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4 **Title:**

5 **Advancing One Human-Environmental-Animal Health for Global Health Security: What does the**
6 **evidence say?**

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37 **ABSTRACT (unstructured) 350 words**

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39 The ongoing COVID-19 pandemic vividly illustrates that the emergence of a new lethal pathogen of
40 probable animal origin in one part of the world affects public health everywhere. In this article, we
41 review the contributions of human-animal-environmental (ONE-HEALTH [OH]) approaches to
42 improving global health security (GHS) across a range of health hazards and summarise contemporary
43 evidence of incremental benefits of an OH approach. We assess how OH approaches were reported to
44 FAO, OIE and WHO, within the respective monitoring and evaluation frameworks of the International
45 Health Regulations (IHR, 2005) and the Performance of Veterinary Services (PVS). We reviewed OH
46 theoretical foundations and methods, case studies and a narrative literature review including IHR
47 (2005) and PVS reports to assess progress of inter-sectoral OH approaches to build human capacity,
48 bridges between stakeholders and institutional adaptation at national and international levels to
49 contribute to global health security (GHS) across a range of health hazards. Examples from joint health
50 services and infrastructure, surveillance-response, antimicrobial resistance (AMR) surveillance, food
51 safety and food security, environmental hazards, water and sanitation, and zoonoses control clearly
52 show incremental benefits of OH approaches. OH approaches appear to be most effective and
53 sustainable in the prevention, preparedness and early detection of evolving risks/hazards and the
54 evidence base for their application is strongest in the control of endemic and neglected tropical diseases.
55 Significant gaps remain at the OH interface to rapidly detect and reduce the risk of widespread
56 community transmission of new and re-emerging infections. For benefits to be maximised and
57 extended, improved One Health Operationalisation (OHO) is needed with strengthening of
58 multisectoral coordination mechanisms, for example by fostering a closer interaction between the IHR
59 (2005) and OIE PVS Pathways and the United Nations Environmental Programme (UNEP). OH
60 approaches show quantitative incremental benefits for health services and infrastructure, surveillance-
61 response systems, AMR, food safety and nutrition security, environmental sanitation and zoonoses
62 control for GHS, but gaps in the realisation of OH to covers all species of interest remain. Case studies
63 show evidence for OHO at the institutional and community level. The FAO, OIE and WHO currently
64 play pivotal roles in stimulating OHO at the national and regional levels but will need increased support
65 and allies to both strengthen current activities as well as address a wider set of health hazards across the
66 Socio Ecological System. Progress in sustained OHO should be urgently prioritised at global, regional
67 and national levels by building on, and inclusively broadening existing institutional collaborations at
68 the wildlife-domestic animal-environmental-human interface to better reflect evolving risks and hazards
69 across the Socio-Ecological System in view of a global pandemic treaty.

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71 **Keywords:** One Health, Global Health Security, International Health Regulations, Performance of
72 Veterinary Services Pathway

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Key messages:

1. **One Health means that cooperation between human, animal, environmental health and related disciplines leads to benefits that could not be achieved if the different sectors work alone. There is clear evidence for benefits in terms of saved lives of humans and animals and financial savings from a closer cooperation between the sectors across a range of hazards and operational functions. Our analysis indicates greater investment should be directed towards prevention and preparedness interventions across the Socio Ecological System (SES) where the evidence base is most firmly established. This represents a shift of the disease control paradigm upstream, away from an overwhelming focus on surveillance and response in humans which currently predominates, to greater and more pro-active investment in preventive interventions, surveillance in environmental and animal systems and integrated response across all sectors.**
2. **One Health has a high potential to sustainably improve GHS for all by first prioritising national and local capacity building across One Health sectors and disciplines. This horizontal approach should first focus on endemic One Health issues across the ecosystem including those with implications for food security, local community health needs and hazards where the evidence base is most strongly established before considering emergent risks of more global concern.**
3. **There is still a daunting gap to fully operationalize One Health for optimal GHS. As the evidence for its effectiveness broadens, current and future OH approaches should more fully integrate environmental, wildlife and wildlife farming issues across the (SES) to better address contemporary challenges like pandemic threats.**
4. **Many national governments have started operationalizing One Health in their governance and programmes, which are increasingly reflected in reporting to the International Health Regulations (IHR 2005). The IHR, although not explicitly mentioning OH, have been an effective catalyst to embed cross sectoral, whole system approaches to public health emergency prevention, preparedness and response but an evidence-led acceleration of implementation and expansion across a wider spectrum of SES hazards is now needed.**
5. **The international organizations World Health Organization (WHO), World Organization for Animal Health (OIE) and the Food and Agricultural Organization of the United Nations (FAO) spearhead One Health technical cooperation at the global level. The addition of United Nations Environmental Program (UNEP) to that collaboration represents an opportunity to more holistically provide technical support to national governments in building their One Health related health security capacities. In 2021 a global One Health High Level Expert Panel (OHLEP) came into operation.**
6. **Further primary research and systematic reviews are needed to evaluate the effectiveness of One Health approaches for specific hazards categories across the SES. These should include analyses on cost effectiveness, comparisons of uni-sectoral versus multisectoral approaches and include relevant outcome measures relating to animal and environmental health, in addition to the primary concerns around human health security.**

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84 INTRODUCTION

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86 Human development, expansion of domestic animal populations and transformed landscapes
87 engineered for human populations are having profound effects on the evolution and epidemiology of
88 infectious and non-communicable diseases of all species. Intimate and rapid global interconnections
89 mean that uncontrolled infectious diseases in one part of the world threaten plant, animal (wildlife and
90 domestic) and public health everywhere. Whilst technological advances are making public health
91 services better equipped for detecting, preventing and controlling new infectious diseases and other
92 health hazards, as the current COVID-19 pandemic highlights, major gaps exist in conversion of these
93 advances into effective actions and policies at the animal-human-environment interface¹. National
94 institutions addressing these challenges worldwide are most often not able to adequately address the
95 myriad array of interconnected risks. There have been numerous human-animal-environmental health
96 approaches to improving global health security (GHS) across a range of health hazards. The ongoing
97 COVID-19 pandemic vividly illustrates, that the emergence of a lethal pathogen of probable animal
98 origin in one part of the world affects public health and almost every sector everywhere.

99 The extraordinary World Health Assembly in 2001 decided that WHO will work with its member
100 states to towards preparedness and response to pandemics. The Food and Agriculture Organization
101 (FAO), World Health Organization (WHO) and the World Organisation for Animal Health (OIE),
102 support countries to implement international standards and frameworks, such as the International
103 Health Regulations (IHR, 2005), the Terrestrial and Aquatic Codes and Manuals² and the Codex
104 Alimentarius (food safety law)³. The revised IHR came into force in June 2007 and required all
105 countries to develop core capacities for preventing, detecting and responding to public health
106 emergencies including for infectious agents that can impact the public health of people across
107 countries and adversely affect travel and trade. The IHR promoted building robust public health and
108 animal health systems based on good governance and implementation of internationally accepted
109 standards.

110 In 2010, a Tripartite concept note between WHO, OIE and FAO recognised a shared responsibility in
111 addressing health risks at the human-animal (wildlife and domestic)-environment interface, with avian
112 influenza, rabies and antimicrobial resistance (AMR) as priorities. The shared views of these
113 international organizations contributed strongly to mainstreaming integrative approaches like One
114 Health (OH) (**Box 1**) that contribute towards GHS, taking advantage of the legal mandate of the IHR
115 (2005) as a driving force^{4,5}. To support countries in developing regulations, assessing their capacities
116 to prevent, detect and rapidly respond to public health risks, WHO developed the IHR Monitoring and
117 Evaluation Framework (IHR MEF)⁶, which includes inter alia the i) State parties reporting tool for the
118 mandatory annual reporting of level of compliance to the IHR, and ii) the Joint External Evaluation
119 (JEE) for voluntary reviews with peers. The OIE developed the Performance of Veterinary Services
120 (PVS) monitoring and evaluation framework. However, the IHR and PVS mechanisms were not

121 sufficiently operational to respond in an internationally coordinated way and adequately to
122 the COVID-19 pandemic. The ongoing COVID-19 pandemic is thus an extraordinary reality check for
123 GHS and calls for a review of the effectiveness of these instruments and other tools for assessing
124 national capacities as well as challenging the assumptions around the operational value of integrated
125 approaches like One Health.⁷

126 In this article, we review the contributions of human-animal-environmental (ONE-HEALTH [OH])
127 approaches to improving GHS across a range of health hazards. We summarise contemporary
128 evidence assessing the incremental benefits of an OH approach and how this evidence is reflected in
129 reporting to FAO, OIE and WHO. We identify gaps which remain at the OH interface to rapidly
130 detect and respond to the risk of widespread community transmission of new and re-emerging
131 infections and other health hazards. Through examples from the field we build the case for One
132 Health Operationalisation (OHO) and strengthened multi-sectoral coordination mechanisms. As the
133 IHR adopts an all-hazards approach to GHS, our paper reviews the literature to determine which of
134 the WHO's priority threats to global health⁸ would benefit from an OH approach using the
135 classification of hazards outlined in the WHO Health Emergency and Disaster Risk Management
136 Framework⁹. We performed an analysis of the contributions of OH approaches to GHS using a variety
137 of methods detailed in online supplement 1 (S1).

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139 **Historical aspects of OH (723 words)**

140 OH appeared for the first time in the medical literature in 2005 to emphasize its potential to strengthen
141 health systems¹⁰ by demonstrating value added from a closer cooperation between human and animal
142 health that could not be achieved by the disciplinary approaches alone¹¹. This point however revealed
143 the fragmentation of the health communities and differing agendas and much of the ensuing years
144 have been fraught with debate and discussion about what exactly OH is about. **Box 1** summarises
145 current OH theoretical foundations and applied methods for demonstrating the incremental benefits of
146 the approach are outlined in **Boxes 2 and 3**.¹² The first paper to use the term OH in 2005 stated, with
147 regard to avian influenza, that: "research for vaccines should urgently be complemented by
148 modifications to smallholder livestock systems and live-animal markets to prevent or reduce
149 interactions between [wildlife, wildlife farming] and [livestock], which might be reservoirs for future
150 human pandemics"¹⁰. "However, these implementations should be handled carefully to avoid
151 impending poverty...". This warning, published 15 years ago in *The Lancet*, sounds like a forecast in
152 the face of the current COVID-19 pandemic, but remained largely unheard with a limited global
153 response to preparedness. This may still be a narrow view on how these emergent pathogens are
154 established. Certainly it is not just the transmission and interface which matters but also the
155 socioecological and economic context in which these occurrences happen, enabling expansion and
156 establishment of pathogens across species, much of which happens in the domestic and peri-domestic
157 landscape^{13,14}.

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159 **Conceptual relationship of OH, EcoHealth and PH**

160 As such there remains an acute need for a proper framing of integrative concepts like OH, EcoHealth
161 or Planetary Health (PH) to promote a better integration across sectors¹⁵ including, importantly,
162 wildlife health which often necessitates being distinguished from animal health where the focus is
163 almost entirely on domestic animals, both legally, economically and practically¹². OH's conceptual
164 relationship to related ecosystem approaches to health (EcoHealth) and PH are explained in **Figure 1**.
165 In Figure 1, OH is in the first place at the intersection of human (red ellipse) and animal (currently
166 primarily domestic) health (green ellipse), aiming to demonstrate a benefit from a closer cooperation
167 of human and veterinary medicine. Clearly, there are large sections of separated human and animal
168 health not requiring an OH approach. Broader approaches, considering interactions of health and the
169 environment, within social-ecological systems (SES)¹⁷, black ellipse, incorporate OH. OH is thus
170 embedded within ecosystem approaches to health, for which a newer term "Health in Social-
171 Ecological Systems" (HSES) has been coined¹⁸. OH, by the definition of this paper, includes social
172 and environmental (ecological) factors, which are depicted by the yellow gradient circle, reaching
173 beyond the limits of public and (domestic) animal health.

