

1 **Effects of relaxation therapy on maternal psychological status and infant growth**
2 **following late preterm and early term delivery: a randomized controlled trial**

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16 **Running tittle**

17 Relaxation effects on maternal and infant outcomes

18 **Funding**

19 The research was conducted as part of a PhD and expenses were covered from the

20 research group's funds. All research at Great Ormond Street Hospital NHS Foundation

21 Trust and UCL Great Ormond Street Institute of Child Health is made possible by the

22 NIHR Great Ormond Street Hospital Biomedical Research Centre. The views expressed

23 are those of the author(s) and not necessarily those of the NHS, the NIHR or the
24 Department of Health.

25

26 **Conflicts of interest**

27 Professor Mary Fewtrell receives an unrestricted donation for research on infant
28 nutrition from Philips. The remaining authors declare no other conflicts.

29

30 **Acknowledgements**

31 The authors thank Xueling, Li, Yufeng An, Wenming, Cui, Changeshuan Yang for
32 assistance during data collection; Philips Avent UK for donating breast pumps for the
33 trial; and Beijing Children's Hospital and Bayi Children's Hospital for allowing the
34 recruitment to be carried out. We also thank Yulan Cheng for contributing to the
35 relaxation tape recording work.

36

37 **Author Contributions**

38 The authors' responsibilities were as follows: MF, JW, and JY: designed the study; JY
39 and ZW: responsible for data collection and breastmilk composition analysis; JY:
40 conducted the statistical analysis and wrote the first draft under the supervision of MF;
41 MF: led the editing process; and all authors: revised the manuscript and approved the
42 final version. All authors take responsibility for the integrity of the study data.

43

44 **Trial Registration**

45 This trial was registered at clinicaltrials.gov as NCT03674632.

46 URL:

47 <https://register.clinicaltrials.gov/prs/app/action/SelectProtocol?sid=S00089VA&select>
48 [action=Edit&uid=U00045UL&ts=2&cx=v9xz2g](https://register.clinicaltrials.gov/prs/app/action/SelectProtocol?sid=S00089VA&select)

49

50 **Abbreviations**

51 BAI Beck Anxiety Inventory

52 BEBQ Baby Eating Behavior Questionnaire

53 CG control group

54 CI confidence interval

55 ET early term

56 IG intervention group

57 IIFAS IOWA Infant Feeding Attitude Scale

58 LP late preterm

59 MD mean difference

60 PSS Perceived Stress Scale

61 RCT randomized controlled trial

62 SDS standard deviation score

63

64 **Abstract**

65 Background: Maternal stress is one modifiable variable that could influence mother-
66 infant signaling and negatively affect breastfeeding and infant growth. This study tested
67 the hypothesis that relaxation therapy would reduce maternal stress and improve infant
68 growth, behavior and breastfeeding outcomes following late preterm (LP) and early
69 term (ET) delivery.

70 Methods: A single blind randomized controlled trial (RCT) was conducted in healthy
71 Chinese primiparous mother-infant pairs following LP or ET delivery (34⁺⁰-37⁺⁶
72 gestation weeks). Mothers were randomly assigned to intervention group (IG, listening
73 to relaxation meditation at least once a day) or control group (CG, normal care).
74 Primary outcomes, changes in maternal stress (Perceived Stress Scale (PSS)), anxiety
75 (Beck Anxiety Inventory (BAI)), infant weight and length standard deviation score
76 (SDS), were assessed at 1- and 8-weeks post-partum. Secondary outcomes (breast milk
77 energy and macronutrient composition, maternal breastfeeding attitudes, infant
78 behaviors (3-day diary), and 24-hour milk intake) were assessed at 8-weeks.

79 Results: 96 mother-infant pairs were recruited. There was a significantly greater
80 reduction in maternal perceived stress (PSS score) in the IG compared to the CG [mean
81 difference (MD)= 2.65, 95% confidence interval (CI) 0.8, 4.5]; and significantly greater
82 infant weight SDS gain (MD= 0.51, 95%CI 0.2, 0.9) from 1-8 weeks. Exploratory
83 analyses showed a significant interaction between intervention and sex, with greater

84 effects on weight gain in female infants. Mothers of female infants used the intervention
85 more frequently with significantly higher milk energy shown at 8-weeks.

86 **Conclusion:** The relaxation meditation tape is a simple, effective practical tool that
87 could easily be used in clinical settings to support breastfeeding mothers following LP
88 and ET delivery. The findings need confirmation in larger groups and in other
89 populations

90 **Key words:** lactation, breastfeeding, milk intake, maternal stress, infant weight,
91 mother-infant signaling

92

93 **Introduction**

94 Early life nutrition presents a window of opportunity during which breastfeeding is
95 important for optimizing infant growth, health and development (1-4). However,
96 despite many initiatives breastfeeding rates remain lower than recommended (1).

97 Mother-infant signaling refers to the way in which infants and mothers communicate
98 and interact (8) and involves maternal physiological and psychological factors, as well
99 as infant physiological and behavioral factors. Lactation is metabolically costly; the
100 daily energy expenditure of lactating women is 25%-30% higher than that of
101 moderately active non-lactating women of average size (9). This is predicted to lead to
102 a ‘conflict of interest’ between mother and infant over the magnitude of nutritional
103 transfer during breastfeeding, and to a degree of tension in biological and behavioral
104 signaling systems (10). In this context, maternal stress during lactation may impact the
105 physiology of breastfeeding signaling(11). Our previous randomized controlled trial
106 (RCT) (12) investigated mother-infant signaling in 64 healthy term mother-infant pairs
107 and showed that use of a simple relaxation meditation tape during breastfeeding from
108 2-14 weeks led to significantly reduced maternal stress with better infant growth and
109 longer sleep duration, together with lower hindmilk cortisol and higher carbohydrate
110 consistent with more efficient maternal nutritional investment.

111 Compared to mothers of term infants, those who deliver early may experience greater
112 stress/increased tension during lactation for reasons including separation when the

113 infant requires intensive care combined with concern about infant wellbeing, and lack
114 of physiological breast stimulation by an immature infant who may initially be too small
115 or sick to breast-feed (7). Several relaxation methods including visual imagery (13),
116 verbal protocols (14), and music therapy (14, 15) have shown beneficial effects on
117 breast milk volume in these mothers, but conclusions are limited by weak study design,
118 high attrition, and/or small sample sizes. Moreover, little research has focused
119 specifically on late preterm (LP, 34⁺⁰-36⁺⁶ weeks) and early term (ET, 37⁺⁰-38⁺⁶
120 weeks) infants who often experience difficulties establishing lactation and are
121 especially susceptible to early breastfeeding failure (16-18), especially in countries
122 such as China where separation is common when infants are admitted to the neonatal
123 intensive care unit for the first 1-3 days after birth (20).

