

Changes in cerebellar output abnormally modulates cortical myoclonus sensorimotor hyperexcitability

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Abstract

Cortical myoclonus is produced by abnormal neuronal discharges within the sensorimotor cortex, as demonstrated by electrophysiology. Our hypothesis is that the loss of cerebellar inhibitory control over the motor cortex, via cerebello-thalamo-cortical connections, could induce the increased sensorimotor cortical excitability that eventually causes cortical myoclonus. To explore this hypothesis, in the present study we applied anodal transcranial direct current stimulation over the cerebellum of patients affected by cortical myoclonus and healthy controls and assessed its effect on sensorimotor cortex excitability. We expected that anodal cerebellar transcranial direct current stimulation would increase the inhibitory cerebellar drive to the motor cortex and therefore reduce the sensorimotor cortex hyperexcitability observed in cortical myoclonus.

Ten patients affected by cortical myoclonus of various aetiology and 10 aged-matched healthy controls were included in the study. All participants underwent somatosensory evoked potentials, long-latency reflexes, and short-interval intracortical inhibition recording at baseline and immediately after 20 min session of cerebellar anodal transcranial direct current stimulation. In patients, myoclonus was recorded by the means of surface electromyography before and after the cerebellar stimulation.

Anodal cerebellar transcranial direct current stimulation did not change the above variables in healthy controls, while it significantly increased the amplitude of somatosensory evoked potential cortical components, long-latency reflexes and decreased short-interval intracortical inhibition in patients; alongside, a trend towards worsening of the myoclonus after the cerebellar stimulation was observed. Interestingly, when dividing patients in those with and

1 without giant somatosensory evoked potentials, the increment of the somatosensory evoked
2 potential cortical components was observed mainly in those with giant potentials.

3 Our data showed that anodal cerebellar transcranial direct current stimulation facilitates, and
4 does not inhibit, sensorimotor cortex excitability in cortical myoclonus syndromes. This
5 paradoxical response might be due to an abnormal homeostatic plasticity within the
6 sensorimotor cortex, driven by dysfunctional cerebello-thalamo-cortical input to the motor
7 cortex. We suggest that the cerebellum is implicated in the pathophysiology of cortical
8 myoclonus and that these results could open the way to new forms of treatment or treatment
9 targets.

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26 **Running title:** Cerebellar tDCS in cortical myoclonus

27 **Key words:** cortical myoclonus; cerebellum; tdcS; hyperexcitability; plasticity

1 **Abbreviations:** ac-tDCS = anodal cerebellar-transcranial direct current stimulation, AMT =
2 active motor threshold, APB = abductor pollicis brevis, CM = cortical myoclonus, CS =
3 conditioning stimulus, CTC = cerebello-thalamo-cortical, FDI = first dorsal interosseous, HC
4 = healthy controls, JLBA = jerk-locked back averaging, LLR = long-latency reflexes, LTD =
5 long-term depression, LTP = long-term potentiation, M1 = primary motor cortex, MCV =
6 maximum voluntary contraction, MEP = motor evoked potentials, QPS = quadripulse
7 transcranial magnetic stimulation, RMS = root mean square, SD = standard deviations, SEP =
8 somatosensory evoked potentials, SICI = short-interval intracortical inhibition, TMS =
9 transcranial magnetic stimulation, TS = test stimuli, UMRS = Unified Myoclonus Rating
10 Scale

11

12 **Introduction**

13 Cortical myoclonus (CM) is a jerky involuntary movement produced either by abrupt muscle
14 contraction (positive myoclonus) or sudden cessation of ongoing muscular activity (negative
15 myoclonus) ¹. CM is produced by abnormal neuronal discharges within the sensorimotor
16 cortex, as demonstrated by electrophysiology ²⁻⁴. The distinctive electrophysiological markers
17 that differentiate CM from subcortical myoclonus include electroencephalographic (EEG)
18 discharges time-locked to individual myoclonic jerks detected with jerk-locked back
19 averaging (JLBA), giant somatosensory evoked potentials (SEP) and enhanced long-latency
20 reflex type I (LLR-I), commonly referred to as C-reflex. These features suggest that
21 hyperexcitability of the sensorimotor cortex is the pathophysiological hallmark of CM, as
22 supported also by transcranial magnetic stimulation (TMS) studies. Reduced short-interval
23 intracortical inhibition (SICI) is a common finding in CM syndromes ^{5,6}, but reduced
24 interhemispheric inhibition and increased intracortical facilitation have also been found ^{5,7-9},
25 strengthening the notion of enhanced cortical excitability and reduced cortical inhibition in
26 CM. However, whether the sensorimotor cortex is the site of primary abnormality or its
27 hyperexcitability is due to abnormal input into this cortical area, is still not known.

28 CM manifestations are diverse and form a continuum from reflex myoclonus to
29 myoclonic epilepsy, including spontaneous myoclonus and cortical tremor ^{10,11}. These motor
30 phenomena are all ultimately caused by a sudden and brief activation of the corticospinal
31 tract neurons, but the mechanisms underlying the discrete clinical entities within this

1 spectrum (from localized reflex jerks to widespread activation of the sensorimotor cortex and
2 beyond) are complex and comprise a spatially limited cortical focus of increased excitability,
3 sustained rhythmic activity of local circuits, suppression of inhibitory circuits and spread of
4 the excitatory bursts to wide areas of the cortex ¹⁰. In a recent article, we speculated on the
5 possible mechanisms that generate each element of the spectrum, providing evidence for the
6 cerebellum as a possible common pathophysiological denominator ¹⁰. The involvement of the
7 cerebellum in spontaneous/reflex CM is supported by several clinical, pathological, and
8 electrophysiological evidence ¹²⁻¹⁵.

9 Our hypothesis is that the loss of cerebellar inhibitory control over the motor cortex,
10 via cerebello-thalamo-cortical (CTC) connections, could be the basis of increased
11 sensorimotor cortical excitability that eventually causes CM ¹⁰; however, direct evidence for
12 this is still lacking.

13 One way to explore this hypothesis is by modulating cerebellar output and assessing
14 its effect on sensorimotor cortex excitability. Transcranial direct current stimulation (tDCS),
15 a non-invasive brain stimulation technique consisting of direct current delivered
16 transcutaneously through surface electrodes ^{16,17}, is a powerful tool able to modulate
17 cerebellar excitability. TDCS effect is produced by creating a potential difference between
18 two electrodes, which induces a subthreshold shift of neuronal resting membrane potentials
19 towards depolarization or hyperpolarization, depending on the current flow direction relative
20 to axonal orientation ¹⁸. The general rule is that anodal tDCS increases neuronal excitability,
21 whereas cathodal tDCS exerts the opposite effect ¹⁹. Although the tDCS effect is not always
22 predictable, since it also depends on the orientation of the underlying neurons and the
23 sensitivity of their compartments to exogenous current ²⁰, previous studies have shown that
24 cerebellar tDCS can modulate, in a polarity-specific fashion, the excitability of cerebellar
25 cortical neurons and, consequently, the output from cerebellar nuclei to the motor cortex
26 ^{17,21,22}; in particular, it has been observed that anodal tDCS increases the inhibitory action of
27 the cerebellum to the motor cortex ²³⁻²⁶.

