sum_{JK} |\phi_j^1\rangle B_{JK}^1 \langle \phi_K^1| \quad \text{top layer,} \quad (47)$$

with configurations  $\phi_K^1$  as for ML-MCTDH and a matrix of coefficients. Following the work of Raab *et al.* and extending to ML, the top layer coefficients of equations of motion come from (42) to give

$$i\dot{B}_{jL}^1 = \langle \phi_j^1 | \mathcal{L}(\rho) | \phi_L^1 \rangle, \quad (48)$$

and the SPFs again come from (28) to give

$$i\dot{A}_{\ell K}^{m:\lambda} = (D_{\ell j}^{(2)m:\lambda})^{-1} \sum_{j'K'} \langle \phi_K^{m:\lambda} | (1 - P^{m:\lambda}) \text{Tr} \{ \mathcal{L}(\rho) \rho \} \rangle_{\lambda} | \phi_{j'K'}^{m:\lambda} \rangle A_{j'K'}^{m:\lambda}. \quad (49)$$

### III. COMPUTATIONAL DETAILS

The algorithms outlined above have all been implemented in the Quantics package<sup>45,46</sup> and, as shown below, are tested on a thermalized PT system. Initial thermalization of a density matrix can be achieved by propagating in imaginary time. This technique finds the lowest eigenvalue of a wavefunction and for wavepacket propagation is known as *energy relaxation*.<sup>21,47</sup>

The time-evolution of a wavepacket can be written as

$$\Psi(t) = \exp(-iHt)\Psi(0). \quad (50)$$

This equation can be converted to propagation in temperature by using the following limit to map from the time domain to the temperature domain  $it \rightarrow \frac{1}{kT}$  so that

$$\Psi(T) = \exp\left(-\frac{H}{kT}\right)\Psi(\infty). \quad (51)$$

The same idea for a density matrix propagation results in a thermalized density matrix with the form

$$\rho(T) = \exp\left(\frac{H}{2kT}\right)\rho(\infty)\exp\left(-\frac{H}{2kT}\right). \quad (52)$$

This means that starting with a density matrix in which all states are equally populated ( $\beta = 0$ , i.e.,  $T = \infty$ ), propagation to temperature  $T$  yields the thermalized density.

Systems of different sizes were propagated to examine the scaling properties of  $\rho$ MCTDH(I),  $\rho$ MCTDH(II), ML- $\rho$ MCTDH(I), and ML- $\rho$ MCTDH(II). Due to where the bottlenecks occur for the  $\rho$ MCTDH(I) and  $\rho$ MCTDH(II) methods, introduced in Sec. II B 2,  $\rho$ MCTDH(I) much more readily lends itself to the ML formulation, as now the bottleneck lies at the bottom of the ML-tree, whereas the bottleneck with ML- $\rho$ MCTDH(II) lies at the top of the tree, where a large number of SPFs are needed. Therefore, the way that the ML- $\rho$ MCTDH(II) method is currently implemented means that it is a much less suitable method for larger systems. As a test, numerically exact propagations of the density matrix for the 2D system were also calculated to check that  $\rho$ MCTDH was predicting the correct dynamics of the thermalized system. The dynamics of the proton transfer was extracted by evaluating the expectation value of a step operator placed at the proton transfer barrier along the reaction coordinate. This evaluates the wavepacket density that has crossed the barrier.

To provide a fair comparison of computational time for the different methods, all propagations used a standard Adams–Bashforth–Moulton (ABM) sixth-order adaptive time step predictor-corrector integration scheme. More efficient schemes have been developed for MCTDH wavepacket calculations, such as the constant mean-field (CMF) integrator,<sup>21,48</sup> which separates the different parts of the equations of motion and integrates them separately allowing longer time steps. However, no similar scheme is yet available for the  $\rho$ MCTDH methods.

## IV. TEST CASE I: PT IN SALICYLALDIMINE

Salicylaldehyde exhibits a ground state intramolecular PT between an oxygen atom and a nitrogen atom, with the potential energy surface (PES) along the reaction coordinate with an asymmetric double well. The PES was computed in previous work as a fit to *ab initio* points at the Hartree–Fock theory level with a 6-31G\* basis set.<sup>33</sup> The PES function takes the form of a Taylor expansion of fourth order, and using mass-frequency scaled normal modes expanded around the transition state geometry,  $Q_0$ . The thirteen modes that contribute the most to reaching the transition state from the minima were included. The energy barrier for conversion from the enol to the keto tautomer is 0.19 eV and for the reverse reaction is 0.058 eV. Figure 1 shows this potential surface along with the keto and enol tautomers. Details and parameters of the potential and all parameters can be found in the supplementary material of Ref. 33.