124 Thus, the aim of this study was to explore the effects of a relaxation intervention on
125 maternal stress and infant outcomes during the early postpartum period in mothers
126 breastfeeding their LP and ET infant, where there is predicted to be greater mother-
127 infant 'tension' over resources and therefore potentially greater benefit.

128 **Methods**

129 The detailed methodology for this trial was reported in the protocol (21) and is
130 summarized below. Due to the impact of Covid-19 lockdown measures in Beijing, the
131 3- and 6-month data collection planned in the published protocol was not completed.

132 *Study design and participants*

133 A single blind parallel RCT was conducted in healthy primiparous mothers who
134 delivered at 34⁺⁰-37⁺⁶ weeks. Eligibility criteria for inclusion in this study were: 1)
135 primiparous, non-smoking mother who aimed to exclusively breastfeed (EBF) the
136 infant for at least two months (infant could receive expressed breastmilk or formula
137 initially but had to be EBF at enrolment); 2) singleton infant born late preterm or early
138 term (34⁺⁰-37⁺⁶); 3) Mother and infant healthy with no condition expected to affect
139 breastfeeding or infant growth. Eligible mothers were contacted 3-5 days after delivery.
140 After obtaining written informed consent, participants were randomly assigned to either
141 intervention or control group (IG, CG). Data collection was conducted through two
142 home visits around 1-week and 8-weeks postpartum. To ensure consistency of
143 procedures at each study center, all research assistants and nurses involved in the study
144 attended training courses prior to the start of recruitment. Standard operating
145 procedures for the study were printed and posted at each center. The study was approved
146 by the Research Ethics Committee of University College London (ID: 12681/002) and
147 the Department of Child Health, Beijing Children's Hospital (ID: 2018-167).

148 *Randomization, procedures, and intervention*

149 Randomization was stratified by gestational age (34-35 versus 36-37 weeks), delivery
150 method (vaginal versus caesarean) and by the four study centers (Supplemental Figure
151 1). Subjects were randomly assigned to either IG or CG within each permuted block.

152 An independent investigator generated the study ID (randomization sequence) using a
153 computer random number generator. Assignments were stored in sealed, opaque
154 envelopes. After confirming eligibility and stratification information, the next relevant
155 envelope was opened by a member of the research team at Beijing Children’s Hospital
156 and the allocation to IG or CG provided to the research nurse. Participants were blinded
157 to the randomization until the end of the study; they were aware that the aim of the
158 study was to investigate factors that may make breastfeeding easier for new mothers.
159 Due to the nature of the study, the researchers could not be blinded since additional
160 materials (diary for recording the use of relaxation tape) were collected during the data
161 collection period.

162 The intervention was a relaxation meditation CD designed for breastfeeding mothers
163 (22). The original CD was transcribed and translated into Chinese language by a
164 certified yoga therapist. The Chinese version of this relaxation meditation was tested
165 and compared with other four relaxation techniques in a pilot study and shown to be
166 the most effective approach for helping breastfeeding mothers to relax (23). Mothers in
167 the IG were given the relaxation meditation recording by scanning a QR code. They
168 were asked to listen to the recording as frequently as possible while breastfeeding or
169 expressing milk, preferably at least once a day. They were also asked to record their use
170 of the tape in a diary. Both groups received standard breastfeeding support and postnatal
171 care, including but not limited to the 1-week home visit and infant feeding advice,
172 monthly telephone interview and free consultation in local clinics based on

173 requirements. There was no difference in the amount of contact with the research team
174 between IG and CG.

175 *Outcomes and measures*

176 Primary outcomes of the study were changes in perceived maternal stress, anxiety,
177 infant weight and length SDS from 1- to 8-weeks. Secondary outcomes reported in this
178 paper are breastmilk macronutrient composition and energy content, infant eating
179 behavior, and maternal feeding attitudes at 8-weeks, 24 hours milk intake at 8-weeks,
180 and infant behaviors (sleeping, awake/happy, and distressed) at 8-weeks.

181 Baseline characteristics of the participants were obtained using demographic
182 questionnaires. The anthropometry assessment of mother and infants was conducted
183 following standard procedures provided by WHO. Weight and recumbent length of
184 infants were measured using an electronic infant weight and length scale (Betterren-
185 FSG-25-YE, Shanghai, China). Each measure was repeated three times and the mean
186 value used. Infant anthropometric data were converted to standard deviation scores
187 (SDS) based on 21st Intergrowth data (24) to standardize infant weight and length for
188 age and gender. However, given the inclusion of both preterm and early term infants,
189 and the collection of data both before and after term-equivalent, growth analyses were
190 repeated using two alternative approaches: (1) change in SDS based on a combination
191 of 21st intergrowth data (for LP infants) and WHO term infant reference data (for ET
192 infants) (25) and (2) conditional growth based on the standardized residuals for weight

193 and length measurements at 8-weeks regressed on the baseline measurement. Maternal
194 stress, anxiety, feeding attitudes, and infant eating behaviors were assessed using
195 Perceived Stress Scale (PSS), Beck Anxiety Inventory (BAI), Baby eating behavior
196 questionnaire (BEBQ), and IOWA Infant Feeding Attitude Scale (IIFAS) respectively.
197 All questionnaires used in this study are available in Chinese with good validity and
198 reliability (Cronbach's $\alpha=0.73-0.95$) (26-29). Infant behavior was assessed using a 3-
199 day infant behavior diary. This consists of a “time ruler” for 72 hours, which is divided
200 into 15 minutes segments, and has six categories of behavior: Sleeping; Awake and
201 content; Fussy; Crying; Colic; and Feeding, with a definition of each behavior provided
202 (30, 31). Mothers were asked to shade on the ‘time ruler’ using the appropriate symbol
203 for the infant behavior (supplemental Table 2). The length of shading represented the
204 duration of the behavior. During the data analysis period, a new variable “distress” was
205 generated to describe the total time of “crying” “fussy” and “colic” after removing
206 overlaps; meanwhile, “Awake (happy)” was generated to describe the total time for
207 “feeding”, “awake & happy” and “playing” on the 3-day diary with any overlaps
208 removed. Data from mothers who provided as least a whole day of behavior records
209 were included in the analysis. The 24-hour milk intake was estimated using the 48-hour
210 test weighing method; the final intake value was obtained after increasing the calculated
211 value by 5% to take into account insensible water losses. (32)

212 Maternal foremilk samples were collected before a feed at around 10:00 a.m. in the
213 morning during the home visit. Mothers were instructed by a trained nurse on how to

214 express milk using a hand pump (Philips Avent, Netherlands). A total of 20ml foremilk
215 was collected and poured into four sterile specimen jars (5ml per jar).. Samples were
216 frozen immediately in a cooler box with dry ice and then frozen at -80°C in the
217 laboratory of the BCH. For the analyses of macronutrient composition in breastmilk,
218 samples (4-5ml) were thawed at room temperature (27-29°C) and then homogenized
219 using a SX Sonicator (FS-T, SXSONIC, China). The samples were then measured by a
220 near-infrared spectroscopy human milk analyzer (MR-1011, HLIFE, China), after
221 calibration. Formal meetings and seminars were arranged monthly to ensure the study
222 procedures were standardized between centers.