174 Planetary Health (PH) sets the ambitious task of understanding the dynamic and systemic
175 relationships between global environmental changes and health including climate change,
176 transboundary air emissions, persistent organic pollutants and other changes²² (blue ellipse). PH
177 conceptual thinking aims to identify co-benefits across targets, but remains centred on human health
178 and does not explicitly include animal health^{20,22}.

179 Thus OH should be still in the centre of interest, building inter-sectoral cooperation from the inside
180 and gradually expanding it to more complex issues and health security hazards across the whole of the
181 SES, as the evidence base for its effectiveness matures^{16,23}.

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183 **Evidence for the benefit of OH**

184 While there is consensus that the OH approach is crucial for tackling challenging global health
185 security threats, it is not yet clear that evidence of its effectiveness has been reliably demonstrated.
186 OH characterises the logical view that by coordinating the people and systems working to improve the
187 health of humans, animals and the environment, any associated health threats can be identified as
188 early as possible. This results in reduction or even prevention of harm to health and fewer resources
189 required to deal with the long-term repercussions. There is evidence of benefits of OH across a range
190 of health hazards⁹ for health services, newly emerging and endemic zoonoses control in the domestic
191 animal environment, food safety and food/nutrition security, integrated disease and antimicrobial
192 resistance (AMR) surveillance-response systems, water security and sanitation, infrastructure sharing

193 and communication²¹. For example, joint human and animal routine vaccination services for mobile
194 pastoralists in Chad provide access to health care for populations which would otherwise be excluded
195 and save financial resources by sharing cold chain and transport²⁴. Mass vaccination of livestock
196 against brucellosis in Mongolia is not cost effective for public health alone, but when benefits for
197 livestock production and nutrition security are also included it is financially three times more
198 profitable²⁵ (Formula 3, Box 2). Combining dog vaccination with human post-exposure prophylaxis in
199 an African city is less costly than human post-exposure prophylaxis alone after ten years^{26,27} and may
200 lead to the elimination of rabies (Formula 4, Box 2).

201 The Institute of Medicine (IOM) in 2009²⁸, and later the World Bank, conceptualized integrated
202 surveillance response in a visionary way, as a time sequence of detection in the environment, wildlife,
203 domestic animals and humans (**Figure 2a**)²⁹. The model shows ever increasing costs the later a new
204 emerging pathogen is detected^{21,30}. The current COVID-19 pandemic could not be a better example of
205 the urgent need for the kinds of integrated environment-entomological-wildlife-domestic animal-
206 human surveillance and response systems that the World Bank proposes, and the catastrophic socio-
207 economic consequences of failure to implement such systems. There are several examples of the
208 potential benefit of more targeted surveillance of vector borne zoonoses. The integrated surveillance
209 and response of West Nile Virus in mosquitos, wild birds, horses and humans in Emilia Romagna
210 region (Italy), saved more than one million Euros between 2009-2015 compared to separate human
211 and animal surveillance³¹. Wielinga et al. similarly argue that inter-sectoral surveillance has had a
212 significant impact on reducing human salmonellosis through lowering *Salmonella* prevalence in
213 animals³² citing research which described how disease control was achieved in Denmark through
214 integration of control measures in farms and food processing plants, saving 25.5 million USD³³.

215 The Canadian Integrated Program for Antimicrobial Resistance Surveillance (CIPARS) saves
216 financial and infrastructural resources and reduces time to detection of newly emerging AMR^{18,34}. The
217 CIPARS was able to demonstrate the impact of regulating antimicrobial use on the number of
218 resistant salmonella isolates identified in humans and chickens³⁵. A decrease in the number of
219 *Salmonella heidelberg* isolates coincided with the introduction of a voluntary ban on the use of
220 ceftiofur in Quebec, with a subsequent increase when the antibiotic was partially returned to use.
221 Without such integrated surveillance systems, it would not have been possible to determine the impact
222 and cost effectiveness of interventions designed to reduce AMR in human and livestock populations.

223 The World Bank estimates a saving of 26% of the operations cost of the Canadian Science Centre in
224 Winnipeg, which hosts laboratories for human and animal highly contagious diseases under one roof,
225 when compared to running two separate laboratories for human and animal diseases³⁶. The outbreak
226 of Q-fever in the Netherlands (2007-2009) with several thousand human cases could probably have
227 been largely avoided if the veterinary and public health authorities had maintained continuous
228 communication³⁷ (**Figure 2a**), or if joint human and animal studies had been done, as they were in the

229 case of brucellosis in Kyrgyzstan³⁸ (**Box 2**). These examples demonstrate that where capacity exists in
230 both animal (domestic) and human health to address these issues, progress is made. The under-
231 resourced wildlife environment interface remains a major challenge to applied One Health
232 approaches.

233 As food safety and nutrition security cuts across human, animal and environmental concerns, OH is
234 similarly considered key to multi-sector coordinated progress³⁹. The limited research in this area
235 reinforces the importance of coordinated responses but only seldom supports the benefit of OH with
236 consistent evidence of effectiveness, whether in terms of directly attributable improvement to health
237 outcomes or financial savings. Meanwhile the burden of food borne disease (FBD) is well established:
238 according to the WHO Foodborne Disease Burden Epidemiology Reference Group (FERG), 31
239 foodborne hazards were estimated to have caused more than 600 million illness cases and 420,000
240 deaths globally in 2010.^{40,41} The World Bank describes an example of ‘applying One Health’ to FBD
241 in the European Union’s coordination of control programs for salmonellosis. The evidence provided is
242 a reduction in reports of human salmonellosis cases from over 200,000 before 2004 in 14 member
243 states to under 90,000 cases in 2014. Integration is described as the involvement of member states and
244 four major institutions (the European Commission, the European Parliament, the European Food
245 Safety Authority, and the European Centre for Disease Prevention and Control), while methods
246 highlighted as key to success range from target reductions to salmonella in livestock to the imposition
247 of trade restrictions⁴².

248 The direct impact of funding provided to integrated systems was assessed by the World Bank using
249 data from FERG. This compared the ‘adequacy’ of operational funding for veterinary services, based
250 on OIE Performance of Veterinary Services Pathway (PVS) reports, and found that the burden of
251 foodborne disease caused by Animal Source Foods (ASF) was lower in sub-Saharan African countries
252 with adequate funding, with 208 disability adjusted life years (DALYs) per 100,000 population vs.
253 569 DALYs per 100,000 population in countries with inadequate funding⁴².

254 In the same report, the World Bank identified only seven countries from low or lower-middle income
255 countries with adequate operational funding for their veterinary services (based on PVS reports). The
256 burden of FBD in these countries was 192 DALYs per 100,000 people, compared to 407 per 100,000
257 in the 48 other low and lower-middle income countries observed⁴². These findings were translated
258 into productivity losses of approximately 95 billion USD (based on their assessment of 2016 income
259 data) due to illness, disability, and premature deaths related to unsafe food⁴². Despite these published
260 examples emphasising improvements to food safety/security as a result of an applied OH approach,
261 the evidence, or lack of evidence, does not allow improvements to be directly attributed to any
262 particular measure. This is unsurprising given the multi-sector, systems-based nature of OH which
263 cannot be studied in isolation and therefore cannot easily adjust for the impact of confounding factors.

264 Emerging evidence from sewage analysis in the UK and elsewhere suggests that a One Health
265 approach to COVID-19 transmission risk at the human/environmental interface could inform both
266 case detection efforts as well as measures to prevent potential transmission via wastewater⁴³. Given
267 suggestions that the COVID-19 pandemic will result in annual UK borrowing this year at five times
268 the amount borrowed in the previous financial year⁴⁴, One Health measures which work to identify
269 and control potential sources of infection would prove to be cost-effective.

270 These examples across the spectrum of disease control from prevention to preparedness, detection and
271 response clearly show the benefits of OH approaches across a range of health hazards. In order for
272 such benefits to be maximised and extended, we need a better and more sustained OHO. The United
273 Nations Environment Program (UNEP) recently joined the Tripartite to address the wildlife
274 environment interface, which is a strong signal for a stronger integration of the environmental
275 dimension of health. This opportunity to integrate the environmental sector more fully opens up an
276 exciting new array of potential partnerships and interventions to improve GHS. For example, the
277 piloting and scaling up of biological control programmes for emerging and endemic infectious
278 diseases has the potential to add new tools to the GHS armoury^{45,46}. Already in use widely to support
279 vector borne disease control in malaria programmes, the use of biological controls can be further
280 expanded to help control endemic neglected diseases such as schistosomiasis, through the introduction
281 of cercariae devouring river prawn species⁴⁷, to the use of larvivorous fish species and predatory
282 copepods to reduce and prevent dengue transmission as demonstrated successfully in Vietnam⁴⁸. Here
283 in particular, OH approaches across the SES are necessary to test these types of interventions and help
284 describe the complex interplay between host-pathogen -vector-natural predator and their impact on
285 other species within the ecosystem. Environmental science can also help support the control of
286 invasive plant species such as mesquite (*Prosopis juliflora*), which are implicated in maintaining
287 mosquito populations in the dry season⁴⁹ and driving malaria, rift valley fever and dengue
288 transmission, while also taking over vast areas of grazing and farmland, outcompeting native
289 vegetation preferred by livestock resulting in large numbers of poisoned cattle and goats, and
290 ultimately depleting water sources⁵⁰. Ironically, the plant was introduced for supporting livestock
291 agriculture by international development agencies, with the particular focus on forage for small
292 ruminants. This produced sectoral benefits but without consideration of the wider ecological impacts
293 – underscoring the need for wider environmental expertise when testing interventions. With COVID-
294 19 highlighting the intimate links between populations density, urban health and pandemic spread, air
295 quality management for the control of respiratory illness and co-morbid conditions has become a
296 priority for policy makers⁵¹. Here too, environmental science along with urban planners can play an
297 important role in advancing a OH approach with the introduction of plant and tree species that
298 specifically reduce air pollution⁵². This way, strategies and plans can be aligned, for example,
299 towards a global solidarity for the control of zoonoses and other diseases across the human-animal-
300 environment interface (**Figure 2b**), analogous to the Global Fund to Fight AIDS, Tuberculosis and

301 Malaria⁵. There is no reason why the Global Fund should only concentrate on three most killing
302 diseases. A global consensus to add other diseases of global public interest like selected zoonoses has
303 been proposed already in 2007⁵³. The current Covid-19 pandemic has shown that global solidarity for
304 disease control is feasible and requires pragmatic institutional arrangements at international and
305 national levels to handle future pandemic risks effectively.

306

307 **Relevance of OH for IHR (2005) and OIE PVS**

308 Our analyses, based on methods detailed in S1, of WHO IHR MEF and OIE PVS reports show: 1)
309 further appropriation of the use of the term OH in the global evaluation tools and reporting in relation
310 to IHR and PVS, which can be linked to 2) an increased awareness of the relevance of OH for global
311 health security and the use of this terminology or its essence in the language of national leaders and
312 politicians, 3) that despite the progress made in integrating OH for GHS, the IHR MEF would benefit
313 from a separate category in which the operationalisation of OH is systematically evaluated, 4) a
314 certain vagueness of the commonly used definition that allows for mobilising global and local
315 stakeholders from different sectors, but may render the evaluation of its operationalisation more
316 challenging. This is particularly relevant in the definitions of Animal Health which currently in
317 practice excludes non-domestic animals to a large degree.

318 In the implementation of the IHR MEF, WHO puts forward their collaboration with FAO and OIE in
319 order to support bridging the human-animal interface for the implementation of the IHR for global
320 health security. Tools such as the IHR-PVS National Bridging Workshop have been developed in
321 order to support this joint review⁵⁴.