The focus of this test was the performance of the different methods with system size, and four systems of different sizes were studied. From an analysis of the potential surface parameters, two modes have particular significance for the proton transfer. These are  $\nu_1$ , the proton transition mode, and,  $\nu_{36}$ , the in-plane perpendicular movement of the proton. This model is denoted as the 2D model. The next set of calculations used a larger 4D model. This added the modes  $\nu_{10}$  and  $\nu_{11}$  to the proton transfer modes  $\nu_1$  and  $\nu_{36}$ . A 7D model added modes  $\nu_9$ ,  $\nu_{13}$ , and  $\nu_{23}$ . Finally, the full 13D model was studied.

Calculations used  $\rho$ MCTDH on the 2D and 4D systems and ML- $\rho$ MCTDH on the 4D, 7D, and 13D models in both type I

and type II formalisms where possible. Exact propagations of the density matrix were performed on the 2D system only. Dynamics simulations were initialized by relaxing to different temperatures. During this thermalization, the salicylaldehyde was localized as the lower energy enol tautomer by using a harmonic potential where the minimum and frequency were chosen to match the position and curvature of the keto minimum of the double well. This potential had the form

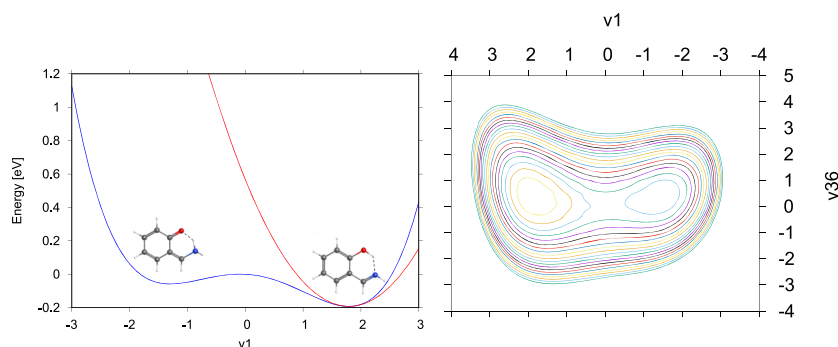
$$V = \frac{1}{2}\omega_0(q - q_0)^2 + V_0, \quad (53)$$

with  $q_0 = 1.79075$ ,  $\omega_0 = 0.47362$  eV, and  $V_0 = -0.19246$  eV. The harmonic oscillator potential energy surface can be seen in Fig. 1. Thus, a thermalized enol tautomer was created and subsequent dynamics could follow the PT process.

## A. Scaling with system size

The first step is to demonstrate the scalings of the different methods by running propagations for 100 fs after relaxation to 1000 K. The zero point energy (ZPE) of the system along the reaction coordinate is 0.094 eV, which means that the energy barrier is effectively 1140 K, and thus, these simulations are just below the barrier.

The primitive basis for all calculations used a DVR. The  $\nu_1$  mode is represented as a sine DVR, with 61 grid points, and all the other modes use a harmonic oscillator basis on 21 grid points.



**FIG. 1.** The ground state double well potential of the reaction coordinate for the proton transfer in salicylaldehyde. The more stable tautomer is the enol form with the hydrogen on the oxygen. The left-hand plot is a cut through the PES along the PT mode,  $\nu_1$ . The red curve is a harmonic approximation to the enol minima. The right-hand plot shows the contours of the PES for this PT mode along with  $\nu_{36}$ , which is the motion of the proton perpendicular to  $\nu_1$ .

**TABLE I.** A comparison of the timings and length of the vectors representing the density matrix,  $\rho$ , for the different methods for 100 fs propagations of different dimensional models of PT in salicylaldehyde at 1000 K.

Method	Timings				Length of $\rho$ vector			
	2D	4D	7D	13D	2D	4D	7D	13D
Exact	6 h	...	...	...	...	...	...	...
$\rho$ MCTDH(I)	23 min	263 min	968 h	...	41 720	220 218	25 086 894	...
$\rho$ MCTDH(II)	35 s	76 min	...	...	1 788	93 088	...	...
ML- $\rho$ MCTDH(I)	...	306 min	48 h	181 h	...	180 576	487 132	860 180
ML- $\rho$ MCTDH(II)	...	955 min	76 h	...	...	165 240	349 120	...



Convergence was achieved with respect to the property of interest: the PT dynamics. Sufficient convergence was taken to be the point where the dynamics no longer significantly changed by including more basis functions, i.e., adding additional SPFs did not change the PT fraction plots shown below. This is a non-trivial problem and required large numbers of basis functions for good results. The basis sets used for the  $\rho$ MCTDH calculations and the ML-trees are given in the supplementary material. The groupings of the modes were based on the coupling strengths and grouping those of similar strength together.