223 For this study, mothers were defined as EBF if they chose “exclusively breastfeeding”
224 as their current feeding method on the questionnaire and if they also chose “no” as the
225 answer to the question “has your infant ever received any other fluid apart from breast
226 milk?”. Milk fortifier for preterm infants and expressed breast milk were included as
227 “EBF” while water and other fluid were not included; details were explained by the
228 nurse who collected the data. Considering that breastfeeding in LP or ET mothers might
229 not be established immediately after delivery; it was acceptable if the baby had received
230 some formula or expressed breastmilk initially. However eligible participants had to be
231 EBF at enrolment.

232 *Sample size calculation*

233 The number of mother-infant pairs required was calculated using the conventional

234 formula (33) for independent sample t-test:

$$235 \quad N = 16 \times \frac{SD^2}{D^2}$$

236 (N=number per group, SD=standard deviation, D=Difference between group)

237 The SD and D were obtained from the results of the MOM study (12), which assessed
238 the effects of relaxation meditation tape on reducing maternal stress assessed by PSS
239 between intervention and control groups (D=3.13, SD=5.00). A sample of 82 mother-
240 infant pairs (41 per randomized group) would allow the detection of a 3.13 points
241 difference in perceived stress measured by PSS between groups at 80% power with a
242 significance level of 0.05. To allow for potential drop-outs or failed measurements, a
243 total sample of 120 infants was planned.

244 *Statistical analysis*

245 Statistical analysis was conducted using SPSS (version 26.0). Frequencies or
246 percentages were presented for nominal or ordinal data. Normality was checked for
247 continuous data by using Q-Q Plots and histograms. For normally distributed data, the
248 mean \pm standard deviation (SD) was reported and independent t-test was used for group
249 comparisons; for data with non-normal distribution, the median \pm interquartile range
250 was reported and non-parametric analysis (Mann-Whitney or Kruskal-Wallis test) was
251 used for group comparisons. Analyses were carried out for all subjects who had
252 available outcome data according to their randomized group. Differences between IG

253 and CG mothers were compared using independent t-tests for changes in the primary
254 outcomes from 1- to 8-weeks and the values of secondary outcomes (IIFAS, BEBQ,
255 macronutrients composition, energy content, milk intake, and 3-day infant behaviors)
256 at 8-weeks. Pearson correlation was used to examine relationships between variables
257 and Spearman correlation was used to examine dose response effects of the frequency
258 of listening to the relaxation tape on primary and secondary outcomes, with
259 comparisons between mothers of male and female infants. General linear models were
260 used to test for interactions between the intervention and infant gender or gestational
261 age with changes in primary outcomes. $P < 0.05$ was considered to be statistically
262 significant; mean difference (MD) and 95% confidence interval (CI) are presented to
263 show effect sizes.

264 **Results**

265 Recruitment was conducted from October 2018 to October 2020. Figure 1 shows the
266 study flowchart. 96 mothers were randomly assigned to IG (n=48) or CG (n=48). All
267 data were normally distributed, mean and SD were therefore applied and independent
268 t-test was used for group comparisons.

269 *Baseline characteristics of the study population*

270 Table 1 outlines the descriptive characteristics at baseline for IG and CG mothers. No
271 significant differences were found between IG and CG. The mean value of infant birth

272 weight obtained from their clinical record was 2705g in IG (male=2817g,
273 female=2562g) and 2697g in CG (male=2720g, female=2672g). No significant
274 differences in birth weight were found between IG and CG by gender or gestational age
275 group (Supplemental Figure 2 and 3). Mean maternal age was 29.8 ± 3.8 and 29.9 ± 2.9
276 years in IG and CG respectively. The mean gestational age of infants was 36.1 ± 1.0
277 weeks in IG and 36.2 ± 0.9 weeks in CG.

278 *Primary outcomes*

279 As shown in Table 2, mothers in the IG had a significantly greater reduction of stress
280 from 1-week to 8-weeks compared to CG mothers. In secondary analyses, baseline
281 maternal stress was not significantly different between groups, while at 8 weeks, IG
282 mothers presented significantly lower stress compared to CG mothers. Maternal anxiety
283 reduced from 1-week to 8-weeks in both IG and CG with no significant difference
284 between groups. In secondary analyses, no significant difference in anxiety was
285 observed between groups at baseline or at 8-week home visits.

286 IG infants showed significantly greater weight gain from 1-week to 8-weeks compared
287 to control infants (Table 2); while for changes in length, a trend for a decrease in the
288 CG was observed with no change in the IG. Significantly greater weight gain in IG
289 infants was also shown using alternative approaches (Supplemental Table 1).

290 *Secondary outcomes*

291 No significant differences in breast milk energy content, macronutrient composition,
292 maternal breastfeeding attitudes and infant eating behaviors were observed between IG
293 and CG at the 8-week home visit (Supplemental Table 3). IG mothers had a non-
294 significantly greater increase in fat (MD=0.13g/100ml, 95%CI -0.01, 0.26) and energy
295 (MD=1.76 kcal/100ml, 95%CI -0.06, 3.56) from 1 to 8 weeks (Figure 2 and
296 supplemental Table 3).

297 Both IG and CG mothers had a generally positive perception towards breastfeeding at
298 8-weeks using the IIFAS with no significant difference between groups. However, IG
299 mothers showed significantly higher disagreement with item 8: “Women should not
300 breast-feed in public places such as restaurants” (MD =0.56, 95%CI 0.07, 1.06) and
301 significantly higher agreement on item 9 “Babies fed breast milk are healthier than
302 babies who are fed formula” (MD=0.58, 95%CI 0.23, 0.94) compared to CG mothers
303 (Supplemental Table 4).