322 Many of the WHO members state identified gaps with regard to their OHO, also with reference to the
323 recommendations by the team of experts in the JEE reports. The narratives of some of the countries
324 point to their limitations in their current *ad hoc* collaborations based on emergencies or their focus on
325 multi-sectoral approaches with regard to a particular disease. These are aspects that WHO describes as
326 “vertical” approaches, and the aim would be to achieve more “horizontal” and sustainable solutions⁵⁵
327 for disease surveillance and global health security. In order to make progress within the policy cycle,
328 partnership between public institutions and a myriad of private sector actors is required, to establish
329 robust health systems which meet the needs of society. For example, the integration of emerging
330 infections and health impact assessment into the environmental impact assessment process for large
331 scale industrial and land transformation projects could be one area where public-private sector
332 collaboration could be key in mitigating the risk of emerging infectious diseases while also helping
333 companies manage their business continuity risk. Struggles to provide (human) resources for
334 establishing sustainable mechanisms for multi-sectoral collaboration were mentioned at several stages
335 in the available reports, while external long-term funding enabled particularly successful foundation

336 for some of the national OH mechanisms mentioned in the reports (see, for example, the case study on
337 Côte d'Ivoire in S2).

338 While OH in forms of multi-sectoral collaboration or external coordination found its way into the
339 discourse of the policy documents evaluating countries' IHR implementation, our analysis also
340 reveals some vagueness in the definition of the term OH. As mentioned earlier, such a "productive
341 vagueness" is not necessarily considered as a disadvantage as it may facilitate communication among
342 different social contexts^{56,57}. At the same time, however, it may prevent active engagement if global as
343 well as local actors interpret their existing activities as already within the scope of OH. One Health, in
344 this capacity may also be described as a "soft global health governance"⁵⁸, dependent on peer
345 influence of global and local actors rather than the pressure of law⁵⁹. Governance issues are discussed
346 in greater detail in paper four of this series.

347 Multisectoralism is highly promoted and clearly advocated in the JEE tool and the voluntary request
348 by countries may already reveal a certain commitment to OH, transparency, multisectoral engagement
349 and responsibility to take a systems approach to building the core capacities required under IHR
350 (2005). The available data from the JEE reports therefore also have to be read in this light, and it is
351 noticeable that a high proportion of completed JEE missions have been conducted in African
352 countries (total number 44), revealing particular priorities and aspects linked to donor funding of such
353 missions. In addition, it is important to take into account the different methodologies and the variable
354 quality control that is inherent to the different reporting tools.

355 The JEE could be advantageously complemented with a tool rating the level of a country's OHO, such
356 as network for evaluation of OH (NEOH), keeping in mind that other tools such as the IHR-PVS
357 National Bridging Workshops (NBW) can complement by helping countries developing concrete
358 roadmaps to improve performance at the human-animal interface⁴. An additional category in the
359 SPAR reporting could be advantageous as this compulsory evaluation is performed annually by all
360 member states and could therefore provide a global overview of countries' self-assessments of their
361 OH-systems and capacity on a regular basis.

362 The newest development of the current COVID-19 pandemic shows that a global technical (WHO-
363 FAO-OIE-UNEP) and political coordination (United Nations) of pandemics is crucial, especially
364 when taking into account the current global context with multiple actors and interests involved on
365 different scales (**Box 4**).

366 Certainly, the JEE and the other elements of the IHR MEF, along with other existing tools such as the
367 Global Health Security Index, require improvements to adequately assess country preparedness and
368 response capacity to all public health hazards – by adoption of a broader vision of OH more in
369 keeping with a holistic HSES framework. As such, the IHR MEF will likely need to be revisited if
370 OH is to be firmly embedded in the future and the gaps in the all-hazard approach can be closed as far
371 as possible.

372

373 **DISCUSSION**

374 **Evidence of OH for Global Health Security**

375 Considering the above examples of the benefit of OH and the analysis of the relevance of OH for IHR
376 (2005) we can summarize the evidence that OH approaches work for tackling GHS risks and hazards
377 as follows (Table 1): For emerging infections and novel pathogens there are OH institutional
378 (governance) arrangements and engagements, but only episodic effective integrated wildlife-domestic
379 animal- human surveillance and response programs^{31,60}. There is an appalling weakness and much
380 need for improvement of OHO, as shown in the current COVID-19 pandemic. Most of the current
381 research reactively focuses on vaccines and drugs with very little on how to prevent future pandemics.
382 A One Health approach proposing integrated wildlife-domestic animal-human disease surveillance-
383 response systems combined with a better biosecurity and animal welfare at the animal-human
384 interfaces has a realistic potential to contribute to future pandemic prevention²⁹. For AMR there are
385 important institutional efforts and engagement and more and more nations implement integrated AMR
386 surveillance programs analogous to the Canadian CIPARS. One Health oriented AMR control
387 programmes have certainly benefitted from greatly increased levels of funding despite the evidence
388 base for these approaches being relatively weak⁶¹. For endemic infections and Neglected Tropical
389 Diseases (NTD), there is a strong evidence base for OHO, including control programs and proof of
390 economic benefits. Institutions and engagement are well established, but still require a stronger
391 political will for example for rabies⁶² or brucellosis elimination⁶³. OHO for food safety and nutrition
392 security, institutions and engagement are well established. Surprisingly there is little formal analysis
393 of incremental economic benefits of OHO for food safety and nutrition security, requiring more
394 research. There is a clear shortfall of evidence of OHO for extreme weather, water security and
395 environmental degradation despite the wide array of expertise, experience and insight the
396 environmental sciences have to offer. The recent joining of United Nations Environment Program
397 (UNEP) of the Tripartite FAO/WHO/OIE, becoming a quadripartite engagement is a most welcome
398 extension towards environmental and ecological sectors and actors. The same applies also for the
399 prevention of emerging infections and novel pathogens (see below). Across all the hazard groups, the
400 evidence base was most strongly established for prevention and preparedness interventions using a
401 One Health approach versus those relating specifically to response. **Table 1**, summarises the strength
402 of the current evidence base of applied One Health approaches across a range of health security
403 hazards based on the reviewed literature and JEE/PVS reports analysed in this paper.

404

405

406 **Outlook on future OHO**

407 The conclusions of the current state of OHO are mixed. Although excellent in themselves, institutions,
408 laws and capacities even if intending to do otherwise, globally fail to integrate environmental risk
409 factors of all types and or consider the role of the natural systems (wildlife) in both preventing and
410 promoting microbial evolution and pathogen emergence. For further institutional and legal aspects of
411 OH, we refer to paper 4 of this Lancet series (add Reference to paper 4). There are significant efforts
412 to operationalize OH by many countries, as the case studies suggest (S2) however, there is still a long
413 way to go towards mainstreaming of OHO⁶⁴ with sustainable (programmed) budgetary implications to
414 make it effective in the immediate and long term. This is of concern in the face of the current COVID-
415 19 pandemic, which outweighs by a factor of several tens of thousands the cost of the preventive
416 effect of effective OHO. To demonstrate this conceptually, we use the World Bank³⁰ framework of
417 **Figure 2a** as a starting point. We modified it to include environmental risk²¹ as a vision for OH in
418 Global Health Security (**Figure 2a-c**) and its longer term effects (DALYs) to society and households.
419 In essence, the figure shows how the cumulative societal cost increases from earliest detection of
420 emerging pathogens of zoonotic origin from both wildlife and domestic animals until it reaches
421 human populations. The earlier a novel pathogen, food security risk or other SES-relevant hazard (e.g.
422 impending drought/natural hazard) can be detected (reduced time to detection) and the faster
423 information is communicated between animal and human health sectors, the earlier an effective
424 response, preventing exposure and reducing risk of transmission, can be organized and the lesser are
425 the cumulative societal costs of the outbreak or emergency (**Figure 2b-c**). **Figure 2c** would be the
426 final desirable expected stage of global health security through an OH approach. Despite existing
427 environmental threats and some animal exposure, fewer human cases would be observed and cost
428 could be kept at a minimum⁶⁵. This is in keeping with our analysis of hazards across the GHS
429 spectrum (Table 1) which indicate that the evidence base favours shifting the paradigm of disease
430 control upstream from the current focus on detection and response in humans, to prevention and
431 preparedness across the SES. This is the avenue where global OHO can lead in the prevention of
432 future pandemics and other health emergencies²⁹.

433 This “early detection-early response (EDER)” framework can be used as a backbone for the OHO
434 within the IHR (2005) and can be evaluated by the four instruments of a revised IHR MEF.

435 Within GHS, not all global health threats^{8,66} can be analysed by this EDER framework alone when
436 grouped into hazard categories. Some of these hazards and risks are more amenable or relevant to
437 being addressed through an OH approach than others and any linked investment should be based on
438 evidence of effectiveness. Advancing OHO would also require the use of different methodological
439 approaches in specific Animal-Human Interfaces (AHI)^{11,67}. AHI can use linear³⁸ or non-linear
440 models^{26,68} and different types of cross-sector economic analyses^{25,69}. Case examples like the above
441 mentioned West Nile Virus Surveillance in Italy, can be generalized, paving the way to OH
442 economics of integrated disease surveillance-response systems^{31,60}. Novel evaluation frameworks^{23,70},
443 like the Network for Evaluation of One Health (NEOH)⁷¹, will need to be included and tested for

444 complementary usefulness to the IHR MEF. The effective implementation of multisectoral OH
445 approach as part of the core indicators of the IHRMEF, the four C's Communication, Coordination,
446 Collaboration and Capacity building proposed by the One Health High Level Expert Panel
447 (OHHLEP)⁷², functional regional platforms, multi-hazard national public health preparedness,
448 epidemiology training programs and disease specific targets could be assessed as a proxy for the
449 current status of national OHO coordination^{73, 65} Where proxy indicators are lacking for more holistic
450 OH-based assessments of the health of the whole SES, these should be developed, and agreed to
451 ensure that the IHR and other GHS initiatives are truly all-hazards in their approach. These
452 considerations around improved monitoring and indicators are further explored in paper three of this
453 Lancet series.

454

455 **Towards policies and implementation of OHO**

456 OHO at the national level requires regulations for the prevention, preparedness and response to
457 epidemics and other health emergencies and hazards that are written into environmental standards and
458 public health, animal (domestic and wild) health law⁷⁴. This includes the preparation for an early
459 response to crises through mechanisms that engage all relevant government institutions (whole-of-
460 government emergency management), as well as private sector and civil society organizations. OH and
461 its operationalisation should be specifically defined and expanded based on available scientific
462 evidence. A clear purpose of OHO should be expressed with regard to its relationship towards ministries
463 and government. The legal basis of OHO tasks should be specified with regard to community
464 participation, technical support, multi-sectoral coordination, communication, and scientific exchange.
465 The composition of organisational structures for OHO surely includes representatives of community
466 organisations, public (IHR National Focal Points) and animal (domestic and wild) health, environment
467 (e.g. UNEP National Focal Points), industry, city and town planning (e.g. UN HABITAT, UNIDO
468 National Focal Points), agriculture, nutrition and defence at national and provincial level. The
469 involvement of non-governmental organisation, educators and academia (which are often drivers of OH
470 approaches) and the private sector should be specified. The organisation and leadership, for example,
471 in rotation between sectors, should be clarified. Schedules of meetings and standing committees and
472 taskforces are needed. Procedures for coordination, joint prioritization and agenda setting, decision
473 making, implementation and evaluation / feedback are required. Communication and information
474 channels should be clarified between sectors.

475 Most importantly the funding of OHO has to be negotiated between the different government sectors,
476 along with the potential of cost sharing²⁵. Both donor and national OHO funding should be focused
477 sustainably on those hazards where clear benefits of OH approaches have been demonstrated, and
478 which are initially framed around local and endemic hazards where the evidence base on effectiveness
479 is most firmly established and where the various sectoral interests are equitably met. It should also
480 provide necessary flexibility to address a wider scope where it can be of practical value.