The cpu-times on a Xeon(R) CPU E5-2620 v3 compute node for 2D, 4D, 7D, and 13D calculations are shown in Table I. The first thing to note is the speed-up of the 2D calculation of the  $\rho$ MCTDH calculations compared to the numerically exact calculation. The  $\rho$ MCTDH(II) propagation is particularly efficient here, requiring only 35 s in comparison to 6 h taken by the full solution. However, due to the number of coefficients required by this method,  $\rho$ MCTDH(II) was not able to treat the 7D system, which was still achievable using  $\rho$ MCTDH(I).

The differing effort of the methods can be represented by the length of the vector required to represent the density matrices as for the same Hamiltonian, other parts of the computational effort (e.g., calculating integrals and mean-fields) will be either the same or dominated by the size of the density matrix. For MCTDH, the length of the vector required to store a wavepacket, and hence the effort, can be written as

$$\text{effort}(MCTDH) \sim n^p + pnN^d, \quad (54)$$

where  $p$  is the number of particles,  $N$  is a representative value for the length of a one-dimensional primitive grid,  $n$  is a representative value for the number of SPFs for a particle, and  $d$  is the dimensionality of a particle. The first term is due to storing the expansion coefficients, and the second term is due to the SPFs. For ML-MCTDH, the length is a sum of terms of the form of Eq. (54) for each node of the multi-layer tree, with appropriate dimensions, noting that for the top and intermediate layers,  $N$  represents the number of SPFs in the layer below. It is thus highly dependent on the tree.

For  $\rho$ MCTDH(I), the effort is changed by squaring the primitive grid size due to the use of SPDOs,

$$\text{effort}(\rho MCTDH(I)) \sim n^p + pnN^{2d}. \quad (55)$$

For ML- $\rho$ MCTDH(I), the form for the vector length for nodes in the top and intermediate layers is that of the MCTDH wavepacket, Eq. (54). Thus, the effort is only increased on the bottom layer by an effective doubling of degrees of freedom. Finally, for  $\rho$ MCTDH(II), the effort is like that of MCTDH for the SPFs, but the size of the expansion vector is squared,

$$\text{effort}(\rho MCTDH(II)) \sim n^{2p} + pnN^d. \quad (56)$$

For ML- $\rho$ MCTDH(II), the top layer has this size, and all other nodes have the form of Eq. (54). Thus, naturally type I should avoid combining degrees of freedom together on the lowest layer, while type II must keep the number of coefficients in the top layer low.

It can also be seen from Table I that using the ML- $\rho$ MCTDH method does not automatically increase efficiency, when compared to the  $\rho$ MCTDH method. Due to the additional layers of coupled

calculations required to propagate the wavefunction in the multi-layer form, for the smaller systems, it becomes more expensive to solve the wavefunction in this way. This is not specific to the ML- $\rho$ MCTDH method, but the ML-MCTDH method, in general, and the way the ML-tree is set up can greatly affect the efficiency of the ML calculations for both density matrices and pure wavefunctions. However, for the 7D system, the ML- $\rho$ MCTDH calculations are not only feasible, but much faster than  $\rho$ MCTDH(I). The unfavorable scaling of ML- $\rho$ MCTDH(II) with system size meant that the 13D system could not be treated by this method with the tree used here due to the large number of top layer coefficients. A different tree may be more successful. This was the largest system investigated and was only accessible using ML- $\rho$ MCTDH(I).

An alternative method for simulating dynamics at finite temperatures is to use the thermal wavepacket approach.<sup>25</sup> This stochastic approach takes the dynamics averaged over many randomly generated wavepackets. These wavefunctions are initially set to an infinite temperature, i.e., equal populations of all configurations but with random phases. This set of wavefunctions is then relaxed collectively to the correct temperature, and then, each of the resulting wavepackets is propagated simultaneously in time. Thermalizing the MCTDH wavefunction was achieved by setting the length of the relaxation,  $t_{final}$ , to

$$t_{final} = \frac{1}{2k_B T}, \quad (57)$$

where  $k_B$  is the Boltzmann constant and  $T$  is the desired temperature. A random number generator sets the relative phases of the configurations in the initial MCTDH wavefunction.<sup>40</sup> Only after propagating all the generated wavefunctions and averaging out the results can the final dynamics of the system at that temperature be determined. To assess how accurately this thermal wavepacket method predicts dynamics when compared to the  $\rho$ MCTDH approaches described above, the dynamics of the 4D system (defined by the PT fraction at 1000 K) was calculated and is compared in Fig. 2.

