

1 **Abstract**

2 **Background** Late preterm and early term infants are at increased risk of poor growth, behavioural  
3 problems, and developmental delays. This study aimed to (1) investigate the impact of maternal and  
4 infant characteristics, feeding practices, and breastmilk composition on infant behaviour following late  
5 preterm and early term delivery and (2) evaluate the association between infant behaviour and growth.

6  
7 **Methods** Data from 52 Chinese mothers and their late preterm/early term infants participating in the  
8 Breastfeed a Better Youngster study were used. Maternal and infant characteristics were collected using  
9 questionnaires at 1 week postpartum. Breastmilk macronutrient content was measured using a human  
10 milk analyser and infant behaviour was assessed using a 3-day infant behaviour diary at 8 weeks  
11 postpartum. Feeding practices were collected at both time points using questionnaires. Multivariate  
12 models were used to assess associations between potential predictors and infant behaviour, and  
13 between infant behaviour and growth.

14  
15 **Results** Exclusive breastfeeding was associated with greater sleep duration ( $p=0.02$ ) and shorter crying  
16 duration ( $p=0.01$ ). Mothers with a vocational education reported greater distress duration ( $p=0.006$ ).  
17 Greater colic duration was associated with higher maternal annual income ( $p=0.004$ ). There was no  
18 significant association between infant behaviour and growth (all  $p>0.05$ ).

19  
20 **Conclusions** Exclusive breastfeeding might promote more favourable infant behaviours in late  
21 preterm/early term infants, whilst development of infant distress behaviours was associated with some

22 maternal characteristic (maternal education and annual income). However, due to the limitations of diary  
23 methods, determinants of infant behaviour should ideally be assessed using more objective measures in  
24 larger samples.

25

26 **Keywords** Breastfeeding, Infant behaviour, Growth and development, Premature infant

27 **Introduction**

28 During the first two years of life, infants adapt to the postnatal environment, ingest food to grow, and  
29 develop sleep-wake patterns [1]. In the first months, the main infant behaviours are sleeping, crying, and  
30 feeding. There is growing evidence that behavioural problems in early infancy, such as excessive crying,  
31 sleeping problems, and feeding difficulties, are associated with growth in infancy and childhood,  
32 especially for infants born preterm [1-4].

33

34 Sleeping is an essential function for proper growth and development [5]. As infants develop and start to  
35 interact with their surroundings, increasing awake times can contribute to increased energy intake,  
36 especially when parents tend to use food to soothe their crying infants [6]. Since human infants are born  
37 immature and have to elicit attention from caregivers for survival, crying is a powerful signal  
38 communicating their feelings and needs [7-9]. Hunger in mammals induces vocal begging behaviour,  
39 where the infant signals its need to the parent [10]. Crying is also metabolically costly and may adversely  
40 affect offspring growth, due to increased metabolic rate and decreased sleep [10]. Breastfeeding may  
41 facilitate infant sleep through the effect of sleep-promoting compounds in breastmilk [11]. However,  
42 mothers who experience difficulties in breastfeeding may have higher levels of stress, which can  
43 negatively impact milk let-down and decrease milk supply to infants [12]. In some cases, infant behaviour  
44 may influence maternal perception of milk supply, affecting decision-making around infant feeding [13].  
45 When breastmilk is not sufficient or available, infant formula milk is the only safe alternative [14].  
46 However, formula milk can be associated with higher incidence of morbidities, notably infection, in  
47 preterm infants [15].

48 Specific behaviours of premature infants differ from those of healthy full-term (FT) infants. Premature  
49 infants spend around 90% of their time sleeping compared to 70% in FT infants [5]. They also have a  
50 higher risk of excessive crying during the first months, primarily due to colic [16]. Besides, problematic  
51 feeding is more prevalent among premature infants, although the determinants are still not clear [4].  
52 Previous studies have focused on the impact of maternal demographics, stress, and depression on  
53 behavioural problems in premature infants. For example, mothers of premature infants with less social  
54 support and lower self-esteem are likely to have less ability to read infant cues, leading to increased  
55 infant crying [17]. Moreover, mothers of premature infants are more vulnerable to infant crying and exhibit  
56 more psychological distress than mothers of FT infants [18]. Mothers with higher levels of stress also  
57 show more sensitivity to their infants, which possibly affects infant temperament later on [19].