304 Data from 27 mothers were available for analysis of milk intake (IG=13, CG=14). The
305 mean value for estimated milk intake was 558±42g in CG mothers and 559±36g in IG
306 mothers with no significant difference between groups. A total of 58 returned the 3-day
307 behavior diary whilst 51 of them provided valid data were included in the analysis. As
308 shown in Table 3, no significant differences were found between IG and CG mothers
309 for infant time spent sleeping, awake (happy) or distressed per day. Pearson correlation
310 showed that lower maternal stress at 8-weeks was significantly correlated with longer
311 infant awake (happy) duration per day ($r=-0.279$, $p=0.047$) and more frequent awake

312 and happy behavior episodes ($r = -0.343$, $p = 0.032$). There were no significant
313 differences in baseline socio-demographic characteristics of mothers in IG and CG who
314 provided the milk intake and infant behavior data (data not shown).

315 *Dose-response effects*

316 Overall, 41 of the 48 IG mothers provided a diary recording their use of the intervention.
317 The majority (83%) had listened to the meditation tape for more than 20 days; 71%
318 mothers had listened on more than 28 days, accounting for half of the follow-up period.
319 No significant differences were found in the total duration or average use of the
320 relaxation therapy between mothers of female and male infants, however mothers of
321 female infants reported significantly more days of listening to the therapy compared to
322 mothers of male infants (45 ± 10 vs. 34 ± 16 days, MD 11 days, 95%CI -19.5, -2.2).

323 Table 4 shows correlations between the use of the relaxation tape (mean/total duration,
324 days of usage) and maternal stress, anxiety, infant weight and length gain, and infant
325 behaviors. Greater use of the relaxation tape was associated with a greater reduction in
326 maternal stress, while a greater number of days of use was associated with greater infant
327 weight gain (assessed using the SDS calculated by WHO Data).. No significant
328 correlations were found for maternal anxiety and infant sleeping, crying, or
329 awake/happy behaviors.

330 *Exploratory analyses*

331 There was no significant interaction between randomization group and infant gender or
332 gestational age on changes in maternal stress. However, the relaxation intervention
333 showed significantly greater effects on weight gain in female than male infants (p for
334 interaction=0.032, Figure 3). In female infants, the intervention result in a 0.93 SDS
335 greater increase in weight gain, whilst in male infants, the intervention contributed to
336 0.17 SDS greater increase in weight gain, which was not significant. No significant
337 interaction effect was found when using conditional weight gain or the absolute weight
338 gain for the same assessment (Figure 4).

339 To further investigate the interaction between intervention and infant sex on weight
340 gain, breastmilk macronutrient and energy content changes between male and female
341 infants were compared for both IG and CG, adjusted for infant baseline weight and
342 gestational age. While no significant sex differences were observed in the CG, a
343 significantly larger increase in breastmilk energy from 1- to 8-weeks was observed in
344 mothers of female infants compared to male infants in the IG (2.5 ± 4.8 vs. -0.1 ± 3.6
345 kcal/100ml, MD 2.6, 95%CI -5.11, -0.05), with a non-significant trend for the change
346 in fat (0.53 ± 0.41 g/100ml for females versus 0.32 ± 0.42 g/100ml for males, MD 0.21,
347 95%CI -0.39, 0.01). Moreover, as shown in the dose-response analysis, compared to
348 mothers of male infants, those of female infants in the IG spent significantly more days
349 listening to the relaxation tape.

350 Discussion

351 This trial evaluated the effects of relaxation meditation on maternal and infant outcomes
352 in Chinese mothers breastfeeding a late preterm or early term infant. A significant dose-
353 response reduction in maternal postpartum stress was observed in IG mothers. IG
354 infants showed significantly greater weight gain from 1-to 8-weeks calculated using
355 both intergrowth and WHO references, with a dose-response effect using the WHO
356 standards, and a trend for greater length gain.

357 No significant differences were observed for secondary outcomes. The non-significant
358 trend for higher breast milk fat and energy in IG mothers may reflect the collection of
359 fore milk rather than hind milk. If the intervention resulted in a more efficient let-down
360 reflex this might be expected to lead to a greater difference in hind milk fat content
361 which is typically two- to threefold that of foremilk (34-36). This could in turn
362 contribute to the observed greater infant growth. Future studies should ideally collect
363 both fore and hind milk and could also measure serum oxytocin to explore intervention
364 effects on the let-down reflex. In contrast to our previous study, which reported
365 significantly longer sleeping duration in IG infant at 6-weeks (12), we found no
366 significant difference in infant behaviors in this study despite longer sleeping and
367 shorter “distress” duration in the IG. However, these analyses had limited power due to
368 poor compliance with the dairies.

369 Previous studies have reported faster postnatal growth in male infants than females (37,
370 38) consistent with findings in CG infants in this study. Based on evolutionary theory
371 (39), Trivers and Willard predicted sex-biased investment in mammalian offspring,

372 whereby mothers in good condition maximize fitness through investing more in sons
373 than daughters. At a mechanistic level, mothers may produce sex-biased milk
374 composition or volume. For example, some animal studies reported greater milk
375 volume, and macronutrients in milk produced for male infant rhesus macaques and
376 calves (40, 41) whilst a small cross-sectional study found 25% greater milk energy at
377 2-5 months in mothers of male infants (42). However, the results of our study contradict
378 the Trivers-Willard theory as the intervention, which experimentally improved maternal
379 condition, apparently increased maternal investment in female infants more than males.
380 Mothers of female infants in the IG spent significantly more days listening to the
381 relaxation tape, hence greater weight gain in their infants might reflect greater exposure
382 to the intervention leading to a more relaxed mother with a more efficient let-down
383 reflex and, in turn, a higher hind milk intake (43, 44). However, it is also possible that
384 sex-specific infant characteristics influenced maternal compliance with the intervention,
385 although there was no significant difference in infant behaviors between males and
386 females. The tendency in China to prefer male over female infants to continue the
387 family name (45, 46) might have resulted in higher stress levels in mothers of female
388 infants, leading to greater engagement with relaxation therapy. Future studies could
389 explore maternal motivation to use relaxation therapies, or standardize the use of the
390 intervention by mothers of male and female infants although this might be difficult in
391 practice.