It can be seen that convergence was not reached in Fig. 2 using the thermal wavepacket method, despite 100 propagations

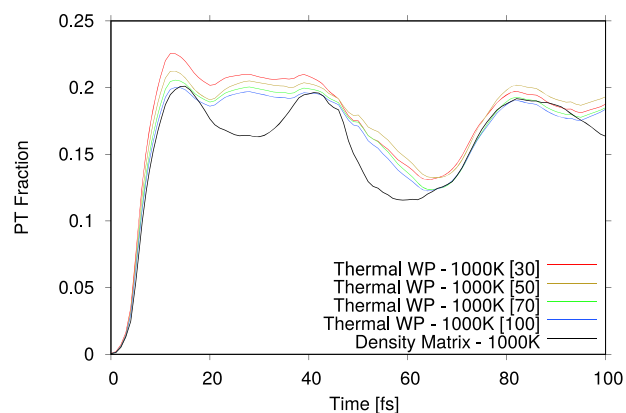


FIG. 2. A comparison of the fraction of density that undergoes proton transfer for a 4D model of salicylaldehyde at 1000 K for the thermal wavepacket method and the  $\rho$ MCTDH method. The convergence of the thermal WP method is shown using 30, 50, 70, and 100 samples.

(and thus, the same number of relaxations) being carried out. Thus, although each ML-MCTDH propagation is quicker than the analogous ML- $\rho$ MCTDH calculation, taking only 50 cpu minutes, many more would be required for complete convergence. Indeed, this number greatly increases with temperature and system size. In comparison, while the density matrix approach is initially much more time consuming, only one relaxation and one propagation are necessary for the equivalent simulation, regardless of system size and temperature. Therefore, as temperature and system size increase, the ML- $\rho$ MCTDH method potentially becomes the more efficient, and more accurate, approach for simulating thermalized dynamics.

## B. Temperature dependent dynamics

To get insights into the PT dynamics of salicylaldehyde, the fraction of PT as a function of time was calculated over a range of temperatures by evaluating the expectation value of a step function placed at the transition barrier ( $Q = 0$ ). This is shown for the 2D, 4D, and 13D systems in Fig. 3. In each case, the most efficient method, as discussed in Sec. IV A, was used, i.e., for 2D  $\rho$ MCTDH(II), 4D  $\rho$ MCTDH(I), and 13D ML- $\rho$ MCTDH(I).

In all cases, at 0 K, the only movement of the system occurs through proton tunneling through the barrier after being released from the harmonic well, allowing a small part of the wavepacket to cross from the global enol-minimum into the less stable keto-minimum. This density oscillates with a period of  $\sim 40$  fs. For the 2D system, increasing the temperature from  $0 \rightarrow 500$  K  $\rightarrow 1000$  K does not change the dynamics of the system significantly. There is, however, a notable increase in motion between the wells as the temperature is increased from  $1000$  K  $\rightarrow 2000$  K, which allows enough energy to cross the barrier. In the latter case, the oscillations remain, but the peak fraction of transfer increases from 20% at 1000 K to 25% at 2000 K and further to 30% at 3000 K.

The 4D system shows the effect of the increased zero point energy as there is an increase of PT at 1000 K compared to the 2D model. At higher temperatures still, the oscillations disappear and the density spreads across both wells. The PT dynamics of the 13D system is similar, except for a larger gap between the 1000 and 2000 K transfer fraction.

## V. TEST CASE II: DOUBLE PT IN PORPHYCENE

As a further demonstration of the abilities of the ML- $\rho$ MCTDH method, a double proton transfer system was studied. Abdel-Latif and Kühn<sup>34</sup> provided a two-dimensional model Hamiltonian for the double-proton transfer in porphycene using symmetric and anti-symmetric proton transfer coordinates and a potential form with four minima. The Hamiltonian is

$$H = -\frac{\hbar^2}{2m_H} \left( \frac{\partial^2}{\partial x_s^2} + \frac{\partial^2}{\partial x_a^2} \right) + U_{sym} + U_{asym}, \quad (58)$$

$$U_{sym} = 2U_0 + \frac{U_0}{x_0^2} [(g-4)x_a^2 - (g+4)x_s^2] + a \frac{2U_0}{x_0^4} (x_s^4 + x_a^4 + 6x_a^2 x_s^2), \quad (59)$$

$$U_{asym} = \frac{\alpha_{trans} U_0}{x_0} x_a + \frac{\alpha_{cis} U_0}{x_0} x_s. \quad (60)$$