58

59 Late preterm (LP) infants born at a gestational age of 34<sup>0/7</sup> to 36<sup>6/7</sup> weeks account for about 75% of all  
60 preterm births [20]. Although early term (ET) infants born between 37<sup>0/7</sup> and 38<sup>6/7</sup> gestational weeks are  
61 not considered preterm, they are more immature than FT infants [20]. LP and ET birth may pose a risk to  
62 infant growth due to physiological immaturity and increased morbidities [21]. However, most  
63 developmental research has focused on more severely premature infants instead of these “near term”  
64 infants, although both LP and ET infants are at an increased risk of behavioural problems and delayed  
65 development when compared with FT infants [21]. To address this research gap, the objectives of this  
66 study were to investigate determinants of infant behaviour (mainly sleeping and crying) and the  
67 association between infant behaviour and growth (weight and length gain) in LP and ET infants.

68

69 **Methods**

70 **Design**

71 This was a secondary data analysis performed using data from the Breastfeed a Better Youngster (BABY)  
72 study, a randomised controlled trial conducted in Beijing, China [22, 23]. In the BABY study, mothers and  
73 infants were screened using the following inclusion criteria: 1) Chinese, primiparous, non-smokers; 2)  
74 Planned to exclusively breastfeed for at least 2 months; 3) Singleton infant, born between 34<sup>0/7</sup> and 37<sup>6/7</sup>  
75 gestational weeks; and 4) Mother and infant generally healthy (free of serious diseases expected to affect  
76 breastfeeding or infant growth). Ethical approval was obtained from the Research Ethics Committee of  
77 Beijing Children's Hospital (ID: 2018-167) and University College London (ID: 12681/002). All participants  
78 provided written informed consent at recruitment.

80 **Sample**

81 Out of 96 mother-infant pairs enrolled in the BABY study, 52 were eligible for our analysis (Fig.1). For the  
82 current analyses, a post-hoc calculation estimated that the minimum required sample size for one  
83 explanatory variable at 80% power with a significance level of 0.05 and a small effect size (Cohen  $f^2=0.2$ )  
84 would be 42 [24]; therefore, our sample size was sufficient for simple regression.

86 **Predictor variables**

87 Maternal characteristics

**Commented [FM1]:** it would be better to say 'for the current analyses' to distinguish from the main study sample size calculation which was based on stress reduction

88 Maternal age (in years), education, and annual income were collected at 1 week postpartum from a  
89 demographic questionnaire. Maternal stress (ranging from 0 to 40) was estimated at 8 weeks postpartum  
90 using the Chinese version of Cohen's perceived stress scale [25].

91

#### 92 Infant characteristics

93 At 1 week postpartum, infant weight was measured with an electronic scale (measurement is presented  
94 in outcomes), while sex and gestational age (rounded to the nearest whole week) were collected from  
95 the demographic questionnaire.

96

#### 97 Feeding practices

98 Information on infant feeding practices was collected from the demographic questionnaire at 1 week  
99 postpartum and a breastfeeding questionnaire at 8 weeks postpartum respectively. The feeding practices  
100 collected included exclusive breastfeeding (EBF), mainly breastfeeding, formula feeding, and mixed  
101 feeding. Data were further dichotomised as "EBF" and "Not EBF". EBF refers to those who received only  
102 breastmilk from the day of recruitment to 8 weeks postpartum.

103

#### 104 Milk composition

105 Foremilk samples were collected either by manual breast expression or by a hand pump (Philips Avent,  
106 Netherlands) at 1 and 8 weeks postpartum. Fat, protein, and total carbohydrate content in breastmilk  
107 were measured using Mid-infrared milk analyser (HLIFE, China). Prior to analysis, samples were thawed  
108 at room temperature (27-29°C) and the analyser was set up in calibration mode for homogenised human

109 milk, following the manufacturer's guidelines.

110

### 111 **Outcome variables**

#### 112 **Infant behaviour**

113 Infant behaviour at 8 weeks postpartum was measured using a 3-day infant behaviour diary translated  
114 into Chinese. The diary consisted of five categories of infant behaviour: Sleeping, Feeding, Crying,  
115 Fussing, and Colic, with specific definitions of each behaviour explained in the questionnaire [22, 26].  
116 Crying was defined as prolonged clusters of crying or an expression of pain. Fussing was defined as  
117 making an unpleasant sound without excessive crying. Colic was defined as gastrointestinal symptoms  
118 or intense, inconsolable crying or fussiness. Mothers recorded one dominant infant behaviour every 15  
119 minutes over three consecutive days. In the analyses, three infant distress behaviours (crying, fussing,  
120 and colic) were further grouped as a new variable 'Distress', calculated as the sum of the three distress  
121 behaviours. Diaries included in the analysis had at least one full-day infant behaviour and 24-hour infant  
122 behaviour was used for further analysis based on the following formula:

$$123 \frac{\text{Total duration of infant behaviour * (min)}}{\text{Number of recording days (day)}} = \text{Average duration of infant behaviour (min/day)}$$

124 \*Infant behaviour: sleeping, feeding, crying, fussing, colic, and distress.