392 It is relevant to consider whether the significantly greater weight gain in IG infants is

393 beneficial. Current evidence suggests there could be a trade-off in preterm infants:
394 providing enhanced nutrition to prevent growth faltering results in better cognitive
395 outcomes, but preterm birth per se has been associated with higher cardiovascular risk
396 (47) and this might be worsened by accelerated postnatal weight gain (48). However,
397 studies generally focus on infants born before 32 weeks GA. Infant weight SDS in the
398 present study were around the median, hence the increased weight gain in the IG might
399 not be regarded as “rapid growth” but rather ‘optimal’. Additional outcomes including
400 lean mass and a longer follow-up period are ideally required to confirm if the increased
401 weight gain seen in these LP and ET infants is indeed beneficial.

402 Strengths of the study include the use of an experimental approach. Addressing
403 research gaps identified from previous studies, we assessed several outcomes from both
404 psychological and physiological perspectives in mother and infant dyads, instead of
405 focusing on one aspect. The sample size was also larger than previous studies and we
406 targeted mothers who may be more stressed following late preterm/early term delivery,
407 which was hypothesized to lead to a greater intervention effect. Stringent pre-study
408 training and frequent validation of instruments and procedures helped to minimize
409 errors and strengthen internal validity. Mothers were blinded to the use of relaxation
410 therapy, being told only that the aim of this study was to investigate maternal
411 breastfeeding outcomes following late preterm/early term delivery. This minimized the
412 chance that mothers in the control group would seek alternative relaxation interventions.
413 Follow-up rates for primary outcomes were good, as was compliance with the

414 intervention, so dose-response effects could be assessed based on the intervention use
415 dairy.

416 However, several limitations should also be acknowledged. First, it was impossible to
417 blind research nurses to the intervention as some materials differed for IG and CG
418 mothers. Second, results for maternal stress, anxiety, breastfeeding attitudes and infant
419 behaviors could be biased due to the use of self-reported questionnaires. Although
420 mothers were blinded to the randomization, IG mothers might have expected to feel
421 less stressed after using the relaxation tape or that they were expected to report this by
422 the researcher. However, in our pilot study (23), the relaxation tape produced a
423 significant reduction in objective relaxation responses such as heart rate and blood
424 pressure (50). Hence, acute effects of the relaxation therapy on both physiological and
425 psychological maternal outcomes have been shown. Ideally, objective measurements of
426 the effects of relaxation therapy on mother and infant should be included in future
427 studies; for example, some studies have used audio recording for the assessment of
428 infant behaviors (51, 52), although maternal acceptance of such monitoring instruments
429 might be problematic. Finally, we did not formally correct for multiple testing and this
430 should be considered when interpreting the results.

431 In conclusion, this study showed that a simple relaxation intervention during
432 breastfeeding reduced maternal stress and increased infant weight gain following late
433 preterm or early term delivery. Greater use of the intervention by mothers of female
434 infants may explain the significantly greater weight gain in IG girls and highlights the

435 importance of considering potential effects of infant sex on compliance in future studies.
436 The findings are consistent with the hypothesis that more relaxed mothers may invest
437 more in their infants by producing milk with more favorable nutritional composition.
438 They also have clinical relevance since the relaxation therapy is a simple and non-
439 invasive tool which could be played on a smart phone by mothers who have difficulty
440 establishing breastfeeding in clinical settings or by women in the community, including
441 those following post-partum confinement practices in China and other Asian countries
442 or expressing milk after returning to work.

443

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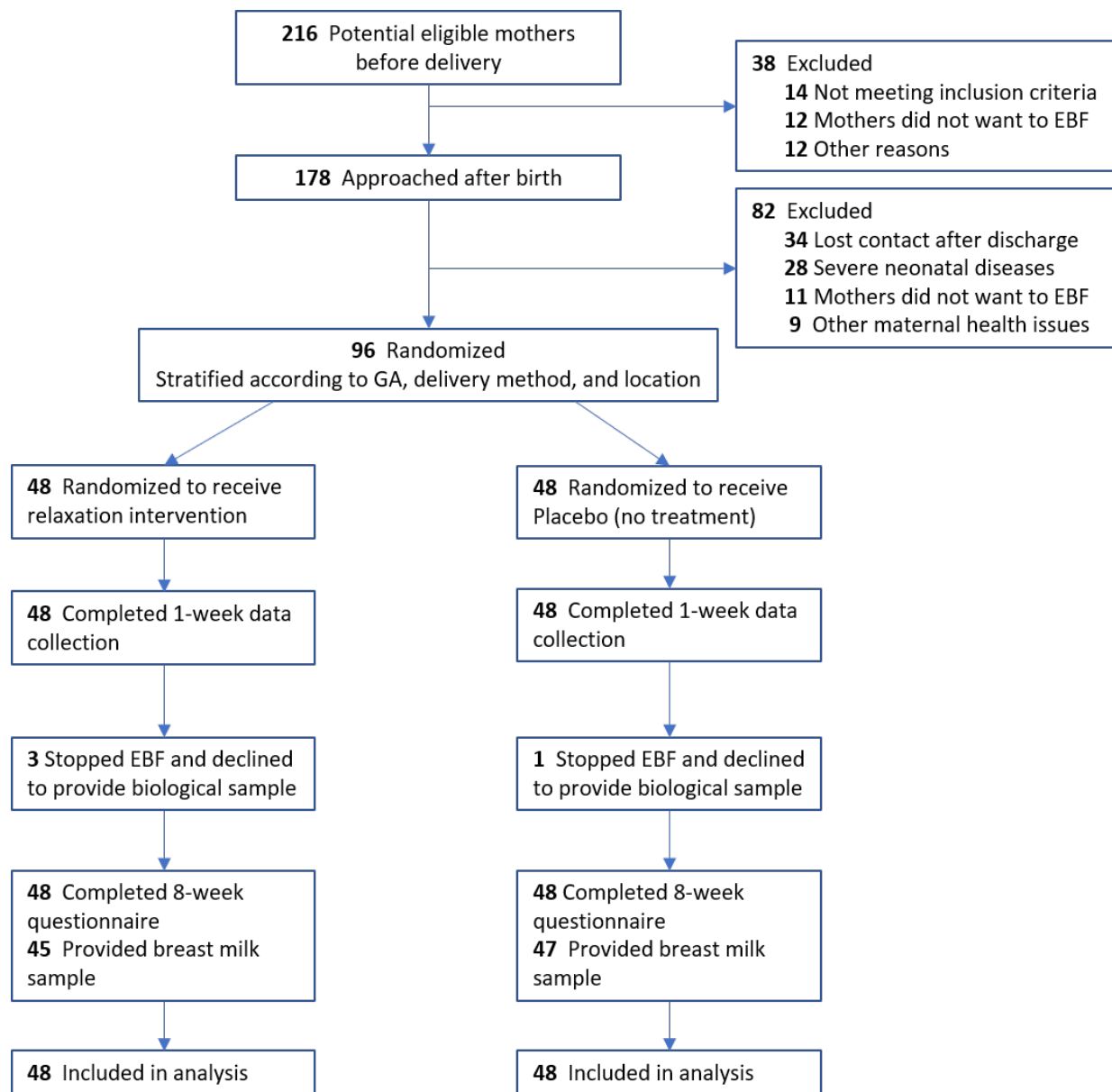
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Figure 1. Flow chart of the randomized controlled trial