481 This horizontal approach to OHO at the national and sub-national level is essential for implementation
482 of GHS on the ground. This should be reflected by increasingly harmonised and further developed
483 reporting mechanisms on OHO implementation within the IHR (2005) and PVS Pathways (**Figure 2c**)
484 and more comprehensive surveillance and monitoring using indicators of relevance across the
485 spectrum of hazards in the SES, combining for example surveillance data on West Nile Virus in
486 mosquitoes, wild birds, horses and humans³¹. The COVID-19 outbreak clearly shows that besides a
487 global technical leadership, political coordination mechanisms are needed to achieve GHS at national
488 and international levels.

489 **CONCLUSIONS**

491 OH approaches show quantitative incremental benefits for health services and infrastructure,
492 surveillance-response systems, AMR, food safety and nutrition security, environmental sanitation and
493 zoonoses control for GHS, but gaps in the realisation of OH to covers all species of interest remain.
494 The evidence base is generally strongest for those OH interventions focused on prevention and
495 preparedness across the spectrum of GHS hazards. In order for such benefits to be maximised and
496 extended for GHS, a wider, global operationalisation of OH is needed, which must be budgeted in
497 multiannual national plans and include a larger allocation of resource towards prevention and
498 preparedness in complement to response. The existing tools of IHR and PVS reporting are working in
499 principle, but they remain insufficient, as the current COVID-19 pandemic shows, and should be
500 further developed to be more effective in future GHS incidents. Specific OH categories in the IHR
501 MEF should contribute to increased fostering of OHO. Certain vagueness of commonly used
502 definitions across the spectrum of hazards and risks, such as zoonoses, require further efforts to better
503 frame integrative health concepts and promote understanding across sectors. The Tripartite
504 international organizations FAO, OIE and WHO play a pivotal role for the expansion, implementation
505 and guidance of OHO at the international and regional level and can encourage and support
506 implementation at national and local levels, although this is ultimately the responsibility of national
507 governments. Further research is needed to demonstrate financial savings associated with OHO
508 similar to the examples mentioned in this paper (S2) and systematic evidence reviews are required of
509 the effectiveness of OH approaches within specific GHS hazard groups. The recent inclusion of
510 UNEP to the Tripartite and the establishment of a One Health high level expert panel⁷⁵ is most
511 welcome and would further benefit from the contributions of other institutions such as UN
512 HABITAT, UNIDO to broaden the understanding of ecosystem health and ecosystem services,
513 industrial, rural and urban development and their impact on human and animal agriculture, wellbeing,
514 and welfare. OH has a high potential to sustainably improve GHS for all by first prioritising national
515 capacity building and focusing on local community health needs and hazards before considering those
516 risks of more global concern.

517

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519 OD, DH, RK and AZ ideated the Lancet Theme Series on ONE-HEALTH and GLOBAL HEALTH
520 SECURITY and developed the outline articles and selected lead authors. JZ developed the first and
521 subsequent drafts and led the writing of this article. AKG, BB, ED, FC, HB, JH, JL, KHT, LC, OD,
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523 contributed to the data collection and analysis; AKG, DM, ED, JZ, KHT, OD contributed to the cases
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525 LC, OD, SdR, VRV, AZ, RK, DH contributed to the article revision and pre-final editing.

526
527 **Declaration of interests**

528 All authors have an interest in ONE-HEALTH. All authors declare no conflicts of interest. The views
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530
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772 **LEGENDS**

773 **Legends to figures:**

774 **Figure 1:** Venn diagram Boolean conceptual relationships of OH, EcoHealth, Health in Social-
775 Ecological Systems and Planetary health.

776 **Figure 2:** Vision of One Health governance (OHG) in Global Health Security:

777 **2a)** Status quo with very limited collaboration between animal and public health and separated
778 surveillance and response systems.

779 **2b)** OHG supported closer collaboration between animal and public health; onset of integrated human-
780 animal-environment surveillance and response systems

781 **2c)** Full One Health status with closest possible collaboration between animal and public health and
782 integrated human -animal-environment surveillance and response systems.

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784 **Legends to boxes**

785 Box 1. OH background and contemporary theory

786 Box 2: Quantitative OH methods

787 Box 3: Qualitative OH methods

788 Box 4: COVID-19 and OHO

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790 **Legend to table:**

791 Table 1, Summary of the evidence that One Health approaches work when tackling critical Global
792 Health Security risks and hazards

793

794 **WEB APPENDIX**

795 S1 Analysis method of One Health governance

796 S2 Case studies of One Health governance

797 ST1 Table One Health Governance appearing in JEE reports

798 ST2 Table One Health Governance appearing in PVS Pathway reports

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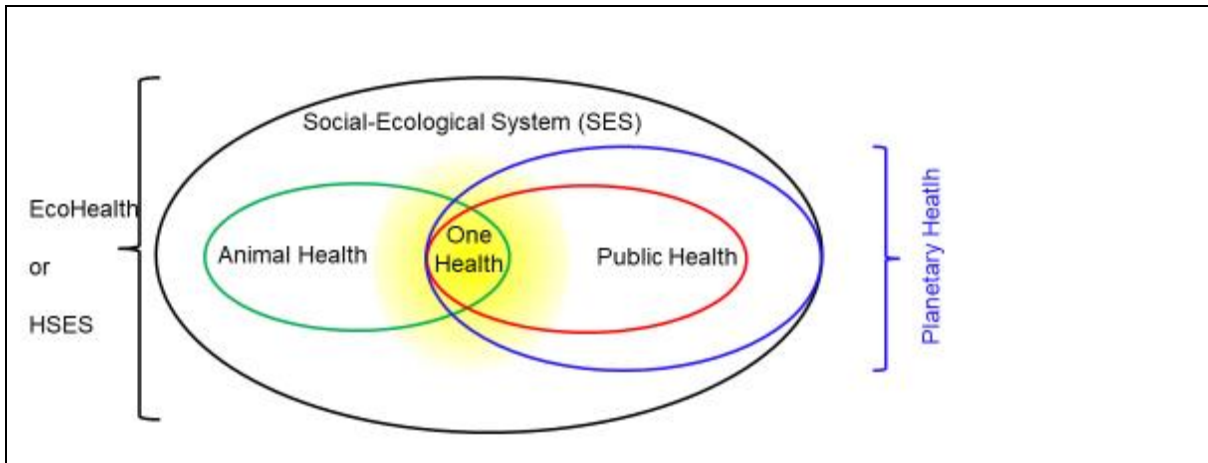
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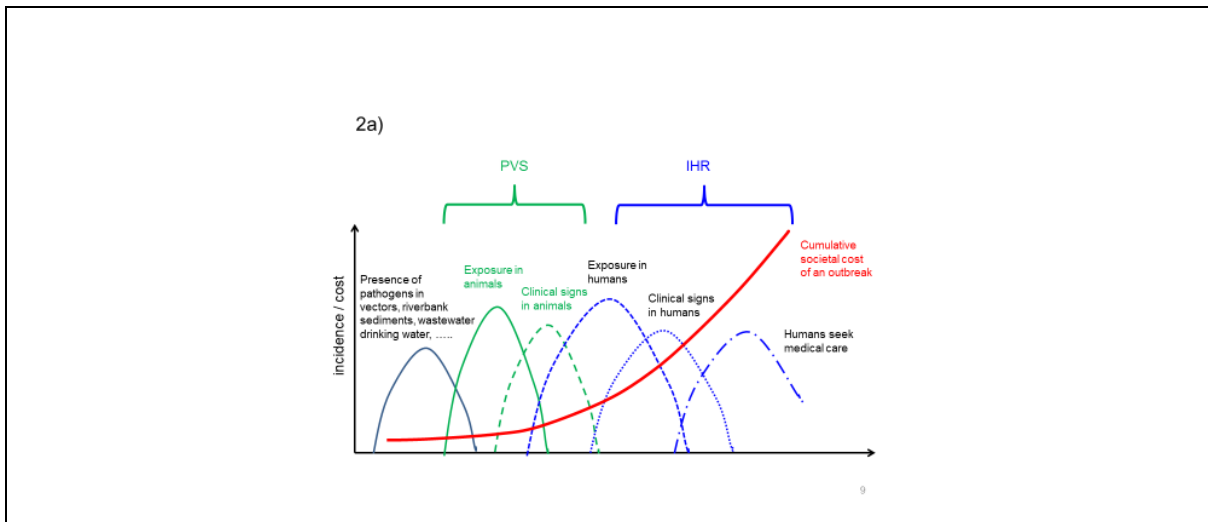
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806 **Ecological Systems and Planetary health.**

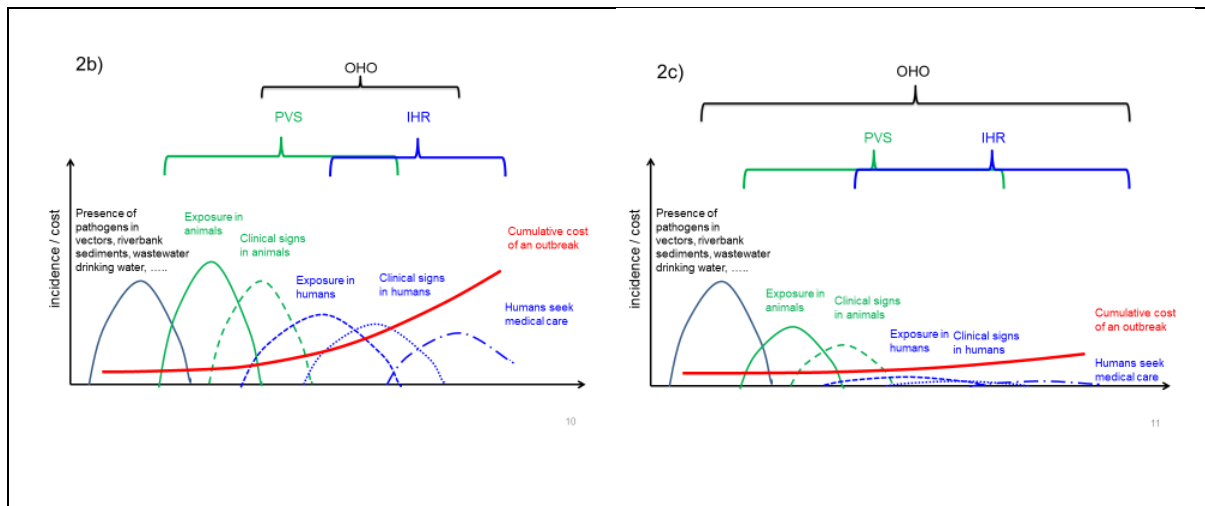


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814 **Figure 2: Vision of One Health governance (OHG) in Global Health Security:**

- 815 2a) Status quo with very limited collaboration between animal and public health and separated surveillance and response systems.
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 817 2b) OHG supported closer collaboration between animal and public health; onset of integrated human-animal-environment surveillance and response systems
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 819 2c) Full One Health status with closest possible collaboration between animal and public health and integrated human -
 820 animal-environment surveillance and response systems.
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Box 1. OH background and contemporary theory

In the 1960s, the veterinary epidemiologist Calvin Schwabe coined the term “One medicine” to focus attention on the commonality of human and animal health interests⁷⁶. Historically, such unifying views are much older⁷⁷. For example, institutional developments such as Veterinary Public Health emerged as a contribution of veterinary medicine to public health in the 1950s⁷⁸. More recently, growing interest in sustainable development has pointed towards the inextricable linkage of human, animal and ecosystem dimensions of health⁷⁹⁻⁸¹. In 2004, the Wildlife Conservation Society (WCS) coined the phrase “One World, One Health™” to underscore the importance of securing human and animal health, ecosystem integrity and the protection of conservation areas under the manifesto of the “Manhattan principles”⁸² which were renewed by the “Berlin principles on One Health” in 2019²⁰.