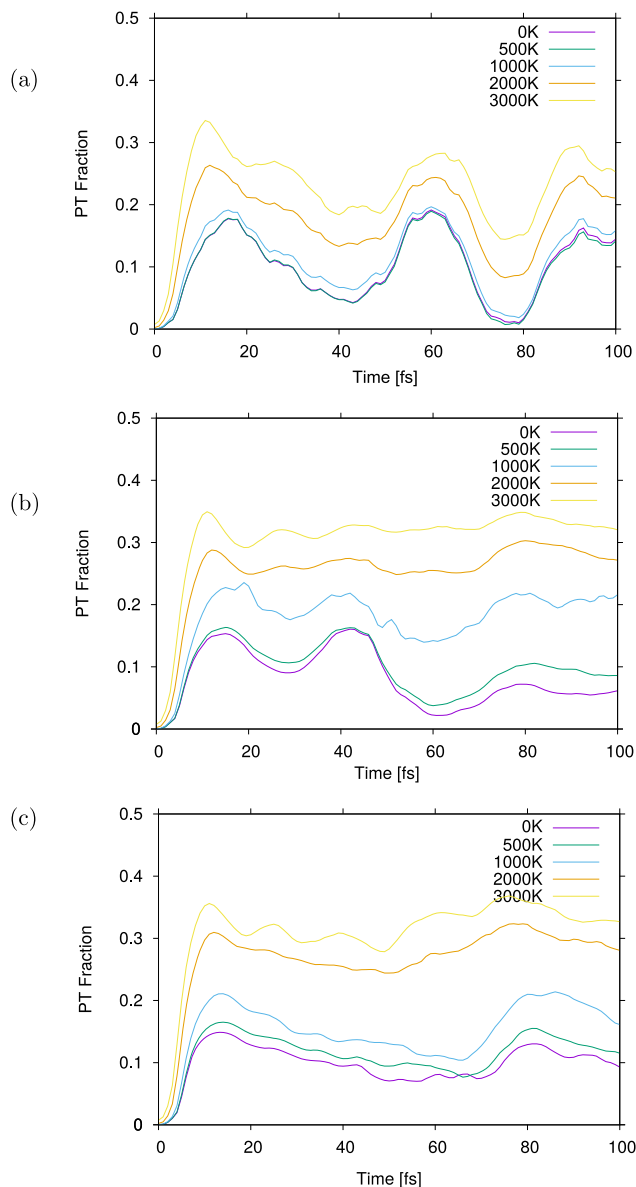


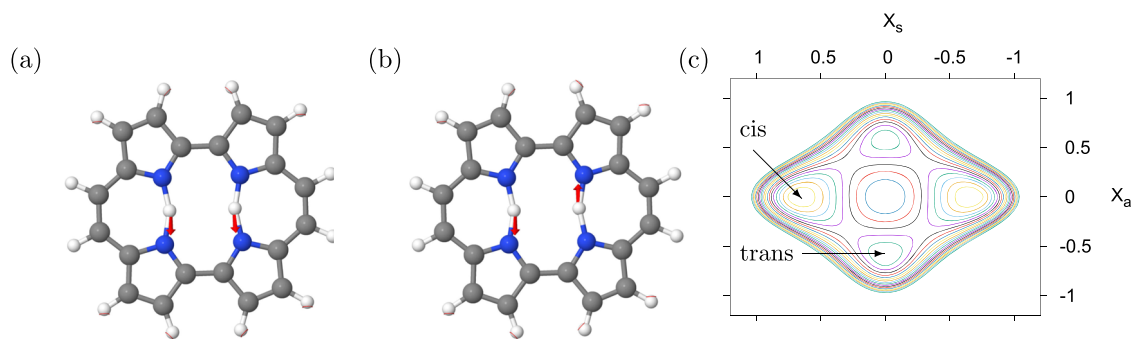
FIG. 3. The fraction of density that undergoes proton transfer for a (a) 2D model, (b) 4D model, and (c) 13D model of salicylaldehyde at a range of temperatures from  $0 \rightarrow 3000$  K.

The parameters, taken from Ref. 34, are listed in the supplementary material. The potential is shown in Fig. 4(c).