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Notes: The flow chart shows the recruitment process of the randomized controlled trial conducted between October 2018 and October 2020. 96 mothers were randomly assigned to IG (n=48) or CG (n=48). 92 mothers provided breast milk samples. Three mothers (two IG, one CG) declined to provide a breast milk sample; one mother in the IG left Beijing earlier than expected and could not provide all biological samples, but posted her 8-week questionnaires to an investigator. Finally, 48 mother-infant dyads in each group were included in the analysis. GA= gestational age; EBF=exclusive breastfeeding

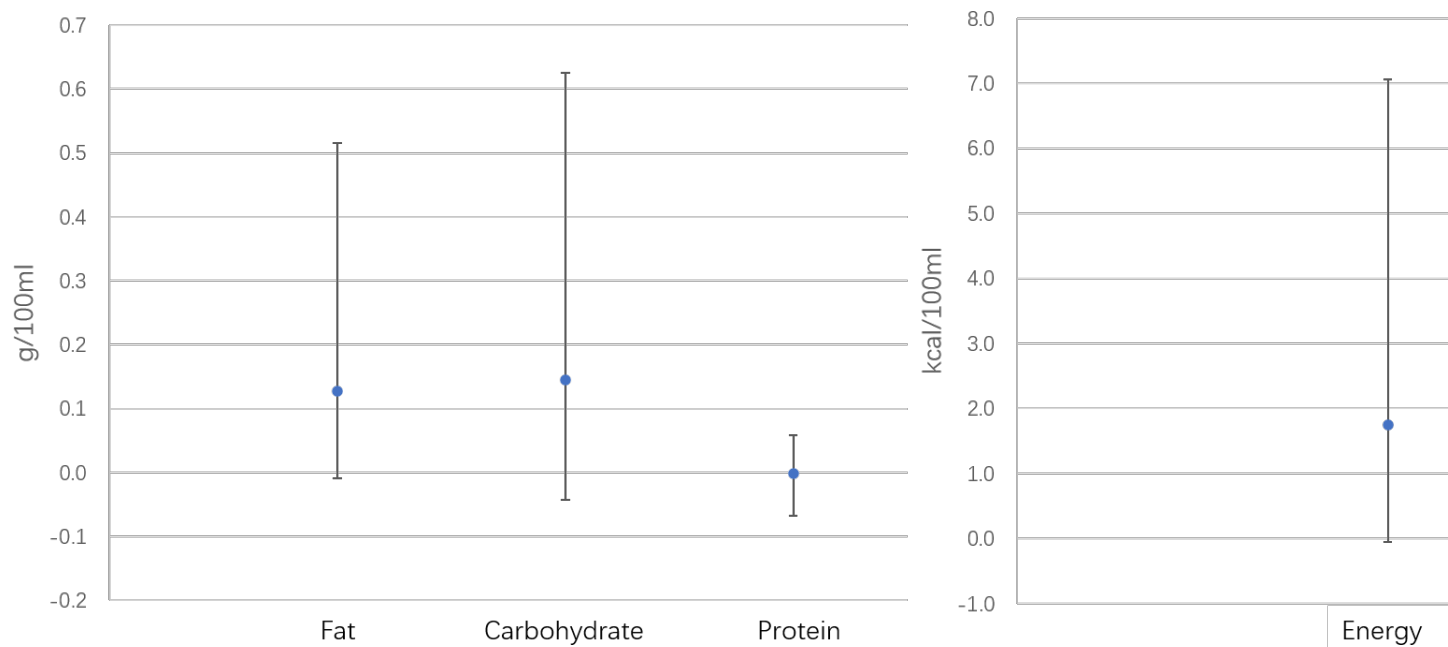
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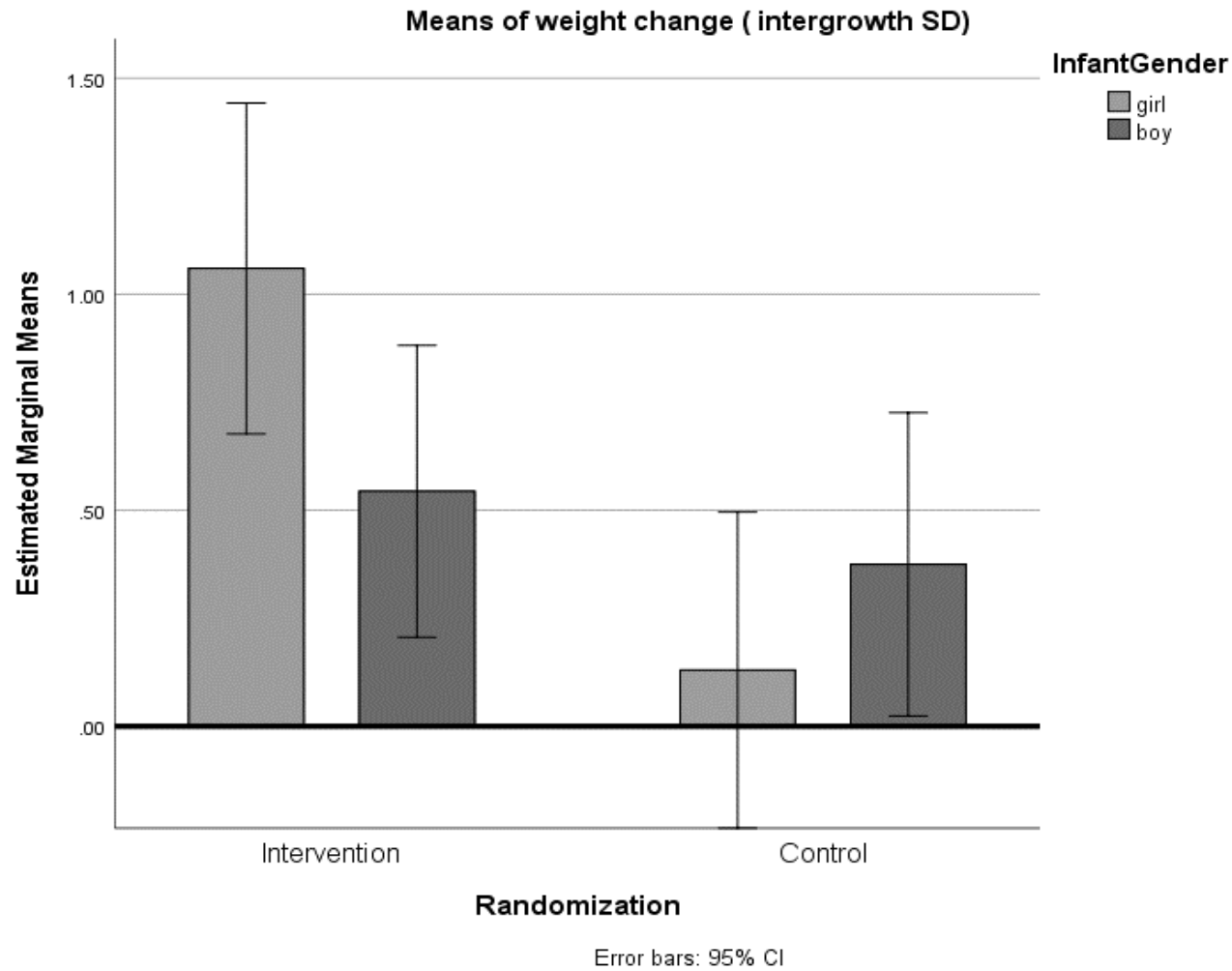
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Figure 2. Breast milk macronutrient composition and energy change from 1- to 8- weeks.