28 The aim of this study was to explore whether the sensorimotor cortex
29 hyperexcitability observed in CM is due to decreased cerebellar output to this area. To do so,
30 we applied anodal tDCS over the cerebellum of patients affected by spontaneous/reflex CM,
31 with the intent to increase cerebellar cortical excitability, and assess its effect on the
32 abnormal sensorimotor cortex excitability detected in these patients. A possible effect of the
33 stimulation on the myoclonic jerks was also evaluated. We hypothesized that anodal

1 cerebellar tDCS (ac-tDCS) would increase the inhibitory cerebellar drive to the motor cortex,
2 reduce the sensorimotor cortex hyperexcitability related to CM and therefore improve
3 myoclonus.

4

5 **Materials and methods**

6 **Subjects**

7 Ten patients affected by CM (8 female, age 44.8 ± 19.8) of various aetiology and 10 aged-
8 matched (5 female, age 43 ± 12.4) healthy controls (HC) were included in the study. The
9 diagnosis of CM was supported by the clinical features (body distribution, combination of
10 positive and negative myoclonus, stimulus sensitivity) and the aetiology of the syndrome ²⁷,
11 and confirmed by the presence of at least one of the following criteria: giant SEP, positive
12 JLBA and presence of C-reflex ^{2,3}. Other electrophysiological features that were considered
13 supportive of the cortical origin of the jerks were EMG burst duration < 50 ms, cranial-caudal
14 progression of the jerks, and the presence of both positive and negative myoclonus ².
15 Demographic and clinical data were collected. CM clinical features were evaluated by a
16 movement disorders expert and CM severity assessed with the Unified Myoclonus Rating
17 Scale (UMRS).

18 Participants underwent surface electromyography (EMG) recording of myoclonus (in
19 patients), SEP, LLR and TMS recording at baseline (T0) and immediately after (T1) 20 min
20 session of ac-tDCS applied over the cerebellum, as detailed below. The UMRS was
21 reassessed at T1. All the tests were performed in one session, with patients off CM
22 medications (Table 1) for at least 12-24 hours. All patients underwent a brain MRI scan
23 within 6 months prior to the study as part of their diagnostic work-up or follow-up. HC had
24 no history of neuropsychiatric disorders and were not taking drugs active at the central
25 nervous system level at the time of the experiments. Patients were not informed about any
26 possible change (improvement/worsening) of the myoclonus due to the stimulation, to reduce
27 the possibility of placebo effect. All procedures were carried out with the adequate
28 understanding and written informed consent of the subjects prior to the experiments. The
29 experiments were conducted in accordance with the Declaration of Helsinki and to
30 international safety guidelines. Formal approval to conduct the experiments was obtained
31 from the local ethics committee.

1

2 **Myoclonus recording**

3 The myoclonus was recorded by means of surface EMG from the most affected muscle,
4 based on visual inspection. Since all patients had upper limb distal myoclonus, EMG was
5 recorded from an arm or hand muscle (mainly the extensor carpi radialis, flexor carpi radialis
6 or the abductor pollicis brevis (APB) muscle). EMG activity was recorded using Ag/AgCl
7 electrodes placed in a bipolar fashion on the belly of the selected muscle for approximately
8 60 s, with acquisition parameters similar to those used for motor evoked potentials (MEP)
9 (see below). The root mean square (RMS) of the EMG signal was calculated and values were
10 used for statistical analyses (see below).

11

12 **Somatosensory evoked potentials recording and analysis**

13 SEP were recorded from two Ag/AgCl electrodes placed according to the 10-20 international
14 EEG system at CP3/4 (active) and Fz (reference electrode). Skin impedances were kept
15 below 5 k Ω . To get SEP, the median nerve (of the most affected side in patients and right
16 side in HC) was stimulated with a constant-current stimulator (DS7A, Digitimer Ltd, Welwyn
17 Garden City, UK). The anode was placed on the wrist crease and the cathode 2 cm proximal.
18 Monophasic square wave pulses of 200 μ s duration were delivered at 250% of the
19 somatosensory threshold at a frequency of 3 Hz \pm 10%, and 500 trials were collected in each
20 block ^{28,29}. Signal was recorded from -20 to 100 ms around the pulse, digitized with a 5 KHz
21 sampling frequency and band-pass filtered (3 Hz-2 KHz) ²⁸. Peak-to-peak amplitude of N20-
22 P25 and P25-N33 components was measured. N20, P25 and N33 latency were measured.
23 SEP were considered giant when the amplitudes of the N20-P25 and P25-N33 components
24 both exceeded normal values by 3 standard deviations (SD), obtained in a sample of 20 age-
25 matched healthy subjects ³⁰⁻³². According to this criterion, patients were divided in those with
26 and without giant SEP. The percentage increase of SEP amplitude, for each SEP component,
27 was calculated as: [(SEP amplitude at T1 - SEP amplitude at T0)/ SEP amplitude at T0] x
28 100.

29

30 **Long-latency reflexes recording and analysis**

1 LLRs were obtained by following current guidelines ³³. Median nerve stimulation was
2 performed as for SEP, but with an intensity able to evoke a compound muscle action potential
3 from the APB muscle at rest of about 100-200 μ V. EMG was recorded from the same
4 muscle, with acquisition parameters similar to those used for MEP (see below), at rest in both
5 patients and HC and at 30% of maximum voluntary contraction (MCV) in HC only. One
6 block of 500 trials was recorded. Peak to peak amplitude of LLR I (35-46 ms), LLR II (45-58
7 ms), and LLR III (> 68 ms) ³⁴ were measured when present.

9 **Transcranial magnetic stimulation and EMG recording and** 10 **analysis**

11 EMG activity was recorded using Ag/AgCl electrodes placed over the first dorsal
12 interosseous (FDI) muscle, of the most affected hand in patients and right hand in HC, in a
13 belly-tendon fashion. EMG signal was bandpass filtered (5 Hz – 2 kHz) and digitized at 5
14 kHz. Data were stored in a laboratory computer for on-line visual display and further off-line
15 analysis (Signal software, Cambridge Electronic Design, Cambridge, UK). TMS was
16 performed using a Magstim 200 monophasic stimulator with a 70 mm figure-of-eight coil
17 (Magstim Company Limited, Whitland, UK). First, the motor hotspot was found, defined as
18 the site within the primary motor cortex (M1) where the largest MEP in the contralateral FDI
19 could be obtained. Then, we measured the active motor threshold (AMT) and the intensity
20 able to elicit MEP of approximately 1 mV (1 mV-int) amplitude from the FDI muscle, which
21 was later used for test stimuli (TS). AMT was defined as the lowest intensity able to evoke a
22 MEP of at least 200 μ V in five out ten consecutive trials, during a slight tonic contraction of
23 the target muscle at approximately 10% of the MCV ³⁵. SICI was tested in the hemisphere
24 contralateral to the most affected hand in patients and over the left hemisphere in HC, and
25 obtained through paired-pulse TMS, with an interstimulus interval (ISI) of 3 ms between the
26 conditioning stimulus (CS) and TS. The TS was set at 1 mV-int, while the CS was set at 70%,
27 80%, 90% and 100% AMT, to obtain a recruitment curve ^{29,36}. Fifteen TS and 15 pairs of a
28 CS followed by a TS for each CS intensity were given in a pseudo-randomised order.
29 Amplitude of MEP elicited by TS alone and by CS-TS pairs were measured peak-to-peak.
30 SICI was calculated as the amplitude ratio between conditioned (CS-TS) and test stimuli.