There has been a range of different adoptions of OH approaches. All of them incorporate human and animal health (although infrequently wildlife), and some also involve contributions from natural and social sciences and the humanities. At its best, OH as a societal problem solving approach, which engages with non-academic actors in the co-production of transformational knowledge for societal problem solving^{83,84}. Cooperating partners and stakeholders seek a benefit of working together. A *necessary but not sufficient requirement* for OH is to fully understand systemically, how humans and animals (wildlife and domestic) and their environment are interrelated over all time and space scales. While several definitions of OH have been proposed^{12,65}, we consider as a *sufficient requirement* for achieving OH to demonstrate benefits resulting from the crosstalk and closer cooperation between human and animal health (domestic and wild) and all related disciplines and stakeholders. This can be expressed as any *added value in terms of health of humans, wildlife, domestic animals and their ecosystems, financial savings, social resilience and environmental sustainability achievable by the*

*cooperation between individuals and institutions working in human and animal health and including other disciplines when compared to the two medicines and other disciplines working separately*¹¹.

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Box 2: Quantitative OH methods

Quantitative and qualitative OH methods

Demonstrating incremental benefits of OH requires an understanding of the human / animal health interface. Box 2 describes both linear and dynamic quantitative approaches that have been used to develop the evidence base and demonstrate these incremental benefits in terms of OH (Box 1).

Human health H and animal health A can be related as linear regression (Equation 1):

$$H_i = \alpha + \beta_k A_{jk} + e_{jk} \quad (1)$$

Whereby H_i is, for example, the brucellosis seroprevalence status of the i -th human community, related to the brucellosis seroprevalence status A_{jk} of the j -th animal of the k -th species in close spatio-temporal relationship, say a household or a village. The term α is the intercept and e_{jk} the residual in the notation of linear regression. In this way, we could show that human brucellosis seroprevalence in Kyrgyz villages most strongly depended on the brucellosis seroprevalence of sheep and not of goats or cattle in this setting, with behavioural risk factors captured in the residual³⁸. The relative importance of sheep for the transmission of brucellosis was confirmed by molecular typing of brucellosis strains⁸⁵. The method is interchangeable in that animal health can also be the dependent on a human health indicator.

For dynamic relationships like the transmission of directly transmitted zoonotic diseases (stage 2⁸⁶), the animal-human interface can be expressed as coupled differential equations in a simplified way, ignoring demographic processes, as Equation 2 for newly infected humans:

$$\frac{dI_h}{dt} = \beta I_a S_h \quad (2)$$

Whereby the instantaneous change of newly infected humans I_h is equal to an animal-human transmission constant β times the number of infectious animals I_a and the number of susceptible humans S_h . Such models allow assessing, for example, the effect of animal mass vaccination on the number of human exposures for brucellosis⁸⁷ or rabies⁸⁸. Such models can be expanded to meta-population or contact network models^{89,90}. Similarly, such models can also describe the dynamics of human to animal transmission in an interchangeable way.

Cross sector economic analyses show that Benefit-Cost Ratios (BCR) including benefits to humans and animal health are greater than BCR including human health benefits only (Formula 3)²⁵.

$$\frac{\text{Public health and animal benefits}}{\text{Intervention cost in livestock}} \gg \frac{\text{Public health benefits}}{\text{Intervention cost in livestock}} \quad (3)$$

Similarly, the Cost-Effectiveness (CE), expressed as cost per disability adjusted life year (DALY) averted, of interventions in animals and humans is higher (i.e. requires less cost per disability adjusted life year (DALY) averted) than the CE of interventions in humans only, if transmission between animals, and consequently transmission from animals to humans, can be interrupted.²⁵ In the case of directly transmitted stage 2 zoonoses, it can be shown that the societal cumulative cost of interventions in animals and humans are lower than interventions in humans only (Formula 4).

$$\text{Cumulative cost}_{(\text{animals and humans})} < \text{Cumulative cost}_{(\text{humans})} \quad (4)$$

This is because, in the case of directly transmitted zoonoses, interventions in animals interrupt transmission between animals and consequently from animals to humans, while interventions in humans alone do not interrupt transmission from the animal reservoir. This has been demonstrated for the example of rabies control by dog rabies mass vaccination in N'Djaména, Chad^{27,91}. Such analyses should be context specific to assure local validity. If cross-species transmission is rare, human health benefits may be too low to justify intervention costs in animals⁹².

The systemic understanding of human and animal health would benefit from expansions to include parameters of the ecosystems (EcoHealth)^{79,80} (**Figure 1**). Dynamic changes of human health, animal health and environmental determinants can again be expressed as coupled differential equations, as in Equation 5.

$$\frac{dI_h}{dt} = \beta I_a S_h + \gamma E S_h + \epsilon E S_a \quad (5)$$

Newly infected humans I_h depend on the transmission from infected animals I_a and exposure to the environment E (environment-human transmission constant γ) and indirectly from E and susceptible animals S_a (environment – animal transmission constant ϵ). Equation 5 is applicable for example to the transmission dynamics of human exposure to anthrax (*Bacillus anthracis*) from animals (food), water and other environmental sources. Expansions to ecological determinants are more complex and data variability increases. In a recent study on the dependence of human vitamin A status in pastoralists in Chad, we could demonstrate a link between human serum retinol status and

consumed milk, but not between cow milk retinol levels and the level of beta-carotene in the pasture grass⁹³. The variability of beta-carotene in the grass was too high to find a significant relationship with cow milk retinol levels. This example shows that ecological studies of human and animal health including environmental parameters have the potential for a broader understanding but are more difficult to prove due to the high variability of environmental factors.

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Box 3: Qualitative OH methods

There are other benefits from OH cooperation that can be difficult to quantify, such as improved insights into complex and context-specific systems, capacity development of institutions and practitioners, or better designed regulatory and non-regulatory interventions generating confidence and resulting social cohesion. By expanding the integration of health towards broad social-ecological issues like antimicrobial resistance or deforestation, complex interactions can become “wicked” and untractable. Rüegg et al. state: “There is a need to provide evidence on the added value of these integrated and transdisciplinary approaches to governments, researchers, funding bodies and stakeholders”^{16,23}. The network for evaluation of OH (NEOH) proposes a qualitative and semi-quantitative evaluation and knowledge framework addressing OH operations and infrastructure like Thinking, Planning, Working, Sharing, Learning and Systemic organization within a policy and intervention cycle¹⁶. This involves a number of components. A OH index is proposed as a spider diagram, whose surface can be calculated and expressed as the so called “One Healthness” of a program or health system. NEOH has further developed an OH knowledge integration approach to support international health governance⁷⁰ (see also below Relevance of OH for IHR). The OH index has been applied to West Nile virus surveillance in Italy⁶⁰. An OH policy cycle analysis allows the assessment of different stages of OH policy development and governance by reviewing systemic thinking and transdisciplinary processes developing target and transformation knowledge for policy development. This is the basis for OH agenda setting, policy formulation and decision making which leads to implementation and evaluation as an iterative process^{16,23,70}. It is postulated that a truly One Health integrative approach, not yet achieved in any health sector, will reduce the

risk of the global community suffering further pandemics and health crises that cripple the world's economies and cause hardship to rich and poor communities and considerable loss of life.

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866 **Box 4: COVID-19 and OHO**













The COVID-19 pandemic clearly shows that GHS cannot be disconnected from socio-economic wellbeing, whether poor or rich, and consequently public health and economic imperatives have to be balanced against the detrimental socioeconomic impact of pandemic prevention measures at local, national and global levels^{84,94}. Vulnerabilities to infectious disease emergence and pandemics like COVID-19 exist at all scales from local to global with implications for all sectors of business and society. There appears to be a paradox between health and wellbeing related development goals and a consumption driven economic model purporting to help achieve these through ever increasing intensification and efficiency of production. Ultimately, more research is needed on how we can adapt the largely consumption driven economy towards a more ecologically and socially sound economy, reducing the risk of new pandemics of zoonotic origin while maintaining essential livelihoods.⁸⁴

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870 **Table 1, Summary of the evidence that One Health approaches work when tackling critical**
 871 **Global Health Security risks and hazards (based on consensus view of the authors) .**
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		Strength of evidence				
		No evidence/little evidence	Limited	Medium	Strong	Very strong
Health security risks/ hazards	Emerging infections and novel pathogens including AMR			 		
	Endemic Infections and Neglected Tropical Diseases				 	
	Food safety and food/nutrition security					
	Extreme weather, water security and environmental degradation				 	

873 Colour coding for boxes in table:
 874 blue (prevention/preparedness measures) green (detection/surveillance measures) yellow (response/service delivery)

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905 **Advancing One Human-Environmental-Animal Health for Global Health Security: What**
906 **does the evidence say?**

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908 Zinsstag et al.
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910 **Online Supplements (S1-2)**

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912 **S1 METHODS, CASE STUDIES AND LITERATURE REVIEW**

913 We performed an analysis of the contributions of OH approaches to GHS by:

914 *First* analysing current OH theoretical foundations (**Box 1**) and methods (**Boxes 2 and 3**),
915 including their tentativeness¹ and their conceptual relationship to related ecosystem
916 approaches to health (EcoHealth) and planetary health (PH) (**Figure 1**).

917 *Second*, drawing on selected case studies, we present contemporary evidence on the
918 advantages of an OH approach in a few well known disease contexts and its capacity to at
919 least deliver added value in terms of human and animal health, financial savings and
920 sustained environmental services through a closer cooperation of human and animal health
921 and related sectors that could not be achieved from sectors working in isolation.

922 *Third*, analysing annual country self-reporting to the World Health Assembly via the States
923 Parties Self-Assessment Annual Reporting (SPAR)² on their implementation of the IHR
924 (2005) and voluntary JEE³ and on the PVS Pathway⁴ to the OIE. We identify and discuss the
925 gaps revealed in the current OH approach and assess the progress of more comprehensive
926 inter-sectoral OH approaches to build human capacity, bridges between actors/stakeholders
927 and robust adaptations of institutions at national and international levels to contribute in
928 future to an improved GHS. Through the IHR (2005), countries engaged in a mutual
929 commitment to develop national capacities to detect, assess, notify and report public health
930 events that could be of international concern. How countries monitor and control diseases
931 depends to a great extent on their capacity and cooperation between sectors⁵. Within these
932 policy cycles from recommendation and obligation to local implementation of OH, concepts
933 and operationalisation of OH move as ‘traveling blueprints’⁶, shaped by a range of actors
934 who “claim the right to manage interventions, monitor spending and determine
935 beneficiaries”^{7,8}. Against the background of these complex policy cycles in diverse political,
936 global and local contexts, our document analysis, based on a content analysis of the
937 reports⁹, takes into account the current reporting tools of WHO under the IHR MEF and the
938 PVS reports of the OIE, as well as the existing handbooks that facilitate the assessment of
939 the contribution of the veterinary sector in each of the indicators of the JEE and SPAR¹⁰
940 tools.

941 We also searched all published JEE reports [2016-2019] and a random selection of PVS
942 Pathway reports, available online or made accessible by OIE, for the term OH and analysed
943 the technical areas for which OH was considered relevant (Table ST2). In the annual SPAR
944 reports, we not only explored the use of the term OH but also analysed comments in relation
945 to the implementation of OH in the narrative sections of the reports. Apart from the content
946 analysis with regard to the use of the term OH, we furthermore took into consideration the
947 actors involved in the reporting as well as the social and political context, in which the
948 reports were produced¹¹. We provide a detailed description of our approach to the
949 document analysis in the online supplement (S1).