Notes: X-axis shows the breastmilk composition (macronutrients and energy content), the Y-axis shows the mean differences of the change in value from 1-8 weeks between intervention and control groups. Error bars shows the 95% confidence interval of the differences. Number of subjects included in the analysis=92, including=45 (female=20, male=25), and CG=47 (female=22, male=25).



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Figure3. Gender differences in weight gain by randomized group using 21st intergrowth SDS.

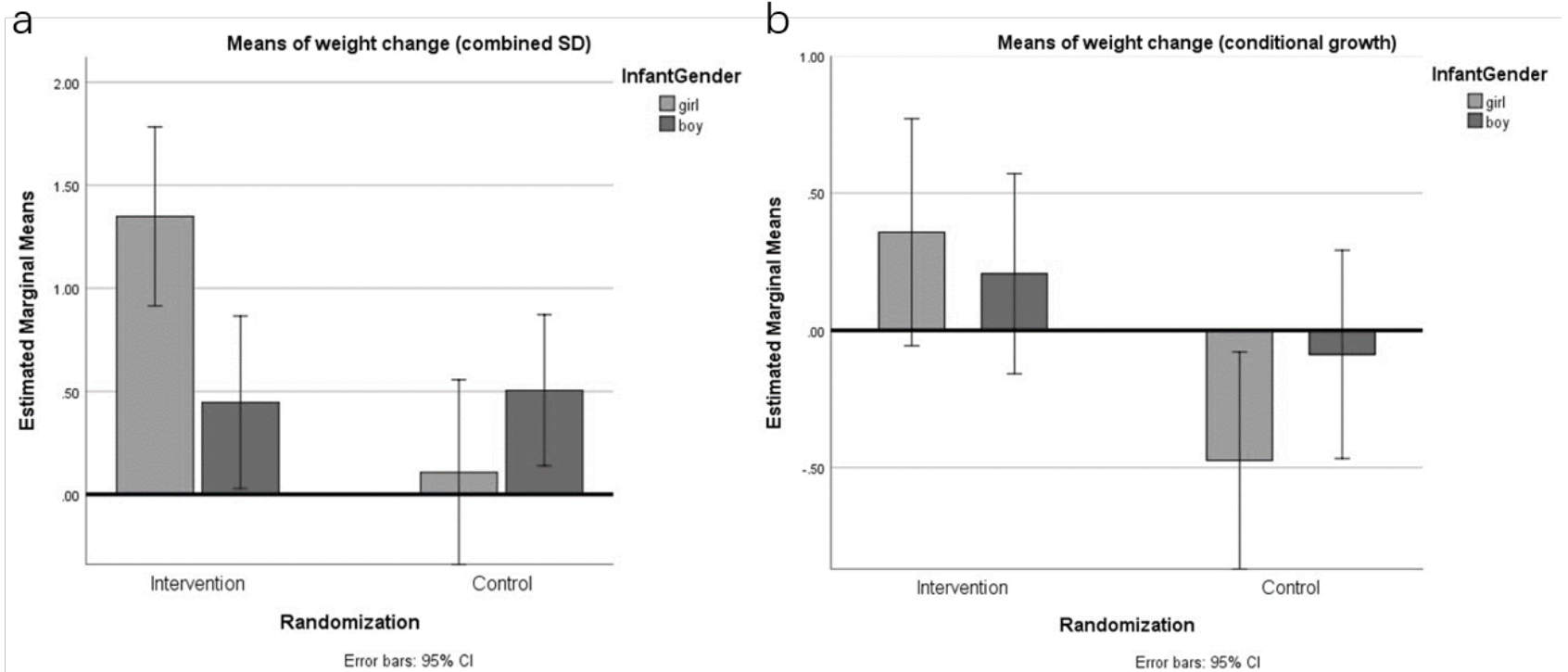
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Notes: Weight gain was measured using standard deviation score (SDS) calculated using the 21st intergrowth data. X-axis shows the gender groups in intervention and control group, the Y-axis shows the mean value of the change in SDS from 1-8 weeks for each group. SD=standard deviation; CI=confidence interval.

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Figure 4. Gender differences in weight gain by randomized group using (a) combined WHO and intergrowth SDS and (b) conditional growth data.

Notes: X-axis shows the gender groups in intervention and control group, the Y-axis shows the mean value of the change in SDS from 1-8 weeks for each group. SD=standard deviation; CI=confidence interval.

a Weight gain was measured using standard deviation score (SDS) calculated using the combined WHO for term infants (37 weeks in the present study) and 21st intergrowth data (for 34-36 weeks infants in the present study).

b Weight gain was measured using conditional growth data. Conditional weight gain was calculated based on the standardized residuals for weight in the study population. The standardized residuals were saved from a regression with the measurements at 8-weeks as the dependent variable, and the measurements at 1-week and time between visits as covariates.

Table 1. Baseline characteristics of the study population.