31

1 **Transcranial direct current stimulation**

2 TDCS was delivered via two 5×5 cm sponge electrodes soaked in saline solution. The anode
3 was placed 3 cm lateral to theinion on the cerebellar hemisphere ipsilateral to the most
4 affected side in patients and on the right cerebellar hemisphere in HC. The cathode was
5 positioned on the buccinator muscle, ipsilateral to the active electrode. TDCS was given for
6 20 min at an intensity of 2 mA^{21,37}. At the beginning of stimulation, the current was
7 increased gradually from 0 to 2 mA over 30 s.

8

9 **Statistical analysis**

10 Two two-way mixed ANOVA with factors “group” (patients, healthy) and “time” (T0, T1)
11 were performed to assess the effect of ac-tDCS on the amplitude of N20-P25 and P25-N33
12 components of SEP, respectively, and to assess possible baseline differences between the two
13 groups. Several dependent t-tests were used to evaluate the effect of ac-tDCS on SEP
14 components latencies within each group. Since the LLR were recorded in different conditions
15 in the two groups (at rest patients and during muscle contraction in HC), we investigated the
16 effects of ac-tDCS on LLR amplitude in the two groups separately by means of two paired t-
17 tests. A two-way mixed ANOVA with factors “group” (patients, healthy) and “time” (T0, T1)
18 was performed to assess the effect of ac-tDCS on test MEP and to assess possible baseline
19 differences between the two groups. A three-way mixed ANOVA with factors “group”
20 (patients, healthy), “time” (T0, T1) and “conditioning” (70%, 80%, 90%, 100% AMT) was
21 performed to assess the effect of ac-tDCS on SICI. Lastly, a paired t-test was performed to
22 assess possible differences in EMG RMS values induced by ac-tDCS in patients. Correlations
23 between variables were evaluated with the Spearman’s rank correlation coefficient.
24 Normality of distribution was assessed with the Shapiro-Wilk test, while Greenhouse-Geisser
25 correction was used, if necessary, to correct for non-sphericity (i.e., Mauchly’s test < 0.05). P
26 values < 0.05 were considered significant. All main effects, interactions and post-hoc tests
27 were Bonferroni-corrected.

28

29 **Results**

1 All participants completed the study and reported no side effects from the cerebellar
 2 stimulation. The demographic (including age at the time of the study, diagnosis, disease
 3 duration, and UMRS value) and clinical features (including myoclonus distribution and
 4 condition during which it manifested) of the patients are detailed in Table 1. At baseline, the
 5 mean UMRS value was 88.5 ± 40.1 and did not differ from the post-ac-tDCS value ($90 \pm$
 6 43.8). Brain MRI disclosed cerebellar atrophy in case #5 and cerebellar hypoplasia in case
 7 #8, the other MRIs did not show any cerebellar abnormality. The electrophysiological and
 8 other relevant findings to support the diagnosis of CM and salient MRI results are
 9 summarised in Table 2.

10

11 Somatosensory evoked potentials

12 SEP were considered giant if N20-P25 amplitude was $> 5.54\mu\text{V}$ and P25-N33 amplitude was
 13 $> 4.30\mu\text{V}$. According to this criteria, 5/10 patients had giant SEP (values are shown in Table
 14 3). Ac-tDCS had no effect on the latency of SEP components (p values of all tests > 0.05),
 15 but significantly increased their amplitude in patients: the ANOVA on N20-P25 amplitude
 16 showed a significant main effect of “group” ($F_{1,18} = 16.076$, $p < 0.001$), “time” ($F_{1,18} = 7.007$,
 17 $p = 0.016$) and a significant “group \times time” interaction ($F_{1,18} = 6.641$, $p = 0.019$). Post-hoc
 18 comparisons showed that N20-P25 amplitude was higher in patients than in HC, both at
 19 baseline ($p < 0.001$) and after ac-tDCS ($p = 0.002$). Interestingly, ac-tDCS led to significant
 20 increase in N20-P25 amplitude in patients ($p = 0.002$), while it had no significant effect in
 21 HC ($p = 0.961$) (Figure 1, panel A and B). These effects were confirmed by the ANOVA on
 22 P25-N33 amplitude. There was a significant main effect of “group” ($F_{1,18} = 18.260$, $p <$
 23 0.001), “time” ($F_{1,18} = 6.227$, $p = 0.023$) and a significant “group \times time” interaction ($F_{1,18} =$
 24 7.565 , $p = 0.013$). Post-hoc comparisons showed that P25-N33 amplitude was higher in
 25 patients than in HC, both at baseline ($p < 0.001$) and after ac-tDCS ($p = 0.001$). Again, ac-
 26 tDCS led to a significant increase in P25-N33 amplitude in patients ($p = 0.002$), while it had
 27 no significant effect in HC ($p = 0.859$) (Figure 1, panel A and B).

28 Considering the two groups of patients with and without giant SEP, the increment of
 29 the N20-P25 and P25-N33 amplitude at T1 was observed mainly in those with giant SEP
 30 (Figure 2, panel A): the percentage change was 9.16% (N20-P25) and 3.37% (P25-N33) in
 31 the group without giant SEP, and 61.23% (N20-P25) and 60.74% (P25-N33) in those with
 32 giant SEP. This result was confirmed by the correlation analysis, which was performed by

1 means of the Spearman's correlation coefficient, and showed a significant positive correlation
2 between baseline amplitude of N20-P25 and P25-N33 SEPs and changes in SEP amplitude
3 induced by ac-tDCS ($r = 0.685$, $p = 0.029$ and $r = 0.636$, $p = 0.048$, respectively).

5 Long-latency reflexes

6 LLR-I (C-reflex) was present in all patients at rest; patient #1 showed both LLR-I and LLR-
7 III and patient #2 showed all three peaks. In HCs, none of the LLRs were present at rest;
8 however, all HCs showed LLR-I at 30% of MCV, 5/10 had LLR-II, three of which had also
9 LLR-III. The t-test on LLR-I amplitude recorded at rest in patients showed that ac-tDCS
10 induced a significant increase in amplitude compared to baseline ($t(10) = -4.760$, $p = 0.001$).
11 In healthy subject, the same analysis showed a non-significant trend towards a decrease in
12 LLR-I amplitude recorded during contraction ($t(10) = 1.636$, $p = 0.136$) (Figure 1, panel C).

13 We assessed LLR-I changes also in the two groups of patients with and without giant
14 SEP. Patients without giant SEP had a lower LLR-I amplitude at baseline compared to those
15 with giant SEP; however, they had a higher increment of amplitude after ac-tDCS compared
16 to those with giant SEP (Figure 2, panel B).