This Hamiltonian was extended to include the remaining 106 vibrations of porphycene in the form of a bath of harmonic oscillators coupled to the system modes,

$$H = H_s + H_b + H_{sb}. \quad (61)$$

All modes are mass-frequency scaled. They are, therefore, defined as



**FIG. 4.** The (a) symmetric,  $x_s$ , and (b) anti-symmetric,  $x_a$ , proton transfer vibrational modes of porphycene, along with (c) the potential surface, calculated at the B3LYP/6-31+G\*\* level of theory. The minima for the cis and trans isomers are marked on the potential.

$$H_b = \sum_{i=3}^{108} \frac{\omega_i}{2} \left( -\frac{\partial^2}{\partial q_i^2} + q_i^2 \right). \quad (62)$$

To parameterize this extended model, the transition state structure was first optimized using the same level of theory used as Abdel-Latif and Kühn, B3LYP/6-31+G\*\* as implemented in the Gaussian09 program.<sup>49–51</sup> This structure has  $D_{2h}$  symmetry, and the “symmetric”  $x_s$  and “anti-symmetric”  $x_a$  double-proton vibrations have imaginary frequencies with  $b_{2u}$  and  $b_{3g}$  symmetry, respectively. These vibrations are shown in Fig. 4.

Taking symmetry into account, as well as the coupling between the two system modes and the bath, the system–bath Hamiltonian is defined as

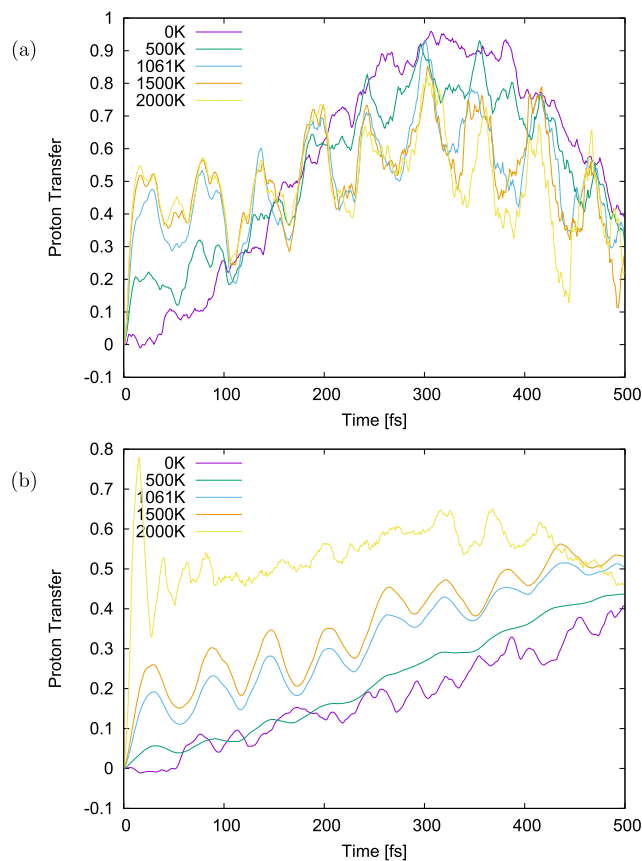
$$H_{sb} = \sum_i \gamma_{si} x_s q_i + \sum_j \gamma_{aj} x_a q_j + \sum_k \gamma_{sk} x_s^2 q_k + \sum_k \gamma_{ak} x_a^2 q_k, \quad (63)$$

where  $i$  are the 16  $b_{2u}$  vibrations,  $j$  are the 17  $b_{3g}$  vibrations, and  $k$  are the 17  $a_{1g}$  vibrations. The final model Hamiltonian thus includes 55 modes. The parameters for the system–bath coupling were obtained by calculating the minimum energy structures and relating them to the potential at the high symmetry point. The values of the coupling parameters, along with more details of how they were obtained, are listed in supplementary material.

Next, the porphycene PT model Hamiltonian was used in a series of calculations using the  $\rho$ MCTDH methods. Again, this consisted of different dimensional systems at a range of temperatures to demonstrate the scaling as well as the changes in physical behavior. In all cases, an initial energy relaxation calculation was made to thermalize the system to the desired temperature, followed by a propagation of 500 fs. The number of basis functions was chosen such that all natural populations were below 0.001 after 250 fs. That is, the initial dynamics is well represented.

As a benchmark for the system dynamics, initially, 2D calculations, including the  $x_s$  and  $x_a$  PT modes, were run at 0, 500, 1061, 1500, and 2000 K. For comparison, the barrier height is 1061 K. The initial relaxation localized the density in one well centered at  $(x_s, x_a) = (-0.607, 0.0)$  by including a step function in the Hamiltonian during the relaxation to create a high wall at  $x_s = 0.0$ . This wall was then removed for the propagation, and the expectation value of the step function as a function of time was used to determine the amount of proton transfer taking place.

The proton transfer at varying temperatures is shown in Fig. 5. At 0 K (purple line), there is a slow transfer, which is almost complete by 300 fs before returning to the initial well. This effect is likely to be due to tunneling. It can also be seen that as the temperature increases, the transfer is faster, and the amount transferred decreases.