Descriptive characteristics	Relaxation		Control		p-value*
	(n=48)		(n=48)		
	N	%	N	%	
Infant gender					0.84
Male	27	56	25	52	
Female	21	44	23	48	
Gestational age (weeks)					1.00
34 (0/7-6/7)	4	8	3	6	
35 (0/7-6/7)	8	17	7	15	
36 (0/7-6/7)	17	35	18	38	
37 (0/7-6/7)	19	40	20	42	
Location of recruitment					0.98
Northeast Beijing (Centre A)	19	40	20	42	
Central Beijing (Centre B)	6	13	5	10	
Northwest Beijing (Centre C)	11	23	10	21	
South Beijing (Centre D)	12	25	13	27	
Maternal age group (years)					0.72
20-25	5	10	3	6	
26-30	25	54	27	56	
31-35	14	29	15	31	
>35	4	6	3	6	
Marital status					
Married	48	100	48	100	
Educational level					0.75
School	3	6	1	2	
Certificates/Diploma	12	25	11	23	
Bachelor degree	26	54	30	63	
Postgraduate	7	14	6	13	
Household income (CNY/year)					0.44
<200,000	20	41	20	42	
200,000-300,000	13	27	18	38	
300,000-450,000	6	12	6	13	
>450,000	9	18	4	8	
Birth hospital					0.76
Public hospital	43	90	41	85	
Private hospital	5	10	7	15	
Hospital stay after birth					0.58
Less than 48 hours	4	8	6	13	
48-72 hours	14	29	10	21	
More than 72 hours	30	63	32	67	

Main maternity care person					0.77
Husband	32	67	28	58	
Parents	10	21	14	29	
In-laws	5	10	4	8	
Confinement lady	1	2	2	4	

627 Notes: *Significance examined by using Chi-Square. CNY=Chinese Yuan. 1 GBP=8.32 CNY. ^a confinement lady: a nanny who is professional in
628 taking care of new mothers.

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631 **Table 2. Comparisons of the primary outcomes between randomised groups.**

Groups	Control		Relaxation		T-test	
	n	Mean (SD)	Mean (SD)	<i>p</i>	MD	95%CI
Stress ^a						
1-week	96	20.19 (6.8)	20.08 (8.1)	0.94	-0.10	-3.1, 2.9
8-week	96	19.92 (5.9)	17.17 (6.6)	0.035	-2.75	-5.3, -0.2
Δ	96	0.27 (5.0)	2.92(4.2)	0.006	-2.65	-4.5, -0.8
Anxiety ^b						
1-week	96	8.5 (5.8)	8.4 (6.4)	0.95	-0.08	-2.5, 2.4
8-week	96	6.9 (4.8)	5.5 (5.2)	0.18	-1.40	-3.4, 0.6
Δ	96	1.5 (4.3)	2.9 (3.9)	0.12	-1.31	-3.0, 0.4
Weight gain ^c						
1-week	96	0.76(0.8)	0.71(0.7)	0.73	0.05	-0.3, 0.4
8-week	96	1.01 (0.9)	1.48(0.8)	0.011	-0.46	-0.8, -0.1
Δ	96	0.26(0.9)	0.77(0.9)	0.006	-0.51	-0.9, -0.2
Length gain ^c						
1-week	96	0.88(0.9)	0.93(0.7)	0.73	-0.06	-0.4, 0.3
8-week	96	0.39(1.1)	0.72(1.0)	0.13	-0.33	-0.8, 0.1
Δ	96	-0.37(1.1)	0.01(1.0)	0.08	-0.38	-0.8, 0.1

632 Notes: ^a assessed by Perceived stress scale (PSS), higher values mean higher stress; ^b assessed by Beck anxiety inventory (BAI), higher values
633 mean higher anxiety; **Δ**: the absolute value of the 8-week value minus the 1-week value; ^c changes of SDS calculated using the 21st intergrowth
634 data for both preterm and term infants; SD=standard deviation; MD=mean difference; CI=confidence interval.
635

636 **Table 3. Total duration (minutes) of infant behaviour during a day at 8-weeks by randomised group.**

Variables	Control			Relaxation			T-test			
	n	Mean	SD	n	Mean	SD	Sig	MD	95% CI	
Sleeping	25	794	150	26	827	141	0.43	-32.7	-114.5	49.2
Awake (happy)	25	340	127	26	359	114	0.57	-19.4	-87.2	48.5
Distress	25	66	62	26	55	64	0.53	11.0	-24.2	46.3
Distress (record)*	20	82	58	21	68	64	0.46	14.5	-24.3	53.2

637 Notes: MD=mean difference. *Distress (record) = results including mothers who reported at least one of the “crying” “fussing” and “colic”
 638 behaviors while Distress = results including all 51 mothers and assuming the value was 0 if the mother did not provide any record of “crying”
 639 “fussing” and “colic” behaviors.

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641 **Table 4. Correlations between use of the relaxation intervention and infant outcomes.**

	N	Total duration (minutes)		Total usage (days)		Duration/day (minutes)	
		<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>
Maternal Stress							
At 8-weeks	41	0.07	0.650	0.15	0.339	-0.209	0.190
Δ	41	-0.55	0.000	-0.58	0.000	-0.435	0.005
Maternal Anxiety							
At 8-weeks	41	0.16	0.334	0.24	0.125	-0.068	0.673
Δ	41	-0.02	0.913	0.02	0.894	-0.280	0.076
Infant Weight A							
At 8 weeks	41	0.24	0.124	0.25	0.115	0.175	0.274
Δ	41	0.13	0.437	0.06	0.735	0.250	0.115
Infant Weight B							
At 8 weeks	41	0.29	0.065	0.32	0.044	0.196	0.219
Δ	41	0.11	0.505	0.03	0.855	0.233	0.143
Infant Length A							
At 8 weeks	41	0.15	0.360	0.19	0.245	0.021	0.898
Δ	41	0.06	0.702	0.03	0.857	0.112	0.485
Infant Length B							
At 8 weeks	41	0.20	0.214	0.25	0.121	0.072	0.655
Δ	41	0.22	0.175	0.25	0.122	0.001	0.996
Infant Behavior							
Sleeping Duration	22	0.06	0.783	-0.07	0.759	0.385	0.077
Crying Duration	11	-0.35	0.291	-0.16	0.637	-0.353	0.287
Awake/happy Duration	15	-0.08	0.789	-0.11	0.695	-0.195	0.487

642 Notes: Pearson correlation was used. Δ : value of the 8-week value minus the 1-week value; A: SDS calculated using 21st intergrowth data;
643 B: SDS calculated using Combined Data.