18 Transcranial magnetic stimulation

19 The ANOVA on test MEP amplitude showed a non-significant main effect of "group" ($F_{1,18}$
20 $= 0.183$, $p = 0.674$), "time" ($F_{1,18} = 0.225$, $p = 0.225$) and a non-significant "group \times time"
21 interaction ($F_{1,18} = 0.225$, $p = 0.641$). This means that there was no baseline difference in
22 MEP between the two groups and that the effect of ac-tDCS was not significant, both in
23 patients and in HC. This allowed for the final analysis on SICI, performed on ratios of
24 conditioned/unconditioned MEPs. The ANOVA showed a significant main effect of "group"
25 ($F_{1,18} = 283.039$, $p < 0.001$), a non-significant effect of "time" ($F_{1,18} = 1.552$, $p = 0.229$), a
26 significant main effect of "conditioning" ($F_{5,90} = 7.849$, $p < 0.001$). The analysis also
27 disclosed significant "group \times time" ($F_{1,18} = 5.659$, $p = 0.029$), "group \times conditioning" ($F_{5,90} =$
28 13.267 , $p < 0.001$) and "time \times conditioning" ($F_{5,90} = 3.730$, $p = 0.004$) interactions, while the
29 "group \times time \times conditioning" interaction was not significant ($F_{5,90} = 0.878$, $p = 0.5$). Post
30 hoc comparisons showed that baseline SICI was less in patients compared to HC when
31 considering a conditioning stimulus strength of 80% ($p = 0.011$), 90% ($p = 0.001$) and 100%

1 (p=0.017) AMT. Whereas ac-tDCS had no effect on SICI in HC, it further decreased SICI in
2 patients, turning it into facilitation, at 80% (p = 0.006), 90% (p = 0.026) and 100% (p =
3 0.015) AMT intensity of the conditioning pulse (Figure 1, panel D).

4 The response of SICI to ac-tDCS has been also analysed in the groups of patients with
5 and without giant SEP. As shown in Figure 2 panel C, ac-tDCS decreased SICI in patients
6 with giant SEP to a greater extent compared to those without giant SEP. As for the SEP, there
7 was a significant positive correlation, tested by the Spearman's correlation coefficient,
8 between baseline SEP amplitude and the average SICI changes across all CS intensities
9 induced by ac-tDCS (N20-P25: r = 0.818, p = 0.004; P25-N33: r = 0.733, p = 0.016).

10

11 **Myoclonus recording**

12 The t-test on EMG RMS did not disclose a significant difference between T0 and T1,
13 although there was a trend towards an increase (36%) in EMG activity after ac-tDCS (t(10) =
14 -1.935, p = 0.085) (Figure 3).

15

16 **Discussion**

17 The present results show that ac-tDCS did not change SEP, LLR and SICI in HC, while in
18 patients with CM it significantly increased the amplitude of the SEP (both N20-P25 and P25-
19 N33 components) and of LLR-I (C-reflex), and decreased SICI; there was also a trend
20 towards worsening of myoclonus after ac-tDCS. These results are the opposite to our initial
21 predictions, which had suggested that ac-tDCS should inhibit, and not facilitate, sensorimotor
22 excitability in CM; nevertheless, they do support the underlying assumption that the
23 cerebellum has an important role in the pathophysiology of CM.

24

25 **Sensorimotor excitability in CM compared to HC**

26 The cardinal pathophysiological marker of CM, compared also to other myoclonus subtypes,
27 is the presence of sensorimotor hyperexcitability, that is thought to be responsible for
28 abnormal neural discharges causing the myoclonic jerks. Sensorimotor hyperexcitability has
29 been confirmed in our patients by the presence of increased SEP amplitude, LLR-I at rest,

1 and reduced SICI compared to HC. The presence of giant SEP and LLR-I was expected as
2 part of the inclusion criteria ^{2,3}, while the finding of reduced SICI in CM is in line with other
3 studies ^{5,6}. SEP recording offers a non-invasive method for assessing the functions of the
4 somatosensory pathways at different levels of the nervous system. N20 is generated in the
5 area 3b of the primary somatosensory cortex (S1), while the generators of later components
6 P25 and N33 seem to lie area 1, which receives input from area 3b and from later arriving
7 inputs from slower conducting afferents and more indirect pathways (such as via the
8 cerebellum) ³⁸. Half of the patients showed no giant SEPs, as defined as amplitudes of the
9 N20-P25 and P25-N33 components exceeding normal values by 3 SD. This finding is not
10 surprising, since not all patients presumed to have CM show giant cortical responses ^{2,39}, very
11 likely because a diversity of (possibly related) mechanisms that can produce CM. It is
12 possible that in some cases (and mostly in those with reflex CM) the motor output is driven
13 by an abnormal sensory cortex activity, whereas in other cases it is not. It is normally
14 assumed that SEP components are due to the activation of excitatory connections, but in CM
15 this might not always be true. For instance, in *epilepsia partialis continua*, a form of CM, the
16 absence/reduction of SEP P24 wave amplitude has been hypothesised to be related to an
17 impairment of the GABAergic tonic inhibition in the sensorimotor cortex, mediated by an
18 intra-cortical network rather than dysfunction of thalamo-cortical projections ⁴⁰. This
19 suggests that the mechanisms generating abnormal SEP in CM are complex and not
20 necessarily related to thalamo-cortical input but possibly to other afferents ³⁸.

21 Although LLR-I have not always been reported in CM, it could be recorded in all our
22 patients but not in HCs at rest. LLRs are long-latency hand-muscle reflexes likely mediated
23 by transcortical pathways and LLR-I (C-reflex), which has a latency of 35-46 ms, is
24 considered a key element for the neurophysiological diagnosis of CM. In the first description
25 of the C-reflex, it was hypothesized that the neural pathway included peripheral nerve, dorsal
26 funiculus of spinal cord, contralateral ventral posterior nucleus of thalamus, sensorimotor
27 cortex, corticospinal tract, and anterior horn cell, but this conclusion has not been
28 experimentally confirmed ⁴¹; however, recent evidence also suggests that cerebellum may be
29 involved in LLR generation ⁴². Finally, SICI is a measure of motor intra-cortical inhibition
30 likely mediated by GABA_A interneurons ^{43,44}. Reduced SICI is the most robust finding of
31 motor cortical disinhibition in CM of different aetiologies ⁵, as also confirmed in our group of
32 patients.

33

1 **Ac-tDCS effect in CM and HC**

2 In the present study, ac-tDCS in HC did not modify any of the variables tested, namely SEP,
3 LLR amplitude and SICI. The lack of effect on the SEP is consistent with a previous study of
4 ac-tDCS in HC ⁴⁵, and with clinical experience that cerebellar lesions do not cause evident
5 sensory deficits. Nevertheless, the cerebellum may play a role in higher level sensory
6 acquisition and discrimination ⁴⁶. There is no previous data on the effect of ac-tDCS on LLR,
7 although patient studies provide some evidence that the cerebellum modulates the gain of
8 LLR ^{47,48}. One previous study confirmed the present data showing that ac-tDCS has no effect
9 on SICI ²², but another reported that ac-tDCS can reduce SICI ⁴⁹. Different methods of SICI
10 calculation could account for this discrepancy, with our results being in line with those of
11 Galea and colleagues ²². In conclusion, our findings do not provide evidence that ac-tDCS
12 can change sensorimotor excitability measured by SEP, LLR amplitude and SICI in HC.