950 *Fourth*, we conducted five case-studies to provide a comprehensive picture about OHO and
951 its benefits, based on expert knowledge and information from institutions leading on OH
952 activities in Côte d'Ivoire, Kenya, Bangladesh, the United Kingdom and Switzerland. These
953 case studies are presented in the online supplement (S2). The analysis of the available
954 reports and case studies allowed us to assess institutional and operational? aspects in
955 relation to multisectoral collaboration: To what extent is OH reflected in the IHR MEF and
956 PVS reports? To what extent do the different reporting tools give information on how
957 countries implemented OH institutionally (with regard to learning, sharing and systemic
958 organisation) and operationally (with regard to OH planning, OH thinking, OH working) for
959 example by systemic multi-sector intervention planning, as put forward by the NEOH
960 evaluation framework (see Box 3: Qualitative OH methods)?¹² We finally attempted to
961 summarize the strength of the evidence of OH approaches for GHS by considering the
962 updated reference lists in the latest comprehensive OH textbook¹³ followed by two rounds of
963 adapted delphi consultations with authors to reach a consensus view on table 1.

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966 **Analysis method of One Health Operationalisation (OHO)**

967 To assess the relevance of One Health for IHR and GHSA, we conducted a document analysis
968 of three different reports, namely SPAR, JEE and PVS reports, that were identified as the
969 relevant documents by FAO, OIE and WHO experts. While the SPAR and JEE reporting tools
970 are part of the IHR Monitoring and Evaluation strategy of WHO, the PVS reports are part of
971 the OIE PVS Pathway. Our analysis was inspired by the semi-quantitative OH evaluation
972 framework by Rüegg et al. Based on NEOH, in their contribution Rüegg et al propose four
973 elements that need to be considered for an evaluation of One Health (1. definition of the OH
974 initiative and its context; 2. description of its theory of change with an assessment of expected
975 and unexpected outcomes; 3. process evaluation of operational and supporting infrastructures
976 (what can be called the "OH-ness"); 4. assessment of the association(s) between the process
977 evaluation and the outcomes produced).¹⁴ In line with element 3 of Rüegg et al's framework,
978 our analysis focused on multisectoral cooperations based on SPAR, JEE and PVS. In order
979 to be able to evaluate an added value, as brought forward in element 2 of the framework, as
980 well as to assess sustainability, we also conducted country case studies including OH
981 initiatives (such as for example, the HAIRS in UK). The case studies have been put together

982 as an additional methodological strategy to provide more contextual data and up-to-date
983 information unavailable in the mentioned reports. The purpose of the case studies is not to
984 describe the best existing One Health operationalisation models. Countries and initiatives
985 were selected based on authors' professional / research experience in One Health that
986 allowed to get access to additional information and insights.

987

988 In the following section we will describe the different reports (SPAR, JEE and PVS) and show
989 how we analysed them. Our method for the analysis of the reports comes close to what can
990 be described as a content analysis, where we basically counted the numbers of instances our
991 established categories were used in the reports (see table in S 2).⁹ Nevertheless, in a second
992 step it was also important for our analysis to keep in mind that documents “must be studied
993 as socially situated products”,¹⁵ hence it is important to consider the actors involved in its
994 compilation as well as the social context in which the documents were produced.

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996 The “State Parties Self-Assessment Annual Reporting Tool” (SPAR)¹⁶ is used in order to assist
997 state parties of the IHR to meet their duty to report on an annual basis to the World Health
998 Assembly on the progress of their IHR implementation.¹⁷ The SPAR reports are thus
999 mandatory annual self-assessments of WHO State Parties capacities required under the IHR
1000 (2005) and to enable reporting annually to the WHA under Art. 54. Member States have
1001 implemented this since 2008. SPAR reports are conducted by the member states themselves
1002 without the involvement of external experts, which is likely to lead to some bias.¹⁸ The most
1003 recent data available for this paper was from 2018, when 191 countries reported their
1004 implementation status; of which, 183 used the WHO SPAR questionnaire while 5 countries
1005 did not submit a report. We searched the SPAR for on the term One Health and found it
1006 exclusively mentioned in the capacity “C3 Zoonotic events and the human-animal interface”.
1007 In addition, the Tripartite Zoonoses Guide (TZG)¹⁹ also points to the indicator “C2.2 multi-
1008 sectoral IHR coordination mechanisms” as part of the capacity “C2. IHR coordination and
1009 national IHR focal point functions” as important for multi-sectoral collaboration. The SPAR tool
1010 allows for narrative comments in each capacity in order provide a rationale for choosing a
1011 particular level. We incorporated the narratives available in English and French with regards
1012 to capacities C2 and C3 in our document analysis by using the qualitative data analysis
1013 software MAXQDA. Although there is limited representativeness due to the small selection of
1014 available narratives and a particular focus on the technical areas concerned with IHR
1015 Coordination and zoonotic disease, they revealed some challenges countries face with regard
1016 to implementing IHR in general and OHO in particular, such as limited human resources in
1017 particular sectors (mainly animal sector) or an ad hoc functioning of multisectoral mechanisms
1018 in emergencies as a well as rather vertical approaches, focusing on a particular disease.

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1020 The “Joint External Evaluation” (JEE)²⁰, on the contrary is a voluntary external evaluation by
1021 global experts that are conducted upon request by a Member State. The first edition of the
1022 JEE tool was available in 2016, currently the second revised (2018) edition is in use. The JEE
1023 can be described as a collaborative and multisectoral effort that aims at evaluating the
1024 countries capacities “to prevent, detect and rapidly respond to public health threats
1025 independently of whether they are naturally occurring, deliberate or accidental”.²⁰ In the JEE
1026 a team of external and national experts evaluates jointly national capacity across 19 technical
1027 areas.²⁰ For our analysis we had 96 JEE mission reports at hand. A quantitative content
1028 analysis of a few reports revealed that the term One Health was mentioned either to describe
1029 existing mechanisms or to highlight that policies and procedures had to be improved based

1030 on a One Health approach. From this first level of analysis, we concluded that whenever the
1031 term One Health is mentioned, the concept is considered relevant - either by the country
1032 reviewed or the external experts. As a second step and drawing on methods of quantitative
1033 content analysis, we searched all 19 technical areas and the executive summary for the term
1034 One Health (ST1) The analysis revealed that One Health was considered relevant for 18 out
1035 of 19 technical areas with highest relevance for zoonoses and AMR. Other technical areas
1036 that had at least 60 hits of One Health mentions are: Workforce development, National
1037 Legislation, Policy and Financing, IHR Coordination, Communication and Advocacy; National
1038 laboratory system; Real-time surveillance (S2). Considering that One Health was mentioned
1039 in every single JEE report confirms that One Health is considered highly relevant for GHS.
1040 However, information about a countries' progress of operationalizing OH remains scattered
1041 throughout the reports.

1042
1043 The "Performance of Veterinary Services (PVS) Pathway"²¹ is described as OIE's flagship
1044 programme in order to support stronger national Veterinary Services. By using a set of
1045 complementary tools, it "allows national Veterinary Services to identify weaknesses, strengths,
1046 and develops strategies to address existing gaps".²² The PVS reporting was initiated in 2007
1047 and has engaged over 140 countries on a voluntary basis. The PVS Pathway consists of a
1048 cycle with four stages: Orientation, Evaluation, Planning and Targeted Support. As described
1049 in the PVS tool, the OIE has a OH partnership with WHO "integrating the OIE PVS Pathway
1050 with the WHO International Health Regulations (IHR) Monitoring and Evaluation Framework
1051 in addressing global health security".²³

1052 A document analysis of the PVS documents showed that One Health rarely figured in reports
1053 before 2016, but that search hits significantly increased in more recent reports (ST2). Part of
1054 this change can be attributed to the revision of the PVS tools. Whereas in the 6th edition of
1055 the PVS Tool (2013), One Health was only mentioned in the introduction, the concept has
1056 become more central in the revision for the most recent edition in 2019. In this seventh edition,
1057 One Health is mainly understood and evaluated as "external coordination" of the veterinary
1058 services and also figures prominently in the competence of AMR and AMU. It is noteworthy
1059 that in the sense of external coordination, One Health has been evaluated in the PVS process
1060 for about a decade (OIE, PVS tool 5th edition).

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1062 The fact that some of the reports are conducted on a voluntary basis by a mixed evaluation
1063 team of national and external experts (JEE, PVS), while others are compulsory self-
1064 assessments for each member state as part of the annual IHR reporting (SPAR) has an impact
1065 on the completeness and reliability of data. For instance, circumstances that may lead
1066 countries to conduct the voluntary JEE or PVS assessments might not be perceptible, when
1067 only looking at the reports. Apart from aspects linked to donors' funding priorities (note that
1068 most JEE missions were conducted in African countries), regional disease threats, too, may
1069 have an impact on which countries undergo an evaluation. In South America, for example,
1070 countries have recently conducted assessments of their national capacities due to the Zika
1071 virus outbreak, which has given them a good overview of existing gaps making it unnecessary
1072 to undergo additional evaluations at this point in time. Hence, the political, social as well as
1073 economic contexts of the countries participating in JEE missions may be important to consider,
1074 when analysing the state of global health security or One Health in our case. Furthermore, to
1075 compare the final scores of member states and produce a ranking would be misleading. The
1076 reason is that the evaluations have been conducted by different teams of experts and the
1077 strictness by which the scores have been applied may slightly differ from one case to the other.

1078 The primary aim as described for the PVS Pathway is “to assist Member Countries to improve
1079 their own systems, and not necessarily to ‘score’ themselves relative to other countries”.²³ (p.
1080 vi) Hence, it remains difficult to assess the One Healthness of different countries on the basis
1081 of the JEE, PVS and SPAR reports. As a consequence, we rather focused on the qualitative
1082 description of gaps and recommendations, as can be seen from the case studies below.

1083

1084

1085

1086 **S2 Case studies of One Health Operationalization**

1087

1088 **Côte d’Ivoire**

1089 For Côte d’Ivoire, we have a PVS report from 2012 and a JEE report from 2016. In the PVS
1090 report from 2012, there is no mention of OH or multisectoral collaboration. The country was in
1091 a post-conflict context at that time and the damage and reconstruction of the veterinary
1092 services was the focus of the report. In the JEE report, the level of OH operationalization was
1093 evaluated. The external experts concluded that a lot remained to be done in terms of actual
1094 implementation. They also noted that the country benefitted from capacity strengthening
1095 programmes of the Global Health Security Agenda. Right after the completion of the JEE
1096 report, between 2016 and 2018 an USAID sponsored project engaged in a multisectoral
1097 participatory approach to develop a strategy and operational plan for the operationalization of
1098 One Health. These efforts culminated in a government decree that formally established the
1099 One Health platform in 2019. With the end of external funding and the frequent reshuffle of
1100 government, progress made in OH operationalization at the national level have come to a halt.
1101 To date, the government has neither provided the platform with the political support nor the
1102 financial mechanism necessary to assure its functioning. When the coronavirus pandemic
1103 began at the beginning of 2020, the platform was still not officially launched. As a
1104 consequence, the platform has remained inactive throughout the pandemic. Hence, the
1105 potential for a coordinated multi-sectoral response was not taken advantage of. The following
1106 case shows the relevance of an intersectoral approach.

1107 Members of a household in Abidjan were tested positive for Covid-19. This family had a dog
1108 that was very close to the owners. Therefore, the veterinary services advised to investigate
1109 on the dog. The contact dog revealed an epidemiological link between the dog and the family
1110 members. The dog trainer who visited the family has not been considered or identified by the
1111 public health services task personnel. The Covid-19 PCR test of the dog was negative.
1112 However, in view of the dog trainer's contact with the family, the veterinary services advised
1113 the health services to take a sample from the dog trainer. Surprisingly, that dog trainer's results
1114 were positive and he represented a major risk of propagation because he visited several dog
1115 owners in the course of his work. As a result of the risks associated with the dog trainer's
1116 activities, he was placed in an isolation centre for treatment based on the national protocol.
1117 This experience shows the contribution of the veterinary services in the search for contact
1118 cases that is one of the weak aspects of the current Covid-19 crisis management. The
1119 implementation of the intersectoral approach in case investigations will allow a better
1120 identification of contact cases and could contribute to the control of the spread of COVID-19.