125

#### 126 **Infant growth**

127 At 1 and 8 weeks postpartum, infant weight and length measurements were conducted following the  
128 methods described by WHO [27]. Weight was measured to the nearest 0.001 kg and length to the nearest  
129 0.1 cm with an electronic weight and length scale (Betterren-FSG-25-YE, Shanghai, China). Each

130 measurement was repeated three times and the mean value was taken. Sex- and gestational age-  
131 adjusted standard deviation (SD) scores for infant weight and length were calculated based on the  
132 INTERGROWTH-21<sup>st</sup> preterm postnatal growth standard [28].

133

#### 134 **Statistical analysis**

135 IBM SPSS (version 27.0) was used for statistical analyses with p-values less than 0.05 considered  
136 statistically significant. Univariate linear regression analyses were used to determine associations of  
137 maternal age and stress score, infant weight and gender, feeding practices, and milk composition with  
138 infant behaviour (sleeping, feeding, crying, fussing, colic, and distress) at 8 weeks. ANOVA was  
139 conducted to predict infant behaviour from maternal education, annual income, and gestational week,  
140 respectively. Those variables statistically significant in univariate analyses were subsequently selected  
141 for ANCOVA to control for potential confounding effects. Since not all mothers reported infant distress  
142 behaviours, two sets of analyses were performed, one including those who reported these behaviours  
143 while the other included all infants with those who did not report any distress behaviours coded as zero.  
144 For each outcome category, sensitivity analyses were performed to assess the impact of the number of  
145 recording days as an additional variable in the multivariate analysis. Spearman's correlation was used to  
146 investigate associations between infant behaviour (sleeping and distress) and infant growth (as changes  
147 in SD-weight and SD-length from 1-8 weeks).

148



149 **Results**

150 Characteristics of mothers and infants are displayed in Table 1. As shown in Table 2, only 50% of infants  
151 were exclusively breastfed at 8 weeks compared to 61.5% at 1 week. Duration and frequency of five  
152 categories of infant behaviour are provided in Table 3. Associations of maternal and infant characteristics,  
153 feeding practices, and milk composition with infant behaviour including those who reported distress  
154 behaviours are presented in Table 4.

155

156 **Predictors of infant sleeping**

157 In univariate analyses, a significant association was observed between EBF and infant sleeping ( $p = 0.02$ ,  
158  $R^2 = 0.111$ ). EBF infants had a longer sleep duration ( $859.2 \pm 125.8$  min/day) than those not EBF ( $764.3$   
159  $\pm 146.3$  min/day).

160

161 **Predictors of infant feeding duration**

162 In univariate analyses, increased fat content in breastmilk was significantly associated with longer feeding  
163 duration at 8 weeks postpartum ( $p = 0.05$ ,  $R^2 = 0.079$ ).

164

165 **Predictors of infant distress behaviours**

166 Two sets of univariate analyses were performed for infant distress behaviours: (1) including those who  
167 reported the behaviour (n is specified) and (2) including all infants (n = 52).

168

169 EBF was significantly associated with infant crying duration (n = 26,  $p = 0.01$ ), with an  $R^2$  of 0.227. Infants

170 who were not EBF had a longer crying duration ( $64.5 \pm 62.1$  min/day) than EBF infants ( $21.4 \pm 16.7$   
171 min/day). Infant colic ( $n = 18$ ) was not significantly associated with any predictor variable (all  $p > 0.05$ ),  
172 although infants of mothers with a vocational education ( $54.0 \pm 15.2$  min/day) reported more infant colic  
173 than those of mothers with a bachelor's degree ( $19.8 \pm 20.6$  min/day) ( $p = 0.007$ ). Infant fussing ( $n = 26$ )  
174 was not significantly associated with any predictor variable (all  $p > 0.05$ ).

175  
176 Differences in reported infant distress duration ( $n = 42$ ) between the maternal education groups were  
177 statistically significant ( $p = 0.007$ ). The distress duration reported by mothers with a vocational education  
178 was significantly greater than those of mothers with a high school diploma or less ( $p = 0.005$ ) than those  
179 with a bachelor's degree ( $p = 0.002$ ).