13 In contrast in CM, ac-tDCS modified SEP, LLR amplitude and SICI, with the overall
14 effect being an increase of sensorimotor excitability. Interestingly, the increment in SEP
15 amplitude was observed only in patients with giant SEPs and, similarly, there was a greater
16 reduction in SICI in the giant compared to the “normal” SEP group. These results were
17 confirmed by correlation analyses, although they should be interpreted with caution due to
18 the small sample size. However, not all the changes were limited to patients with giant SEP,
19 since there was a larger increase in amplitude of LLR-I after ac-tDCS in patients without
20 giant SEP.

21 To the best of our knowledge, there are no other reports investigating the effect of
22 cerebellar tDCS on SEP, LLR and SICI in CM. In a previous study, ac-tDCS was used with
23 the intent of normalising the increased long latency stretch reflexes (LLSR) in patients with
24 cerebellar ataxia ⁴⁷, caused by reduced inhibition of the cerebellar cortex on the deep
25 cerebellar nuclei (DCN) in this condition ⁵⁰. The study showed that the abnormal LLSR, with
26 a latency of 55–85ms, were reduced in amplitude by the stimulation ⁴⁷, but short latency
27 stretch reflexes (SLSR), with a latency of 20-40ms (of which the longer latency overlap with
28 LLR-I), were unaffected. The different responses of SLSR to ac-tDCS in patients with
29 cerebellar ataxia and of LLR-I in patients with CM could be due to the different
30 pathophysiological processes underlying the two conditions, rather than be related only to the
31 involvement of the cerebellum in these reflexes’ generation.

32

1 **Ac-tDCS facilitated sensorimotor excitability in CM patients**

2 Ac-tDCS is thought to depolarize Purkinje cells and increase their inhibitory output to DCN.
3 Logically, this should reduce the activity of excitatory CTC projections⁵¹ and reduce M1
4 excitability^{22,52}. This is consistent with the finding that cathodal stimulation (which reduces
5 cerebellar inhibition of DCN) decreases the ability of cerebellar TMS to inhibit M1 (i.e.,
6 cerebellar-brain inhibition), while anodal tDCS does the opposite^{22-26,52}. Our hypothesis was
7 that if ac-tDCS reduces M1 excitability in HC, the same would happen in CM, and that
8 physiologically, it would reduce the SEP and LLR-I and increase SICI.

9 We can only speculate on why the results were opposite to those expected. One
10 possibility is that the cerebellum in CM responds in the same way to ac-tDCS as HC, and that
11 the deficit lies upstream of cerebellum. It would indicate that in both HC and CM, ac-tDCS
12 could depolarise Purkinje cells and lead to an increase activity at Purkinje cell-DCN
13 synapses, which, if reinforced by an additional effect on the excitability of DCN dendrites⁵³,
14 could cause a long-term potentiation (LTP)-like increase in the effectiveness of Purkinje-cell-
15 DCN synapses and a long-term increase in suppression of DCN activity by ongoing Purkinje-
16 cell discharge. The normal plastic response to tDCS in patients would be consistent with
17 previous reports that cortical excitability in both groups is suppressed to the same extent by a
18 different form of brain stimulation, inhibitory repetitive TMS to M1⁵⁴⁻⁵⁷. This implies that the
19 pathomechanism of myoclonus is not directly related to stimulation-dependent modulation of
20 synaptic plasticity. Consequently, if ac-tDCS had the same effect on cerebellar output in CM
21 and HC, then one explanation of our results is that the abnormally excitable M1 in CM
22 responds in the opposite way to removal of cerebellar facilitation. Effectively, the M1 in CM
23 would “compensate” for the reduction in facilitation by further increasing its own
24 excitability. The paradoxical response would be an abnormal plastic response of motor cortex
25 neurons to a change in cerebellar inputs.

26 This abnormality could be described as a failure of normal homeostatic mechanisms
27 to maintain the correct level of cortical excitability. Homeostatic plasticity refers to
28 mechanisms that counteract the destabilizing influence of synaptic plasticity and maintain
29 neural activity within a physiologically meaningful range; it can be triggered by tDCS, which
30 can be used to regulate the synaptic strength⁵⁸. We speculate that the “set point” of
31 excitability in CM is higher than normal and it is reflected in the increased excitability of M1
32 at baseline. Rather than depressing M1, removal of facilitation produces a homeostatic

1 response that compensates by raising excitability still further. In support of this, it is
2 interesting to note that only enlarged SEPs were increased in size after the cerebellar
3 stimulation (Figure 2), suggesting that the aberrant response could be induced only when
4 acting on a formerly defective system. Similarly, cerebellar stimulation reduced SICI and
5 turned it into facilitation mainly in those patients with a giant SEP (Figure 2).

6 A similar type of paradoxical response to changes in M1 excitability has been
7 reported in a form of myoclonic epilepsy. Quadripulse transcranial magnetic stimulation
8 (QPS), which is another method that interacts with synaptic plasticity, was applied over M1
9 to investigate its effect on S1 (as assessed by SEPs) in patients affected by benign myoclonic
10 epilepsy and HC⁵⁹. In contrast to the results in HC, in benign myoclonic epilepsy the N20–
11 P25 and P25–N33 giant SEP components were potentiated by both the “potentiating” (LTP-
12 like) and “depressing” (long-term depression (LTD)-like) QPS protocols⁵⁹. However, this
13 differs from the present results in that the QPS was applied directly to M1 rather than to
14 cerebellum, which only has indirect effects on M1.

15 A second possible explanation for our results is that in CM the effect of ac-tDCS
16 differs from that in HC. It is possible that Purkinje cell-DCN synapses respond oppositely to
17 Purkinje polarisation produced by ac-tDCS: synaptic effectiveness could be suppressed rather
18 than enhanced. In the normal brain, enhanced efficacy of these inhibitory synapses reduces
19 nuclear output leading to reduced cerebellar facilitation of cortex, whereas in CM reduced
20 synaptic efficacy would enhance nuclear output and increase facilitation of M1. Although it
21 would be very unlikely that any pathophysiology could reverse the response of Purkinje cells
22 to hyperpolarization and depolarisation by tDCS, it is important to remember that while
23 anodal stimulation depolarises the cell body, it hyperpolarises the dendrites in animals (non-
24 mammalian)^{60,61}. Predicting the responses of Purkinje cells in the human cerebellum *in vivo*
25 is difficult⁶¹, but, if similar mechanisms occur, dendritic hyperpolarisation might reduce the
26 parallel fibre input that drives the rate of simple spike discharge and lower the Purkinje cells
27 discharge. In patients affected by CM there is pathological evidence of cerebellar
28 degeneration, with sparing of the dentate and significant Purkinje cell loss symmetrically
29 involving all lobules of the cerebellum¹⁵. Whether the severe Purkinje cell loss is implicated
30 in the reduced inhibition to the dentate nuclei and ipsilateral motor cortex or responsible of
31 the abnormal response to tDCS is difficult to demonstrate *in vivo*, but interesting to explore.