**FIG. 5.** Transfer of density between PT minima in the porphycene model at different temperatures, starting in one minima. (a) 2D model and (b) 4D model.

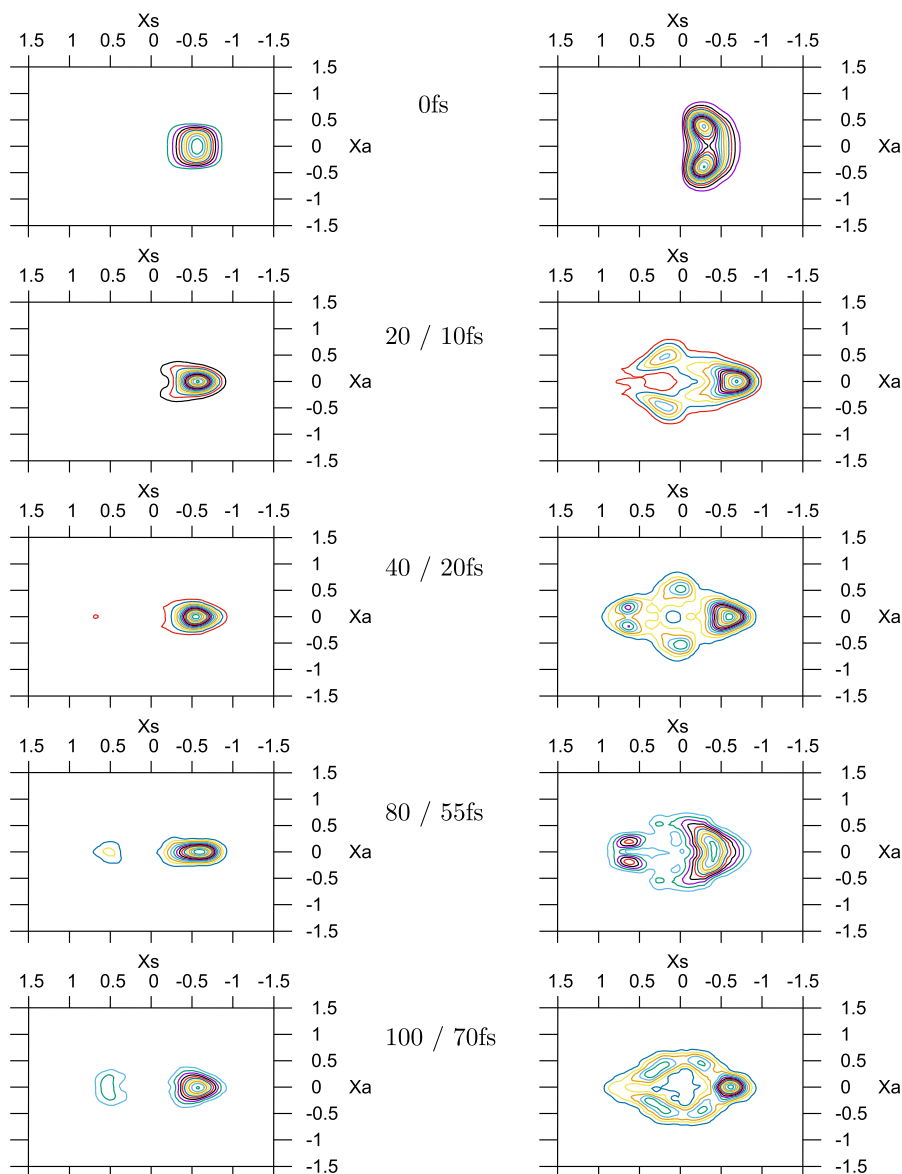


FIG. 6. Snapshots of the density of the 2D porphycene model at different times, starting in one minima at 0 K (left) and 1061 K (right).

Snapshots of the density at different times are shown in Fig. 6. The density is taken from the trace of the time-evolving density matrix. At 0 K, the initially localized density is seen to move toward and then cross the barrier. At 1061 K, the initial density is again localized in the one well and vibrationally excited in the  $x_a$  mode, as seen by the structure. In contrast to the 0 K case, this hot density flows quickly around the maximum of the potential at  $(x_s, x_a) = 0, 0$  to undergo the double proton transfer. At 20 fs, it is seen to be occupying all four wells, after which the density oscillates back and forth between the two minima at  $(x_s, x_a = \pm 0.6, 0)$ .