Commented [FM2]: Doesn,t make sense as written?

180  
181 Associations including all infants ( $n = 52$ ) are presented in Table 5. EBF was not associated with crying  
182 ( $p = 0.32$ ) and maternal education was not associated with distress ( $p = 0.32$ ). However, in univariate  
183 analyses, maternal income was significantly associated with infant colic ( $p = 0.003$ ). Infants of mothers  
184 who received more than ¥450,000 per year ( $36.46 \pm 7.55$  min/day) had significantly greater colic duration  
185 than those of mothers who received less than ¥200,000 per year ( $8.24 \pm 4.67$  min/day) ( $p = 0.003$ ) and  
186 ¥200,000 – ¥300,000 per year ( $1.67 \pm 5.04$  min/day) ( $p < 0.001$ ).

187

### 188 **Sensitivity analysis**

189 The results of the primary analysis showed that infants tended to sleep longer as the breast milk fat  
190 content increased ( $p = 0.05$ ). However, after adjusting for the number of recording days this association

191 was not statistically significant ( $p = 0.06$ ). Nevertheless, consistent with the primary analyses (1) EBF  
192 infants had a longer sleep duration than those not EBF ( $p = 0.02$ ); (2) Infants who were not EBF had a  
193 longer crying duration than EBF infants ( $p = 0.01$ ); (3) the group of mothers with vocational education  
194 reported a significantly greater distress duration ( $p = 0.006$ ); and (4) greater annual household income  
195 predicted more reported colic of infants ( $p = 0.004$ ).

196

#### 197 **Association between infant behaviour and growth**

198 Infant weight gain from 1-8 weeks was not associated with infant sleeping ( $p = 0.96$ ) or infant distress  
199 behaviours at 8 weeks, either for those with reported distress behaviours ( $n = 42$ ,  $p = 0.64$ ) or for the  
200 whole cohort ( $n = 52$ ,  $p = 0.63$ ). Likewise, infant length gain was not associated with infant sleeping ( $p =$   
201  $0.54$ ) or infant distress behaviours ( $p = 0.90$ ,  $p = 0.58$ ). Scatter plots showed no apparent trend in the  
202 associations (Fig.2).

203 **Discussion**

204 This secondary data analysis examined the factors associated with reported infant behaviour at 8 weeks  
205 postpartum and the association between infant behaviour and growth between 1 and 8 weeks in LP and  
206 ET infants. The main findings were: (1) EBF was a significant predictor of longer sleep duration and  
207 shorter crying duration; (2) Mothers with a vocational education reported greater distress duration; (3)  
208 Higher annual income predicted more infant colic; (4) There was no correlation between infant behaviour  
209 (sleeping and distress) and growth (weight and length gain).

210

211 The positive association between EBF and sleep duration is consistent with some findings from term  
212 infants while the negative association between EBF and crying duration is not consistent with prior studies.  
213 Previous research has generally indicated that breastfed infants sleep less and have more night  
214 awakenings than formula-fed infants. However, two other studies showed that breastfed infants had  
215 shorter sleep episodes (woke more often) but longer total sleep duration at 2-17 weeks of age, and EBF  
216 promoted longer nocturnal sleep at 2-4 months postpartum possibly due to the sleep-promoting  
217 compounds (e.g., melatonin) in breastmilk [11, 29]. Conflicting results in sleep time between breast- and  
218 formula-fed infants may arise from multiple factors, such as the timing of recording, feeding methods,  
219 infant sleep environment, maternal perceptions about infant sleeping, and maternal sleep patterns.  
220 Interesting, all mothers included in our study initially intended to exclusively breastfeed for 2 months but  
221 some in fact did not exclusively breastfeed or continue to exclusively breastfeed as long as planned. This  
222 may suggest that 'poor' or unexpected infant behaviour led women to stop EBF within the first 2 months.  
223 Indeed, longer sleep time among infants may also influence mother's feeding decision-making [29].