32

1 **Ac-tDCS effect on myoclonus**

2 Although it did not reach statistical significance, inspection of the EMG records showed that
3 there was a trend towards deterioration of the myoclonus after cerebellar tDCS, which would
4 be consistent with the increase in cortical excitability as reflected in the SEP and LLR-I.
5 However, evidence suggests that there may not be a direct relationship between sensorimotor
6 cortical excitability and the severity of CM. For instance, a previous study found that in the
7 untreated state, the size of P25 and N33 components of the enlarged SEP were correlated
8 with EMG of the jerks, but this could be dissociated by the IV administration of Lisuride or
9 Clonazepam which reduced the severity of the myoclonic jerks but had no effect, or even
10 increased, the amplitude of the SEPs³⁸. Two other studies showed improvement of the
11 myoclonus and reduction of the SEPs amplitude after IV injection of 5-hydroxytryptophan
12 and Perampanel^{62,63}, but without any correlation between the changes in SEP amplitudes and
13 the clinical myoclonus scores⁶². Thus, although there may be no direct relationship between
14 the degree of cortex excitability (as shown at least by SEP amplitude) and severity of the
15 jerks, our findings suggest that the reduced sensorimotor inhibition induced by the cerebellar
16 stimulation might negatively affect myoclonus which could be an interesting avenue for new
17 forms of treatment or treatment targets for CM.

18 No parallel changes were found in the UMRS after ac-tDCS, very likely because the
19 clinical scale is not sensitive enough to detect the increase of EMG activity observed after the
20 stimulation. We cannot exclude a possible placebo effect of ac-tDCS on the severity of
21 myoclonus, assessed by recording of continuous EMG activity, as it is known that
22 involuntary movements may be affected by a large number of variables⁶⁴. However, this
23 phenomenon would not be obvious in the present case, as patients were not informed about
24 possible improvement or worsening of the myoclonus due to experimental procedure. The
25 only information conveyed was our intent to explore the role of the cerebellum on several
26 electrophysiological measures.

27

28 **Limitations and conclusion**

29 Some limitations of the study should be addressed. Firstly, our sample of patients is clinically
30 heterogeneous, as the patients are affected by different CM syndromes. However, the
31 variables considered are all related to the presence of CM, and not strictly dependent on the

1 pathophysiology underlying the condition. This is valid not only for SEP and LLR, but also
2 for SICI since it is normally found as reduced in CM syndromes and indicative of reduced
3 motor inhibition. Secondly, the sample is small, but it reflects the rarity of this condition and
4 difficulty of studying these patients, which are often severely affected also by other
5 symptoms. Since cathodal tDCS was not applied, we cannot exclude that the unexpected
6 facilitation of sensorimotor excitability was due to a defective polarity-specific tDCS effect.
7 The general rule of anodal being excitatory and cathodal inhibitory is probably an
8 oversimplification of the physiological mechanisms underlying tDCS, since numerous factors
9 can turn facilitatory changes into inhibitory, and vice versa ²¹. However, although we did not
10 measure cerebellar-brain inhibition to prove our hypothesis, many studies have demonstrated
11 that anodal tDCS increases the inhibitory action of the cerebellum to M1 ²³⁻²⁶, while the dual
12 tDCS effect over the cerebellum has also been confirmed by behavioural studies ⁵². We do
13 not believe that the paradoxical response could be attributed to cerebellar atrophy because
14 only 2 patients had reduced cerebellar volume and these patients' results were in line with the
15 trend of the whole group. Moreover, in previous studies on patients with cerebellar ataxia and
16 cerebellar atrophy, ac-tDCS was able to improve the symptoms as well as restore cerebellar-
17 brain inhibition ^{25,26}, indicating that cerebellar atrophy does not restrain the ac-tDCS effect.
18 Finally, we acknowledge that drug washout could not be complete for certain medications,
19 and we cannot exclude that this might have influenced the results; however, we believe that
20 this does not account for the post ac-tDCS effect, since it was performed 1-1.5 hours after the
21 baseline assessment and it is very unlikely that the drug concentration in the blood changed in
22 this short period of time to a degree that could have affected the post-tDCS responses.

23 In conclusion, our data showed that ac-tDCS facilitates, and does not inhibit,
24 sensorimotor cortex excitability in CM syndromes. This paradoxical response might be due to
25 an abnormal homeostatic plasticity within the sensorimotor cortex, likely driven by a
26 dysfunction of the cerebellar input to the motor cortex, via CTC projection. The data also
27 provide further evidence that the cerebellum is implicated in the pathophysiology of CM and
28 could open the way to new forms of treatment or treatment targets for CM.

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30 **Data availability**

31 The data that support the findings of this study are available from the corresponding author,
32 upon reasonable request.

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Competing interests

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8 **Figure legends**

9 **Figure 1 The effect of ac-tDCS on SEP, LLR and SICI in HC and patients with CM.**

10 Panel A. Example of giant somatosensory evoked potentials (SEP) recorded from a patient at
 11 baseline (T0, blue line) and immediately after (T1, red line) 20 min session of cerebellar
 12 anodal transcranial direct current stimulation (ac-tDCS). Note that SEP were considered giant
 13 when the amplitudes of the N20-P25 and P25-N33 components both exceeded normal values
 14 by 3 standard deviations, obtained in a sample of 20 age-matched healthy subjects. Panel B.
 15 Changes of SEP components amplitude (I: N20-P25, II: P25-N33) after ac-tDCS (T1) in
 16 healthy controls (HC) and patients with cortical myoclonus (CM). Asterisks indicate
 17 statistically significant comparisons ($p < 0.05$): N20-P25 (I) and P25-N33 (II) amplitude was
 18 significantly higher in CM than in HC, both at T0 and T1; N20-P25 (I) and P25-N33 (II)
 19 amplitude in CM was significantly higher at T1 compared to T0. Panel C. Changes of long-
 20 latency reflex type I (LLR-I) after cerebellar tDCS (T1) in HC and CM. Asterisks indicate
 21 statistically significant comparisons ($p < 0.05$): LLR-I amplitude was significantly higher in
 22 CM at T1 compared to T0. Panel D. Short-interval intracortical inhibition (SICI) at different
 23 intensities of the conditioning stimulus (70%, 80%, 90% and 100% AMT), in patients with
 24 CM and HC, at T0 and T1. Asterisks indicate statistically significant comparisons ($p < 0.05$):
 25 at T0, SICI was significantly less in CM compared to HC at conditioning stimulus intensity
 26 of 80%, 90% and 100% AMT; SICI was significantly less (turning into facilitation) in CM at
 27 T1 compared to T0 at conditioning stimulus intensity of 80%, 90% and 100% AMT. The box
 28 chart legend is the same as Panel B. Blue boxes: patients with CM, red boxes: HC.

29

30 **Figure 2 The effect of ac-tDCS on SEP, LLR and SICI patients with CM, with and**
 31 **without giant SEP.** Panel A. Changes of somatosensory evoked potentials (SEP)

1 components amplitude after cerebellar anodal transcranial direct current stimulation (ac-
2 tDCS) (T1) in the two groups of patients with and without giant SEP. Panel B. Changes of
3 long-latency reflex type I (LLR-I) after ac-tDCS (T1) in the two groups of patients with and
4 without giant SEP. Panel C. Short-interval intracortical inhibition (SICI) at different
5 intensities of the conditioning stimulus (70%, 80%, 90% and 100% AMT), in patients with
6 and without giant SEP at T0 and T1. Statistical analysis was not performed due to the small
7 number of patients for each group.