1121

1122 Local ownership of the OH approach can be found in the country’s rabies control program,
1123 which has benefited from the pan-African research programme Afrique One that has provided
1124 capacity building in One Health since 2009. Furthermore, thanks to a collaborative project co-
1125 funded by GAVI, the National Institute for Public Health and the Directorate for Veterinary

1126 Services engage in an intersectoral collaboration to advance the rabies elimination strategy
1127 for the country. To date, Côte d'Ivoire has developed intersectoral collaboration between
1128 human and animal health sectors that collaborate closely in the case of animal bite victims at
1129 local and national levels focusing on local OH concerns rather than theoretical or emerging
1130 risks that are of bigger interest to global actors and donors.
1131

1132 **United Kingdom**

1133
1134 PVS and JEE reports for the UK are lacking. Nevertheless, the country engaged in a voluntary
1135 external evaluation of the Global Health Security Agenda capabilities in 2015. The tool was
1136 under development at the time and covered 11 action packages that covered a big part of the
1137 JEE tool. The UK's One Health approach to preventing, detecting and responding to infectious
1138 disease threats was highlighted as a "best practice" example²⁴. The evaluation commented
1139 on outstanding collaboration between public health and veterinary officials, recognised by
1140 formalised multiagency groups such as the Human Animal Infections and Risk Surveillance
1141 Group (HAIRS). It was suggested that such collaborations, which also include wildlife and
1142 other specialists, should be used as a best practice example in other countries as they help to
1143 move the idea of "One Health" from concept to reality.
1144

1145 HAIRS is a multi-agency and cross-disciplinary horizon scanning group which presents the
1146 main forum for member organizations to identify and discuss infections with potential for
1147 interspecies transfer²⁵. The group meets monthly to identify emerging and potentially zoonotic
1148 infections which may pose a threat to UK public health. The group's functions involve 1)
1149 identification of hazards 2) risk assessment 3) risk management 4) risk communication.
1150 Potential hazards to the UK population, such as a novel infectious agent or a new disease
1151 observed in animals, are identified by HAIRS members through horizon scanning activities or
1152 from laboratory reports. The HAIRS group then undertakes formal risk assessments using
1153 either a "Zoonotic potential risk assessment" or an "Emerging Infections Risk Assessment
1154 tool", in consultation with recognized experts. The probability and impact of the hazard
1155 identified is rated as either very low, low, moderate, high or very high. Risk management then
1156 involves identifying, selecting, advising or implementing measures to reduce risk, either by
1157 using expertise within the HAIRS group, through network contacts or by referral to appropriate
1158 groups for risk management. If infections are thought to be of potential significance, the
1159 implications are communicated via a publicly available 'Summary of notable events/incidents
1160 of public health significance'.

1161
1162 Whilst not defined, the cost saving of regular and proactive inter-disciplinary disease
1163 surveillance is likely to be substantial considering, for example, that £1.5 billion was spent on
1164 schemes responding to the bovine spongiform encephalopathy (BSE) crisis in the UK between
1165 1996-97²⁶. Both the subsequent BSE inquiry²⁷ and the CMO's annual report of 2002²⁸
1166 emphasized the need for a mechanism to identify and assess the threat from new and
1167 emerging infectious diseases, which has since been met with the formation of HAIRS, in 2004.
1168 The HAIRS experience crucially allows for inter-disciplinary relationships to develop ahead of
1169 a crisis and brings together those who are senior enough to represent the key organizations
1170 involved. Other key components of the HAIRS model include senior level buy-in and support
1171 across relevant institutions, systematic record keeping with terms of reference, transparent
1172 risk assessment processes and regular communication with meetings scheduled whether

1173 there are incidents to discuss or not. Strategic needs include maintaining members with a
1174 depth of experience and knowledge in their field as well close liaison with specialist contacts.
1175

1176 Since 2013, the HAIRS group has published 12 risk assessments of diseases ranging from
1177 West Nile virus to tick-borne encephalitis²⁹ and has most recently assessed the risk of SARS-
1178 CoV-2 in companion animals and transmission to humans³⁰.

1179 Additionally, a summary annual HAIRS report details the emerging issues affecting human
1180 and animal health and the outcome of the group's assessment of these issues. The group
1181 published discussion of seven issues in 2017, including UK detections of *Aedes albopictu*,
1182 *Thelazia callipaeda* (Oriental eye worm) and *Brucella canis*³¹. The latter resulted in facilitation
1183 of a rapid response to reports of five canine cases of *Brucella canis* in dogs imported from
1184 eastern Europe, recognizing that animals with asymptomatic infection posed a potential threat
1185 to public health. The Animal and Plant Health Agency, Public Health England and the *Brucella*
1186 reference laboratories subsequently collaborated to develop public health guidance for
1187 laboratories and veterinarians, highlighting the risk assessment processes for laboratory
1188 exposures and advising the veterinary community to consider *Brucella canis* as a differential
1189 diagnosis in dogs assessed with relevant symptoms.
1190

1191 The key resource required for HAIRS activities is regular and ongoing voluntary commitment
1192 from members which include senior epidemiologists, public health physicians, scientists,
1193 veterinary advisers, veterinary epidemiologists, veterinary investigation officers as well as
1194 senior representatives from a range of government and public health agencies. These have
1195 included Public Health England, the Department for Environment, Food and Rural Affairs, the
1196 Animal Health and Veterinary Laboratories Agency, the Food Standards Agency, the
1197 Department of Health, Public Health Wales, the Welsh Government, Public Health Agency
1198 Northern Ireland, the Department of Agriculture and Rural Development Northern Ireland,
1199 Health Protection Scotland and the Scottish Government. A cost effectiveness analysis of the
1200 HAIRS network has not been undertaken and such an initiative would considerably support
1201 the growing evidence base on added value of One Health approaches.
1202

1203

1204

1204 **Bangladesh**

1205

1206 In 2011, an OIE PVS evaluation team conducted an Evaluation Mission in Bangladesh and
1207 recommended that Veterinary services in Bangladesh faced several challenges including
1208 inadequate infrastructure, limited trained personnel, insufficient budget, and sub-optimal
1209 operational management.³² Based on initial evaluation of the PVS evaluation team, in 2015,
1210 PVS Gap Analysis Mission worked with Bangladesh and identified key national priorities
1211 including livestock development, veterinary public health, animal health and management and
1212 organization of the veterinary services. The national core competencies were assessed
1213 against the 47 key indicators of the OIE PVS Tool and a five-year action plan was developed.
1214 JEE report for Bangladesh published in 2016 highlighted that the country has made substantial
1215 progress in complying with the IHR, however it still faces major challenges in some key
1216 areas.³³ While staff working within and across different relevant ministries have excellent
1217 working relationship, there are no formal agreements and policies on specific roles and
1218 responsibilities of the key organizations and senior staff. Such agreements enable rapid
1219 decision-making during emergencies. There also exists lack of coordination across JEE
1220 elements and many organizations performs as silos which hinder the opportunity to leverage

1221 skills and capacities across organizations. Lastly documentation of plans and procedures
1222 needs to be strengthened to prevent loss of local context-specific knowledge and expertise.

1223
1224 One Health Bangladesh³⁴ is a community led think tank organization for physicians,
1225 veterinarian wildlife health experts, social scientists, environmental activists working together
1226 to combat the challenges of emerging infectious diseases and other health issues arising at
1227 the human-animal interface in a complex ecosystem. The organization is run by a constitution
1228 where a National Coordination committee (NCC) from Government, development partners and
1229 other organization is considered as highest governing body. The NCC is led by a National
1230 Coordinator (Prof Nitish Debnath). The committee is elected every two years. OH Bangladesh
1231 has a Strategic Framework and Action Plan in place jointly developed by Government
1232 partners, UN agencies which helped in institutionalization of OH approach and targeted
1233 activities within the government systems. With funding from the Government of Bangladesh
1234 and international partners, there is a functioning OH Secretariat located at Institute of
1235 Epidemiology and Disease Control Research (IEDCR).

1236

1237 The organization has around 1000 registered members. The members pay an annual
1238 membership fee which supports the organization's ongoing activities. One Health Bangladesh
1239 organizes bi-annual conferences to bring all stakeholders together. Additionally, the platform
1240 also organizes extended meetings to discuss immediate issues. So far it has organized 10
1241 conferences and 47 meetings.

1242

1243 One Health Bangladesh in collaboration with its local partners has been contributing in
1244 capacity building initiatives including OH training by FAO, the Field Epidemiology Training
1245 programs jointly organized by the IEDCR and Centers for Disease Control and Prevention
1246 (CDC), USA and OH Postgraduate training by Massey University.

1247 During the COVID-19 pandemic, One Health Bangladesh, in partnership with Global Health
1248 Development (GHD), has been organizing a series of webinars in response to the COVID-19
1249 pandemic. The discussions from the webinars are influencing policy decisions and
1250 collaborative initiatives in dealing with human animal interface issues. The organization is also
1251 giving technical support in responding to the current COVID-19 pandemic response and it's
1252 members are actively involved in laboratory investigation and epidemiological activities related
1253 to the pandemic response. Involving animal health laboratories and universities for diagnosis
1254 of COVID-19 could be cited as a successful example of One Health in action in Bangladesh
1255 though this has not been formally evaluated in terms of costs saved or timeliness and quality
1256 of testing.

1257

1258 Case Study also based on correspondences with One health BD representative (by Nusrat) → maybe
1259 add name to acknowledgements?

1260

1261 **Kenya**

1262

1263 Kenya is well documented in the SPAR (2010, 2011, 2012, 2013 and 2018, 2019), JEE (2017)
1264 and PVS (last follow up from 2017) reports.

1265

1266 In the executive summary of the Joint External Evaluation report (JEE), the following existing
1267 formal mechanisms for intersectoral coordination between human and animal health are

1268 mentioned: The IHR national focal point (NFP), the Zoonotic Disease Unit (ZDU) as well as
1269 the National Task Force Committee. Furthermore, the report points to additional rather
1270 informal exchanges of information, that exist between different ministries. These exchanges
1271 are described to be based on “personal contacts and good will”.³⁵ It is mentioned that
1272 multisectoral human resources for human and animal health are available in Kenya, at the
1273 national level and that there are multidisciplinary teams available at the national level, however
1274 not in the same extent at county or sub-county level. The same applies for One Health
1275 coordination, that exists at national level, but would need to be better structured at subnational
1276 levels.³⁵ Although there is a desire to have an integrated surveillance programme including
1277 the Ministry of Health, the Ministry of Livestock and the wildlife sector, currently each ministry
1278 has its own surveillance and reporting system. Nevertheless, some level of data sharing is
1279 available between the ministries and the wildlife agency.

1280 As described in the JEE report, Kenya has disease-specific plans for risk communication,
1281 however, there is no comprehensive multisectoral plan.³⁵ For Rift Valley Fever, Kenya uses a
1282 joint risk assessment (JRA).¹⁹ The country furthermore established an integrated approach to
1283 develop a surveillance strategy for AMR.³⁵ This process however, has only started in 2019
1284 and is planned to be further expanded to the subnational level in future.