224 Although our study could not show a causal effect, the results suggest that further research should  
225 consider the interaction between EBF and infant behaviour, for example, by measuring infant behaviour  
226 in LP/ET infants who start out with different feeding patterns. On the other hand, in one old study, term  
227 infants who were breastfed had longer crying duration than bottle- and mix-fed infants at 3-6 weeks  
228 postpartum [29]. Premature infants (26-33 weeks) who were breastfed cried almost one hour more than  
229 formula-fed infants per day at 4-6 weeks postpartum [30]. However, in our study, mothers of EBF infants  
230 (n = 16) clearly recorded less crying for their infants than mothers of formula- and mix-fed infants. One  
231 plausible explanation is that infants with increased crying were more likely to be given infant formula  
232 because their mothers had concerns about the adequacy of their breastmilk [31, 32], whereas those with  
233 less crying were more likely to remain EBF because their mothers believed that breastmilk alone was  
234 enough to satisfy their infant. Within this context, it should be noted that mother's perception of the  
235 frequency and duration of infant crying might be affected by their psychological state, especially when  
236 self-reported approaches were used [33, 34]. Furthermore, infant crying typically reaches a peak around  
237 6 weeks of age and decreases with age [35]. It is apparent from previous research that the timings when  
238 infant behaviour were measured are different and the association between EBF and crying duration may  
239 differ by postnatal age.

240

241 In this highly educated sample (over 70% held a bachelor's degree), the analyses suggested that mothers  
242 with a vocational education tended to report greater infant distress duration, which is in partial agreement  
243 with previous findings. In many developed countries, lower maternal education and self-esteem were  
244 associated with increased risk of problematic infant crying [17, 36]. Similarly, lower maternal education

245 was found to be more prevalent among colicky infants at 1.5-2 months of age [37]. Vocational education  
246 has lower social prestige and status than academic education [38]. In addition to low academic  
247 performance, individuals with a vocational education are more likely to come from families with limited  
248 resources as they tend to relieve the financial burden of the family by entering the labour market early.  
249 As a result, mothers with a vocational education in China may suffer more from social problems and  
250 pressure than those with an academic degree, and therefore be more sensitive to infant distress  
251 behaviours. On the other hand, difference in infant distress duration when comparing vocational  
252 education with high school education should be interpreted with caution due to the small sample size of  
253 high school education (n = 3).

254

255 Higher maternal income was associated with more reported colic among LP and ET infants, which is in  
256 line with some previous studies. Although there is limited supporting evidence, previous studies have  
257 suggested that low-income mothers with less social support tend to have difficulty feeding their premature  
258 infant, possibly resulting in increased infant colic [17, 39]. However, in two other studies, a protective  
259 effect of EBF on infant colic was reported within the first 2 months of life [11, 40]. In other words, EBF  
260 can be associated with reduced infant colic. It is hypothesised that higher maternal income was  
261 associated with more infant colic, with EBF as a mediator between maternal income and infant colic in  
262 the first 8 weeks postpartum. Quantitative studies in China indicated that high-income mothers are less  
263 likely to maintain EBF because they can afford infant formula or find a paid carer to look after their infant  
264 [41]. High-income mothers also often work full time in responsible and highly competitive positions, so  
265 they have a greater desire to return to work and stop EBF earlier. However, our results are limited by the

266 small sample size for infant colic (n = 18) and the timings when infant behaviour was measured.  
267 Furthermore, the influence of socioeconomic group on infant crying/colic is complex due to the interplay  
268 of socio-economic, psychosocial and psychological factors [37].

269

270 No significant correlation was detected between infant behaviour (sleeping and distress behaviours) and  
271 growth (weight and length gain) in LP and ET infants. Although little is known about the association  
272 between infant behaviour and growth in this group, several studies have recognised bidirectional effects.  
273 Some studies have argued that crying may reduce the energy available for infant growth by increasing  
274 metabolic rate and decreasing sleep time [10], while others have suggested that infant crying may lead  
275 to frequent feeding and consequently weight gain [6]. There could thus be two different scenarios. Firstly,  
276 crying as a hunger cue could be observed more frequently among LP/ET infants who then grew faster.  
277 In other words, rapid growth promotes higher energy intake because infants cry when there is inadequate  
278 feeding [8]. On the other hand, mothers of premature infants might be more sensitive and report more  
279 crying when an infant was not growing well. It is plausible that mothers might have different interpretations  
280 of infant hunger cues depending on how their infant grew. As a result, the fact that no association was  
281 found in our study could possibly be explained by the fact that the amount of crying reported by mothers  
282 was impacted by the growth of infants in opposite directions. Further studies are necessary to verify the  
283 bidirectional effects.