8

9 **Figure 3 The effect of ac-tDCS on CM.** Panel A. Root mean square (RMS) of the
10 electromyographic (EMG) myoclonic bursts at baseline (T0) and immediately after (T1) 20
11 min session of cerebellar anodal transcranial direct current stimulation (ac-tDCS). Boxes
12 indicate 25th to 75th percentiles of data distribution. Whiskers include the whole data
13 distribution. The dashed lines indicate the distribution mean. Panel B. Example of EMG
14 myoclonic bursts in a patient at T0 (blue) and T1 (red).

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1 **Table 1 Summary of the clinical features**

General clinical features						Myoclonus clinical features					
Subject	Age (y)	Diagnosis	DD (y)	Treatment	UMRS	Distal	F	M/G	Rest	Act	Stim Sens
1	27	AMRF (MYC-SCARB2)	6	CLZ 1 mg	85	+	-	+	+	+	-
2	70	CBS	8	L-Dopa 300 mg LVT 500 mg VPA 300 mg CLZ 0.5 mg	114	+	-	+	+	+	+
3	45	EPC	15	-	36	+	+	-	-	+	-
4	57	FCMTE	30	LVT 500 mg	135	+	-	+	-	+	+
5	73	Coeliac disease	20	LVT 1000 mg VPA 400 mg	138	+	-	+	+	+	+
6	25	BHC (PDE10A)	17	-	30	+	+	-	-	+	+
7	34	PLAN	1.5	L-Dopa 400 mg	93	+	+	-	-	+	+
8	33	Cerebellar hypoplasia	11	CLZ 1 mg VPA 600 mg	44	+	+	-	+	+	+
9	20	FCMTE	10	CLZ 1 mg VPA 800 mg LVT 1000 mg	90	+	+	-	-	+	+
10	64	FCMTE	35	VPA 800 mg	120	+	-	+	+	+	+
AV ± SD	44.8 ± 19.8		15.4 ± 10.6		88.5 ± 40.1						

2 Act = action; AMRF = action myoclonus renal failure syndrome; AV = average; BHC = benign hereditary chorea; CBS = cortico-basal
3 syndrome; CLZ = clonazepam; DD = disease duration (in years); EPC = epilepsia partialis continua; F = focal; FCMTE = familial cortical
4 tremor myoclonus epilepsy; LVT = levetiracetam; M/G = multifocal/generalised; PLAN = PLA2G6-associated neurodegeneration; SD =
5 standard deviation; Stim Sens = stimulus sensitive; VPA = valproic acid; UMRS = Unified Myoclonus Rating Scale; + = present; - = absent.
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7

1 **Table 2 Summary of the electrophysiological or other diagnostic relevant findings**

Subject	Giant SEP	LLR -I	JLBA	<50 ms	Cranio Caudal	Pos & Neg	EEG	Others
1	-	+	+	+	+	+	N/A	-
2	+	+	+	+	+	+	N/A	Abnormal DaTscan MRI: symmetrical pattern of frontal and parietal atrophy
3	-	+	+	+	-	+	Ictal sharp activity over the left centroparietal region	
4	+	+	N/A	+	+	+	N/A	
5	-	+	+	+	+	+	N/A	MRI: volume loss of the cerebellum and supratentorial brain
6	+	+	Major EEG artefacts	+	-	-	N/A	MRI: bilateral striatal hyperintensity in T2 w
7	-	+	+	+	+	+	N/A	MRI: GP, SN, and striatum iron deposition
8	+	+	N/A	+	-	+	N/A	MRI: left cerebellar hypoplasia
9	-	+	+	+	+	-	-	
10	+	+	Major EEG artefacts	+	+	+	2-3 Hz slow waves left posterior temporo-occipital region	

2 EMG/NCS = electromyography/nerve conduction study; GP = globus pallidus; N/A = not available; SN = substantia nigra; Pos & Neg =
3 positive and negative; + = present; - = absent

4

5

1 **Table 3 SEP amplitudes**

	T0 (μ V)		T1 (μ V)	
	N20-P25	P25-N33	N20-P25	P25-N33
CM				
1	5.11	3.01	5.16	3.21
2 ^a	10.12	10.57	15.30	18.23
3	5.03	2.87	5.78	2.10
4	15.09	14.11	22.04	21.55
5	3.71	3.83	2.40	2.84
6	11.11	11.43	25.13	24.66
7	3.78	5.07	4.70	6.11
8	8.55	14.60	12.33	17.61
9	4.55	4.91	6.41	6.99
10	13.02	12.27	18.03	17.44
AV \pm SD	8.01 \pm 4.15	8.27 \pm 4.76	11.72 \pm 8.04	12.07 \pm 8.63
HC				
1	3.01	1.33	4.12	1.34
2	1.67	1.12	1.55	1.66
3	4.12	2.55	4.13	2.55
4	1.76	1.77	1.23	1.29
5	1.34	0.55	2.35	0.65
6	1.58	0.68	2.11	0.27
7	2.24	0.65	1.78	1.13
8	1.17	0.89	0.65	0.23
9	1.92	2.93	1.62	1.66
10	2.56	0.62	2.33	0.46
AV \pm SD	2.14 \pm 0.89	1.31 \pm 0.85	2.19 \pm 1.14	1.12 \pm 0.74

2 The values in bold indicate the giant SEP. T0 refers to measures collected at baseline. T1 refers to measures collected after 20 min session
3 of ac-tDCS. AV = average; CM = cortical myoclonus; HC = healthy controls; SD = standard deviation.
4
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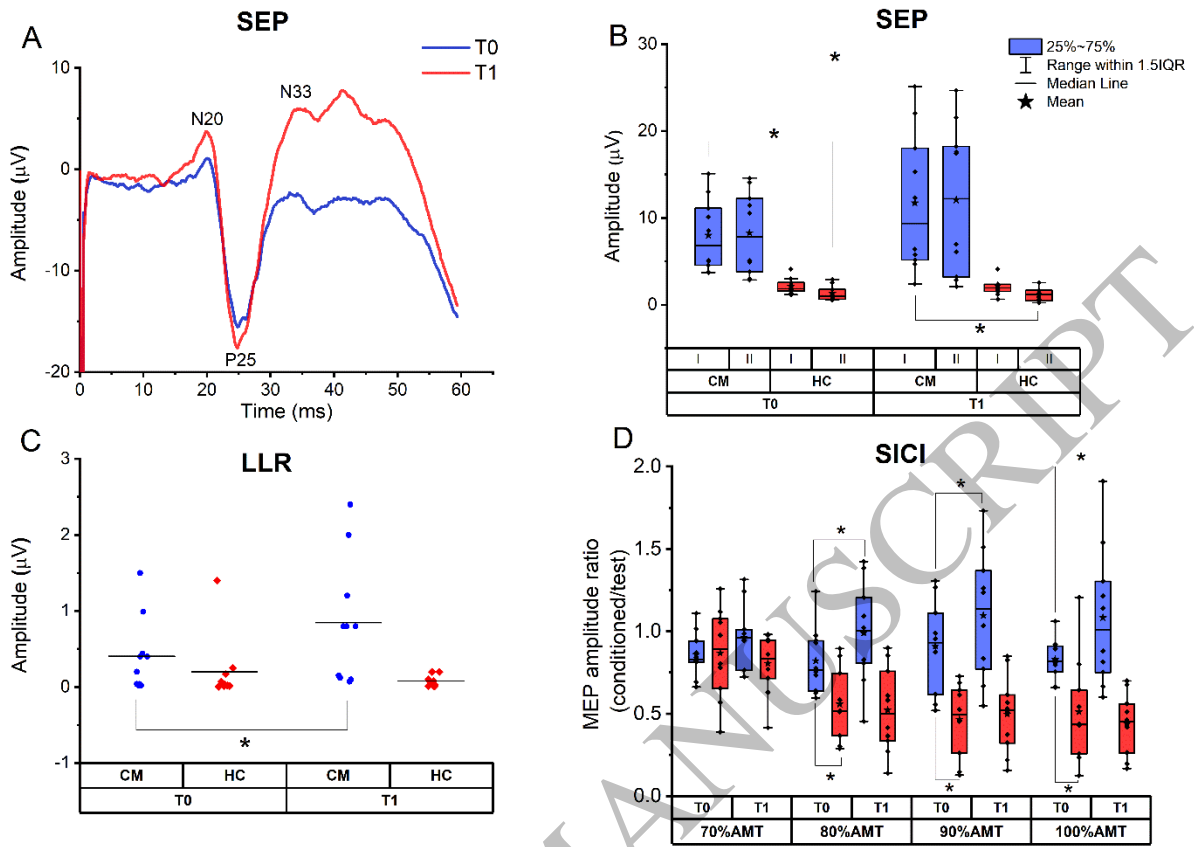