1285 Kenya’s One Health office, the Zoonotic Disease Unit was established through a
1286 Memorandum of Understanding between the Ministry of Health and the Ministry of Agriculture,
1287 Livestock and Fisheries in 2012. Before the establishment of the Unit, in 2006 a Zoonotic
1288 Technical Working Group had been put into place. This working group now serves as an
1289 advisory committee that provides technical advice to the ZDU in quarterly meetings. The ZDU
1290 supports the coordination at the national level and in 32 of the 47 counties formal training on
1291 OH has taken place.³⁵ There are further activities related to OH in other counties although
1292 they did not (yet) undergo the same formal training. According the the JEE report, ZDU’s
1293 mission is to “establish and maintain active collaboration at the animal–human–ecosystem
1294 interface to prevent and control zoonotic diseases”.³⁵ In its 5-year strategic plan (2012-2017)
1295 three objectives are mentioned: to strengthen surveillance, prevention and control of zoonoses
1296 in both humans and animals; to establish structures and partnerships to promote a One Health
1297 approach; to conduct applied research at the human–animal–ecosystem interface.³⁵ The Unit
1298 brings together experts from the field of human health and animal health, it employs one
1299 medical and one veterinarian senior epidemiologist. In their commentary, Mbabu et al further
1300 more mention the plans to employ an ecologist.³⁶ Also in the PVS follow up report the ZDU is
1301 mentioned. In the PVS report, the dependence on donors is mentioned as a concern, as the
1302 ZDU states in their strategic planning from 2012-2017 that “There is a high level of
1303 dependence on partner organizations for funding One Health (OH) activities and
1304 arrangements in place”.³⁷ In recent years, donor and partners’ support for the unit has been
1305 on the decline, which may lead to some challenges therefore in adequately addressing the
1306 priority issues (as mentioned for example in the SPAR reports) as planned. The Unit is
1307 described in the JEE report from 2017 to be “well-functioning with clear terms of reference to
1308 support IHR (2005) implementation”.³⁵ As examples, the multisectoral response of the ZDU to
1309 disease outbreaks of Human African Trypanosomiasis (April 2012), Rabies (March 2012)
1310 and anthrax (October 2012) are mentioned³⁸ – all local and national disease priorities. It is
1311 noticeable, however, that these examples all originate from the year 2012. Apart from avian
1312 influenza, Rift Valley fever and rabies belong to the country’s priority diseases and the risk
1313 mapping of these diseases is one of the activities of the Unit. The Unit established a One
1314 Health response team at county level and conducted trainings (Field Epidemiology and
1315 Laboratory Training Programme, FELTP). To conclude, in the JEE report of 2017 Kenya’s Unit

1316 is praised as a “best practice” model that could serve other Member States in the development
1317 of “shared leadership between human and animal sectors”.³⁵ Nevertheless, more critical
1318 voices also emphasize the fact that these aspects would have to be explored more in-depth
1319 when it comes to actual practices on the ground. Furthermore, given the narrow focus of the
1320 ZDU on zoonoses, other health risks and hazards amenable to a One Health approach such
1321 as water security/drought or the current locust plague emergency devastating agricultural
1322 production are beyond the scope of activities of the ZDU and seldom figure in the discussions
1323 of the One Health advisory group.

1324

1325

1326 Switzerland

1327 According to the OIE, Switzerland has not conducted any activities with the PVS tools,
1328 neither as an external evaluation mission nor as a self-assessment. However, there is a JEE
1329 report from 2017. The executive summary highlights that Switzerland has “strong capacity
1330 for preventing, detecting and responding to zoonotic diseases of public health significance
1331 “ based on a One Health approach.³⁹

1332 A first analysis of the potential of One Health in Switzerland was done by Meisser et al. in
1333 2011⁴⁰. Interviewed experts confirmed the potential of the One Health concept for Switzerland.
1334 Barriers such as differences in professional cultures, the absence of evidence of the added
1335 value of OH, federal structures and a relatively low burden of disease were identified.
1336 Moreover, a road map for advancing One Health was established, including research
1337 activities, capacity-building and a stakeholder approach to joint preparation and tailored
1338 implementation of the One Health concept in Switzerland. A detailed description of potential
1339 barriers and a clear guide for a step-by-step action plan makes suggestions for a realistic way
1340 forward. The cantons of Basel-Stadt⁴¹ and Ticino were early adopters and implemented
1341 resources in the planning of a closer cooperation between the different sectors.

1342

1343 The Swiss federal government established a legal sub-structure/subsidiary body for One
1344 Health (Unterorgan One Health) in 2017, based on the law on epidemics (Epidemiengesetz).
1345 The JEE report positively mentions that the subsidiary body is chaired by the Swiss Federal
1346 Food Safety and Veterinary Office, which is also the “IHR contact point for zoonosis and
1347 food safety within the Swiss IHR network”.³⁹

1348 The body’s regulations define One Health as an integrative approach of cooperation of human-
1349 and veterinary medicine. One Health creates an added value in terms of better health of
1350 humans and animals, saving of resources and a positive impact on the environment. The
1351 purpose of the sub-structure OH is to provide support to the relevant federal offices on the
1352 detection, surveillance, prevention and control of zoonoses and vectors and in other tasks in
1353 cross-sectoral areas. It further strengthens the collaboration between the federal and the
1354 cantonal (provincial) governments. It includes representatives of the federal offices of public
1355 health, environment, food safety and veterinary affairs and agriculture. Furthermore, the chief
1356 army veterinarian, and representatives of the cantonal public health, animal health, chemistry
1357 and pharmacy are represented.

1358

1359 The sub-structures meet regularly and can also meet *ad hoc* on request. Resources are
1360 covered by the respective ministries and cantonal governments. The JEE report made
1361 recommendations to strengthen One Health training for public health professionals³⁹ and to
1362 use the approach to improve real-time surveillance.³⁹ Recent key activities are the

1363 development of an integrative strategy on antimicrobial resistance surveillance (STAR), the
1364 surveillance of zoonoses and the follow up of porcine influenza.

1365
1366 For the control of COVID-19, the Institut für Viruskrankheiten und Immunprophylaxe (IVI),
1367 Swiss institute for highly pathogenic animal diseases is actively involved and a veterinary
1368 virologist is a member of the Swiss COVID-19 science task force.⁴²

1369
1370 While the IHR document (2005) does not explicitly mention the term “One Health”, we
1371 observe a change over time since the beginning of the reporting in the terminology when it
1372 comes to the use of the OH concept in the reporting tools. With reference to the PVS reports
1373 organized by OIE, our document analysis reveals that the cooperation between public and
1374 animal health has been evaluated as “external coordination” of the veterinary services for
1375 many years of the studied period of reporting, without explicit mention of the term OH.
1376 Whereas in the 6th edition of the PVS Tool (2013), OH was only mentioned in the
1377 introduction, the concept has become more central in the revision for the most recent edition
1378 in 2019, still referring mostly to external coordination but also relating to AMR and
1379 antimicrobial use (AMU). This increased awareness of the benefits of an OH approach is
1380 reflected in an increase of OH mentions in recent reports (Table ST2). The PVS reports
1381 show that OH is a topic that appears primarily/exclusively in sections on collaboration and
1382 AMR.

1383 A need for a multisectoral OH coordination mechanism (MCM) for addressing zoonotic
1384 diseases as proposed by the Tripartite Zoonoses Guide (TZG) (FAO, OIE, WHO)⁴³ can be
1385 identified in the SPAR reports, as well as through external assessment in the JEE reports. In
1386 the JEE tool, adopting an OH approach is defined as follows: “including, from all relevant
1387 sectors, national information, expertise, perspectives and experience necessary to conduct
1388 assessments, evaluations and reporting for the implementation of the IHR”³. The SPAR tool
1389 uses the same definition ². A document analysis revealed that the concept of OH is
1390 mentioned in all 96 existing JEE reports (Online Supplementary Table ST1), two thirds even
1391 mention OH in their executive summary. Depending on the state of OH implementation in a
1392 particular country, the external experts either highlighted the strengths of the country’s OH
1393 approach or made recommendations for more multisectoral collaboration as a priority action
1394 in the report. Furthermore, OH is mentioned in 18 out of the 19 technical areas (ST1)
1395 evaluated, particularly for zoonoses and AMR⁴⁴. This means that OH is considered as highly
1396 relevant for the IHR process by experts around the world, which could be interpreted as a
1397 “collective global commitment” ⁴³. Given their importance as zoonoses reservoirs,
1398 environmental and wildlife issues are absent from much of the text and, even if there is
1399 implicit understanding by some of the breadth of wildlife ecology, in practice this is not
1400 addressed.

1401 In the State Party Self-Assessment reports, the multisectoral coordination is evaluated
1402 amongst others in their technical category “C2.2 Multisectoral IHR coordination
1403 mechanisms”² while the term OH is exclusively mentioned in the capacity “C3 Zoonotic
1404 events and the human-animal interface”.

1405 Within the comment section that allows for narratives in the otherwise quantitatively oriented
1406 SPAR reports that are conducted by the countries themselves, the idea of OH is mentioned
1407 with regards to current attempts and intentions to either strengthen, further develop, or
1408 establish a multi-sectoral collaboration in the respective countries.

1409
1410 In many countries, the veterinary sector is already actively involved in COVID-19 control
1411 (see, for example, the Switzerland case study in S2) and though the OIE and FAO are
1412 providing a support role to the WHO lead, the wildlife and environment sectors are largely
1413 extraneous to the core activities currently involved in the pandemic.

1414 As stated by Hitziger et al., at present, only a limited number of texts focused on
1415 “epistemological, institutional, political and social factors are associated with the
1416 implementation of a OH approach”⁴⁵. OH governance is a complex process in itself and in
1417 recent years, apart from key actors, such as FAO, OIE and WHO, more global players, e.g.
1418 key research institutions, philanthropic initiatives by private companies and individuals, are
1419 becoming important^{5,46}. OH has thus become a complex field of multiple actors, hierarchies
1420 and interests⁴⁷. In order to explore these complex local and global contexts of OHO, case
1421 studies or best practice examples may provide important insights into the benefits of OHO in
1422 institutions and operations. It is noted that the country rankings in the available reports do
1423 not reveal their functioning OH mechanisms. Within WHO’s Monitoring and Evaluation
1424 Framework two more operational components are mentioned here that can be more suitable
1425 to assess the functionality of national systems and the synergies between stakeholders. The
1426 After Action Reviews (AAR) and the Simulation Exercises (SIMEX) can test the functionality
1427 either following real or during simulated events. These too, however, are often limited by a
1428 global and donor focus on security and human diseases of pandemic potential which limit
1429 the relevance, utility and sustainability of these tools for national and sub-national non-
1430 human health stakeholders who often have more pressing priorities tackling endemic
1431 diseases and other local emergencies.

1432 Ongoing self-reporting, joint external evaluation reports, after action reviews, simulation
1433 exercises and national policy and planning (NAPHS, IHR-PVS bridging workshop roadmaps,
1434 Health Sector plans, disease specific OH plans) can be scrutinized for their adequacy and
1435 effectiveness of improved OHO⁴⁸. This can be expressed as reduced time to detection of
1436 new hazards, outbreaks and sustained improvements in the control of endemic disease, the
1437 incremental number of cases (incidence), and cumulative societal cost in relation to the level
1438 of integration of human and animal health sectors (**Figures 3a-c**)^{49,50}.

1439

1440

1441 Conclusions

1442

1443 The case studies have provided more detailed insights into the state of One Health
1444 operationalization and governance in specific countries. Furthermore, it shows that initiatives
1445 at subnational level and in the field of research and capacity strengthening are only partially
1446 captured in the SPAR, JEE and PVS reports. In relation to managing the COVID-19 crisis, the
1447 potential of One Health collaborations has not been fully utilized.

1448 A more comparative country analysis remains difficult due to the lack of a common mechanism
1449 to evaluate different approaches and operationalisations. Furthermore, information on the
1450 added value of One Health is difficult to access, as assessments are rare. Hence, a
1451 comparative country analysis remains difficult with the patchy information at hand and the lack
1452 of a standard evaluation framework or metric.

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