A 4D system was then studied, including the two bath modes with the strongest coupling  $\nu_{13}$  and  $\nu_{18}$ . The amount of proton transfer for this system as a function of time at different temperatures is shown in Fig. 5(b). The bath modes have a significant effect in slowing down the dynamics, and population transfer due to tunneling at 0 K is still increasing at 500 fs. Even at the barrier height temperature, the transfer is increasing in a similar way to the tunneling. Only when well above the barrier at 2000 K, does the transfer now take place in less than 100 fs, reaching 50% transfer and staying there, indicating that the density is spread across the minima equally.

**TABLE II.** CPU time in seconds, and the length of the vectors representing the density matrices,  $\rho$  vectors, for various dimensional porphycene model systems propagated for 500 fs at 0 K using different algorithms. All calculations were run in serial on a Xeon(R) CPU E5-2620 v3 compute node.

Method	Timings				Length of $\rho$ vector			
	2D	4D	6D	10D	2D	4D	6D	10D
$\rho$ MCTDH(I)	5855	8 866	...	...	92 560	262 400	...	...
$\rho$ MCTDH(II)	4875	14 298	...	...	161 920	137 895	...	...
ML- $\rho$ MCTDH(I)	...	967	4993	13 275	...	66 304	73 104	192 924
ML- $\rho$ MCTDH(II)	...	6 700	7014	...	...	71 680	75 820	...

Finally, a 6D system adding the modes  $v_{17}$  and  $v_{57}$  and a 10D system adding  $v_{12}$ ,  $v_{20}$ ,  $v_8$ , and  $v_{25}$  were examined to show the performance of the ML- $\rho$ MCTDH methods. The cpu-times for calculations on the four systems at 0 K using the different algorithms are listed in Table II. All four methods could be applied to the 4D system, and the efficiency of the ML- $\rho$ MCTDH algorithm is clear. This difference in effort is even more pronounced for the 6D case, for which regular  $\rho$ MCTDH is not feasible. For the 10D system, only the ML- $\rho$ MCTDH(I) was feasible in the present implementation, and surprisingly fast, taking under 4 h for a 500 fs propagation.

## VI. CONCLUSIONS

The results presented demonstrate not only that the  $\rho$ MCTDH method can successfully and efficiently model the dynamics of a proton transfer in a ground state system at finite temperatures but also further that the ML- $\rho$ MCTDH formulation can treat larger density matrices than previously possible. This ability to predict accurate quantum dynamics on systems above 0 K, combined with solvent and/or environmental effects, has many possible applications. For small systems (2D), the standard  $\rho$ MCTDH method is able to match the exact result from 0 to 3000 K. For larger systems, it was further shown that the new multilayer formulation was able to treat up to a 13D system over this range of temperatures, particularly with ML- $\rho$ MCTDH(I). Both single and double PT systems were investigated, and it was seen that at temperatures above the barrier, the dynamics changes from being dominated by tunneling through the barrier to direct transfer.

It is clear that future work to further improve the efficiency of the ML- $\rho$ MCTDH propagations would make this an even more powerful tool to tackle many interesting and important problems. One factor to consider is the choice of integrator. So far, a simple predictor-corrector integration scheme was used for the propagations. A more tailored integrator, such as the constant mean-field integrator, often used for the wavepacket MCTDH method, would lead to further savings in time. The new Hamiltonian for double PT coupled to a bath will also provide a suitable model for future tests of system-bath dynamics. Another factor to consider is the structure of the ML tree used for the ML- $\rho$ MCTDH calculations. The ML-MCTDH method, and therefore the ML- $\rho$ MCTDH methods, enormously depend on the how the ML trees are set up, and investigating further into the best structure would greatly increase the time efficiency of the ML- $\rho$ MCTDH calculations.

## SUPPLEMENTARY MATERIAL

See the supplementary material for details on the basis sets and multi-layer trees used in the calculations and the parameters of the model Hamiltonian for porphycene and how they were obtained.

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## AUTHOR DECLARATIONS

### Conflict of Interest

The authors have no conflicts to disclose.

## Author Contributions

**Alice Van Haeften:** Data curation (equal); Investigation (equal); Methodology (equal); Validation (lead); Visualization (equal); Writing – original draft (equal); Writing – review & editing (equal). **Ceridwen Ash:** Formal analysis (lead); Investigation (equal); Methodology (equal); Validation (supporting); Writing – original draft (equal); Writing – review & editing (equal). **Graham Worth:** Conceptualization (lead); Data curation (equal); Formal analysis (equal); Funding acquisition (lead); Investigation (equal); Methodology (supporting); Project administration (lead); Resources (lead); Software (equal); Supervision (lead); Validation (supporting); Visualization (equal); Writing – original draft (supporting); Writing – review & editing (equal).

## DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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