Figure 1
281x199 mm (x DPI)

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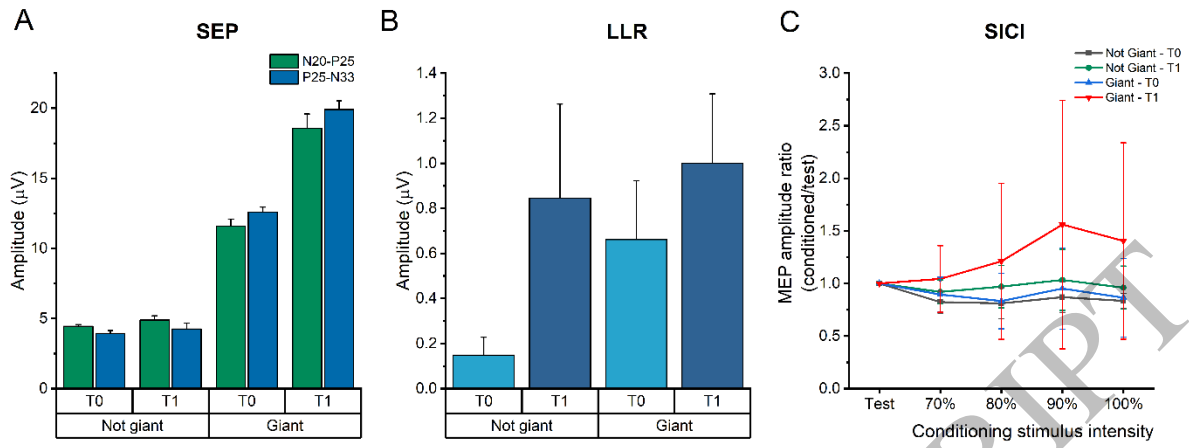


Figure 2
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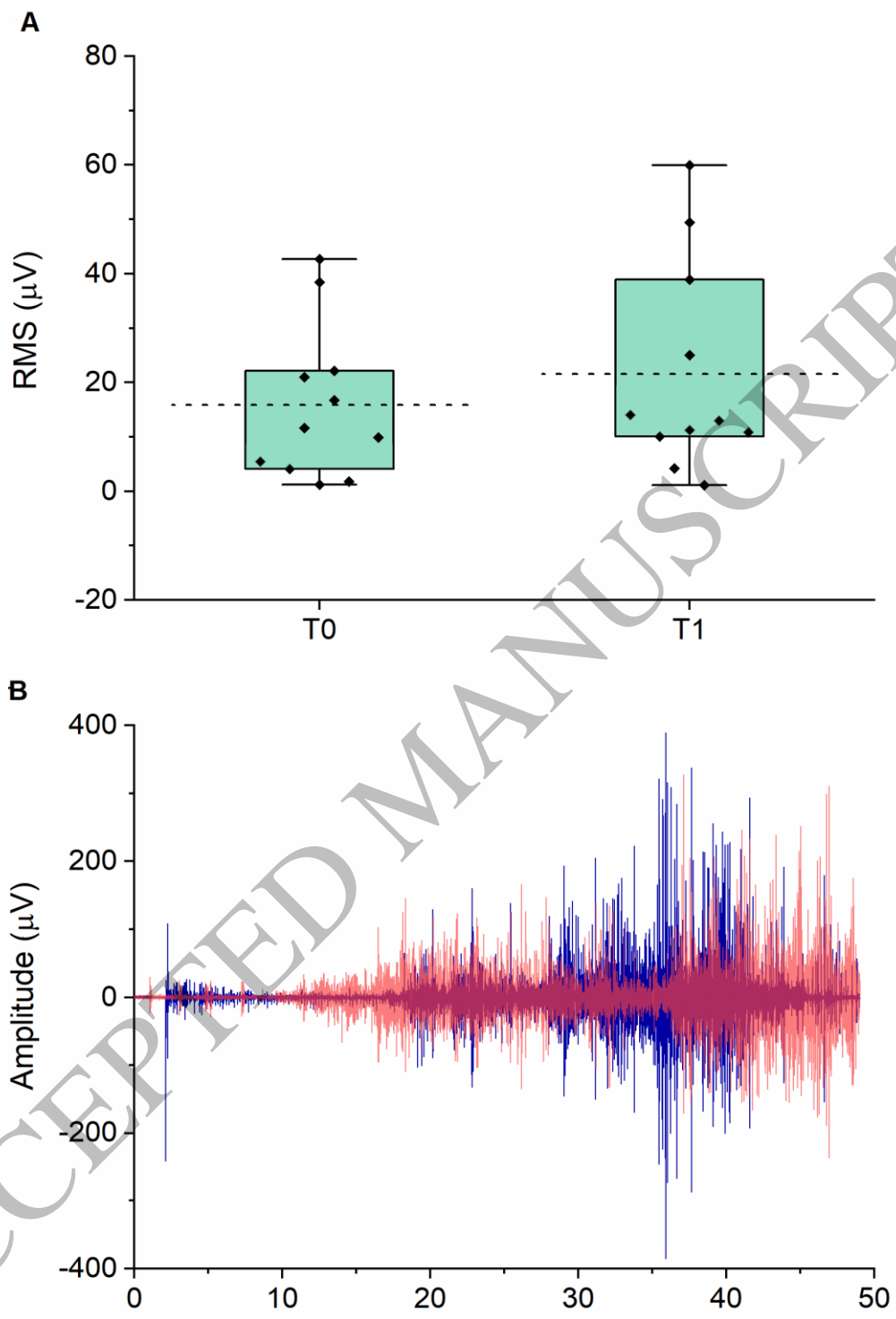


Figure 3
308x457 mm (x DPI)

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