284

285 This study contributes to the literature on the influence of maternal characteristics on behaviour in LP  
286 and ET infants, and highlights the complex interplay of maternal characteristics, breastfeeding and infant

287 distress behaviours. However, there are several limitations worth noting. First, the sample size of 52 was  
288 small and compliance with completion of diaries was not high. A possible explanation is that mothers  
289 were asked to complete several questionnaires and other tasks during the study period. Second,  
290 problems arise from the incorrect completion of diaries among these mothers. Although the definitions  
291 for the five categories of infant behaviour were provided more specifically than those in some studies, it  
292 seemed challenging for mothers to distinguish the three infant distress behaviours. Furthermore, it might  
293 be argued that maternal perception of the infant behaviour was measured rather than infant behaviour  
294 itself.

295

296 In conclusion, this study provided support for associations between maternal characteristics, feeding  
297 practices, and infant behaviours among LP and ET infants in China. Apart from non-modifiable factors  
298 such as maternal education and income, early termination of exclusive breastfeeding as a modifiable  
299 factor is preventable and manageable. Since China has one of the highest prevalences of premature  
300 births worldwide every year, it is vital to highlight the challenges faced by mothers of LP/ET infants and  
301 the value of exclusive breastfeeding. In this regard, high-risk groups such as premature infants from  
302 families with low socioeconomic status may benefit from additional lactation support. To address the  
303 methodological issues, a larger sample size is required, and the accuracy of the 3-day infant behaviour  
304 diary could be improved by educating mothers on infant crying, fussing, or colic and providing more  
305 descriptions of each behaviour. Alternatively, objective assessments of infant behaviour could be adopted  
306 together with maternal reports.



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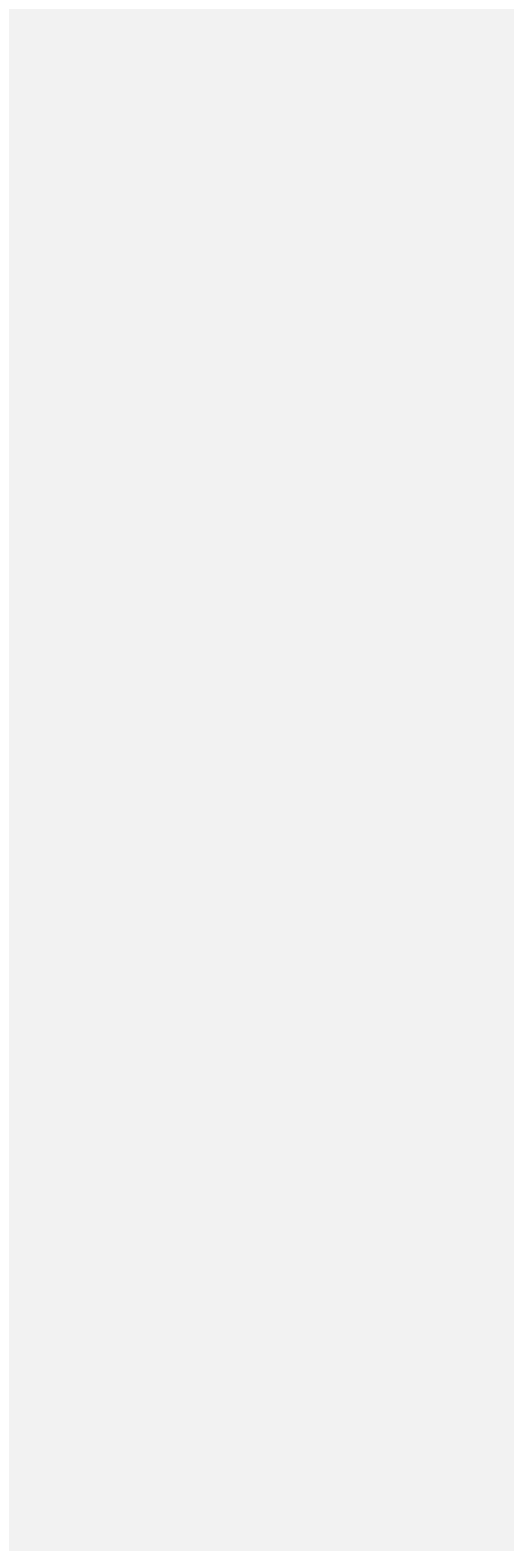
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418 **Fig.1** Flow chart of the study.

419 *BABY* Breastfeed a Better Youngster

420

421 **Fig.2** The association between infant behaviour and growth.

422 Scatterplot of sleeping and weight gain (**a**), sleeping and length gain (**b**), distress and weight gain (**c**),

423 distress and length gain (**d**) at 8 weeks postpartum. Closed circle (●) indicates those who did not report

424 any distress behaviour.